An Annotated Guide to Technical Resources for Community Involvement in Youth Reproductive Health and HIV Prevention Programs
Acknowledgements

Louise Palmer, a consultant, compiled these resources with support from Usha Vatsia and Margaret Tipton of CARE/YouthNet. Reviewers included Tonya Nyagiro and Ed Scholl of Family Health International/YouthNet, Susan Igras of CARE/YouthNet, and Mahua Mandal, Ann Lion, and Shanti Conly of the U.S. Agency for International Development (USAID). Those assisting in publication were Kathleen Henry Shears, editor, and Claudia Ruland, production, both of FHI.

YouthNet is a five-year program funded by USAID to improve reproductive health and prevent HIV among young people. The YouthNet team is led by FHI and includes CARE USA and RTI International. This publication is funded through the USAID Cooperative Agreement with FHI for YouthNet, No. GPH-A-00-01-00013-00. The information contained in the publication does not necessarily reflect FHI or USAID policies.

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How to Mobilize Communities for Health and Social Change: A Field Guide

The Participation Resource Centre, The Institute of Development Studies


Tools Together Now! Participatory Tools to Facilitate Mobilising Communities for HIV/AIDS
Introduction

Community involvement has long been considered an important element of health and development programs. Involving community members in programs builds on local knowledge, creates context-specific interventions, and empowers communities to tackle health issues independent of international nongovernmental organizations (NGOs) and agencies. Perhaps most important, community-level acceptance and understanding of health problems can result in long-term changes in attitudes and behaviors.

Discussing youth reproductive health (RH) and sexuality at the community level often goes against cultural taboos. Even so, community involvement has been an intrinsic part of YouthNet’s work from the beginning of this project, as it is with many health and development projects.

This annotated guide to technical resources is part of a package of materials produced by YouthNet to help provide global technical leadership on community involvement and youth RH/HIV prevention. Besides this compilation of resources, the package of materials includes:

- A guide to using participatory assessment techniques at the community level, focusing on youth involvement;
- A summary of issues that have emerged in the literature; and
- A report on a technical consultation meeting on the topic held in November 2005.

This annotated guide is intended for program staff and others who are involved with youth RH/HIV prevention and community involvement issues. It includes documents, manuals, and toolkits that provide advice, approaches, and tools for involving community members, including youth. The original criteria for inclusion in the guide were that the resources: 1) be available online; 2) offer practical guidance and tools; and 3) address community involvement in youth RH/HIV prevention. However, given the scarcity of such online resources, the criteria were expanded to include resources on implementing community involvement in other types of health and development programs.

The guide is divided into five sections; the first four provide youth-specific resources on: 1) frameworks and approaches; 2) tools and methods; 3) guidelines, recommendations, and lessons learned; and
4) instructive case studies/promising practices. The fifth section includes selected additional resources that are not youth-specific but discuss community involvement in detail and can be adapted for working with youth.

Hyperlinks are embedded in the URLs provided for easy access to the documents. Readers who experience difficulties accessing files by clicking directly on the hyperlinks can copy and paste the URLs into their Internet browsers instead. All links were active as of March 2006.

As readers will note, there is a variety of ways to implement community involvement and caution should be exercised in adopting a blueprint approach; each community is different, and youth needs vary dramatically, as do the underlying contexts for youth reproductive and sexual health.

**Box 1: Key terms**

<table>
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<th>Key term</th>
<th>Definition</th>
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<tr>
<td><strong>Youth</strong></td>
<td>10 to 24 years old</td>
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<td><strong>Community</strong></td>
<td>A group of people who share a defined geographical area or social interests and characteristics</td>
</tr>
<tr>
<td><strong>Community Involvement</strong></td>
<td>A term used interchangeably with community participation, which operates on a continuum from little meaningful participation to engagement in key activities to full decision-making and control.</td>
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1. Community Involvement Frameworks and Approaches

Child-to-Child: A Practical Guide, Empowering Children as Active Citizens (PDF)
Gibbs, Sara, Gillian Mann, and Nicole Mathers, 2002, Lambeth, Southwark and Lewisham Health Action Zone

Child-to-Child is an approach to involving children based on experiences in a developed country (the United Kingdom). However, its central principles of empowerment and participation for improved well-being make it relevant for adaptation in countries worldwide. In Child-to-Child projects, children define the issues important to their lives and are empowered to create solutions. The manual includes instructions on how to set up a Child-to-Child project, guidance on facilitating each step of the process, and evaluation tools.

