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# Agile and Harmonized Assistance for Devolved Institutions (AHADI)

## ANNUAL PROGRESS REPORT

OCTOBER 2014 - SEPTEMBER 2015

September 30, 2015

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# Agile and Harmonized Assistance to Devolved Institutions (AHADI)

## **FY 2015 ANNUAL PROGRESS REPORT**

01 OCTOBER 2014 – 30 SEPTEMBER 2015

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The authors' views expressed in this report do not necessarily reflect the views of the United States Agency for International Development or the United States Government.

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## ACRONYMS AND ABBREVIATION

ABEO	Agriculture, Business and Environment Office
ACT	Act Change and Transform
AHADI	Agile and Harmonized Assistance for Devolved Institutions
AIHD	African Institute for Health and Development
CAF	County Assemblies Forum
CCI	County Capacity Index
CEB	County Education Board
CFA	County Fiscal Analyst
CG	County Governments
CIC	Commission on the Implementation of the Constitution
CIP/PIP	County Capacity/Performance Improvement Plan
CLO	County Liaison Office
CO	Contracting Officer
COG	Council of Governors
COP	Chief of Party
COR	Contracting Officer's Representative
CPLK	County Promise to Legislatures in Kenya
CPST	Centre for Parliamentary Studies and Training
CRA	Commission on Revenue Allocation
DCOP	Deputy Chief of Party
DDWG	Donor Devolution Working Group
DRG	Democracy, Human Rights, and Governance
EDY	Education and Youth Office
ENRM	Environment, Natural Resources Management
FIRM	Financial Inclusion for Rural Microenterprises
FOG	Fixed Obligation Grant
GOK	Government of Kenya
IDS	Institute for Development Studies
IP	Implementing Partner
IRPA	Institute for Research and Policy Alternatives
KLRC	Kenya Law Reform Commission
KTI	Kenya Transition Initiative
KSG	Kenya School of Government
M&E	Monitoring and Evaluation
MCA	Member of County Assembly
MD	Mission Director
MERLA	Monitoring, Evaluation, Reporting, Learning & Adapting
MODP	Ministry of Devolution and Planning
MOEST	Ministry of Education Science and Technology
MWA	Millennium Water Alliance

OI	Open Institute
OPH	Office of Population
OSSREA	Organization for Social Science Research in Eastern and Southern Africa
PBO	Parliamentary Budget Office
PFM	Public Finance Management
PSP	Parliamentary Strengthening Program
R&L	Research and Learning
RFS	Request for Services
SLICBC	Senate led Capacity Building Initiative for County Assemblies
SOCATT	Society of Clerks-at-the-Table
SOW	Scope of Work
SUNY	State University of New York
SWOT	Strengths Weaknesses Opportunities and Threats
TISA	The Institute for Social Accountability
UNDP	United Nations Development Program
USAID	US Agency for International Development
USG	US Government
WASH	Water, Sanitation and Hygiene
WB	World Bank

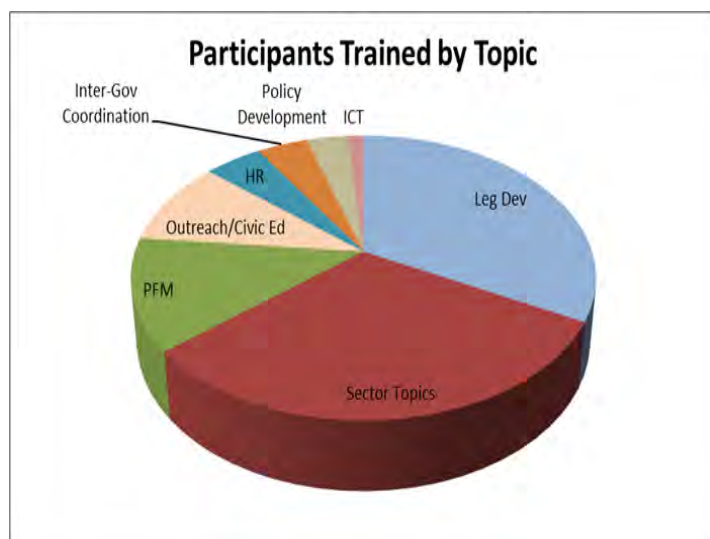
The State University of New York/Center for International Development (SUNY/CID) is pleased to submit its second Annual Report for the Agile and Harmonized Assistance for Devolved Institutions (AHADI) activity, implemented under USAID Award No: AID-615-C-14-00002. This report covers the period beginning October 2014 to September 2015.

## I. EXECUTIVE SUMMARY

The period covering October 2014 to September 2015 marks the second year of the AHADI Activity. In June, the long-awaited approval of the AHADI Work Plan by the Ministry of Devolution and Planning enabled accelerated implementation following the first year of lengthy and difficult negotiations with this key Ministry responsible for coordinating all capacity building related to devolution. Since this approval, AHADI has made significant progress. The AHADI grants portfolio currently contains **28 approved grants for a total value of \$5,043,020 with 12 counties, 10 national level institutions and 1 CSO.** AHADI staff worked closely with government partners to address knowledge and skills gaps, strengthen intergovernmental cooperation, and improve public participation. The project was designed to use grants as the primary mechanisms of AHADI implementation and significant time has been devoted to designing grants that respond to beneficiary needs while integrating the priorities of USAID sector teams. Notable milestones during the year include:

**Effective support for Dialogue on Devolution:** The rapid pace and scale of decentralization in Kenya demands consistent review and frequent dialogue among stakeholders. AHADI supported the Council of Governors’ Second Devolution Conference allowing stakeholders to assess achievements, discuss challenges and identify opportunities to strengthen devolution. The conference was attended by 5,000 participants and resulted in 31 specific recommendations to improve public financial management, gaps in capacity for managing functions such as health and the need for mutual respect and a harmonious working relationship between national and county governments.

**A total of 1,598 county officials have been trained to enhance county capacity to effectively deliver county services:** AHADI delivered county specific training and hands-on consultant support to 17 county entities and various all-county training COG, CAF and Ministry (MOEST, MICT). The initiatives described within include: supporting CIDP reviews and performance management (Thakara-Nithi), communications strategies (Mombasa, Bomet), sectoral strategic plans and departmental strengthening (Meru and Mombasa), training on policy development and public engagement in planning (Mombasa), county human resource manuals (Siaya) and training 150 county officials ICT legal framework as the foundation of revenue management and procurement. 97.73 % of staff participants responding to exit questionnaires indicated that “training has increased my ability to carry out my duties as a staff member.”



**Devolution and Sector Policy Development and Review:** AHADI supported COG, CAF and counties to develop and review of **30 key devolution policies and bills**, including 17 sector policies. Most grants to county executives have included county-identified sector priorities and policies. For example, county grants address: Land Policy (Tharaka-Nithi County) Water Policy (Isiolo County), Education department capacity and ECD, more effective water and environmental management policies and a holistic Agricultural Extension Service plan (Vihiga County), dairy sector policy, legal framework and investment strategy, (Muranga).

**Capacity and Collaboration for an Efficient and Transparent Intergovernmental Fiscal Transfer System:** Support for the Commission on Revenue Allocation has helped to refine the formula for county funds but subjecting it to stakeholder reviews. It also designed and delivered a Fiscal Decentralization Training Workshop for 31 staff from eight different institutions involved in intergovernmental fiscal transfers. The five-day training led by the Urban Institute and Kenyan trainers covered all aspects of intergovernmental revenue transfers. A key outcome was a commitment by the **Kenya School of Government to develop a curriculum on intergovernmental fiscal transfers to train management, finance and budget officers in Kenya's 47 counties**, with the ultimate goal of building a community of practice to support effective implementation of fiscal reforms.

**Training Counties on Policy Planning and Encouraging Public Engagement:** Counties have consistently expressed the desire to improve health services, water supply and education among others, but they lack the basic skills and experience needed to develop needed policies. AHADI grants to counties have launched programs to support public engagement in policy making. For example, we supported Mombasa County Executive and Siaya County Assembly legislators and staff on stakeholder engagement in developing specific policies.

**Capacity of County Education Managers Enhanced:** To improve access, equity, quality and relevance in the education sector, AHADI provided training for County Education Boards (CEBs) whose mandate is to oversee education service delivery at the county level. The County Education Boards (CEBs) were formed in 2013 and comprise new officials representing various stakeholders in both National and County governments. **The training reached 644 County Education board members** and focused on ensuring effective and efficient implementation of education reform programs in the counties and creating awareness on their expected roles and responsibilities in the implementation of the National Education Sector Plan 2013-2018 and the 'Tusome' Program (USAID's flagship reading program);

**Stronger Law Making Process between the County Assemblies and the Senate:** The Senate has a mandate to represent the interests of Kenya's 47 county governments. This requires effective interaction and mechanisms for providing feedback between the Senate and the 47 county governments. To facilitate this interaction AHADI helped the County Assemblies Forum establish a system to review and contribute to Bills before the Senate. As a result of this support, **CAF reviewed 11 Bills between January and June addressing the allocation of revenue to counties, early childhood education, natural resources and benefit sharing, and community land**, among others leading to concrete proposals for the revision.

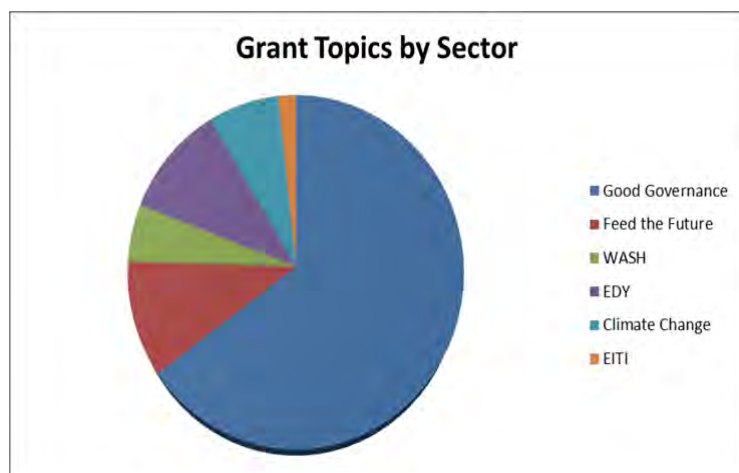
**As a result of AHADI's support, members of CAF are now routinely contributing to legislation on behalf of their counties.** Legislators report an improved understanding of legislative drafting and policy conceptualization. *"Through this process, we were now able to develop laws that address the issues and needs at the county level and our relationship with the Senate has been strengthened"* states Hon. Kipkirui Chepkwony, a county assembly member.

**Legislation Process Guide for all Counties:** Another key achievement resulted from AHADI's support to the Kenya Law Reform Commission in finalizing, launching and initiating dissemination

of a **Legislation Process Guide** that is already assisting county governments to develop **citizen responsive and constitutionally aligned bills, regulations and laws**. The guide emphasizes public participation in all stages of law making. The timeliness of developing such a guide was lauded by stakeholders, key among them the Chairman of the County Assemblies Forum, who stated that *“the guide simplifies the language immensely. For almost three years as a legislator, I was not able to understand the complex legal language used in standing orders. The guide takes all that and simplifies it. All assembly members ought to use this guide to help us make all the required legislation.”*

**Enhanced Law Making Skills and Knowledge for County Assemblies and Staff:** Sub-national legislatures are completely new in Kenya, and County Assemblies are bereft for skilled staff. AHADI has delivered basic training on functions and procedures to all County Assembly grantees. We have trained Members in Vihiga, Mombasa, Kakamega, Meru and others focusing on the role of staff and members of the county assembly in the legislative process and public engagement. Other areas included oversight, the role of watch dog committees and effective committee management.

A critical grant to the Institute for Development Studies (IDS) will fund a **comprehensive county capacity assessment and establish robust baseline data for annual measurement for USAID DO1 results**. AHADI also issued an Annual Program Statement (APS) for grants related to PREG sectors. Groots Kenya, one of the CSOs implementing sector work, is already supporting target counties to document community-based climate change issues and to develop plans and frameworks for community participation.



### Quantitative Impact

AHADI exit questionnaires which are administered at the end of every training show that County Government officials have a better understanding of their new roles under devolution and will apply this knowledge to enhance policy making and service delivery (see attachment I). AHADI has achieved and even surpassed some of the indicator data targets set for the year under review. These include:

- Number of (County) Legislators and Staff Attending USG Sponsored Training or Educational Events: **1,381 (486 Women, 895 Men)**
- Number of PTAs or similar governance structures strengthened: **47**
- Number of education administrators and officials successfully trained with USG support: **644 (192 Women, 452 Men)**
- Number of policies, laws, regulations or administrative procedures on devolved functions developed, passed or implemented: **30**
- Number of national legislators and national legislative staff attending USG sponsored training or education event about devolution: **286 (82 Women, 204 Men)**
- Number of intergovernmental issues engaged and resolved: **5.**

AHADI fell short of meeting some sectoral indicator targets due to the challenges experienced in sectoral programming (see constraints and challenges section). New sectoral indicators were added in the 3<sup>rd</sup> and 4<sup>th</sup> quarter, leaving little time for proper implementation to meet them. In



2016, AHADI will be revising its PMP, to align with the new USAID Results Framework and newly added indicators. We will review and amend targets and engage the newly recruited Research Assistants in the counties to collect indicator data more accurately and consistently. As part of AHADI's sector programming, and supported by newly hired Regional Coordinators, support will be provided for livestock market revitalization, value chain improvements, climate change adaptation and water and sanitation concerns will form the bulk of AHADI sector interventions in the Arid and Semi-Arid Counties.

### **Constraints and Opportunities**

AHADI was designed to support devolution primarily through the provision of grants to CSOs and in-kind grants to government entities. Grants have been conceptualized as one year capacity development plans, which integrated sector issues within governance programming. On average, the process of negotiating the grant and securing approvals took 4-6 months, and at implementation already needed modifications due to shifting priorities. Also in the 3<sup>rd</sup> and 4<sup>th</sup> quarter, GOK and USAID were discussing if government entities could legally sign grants with SUNY, a non-state actor.

To address these challenges, SUNY and USAID agreed to a new mechanism for processing support to GOK entities which will not require entities to sign a grant, while preserving the financial structure of indirect rates on in-kind grants. As part of this process to revise support GOK entities, AHADI has the opportunity to streamline negotiation and approval of activities so timelines are faster and more flexible without affecting the overall objectives of the grants. Using direct implementation will also facilitate a clustered or cross-county and regional approaches to USAID sector programming.

AHADI has not been able to employ practicing civil servants and parliamentary staff as trainers due to USAID regulations. This has continued to pose challenges, because there are insufficient retired staff who are available to train government entities on new procedures. AHADI has proposed that we develop a mechanism to be allowed to hire civil servants as consultants working on vacation or leave time.

