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# Heavy metals in alluvial gold mine spoils in the peruvian amazon

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## ABSTRACT

Alluvial gold mining in the Peruvian Amazon has become a key driver of land degradation and deforestation. The associated release of mercury in the environment poses direct human health risks and is likely to engender cascading effects throughout local food chains. We carried out research in an alluvial gold mine concession in the Madre de Dios region to compare the degree of soil-borne pollution of heavy metals in areas where mining operations were abandoned more and less recently (1–5 and 6–8 years ago, respectively) with non-impacted oldgrowth forest areas. All heavy metals, were below permissible levels according to Peruvian and Canadian environmental quality standards. Mean As, Ba, Pb, Cu, Cr, Ni, V and Zn concentrations in impacted areas were  $1.90 \pm 1.51$ ,  $29.80 \pm 22.87$ ,  $4.60 \pm 2.55$ ,  $12.68 \pm 8.13$ ,  $7.90 \pm 3.98$ ,  $7.93 \pm 3.89$ ,  $12.67 \pm 6.62$ , and 26.65 ± 13.53 mg kg−1 dry matter (DM), respectively. Heavy metal concentrations were higher in non-impacted old growth forest soils than in mining spoils, and tended to increase with time since abandonment of mining operations. Hg was not detected in any of the sites. Low heavy metal concentrations in mine spoils might beexplained because of intense volatilization, reduced metal retention capacity due to the low clay and organic matter content, and leaching processes related with soil rinsing which is part of the mining operations combined with intense rainfall. Our findings suggest that heavy metal concentrations in mining spoils should not be considered to constrain forest restoration efforts or the development of similar land uses as in comparable nonimpacted high forest soils.

## **1. Introduction**

Global gold extraction has experienced a surge over recent years largely owing to booming international prices, reaching 1315.00US \$ OZ TR−1 in 2017 ([World Gold Council, 2017](#page-8-0)). Peru occupied the 4th place in the 2017 gold production, and overall mining represented 10% of the GDP. Gold extraction in the Peruvian Amazon has led to large scale deforestation and mercury pollution ([Alvarez et al., 2011](#page-8-1)). In the department of Madre de Dios, also known as the "Peruvian Capital of Biodiversity", artisanal and small gold production accounts for 8% of the total annual gold production in Peru of approximately 151 metric

tonnes ([Ministerio de Minas y Energía, 2018](#page-8-2)). Gold mining in Madre de Dios has resulted in the deforestation of 95,750 ha [\(Centro de](#page-8-3) [Innovación Científica Amazónica, 2018\)](#page-8-3). In recent years, annual deforestation rates have fluctuated between 6000 and 11,000 ha ([Asner](#page-8-4) [et al., 2013](#page-8-4)) leading to an estimated topsoil loss of 1.3 t ha<sup>-1</sup> year ([Gomez, 2013\)](#page-8-5).

Alluvial gold mining in Madre de Dios old growth forest generally involves slash and burn deforestation, sediment extraction, amalgamation of gold with mercury (Hg), burning, Hg evaporation and gold recovery ([Alvarez et al., 2011; Salinas, 2007](#page-8-1)). All these stages of gold production are typically carried out on site, hence generating an

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importance source of Hg pollution in the local environment. Sediment extraction is accomplished through the use of heavy machinery or artisanal tools to bring sediment from different depths to the surface. During this process the topsoil -characterized by a fine texture- is scattered and coarse gravel, stones and boulders from deeper soil layers become to predominate at the surface [\(Salinas, 2007\)](#page-8-6).

Hg is a potentially toxic metal which is known to accumulate in the ecosystem [\(Moreno-Brush et al., 2016\)](#page-8-7). In spite of the adoption of the Minamata Convention which to date has been ratified by more than 100 countries worldwide, including Peru, to reduce human and environmental risk caused by Hg pollution ([Ministerio del Ambiente, 2016](#page-8-8)), global annual production still amounts to 600,000 tonnes [\(United States](#page-8-9) [Geological Survey, 2018](#page-8-9)). Hg pollution associated with gold mining has become a huge social and environmental problem in Madre de Dios ([Alvarez et al., 2011](#page-8-1)). It is estimated that  $>$  3000 tonnes of Hg have leaked in Amazonian rivers since 1980 [\(Webb et al., 2004\)](#page-8-10). Some river fish sampled registered more than 0.3 ppm of Hg which is the maximum limit established by USEPA ([United States Environmental Protection](#page-8-11) [Agency, 1997](#page-8-11)). Furthermore, children hair sampled showed Hg concentrations above 2.1 ppm while the maximum permissible level according to USEPA is 1 ppm ([Fernandez et al., 2013\)](#page-8-12).

