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## USAID/TANZANIA MAJI NA USAFI WA MAZINGIRA (MUM)

Quarterly Report

Quarter 4, FY21 – Quarter 1, FY22: 08/23-12/31, 2021



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**USAID Contracting Officer Representative (COR):** Francis Mtitu,  
USAID/Tanzania

**E: mail** [fmtitu@usaid.gov](mailto:fmtitu@usaid.gov)

**Submitted by:** Bigambo Nandiga, Chief of Party

**Tetra Tech ARD INC**

PO Box 2590, IRINGA, Tanzania

Tel: +255 762 475 444

Email: [bigambo.nandiga@tetrattech.com](mailto:bigambo.nandiga@tetrattech.com)



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# ACRONYMS AND ABBREVIATIONS

BWB	Basin Water Board
CAG	Chief Auditor General
CBWSO	Community Based Water Supply Organization
CDP	Capacity Development Plan
COVID 19	Corona Virus Disease (2019)
CWIS	City Wide Inclusive Sanitation
DCOP OPS	Deputy Chief of Party -Operations
DDL	Development Data Library
DEC	Development Experience Clearing House
DPG	Development Partners' Group
DP	Development Partners
EAC	East African Community
EMMP	Environmental Management and Monitoring Plan
ESS	Enterprise Support Specialist
EWURA	Energy and Water Utilities Regulatory Authority
FIs	Financial/Financing Institutions
FPPS	Financial Planning and Performance Specialist
FY	Financial Year
GIYE	Gender Integration and Youth Engagement
GUC	Grants Under Contract
IDIQ	Indefinity Delivery, Indefinite Quantity
IWRMD	Integrated Water Resources Management and Development
KIDH	Kibong'oto Infectious Diseases Hospital
LGAs	Local Government Authorities
M&E	Monitoring and Evaluation
Majis	Maji Information System
MBDS	Market Business Development Specialist
MEL	Monitoring and Evaluation
MoFP	Ministry of Finance and Planning
MoHCDGEC	Ministry of Health, Community Development, Gender Elderly
MOU	Memorandum of Understanding
MOW	Ministry of Water
MUM	Maji na Usafi wa Mazingira
NAWAPO	National Water Policy
NGO	Non-Governmental Organization
NIMR	National Institute for Medical Research
NSMIS	National Sanitation Management Information System
NWF	National Water Fund
O&M	Operation and Maintenance
OASIS	One Acquisition Solution for Integrated Services
ODF	Open Defecation Free
OPI	Organization Performance Improvement
PA	Performance Agreement
PAF	Performance Assessment Framework
PO-RALG	President's Office- Regional Administration and Local Governments
POs	Point of Sales

Q	Quarter
RSDMS	RUWASA Service Delivery Management System
RUWASA	Rural Water Supply Authority
SADC	Southern African Development Corridor
SBCC	Sanitation Behavior Change Communication
SO	Sub Objective
SoW	Statement of Work
STTA	Short Term Technical Assistance
TAWASANET	Tanzania Water and Sanitation Network
ToR	Terms of Reference
US – DFC	United States’ Development Finance Corporation
USAID	United States Agency for International Development
WARIDI	Water Resources Integration Development Initiative
WASH	Water, Sanitation and Hygiene
WQAP	Water Quality Assessment Plan
WSSAs	Water Supply and Sanitation Authorities
WUAs	Water User Associations
Y	Year
YS	Youth Strategy

# I.0 ACTIVITY OVERVIEW/SUMMARY

<b>Activity Name:</b>	Maji na Usafi wa Mazingira (MUM)
<b>Activity Start Date:</b>	August 23, 2021
<b>Activity End Date:</b>	August 22, 2026
<b>Name of Prime Implementing Partner:</b>	Tetra Tech ARD INC
<b>[Contract/Agreement] Number:</b>	Contract No. GS00Q14OADU138 / 72062121N00001 and Project No. REQ-621-21-000012
<b>Name of Subcontractors:</b>	FSG, WISE Futures, IRIS Group
<b>Major Counterpart Organizations</b>	Ministry of Water, Ministry of Health, PO-RALG, RUWASA Regional and District offices, Rufiji, Rukwa and Nyasa Basin Water Boards and 10 Districts Authorities within the three Basins
<b>Geographic Coverage</b>	10 Districts in 4 Regions (of Morogoro, Iringa, Rukwa and Njombe) in Rufiji River, Lake Rukwa and Lake Nyasa Basins
<b>Reporting Period:</b>	Quarter 4, FY 2021: August 23 – September 30 and Quarter 1, FY 2022: October 1 - December 31, 2021

## EXECUTIVE SUMMARY

The USAID/Tanzania Maji na Usafi wa Mazingira (MUM), Contract No. GS00Q14OADUI38 / 72062121N00001 and Project No. REQ-621-21-000012 under the One Acquisition Solution for Integrated Services (OASIS) indefinitely delivery, indefinite quantity (IDIQ) contract is a five-year (August 2021 – August 2026) Activity funded by the U.S. Agency for International Development (USAID). The purpose of this activity is to expand and sustain the provision and governance of WASH services. Tetra Tech is the prime contractor for MUM and has engaged subcontractors FSG, WISE Futures and Iris Group. This is the First Quarterly Report for the MUM Activity, and it combines progress for Q4 FY 2021 covering the period August 23, 2021 to September 30, 2021 and Q1 FY 2022 covering the period October 1, 2021 to December 31, 2021. This is to align with the United States Agency for International Development (USAID) Fiscal Year (FY) 2022 and subsequent years.

The MUM activity is implemented by Tetra Tech in ten selected districts of Morogoro, Iringa, Rukwa and Njombe Regions within the Rufiji River, Lake Nyasa and Lake Rukwa basins, to:

- **Sub-Objective 1:** Increase access to sustainable water services managed by the Rural Water Supply and Sanitation Agency and urban water utilities
- **Sub-Objective 2:** Increase access to finance for water, sanitation, and hygiene
- **Sub-Objective 3:** Strengthen the market for sanitation and hygiene products and Services
- **Sub-Objective 4:** Strengthen basin water boards and water user associations to enhance stewardship of water resources

In the former USAID/WARIDI supported districts of Kilombero and Kilosa in Morogoro Region and Mufindi, Kilolo and Iringa in Iringa Region, MUM works with RUWASA, Local Government Authorities (in the respective districts), Community-Based Water Supply organizations (CBWSOs) and the private sector to maintain and advance:

- progress made towards water supply services
- access to basic sanitation status
- ODF status of communities achieved because of previous USAID interventions

In five new districts of Kalambo, Nkasi, and Sumbawanga in Rukwa Region and Ludewa and Makete in Njombe Region, MUM will implement targeted activities where there is greater need for initial infrastructure investments.