### **Year 2016 Work plan**

The AHADI annual work plan for 2015 was originally due to end on December 31, 2015, in an agreement with the Contracts Officer made in October, 2014. AHADI is correcting the work planning calendar to coincide with the USAID fiscal year. USAID and AHADI mutually agreed that the Annual Work Plan for 2016 be submitted in November 2015 to allow AHADI to complete its year 2 (2015) activities in October 2015. The AHADI team is now consulting and preparing its work plan for next year which will run from October 2015 – September 2016. The new work plan will be sent by end of November 2015. The October 2015 work plan is presented in Part VIII Page 24.

## II. AHADI KEY ACHIEVEMENTS (QUALITATIVE IMPACT)

The second year of AHADI Activity implementation saw an unprecedented growth in AHADI grant portfolio. As at end of September 2015, a total of twenty-eight (28) AHADI grants had been negotiated and approved by the beneficiaries and USAID respectively. The beneficiaries included counties and national level institutions charged with the mandate of overseeing effective functioning of devolution in Kenya. These grants have contributed to the following AHADI results in the year under review:

### **Objective 1: Targeted counties provide higher quality services through improved governance**

#### **Result 1: Enhanced capacity of County Governments' (CGs) officials to effectively deliver county services.**

A total of 1,598 county officials have been trained to enhance county capacity to effectively deliver county services: AHADI delivered county specific training and hands-on consultant support to 17 county entities and various all-county training COG, CAF and Ministry (MOEST, MICT). On training exit questionnaires (see annex for details of the 12 exit questionnaires analyzed to date) the participants have rated the training content, relevance and especially the contribution to their capacity to do their job very highly. **97.73 % of staff participants responding to exit questionnaires indicated that “training has increased my ability to carry out my duties as a staff member.”**

The initiatives are described below:

**Role of education officials in the context of devolution redefined.** In the month of August, AHADI supported Ministry of Education, Science and Technology (MOEST) to hold a training of 50 Mombasa and Tharaka Nithi county education officials on their new roles of education within the context of devolution. As a result, county education officials from these two counties are better able to discharge their mandates.

**Development of County Land Policy and Review of the CIDP Tharaka-Nithi County Executive:** During the month, AHADI supported Tharaka-Nithi county in holding 2 technical review meetings: These were: 1) CIDP revision technical meeting at Kathwana-Tharaka-Nithi County, where 30 officers from all the county departments met to review the CIDP and its implementation; 2) Inception meeting on the Tharaka Land Policy, where County officers including 2 Chief officers in the land docket met to set the parameters of the land policy that is to be developed in the month of August.

**Development of Sectoral Strategic Plans for the Meru County Executive:** During the period, AHADI supported Meru County Executive in an Inception meeting of the Meru Civic Education to discuss the sectoral strategy, disaster risk management policy and the revision of the CIDP, where County officers including the CEC Public Service, CEC Planning and their Chief officers in the public service and planning dockets met to set the parameters of the sectoral strategic plans that are to be developed in the month of September.

**Development of the Public Participation Framework/Guidelines and Human Resource Manual for the Mombasa County Assembly:** During the period, AHADI contracted consultants to carry out the development of the following policies; 1) a public participation framework/guidelines; 2) a human resource manual for the county; 3) legislative guide and calendar; and 4) Financial and Administrative frameworks. An Inception meeting is scheduled for the coming period in September for the policy/frameworks and manuals.

**Development of the Scoping Study and Communication Strategy for the Mombasa County Executive:**

During the period, AHADI concluded the scoping study for Mombasa County Executive. The scoping study report is expected to inform activities/interventions in Mombasa county executive departments. In addition, AHADI contracted a consultant to carry out the development of the communication strategy. An Inception meeting for planning the strategy is scheduled for the coming period in September.

**Development of the Human Resource Manual for the Siaya County Executive:** During the period, AHADI shared the draft TOR's for the development of the Human resource manuals for Siaya County, and is awaiting feedback before contracting a consultant for the same.

**Development of the Communication Strategy for Bomet County Executive:** During the period, AHADI held a stakeholders workshop for the review of the draft communication strategy. The workshop was attended by CECs, COs and Directors. The consultant presented the draft communication strategy workshop and received feedback on the strategy.

**Knowledge on the Supportive Role of County Assembly Staff increased:** AHADI supported Bomet and Vihiga County Assemblies to hold capacity building workshops for their 97 county assembly staff (55 for Bomet and 42 for Vihiga County Assemblies) on their role in the assembly. The objective of the training was to equip the assembly staff with the knowledge necessary to enable them support County Assembly Legislators in carrying out their mandate. The knowledge and skill imparted included drafting of motions, legislative development, and budget approval, review of bills, oversight and management of committees including debates of the house. It is expected that the knowledge acquired will help the MCAs, better understand the processes by which legislative proposals are brought before the assembly, and the procedures of translating legislative proposals into law. The participants' evaluation of the training showed that 69.6% rated the training as very good and almost all participants felt that the training's objectives were relevant and informative to their roles and responsibilities.

**Increased Knowledge of Kakamega County Assembly Clerks on Committees' Administration and Procedural Services:** Through the grant to Kakamega County Assembly, AHADI supported a capacity building event on Committees' administration and procedural services. 84.6% of the trainees rated the training as very good and almost all 26 trainees felt that the training's objectives were relevant and informative to their roles and responsibilities. One of the trainees observed that *"The training was very informative and we have benefited a lot."*

**Legislative Process knowledge increased for Staff of Mombasa County Assembly:** Through the grant to Mombasa County Assembly, AHADI supported the County Assembly to conduct training for the Staff of the County Assembly on the legislative process. Nearly two thirds of the 15 participants', (66.7%) rated the training as very good. and, almost all the staff of the Mombasa County Assembly felt that the training's learning objectives were relevant and informative to their official capacity. One participant *observed that "objectives were very good and presentations delivered professionally."*

**Mombasa County Government Policy Planning competency increased:** Through the grant to Mombasa County Executive, AHADI supported the County Executive to conduct a policy planning forum for the Members of the County Executive. The meeting was attended by nine participants. An overwhelming majority, of 88.9% evaluated the training as very good and almost all 9 participants felt that the training's objectives were relevant and informative to their roles and responsibilities.

**Capacity of Vihiga County Assembly Staff strengthened on Legislative Processes and Procedures:** Through the grant to the Vihiga County Assembly, AHADI supported a training event on Legislative processes and procedure. The training attracted 38 participants. 55.3% of the participants reported the training as very good. Almost all 38 participants felt that the training's

objectives were relevant and informative to their roles and responsibilities. One participant requested regular trainings.

**Capacity of Members of Vihiga County Assembly strengthened on the Budget Making Process:** Through the grant to the Vihiga County Assembly, AHADI supported the County Assembly to enhance the capacity of the Members on the Budget Making Process. 29 participants attended the training. The participants' evaluation of the training indicated that the participants found the training useful. 79.3% of the attendees evaluated the training as very good and, all 29 participants felt that the training's objectives were relevant and informative to their roles and responsibilities.

**Policy making ability of Bomet County Government Leaders increased:** Through the grant to the County Executive of Bomet, AHADI supported the county government to enhance their capacity on policy making. 56 participants attended the training. The participants' evaluation revealed that 69.6% rated the training as very good and almost all participants felt that the training objectives were relevant and informative to their roles and responsibilities. One participant opined that the *"Workshop was well organized and excellently delivered."*

**Vihiga County Assembly Members (MCAs) more knowledgeable on the Budget making process:** The objective of the workshop was to build capacity of MCAs on the budget making process. A total of 41 MCAs and staff were trained (14 women and 27 men). This is an area that has posed challenges to most county assemblies as they lack the technical capacity to review and analyze the budgets they receive for the county. The main areas covered were the legal framework of Public Finance Management, the stages of developing the budget and the role of each office, accountability and public participation in budget making. The capacity of MCAs to provide their budgetary and oversight responsibility will therefore improve as a result of the training intervention.

**Meru County Assembly's Oversight role in public finance management enhanced:** In Meru County, training was held for 28 Public Accounts and Investment Committee (PAIC) Members on their oversight role on public finance. The participants observed that the training was very useful and relevant to their work and requested that further trainings be made action-oriented (practical). They also requested further training support to equip them with knowledge and skills to review the Auditor General's Report. AHADI will integrate the request into a new grant for the county in the New Year (2016).

**Strategic leadership and management capacity increased for Meru County Assembly staff:** Apart from the training targeting Public Accounts and Investment Committee (PAIC) members, Meru County Assembly staff also benefitted from a strategic leadership and management training which was attended by 29 legislators and staff members.

**Skills enhanced on Basic Clerking and Legislative Records Management for Meru County Assembly staff:** In the period under review, AHADI trained 40 Murang'a County Assembly Senior staff on basic **clerking and legislative records management** to improve implementation of their own strategic plans. The enhanced skills will enable the county staff to better discharge their mandates. An overwhelming majority, (92.6%) evaluated the training as very good and most of the participants felt that the training's learning objectives were relevant and informative to their official capacity. *The participants, however, requested for trainings targeted at specific departments.*

**Knowledge and skills in Performance Management increased for Tharaka-Nithi County legislators and staff:** During the period, AHADI supported a major two-day **performance management workshop** for the Tharaka-Nithi Assembly members and staff. Present at the meeting were the Governor, the Deputy Governor, and the entire county cabinet members. The performance management systems for the county were reviewed and strategies for improvement agreed upon.

**Bomet County Assembly legislators more knowledgeable on their on their mandate:** During the period, AHADI supported the training of 35 members of the county assembly on their mandates of legislation, representation and oversight. In their feedback after the workshop, the participants expressed satisfaction with the training saying it was timely and useful as increased their understanding on their roles and MCAs. 61% of the participants rated the training as very good and almost all of the 21 participants felt that the training's objectives were relevant and informative to their roles and responsibilities. One of the participants suggested that in future, such trainings should be specific to the legislative and oversight mandate of select/sectoral Committees.

**Siaya County Governor's efficiency monitoring unit and improving administration and communication capacity:** During the period, AHADI supported the County Government of Siaya in providing office furniture and equipment for the governor's efficiency-monitoring unit. In addition AHADI contracted a consultant to revamp the County website.

**Leadership and Personal Development of Muranga County staff enhanced:** AHADI supported the County Assembly of Muranga in the following workshops: 1) a Capacity Building Workshop for 57 MCA's on Leadership and Personal Development; 2) a Capacity Building Workshop for 16 Staff on the Budget Making Process. 3) a Capacity Building Workshop for MCAs of Murang'a County Assembly on Legislative Development Process. The trainings have enhanced the skills of the county staff. When put to use, the capacity of the staff to undertake their roles will undoubtedly increase.

**Legislative process skills improved:** AHADI supported the County Assembly of Vihiga in a Capacity Building Workshop for MCAs of Vihiga County Assembly on Legislative Development Process. This is an area posing a great challenge to the leaders as it is a new mandate under devolution. Over 80% of the participants evaluated the training as very good. Again, almost all 26 participants felt that the training's objectives were relevant and informative to their roles and responsibilities. One participant said, *"very well done, the participatory approach used aided my understanding of the issues."* Another suggested that future capacity building events should include the budget making process.

**Capacity of legislators enhanced:** During the period, AHADI supported the County Assembly of Muranga and Kakamega in the following workshops: 1) a Capacity Building Workshop for Committee Clerks of Kakamega County Assembly on Legislative Procedure and Practices; 2) a Capacity Building Workshop for Kakamega County Assembly Women MCAs; 3) a Capacity Building Workshop for MCAs of Murang'a County Assembly on Legislative Development Process. As a result of the training the MCA's and staff will be better able to discharge their mandates. The 27 women MCAs also resolved after the training to foster unity among them and establish the Kakamega County Assembly Women Caucus. 80% of the participants rated the training as very good. While almost all 20 participants felt that the training's objectives were relevant and informative to their roles and responsibilities.

**Training Needs Assessment for AHADI counties underway:** The Centre for Parliamentary Studies and Training (CPST) - the body mandated to provide legislative training to Legislators and staff received a grant to implement a training needs assessment for the counties which seeks to unravel capacity gaps and recommend skills areas that will inform CPST future capacity development initiatives.

## **Result 2: Increase in transparent and accountable engagement between CGs and the public**

This result has been realized through supporting a number of counties to develop public participation frameworks; the development of legislative process guides that promote public participation in the legislative process and supporting development of public engagement tools

through law reform. Specifically the following interventions have been rolled out to realize this result and objective:

**Legislative Process Guide produced to support County Governments and County assemblies to formulate policies, bills, and administrative measures:** During the year, AHADI continued to support KLRC to develop a legislative guide to assist both the National Government and County Governments in the formulation of policies, bills and administrative measures. A steering committee was formed to champion the production of the guide. The committee held wide consultations with stakeholders which included Institute for Research and Policy Alternatives (IRPA), CIC, TA, CAF, the Ombudsman, Senate, National Assembly, Attorney General’s Office, and the Anti-Corruption and Ethics Commission. The views by the stakeholders were incorporated into the guide, which was subjected to validation by county stakeholders. This important reference guide has since been printed and launched in August 2015. The guide spells out the process of developing and passing legislations and will become an important reference material for the new county leaders as captured in the quotation below by a county legislator:

*“The guide simplifies the language immensely. For almost three years as a legislator, I was not able to understand the complex legal language used in standing orders. The guide takes all that and simplifies it. All assembly members ought to use this guide to help us make all the required legislation.”* Source: Chairman County Assemblies Forum.

**Policy tool kit developed to guide policy making process:** AHADI supported Bomet County Executive to develop a tool kit that will guide Bomet Executive policy makers on policy development. With the toolkit in place, a three-day training, based on the tool kit will be held in October for the County Executive on policy development.

**Public views incorporated in Isiolo County Water Governance Project:** Following the identification and recruitment of a consultant to undertake a situational analysis of Isiolo water sector, a policy development workshop to deliberate on Isiolo Water Policy was held in the year to commence deliberations for development of AHADI-facilitated Isiolo County Integrated Water Investment Strategy. Public views were solicited through consultative meetings to enrich the document and make it citizen responsive.

**Development of strategic plan for Mombasa County Assembly commenced to also infuse public views:** During the year, implementation of the Mombasa grant began with a desk review of county documents, an analysis of the county context in preparation for the development of Mombasa County Assembly strategic plan. The plan for the delivery of this important county document involves consultations with public as required by the Constitution of Kenya 2010.