Recent gold mine spoils are expected to be potential loci of contamination with mercury and other heavy metals, but exposure risks are still not well understood. Here we aimed to reveal heavy metal pollution rates (As, Ba, Pb, Hg, Cu, Cr, Ni, V and Zn) in soils impacted by artisanal alluvial gold mining in the Peruvian Amazon region, Madre de Dios to provide baseline information to assess the need for pollution management strategies ([Ministerio del Ambiente, 2018; Red](#page-8-13) [Latinoamericana de Sitios Contaminados, 2016](#page-8-13)).

#### **2. Materials and methods**

## *2.1. Study area*

We carried out research in the community of Fortuna, located in the Peruvian Amazon region of Madre de Dios [\(Fig. 1](#page-1-0)), which is one of the oldest areas were artisanal alluvial gold mining has traditionally been practiced. Annual precipitation, temperature and relative humidity in Fortuna vary between 2000–2610 mm, 18–24 °C and 87–97%, respectively ([Servicios Generales y Medio Ambiente, 2006\)](#page-8-14). It is located at 188 m.a.s.l. and is characterized by a warm, humid climate ([Thornthwaite et al., 1949\)](#page-8-15) with a climax vegetation of subtropical humid forests ([Holdridge, 1967](#page-8-16)). The soil moisture content is not dry in any part for more than 90 cumulative days per year, classified as Udic

soil moisture regime. The soil temperature regime is classified as hyperthermic with mean annual soil temperatures above 22 °C [\(Soil](#page-8-17) [Survey Staff, 2014](#page-8-17)).

## *2.2. Soil sampling*

We collected 93 top soil samples (0–20 cm depth) through stratified random sampling across 13 ha; 90 samples were collected in gold mine spoils and 3 in non–impacted forest sites. Samples were located in a broader 100 ha landscape matrix which had been subject to mining. Sampling was carried out in accordance with the Guide for Soil Sampling of Peru [\(Ministerio del Ambiente, 2014](#page-8-18)), Environmental Quality Standards for Soil of Peru (ECA) [\(Ministerio del Ambiente,](#page-8-19) [2017\)](#page-8-19) and the Canadian Environmental Quality Guidelines ([Canadian](#page-8-20) [Council of Ministers of the Environment No. 1299; ISBN 1-896997-34-](#page-8-20) [1, 2007\)](#page-8-20). The sampling design was adjusted to the nature of cover vegetation and time since the last impact [\(Table 1\)](#page-2-0). The main objective was to compare the degree of soil-borne pollution of heavy metals in soils that were abandoned more and less recently (1–5 and 6–8 years ago, respectively) with those of non-impacted old growth forest areas.

Each soil sample consisted of approximately 1 kg of topsoil. Samples were mixed, stored, air dried, and passed through a 2.0 mm sieve, after which we determined particle size distribution (sedimentation method); actual soil acidity in water extract 1:1, organic matter content (Walkley Black Method) and cation exchange capacity (CEC) (effective CEC and CEC measured with Ammonium acetate  $pH = 7$ ).  $pH$ , soil organic matter, cation exchange capacity and clay particle content (%) area the most important chemical characteristic and properties that explain the heavy metal distribution in soil ([Salomons, 1995\)](#page-8-21). Heavy metal content (As, Ba, Pb, Hg, Cu, Cr, Ni, V and Zn in mg kg−1 DM) was analyzed in accordance with the EPA Method 200.7, through the use of ICP-AES. Soil characterization analyses were conducted at the Soil Chemical Analysis Laboratory, Universidad Nacional Agraria La Molina. Heavy metal analyses were conducted at a certified privet laboratory, Servicios Analiticos Generales SAC.

Additionally, we evaluated 2 soil pits in impacted areas (T1 and T2) and 3 soil pits in non – impacted areas (N1, N2 and N3) used as background. The soil pits were selected such that they were located in the same life zone, geological zone ([Palacios et al., 1996](#page-8-22)) and topographical category (all at scale 1:100 000; [Soil Science Division Staff](#page-8-23) [2017\)](#page-8-23)). Samples from non–impacted areas were used as references to determine the impacts of alluvial gold mining on soil characteristics and heavy metal content.

<span id="page-1-0"></span>

**Fig. 1.** Study area located at the community of Fortuna located in the Peruvian Amazon region of Madre de Dios.

#### <span id="page-2-0"></span>**Table 1**

Characterization of study sites and sampling intensity.