For the reporting period (Q4 FY 2021 and Q1 FY22), MUM activities focused on project preparatory activities and startup tasks related to establishment of the project office in Iringa, Tanzania, introducing the project to the ministry of water and the four regions (Morogoro, Iringa, Njombe and Rukwa), recruitment of key project staff, and preparation of Year 1 (Y1) work plan and budget, and carrying out tasks towards achievement of deliverables due in the first six (6) months of the project. Highlights of major Mum's activities carried out in Q4 FY 2021 and Q1 FY 2022 include:

### **Sub-Objective 1: Increase access to sustainable water services managed by the Rural Water Supply and Sanitation Agency and urban water utilities**

The focus of SO1 in the reporting period was to begin planning activities to inform the design and eventual construction of water infrastructure in the five districts of Makete, Ludewa, Kalambo, Sumbawanga and Nkasi. Alongside this, MUM began preparatory activities to undertake performance and situational analysis of water schemes supported by USAID in the legacy WARIDI districts (Kilosa, Kilombero, Kilolo, Iringa and Mufindi) to identify and implement interventions that will focus on

sustaining and possibly improving service levels. During the reporting period, MUM implemented the following activities:

- MUM conducted a competitive recruitment process for an Organizational Performance Improvement Specialist (OPIS), Lead Engineers and Project engineers. Negotiations with selected candidates are in progress.
- MUM SOI team met with the MoW to discuss the status of preparation of National Water Policy (NAWAPO). The key identified areas of support have been highlighted in the trip report shared for internal discussions and decision making.
- MUM conducted a meeting with RUWASA Head Quarters in Dodoma to identify capacity gaps that need immediate interventions to improve operational efficiency in service delivery. The information gathered will be synthesized to produce RUWASA capacity building plan for submission as deliverable to USAID in February 2022.
- MUM has drafted a data collection and assessment tool for performance and situational analysis of water schemes and CBWSOs supported by USAID in the legacy WARIDI districts (Kilosa, Kilombero, Kilolo, Iringa and Mufindi). The tool has been shared with and reviewed by RUWASA HQ. The tool will assist MUM and RUWASA to improve the existing RUWASA Service Delivery Management System (RSDMS) instead of creating a parallel system.
- MUM has worked in collaboration with RUWASA and WSSAs to developed WASH infrastructure scoping and selection criteria to be used in the five new districts of Makete, Ludewa, Kalambo, Sumbawanga and Nkasi. The infrastructure scoping and selection criteria report will be submitted as a deliverable to USAID in February 2022.
- On Environmental Management and Compliance, MUM has drafted an Environmental Mitigation and Monitoring Plan, submitted to USAID in Q1 FY 2022 and has drafted a Water Quality Assurance Plan, which will be submitted to USAID in Q2 FY 2022

During Q2, the focus for SOI will be to complete the infrastructure scoping and selection criteria and development of RUWASA capacity building plans both due for submission to USAID in February 2022. Alongside this, the SOI team will undertake full assessment of water schemes and CWBSOs supported by USAID in WARIDI legacy districts to inform design of interventions that would ensure operational sustainability of the projects and services in the districts

### **Sub-Objective 2: Increase access to finance for water, sanitation, and hygiene**

The focus of SO2 in the reporting period was to begin engaging stakeholders in the financial and WASH sectors to generate new information and evidence on the status of WASH financing and investment landscape in Tanzania. During the reporting period, MUM implemented the following activities:

- MUM conducted a competitive recruitment process for the Financial Planning and Performance Specialist (FPPS), Enterprise Support Specialist (ESS). Negotiations with selected candidates are in progress.
- Terms of Reference (ToR) for engaging a consultant to carry out a study on WASH financing and investment landscape in Tanzania have been prepared, discussed internally (MUM) and shared with the National Water Fund (NWF) for comments. MUM is coordinating with the NWF for the ToR to be shared with other key stakeholders including but not limited to DPG-Water, MoW and the Ministry of Finance and Planning (MoFP). The ToR is expected to be completed by January 2022.



- Communications with the US – DFC (Development Finance Corporation) have begun as part of the process to establish a WASH credit guarantee scheme in Tanzania. This follows the introduction of MUM to the US-DFC by USAID - Tanzania through a tripartite meeting held on 23 November 2021 between USAID, US-DFC, and MUM.
- Communications with an NGO, Water.org, have begun as part of the process to review lessons from WASH financing initiatives, including but not limited to identifying the demand/supply stakeholders and challenges related to the development of financial products and services appropriate for WASH actors (e.g., policy, regulation, etc.). The process to establish a cooperation framework (MoU) with Water.org is underway.

During Q2, the focus of SO2 will be to solicit inputs from MoW and DPs on the ToR for the WASH financing and investment landscape study and ensure the consultants begin the assignment in collaboration MoW, NWF and MoFP. Also, as part of the process, during Q2, the SO2 team will engage various stakeholders to solicit buy-in into the process and establish relationships and partnerships with FIs and other organizations working on WASH financing space in Tanzania.

### **Sub-Objective 3: Strengthen the market for sanitation and hygiene products and Services**

The focus of SO3 in the reporting period was to start the preparatory activities for the sanitation market assessment to inform design and delivery of market-based solutions to accelerate uptake of sanitation and hygiene products and services, alongside carrying preparatory activities for CWIS planning process. During the reporting period, MUM implemented the following activities:

- MUM conducted a competitive recruitment process for the Marketing and Business Development Specialist (MBDS) and Social and Behavior Change Communication Specialist (SBCCS). Negotiations with selected candidates are on progress.
- Applied for ethical clearance prior to conducting sanitation market research. MUM managed to secure ethical clearance from the Kibong'oto Infectious Disease Hospital (KIDH) – working under authority of the National Institute of Medical Research (NIMR)- on December 10, 2021, for the household survey planned to begin in early November. This one-month delay pushed the survey date into the December holiday season and resulted in a January start date – a two-month total delay.
- Development of methodology and data collection tools (questionnaires and sampling methodology) for sanitation market assessment study. The tools include customer survey and value chain traceback.
- Collation of existing dataset and reports from other initiatives to understand the current sanitation and hygiene landscape in Tanzania.
- Development of methodology and data collection tools for sanitation mapping and faecal sludge characterization to inform CWIS process in Namanyere and Mafinga towns.

During Q2 FY 2022, the SO3 team will work in close collaboration with the subcontractors, FSG and WISE Futures, to undertake the following activities.

- Literature review of existing information alongside conducting expert interviews
- Translation of data collection tools to Swahili
- Configuration of data collection tools in data collection software/tablets
- Recruitment and training of enumerators
- Pre-testing of data collection tools
- Field data collection, analysis, and reporting.

MUM is working to ensure the sanitation market assessment report is developed and submitted to USAID for review on February 23, 2022. However, the delays in obtaining the ethical clearance for research activities has meant that some of the data collection activities will still be ongoing until late February 2022. This means that the MUM team may not be able to submit a detailed marketing

assessment report with all data analytics as originally planned. To manage this risk, the team is working to ensure bulk of data collection is collected in Q2 to be able to submit an initial assessment report which will include some of data collected alongside findings of the literature review and expert interviews. This will enable MUM to meet its contractual obligation to submit this deliverable whilst allowing the team to develop a full detailed report which will be submitted to USAID in April 2022.