## **Objective 2: Improved representation of citizen interest and oversight over targeted county government**

### **Result 3: Enhanced participation of the public in county processes:**

AHADI is in the final stages of issuing a grant to Act Change Transform (Act!) to undertake Civil Society Organizations’ Mapping in the AHADI counties. The rationale behind this exercise is to gain an understanding of the strengths of CSOs operating in the AHADI sites and identify collaboration areas. Subsequent activities with identified CSOs will strengthen participation in county government and demand side input and accountability. The various public participation tools and frameworks currently being developed by the county governments will anchor citizen and CSOs participation in these processes (see details in result 2 above). The mapping exercise will also identify the local institutional architecture that is needed for rolling out civic education.

AHADI has finalized a grant with Groots Kenya –a civil society organization with Kenyan experience in climate change programming to implement a project titled “Building Partnerships between counties to Effectively Respond to Climate Change and Environmental Degradation.”

This grant will be implemented in four counties namely Isiolo, Vihiga, Siaya and Muranga. The grant aims to involve communities, with a strong focus on women, in identifying needs to offset climate change vulnerabilities, to articulate and present the needs, identifying and training a cohort of leaders to interact with government and to support ongoing engagement in civic life after the project is over. Groots work will support counties to review and/or develop climate change policies to guide their interventions on mitigating effects of environmental degradation, using active local participation.

**Objective #3: Functionality and effectiveness of the devolved system increased through improved intra-government engagement and coordination**

AHADI has also made considerable progress in objective three by building capacity for a number of intra-governmental organs such as the COG, CAF, and SOCATT. This has been done through provision of technical and logistical support in the execution of their mandate chief among which is the strengthening of intra-government cooperation. The objective has been met through the following results and interventions:

**Result 5: Policy, legal and institutional framework in management of devolved functions strengthened.**

**AHADI** has contributed to the development, review or passage of the following policies, laws and regulations:

<b>Devolution Bills and policies:</b>	<b>Sector Bills and Policies</b>	<b>County level regulations and policies</b>
Division of Revenue Bill, 2015	Energy Bill 2015	Human Resource Manual Mombasa County Assembly
County Allocation Revenue Bill 2015	Petroleum Exploration & Protections Bill	Communication Strategy for Bomet County
County Assemblies Services Bill 2014	Natural Resource Benefit Sharing Bill 2015	Public Participation Guide for Mombasa County Assembly
Office of the County Attorney Bill 2014	Food Crop Draft Regulations, 2015	Local Resource Mobilization policy for Mombasa County
Public Finance Management regulations, 2015	Potato Marketing and Produce Bill	KLRC: A Guide to the Legislative Process in Kenya
Devolution policy	Community Lands Bill 2015	Strategic Plan for Mombasa County
2 <sup>nd</sup> Generation Revenue Sharing Formula	Draft Isiolo County Water & Sanitation Services Bill	CAF Strategic Plan
County Printers Bill, 2014	Water Policy for Isiolo County Executive	CAF Finance & Procurement Manual
Policy on Pension Scheme for County Governments	National Equality Policy	CAF Human Resource Manual
	Licensing Framework for the Agricultural Sector	
	Crops & Agriculture Food and Fisheries Act	
	Strategy for Smart Climate & Agriculture in Kenya	

**Internal capacity of County Assembly Forum (CAF) strengthened:** AHADI activities strengthened the institutional capacity of CAF's Secretariat, enhances CAF's strategic capability and strengthened CAF's approach to communications and public relations. In addition, AHADI facilitated a training on M&E for CAF staff so as to improve their knowledge in collecting and analyzing data and reporting on the same. The interventions have strengthened CAF's capacity to better support the 47 county assemblies to effectively perform their representation, oversight and legislative functions.

**County Assembly Forum (CAF) Reviews and comments on Senate Bills:** The meeting was organized by the Legal and Research sub-committee of CAF in partnership with AHADI. It facilitated a review of two Senate bills; the Division of Revenue Bill, 2015 and the County Allocation of Revenue Bill, 2015. Both bills had been tabled before the Senate. In attendance were CAF members in the following committees; the Executive Committee, Budget and Finance Sub-committee, Governing Council Members and Chairpersons of Budget and Appropriation committee (BAC). Three county Assemblies (Kajiado, Nyandarua and Machakos) were also represented by their legal officers.

After a comprehensive review of the bills with the guidance of AHADI expert, a memorandum highlighting CAF position on the two Bills was produced and presented to the Senate and National Assembly. The Senate and National Assembly eventually agreed on a mediated version of Division of Revenue Bill, 2015 after a month of protracted negotiations. This settlement resulted in increased funding to counties as the two houses agreed to add 3.7 billion to 283.7 billion initially earmarked for counties. Consequently, counties shared a total of 287 billion in the new financial year after legislators ceded ground to forego a 4.4 billion Emergency Fund Allocation – the cause of the month-long stalemate. In addition, CAF was also instrumental in the review of the PFM regulations and the devolution policy. Finally, AHADI also sponsored one CAF meeting to review two bills in the Senate -the County Office Printers Bill 2014 and Community Lands Bill 2014. The CAF Legal Affairs Committee members were taken through the two bills and developed various proposals for inclusion into the bills. It was noted that the capacity of the committee members to analyze the two bills was greatly improved as the process proceeded. AHADI expert prepared a memorandum incorporating the views of the members on the two bills for presentation to CAF and submission to the Senate for consideration.

**COG reviews Potato Marketing and Produce Bill:** AHADI through COG grant supported COG Agriculture & Lands Committee and County Executives in charge of Agriculture, Livestock, and Fisheries to develop comments for Senate on the Potato Marketing and Produce Bill, 2014 resulting in recommendations forwarded to the Senate for consideration.

**Consensus developed on agriculture license:** AHADI supported a consultative meeting for the COG Agriculture and Lands Committee together with the CECs in charge of Agriculture. The objective of the meeting was to solicit buy-in and technical input for the agriculture licenses formula. As a result of the meeting, a negotiated formula for the agriculture license was developed and agreed upon in line with devolved functions.

**Result 6: Capacity of government institutions with a mandate to oversee effective functioning of devolution enhanced.**

**Comparative Bicameral Study Visit to U.S. State Legislatures:** To build the capacity of the Senate to exercise its devolution oversight and policy making mandates, AHADI provided technical and logistical support for a comparative study visit of bicameral legislatures in Wisconsin, Iowa and New York with a special focus on Senate operations in each state. Participants were drawn from the Clerk's office, the legal and research offices, Hansard staff, and the sergeant-at-arms as well as partisan staff from Senate leadership offices.



AHADI provided an expert facilitator, Mr. Donald Schneider-former Clerk of the Wisconsin Senate who has implemented training in Kenya previously and was able to provide contextualization of the material as well as tailored advice on implementing lessons learned in Kenya. When asked about the value of such facilitation, one participant wrote: *“Invaluable. A practitioner who has seen it all highly aids the students to compare, contrast and understand how the different legislatures work. Don Schneider is a highly knowledgeable and wonderful individual.”*

As a result of the visit, 77% of participants expected to implement changes based on the lessons they learned within the first 3-6 months, while the others expect to do the same within one year. Participants consistently reported concrete ideas for changes with one writing that he is “currently designing a research resource base for the Senate to facilitate credible and meaningful research that can be used to inform policy and legislation,” and another planning to hold “direct knowledge sharing in workshops and seminars” in their department.

**Commission for Revenue Allocation (CRA) supported by AHADI to prepare a Revenue Sharing Formulae:** During the year, AHADI continued to implement activities under the CRA grant. The objective of the CRA grant is to prepare a revised formula for sharing of revenue between the national government and the county governments for Financial Year 2015/16, prepare CRA recommendations on sharing of revenue for 2015/2016 based on actual cost of functions and review and develop the criteria for identifying marginalized groups in order to guide utilization of funds from the Equalization Fund.

Following the development of the CRA 2<sup>nd</sup> generation revenue sharing formula, which was published, disseminated, and discussed with various stakeholders including Governors and Senators, CRA held a consultative breakfast meeting with the National Assembly Committee on Finance & Economic Affairs to discuss CRA recommendations for financial year (2015/16 to 2017/18). Although no consensus was reached at the meeting, the meeting provided an excellent platform for CRA to make a case for its recommendations to the National Assembly as they prepare to pass the 2015/2016 Budget in June 2015.

CRA developed the 2<sup>nd</sup> generation revenue sharing formulae based on five factors drawn from Article 203 of the County Governments Act, 2012: (1) the ability of county governments to perform functions allocated to them; (2) the fiscal capacity and efficiency of county governments and the need to incentivize counties to optimize capacity to raise revenue; (3) the developmental needs and economic disparities within and among counties and the need to remedy them through affirmative action; (4) the desirability of stable and predictable allocations of revenue; and (5) the need for flexibility in responding to emergencies and other temporary needs. These five factors thus facilitated seven parameters for the development of the 2<sup>nd</sup> generation revenue sharing formulae. These parameters are as follows: Population; Equal Share; Level of Poverty; Land Area; Personnel Emoluments; a Development Factor; and a Fiscal Responsibility Parameter.

This support for the CRA has contributed to increasing equity in the sharing of national revenue among counties and further improving service delivery among marginalised areas, especially considering that one of the factors for revenue sharing includes the level of poverty and the development factor of each county.

**Fiscal Decentralization training for Devolution stakeholders:** AHADI in partnership with Commission for Revenue Allocation (CRA) supported a nation-wide special training for a diversity of devolution partners. The training which focused on Fiscal Decentralization and titled “Devolution and Fiscal Decentralization: Kenya in a Comparative Context” was attended by 50 participants and facilitated by two international consultants – Roy Kelly and Jamie Boex and 2 local experts – Wachira Maina and Njeru Kirira. The participants were drawn from national institutions that are involved in Kenya’s fiscal decentralization process. These included the National Treasury, Ministry of Devolution and Planning, Office of the Controller of Budgets, Parliamentary Budget Office, the Senate, Commission for Revenue Allocation and Kenya School

of Government (KSG). Other than the knowledge gained by participants in fiscal decentralization, another major result of the training was a consensus by all the participants to institutionalize this training in Kenya by developing a fiscal decentralization curriculum that will be hosted and used by KSG. To realize this, USAID AHADI and CRA will work with the KSG to develop the curriculum and form a technical committee that will review the curriculum before its rollout. In terms of establishing organizational capacity, a number of initiatives were implemented.

*After the training 94 percent of the participants said that they found the training very useful while 87.1 percent indicated that they acquired information that was new to them. Furthermore, 80.6 percent found the information extremely useful. From the evaluation, 51.1 percent of the respondents said they would like to apply the knowledge directly at the county level, by way of facilitating county fiscal strategy papers, county budgets & county finance bills.*

**Second Devolution Conference:** A key activity implemented in the period under review was the Second Devolution Conference held in Kisumu County. The conference was partially supported by AHADI under the modified COG grant. In addition to logistical support, AHADI provided technical expertise by contracting a consultant to facilitate the conference. The conference was themed “*Celebrating the Gains of Devolution*” with a guiding theme, “*Appreciating and Strengthening Devolved Governance; Working Together to Realize the Objectives of Devolution.*” It assembled 47 Governors with their deputies for four days to engage state and non-state actors in a policy dialogue on the progress of devolution including the challenges and prospects moving forward. Also in attendance was the Head of State.

The conference resulted in the production of a communiqué detailing recommendations and action points necessary for successful devolution. Recommendations included the need for the Ministry of Interior as well as coordination of other national government entities to urgently address challenges of insecurity, transfer of funds, lack of comprehensive frameworks for public participation and limited capacity for legislation at County level. They also pressed for support to Counties to develop a policy on revenue administration and rationalize processes and systems for revenue collection.

**Retreat for New COG Leadership:** Following COG elections, AHADI grant support facilitated a meeting of new COG leadership to develop the COG’s agenda for the year and confirm the role of COG leadership and committees. The leadership meeting was followed by a Secretariat meeting, which led to the development of a comprehensive work plan for COG based on the new leadership agenda.

## **LESSONS LEARNED**

This year marked the commencement of accelerated implementation following the long-awaited government approval of AHADI work plan that came through in the period. Experiences from activities that have been implemented and relationships that have been built with the partners presented good lessons to guide the program.

**A first lesson is that given that the demand is huge and the need for training is acute, it is tempting to overpromise.** Most of the AHADI trainings to county staff and legislators were the first training interventions following induction trainings conducted 2 years ago when county governments first came into being. County staff still face huge challenges in carrying out their duties especially county executive officials, county assembly committee clerks, fiscal analysts and the research officers, making knowledge enhancement interventions very relevant at the county level. AHADI’s focus on County Assembly training this year aimed to offset the near total lack of knowledge and experience of legislative work at the county level. Demand for training is further driven by the fact that a majority of county staff were seconded from the defunct local authorities – hence the need to build their capacity to adhere to new devolved administrative roles and new models of county public service.

**A second lesson is that training will need to be more differentiated, coordinated, affordable and more hands on.** The retreat by Centre for Parliamentary Studies and Training (CPST) noted that there have been numerous uncoordinated trainings for both members and staff of county assemblies most of which have been commercialized. There is therefore need for both CPST and Society of Clerks At-The-Table (SOCATT) to coordinate the trainings being offered and also ensure that they are affordable to the county assemblies. It was further observed that much training offered to county staff in the year were more theoretical; hence need to focus on practical training and mentorship in future (year 3). During the retreat, a consensus was reached for CPST to develop a draft training calendar focusing on areas discussed and share it with SOCATT for validation. In addition, CPST and SOCATT will form a joint committee to review the current CPST curriculum so as to ensure that it is relevant and addresses the capacity needs of county assemblies.

**Observation coming from AHADI trainings indicates that the area of budget making is still very complex for the Members of County Assembly.** This was demonstrated by the difficulties they shared during the training on budget making which confirmed the reasons why generating the financial budgets for year 2015 was problematic to most counties. The situation was further compounded by the fact that contrary to the National Assembly, County Assemblies do not have a Budget Office to support with budget making. To address this capacity gap, more trainings are planned targeting the Assembly's Budget and Appropriation Committees and also the fiscal analysts especially early next year when they will be reviewing the budget documents.

While working with the County Executive and the County Assemblies, there appears to be a clear difference between their requests in terms of the capacity needed. Assemblies seem more focused since their mandates have been clearly spelt out. With the executive, the spectrum of their mandate and the political interventions to deliver on their responsibilities is broad, and this results in huge demands from their end, which requires internal bargaining and compromises in developing the executive proposals.

Grant proposals with the executive have been difficult to shape because of the multiplicity of their mandate, while the assemblies are clear on what they need. A majority of the requests from the executive focus on building capacity to develop policy and sectorial plans, and it is assumed that these requests emanate from the unfamiliarity of the executive in forming functional devolved systems. On the other hand, the Assemblies are prioritizing training for their Members of County Assemblies (MCA's) on topics such as standing orders, rules of procedures, legislative process, and understanding public finance management. In addition, committee management and Hansard development are key capacity areas for the assembly staff. It is also clear that county staff have become reactive about civic education requirements. AHADI is therefore preparing a civic engagement model to assist county governments improve on the public participation mandate.