<span id="page-2-2"></span>\* There were no soil pits because the site was too close to the water table.

## *2.3. Statistical analyses*

Correlations and multiple correlations between physical and chemical soil characteristics and heavy metal content were assessed by means of Spearman correlation and dependence coefficients, respectively. Principal Components Analysis (PCA) and Cluster Analysis (CA) were used to explain the variance structure and evaluate clustering of sampling sites based on heavy metals concentrations in the soil ([Facchinelli et al., 2001; Hernandez, n.d.](#page-8-24)). Geostatistical methods were applied to understand and analyze the spatial behavior of heavy metals ([Montero and Larraz, 2008\)](#page-8-25), based on heavy metal concentrations at different sampling locations as a function of their nearest neighbor distance h. We developed continuous soils maps through application of ordinay kriging (OK) based on a Gaussian semivariogram models ([Nanos et al., 2005\)](#page-8-26) in ArcGis 10.1 (ESRI Inc., USA). Experimental variogram models were generated in GeoR (v1.7-1) package for R statistical program v 3.2.2 (R [Development Core Team, 2017](#page-8-27)).

## **3. Results and discussion**

Concentrations of all heavy metals were below permissible levels in most soils samples collected from gold mine spoils according to the Peruvian Environmental Quality Standards for Soil (ECA) and Canadian Environmental Quality Guidelines (CCME) ([Table 2\)](#page-2-1). We did not detect Hg in any of the samples.

Soil in gold mine spoils are physically characterized by high permeability, excessive drainage, nearly level slope class  $(< 2\%)$ , low erosion, more than 10% rock fragments at the surface, and water table raised from its initial depth at approximately 1.50 m to close to the surface. Chemical characteristics of soils are very strongly acidity  $(4.77 \pm 0.34 \text{ pH})$ , low organic matter content  $(0.5 \pm 0.62\%)$ , low

cation exchange capacity (10.15  $\pm$  6.65Cmol(+) kg<sup>-1</sup>), and low clay content (6.37  $\pm$  6.53%) [\(Table 2](#page-2-1)). Non–impacted soils are also characterized by very strongly acidity (4.69  $\pm$  0.40 pH), however they have higher organic matter content (3.33  $\pm$  0.60%), higher cation exchange capacity (35.48  $\pm$  8.96 Cmol(+) kg<sup>-1</sup>), and higher clay content (38.77  $\pm$  4.61%). Hence, alluvial gold mining not only results in top soil loss and extreme restructuring of the soil profile [\(Velasquez,](#page-8-28) [2017\)](#page-8-28), but it also negatively affects soil fertility and adsorption capacity.

Remarkably, all detectable concentrations of heavy metals ([Table 3\)](#page-3-0) in impacted areas (R1-4 and T1-2) were consistently lower than in nonimpacted old-growth forest soils (N), suggesting that leaching of heavy metals may be an important side effect of mining operations. Soils worldwide receive quantities of trace metals from a wide variety of industrial wastes ([Nriagu, J. Pacyna, 1988](#page-8-29)). The fact that we did not detect Hg in any of the soil samples analyzed in spite of its local (mis) use in gold production, suggests its dispersion through the environment, which is not entirely surprising given its volatile nature.

Heavy metal concentrations differed significantly between areas with different combinations of years of abandonment and vegetation cover [\(Table 3](#page-3-0)). There was a slight trend of higher heavy metal concentrations in gold mine spoils that had been abandoned longer ago (T1-2 compared to R1-4), which might mean that natural processes such as alluvial deposits might enhance concentrations over time. Furthermore, with increasing time since abandonment, organic soil matter increases owing to plant root exudation, litter production, animal feces and other organic sources which also increase the soil buffer capability, and consequently its heavy metal adsorption. Also, the different physical and chemical characteristics of soils as well as vegetation cover at the sampling sites are likely to have influenced heavy metal concentrations. To obtain further clarity on the influence of

#### <span id="page-2-1"></span>**Table 2**

Summary statistics of heavy metal concentrations (mg kg-<sup>1</sup> DM, characteristics and properties of the collected soil samples from impacted areas R and T (n = 90).