#### **Sub-Objective 4: Strengthen basin water boards and water user associations to enhance stewardship of water resources**

The focus of SO4 in the reporting period was preparatory activities to undertake Basin Water Boards (BWB) baseline capacity assessment to inform the development of BWBs capacity development plans. During the reporting period, the SO4 team implemented the following activities:

- MUM conducted a competitive recruitment process for the BWB coordinators for Rukwa and Lake Nyasa Basins. Negotiations with selected candidates are on progress.
- Development of the ToRs for engaging the STTA to develop BWBs Capacity Development Plans. The STTA will work closely with SO4 team to assess baseline capacities and develop capacity development plans of Rufiji, Rukwa and Nyasa BWBs. The capacity development plans will be submitted to USAID for review February 2022.

During Q2 FY 2022, the focus of SO4 will be to produce BWBs baseline capacity assessment report and ensure the BWBs capacity building plans are developed and submitted to USAID as scheduled.

#### **Cross-cutting Themes**

##### *Communication*

During the reporting period, the focus of the communication team was to build MUM's internal capacity to communicate effectively with USAID and external stakeholders. During the reporting period, MUM implemented communication activities aimed at promoting the USAID/MUM brand amongst key stakeholders. In particular, the Communication Specialist started developing a mailing list indicating names and contact address of partners. The mailing list will be used for sharing communications products to be developed throughout the implementation period of MUM activities. Also, as part of staff capacity building, the communication specialist provided technical support to SO leads on producing quality reports. Other accomplished activities include developing MUM Response and Contingency Plan for COVID 19, the MUM Office Safety Guidance, and MUM Security Manual.

##### *Monitoring, Evaluation and Learning (MEL)*

The focus of the MEL team in the reporting period was to develop the project MEL plan and begin preparatory activities to produce the project baseline report.

The MEL Manager in collaboration with Home Office and MUM technical staff, developed MUM Activity Monitoring and Evaluation Plan (MEL Plan) and submitted this deliverable to USAID for review and approval. The MEL plan will serve as a strategic tool for monitoring the performance of the MUM Activity and reporting on progress made towards the achievement of targeted results.

Also, during the reporting period, the MEL team started to develop data collection tools for all indicators. The tools will be used to collect baseline data which will be submitted to USAID for approval in February 2022. During MUM's first annual planning workshop held on November 3-5 in Iringa, MUM was advised to use available data from RUWASA Service Delivery and Management System (RSDMS) for water services related data entry and management; and the National Sanitation Information Management System (NSIMS) for sanitation related data entry and management. As part of systems strengthening, MUM will use the two databases as sources of data for its baseline reports.

MUM will also support improvements in data collection and reporting to improve the quality of data reported for future MUM's monitoring and reporting on water and sanitation indicators.

During Q2, the MEL team will focus on data collection to produce baseline data for all MUM activity indicators.

#### *Gender Integration and Youth Engagement (GIYE)*

The focus of GIYE team in the reporting period was to develop a youth and gender strategy which will inform integration of gender and youth activities in the MUM project. During the reporting period, the GIYE team's activities focused on laying the groundwork for systematic gender integration and youth engagement activities across the MUM project, including youth and gender assessments to guide and map future GIYE activities, as well as technical support to SO teams in developing their startup activities. Specifically, the team drafted and submitted the Youth Engagement Strategy (YES) deliverable based on stakeholder interviews, a landscape review, and global best practices and priorities for youth engagement.

Also, as part of the Sanitation Market Assessment research, the GIYE team developed and submitted a GIYE Assessment Protocol and supporting qualitative data collection guides for ethical approval in collaboration with SO3. Alongside this, the GIYE team provided feedback on the Scope of Work (SoW) for STTA's engagement to develop Basin Water Boards (BWBs) Capacity Development Plans under SO4.

In Q2, the GIYE team will undertake comprehensive mapping, stakeholder interviews and field level data collection in project focused districts to inform the development of a detailed Gender and Youth Strategy.

### **Project Management**

#### *Staffing*

By the end of December 2021, the project had offered employment contracts to 16 staff, including 12 who were identified in the project proposal, the Admin Manager and drivers who were recruited after the award of the activity contract to Tetra Tech. Recruitment of Organizational Performance Improvement (OPI) Specialist, Social Behavior Change Communication (SBCC) Specialist, Lead Engineers, Marketing and Business Development (MBD) Specialists were at various advanced stages of completion with most expected to join the MUM project in Q2 FY 2022. Following unexpected death of newly recruited Administrative Manager in the third week of December 2021, the position will be filled in February 2022.

The DCOP OPS staffer, Siobhan Girling, is providing remote support from Washington DC and will be mobilizing to the Iringa office in January. Approval of Siobhan's work permit in Tanzania will require submission to relevant authorities of her application documents together with an implementation MOU between Tetra Tech and the Ministry of Water (MOW). However, processing of the permit is likely to be delayed due to the delay in reviewing and finalizing the MOU by the MOW. A draft MOU was submitted to the MOW in early October 2021 but hasn't been finalized by MoW.

#### *Offices and Logistics*

By the end of December 2021, the project had established a fully operational main office in Iringa. The process for establishing a field office in Sumbawanga is at an advanced stage with a plan to be fully operational in Q2 FY22.

On logistics, the project has thus far acquired seven vehicles. The procurement of two additional vehicles has also begun to meet the demands of activities in the subsequent quarters.

### *Grants Management*

During the reporting period MUM prepared a grant under contract (GUC) manual which was submitted as a deliverable to USAID for review and approval. Also, MUM conducted a competitive recruitment process for the Grants Manager. Negotiations with selected candidates are underway. Applications for Grants Specialist were received, and the Grants Manager will lead finalization of that recruitment, once onboarded.

### *Environmental Management and Compliance*

During the reporting period, MUM prepared the Environmental Mitigation and Monitoring Plan (EMMP) and submitted as a deliverable to USAID for review and approval. MUM began drafting a Water Quality Assurance Plan (WQAP), which will be submitted to USAID in Q2 FY 2022.

### *Coordination, Collaboration, and Information Sharing*

During the reporting period, the SO2 team-initiated communications with the US – DFC as part of the process to establish a WASH credit guarantee scheme in Tanzania. In addition, the project has established contacts with an NGO Water.org to review lessons from their WASH financing initiatives. The process to establish a cooperation framework (MoU) with Water.org will be concluded in Q2 FY 2022.