### III. AHADI PROGRESS (QUANTITATIVE IMPACT)

Data reported below include data on indicators that AHADI is able to track before the finalization of the baseline and county assessment study. It includes activity generated data from trainings, and support to oversight institutions, and legal and procedural reform support.

**TABLE I: PERFORMANCE DATA TABLE**

<b>INDICATOR TITLE: Number of sub-national government entities receiving USG assistance that improve their performance (2.2.3-5)</b>					
<b>INDICATOR NUMBER: 01- AHADI</b>					
<b>UNIT</b>	<b>DISAGGREGATE BY: Location, event, date and total number of documents reviewed</b>				
<b>Number of Sub-national government entities</b>	<b>Geographic Location</b>	<b>Sub-National government entity</b>	<b>Date</b>	<b>Total</b>	<b>Subtotal</b>
	Isiolo	Isiolo County Government	1st February 2015 to 31st March 2016	1	1
	Tharaka Nithi	Tharaka-Nithi County Executive	27th April 2015 to 26th April 2016	1	1
	Tharaka Nithi	Tharaka-Nithi County Assembly	27th April 2015 to 26th April 2016	1	1
	Bomet	Bomet County Executive	27th April 2015 to 26th April 2016	1	1
	Bomet	Bomet County Assembly	27th April 2015 to 26th April 2016	1	1
	Siaya	Siaya County Executive	27th April 2015 to 26th April 2016	1	1
	Siaya	Siaya County Assembly	27th April 2015 to 26th April 2016	1	1
	Mombasa	Mombasa County Executive	11th May 2015 to 10th May 2016	1	1
	Mombasa	Mombasa County Assembly	11th May 2015 to 10th May 2016	1	1
	Murang'a	Murang'a County Assembly	1st May 2015 to 30th April 2016	1	1
	Vihiga	Vihiga County Executive	15th June 2015 to 14th June 2016	1	1
	Vihiga	Vihiga County Assembly	15th June 2015 to 14th June 2016	1	1
	Kakamega	Kakamega County Executive	15th June 2015 to 14th June 2016	1	1
	Kakamega	Kakamega County Assembly	15th June 2015 to 14th June 2016	1	1
	Meru	Meru County Executive	15th June 2015 to 14th June 2016	1	1
	Meru	Meru County Assembly	15th June 2015 to 14th June 2016	1	1
	Nairobi	Nairobi County Assembly	29th June 2015 to 28th June 2016	1	1

<b>Totals</b>										<b>17</b>	<b>17</b>
According to the plan and the PIRS, this indicator data source is the CCI, which was been delayed to align with the new Mission and MSI Results Framework and grant approvals. For the institutions above, AHADI has training exit questionnaires (see final report annex) where participants show self-rated significant improvements in their knowledge and skills. Considering that improved knowledge and skills are part of capacity development (and should lead to county government improved performance), as we hope to be able to demonstrate objectively through the CCI baseline and CCI second round evaluation.											
<b>Results: Enhanced capacity of County Governments' (CGs) officials to effectively deliver county services</b>											
<b>INDICATOR;</b> Number of sub-national government entities receiving USG assistance that improve their performance (2.2.3-5)											
Additional Criteria If other criteria are important, add lines for setting targets and tracking	Baseline	Results Achieved Prior Periods Jan-14 to Sep-14	This Reporting Period 30 Sep-14 to 30-Sep -15		Reporting Period 30-Jun-15	Reporting Period 30-Mar-15	Reporting Period 30-Dec-14	FY 2016 Target	FY 2017 Target	FY 2018 Target	End of Activity Target
		Achieved	Target	Achieved	Target	Target	Target	Target	Target	Target	Target
	Total	Total	Total	Total	Total	Total	Total	Total	Total	Total	Total
<b>Totals</b>	<b>0</b>	<b>2</b>	<b>20</b>	<b>17</b>	<b>7</b>	<b>7</b>	<b>6</b>	<b>20</b>	<b>15</b>	<b>15</b>	<b>70</b>

**INDICATOR TITLE: Number of (County) Legislators and Staff Attending USG Sponsored Training or Educational Events**  
**INDICATOR NUMBER: 02- AHADI**

<b>UNIT</b>	<b>DISAGGREGATE BY:</b> Location, event, date and gender						
<b>Geographic Location</b>	<b>Activity Title</b>	<b>Date</b>	<b>W</b>	<b>M</b>	<b>Subtotal</b>		
<b>Number of County Legislators and staff</b>	Nairobi	Muranga County Assembly - Building capacity for staff on legislative process, operations & Management	July 2015	10	8	18	
	Nairobi	Muranga County Assembly - Workshop on roles & functions of committees	July 2015	18	42	60	
	Nairobi	Muranga County Assembly - Budget Making process	August 2015	9	7	16	
	Eldoret	Kakamega County Assembly - financial reporting, Budgeting research & oversight committee training	August 2015	14	17	31	
	Kisumu	Kakamega County Assembly -Legislative procedures & practices	August 2015	16	39	55	
	Nairobi	Muranga County Assembly - Law making process	August 2015	22	31	53	
	Eldoret	Kakamega County Assembly - Capacity Building workshop	August 2015	30	5	35	
	Nairobi	Muranga County Assembly - Leadership & Personal development	July 2015	23	34	57	
	Eldoret	Kakamega County Assembly - Budget Making Process	August 2015	7	27	34	
	Eldoret	Kakamega County Assembly - Legislative Processes	July 2015	33	60	93	
	Nairobi	Meru County Assembly - Legislative records management training	August 2015	16	22	38	
	Chuka	Tharaka-Nithi County Assembly - M&E training on legislative records management	July 2015	11	18	29	
	Chuka	Tharaka-Nithi County Assembly - Training on Legislative records management	July 2015	7	13	20	
	Nyeri	Tharaka-Nithi County Assembly - County performance contracting framework	August 2015	11	19	30	
	Mombasa	Parliamentary Budget Office - Legislative Fiscal Analysts Forum	April 2015	10	47	57	
	Kisumu	Vihiga County Assembly-Capacity Building of Liaison Committee	October 2015	13	14	27	
	Kisumu	Vihiga County Assembly-Capacity Building of Staff on Legislation Development	September 2015	17	25	42	
	Kisumu	Vihiga County Assembly-Capacity Building of MCAs on Budget Process	September 2015	14	27	41	
	Kisumu	Vihiga County Assembly- Capacity Building of MCA on Legislative Processes	August 2015	17	28	45	
	Kisumu	Vihiga County Assembly-Capacity Building of Staff on Analytical Review	October 2015	17	25	42	
Nairobi	CAF-Review of Water Bills	October 2015	9	5	14		
Nairobi	CAF-Speakers and Staff Consultative Meeting	July 2015	9	17	26		
Nairobi	CAF-Executive Committee Meeting on County Administrative structures	March 2015	3	5	8		
Nairobi	CAF-Communication Sub Committee Meeting	January 2015	2	5	7		
Nairobi	CAF-Intergovernmental Relations Sub Committee Meeting	January 2015	1	7	8		

Nairobi	CAF-Legal and Research Sub Committee Meeting	January 2015	1	3	4	
Nairobi	CAF-Members Services Sub Committee Meeting	January 2015	3	5	8	
Nakuru	CAF-Member Services and Finance Sub Committee Meeting	March 2015	5	9	14	
Nairobi	CAF-Review of the County Assembly Services Board and Office of the County Attorney Bills	April 2015	8	9	17	
Nairobi	CAF-Meeting of Clerks to discuss Legislative Monitoring Guide	July 2015	6	30	36	
Mombasa	CAF-Meeting of Speakers and Clerks	April 2015	2	43	45	
Nairobi	CAF-Executive Committee Meeting – Management of Assemblies	June 2015	0	8	8	
Nairobi	CAF-Review of Community Lands Bill and County Printer Bill	February 2015	6	9	15	
Isiolo	Isiolo County-Situational Analysis of Water Sector	June 2015	2	12	14	
Siaya	Siaya County Assembly-ICT Training for the Staff of the County Assembly	September 2015	11	12	23	
Siaya	Siaya County Assembly-Policy Formulation, Analysis and Implementation Training for Staff of the County Assembly	September 2015	9	21	30	
Mombasa	Mombasa County Assembly-Legislative Processes and Procedures Training	September 2015	10	15	25	
Nairobi	Tharaka Nithi County Assembly-Training on Procedure and Practice	June 2015	14	16	30	
Nairobi	Meru County Assembly-Workshop on enhancing public outreach and effectiveness	August 2015	6	19	25	
Nairobi	Meru County Assembly-Workshop on enhancing the effectiveness of the PAIC	September 2015	3	11	14	
Nairobi	Meru County Assembly-workshop on capacity building of the CASB and HODs	September 2015	4	16	20	
Kisumu	Bomet County Assembly - Capacity Building Workshop on Mandate and Procedure of the County Assembly for the MCAs	August 2015	15	25	40	
Bomet	Bomet County Assembly - Induction Seminar for Bomet County Assembly Staff	October 2015	14	24	38	
Naivasha	Parliamentary Budget Office Workshop for County Fiscal Analyst	October 2014	12	37	49	
Meru	Mentorship for Clerks at the Table for Meru County Assembly	September, 2015	6	8	14	
Meru	Mentorship for Clerks on Legislative Processes for Meru County Assembly	September, 2015	4	6	10	
Meru	Mentorship for Meru County Assembly staff on the Budget Process	September, 2015	3	3	6	
Meru	Mentorship for Meru County Assembly staff on Hansarding and ICT	September, 2015	3	7	10	
<b>Totals</b>			<b>486</b>	<b>895</b>	<b>1381</b>	
Note: AHADI has also counted county executive officials and staff trained: 101 women, 130 Men for a total of 231 County government staff trained						

**Results: Enhanced capacity of County Governments' (CGs) officials to effectively deliver county services.**

**INDICATOR: Number of (County) Legislators and Staff Attending USG Sponsored Training or Educational Events**

Additional Criteria If other criteria are important, add lines for setting targets and tracking	Baseline		Results Achieved Prior Periods		This Reporting Period 30 Sep-14 to 30-Sep -15				Reporting Period 30-Jun-15		Reporting Period 30- Mar-15		Reportin g Period 30-Dec- 14		FY 2016 Target		FY 2017 Target		FY 2018 Target		End of Activity Target	
			Achieved		Target		Achieved		Target		Target		Target		Target		Target		Target		Target	
	W	M	W	M	W	M	W	M	W	M	W	M	W	M	W	M	W	M	W	M	W	M
<b>Sex*: Women (W), Men (M)</b>	0	0	0	0	40	60	486	895	15	20	15	20	10	20	400	600	300	500	800	1200	1540	2360



**INDICATOR TITLE: Number of education administrators and officials successfully trained with USG support (3.2.1-3)**

**INDICATOR NUMBER: 03- AHADI**

UNIT	DISAGGREGATE BY: Location, event, date and gender					
	Geographic Location	Activity Title	Date	W	M	Subtotal
Number of education administrators and officials	Training conducted in Nairobi	MOEST - Sensitization of the County Education Boards, Nairobi Region Workshop	March 2015	30	67	97
	Training conducted in Mombasa	MOEST - Sensitization of the County Education Boards, Mombasa Region Workshop	March 2015	23	57	80
	Training conducted in Nakuru	MOEST - Sensitization of the County Education Boards, Nakuru Region Workshop	March 2015	34	58	92
	Training conducted in Eldoret	MOEST - Sensitization of the County Education Boards, Eldoret Region Workshop	March 2015	30	74	104
	Training conducted in Embu	MOEST - Sensitization of the County Education Boards, Embu Region Workshop	March 2015	27	73	100
	Training conducted in Kisumu	MOEST - Sensitization of the County Education Boards, Kisumu Region Workshop	March 2015	40	92	132
	Training conducted in Garissa	MOEST - Sensitization of the County Education Boards, Garissa Region Workshop	March 2015	8	31	39
				192	452	644
<b>Totals</b>						

**Results: Enhanced capacity of County Governments' (CGs) officials to effectively deliver county services**

**INDICATOR: Number of education administrators and officials successfully trained with USG support (3.2.1-3)**

Additional Criteria If other criteria are important, add lines for setting targets and tracking	Baseline		Results Achieved Prior Periods		This Reporting Period 30-Sep-14 to 30-Sep-15				Reporting Period 30-Jun-15		Reporting Period 30-Mar-15		Reporting Period 30-Dec-14		FY 2016 Target		FY 2017 Target		FY 2018 Target		End of Activity Target	
			Achieved		Target		Achieved		Target		Target		Target		Target		Target		Target		Target	
	W	M	W	M	W	M	W	M	W	M	W	M	W	M	W	M	W	M	W	M	W	M
Sex*: Women (W), Men (M)	0	0	0	0	50	50	192	452	25	25	25	25	25	25	50	50	30	60	30	60	160	220

**INDICATOR TITLE: Number of PTAs or similar governance structures strengthened (3.2.1-18)**

**INDICATOR NUMBER: 05- AHADI**

UNIT	DISAGGREGATE BY: Location, event, date and total number of documents reviewed				
	Geographic Location	Government Body	Date	Total	Subtotal
Number of PTAs or similar governance structures	Mombasa	Mombasa County Education Board	March 2015	1	1
	Mombasa	Kwale County Education Board	March 2015	1	1
	Mombasa	Kilifi County Education Board	March 2015	1	1
	Mombasa	Tana River County Education Board	March 2015	1	1
	Mombasa	Lamu County Education Board	March 2015	1	1
	Mombasa	Taita/Taveta County Education Board	March 2015	1	1
	Garissa	Garissa County Education Board	March 2015	1	1
	Garissa	Wajir County Education Board	March 2015	1	1
	Garissa	Mandera County Education Board	March 2015	1	1
	Garissa	Marsabit County Education Board	March 2015	1	1
	Embu	Isiolo County Education Board	March 2015	1	1
	Embu	Meru County Education Board	March 2015	1	1
	Embu	Tharaka-Nithi County Education Board	March 2015	1	1
	Embu	Embu County Education Board	March 2015	1	1
	Nairobi	Kitui County Education Board	March 2015	1	1
	Nairobi	Machakos County Education Board	March 2015	1	1
	Mombasa	Makueni County Education Board	March 2015	1	1
	Embu	Nyandarua County Education Board	March 2015	1	1
	Embu	Nyeri County Education Board	March 2015	1	1
	Nairobi	Kirinyaga County Education Board	March 2015	1	1
	Nairobi	Murang'a County Education Board	March 2015	1	1
	Nairobi	Kiambu County Education Board	March 2015	1	1
	Eldoret	Turkana County Education Board	March 2015	1	1