URL:
http://synkronweb.aidsalliance.org/graphics/OVC/documents/0000500e.pdf (768 KB)

Children’s Participation in OVC Programming: A Resource Kit of Materials for Increasing Children’s Participation (PDF)
Save the Children UK, Southern Africa, 2004

This seven-part resource kit reviews the state of children’s participation in programs for orphans and vulnerable children (OVC) and provides resources for increasing their involvement. Available in English and Portuguese, the kit includes a discussion of participation models for children, international convention principles, best practice guidelines, and training resources. It also describes how children can participate in each stage of a program to support orphans and vulnerable children and provides illustrative indicators of children’s participation.

URLs:

Community Mobilization to Mitigate the Effects of HIV/AIDS (PDF)
Donahue, Jill and John Williamson, 1999, Displaced Children and Orphans Fund

This concise document outlines the key features and steps to mobilizing a community to cope with the effects of HIV/AIDS, focusing on work with children. A useful quick reference to the key aspects of community mobilization for professionals seeking to facilitate the process, it presents guidelines for implementation and discusses challenges.

URL: http://pdf.dec.org/pdf_docs/pnacj024.pdf (44 KB)

Community Participation, Partnering with Youth: A Rights, Respect, Responsibility Paradigm (HTML & PDF)

The articles in this issue of the Advocates for Youth publication Transitions provide an overview of the key principles of community
participation, a review of desired facilitator characteristics, case studies of participation in youth reproductive health and HIV prevention interventions, and many practical tips.

**URLs:**

1. [http://www.advocatesforyouth.org/publications/transitions/transition s1403_1.htm](http://www.advocatesforyouth.org/publications/transitions/transition s1403_1.htm) (HTML)

**Involving Youth in Reproductive Health Projects** (PDF)
Senderowitz, Judith, 1998, FOCUS on Young Adults

This document contains useful suggestions about what to consider at each stage of a project, illustrated by case studies and lessons learned. It provides a useful overview of youth involvement for anyone implementing a reproductive health or HIV prevention project for youth.


**Positioning Research to Support Community Effort to Reverse HIV/AIDS among Youth in Maragwa and Kirinyaga Districts – Kenya** (PDF)
Wang’ombe, Joseph, 2004, University of Nairobi

This paper documents the initial phase of a participatory action research project with youth to uncover the reasons for a lack of behavior change despite the widespread and devastating consequences of HIV/AIDS. Participatory action research is a method for involving the community throughout the research process. The paper describes the problem addressed, the benefits of the method, methodologies, preliminary findings, and lessons learned.

**URL:** [http://www.unesco.org/education/uie/pdf/Wangombe.pdf](http://www.unesco.org/education/uie/pdf/Wangombe.pdf) (80 KB)

**So You Want to Consult with Children?** (PDF)
International Save the Children Alliance, 2003

This toolkit offers advice, ideas, and guidance to governments, international NGOs, and agencies seeking to meaningfully involve children in international, national, or regional meetings and conferences. It provides information about how organizations can create a participatory environment that enables children to discuss and debate issues with adult decision-makers. The toolkit is not intended for individual researchers or project workers. It is designed to help groups
with different needs and levels of experience, providing “signposts” throughout the text to direct readers to appropriate sections of the toolkit based on their interests.