## SUMMARY OF RESULTS TO DATE<sup>1</sup>

Indicators Reporting Frequency (Q = Quarterly SA = Semi-annually A = Annually)	Y1 (FY 2021/22) Annual Target	Achievements				Comments/reasons	Percent Achieved Y1 (%)	LOA Target	LOA Achieve- ments to Date	LOA Percent Achieved (%)
		Q1	Q2	Q3	Q4					
<b>PURPOSE/GOAL LEVEL: Expand and sustain the provision and governance of water, sanitation, and hygiene (WASH) services</b>										
0.1 Number of water and sanitation sector institutions strengthened to manage water resources or improve water supply and sanitation services as a result of United States Government (USG) assistance (HL.8.3-3)  [OUTPUT]	0							64		
0.2 Regular evidence-based dialogue events between Government of Tanzania (GoT) and civil society organizations (Custom)  [OUTPUT]	4							60		

<sup>1</sup> This Quarterly Report is reporting against project performance indicators included in the DRAFT MEL Plan submitted to USAID in November 2021 and not yet approved. There are no results to be reported during this period. This table will be revised when the Baseline and MELP have been finalized and approved by USAID

Indicators Reporting Frequency (Q = Quarterly SA = Semi-annually A = Annually)	Y1 (FY 2021/22) Annual Target	Achievements				Comments/reasons	Percent Achieved Y1 (%)	LOA Target	LOA Achievements to Date	LOA Percent Achieved (%)
		Q1	Q2	Q3	Q4					
0.3 Number of women and youth who become WASH entrepreneurs as a result of USG assistance (Custom)  [OUTCOME]	0							20		
0.4 Number of persons trained with USG assistance to advance outcomes consistent with gender equality or female empowerment through their roles in public or private sector institutions or organizations (GNDR-8)  [OUTPUT]	30							830		
0.5 Number of WASH service providers assisted with technical skills, business capacity or access to finance (Custom)  [OUTPUT]	30							200		
<b>IR/Sub-Objective 1: Increase access to sustainable water services managed by the Rural Water Supply and Sanitation Agency and urban water utilities</b>										

Indicators Reporting Frequency (Q = Quarterly SA = Semi-annually A = Annually)	Y1 (FY 2021/22) Annual Target	Achievements				Comments/reasons	Percent Achieved Y1 (%)	LOA Target	LOA Achieve ments to Date	LOA Percent Achieved (%)
		Q1	Q2	Q3	Q4					
1.1 Number of people gaining access to basic drinking water services (HL8.1-1) [OUTCOME]	0							220,000		
1.2 Number of people who will be served by approved WatSan infrastructure designs (Custom) [OUTCOME]	0							600,000		
1.3 Number of people receiving improved service quality from an existing basic or safely managed drinking water service as a result of USG assistance (HL8.1-3) [OUTCOME]	0							170,000		
1.4 Number of water service providers (WSPs) that reduce operational downtime in supported districts (Custom) [OUTCOME]	5							90		
1.5 Number of WSPs with improved cost recovery in supported districts (Custom) [OUTCOME]	5							135		

Indicators Reporting Frequency (Q = Quarterly SA = Semi-annually A = Annually)	Y1 (FY 2021/22) Annual Target	Achievements				Comments/reasons	Percent Achieved Y1 (%)	LOA Target	LOA Achieve ments to Date	LOA Percent Achieved (%)
		Q1	Q2	Q3	Q4					
1.6 Number of supported WSSAs that qualify for a higher utility classification  (Custom) [OUTCOME]	0							5		
<b>IR/Sub-Objective 2: Increase access to finance for water, sanitation, and hygiene</b>										
2.1 Number of small and medium enterprises accessing finance from financial institutions (Custom) [OUTCOME]	0							65		
2.2 Number of utilities and RUWASA districts developing creditworthy proposals that meet the financing criteria of the USDFC and/or other financing institutions (Custom) [OUTCOME]	0							15		
2.3 Percent increase in the financial resources allocated for Basin Water Boards (Custom) [OUTCOME]	0%							30%		



Indicators Reporting Frequency (Q = Quarterly SA = Semi-annually A = Annually)	Y1 (FY 2021/22) Annual Target	Achievements				Comments/reasons	Percent Achieved Y1 (%)	LOA Target	LOA Achieve ments to Date	LOA Percent Achieved (%)
		Q1	Q2	Q3	Q4					
2.4 Value of new funding mobilized to the water and sanitation sectors as a result of USG assistance (HL.8.4-1)  [OUTCOME]	0.05 M							15.05 M		

<b>IR/Sub-Objective 3: Strengthen the market for sanitation and hygiene products and services</b>										
3.1 Number of people gaining access to a basic sanitation service (HL.8.2-2)  [OUTCOME]	0							240,000		
3.2 Number of people gaining access to safely managed sanitation services as a result of USG assistance (HL.8.2-3)  [OUTCOME]	0							110,000		
3.3 Percent change in the population using improved sanitation facilities in the household (Custom)  [OUTCOME]	0%							29%		
3.4 Number of supported sanitation enterprises that increase revenue and/or profitability (Custom)  [OUTCOME]	0							40		
<b>IR/Sub-Objective 4: Strengthening basin water boards and water user associations to enhance stewardship of water resources</b>										

4.1 Number of institutions with improved capacity to assess or address climate change risks supported by USG assistance (EG.11-2) [OUTCOME]	0							154		
4.2 Percent increase in BWB and WUA revenues from water user fees (Custom) [OUTCOME]	0%							20%		
4.3 Percent increase in BWB and WUA operating and implementation budgets (Custom) [OUTCOME]	0%							15%		
4.4 Number of newly protected or restored catchments or sub-catchments as a result of USG assistance (Custom) [OUTCOME]	0							5		
4.5 Number of people benefiting from the adoption and implementation of measures to improve water resources management as a result of USG assistance (HL.8.5-1) [OUTCOME]	0							135,000		

## 2.0 ACTIVITY IMPLEMENTATION PROGRESS

The following narrative describes progress implementing tasks and sub-tasks outlined in MUM's conditionally approved Year 1 (FY 2022) Work Plan during the period of August 23 to December 31, 2021. Activity tables included in the work plan are provided, with comments, to demonstrate progress with each of the activities planned for implementation during the reporting period.

### 2.1 SUB-OBJECTIVE 1 (SO1): INCREASE ACCESS TO SUSTAINABLE WATER SERVICES MANAGED BY RUWASA AND URBAN WATER UTILITIES

The focus of SO1 in the reporting period was to begin planning activities to inform the design and eventual construction of water infrastructure in the five districts of Makete, Ludewa, Kalambo, Sumbawanga and Nkasi. Alongside this, MUM began preparatory activities to undertake performance and situational analysis of water schemes supported by USAID in the legacy WARIDI districts (Kilosa, Kilombero, Kilolo, Iringa and Mufindi) to identify and implement interventions that will focus on sustaining and possibly improving service levels. A brief description of the activities implemented during the reporting period is provided below, contributing to the RUWASA Capacity Building Plan (national, regional, and district) as a contract deliverable.