Eldoret	West Pokot County Education Board	March 2015		
Embu	Samburu County Education Board	March 2015		
Eldoret	Trans Nzoia County Education Board	March 2015		
Eldoret	Uasin Gishu County Education Board	March 2015		
Eldoret	Elgeyo/Marakwet County Education Board	March 2015		
Eldoret	Nandi County Education Board	March 2015		
Eldoret	Baringo County Education Board	March 2015		
Eldoret	Laikipia County Education Board	March 2015		
Embu	Nakuru County Education Board	March 2015		
Embu	Narok County Education Board	March 2015		
Nairobi	Kajiado County Education Board	March 2015		
Kisumu	Kericho County Education Board	March 2015		
Embu	Bomet County Education Board	March 2015		
Eldoret	Kakamega County Education Board	March 2015		
Kisumu	Vihiga County Education Board	March 2015		
Eldoret	Bungoma County Education Board	March 2015		
Kisumu	Busia County Education Board	March 2015		
Kisumu	Siaya County Education Board	March 2015		
Kisumu	Kisumu County Education Board	March 2015		
Kisumu	Homa Bay County Education Board	March 2015		
Kisumu	Migori County Education Board	March 2015		
Kisumu	Kisii County Education Board	March 2015		
Kisumu	Nyamira County Education Board	March 2015		
Nairobi	Nairobi County Education Board	March 2015		
<b>Totals</b>			<b>47</b>	<b>47</b>

**RESULTS: Enhanced capacity of County Governments' (CGs) officials to effectively deliver county services**  
**INDICATOR: Number of PTAs or similar governance structures strengthened (3.2.1-18)**

Additional Criteria If other criteria are important, add lines for setting targets and tracking	Baseline	Results Achieved Prior Periods	This Reporting Period 30 Sep-14 to 30-Sep -15		Reporting Period 30-Jun-15	Reporting Period 30-Mar-15	Reporting Period 30-Dec-14	FY 2016 Target	FY 2017 Target	FY 2018 Target	End of Activity Target
		Achieved	Target	Achieved	Target	Target	Target	Target	Target	Target	Target
	Total	Total	Total	Total	Total	Total	Total	Total	Total	Total	Total
<b>Totals</b>	0	0	20	47	7	7	6	20	20	20	80

**INDICATOR TITLE: Number of government bodies engaging constituents in service delivery planning and oversight**

**INDICATOR NUMBER: 06- AHADI**

UNIT	DISAGGREGATE BY: Location, event, date and total number of documents reviewed					
	Geographic Location	Government Body	Date	Total	Subtotal	
Number of Government bodies	Bomet	Bomet County	August 2015	1	1	
	Isiolo, Nakuru, Kisumu, Nairobi	KLRC	March 2015	1	1	
	Mombasa	Mombasa County Assembly	September 2015	1	1	
	Naivasha	CRA	October 2014	1	1	
	<b>Totals</b>				<b>4</b>	<b>4</b>

**Results: Increase in transparent and accountable engagement between CGs and the public.**

**INDICATOR: Number of government bodies engaging constituents in service delivery planning and oversight**

Additional Criteria If other criteria are important, add lines for setting targets and tracking	Baseline	Results Achieved Prior Periods	This Reporting Period 30 Sep-14 to 30-Sep -15		Reporting Period 30-Jun-15	Reporting Period 30-Mar-15	Reporting Period 30-Dec-14	FY 2016 Target	FY 2017 Target	FY 2018 Target	End of Activity Target
		Achieved	Target	Achieved	Target	Target	Target	Target	Target	Target	Target
	Total	Total	Total	Total	Total	Total	Total	Total	Total	Total	Total
<b>Totals</b>	0	0	20	4	7	7	6	25	35	45	45

**INDICATOR TITLE: Number of public policies, laws, and procedures introduced, adopted or implemented with citizen input**

**INDICATOR NUMBER: 07- AHADI**

UNIT  Number of Public policies, laws, and procedures	DISAGGREGATE BY: Location, event, date and total number of documents reviewed				
	Geographic Location	Policy, Law, Strategy	Date	Total	Subtotal
	Naivasha	CRA 2nd Generation Revenue Sharing Formula	October 2014	1	1
	Isiolo, Nakuru, Kisumu, Nairobi	A Guide to the Legislative Process in Kenya	June 2015	1	1
	Mombasa	Public Participation Guide for Mombasa County Assembly	September 2015	1	1
<b>Totals</b>			<b>3</b>	<b>3</b>	

**Results: Increase in transparent and accountable engagement between CGs and the public.**

**INDICATOR: Number of public policies, laws, and procedures introduced, adopted or implemented with citizen input**

Additional Criteria If other criteria are important, add lines for setting targets and tracking	Baseline	Results Achieved Prior Periods	This Reporting Period 30 Sep-14 to 30-Sep -15		Reporting Period 30-Jun-15	Reporting Period 30-Mar-15	Reporting Period 30-Dec-14	FY 2016 Target	FY 2017 Target	FY 2018 Target	End of Activity Target
		Achieved	Target	Achieved	Target	Target	Target	Target	Target	Target	Target
	<b>Total</b>	<b>Total</b>	<b>Total</b>	<b>Total</b>	<b>Total</b>	<b>Total</b>	<b>Total</b>	<b>Total</b>	<b>Total</b>	<b>Total</b>	<b>Total</b>
<b>Totals</b>	0	0	5	3	2	2	1	15	10	5	35

**INDICATOR TITLE: Number of local mechanisms supported for citizens to engage their sub-national government entity**

**INDICATOR NUMBER: 08- AHADI**

<b>UNIT</b>	<b>DISAGGREGATE BY: Location, event, date and total number of documents reviewed</b>				
<b>Number of Local mechanisms</b>	<b>Geographic Location</b>	<b>Activity Title</b>	<b>Date</b>	<b>Total</b>	<b>Subtotal</b>
	Bomet	Bomet County – Communication Strategy– Stakeholder Validation Forum	August 2015	1	1
	Mombasa	Mombasa County Assembly– Stakeholder Validation Forum	September 2015	1	1
	Siaya	Siaya County Government Website	September 2015	1	1
	<b>Totals</b>			<b>3</b>	<b>3</b>

**Results: Enhanced participation of the public in county processes:**

**INDICATOR: Number of local mechanisms supported for citizens to engage their sub-national government entity**

<b>Additional Criteria If other criteria are important, add lines for setting targets and tracking</b>	<b>Baseline</b>	<b>Results Achieved Prior Periods</b>	<b>This Reporting Period 30 Sep-14 to 30-Sep -15</b>		<b>This Reporting Period 30-Jun-15</b>	<b>Reporting Period 30-Sep-15</b>	<b>Reporting Period 30-Dec-14</b>	<b>FY 2016 Target</b>	<b>FY 2017 Target</b>	<b>FY 2018 Target</b>	<b>End of Activity Target</b>
		<b>Achieved</b>	<b>Target</b>	<b>Achieved</b>	<b>Target</b>	<b>Target</b>	<b>Target</b>	<b>Target</b>	<b>Target</b>	<b>Target</b>	<b>Target</b>
	<b>Total</b>	<b>Total</b>	<b>Total</b>	<b>Total</b>	<b>Total</b>	<b>Total</b>	<b>Total</b>	<b>Total</b>	<b>Total</b>	<b>Total</b>	<b>Total</b>
<b>Totals</b>	0	0	10	3	4	4	2	20	15	10	55

**INDICATOR TITLE: Number of civil society organizations (CSOs) receiving USG assistance engaged in advocacy interventions (2.4.1-9)**

**INDICATOR NUMBER: 09- AHADI**

<b>UNIT</b>	<b>DISAGGREGATE BY:</b> Location, event, date and total number of documents reviewed				
	<b>Geographic Location</b>	<b>Activity Title</b>	<b>Date</b>	<b>Total</b>	<b>Subtotal</b>
<b>Number of Civil society organizations (CSOs)</b>	Isiolo & Marsabit	GROOTS Kenya	August-15 to Dec- 16	1	1
	<b>Totals</b>			1	1

**Results: Enhanced participation of the public in county processes**

<b>Additional Criteria</b> If other criteria are important, add lines for setting targets and tracking	<b>Baseline</b>	<b>Results Achieved Prior Periods</b>	<b>This Reporting Period 30 Sep-14 to 30-Sep -15</b>		<b>Reporting Period 30-Jun-15</b>	<b>Reporting Period 30-Mar-15</b>	<b>Reporting Period 30-Dec-14</b>	<b>FY 2016 Target</b>	<b>FY 2017 Target</b>	<b>FY 2018 Target</b>	<b>End of Activity Target</b>
		<b>Achieved</b>	<b>Target</b>	<b>Achieved</b>	<b>Target</b>	<b>Target</b>	<b>Target</b>	<b>Target</b>	<b>Target</b>	<b>Target</b>	<b>Target</b>
	<b>Total</b>	<b>Total</b>	<b>Total</b>	<b>Total</b>	<b>Total</b>	<b>Total</b>	<b>Total</b>	<b>Total</b>	<b>Total</b>	<b>Total</b>	<b>Total</b>
<b>Totals</b>	0	0	5	1	2	2	1	15	10	5	35



INDICATOR TITLE: Number of citizens receiving civic education on devolution								
INDICATOR NUMBER: 010- AHADI								
UNIT	DISAGGREGATE BY: Location, event, date and gender							
	Geographic Location	Activity Title			Date	W	M	Subtotal
		COG – 2 <sup>nd</sup> Devolution Conference *			April 2015	42	177	219
Number of Citizens	Totals					42	177	219
*During the COG conference, a presentation was made by 2 devolution experts (Ngethe and Karinga) to ensure that the audience was sensitized to the basic information and concepts key to Kenya's devolution. The number of citizens counted is based on the non-state actors attending.								

Results: Capacity of government institutions with a mandate to oversee effective functioning of devolution enhanced

INDICATOR: Number of citizens receiving civic education on devolution

Additional Criteria If other criteria are important, add lines for setting targets and tracking	Baseline		Results Achieved Prior Periods		This Reporting Period 30 Sep-14 to 30-Sep -15		Reporting Period 30-Jun-15		Reporting Period 30-Mar-15		Reporting Period 30-Dec-14		FY 2016 Target		FY 2017 Target		FY 2018 Target		End of Activity Target			
	Achieved		Target	Achieved	Target	Target	Target	Target	Target	Target	Target	Target	Target	Target	Target	Target	Target	Target	Target	Target		
	W	M	W	M	W	M	W	M	W	M	W	M	W	M	W	M	W	M	W	M	W	M
Sex*: Women (W), Men (M)	0	0	0	0	500	500	42	177	200	200	200	200	200	200	2500	2500	1000	1000	500	500	4500	4500

**INDICATOR TITLE: Number of policies, laws, regulations or administrative procedures reviewed, developed, passed or implemented as a result of USG assistance (4.5.1-24)**

**INDICATOR NUMBER: 011- AHADI**

**UNIT**

**Number of policies, laws, regulations or administrative procedures**

**DISAGGREGATE BY:** Location, event, date and total number of documents reviewed

<b>Geographic Location</b>	<b>Policy, Legislation, Regulation/ Procedure Title</b>	<b>Date</b>	<b>Total</b>	<b>Subtotal</b>
Nairobi	A Guide to the Legislative Process in Kenya	June 2015	1	1
Nairobi	Division of Revenue Bill, 2015"	June 2015	1	1
Nairobi	County Allocation Revenue Bill 2015	June 2015	1	1
Mombasa	Strategic Plan for Mombasa County	May-June 2015	1	1
Nairobi	County Assemblies Services Bill 2014	April 2015	1	1
Nairobi	Office of the County Attorney Bill 2014	April 2015	1	1
Nairobi	Public Finance Management regulations, 2015	April 2015	1	1
Nairobi	Devolution policy	April 2015	1	1
Nairobi	Policy on Pension Scheme for County Governments	November 2014	1	1
Nairobi	Potato Marketing and Produce Bill	August 2015	1	1
Nairobi	Petroleum Exploration & Protections Bill	August 2015	1	1
Nairobi	Natural Resource Benefit Sharing Bill 2015	August 2015	1	1
Nairobi	Strategy for Smart Climate & Agriculture in Kenya	July 2015	1	1
Nairobi	Food Crop Draft Regulations, 2015	October 2014	1	1
Nairobi	Crops & Agriculture Food and Fisheries Act	June 2015	1	1
Nairobi	2 <sup>nd</sup> Generation Revenue Sharing Formula	October 2014	1	1
Nairobi	Licensing Framework for the Agricultural Sector	June 2015	1	1
Nairobi	National Equality Policy	August 2015	1	1
Bomet	Communication Strategy for Bomet County	August 2015	1	1
Mombasa	Public Participation Guide for Mombasa County Assembly	September 2015	1	1
Mombasa	Local Resource Mobilization policy for Mombasa County	September 2015	1	1

Nairobi	Energy Bill, 2015	August 2015		
Isiolo	Water Policy for Isiolo County Executive	April 2015		
Nairobi	Draft Isiolo County Water & Sanitation Services Bill	June, 2015		
Nairobi	County Printers Bill, 2014	Feb, 2015		
Nairobi	Community Lands Bill 2015	February, 2015		
Nairobi	CAF Strategic Plan	March 2015		
Nairobi	CAF Finance & Procurement Manual	March 2015		
Nairobi	CAF Human Resource Manual	March 2015		
Mombasa	Human Resource Manual for Mombasa County Assembly	September 2015		
<b>Totals</b>			<b>30</b>	<b>30</b>

**Results: Policy legal and institutional framework in management of devolved functions strengthened**

**INDICATOR: Number of policies, laws, regulations or administrative procedures reviewed, developed, passed or implemented as a result of USG assistance (4.5.1-24)**

Additional Criteria If other criteria are important, add lines for setting targets and tracking	Baseline	Results Achieved Prior Periods	This Reporting Period 30 Sep-14 to 30-Sep -15		Reporting Period 30-Jun-15	Reporting Period 30-Mar-15	Reporting Period 30-Dec-15	FY 2016 Target	FY 2017 Target	FY 2018 Target	End of Activity Target
		Achieved	Target	Achieved	Target	Target	Target	Target	Target	Target	Target
	Total	Total	Total	Total	Total	Total	Total	Total	Total	Total	Total
<b>Totals</b>	<b>0</b>	<b>0</b>	<b>5</b>	<b>30</b>	<b>2</b>	<b>2</b>	<b>1</b>	<b>15</b>	<b>10</b>	<b>5</b>	<b>35</b>

**INDICATOR TITLE: Number of policies, laws, agreements, regulations or investment agreements (public or private) that promote access to improved water supply and sanitation (3.1.8.3-1)**