**URLs:**

**English**
http://www.savethechildren.net/alliance/resources/childconsult_toolkit_final.pdf (English 1MB)

**French**
http://www.savethechildren.net/alliance_fr/resources/French_So_You_Want_to_Conult.pdf (2.53 MB)

**Spanish**
http://www.savethechildren.net/alliance_sp/resources/Spanish_So_You_Want_to_Conult.pdf (2.43 MB)

Youth Participation: A Technical Paper on the AYA Experience (PDF)
The African Youth Alliance

Meaningful participation of youth in program planning and implementation is a key crosscutting theme in the African Youth Alliance’s (AYA’s) efforts to improve the sexual and reproductive health of young people and to prevent HIV/AIDS. This paper details AYA’s youth participation strategy and offers examples of different ways the program has involved youth. It also discusses challenges encountered, lessons learned, and recommendations.

**URL:**
2. Community Involvement Tools and Methods

Children Changing Their World: Understanding and Evaluating Children’s Participation in Development (PDF)

This guide provides development program managers and implementers a good overview of the added value of involving children or youth. The document reviews the impact of children’s participation and provides case studies. It also offers a practical, in-depth discussion of monitoring and evaluation concerns, and detailed descriptions of evaluation tools and methodologies. The guide briefly discusses how to implement children’s participation, and ethical concerns are addressed throughout.

URL: http://www.plan-uk.org/pdfs/childrenchangingtheirworld.pdf (3.79 MB)

Conducting a Participatory Situational Analysis of Orphans and Vulnerable Children Affected by HIV/AIDS: Guidelines and Tools (PDF)
DeMarco, Renee, 2005, Family Health International, IMPACT, USAID

Designed for use by those working at national or sub-national levels, this document provides a broad overview of what is needed to carry out situational analyses with orphans and vulnerable children affected by HIV/AIDS. It explains the difference between a situational analysis and needs assessment, the reasons for carrying out such analyses with orphans and vulnerable children, and how to collect, analyze, and use data with the wellbeing of children as a primary concern. Ethical considerations are discussed throughout.

URLs:

English
http://www.fhi.org/NR/rdonlyres/etebemiicxrrzrsso2tlgequqogzhlN2r57be6tqpfacn5kbxmg26n7fa2gak7wqffkjs254xo/OVCSitAnaly052606.pdf (1.92 MB)
Engaging Communities in Youth Reproductive Health and HIV Projects: A Guide to Participatory Assessments (PDF)
Hernandez, Juan Jacobo and Rose Zambezi, 2006, Family Health International/YouthNet

This easy-to-follow guide outlines how to carry out participatory assessments with youth and community members for improved youth reproductive health and HIV prevention. Intended for a broad development audience, the guide draws on YouthNet’s experience in Namibia, Tanzania, and Ethiopia, where youth were centrally involved in every aspect of leading the assessments. Sections review YouthNet’s approach to participation, guidelines for training community participants, and suggestions for adapting the methods and tools for use at other project stages. The toolkit chapter presents tools used in YouthNet participatory assessments and offers useful guidance on when each tool should be used, time and resource needs, and training and facilitation. The annexes contain many resources and training handouts.

URL: http://www.fhi.org/en/Youth/YouthNet/Publications/CIresources/

Listening to Young Voices: Facilitating Participatory Appraisals on Reproductive Health with Adolescents – Focus 1 Tool Series (PDF)
Shah, Meera, Rose Zambezi, and Mary Simasiku, 1999, CARE Zambia and FOCUS on Young Adults

Based on CARE’s experience of using participatory learning and action (PLA) to understand youth reproductive health needs, this document provides a thorough, step-by-step guide to working with youth to appraise their reproductive health concerns. Chapters review the participation concept; preparation required before fieldwork; selected participatory learning and action methods; documentation, analysis, and synthesis of information gathered; and an illustrative case study of the approach.

URLs:
A Parrot on your Shoulder: A Guide for People Starting to Work with Orphans and Vulnerable People (PDF)
International HIV/AIDS Alliance, 2004

Intended for development professionals working with children and adolescents, this manual describes a variety of activities to facilitate young people’s meaningful involvement in programs for orphans and vulnerable children. The activities do not address specific aspects of such programs, but provide basic ways for project workers to engage youth at the very start of a project. The manual begins with fun icebreaker games and proceeds to develop participation skills necessary for meaningful involvement, such as learning to listen to each other’s views, problem solving, role playing, and improving concentration and communication skills.