#### **Task 1.1: National Water Policy implementation status assessed; gaps identified. Technical assistance to MoW provided to operationalize policy**

*Sub-Task 1.1.1: MoW and RUWASA national teams engaged to review implementation status and identify and negotiate required external technical or administrative support.*

The activities under this task are scheduled to begin in Q2 FY 2022 and contribute to Indicators 0.1 and 0.2. As such, during the reporting period, MUM focused on preparatory activities where the SO1 team met with MoW to discuss the status of preparation of National Water Policy (NAWAPO). The discussions included identification of needed support by MoW to complete the process of approval and operationalization of the Policy. The key areas of support as identified by MoW include:

- a) Incorporation of MoW management & Development Partners (DPs) comments into the draft Water Policy
- b) Finalization of drafting the water policy,
- c) Conducting stakeholders' meetings to get comments on draft policy and strategy
- d) Dissemination of approved policy and strategy to stakeholders
- e) Incorporation of MoW management and DPs comments into the Draft Sector Strategy

In Q2, MUM will begin implementing activities under Task 1.3 (Ministry of Water and RUWASA supported to track national level WASH services and enhance decision making and targeting of resources). Task 1.2 (National level coordination platforms supported) and Task 1.4 (Technical and administrative assistance provided to TAWASANET. Advocacy efforts improved.) will follow in Q3.

#### **Task 1.5 RUWASA's financial management, infrastructure planning, engineering, and private sector contracts management capacity improved.**

*Sub-Task 1.5.1: Kick-off workshop held to develop RUWASA's Vision and Values and launch capacity assessment*

During the reporting period, MUM reviewed the RUWASA Capacity Need Assessment (CNA) report and met with RUWASA team in Dodoma to identify priority areas of focus in developing RUWASA capacity building plan. The following issues/ activities were prioritized as part of the RUWASA capacity building plan:

- Improving data collection and functionality of the RUWASA Service Delivery Management System (RSDMS)
- Improving CBWSOs performance on O&M through establishment of technical support centers
- Use of learning hub approach on MUM focused districts to build the skills and competencies in key areas, including financial management, infrastructure planning, engineering, and private sector contracts management capacity

In Q2 MUM team will continue to work with RUWASA regional and district teams to identify specific capacity issues in each of the ten districts to inform development of RUWASA capacity building plan. The capacity building plan will be submitted to USAID for review and approval in February 2022.

*Sub-Task 1.5.2: Performance baseline and annual improvement targets established.*

During the reporting period, MUM drafted a data collection and assessment tool for performance and situational analysis of water schemes and CBWSOs supported by USAID in the legacy WARIDI districts (Kilosa, Kilombero, Kilolo, Iringa and Mufindi). The tool preparation involved consultation with RUWASA xxx

In Q2, MUM will work closely with RUWASA to review the tools and identify areas that need further improvement in the RUWASA Service Delivery Management System (RSDMS). A thorough assessment of the water schemes will be carried out using the RSDMS tools (to be improved) to avoid creating parallel systems/data collection tools. The assessment results will be analysed to inform development of new O&M models (Sub-Task 1.5.4 and Task 1.6). This will be coupled with technical, administrative, and/or material assistance provided to small town urban water utilities to improve financial viability & water services (Task 1.7).

### **Task 1.8 Targeted water infrastructure construction activities identified.**

*Sub Task 1.8.1: WASH infrastructure scoping and selection criteria in the five new districts is developed*

During the reporting period, MUM carried out a desk review of existing WASH investment plans in five new districts of Makete, Ludewa, Kalambo, Sumbawanga and Nkasi, and developed the draft WASH infrastructure scoping and selection criteria. The criteria have been reviewed and appreciated by RUWASA HQ.

In Q2, MUM team will conduct a rapid field assessment in the targeted districts to validate the proposed selection criteria with RUWASA and Water Supply and Sanitation Authority (WSSA) teams. A report on WASH infrastructure scoping and selection criteria will be submitted to USAID for approval in February 2022.

## **2.2 SUB-OBJECTIVE 2 (SO2): INCREASE ACCESS TO FINANCE FOR WASH SERVICES**

The focus of SO2 in the reporting period was to begin engaging stakeholders in the financial and WASH sectors to generate new evidence on the status of WASH financing and investment landscape in Tanzania.

During the reporting period, the SO2 team prepared Terms of Reference (ToR) for engaging a consultant who will carry out a study on WASH finance and investment landscape in Tanzania. MUM discussed and shared the ToR with the National Water Fund (NWF) for further communication with

other key stakeholders including MoW, MoFP and DPG-Water Secretariat for comments. It is planned that the ToR will be finalized in January 2022 to allow for the procurement of consultancy services to begin in February 2022. Considering the nature of the study and its impact to the sector, i.e., the study report (WASH finance and investment landscape in Tanzania) will be a source of knowledge and key input for policy dialogue and reforms, it is important to involve a diverse set of stakeholders from the beginning to obtain buy-in and support during implementation of the recommendations from the study. MUM is enhancing communication and support to the NWF to ensure that the process will be implemented according to the original schedule.

In Q2, MUM will provide technical and administrative support to RUWASA and small-town utilities to secure public and private funding for WASH services and assess WSSA and RUWASA district financial performance (Task 2.1).

### **Task 2.2: U.S Development Finance Cooperation (DFC) coordination initiated to develop a credit guarantee to RUWASA and Urban water utilities.**

*Sub-Task 2.2.1: WASH sector briefing book on rural and urban investment needs developed and completed.*

This quarter, the SO2 team-initiated communications with the US – DFC as part of the process to establish a WASH credit guarantee scheme in Tanzania. This follows a meeting held on November 23, 2021, between USAID, US-DFC and MUM where USAID – Tanzania introduced MUM to the US-DFC. Further activities for this task are scheduled in Q3.

In Q2, MUM will provide technical assistance and capacity building to small and medium enterprises to improve access to finance (Task 2.3).

### **Task 2.4 Financial products and services developed for entrepreneurs to provide water and sanitation-related services.**

*Sub-Task 2.4.1: WASH financing group launched.*

This quarter, the SO2 team-initiated communications with Financial Institutions (CRDB Bank, NMB Bank, NBC Bank, Equity Bank, EXIM, TCB Bank, CMSA & Yetu Microfinance) and other relevant stakeholders including Water.org, as part of the process to review lessons from WASH financing initiatives, including but not limited to understanding the demand – and supply – side stakeholders and challenges (e.g., policy, regulation, etc.) related to the development of financial products and services appropriate for WASH actors. The process to establish a cooperation framework (MoU) with Water.org is on progress. MUM will partner with Water.Org as an entry point to begin engaging with local financial institutions they have been working with and explore their experiences of financing the WASH sector and understand policy challenges and opportunities facing the WASH sector in mobilization of financial resources from private financial institutions.