**INDICATOR NUMBER: 013- AHADI**

UNIT  Number of Water policies, laws, agreements, regulations or investment agreements	DISAGGREGATE BY: Location, event, date and total number of documents reviewed				
	Geographic Location	Description	Date	Total	Subtotal
	Isiolo County	Water Policy for Isiolo County	April, 2015	1	1
	Nairobi	Draft Isiolo County Water & Sanitation Services Bill	June 205	1	1
	Totals			2	2

**Results: Policy legal and institutional framework in management of devolved functions strengthened**

**INDICATOR: Number of policies, laws, agreements, regulations or investment agreements (public or private) that promote access to improved water supply and sanitation (3.1.8.3-1)**

Additional Criteria If other criteria are important, add lines for setting targets and tracking	Baseline	Results Achieved Prior Periods	This Reporting Period 30 Sep-14 to 30-Sep -15	Reporting Period 30-Jun-15	Reporting Period 30-Sep-15	Reporting Period 30-Dec-14	FY 2016 Target	FY 2017 Target	FY 2018 Target	End of Activity Target	
		Achieved	Target	Achieved	Target	Target	Target	Target	Target	Target	
	Total	Total	Total	Total	Total	Total	Total	Total	Total	Total	
Totals	0	0	2	2	1	1	0	8	3	1	14

**INDICATOR TITLE: Number of sub-national laws policies, strategies, plans, agreements, or regulations addressing climate change(mitigation or adaptation) and/or biodiversity conservation officially proposed, or adopted as a result of USG assistance (4.8.2-30)**

**INDICATOR NUMBER: 14**

<b>UNIT</b>  <b>Number of oversight institutions</b>	<b>DISAGGREGATE BY:</b> Location, event, date and total number of oversight institutions				
	<b>Geographic Location</b>	<b>Policy/Law, Strategy, Plan, Agreement Title</b>	<b>Date</b>	<b>Total</b>	<b>Subtotal</b>
	Nairobi	Strategy for Smart Climate & Agriculture in Kenya	July 2015	1	1
	<b>Totals</b>			1	1

**Results: Capacity of Government Institutions with a mandate to oversee effective functioning of devolution enhanced**

**INDICATOR TITLE: Number of sub-national laws policies, strategies, plans, agreements, or regulations addressing climate change(mitigation or adaptation) and/or biodiversity conservation officially proposed, or adopted as a result of USG assistance (4.8.2-30)**

<b>Additional Criteria</b> If other criteria are important, add lines for setting targets and tracking	<b>Baseline</b>	<b>Results Achieved Prior Periods</b>	<b>This Reporting Period 30 Sep-14 to 30-Sep -15</b>		<b>Reporting Period 30-Jun-15</b>	<b>Reporting Period 30-Sep-15</b>	<b>Reporting Period 30-Dec-14</b>	<b>FY 2016 Target</b>	<b>FY 2017 Target</b>	<b>FY 2018 Target</b>	<b>End of Activity Target</b>
		<b>Achieved</b>	<b>Target</b>	<b>Achieved</b>	<b>Target</b>	<b>Target</b>	<b>Target</b>	<b>Target</b>	<b>Target</b>	<b>Target</b>	<b>Target</b>
	<b>Total</b>	<b>Total</b>	<b>Total</b>	<b>Total</b>	<b>Total</b>	<b>Total</b>	<b>Total</b>	<b>Total</b>	<b>Total</b>	<b>Total</b>	<b>Total</b>
<b>Totals</b>	0	0		1	0	0	0	8	6	5	19

**INDICATOR TITLE: Number of oversight institutions strengthened (disaggregated by type of support)**

**INDICATOR NUMBER: 15**

UNIT	DISAGGREGATE BY: Location, event, date and total number of oversight institutions				
	Geographic Location	Oversight Institutions	Date	Total	Subtotal
Number of oversight institutions	Nairobi	Senate	Oct - Dec 2014, April - July 2015	1	1
	Nairobi	Council of Governors (COG)	15th August 2014 to 31st December 2015	1	1
	Nairobi	County Assembly Forum (CAF)	5th January 2015 to 30th November 2015	1	1
	<b>Totals</b>			<b>3</b>	<b>3</b>

**Results: Capacity of Government Institutions with a mandate to oversee effective functioning of devolution enhanced**

**INDICATOR: Number of oversight institutions strengthened (disaggregated by type of support)**

Additional Criteria If other criteria are important, add lines for setting targets and tracking	Baseline	Results Achieved Prior Periods	This Reporting Period 30 Sep-14 to 30-Sep -15		Reporting Period 30-Jun-15	Reporting Period 30-Sep-15	Reporting Period 30-Dec-14	FY 2016 Target	FY 2017 Target	FY 2018 Target	End of Activity Target
		Achieved	Target	Achieved	Target	Target	Target	Target	Target	Target	Target
	Total	Total	Total	Total	Total	Total	Total	Total	Total	Total	Total
<b>Totals</b>	<b>0</b>	<b>2</b>	<b>5</b>	<b>3</b>	<b>2</b>	<b>2</b>	<b>1</b>	<b>3</b>	<b>2</b>	<b>1</b>	<b>11</b>

**INDICATOR TITLE: Number of (National) Legislators and National Legislative Staff Attending USG Sponsored Training or Educational Event about devolution**  
**INDICATOR NUMBER: 16- AHADI**

UNIT	DISAGGREGATE BY: Location, event, date and gender					
	Geographic Location	Activity Title	Date	W	M	Subtotal
Number of (National) Legislators and National Legislative Staff	Training conducted in Naivasha	Parliamentary Budget Office Workshop for County Fiscal Analyst	October 2014	3	7	59
	Training in Mombasa	CPST- SOCATT Capacity Building and Planning Retreat	September 2015	7	35	42
	Mombasa	Parliamentary Budget Office - Legislative Fiscal Analysts Forum	April 2015	4	16	20
	Nairobi	Senate Bicameral Training	November, 2015	37	61	98
	Naivasha	Senate Health Committee	October, 2014	31	85	116
	<b>Totals</b>				82	204

Results: Capacity of Government institutions with a mandate to oversee effective functioning of devolution enhanced

INDICATOR: Number of (National) Legislators and National Legislative Staff Attending USG Sponsored Training or Educational Event about devolution

Additional Criteria If other criteria are important, add lines for setting targets and tracking	Baseline		Results Achieved Prior Periods		This Reporting Period 30 Sep-14 to 30-Sep -15				Reporting Period 30-Jun-15		Reporting Period 30-Mar-15		Reporting Period 30-Dec-14		FY 2016 Target		FY 2017 Target		FY 2018 Target		End of Activity Target	
			Achieved		Target		Achieved		Target		Target		Target		Target		Target		Target		Target	
	W	M	W	M	W	M	W	M	W	M	W	M	W	M	W	M	W	M	W	M	W	M
Sex*: Women (W), Men (M)	0	0	0	0	25	50	82	204	10	20	10	20	5	10	25	75	25	50	25	75	100	250

**INDICATOR TITLE: Number of intergovernmental issues resolved**

**INDICATOR NUMBER: 17**

<b>UNIT</b>	<b>DISAGGREGATE BY: Location, event, date and total number of oversight institutions</b>				
<b>Number of intergovernmental issues</b>	<b>Geographic Location</b>	<b>Issues resolved</b>	<b>Date</b>	<b>Total</b>	<b>Subtotal</b>
	Mombasa	CRA and Governors – 2 <sup>nd</sup> generation revenue sharing formula	October 2014	1	1
	Naivasha	CRA and Senators – 2 <sup>nd</sup> generation revenue sharing formula	November 2014	1	1
	Mombasa	Senate & COG on Devolution & Health	November 2014	1	1
	Nairobi	COG - Agriculture & Lands meeting on policy process	October 2014	1	1
	Nairobi	COG - Youth, Gender, Sport and Culture Meeting	February 2015	1	1
	Naivasha	CRA – Ministry of ICT & Others on ICT	July 2015	1	1
	<b>Totals</b>			<b>6</b>	<b>6</b>

**Results: Capacity of Government institutions with a mandate to oversee effective functioning of devolution enhanced**

**INDICATOR: Number of intergovernmental issues resolved**

<b>Additional Criteria</b> If other criteria are important, add lines for setting targets and tracking	<b>Baseline</b>	<b>Results Achieved Prior Periods</b>	<b>This Reporting Period 30-Jun-15</b>		<b>Reporting Period 30-Jun-15</b>	<b>Reporting Period 30-Mar-15</b>	<b>Reporting Period 30-Dec-14</b>	<b>FY 2016 Target</b>	<b>FY 2017 Target</b>	<b>FY 2018 Target</b>	<b>End of Activity Target</b>
		<b>Achieved</b>	<b>Target</b>	<b>Achieved</b>	<b>Target</b>	<b>Target</b>	<b>Target</b>	<b>Target</b>	<b>Target</b>	<b>Target</b>	<b>Target</b>
	<b>Total</b>	<b>Total</b>	<b>Total</b>	<b>Total</b>	<b>Total</b>	<b>Total</b>	<b>Total</b>	<b>Total</b>	<b>Total</b>	<b>Total</b>	<b>Total</b>
<b>Totals</b>	<b>0</b>	<b>0</b>	<b>5</b>	<b>6</b>	<b>2</b>	<b>2</b>	<b>1</b>	<b>10</b>	<b>5</b>	<b>3</b>	<b>23</b>



## IV. CONSTRAINTS AND OPPORTUNITIES

AHADI has encountered a number of challenges during the second year of implementation. These are explained below.

Support to National Institutions mainly MODP, KSG, and IGTRC and the Treasury was delayed after grant negotiations with the respective institutions were finalized because of challenges that arose regarding the need to clarify the funding mechanism that was going to be used. Clarifications were required on whether SUNY as an implementing partner providing the support on behalf of USAID was legally allowed to sign grants with government institutions. The consultation process between USAID and the Government of Kenya on this matter took a considerable amount of time during which support to these institutions was held pending the agreed way forward. The matter was resolved, by a proposal and agreement between the USAID Contracts Officer and SUNY Research Foundation identifying a non-grant mechanism to implement direct support to government entities, while retaining the same indirect cost rate foreseen under the grant fund. The matter was resolved quickly facilitating the approval of the KSG and MODP agreements. This technical support mechanism will be applied to future support to government entities.

A funding base insufficient to AHADI's intended range of beneficiaries and governance aims is and will continue to be, a major challenge to the program. AHADI has multiple mandates arising from seven earmarked sector funding fonts and sectors with funds that can only be spent on certain counties. Generous contributions from Education focus on primary school reading which is a deconcentrated, not a devolved function. Sector funding comprises around 60% of year 1 and 2 obligations. The program aims to achieve robust governance impacts in 22 county legislatures, executives and county civil society organizations, and also includes some 10 national institutions as beneficiaries. Achieving substantial and sustainable change with so many mandates and beneficiaries presents challenges. AHADI offers a wonderful opportunity to reinforce service delivery, using sector entry points for governance programming. Innovative approaches are being identified to extend and multiply impacts; still the resources available are very narrow vis-a-vis the needs of so many partners and vis-à-vis the governance outcomes and indicators expected under the new USAID DO1 results framework.

Changes in the leadership in various county government positions led to delays in the implementation of some county support. A case in point was the implementation of the Isiolo County Water Grant. The Governor of Isiolo County made a reshuffle in his County Executive Committee in May 2015 which affected the composition of the taskforce which had been put together to spearhead the implementation of the water grant. A taskforce composed of members from different sectors related to water such as agriculture, livestock and environment was set up to coordinate grant implementation. The County Executive Committee Member who was spearheading implementation of the Water Grant was transferred to the Finance docket. As a result, it took long before the new County Executive Committee Member responsible for Water could familiarize herself with her new docket and reconvene the taskforce. In addition, lack of consistency in the members attending the discussions has slowed down progress since the support under the USAID-AHADI Water Grant has to be explained every time a meeting takes place and previous discussions repeated. The demand for sitting allowances by taskforce members was also a challenge as the County Government did not have funds to facilitate the members to attend the meetings.

In implementing the Isiolo Water Grant, AHADI is partnering with Millennium Water Alliance (MWA) who is coordinating USAID support in the water sector. MWA support involved taking lead in stakeholders' consultation and engagement. This was conceived in order to facilitate public participation to review and validate critical documents that would be developed through AHADI's support such as the Water Policy and Bill. However, MWA had not received their funding; hence implementation has been slowed down awaiting availability of funds.

Challenges related to not being able to hire consultants who are civil servants persist. Both county executives and assemblies require mentoring and hands-on training from people who know the civil service - there are not enough retired civil servants to meet training needs. This has proved a hurdle in the implementation of our county assembly support. Most County assembly leaders have a very strong preference for practicing officers in the national parliament to provide the training required for their staff because of the hands on and relevant experience that they bring to this process. This is a clear challenge because AHADI is not in a position to facilitate government officers to provide the training requested.

As is the legal requirement, financial year for county governments and national government closed on 30<sup>th</sup> June and a new financial year started 1<sup>st</sup> on July. This meant that activities in which counties had cost-share in the grants had to wait until August when the counties expected the first tranche of funds from central government. This resulted in implementation delays.

## **V. PERFORMANCE MONITORING**

In line with AHADI's M&E Framework, grantees were supported to develop MERLA Plans to assist them in monitoring their work. The M&E Specialist also attended grantee induction meetings to harmonize understanding on AHADI/USAID M&E requirements and also introduce them to the M&E tools. The program officers for the active grants have used the tools to collect data which have been shared with M&E Specialist for collation, analysis and reporting. However, a more rigorous data collection based on the grantee M&E tools will commence in 2016 at county level when AHADI will have brought Research Assistants on board at county level.

AHADI also conducted one M&E training for CAF staff to strengthen their capacity in M&E for efficiency in data collection and results-based report writing. It is the plan of AHADI to rollout this kind of training to all M&E Point Persons/Research Assistants/Regional Coordinators at grantee level in the period beginning October 2015. This will ensure that AHADI has the requisite capacity at partner level to support M&E work.

M&E work will further be boosted in year 2016 by the IDS grant which will conduct a thorough assessment of county capacity and provide data for the County Capacity Index. The IDS study will provide detailed information on county operations and progress on key benchmarks across a dozen dimensions. The data will then serve to compile a simple matrix that scores each County's Capacity. The grant to IDS was prepared in quarter 3, then had to be renegotiated as an in kind-grant and the tools for data collection had to be aligned with MSI/USAID missions new draft results framework and PIRS. The grant was resubmitted in September and approved in October 2015. The detailed, robust study will be the basis for preparing the CCI, which will then be repeated each year.

Data received from the partners have been collated and analyzed at AHADI level and monthly reports consistently generated as per contract. Quantitative data collated and analyzed in the period

are reported in this annual report as is progress towards achieving AHADI intermediate results. Training data was entered into Trainet by SUNY HO team.