URL:
http://synkronweb.aidsalliance.org/graphics/secretariat/publications/poys0704_parrot.pdf (1.29 MB)

Participatory Community Planning for Child Health: Implementation Guidelines (PDF)
Bhattacharyya, Karabi and John Murray, 1999, Basic Support for Institutionalizing Child Survival Project

This guide demonstrates how to involve communities in assessing maternal and child health needs and in action planning based on assessment results. It is intended for use by district and sub-district program planners and health staff. The strategy the guide presents has four stages: 1) building partnerships; 2) selecting maternal and child health behaviors for emphasis; 3) exploring reasons for the behaviors; and 4) developing an action plan. Tasks, activities, and procedures for each stage are described in detail.

URL:

So You Want to Involve Children in Research? (PDF)
International Save the Children Alliance, 2004

Although this guide is directed toward those who want to involve children in research on violence against children, the practical “how to”
strategies it describes for involving children at all stages of a research project are also applicable to youth reproductive health and HIV prevention research. The guide covers both primary and secondary research and ethical issues, such as informed consent and “do no harm” good practices.

**URLs:**

*English*

http://www.savethechildren.net/alliance/resources/So_you_want_to_research_apr2004.pdf (619 KB)

*French*

http://www.savethechildren.net/alliance_fr/resources/Toolkit_Research_French.pdf (745 KB)

*Spanish*

http://www.savethechildren.net/alliance_sp/resources/Toolkit_Research_SPANISH.pdf (769 KB)

**Youth Participation Guide: Assessment, Planning, and Implementation (PDF)**

Marx, Maxwell, William Finger, and Hally Mahler (Eds.), 2005, Family Health International/YouthNet

The *Youth Participation Guide* focuses on involving youth and adults in effective partnerships to improve reproductive health and prevent HIV/AIDS. Designed for a wide audience, including program managers, staff, and youth involved in implementation, it may also be useful to those seeking greater youth participation in other sectors. This guide provides a conceptual overview of the importance of involving youth, an assessment tool for institutions considering youth participation, a training curriculum for developing more substantial youth-adult partnerships in programs, background handouts, and resources.

**URL:** http://www.fhi.org/en/Youth/YouthNet/rhtrainmat/ypguide.htm
3. Guidelines, Lessons Learned, and Recommendations

Ethical Approaches to Gathering Information from Children and Adolescents in International Settings: Guidelines and Resources (PDF)
Schenk, Katie and Jan Williamson, 2005, Population Council and Family Health International

The result of consultation with many international experts, this document provides guidelines on ethical practices for those gathering information from children and adolescents. It aims to demonstrate how it is possible in various situations to uphold the principles of do no harm, put the child’s interests first, and value children’s participation. The document is not a methodological handbook, but it does guide readers through many different ethical considerations by asking questions about all aspects of programming and research and providing illustrative examples. The summary and recommended roles and responsibilities of key actors provide useful quick references.

URL: http://www.popcouncil.org/pdfs/horizons/childrenethics.pdf (828 KB)

Community Involvement in Youth Reproductive Health: A Two-Part Review and Analysis of the Literature (PDF)
Maclean, Alex, 2006, Family Health International/YouthNet

The YouthNet program commissioned a review paper that synthesized and analyzed published, evaluated youth RH/HIV community involvement programs and related research. This paper was used to inform a technical consultation convened by YouthNet to identify knowledge gaps in the subject area. It discusses key terms and concepts, how community involvement has been operationalized, evaluation approaches, impact assessment results, and challenges and emerging themes. By offering a useful summary of current knowledge and highlighting key lessons learned and promising models, the literature review provides guidance for future community involvement programming.

URL: http://www.fhi.org/en/Youth/YouthNet/Publications/CIresources/
This report summarizes the ideas involved in a two-day expert technical consultation on community involvement in youth reproductive health and HIV prevention interventions. It provides an overview of key terms and concepts, summarizes emerging issues, discusses knowledge and practice gaps, and includes recommendations.