Parameters	Impacted area						
	Mean	Median	Min	Max	SD	Kurtosis (ku $> 0.25$ )	Skewness (SKp $> 0$ )
Heavy metal concentrations (mg $kg^{-1}DM$ )							
As	2.07	1.90	0.30	9.10	1.51	7.67	2.43
Ba	35.86	29.80	16.60	146.50	22.87	9.61	2.98
Pb	5.21	4.60	2.46	15.00	2.55	5.26	2.29
$Hg^*$	<b>ND</b>	<b>ND</b>	ND	ND	ND	ND	ND
Cu	13.98	12.68	2.94	43.43	8.13	3.20	1.66
Cr	9.14	7.90	3.96	22.76	3.98	4.23	2.05
Ni	8.99	7.93	4.17	22.81	3.89	3.89	1.94
V	14.10	12.04	5.80	36.54	6.62	4.15	2.05
Zn	30.55	26.65	12.20	73.20	13.53	2.25	1.58
Physico-chemical soil characteristics							
Clay particle (%)	6.37	3.40	1.44	37.40	6.56	2.99	8.93
pH	4.73	4.77	3.63	6.84	0.44	1.12	5.29
Soil organic matter (%)	0.50	0.31	0.00	3.72	0.62	2.85	9.62
$CEC (Cmol (+) kg-1)$	10.15	8.00	4.80	39.73	6.65	2.81	8.08

<span id="page-2-3"></span>\* ND Non detected (detection limit was 0.1 ppm).

<span id="page-3-0"></span>

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Average heavy metal concentrations in soil samples from impacted areas R1-4 and T1-2 (n = 90), non-impacted areas N1-3 (n = 3) and reference values according to Peruvian Environmental Quality Standards for Soil

Suggested by Canadian Environmental Quality Guidelines (CCME) as inorganic Hg.

ND: None detected.

4

#### <span id="page-4-0"></span>**Table 4**

Correlations between difference heavy metal concentration in soil samples from gold mine spoils R1-4 and T1-2 (n = 90).



<sup>a</sup> Pearson correlations between difference heavy metal concentration in soil, significance correlation at  $p < 0.001$ .

<span id="page-4-3"></span>Spearman Coefficient between soil properties and heavy metal concentration.

<span id="page-4-4"></span><sup>c</sup> Dependence Coefficient between soil properties and heavy metal concentration.

<span id="page-4-1"></span>

## <span id="page-4-2"></span>**Fig. 2.** PCA loading plot showing the relations between heavy metals concentrations and other soil characteristics. The first two axes explain 87% of the variance in data.

variability in soil characteristics and vegetation cover future studies might focus on more sites with more homogenous soil conditions.

In impacted areas, concentrations of the different detectable heavy metals were strongly correlated ([Table 4](#page-4-0)). We applied PCA to determinate the relation between heavy metals and soil characteristic like pH, Cation Exchange Capacity (CEC), Clay content and soil organic matter (OSM), which are suggested as main factors to determinate heavy metal content in soil ([Alloway, 1990; Kabata and Pendias, 2011;](#page-8-31) [Salomons, 1995](#page-8-31)). The PCA diagram ([Fig. 2](#page-4-1)) and the loadings of the different variables on the first two PCA axes that explain 87.30% of the cumulative variance show that concentrations of all heavy metals are strongly correlated with CEC and SOM but not pH which was independent of all other variables.

According to the PCA [\(Fig. 2](#page-4-1)) CEC, clay particle and organic matter (%) were the soil characteristic most closely related to heavy metal content. Pairwise correlations showed that in impacted soil samples these variables showed low to moderate correlation coefficients with heavy metal concentrations. On the other hand, the multiple correlation of these features with each concentration of heavy metal showed that at 99% of the variability of metal concentration was explained by these features [\(Table 4\)](#page-4-0).

According to the correspondence analysis CA ([Fig. 3\)](#page-4-2) there was a narrow group of all metals in impacted areas and characteristics and properties of soil evaluated, except pH. The narrow grouping might be caused because of the soil alluvial origin. It would be the result of mixing and accumulation of different sediments, soils and coarse particles, causing the metals tend to accumulate and present a similar distribution.



## **Fig. 3.** Cluster diagram of heavy metals based on site characteristics.

<span id="page-5-0"></span>

## **Legend**

- Water bodies
- + Sampling points
- R1: Impacted area 2 3 years ago.
- R2: Impacted area 4 years ago.
- R3: Impacted area 4 5 years ago.
- R:4 Impacted area 0 1.5 years ago.
- T1: Impacted area 6 7 years ago.

**Fig. 4.** Interpolation mapping of heavy metals.

#### <span id="page-6-0"></span>**Table 5**





ND Non detected.