## **VI. PROGRESS ON GENDER STRATEGY**

During the year, AHADI has worked to achieve its goal to include both men and women in all aspects of this program including participation and leadership in meetings, training, etc. Gender sensitive implementation and monitoring has been incorporated in the activities implemented during this reporting period as evidenced by the disaggregated data on gender. Moving forward, AHADI will continue to interpret gender mainstreaming beyond sensitivity to responsiveness. This understanding will programmatically be expressed in initiatives that support participation of men and women in capacity building initiatives such as meetings, training, and in particular the interventions that target the leadership of government entities with the emphasis on participation of women. With regard to reporting AHADI has established a mechanism to collect, analyze and submit sex-disaggregated data from all program activities. This is well documented in the quarterly and annual reports. Specific attention is paid to efforts of program review and monitoring to advocate for actions that will fill in the identified gaps and ensure that gender is mainstreamed in all AHADI programming.

AHADI has procured a gender expert to develop AHADI Gender Strategy. The strategy is designed to continually inform AHADI in targeting its programming as captured in the work plan; to inform AHADI's approach to strengthening county governments' ability for gender mainstreaming to engage in key sectors such as agriculture, livestock, environment, natural resources which are USAID target sectors and to inform AHADI's approach in USAID target sectors that enhance target counties' participatory and transparent decision-making, institutional accountability and responsiveness to both women's and men's specific needs.

The draft strategy document will be finalized in the first quarter of the FY2016. It will be used to guide the organization sensitivity and responsiveness to gender concerns as well as designing and development of interventions that are responsive to gender.

### **PROGRESS ON CONFLICT STRATEGY**

AHADI being cognizant of the fact that implementation of the program will only be as successful as its ability to work within and to respond to the existing context in each of its target counties, the project developed a conflict Strategy that would guide its programming. To enable the county government and the non-state actors implementing devolution prevent, manage and transform conflict at the county level, AHADI developed the conflict strategy for use as a reference guide in mainstreaming conflict sensitivities in its coordination with the National Government and County Government in its proposed programming activities that will mainstream conflict sensitivity in the devolution programming support. These include developing a technical partnership with relevant departments and existing directorates within the National government, to undertake a regular conflict analysis update in the Counties of focus, facilitating inclusion of initiatives within the county governments to ensure the fulfillment of statutory obligations by County Governments in the counties of focus, facilitate the enhancement by county government of the visibility of county level peace and security coordination mechanisms to aid early warning and response and to include in the various capacity development efforts, conflict sensitivity and dispute resolution training for county

government officials in the counties of AHADI focus. The strategy was forwarded to USAID for approval in September 2015.

## **VII. PROGRESS ON ENVIRONMENTAL MITIGATION AND MONITORING**

In addition to the substantive progress on environmental mitigation issues reported above, AHADI's implementation of activities with government institutions at both the nation and county level constitute technical assistance or training activities and are therefore categorically excluded under 22 CFR 216.2 as they do not impact the biophysical environment in a negative manner.

## **VIII. PROGRESS ON LINKS TO OTHER USAID PROGRAMS**

During the reporting period, AHADI made substantive progress on strengthening its partnership with USAID Sector programs. Given the cross-sector nature of the project, AHADI sought to coordinate closely with the respective non-DRG projects of USAID. This collaboration was crucial because AHADI's funding is drawn from these sectors and it was therefore critical that AHADI compliment and coordinate with the other USAID sector programs in order to build on their efforts and achievements. Several consultative meetings were held with the respective USAID sector programs and their implementing partners. The meetings sought to identify key areas of intervention that AHADI would focus on and how AHADI would build on the efforts and achievements of the respective implementing partners in an effort to avoid duplication of efforts. Highlights and decisions taken with the USAID sector programs are:

**USAID/Kenya and East Africa's Office of Economic Growth:** AHADI strengthened its collaboration with the Partnership for Resilience and Economic Growth in the Arid Lands sector which is managed by the Office of Economic Growth. AHADI held consultative meetings with the USAID OEG Team and their respective implementing partners – Regal IR, Regal AG, Africa Lead Aphia Imarisha and World Food programme to discuss the sequencing and integrating of support to the PREG Counties – Isiolo, Turkana, Wajir, Marsabit and Garissa. The main objective was to ensure that AHADI helps to consolidate the gains made by the Regal projects in the arid areas to date. While most of the OEG implementing partners focus on local community level efforts, AHADI was tasked with working at the County level to increase County abilities in policy and legislative development, budgeting, development planning, agricultural and natural resources management and disaster preparedness.

To strengthen AHADI's support to PREG, the consultative meetings between the OEG office and AHADI recommended that AHADI use a cluster approach (or cross-county and regional approaches) to design and develop resilience policies in PREG counties. Key areas identified included support to the development of a regional policy such as livestock marketing, animal health, land tenure system, rangelands management, environment management etc. This approach would allow AHADI to tap into technical expertise from the sector program and support the specific counties with pushing through the already-developed policy and legislative proposals along the required approval stages in the county executive or the county legislature. AHADI agreed with the PREG team to the following outline for engaging with PREG implementing partners: Initial meetings would be held with each IP to understand and contextualize resilience issues, build consensus and lock in the high level political support with the highest leadership of the concerned counties. Joint technical working groups will then be formed comprising of PREG partners and County Government officials who will collaborate to develop policy propositions. The drafting would be led by technical experts from the lead sector

program in conjunction with the counties official and finally AHADI will lead the process of engaging officials in policy approval. This approach will be used as a pilot model to be adopted across the regions. It will contribute to the convergence of approach of all PREG initiatives and also contribute to the learning agenda. As a follow up to the OEG meeting, the following meetings were held with PREG Implementing partners:

**With USAID’s Resilience and Economic Growth in Arid Lands – Improving Resilience (REGAL-IR):** the consultative meetings agreed that AHADI would facilitate the development of policy framework for enhanced public participation in planning by building on the work done in Wajir county to strengthen public participation. Regal IR has adopted a community-owned and led strategic planning process known as Participatory Learning, Planning and Action (PLPA) which seeks to empower communities by making local stakeholders responsible for mapping local resources, identifying opportunities and threats, and identifying their own development priorities. This community engagement is accompanied by the development of Community Development Action Plans (CDAPs) which is developed through the coordination of Community Development Committees (CDCs). The CDCs are mandated by the community to oversee the management of implementation of all development activities agreed upon by the local communities as outlined in the CDAP, with transparency and accountability as the key guiding principles. Within the devolved system of governance context, CDCs are of notable importance and ought to be embraced by the county governments. Through this initiative, it is envisaged that the communities will be able to influence the design and subsequent implementation of county programs.

This model has the potential of aiding the Wajir County government to meet its constitutional obligation of ensuring public participation in its programs by enabling the county to identify real priorities from its citizenry that would inform its key planning documents specifically the County Integrated Development Plan (CIDP) and the Annual Development Plan that flows from the CIDP. To facilitate this, AHADI will work with the government to develop a policy framework to promote substantive public participation in the county by ensuring that the already developed community initiatives are included in the county public participation frameworks.

**Consultative meetings with USAID’s Resilience and Economic Growth in Arid Lands Accelerated Growth:** Regal AG agreed to build on support currently provided by Regal AG in Isiolo and Marsabit counties. Regal Ag has invested in livestock market construction in Marsabit and Isiolo Counties and developed two policy briefs on community based pastoral land tenure, land use and environment conservation and protection. To build on what Regal AG has done, AHADI will support the development of a revenue sharing policy between the market and the county government by working with the stakeholders to develop a policy and legislative framework for a sustainable revenue sharing and market management model.

**Consultative meetings with Africa Lead:** agreed to focus on development of a transformative leadership curriculum for the PREG counties grounded in the Ending Drought Emergencies Framework. AHADI will lead the partnership between Africa Lead II, Kenya School of Government and The Kenya Law Reform Commission to develop a 3 day training curriculum on policy development for county governments. Training will target policy makers and non-state actors and support the roll out of the training for the PREG counties.

**AHADI’s linkages with the World Food Programme focused on AHADI’s support to Turkana County:** Areas of AHADI support were discussed and agreed. AHADI was asked to support governance issues and interventions in the Ending Drought Emergencies Framework; support Turkana county to increase their capacity to manage drought and their resilience against drought; support communities

to better govern and sustain assets from donor investments, support the county government to plan for and manage conditional grants allocated to help Turkana create assets that cushion the county against drought, Institute good governance in the design, management and execution of resilience initiatives – inspire ownership and leadership by the county government of assets and to explore good governance interventions in partnership with WFP for activities planned under their 3 year grant.

**AHADI Consultative meetings with APHIA PLUS IMARISHA** focused on the work already done by APHIA in Wajir County. APHIA has requested that AHADI focus on Policy issues in health where there is need for policy intervention to reduce maternal and infant mortality that helps address the exacerbating factors like; early marriage, low contraceptive use, nutrition, quality and timely health services; Support Wajir County in developing a health care hand book – a guide of all policy and program interventions for the health sector. The handbook will guide the county’s planning and budgeting processes and will be accompanied by a training on program based budgeting for health for the county executive; develop a public participation framework for health through the community health strategy infrastructure already developed by IMARISHA; Institutional strengthening for the health department in the county government – include capacity building, developing organizational structure with the end of making the department more responsive and better at delivering health services and finally develop policy and a governance framework for the WASH sector.

**Meeting with International Rescue Committee (IRC):** AHADI met with IRC to explore areas for mutual partnership. The following areas for partnership were identified: development and review of AHADI Gender Strategy, Public Participation Framework and strengthening of the Council of Governors.

**Kampala, Joint Planning Cell (JPC):** AHADI sent two representatives to a USAID-organized regional conference in Kampala, Uganda which also brought together partner representatives from Tanzania, Uganda, Somalia, Ethiopia, and Eritrea. The purpose of the conference was to provide an opportunity for partners to review the M&E Framework for Resilience and Economic Growth in the region with special attention to climate change, livestock value chain, and disaster risk reduction (DRR). There will be a follow up meeting to develop indicators for the M&E Framework.

**Water, Sanitation and Hygiene (WASH):** During the program year, AHADI supported institutions and governance in the Water, Sanitation and Hygiene sector in collaboration with USAID/WASH. AHADI’s support was initially focused on Isiolo County’s efforts to establish a governance framework for the development and management of its water sector. AHADI assisted the county in clarifying the roles of the institutions managing sanitation and sewerage. In an effort to improve water supply in underserved areas, AHADI developed an innovative mechanism to create an entity that would supply water services to areas that are not commercially viable in rural areas. The mechanism is conceived as a model that is fundamentally different from that which supplies the water service in urban areas and more the developed peri-urban areas. This is critically for the county since over 80% of its terrain is rural. As a result of AHADI support, the County developed the policy framework and managed to draft a legislative proposal; Isiolo County Water, Sanitation and Sewerage.

Initial efforts to constitute the Technical Working Group were delayed by deliberations on the priorities to be included in the policy framework. The county government has also experienced challenges approving the draft Bill at the County Executive Committees (CEC) level and onward tabling of the same in the Assembly.

In the forthcoming implementation period focus will be dedicated to the completion of the Isiolo County's water policy and the legislation to take it through the County Executive Committees (CEC) for approval. Thereafter the policy and the Isiolo County Water, Sanitation and Sewerage Bill will be tabled in the Assembly for approval and later assent by the Governor. AHADI's goal is to replicate this process in the other four arid counties where AHADI is supporting work on resilience and economic growth.

**USAID Kenya and East Africa's office of Education and Youth:** AHADI held two consultative meetings to identify the support for the Ministry of Education, Science and Technology. The consultative meetings were led by the USAID office of Education and Youth were held with key officials from the Directorate of Field and Other Services and the Directorate of Policy Partnerships and East Africa Community Affairs

Consultative meetings in the Directorate of Field and Other Services discussed capacity building for County Education Board (CEB) members. The aim was to ensure effective and efficient implementation of education reform programmes in the counties. The consultation led to the development of a sensitization programme to the Directorate which was provided and completed in the current reporting period. The support defined the CEB roles as guided by the Basic Education Act of 2013; explained their expected roles and responsibilities in the implementation of the National Education Sector Plan 2013-2018 and the 'Tusome' Programme (USAID's flagship reading program); discussed the reforms taking place in education, training, research and innovation in the country; explained the linkages between the National and the County Governments in the implementation of education reforms including the laws and programmes and finally discussed the various strategies for resource mobilization for implementation of the various educational programmes in the country including 'Tusome'.

Consultations with the Directorate of Policy Partnerships and East Africa Community Affairs involved a discussion to carry out curriculum reforms through a framework of wide consultations and consensus building with a multiplicity of stakeholders from the national government, county government and civil societies. The consultative forums agreed on support that would lead to the development of a national policy framework on Curriculum reforms in the Kenya; discuss existing policies and formulate a policy guideline that ensures that learners of different abilities pursue and successfully complete the compulsory basic education cycle with regards to equal opportunities, gender and civil rights through relevant education pathways ; discuss the issue of customizing curriculum to the local needs of the community in the light of devolved governance so as to address unique local knowledge and culture ; Create consensus on education/curriculum reform vision for the nation through public participation; dialogue on appropriate levels for desired skills development from Early Childhood Development Education to Secondary level and harness existing partnerships and identify clear partnerships structures to deliver on curriculum reforms as well as leverage on best practices in terms of technological innovations. This support is ongoing and will be completed in year 3.

## **IX. PROGRESS ON LINKS WITH GOK AGENCIES**

AHADI made substantive gains in its partnership with the government of Kenya during this reporting period. After extensive deliberations with the Ministry of Devolution and Planning in the previous reporting period, the revised AHADI project document was approved by the Cabinet Secretary at the end of January 2015. This paved way for the discussions to approve the Annual work plan. A Project Steering Committee was established in May 2015 which deliberated on the work plan and facilitated

its approval in June 2015. The approval of both these key project documents signaled a major step forward cementing the partnership between the US and the Kenyan Government and lending the much needed approval to the US government to support the devolution process.

AHADI held consultative meetings with several GOK agencies with the view of providing technical assistance to facilitate their ability to support the devolution process. Key among these included the following:

As part of its partnership with the Education and Youth Sector in USAID, consultative meetings were held with the Ministry of Education Science and Technology. The first phase of consultations and support focused on strengthening education governance structures at the county level. Training was successfully provided to county education board officials in all the 47 counties. The second phase of support focused on providing support towards reforming the national basic education curriculum in Kenya. The implementation of this second support will be done in the next reporting period and seeks to formulate a policy guideline that ensures that learners of different abilities pursue and successfully complete the compulsory basic education cycle with regards to equal opportunities, gender and civil rights through relevant education pathways. It will also discuss the customization of the curriculum to the local needs of the community in the light of devolved governance in order to address unique local knowledge and culture.