### **Task 2.5 Technical assistance provided to MoW and BWBs to improve financial management and sustainably increase revenue generation.**

*Sub-Task 2.5.1: MoW and BWBs convened to identify 2019 WRM Financing Options report priorities.*

In this quarter, the SO2 team provided inputs into the ToR for engaging STTAs to establish baseline capacity and develop BWB capacity development plans. The objective of the assignment is to carry out a baseline capacity assessment of BWBs (Rufiji, Rukwa, Nyasa) and develop capacity development plans which aim to address the identified capacity gaps, including those related to revenue management and financial resources mobilization as part of implementation of BWBs strategic plans. Delays in implementation of relevant activities of SO4 (e.g., review of baseline capacity assessment and capacity development plans of BWBs) will thus have an adverse impact on the planned activities of SO2. According to the original plan, this Task was planned to begin in January 2022. However, considering

the nature of the activities, MUM decided to explore opportunities to begin preparations for the activity relatively earlier than the original plans, to take advantage of relationships established during the planning workshop; and to take into consideration what seems to be a lengthy process involving a diverse set of stakeholders.

Later, in Q3, MUM will provide technical and financial support to TAWASANET to produce annual water sector equity report (Task 2.6).

## **Task 2.7 - Support National Water Fund (NWF) to mobilize financial resources for the sector**

### *Sub-Task 2.7.1: Status of NWF reviewed*

This quarter, the SO2 team-initiated communications with the NWF started through discussions on the Terms of Reference (ToR) for engaging a consultant to carry out a study on WASH financing and investment landscape in Tanzania. Through the study, institutional, organizational, and operational frameworks will be assessed with the objective of identifying opportunities and challenges for policy dialogue with relevant ministries (MoW and MoFP) and reforms of the NWF. According to the original plan, this Task was planned to begin in January 2022. However, considering the nature of the Tasks/sub-Tasks/activities, MUM decided to explore opportunities to begin preparations for implementation of the major activity relatively earlier than the original plans, to take into consideration what seems to be a lengthy process involving a diverse set of stakeholders, but also to take advantage of relationships established during the planning workshop.

## **2.3 SUB-OBJECTIVE 3 (SO3): STRENGTHEN THE MARKET FOR SANITATION AND HYGIENE PRODUCTS AND SERVICES**

The focus of SO3 in the reporting period was to start preparatory activities for the sanitation market assessment to inform design and delivery of market-based solutions to accelerate uptake of sanitation and hygiene products and services. These activities will contribute to submittal of the contract deliverable, Sanitation and Hygiene Market Assessment Report.

### **TASK 3.1: Sanitation market research analysis performed, including role of women and youth examined**

#### *Sub-Task 3.1.1: Prepare and apply for ethical clearance prior to conducting market research*

During the reporting period, the SO3 team applied for ethical clearance prior to conducting sanitation market research. MUM managed to secure ethical clearance from the National Institute of Medical Research (NIMR) on December 10, 2021.

#### *Sub-Task 3.1.3: Iris Group women and youth considerations provided and integrated into analysis design*

This quarter, MUM also organized meetings with sub-contractors to familiarize on the activity and plan how each will participate in the assessment and set up a Consultation Group. Further, during the reporting period, MUM engaged MoHCDEC, PORALG, RUWASA, LGAs to set up a Consultation Group comprised of 13 experts from government, Civil Society Organizations (CSOs) and private sector to provide oversight for SHMA research activities. SO3 team also, supported FSG and WISE Futures to collate existing dataset and reports from other initiatives to understand the current sanitation and hygiene landscape in Tanzania.

#### *Sub Task 3.1.4: FSG & Wise-Futures teams conduct market analysis*

During the quarter, the SO3 team developed methodology and data collection tools (questionnaires and sampling methodology) for sanitation market assessment study. The tools include customer survey and value chain traceback tools.

In Q2, the SO3 team will work in close collaboration with the subcontractors- FSG and WISE Futures to undertake the following activities.

- Literature review of existing information alongside conducting expert interviews
- Translation of data collection tools to Swahili
- Configuration of data collection tools in data collection software/tablets
- Recruitment and training of enumerators
- Pre-testing of data collection tools
- Field data collection, analysis, and reporting.

MUM is working to ensure the sanitation market assessment report is developed and submitted to USAID for review on February 23, 2022. However, the delays in obtaining the ethical clearance for research activities has meant that some of the data collection activities will still be ongoing until late in February 2022. This means that the MUM team may not be able to submit a detailed marketing assessment report with all data analytics by the due date. To manage this risk, the team is working to ensure the bulk of data collection is carried out in Q2 to be able to submit an initial assessment report which will include some of the data collected, alongside findings of the literature review and expert interviews with key sanitation practitioners in Tanzania. This will enable MUM to meet the contractual obligation to submit this deliverable whilst allowing the team to develop a full detailed report which will be submitted to USAID in April 2022.

Further, in Q2, MUM will design and deliver behaviour change, and marketing interventions (Task 3.3). After that, In Q3, MUM will establish partnerships with private sector entities to develop and pilot sanitation and hygiene product and service solutions for the poorest (Task 3.2) and will provide technical assistance to enhance sanitation and hygiene market actors' skills in business development, marketing, and management (Task 3.4).

### **Task 3.5 Design drawings and technical specifications prepared and completed for proposed fecal sludge treatment interventions**

#### *Sub-Task 3.5.2: WSSAs/LGAs supported to conduct city-wide inclusive sanitation (CWIS) planning process.*

This quarter, MUM identified and prioritized two towns of Namanyere and Mafinga for pilot CWIS planning; and began development of methodology and data collection tools for sanitation mapping and fecal sludge characterization to inform CWIS processes. After piloting the approaches in the above two towns, MUM will scale to the remaining 8 towns to be selected from the list recommended by respective LGAs and RUWASA. The list of recommended small towns (and also mentioned at MUM's joint planning workshop in November 2021 included; Matai (Kalambo District), Laela (Sumbawanga district), Ludewa or Mlangali for Ludewa district, Iwawa (Makete district), Ifakara (Mlimba/ Kilombero district), Kilosa or Mikumi (Kilosa district), Migori (Iringa district) and Ilula for Kilolo district.



## **2.4 SUB-OBJECTIVE 4 (SO4): STRENGTHEN BASIN WATER BOARDS AND WATER USER ASSOCIATIONS TO ENHANCE STEWARDSHIP OF WATER RESOURCES**

The focus of SO4 in the reporting period was to undertake preparatory activities on the Basin Water Boards baseline capacity assessment to inform the development of BWBs capacity development plans, a contract deliverable.

**TASK 4.1: Technical assistance provided to BWBs to improve their institutional capacity and operational efficiency to collect revenue, use data to inform decisions, use participatory methods to engage water users, and address the needs of water service providers.**

*Sub-Task 4.1.1: Basin Water Board Baseline Capacity Assessment report and Capacity Building Plan developed and submitted.*

During the reporting period, the SO4 team developed the ToRs for engaging STTA to establish baseline status for operations, stakeholder relations, and core functions in Rufiji, Rukwa and Nyasa basins. As part of the preparation to undertake BWBs baseline capacity assessment, the SO4 team reviewed existing BWB Performance Agreement (PA) and Performance Assessment Framework (PAF) reports (produced by MoW) and found that the reports are not update and standardized. These will be updated during Q2 when carrying out BWBs baseline capacity assessment.