#### *3.1. Geostatistics and mapping*

We constructed semi variograms for all heavy metals ([Fig. 4](#page-5-0)). The nugget value below 0.05 for all heavy metals suggests some degree of spatial auto-correlation ([Burgess and Webster, 1980](#page-8-32)). Points were grouped between 50 and 69 intervals (bins). Gaussian models were fitted to all variograms for interpolation and mapping purposes.

The behavior of metals in soil is influenced by clay, base saturation, Fe and Mn and pH. Heavy metals are present in the soil either as exchangeable cations with high mobility, associated with iron and manganese hydroxide with medium mobility, bound to organic substances with medium mobility, or bound to the inside of mineral particles with low mobility [\(Salomons, 1995](#page-8-21)). In addition, heavy metal cations are most mobile under acid conditions ([Alloway, 1990](#page-8-31)), which explains why we found higher heavy metal content under acid pH.

## *3.2. Vertical distribution of heavy metals*

Variation in soil characteristics and heavy metal concentrations across a vertical gradient in 5 soil pits (2 in impacted and 3 non-impacted areas) are presented in [Table 5](#page-6-0).

According to [Velasquez \(2017\),](#page-8-28) alluvial gold mining management remodels the soil profile putting coarse soils (sandy, loamy sand and sandy clay loam soils) and rock fragments from deeper soil layers to the surface. After abandonment of mining operations, impacted areas are influenced by natural regeneration of vegetation which increases the

organic soil matter in the surface. T1 soil profile is characterized with higher rock fragments with sand soil texture, while T2 soil profile is characterized by sand to sandy clay loam soil texture without rocks ([Table 5](#page-6-0)). In contrast natural soils profile (N1-3) are characterized by silty clay to loam texture class, higher organic soil matter content from the surface to the bottom, and without any rock fragments.

In non-impacted areas (N1-3), higher heavy metal concentration were found in the top layer where also the other soil parameters reached their highest values. At deeper layers, both heavy metal concentrations and soil OSM, ECEC and clay content evaluated tended to decrease. Also, in impacted soils (T1-2) the distribution of heavy metals across soil layers showed the same patterns but with remarkable lower content of heavy metals and soil OSM, ECEC and clay content [\(Fig. 5](#page-7-0)).

Samples from T1 (Impacted area 6–7 years ago) contained only 1.4% of clay particles in all soil layers, while T2 (Impacted area 7–8 years ago) contained 21.44% in the top layer with decreasing values in deeper layers. The clay particle content was positively associated with organic soil matter in all layers ([Fig. 5](#page-7-0) and [Table 5\)](#page-6-0). The low clay and organic matter content in impacted areas is likely to result in increased leaching of heavy metals to deeper soil layers and downstream sediments, but further research is needed to confirm or refute this hypothesis.

Particularly the fact that mercury was not detected in the soil profiles of impacted areas suggests its mobility to other parts of the environment where it might cause toxic effects. Further studies are needed to evaluate the magnitude of potential heavy metal

<span id="page-7-0"></span>

**Fig. 5.** Heavy metal concentrations and Physico-chemical soil properties in pits in non impacted areas (N1, N2 and N3) and impacted (T1 and T2).

accumulation downstream of mining areas in sediments which is also recommend in other artisanal and small scale gold mining experiences, like Indonesia [\(Reichelt-Brushett et al., 2017\)](#page-8-33). Previous research found that riverine sites close to alluvial gold mining area tend to have elevated mercury concentrations in sediments [\(Diringer et al., 2015;](#page-8-34) [Martinez et al., 2018](#page-8-34)).

More studies are needed to understand and monitor the vertical distribution of heavy metal in mining spoils with different histories of abandonment.

#### **4. Conclusion**

Our findings confirm the severe impacts caused by alluvial gold mining activities on soil structure and texture, jeopardizing the soil fertility and productivity. Concentrations in gold mine spoil soils of all heavy metals were below upper limits for agricultural use as stipulated in Peruvian and Canadian environmental quality standards. We did not detect any Hg pollution nor in non-impacted areas nor in impacted areas, suggesting its dispersion in the environment. Heavy metal

concentrations were higher in non-impacted high forest soils than in mining spoils, but concentrations tended to increase with time of abandonment of mining operations, according to the changes in soils characteristics. Our findings suggest that heavy metal concentrations in mining spoils should not be considered to constrain forest restoration activities or the development of similar land uses as in comparable nonimpacted high forest soils. We hope that our research results will serve as a basis to support further integrate ecological restoration and the development of polices to promote the recovery of gold mine spoils in the Amazon.

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#### **Declaration of Competing Interest**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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