To clarify and deepen its support to the Water, Sanitation and Hygiene Sector in USAID, AHADI held two consultative meetings with the Ministry of Water and natural Resources in order to identify key areas for capacity building and technical support to this sector. After deliberations, it was agreed that AHADI would focus on providing support in the development of Policy, Guidelines, Rules, and Regulations in the Water Sector for county governments. As a result of these consultative meetings, AHADI has commenced its support to strengthen the policy and legislative frameworks in six counties – Turkana, Wajir, Isiolo, Marsabit, Garissa and Vihiga.

To strengthen inter-governmental collaboration and coordination, AHADI held several consultative meetings with the Intergovernmental Technical Relations Committee (IGTRC) in order to identify ways of supporting this nascent committee which was gazetted on the 13<sup>th</sup> February 2015, and formally launched on the 4<sup>th</sup> of March 2015 by the Cabinet Secretary for the Ministry of Devolution and Planning. The Intergovernmental Relations Act 2012 was enacted to establish a framework for consultation and co-operation between the national and county governments and amongst county governments, and to establish mechanisms for the resolution of intergovernmental disputes pursuant to Articles 6 and 189 of the Constitution. The Intergovernmental Relations Technical Committee (IGRTC) is a key structure established under the Act to support the Summit and Council of Governors. The IGRTC is responsible for the Summit's day-to-day operations, including facilitating its activities and implementing its decisions. The Committee is also responsible for submitting quarterly reports to the Coordinating Summit and may establish working groups to assist in carrying out its functions. AHADI Support for the IGRTC was approved towards the end of the reporting period. AHADI has already established a strong partnership with the Committee and will provide support to strengthen the IGRTC institutional capacity to undertake its mandate.

AHADI continued its strong partnership with the Commission of Revenue Allocation whose main mandate is to make recommendations concerning the basis for the equitable sharing of revenue raised by the National Government between the national and county governments and among the county governments. The Commission is also responsible for making recommendation on other matters relating to financing of and financial management by county governments. AHADI facilitated several consultative intergovernmental forums on the revised formula for sharing of



revenue between the county governments for Financial Year 2015/16 and held an ICT conference with all the 47 counties and the Ministry of Information and Communications.

The climax of our partnership with the Kenya Law Reform Commission (KLRC) – one of the four state organs charged with the responsibility of implementing the Constitution of Kenya– by supporting the Commission to launch its Legislative Guide – the first of its kind in the county in September 2015. The Commission is mandated under the County Governments Act, 2012 to assist county governments in preparation of their legislation, and to provide technical drafting support. The Commission is expected to support the national government and the 47 county governments in policy formulation and preparation of legislation. Since November 2014, USAID through its AHADI program has supported the Kenya Law Reform Commission (KLRC) in preparing a Legislative Guide to assist both the National and County Governments in the formulation of policies, bills and administrative measures required to implement the Constitution of Kenya, 2010 and the enactment of legislation pursuant to the Constitution. KLRC identified the need to develop guidelines for the people of Kenya, the executives at both levels of government, legislature and county assemblies to ease the complexity of generating key legislative instruments, which have immense impact on the society.

Through USAID support, KLRC was able to establish a multi stakeholder technical steering committee comprising members of the Judiciary, Office of the Attorney-General and Department of Justice, Ministry of Devolution and Planning, Commission for Implementation of the Constitution, Commission on Administrative Justice, Transition Authority, Law Society of Kenya (LSK), Council of Governors, County Speakers Forum, County Attorneys Forum and the County Assemblies Forum to develop the guide.

As part of deepening its engagement and support to the Partnership for Resilience and Economic Growth in USAID, AHADI held consultation meetings with The National Drought Management Authority (NDMA) a statutory body established under the State Corporations Act (Cap 446) to establish mechanisms to ensure that drought does not become famine and the impacts of climate change are sufficiently mitigated. AHADI discussed its support to the PREG counties with NDMA and identified mechanisms of partnering and NDMA and using NDMA frameworks established in the county level to enhance its work in strengthening the policy, legislative and institutional frameworks on climate changes, water and natural resources management.

## **GRANTS MANAGEMENT**

In the year under review AHADI staff made a significant progress in designing grants to support counties and national institutions as evidenced by the USAID’s approval of twenty eight (28) new grants. The approved grants include an in-kind grant to the Nairobi County Assembly, a strategically important county for AHADI due to its visibility and political importance (see Annexes Grants table 1).

## **X. PROGRESS ON USAID FORWARD**

A centerpiece of SUNY’s management plan for AHADI is the delivery of technical assistance and grants through local partner organizations to ensure long-term sustainable development. The local partners will continue to be selected carefully with a mix of government institutions charged with the responsibility of providing long term technical support in the public sector and the civil society actors with the potential to reach out to a critical mass of the population. Already, AHADI has

developed a draft Annual Program Statement specifying mechanisms of engaging local partners in the activity. A list of potential local partners has also been developed. Even so, Groots Kenya – a civil society organization submitted an unsolicited proposal titled “Building Partnerships between counties to Effectively Respond to Climate Change and Environmental Degradation.” This grant which was approved in August will be implemented in four counties namely Isiolo, Vihiga, Siaya and Muranga.

Great emphasis has also been given to research and M&E as a basis of identifying and scaling up innovative practices in advancing devolution through lessons learned across the world. The two international partners have been selected due to this consideration and their demonstrated capacity in overseeing successful decentralization approaches in Africa and other parts of the world. As project implementation continues to progress, AHADI expects to increasingly rely upon local partners for significant project responsibilities. AHADI is at the advance stage of awarding a grant to the Institute for Development Studies, one of the originally envisioned project partners, to play a significant role in M&E by implementing the County Capacity Index.

## **XI. SUSTAINABILITY AND EXIT STRATEGY**

AHADI technical assistance mainly capacity development has been implemented primarily through grants to government entities and selected CSOs. Sustainability is built into the grant design by creating tools and curricula the ensure that skills imparted will remain in the counties after AHADI exit, public participation tools that AHADI is supporting counties to develop will ensure continued participation of citizens and CSOs in county affairs and institutional ownership of these tools and policy processes are changing the institutional culture in lasting ways. Finally, the continual efforts of AHADI to identify and strengthen the capacity of local groups to implement activities will yield significant results in ensuring sustainability as described above in Section X.

## **XII. GLOBAL DEVELOPMENT ALLIANCE (IF APPLICABLE)**

N/A

## **XIII. SUBSEQUENT OCTOBER WORK PLAN**

AHADI enters its third year with some back log of activities that were planned for year 2. This situation was occasioned by the delay in the approval of the AHADI Work Plan by MODP and the alignment of the AHADI financial reporting year to that of USAID which runs from October to September. Therefore, an agreement was reached with USAID that AHADI continues to implement year 2 activities up to end of October and will then develop a work plan for 2016 to be submitted in November. Planning for year 3 (2016) activities has since commenced and year 2 activities which have been carried over to October are presented below.

<b>Grantee</b>	<b>Activity</b>	<b>October 2015</b>
Support to the Council of Governors (COG)	Hiring of Research Assistant for the Health and Agriculture Committee	Week 1&2
	Hiring of Committee Clerk for the Natural Resource Management Committee	Week 1&2
	Negotiations for the Second Grant for the Period 2015/2016	Week 1&2
Isiolo County Water Governance	Policy Development Seminar for the County Water Policy and Bill	Week 3 & 4
Centre for Parliamentary Studies and Training (CPST)	Meeting to commence design of the Training Needs Assessment	Week 3
	Develop the literature review and commence analysis of secondary data sources	Week 4
Bomet County Executive	Receive stakeholder feedback on the policy toolkit and a concept note on the Bomet Training Institute	Week 2
	Mentoring on policy development	Week 3
	Develop training materials on the budget making process	Week 4
Bomet County Assembly	Review HR policy	Week 2
	Validation meeting for draft HR policy	Week 3
	Training of staff and CASB on performance management	Week 4
Siaya County Executive	Develop Human Resource Manual	
	Validation of strategic plan	Week 3
	Recruitment of Research Assistant	Week 2
	Training for technical and end users on ICT	Week 4
Siaya County Assembly	Develop Human Resource Manual	
	Develop Public Participation Framework	
Mombasa County Executive	<ul style="list-style-type: none"> <li>• Design Framework for Local Revenue Generation – Enhancing, Management and Administration</li> <li>• Development Cabinet Manual</li> <li>• Development of Communication Strategy</li> </ul>	

	<ul style="list-style-type: none"> <li>On-the-Job training for County treasury</li> </ul>	
Mombasa County Assembly	<ul style="list-style-type: none"> <li>Development of Audit Policy</li> <li>Development of Public Participation Framework</li> <li>Development of Human Resource Manual</li> <li>Development of legislative Process Guide and Calendar</li> </ul>	
Isiolo County Executive	<ul style="list-style-type: none"> <li>Launch of the support to the Isiolo Executive</li> </ul>	Week 2
	<ul style="list-style-type: none"> <li>Inception meeting for the Organizational Capacity Assessment</li> </ul>	Week 3
Isiolo County Assembly	<ul style="list-style-type: none"> <li>Training workshop on the mandate and management of the 9 sectoral committees</li> </ul>	Week 2
	<ul style="list-style-type: none"> <li>Training workshop of the Liaison committee</li> </ul>	Week 3
	<ul style="list-style-type: none"> <li>Training workshop for the members of the Finance, Budget and Appropriations Committee on the budget process</li> </ul>	Week 4
Muranga County Assembly	<ul style="list-style-type: none"> <li>Professional and personal development skills training for staff</li> </ul>	Week 4
Vihiga County Executive	<ul style="list-style-type: none"> <li>Inception Meeting to discuss the development of the Results Delivery Unit</li> </ul>	Week 3
Vihiga County Assembly	<ul style="list-style-type: none"> <li>Training and Mentorship of chairpersons of county assembly sector committees on committee management and oversight.</li> </ul>	Week 3
	<ul style="list-style-type: none"> <li>Staff training on analytical review of laws, regulations and policy documents</li> </ul>	Week 2
	<ul style="list-style-type: none"> <li>Stakeholder's inception meetings to review the Draft Strategic Plan &amp; Website.</li> </ul>	Week 3
Kakamega County Executive	<ul style="list-style-type: none"> <li>Undertake scoping assessment of the County Public Finance Management gaps and needs</li> </ul>	Week 2
	<ul style="list-style-type: none"> <li>Inception meeting on the Development of the HR and Training Policy</li> </ul>	Week 4
Nairobi City County Assembly	<ul style="list-style-type: none"> <li>Training of the MCAs on their mandate and operations of the Assembly. 2. Training of the county assembly staff on legislative processes and procedures. 3. Training Assembly staff on legislative drafting and policy review</li> </ul>	
Turkana County Executive	<ul style="list-style-type: none"> <li>Inception meeting on the 15th of October, 2015</li> </ul>	Week 2
Turkana County Assembly	<ul style="list-style-type: none"> <li>Inception meeting on the 15th of October, 2015</li> </ul>	Week 2

## **XIV. FINANCIAL INFORMATION**

### **D. List of Deliverables**

See Annex II

### **E. Summary of Non-USG Funding**

None

### **F. Type of Accounting System Used During Reporting Period**

AHADI used QuickBooks and Excel in the period under review.

## **XVII. GPS INFORMATION**

Program staff, the Research and Learning Officer and the M&E Specialist were trained by USAID on GPS in September 2015. AHADI also purchased GPS equipment and collection of geo-referenced information commenced in October 2015. With the requisite capacity developed, AHADI will provide GPS information in the quarterly report due December 2015.

## **XVIII. SNAP SHOTS**

1. Encouraging Public Engagement in the Policy Process
2. Connecting Kenyans to information on devolution
3. Building skills for an efficient and transparent inter-governmental fiscal transfer
4. Automating county revenue collection system
5. County Education Managers Embrace New Roles
6. New County Assemblies Strengthen Law Making Process with the Senate
7. Technology Boosts Coordination and Learning for Kenya's 47 Assemblies
8. New County Assemblies Strengthen Law Making Process with the Senate
9. Building Effective Assemblies through Mentorship

## ANNEXES & ATTACHMENTS

### Annex I: USAID Approved Grants in the Year 2

Grant Management Area	No.	Brief Narrative
Active Grants	28	<ol style="list-style-type: none"> <li>1. Council of Governors (COG)</li> <li>2. Commission on Revenue Allocation (CRA)</li> <li>3. Open Institute (OI)</li> <li>4. Kenya Law Reform Commission (KLRC)</li> <li>5. County Assemblies Forum (CAF)</li> <li>6. Ministry of Education, Science &amp; Technology (MOEST)</li> <li>7. Isiolo County Government</li> <li>8. Centre for Parliamentary Studies and Training (CPST)</li> <li>9. Tharaka Nithi County Executive</li> <li>10. Tharaka Nithi County Assembly</li> <li>11. Bomet County Executive</li> <li>12. Bomet County Assembly</li> <li>13. Siaya County Executive</li> <li>14. Siaya County Assembly</li> <li>15. Mombasa County Executive</li> <li>16. Mombasa County Assembly</li> <li>17. Muranga County Assembly</li> <li>18. Vihiga County Executive</li> <li>19. Vihiga County Assembly</li> <li>20. Kakamega County Executive</li> <li>21. Kakamega County Assembly</li> <li>22. Meru County Executive</li> <li>23. Meru County Assembly</li> <li>24. Nairobi County Assembly</li> <li>25. Ministry of Education Science &amp; Technology (MOEST 2)</li> <li>26. Ministry of Devolution and Planning (MODP)</li> <li>27. Kenya School of Government (KSG)</li> <li>28. GROOTS Kenya</li> </ol>
Grants Under Negotiation	12	<ol style="list-style-type: none"> <li>1. Wajir County Executive</li> <li>2. Wajir County Assembly</li> <li>3. Isiolo County Executive</li> <li>4. Isiolo County Assembly</li> <li>5. Turkana County Executive</li> <li>6. Turkana County Assembly</li> <li>7. Intergovernmental Relations Technical Committee (IGRTC)</li> <li>8. Nairobi County Executive</li> <li>9. Muranga County Executive</li> <li>10. Institute of Development Studies (IDS)</li> <li>11. Act Change Transform (Act!)</li> <li>12. African Institute for Health &amp; Development (AIHD)</li> </ol>

## **Annex II: List of Deliverable Products**

1. AHADI Annual Report for Year 2 submitted, 30 October 2015
2. AHADI Quarterly Reports for the year submitted on time
3. AHADI Monthly Reports for the year, Submitted on time
4. Consultant Reports full list - Attached