*Sub-Task 4.1.2: Update BWBs Capacity Building Plan*

During the reporting period, the SO4 team developed the ToRs for engaging STTA to update the Basin Water Board (BWB) Capacity Development Plans of Rufiji, Rukwa and Nyasa BWBs.

It is important to note that during the Joint Planning workshop in November 2021, it was realized that all the Basin Water Boards have some forms of Capacity Building Plans. However, MUM's assessment is that the existing plans are not standardized, and some are incomplete or outdated.

## **2.5 CROSS-CUTTING AND INTEGRATION ACTIVITIES**

### **Task 5.1 Communication**

During the reporting period, the focus of communication team was to build MUM's project internal capacity to be able to communicate effectively about the project's activity to USAID and external stakeholders. As such, during the reporting period, MUM implemented communication activities aimed at promoting USAID/MUM brand amongst key stakeholders. In particular, the Communication Specialist started developing a mailing list indicating names and contact address of partners. The mailing list will be used for sharing communications products to be developed throughout the implementation period of MUM activities. Also, as part of staff capacity building, the communication specialist provided technical support to SO leads on producing quality reports. Other accomplished activities include developing MUM Response and Contingency Plan for COVID 19, the MUM Office Safety Guidance and MUM Security Manual.

### **Task 5.2 Monitoring, Evaluation and Learning**

*Capacity Building to Grantees, Technical Staff and Partners*

During the reporting period, the Tetra-Tech ARD organized various online training to its staff, such as Phishing fundamentals, Ethics and code of conduct, Cybersecurity Phishing and Password tips, antibribery and corruption, GDS compliance with section 889, and COVID 19 return to office checklist

and acknowledgement. The MEL manager also attended the online training organized by Data for Development Tanzania on Activity location data entry forms.

#### *Data Systems Strengthening and Management*

During the reporting period, the MEL Manager in collaboration with Home Office and MUM technical staff, developed the MUM MEL Plan and shared with USAID for review. This document serves as a strategic tool for monitoring the performance of the MUM Activity and reporting on the progress made towards the achievement of its targeted results. It relies upon the systematic collection and analysis of information and evidence about project performance and impact. It is also a learning tool for planning, managing, and documenting how the Project's performance data are collected, used, and reported. In this regard, the MUM MEL Plan is a living document that will be updated and improved upon to reflect best practices and lessons learned in monitoring the MUM Activity. The MEL Plan encompasses Monitoring, Evaluation and Learning (MEL) systems including a Theory of Change (TOC), a set of well-defined performance indicators detailed in the Performance Indicator Reference Sheets, a performance data table that includes targets by year for the life of the project, a Collaboration, Learning and Adaptation (CLA) Plan, and a performance management task schedule that outlines special studies, surveys and reporting that the project will undertake in support of a robust MEL system. Throughout the Activity implementation period, MUM will ensure continuous learning while improving coordination and collaboration to track, evaluate, adapt, and articulate results and impacts.

#### *Data Collection and Quality Issues*

During the reporting period, the MUM project developed Indicator reference sheets as annexes to the MEL Plan, which indicate the type of indicators that the project will use to measure its activity results, the precise definition, type of data to be collected and its disaggregation, data collection period, source of data, method of data collection, data computation procedures and reporting. The quality issues and security are indicated in the MEL Plan and the document for data quality procedures for all technical staff will be developed and reported in Q2 FY 2022. Also, the MUM MEL team has started to develop data collection tools for all indicators, including for the baseline report, due February 23, 2022. These tools will be reported in Q2 FY 2022.

#### *Baseline Report:*

MUM started drafting the Baseline report for its indicator in this reporting period. The MEL team started extracting numbers from the government system including RUWASA SDMS for water indicators and the NSMIS for sanitation indicators. The report will be finalized and submitted in quarter 2 of FY 2022.

#### *Learning Activities*

There were no learning activities implemented during the reporting period.

### **Task 5.3 Gender Integration and Youth Engagement (GIYE)**

During the reporting period, the GIYE team focused on laying the groundwork for systematic gender integration and youth engagement activities across the project, including youth and gender assessments to guide and map future GIYE activities, as well as technical support to SO teams in their startup activities.

The team drafted and submitted a high-level Youth Strategy (YS), based on stakeholder interviews, a landscape review, and global best practices and priorities for youth engagement. The YS outlines the MUM Youth Engagement vision and objectives, key principles and strategic priorities, as well as next steps to put these into practice. The team had originally envisioned submitting the YS as part of the GIYE Assessment and Action Plan, but due to ethical approval issues, we had to split off the YS to submit as per the original contractual deadline, while we submitted the broader and more participatory GIYE Assessment pieces for ethical approval. The team prepared and submitted the GIYE Assessment

Protocol and supporting qualitative data collection guides for ethical approval at the beginning of the quarter and received it at the end of the quarter.

During Q1, the GIYE team supported several technical teams in their startup activities, most notably under SO3, through technical input and tool review for the baseline/market assessment. The team also contributed directly to GIYE in SO4, through feedback on the SOW for STTA who will conduct capacity assessment for the Rufiji, Rukwa and Nyasa BWBs, and by reviewing capacity development plans for the basins. Finally, the GIYE advisor supported technical leads in recruitment of other technical staff by providing inputs for interview tools and participating as interview panelist.

The biggest challenge the team experienced this quarter was with ethical review, which was not previously accounted for in the timeline and required splitting the GIYE Assessment and Strategy into the 1) Youth Strategy (higher level priorities and best practices; completed in Q1) and the 2) GIYE Assessment and Action Plan (more participatory, community level inputs, and operational detail linked to MUM workplan activities and measurement, scheduled for completion in Q2). COVID-19 has also affected international travel plans for STTA support on GIYE, though the team has pivoted well to virtual support.

In Q2, the GIYE team will conduct and complete the GIYE assessment, through key informant interviews, focus group discussions and participatory qualitative analysis, and will map the results into a GIYE Action Plan that details GIYE actions in each SO and crosscutting areas, as well as project processes. This Action Plan will be vetted with stakeholders and staff. To complement this, the team will train MUM project staff on USAID GIYE policies and priorities (“the why”), as well as approaches for “how” to do GIYE work. Finally, the team will convene a Youth Advisory Group to support youth engagement activities across the project.

## 3.0 MANAGEMENT

### 3.1 STARTUP ACTIVITIES

#### 3.1.1 STAFFING

All local employees identified in the project proposal, except for the Grants Manager, accepted their offers during start up. COP, DCOP Tech, MEL Manager, and Leads for components 1, 3, and 4 started with short term technical assistance (STTAs) contracts and began planning and other start up activities in Iringa on September 20, 2021.