**URL:** [http://www.fhi.org/en/Youth/YouthNet/Publications/CIresources/](http://www.fhi.org/en/Youth/YouthNet/Publications/CIresources/)
4. Instructive Case Studies/Promising Practices

The African Youth Alliance: Case Studies (PDFs)
The African Youth Alliance

Youth participation for improved sexual and reproductive health and HIV/AIDS prevention is central to AYA’s programming, and youth participation is either the main focus of discussion or an underlying aspect of the project in each of these online case studies. The brief case studies offer insights into the diverse forms youth participation can take and the potential impact of involving youth. The examples they describe may be useful for program planners and implementers looking for inspirational ways to involve or partner with youth.

URL: http://www.ayaonline.org/CDWebDocs/cases_lessons.html

Going to Scale in Ethiopia: Mobilizing Youth Participation in a National HIV/AIDS Program (PDF)
Attawell, Kathy, 2004, The Synergy Project

This case study describes a successful youth-led youth-adult partnership conducted in Ethiopia by Family Health International to facilitate a youth-developed National Youth Charter. The charter includes youth sexual and reproductive health needs and provides a plan of action to mobilize youth to seek better information and services. Participatory learning and action methodologies were the main vehicle for involving young people and enabling their successful communication with adults. The document reviews the key strategies, program elements, and lessons learned.

URL: http://www.synergyaids.com/documents/GoingToScaleInEthiopia.pdf (370 KB)

Les Jeunes Prennent en Charge Leur Santé Reproductive et Sexuelle: Volet Intervention (PDF & HTML)
Thiombiano, Roger, Sylvain Ky, and Nicole Cheetham, 2003, Advocates for Youth and Mwangaza Action

This document provides a detailed description of a project to improve youth sexual and reproductive health through community participation. Community mobilization activities were carried out to identify youth sexual and reproductive health issues and priorities and to develop
appropriate community-based activities. Community participation continued throughout the implementation stage in the form of village committees and meetings with local leaders. Helpful to program managers working in youth reproductive health, this document details a community mobilization strategy and activities and contains lessons learned to guide future community mobilization projects for youth.

**URLs:**

**English**
2. http://www.advocatesforyouth.org/about/burkinafaso/planning.htm (table of program phases and steps)

**French**

**Plain Talk: The Story of a Community-Based Strategy to Reduce Teen Pregnancy (PDF)**

Plain Talk is a successful U.S.-based program for mobilizing communities to protect youth from the risks of teenage pregnancy and HIV/AIDS. These documents provide a detailed case study of the Plain Talk experience in five urban U.S. communities, as well as guides to how other communities can implement the program. Although the case study describes programs in the United States, these examples of community mobilization may be useful to programs throughout the world.

**URLs:**

**Scaling Up HIV/AIDS Interventions through Expanded Partnerships (STEPS) in Malawi (PDF)**
STEPS is a multisectoral program to mobilize communities, strengthen their capacity to prevent the spread of HIV, and mitigate the effects of HIV/AIDS, especially among the young and most vulnerable. This case study offers a comprehensive overview of a scale-up project in Malawi using the STEPS mobilization process known as Community Action Cycle. It reviews each phase in the Malawi example and provides lessons learned about what facilitates or constrains scale-up success. The case study is likely to be most useful to development workers seeking to either implement or scale up a community mobilization project.


Youth Reproductive Health in Nepal: Is Participation the Answer? (PDF)

This report describes a study that used a quasi-experimental design to evaluate the effectiveness of community involvement in youth reproductive health. The process is documented, including needs assessment, intervention design and implementation, and evaluation methods used. The insights and recommendations section may be particularly useful to practitioners planning their own participatory projects.