By the end of December 2022, job offers had been accepted by the Organizational Performance Improvement (OPI) Specialist, Social Behavior Change Communication (SBCC) Specialist, one Lead Engineers, and two Marketing and Business Development (MBD) Specialists. Employment contracts will be completed in January 2022, By the end of reporting period, a job offer to the second Lead Engineer was pending acceptance.

The team conducted interviews for the positions of Enterprise Support (ES) Specialist, Financial Planning and Performance (FPP) Specialist, Grants Manager and Basin Water Board Coordinators and informed successful candidates accordingly. Requests for references and information to support Employee Biodata (EBD) were sent out. Job offers will be given in January 2022 and employment process to be completed in January.

Following unexpected death of newly recruited Administrative Manager in the third week of December 2021, the position will be filled in January/February 2022.

#### 3.1.2 OFFICES AND LOGISTICS

Office space for project main office was leased from Asas Company of Iringa. The office is located on Asas Building, Plot No. 38, Block Zone II, Gangilonga Iringa. Initial lease agreement is for three years with early termination clause, and it was signed with effect from October 1, 2021. Other office supplies and services provided include:

- Office furniture – including tables, chairs and shelves purchased and installed
- Purchased and installed window blinds for the whole office.
- 35 laptops purchased and set up for use by outsourced IT service provider
- Internet link installed by VODACOM company, tested and operational
- Office local area network (LAN) constructed, tested and in operation
- Office printer/copier purchased and in use

The project received two vehicles from Save the Children and five vehicles from Fintrac. The vehicles were formerly used on the WARIDI Activity and temporarily transferred to the two USAID implementing partners while MUM was still being developed

#### 3.1.3 INTRODUCTORY FIELD VISIT

As part of the startup activities, the COP and Leads for Sub-Objectives 1, 3, and 4, conducted introductory field visits from September 20 to October 8, 2021. A trip report is attached as Annex I. The purpose of the visit was to introduce the MUM Activity to key stakeholders at ministry, regional and selected districts. The team visited Iringa, Rukwa, Njombe, Dodoma and Morogoro regions. In the regional offices, the team visited the Regional RUWASA Managers and Regional Administrative Secretaries (RAS's). The team was accompanied by the USAID COR during the visit to Dodoma region where meetings were held at RUWASA Head Quarters and with the Permanent Secretary, Ministry of Water in Dodoma.

### **3.1.4 YEAR 1 ANNUAL WORK PLANNING AND BUDGETING**

During the reporting period, MUM staff participated in the first annual work planning meeting, held in Iringa. In addition to receiving inputs from key stakeholders, the workshop also helped to inform stakeholders on the proposed MUM project interventions. The planning meeting conducted on November 3-5, 2021 brought together different stakeholders from GoT institutions including the Rural and Urban Water Supply Agency (RUWASA), President's Office -Regional Administration and Local Government (PO-RALG), Ministry of Health Community Development, Gender, Elderly and Children (MoHCDCGEC), Water Basin Boards, National network of civil society organisations working in the water, sanitation and hygiene (TaWaSaNet), National Water Fund (NWF), Water Supply and Sanitation Authorities (WSSAs) and USAID. The planning meeting also helped to enhance collaborative partnerships with stakeholders for the successes of MUM implementation. The workshop proceedings report is attached as Annex 2 while the Y1 work plan (FY 2022) and budget were submitted to USAID as one of the major deliverables on December 9, 2021.

### **3.2 GRANTS MANAGEMENT**

This quarter, MUM prepared a Grants Under Contract (GUC) manual and submitted it to USAID for review and approval. Activities under GUC component to support the various Strategic Objectives, as specified in the Y1 work plan will begin in Q2, after onboarding of the Grants Manager and Specialist.

### **3.3 ENVIRONMENTAL MANAGEMENT AND COMPLIANCE**

During the reporting period, MUM drafted an Environmental Mitigation and Monitoring Plan (EMMP) and submitted it to USAID and began drafting the Water Quality Assurance Plan (WQAP), which will be submitted to USAID early in Q2 FY 2022.

### **3.4 COORDINATION, COLLABORATION, AND INFORMATION SHARING**

During the reporting period, the SO2 team initiated the communications with the US – DFC as part of the process to establish a WASH credit guarantee scheme in Tanzania. In addition, the project has established contacts with an NGO Water.org to review lessons from their WASH financing initiatives. The process to establish a cooperation framework (MoU) with Water.org will be concluded in Q2 FY 2022.

Excellent collaboration was illustrated during MUM's first annual work planning workshop, where various key stakeholders in the sector, including Rural Water Supply and Sanitation Agency (RUWASA), President's Office -Regional Administration and Local Government (PO-RALG), Ministry of Health Community Development, Gender, Elderly and Children (MoHCDCGEC), Water Basin Boards, National network of civil society organisations working in the water, sanitation and hygiene (TAWASANET), National Water Fund (NWF), Water Supply and Sanitation Authorities (WSSAs) and USAID

### **3.5 REPORTING**

MUM submitted all its mandatory reports due in the reporting period as required but some slightly delayed and some before schedule. The COP submitted weekly bullet reports in a timely fashion, on Wednesdays of the following week. The Y1 work plan due date was on November 21, 2021. However, the work plan was submitted December 9 because the team needed more time to incorporate comments/ activities received during Y1 annual work plan workshop. This was followed by the EMMP, an Annex to the work plan, which was submitted three days later. The MEL Plan was submitted on December 6, 2021, 15 days late because the team needed more time to review the indicators, while the Youth Strategy was submitted on time on December 21, 2021. The

Grants Under Contract (GUC) Manual, due on November 21, 2021, was submitted four days early on November 17.

### 3.6 FINANCIAL SUMMARY

Removed and shared only with USAID

## **ANNEXES**

### **Annex 1: Start-up Field Visits by SMT- 20<sup>th</sup> September to 10<sup>th</sup> October 2021**

Not provided to DEC

### **Annex 2: Proceedings Report- First Year (FY 2022) Annual Work Planning Workshop**

Not provided to DEC

### **Annex 3: Special Events for Next Quarter**

A MUM Launching event earlier planned to take place in January 2022 was postponed indefinitely, due to the worsening COVID-19 situation in the country, in accordance with communications with USAID. The launching event was to be officiated jointly by the Minister for Water and the USAID/Tanzania Mission Director. At the event, the two would witness signing of an implementation memorandum of understanding between the Ministry of Water and Tetra Tech, USAID's implementation partner for the Activity.

The event will be rescheduled later when the COVID-19 situation improves.

### **Annex 4: List of Documentation Submitted to the Development Experience Clearing House (DEC) and Development Data Library (DDL)**

Nothing to report

### **Annex 5: Quarterly Status of Activities**

Not provided to DEC