URL: [http://www.icrw.org/docs/nepal_0104.pdf](http://www.icrw.org/docs/nepal_0104.pdf) (1 MB)
5. Selected Additional Resources

**Action Research Electronic Reader** (HTML) Hughes, Ian (Ed.), Southern Cross University, Australia

This online reader provides resources on participatory action research, a methodology for involving communities from the initial planning stages of a project, through implementation, to evaluation and scale-up. Such research is very similar to community mobilization because it aims to empower participants and has the goal of social change. Although this resource does not focus on youth or health in particular, it presents case studies and other articles on all aspects of participatory action research. A particularly useful article, entitled “Getting the Job Done,” describes how to carry out participatory action research.


For further action research resources, see also [http://www.scu.edu.au/schools/gcm/ar/arhome.html](http://www.scu.edu.au/schools/gcm/ar/arhome.html)

**Community-Based Health Planning and Services** (PDF and Word)
Ghana Health Services

These articles highlight the community involvement successes and challenges in Ghana’s Navrongo Community Health Planning and Services project (CHPS). CHPS was not specifically a youth reproductive health project, but it used community involvement and mobilization as a means of transferring clinic-based primary health services to community-based services. The entire process, from initial conception to current scale-up efforts, is documented. The Ghana Health Services Web site contains useful information about community health initiatives, including evaluation tools.

**URL:** [http://www.ghanachps.org/](http://www.ghanachps.org/)
Embracing Participation in Development: Wisdom from the Field. (PDF)
Shah, Meera Kaul, Sarah Degnan Kambou, and Barbara Monahan, 1999, CARE.

This guide discusses the participation concept in detail and provides guidance on how to facilitate participatory projects based on CARE’s reproductive health experience. Case studies of a wide variety of CARE development programs illustrate participation in the field, lessons learned, and challenges faced. The latter half of the manual is dedicated to step-by-step descriptions of how to use many participatory tools and techniques. Although not specifically about youth, the guide offers a useful general overview of participation and related tools.

URLs:

**English**


**French**


**Spanish**


Evidence for the Importance of Community Involvement: Implications to Prevent Mother-to-Child Transmission of HIV (PDF).
Leonard, Ann, Purnima Mane, and Naomi Rutenberg, 2001, Population Council

This document reviews why community involvement is important to the success of programs to prevent mother-to-child transmission of HIV. It also includes a discussion of key recommended features of community involvement, which can be adapted across sectors, and examples of how projects have implemented these features.

URL: http://www.popcouncil.org/pdfs/mtctpcfinal.pdf (102 KB)
How to Mobilize Communities for Health and Social Change: A Field Guide (HTML & PDF)
Howard-Grabman, Lisa and Gail Snetro, 2004, Health Communication Partnership

This publication is a comprehensive, step-by-step guide to community mobilization to promote social change and empower communities to protect their health. Designed for health program directors and managers of community-based programs, the guide is also an excellent resource for all health professionals who want to learn how to mobilize communities, from project planning to evaluation and scale up. The guide applies community mobilization and social change concepts to real-world situations and uses illustrative case studies from around the world.

URLs:

The Participation Resource Centre, The Institute of Development Studies (HTML & PDF)

This useful Web site houses thousands of articles and documents about participation, from conceptual pieces to tools and case studies. Only one-quarter of the documents are available online; however, references and contact details are provided for those without Web addresses.
URL: http://www.ids.ac.uk/ids/particip/information/index.html

Aubel, Judi, 1999, Catholic Relief Services

This tool primarily addresses how to involve stakeholders (defined as those with a stake in how evaluation results will be used) in the evaluation process. Chapter VI provides a useful discussion of when and how a community should be involved in an evaluation, including tools and approaches for doing so.
Tools Together Now! Participatory Tools to Facilitate Mobilising Communities for HIV/AIDS (PDF)
International HIV/AIDS Alliance, 2005

Perhaps the most comprehensive online guide to participatory learning and action tools, this guide reviews 100 such tools to use in community mobilization for HIV/AIDS. It complements a guide to community mobilization (All Together Now!) to be published in May 2006. Tools for use at each stage of a project cycle -- assessment, planning, implementation, evaluation, and scale up – are included.

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