

“How much funding has USAID provided for media assistance to Nicaragua since 1993?”

or

UNDERSTANDING AND USING YOUR DCHA.DG.PUB BUDGET INFORMATION FOLDER

It happens everyday. Academics, staffers, members of the fourth estate – even our State Department colleagues – call you. They want to figure out how much money USAID has spent – sometimes, by the tone of their voice, you can tell they mean “wasted” – trying to build democracy in some backwards, kleptocracy.

Your first reaction is to ask why. Why are they asking me this? Then a cold sweat pours over you as you realize you don't even know where to start looking for answers. Almost unconsciously, like counting prayer beads, you click open Windows Explorer to start looking through the public directory files. “There's gotta be a chart for this,” you grumble to yourself, the words flopping on the sands of your dry, nervous mouth. Something catches your eye. Is it a mirage or is that a folder screaming “Budget Information” at you? In a final effort, your index finger double right clicks the mouse – the folder springs open like the catch of Ali Baba's treasure chest. But inside... No one ever told you about all these folders... *UNTIL TODAY.*

The Budget Information folder contains this guide and ten (10) subfolders. The folders classify Agency-wide and Office-pertinent Democracy and Governance budget figures by Fiscal Year and by how the information is broken down.

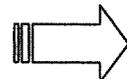
Most available information is classified by fiscal year, by funds account and by bureau. In other words, most charts provide at least the basic information of during which Fiscal Year the funds were obligated, by which bureau and the breakdown among DA, ESF, SEED, FSA and INC or ACI monies.

However, different charts are available depending upon whether you are seeking country by country information (fig. A) or sub-sectoral information (fig. B).

The Sectoral Analyses and Regional Analyses folders provide, in addition, charts that may answer questions about a specific sector or sub-sector (fig. C), or detailed Regional Bureau funding information (fig. D).

The Democracy Budget Trends folder offers multi-year Agency-wide Democracy and Governance funding information, and includes graphics that show these trends (fig. E).

As you may have noticed by now, not all budget numbers match up. That is because there are several different sources from which we draw accurate budget information: the Budget Office of the Management Bureau, PPC, the Regional Bureaus, State/DRL and our own office. Thus, the folder entitled “Worthwhile charts to answer questions from Joe or Jane Public” provides a panache of different charts that have already served and will allow you to convey the appropriate answers to specific questions coming from *those people.*



Please make a note of the following useful tips:

1. In order to produce your own charts and graphs, you may consult either of the two databases available on the Intranet M/B Web site. Once at M/B Intranet headquarters, follow the link on the left to "Emphasis Area Coding", then scroll down and double click the link to Emphasis Area Report System. Easy-to-follow instructions are thereafter provided.
2. Another good source of information on Agency-wide Democracy and Governance funding – or any kind of funding for that matter – is the PPC Annual Program Summaries Folder. There, massive spreadsheets go Eastwood on the FY 2000 through FY 2003 Agency budgets, breaking them down any which way but loose.
3. Finally, sub-sectoral analyses that go across years 1991-2001 can be frustrating. The emphasis area codes have gone through several transformations, most recently in FY 2000 and before that in 1997. Each time, the opportunity to code a sub-sector has been eliminated (e.g. Media assistance is no longer spotlighted under its own code, and Civil-Military relations is ancient history).
4. If you have any questions, or would like a guided tour of DCHA.DG.Pub/Budget Information, please contact Jonathan Haddad (x25497) before May 31, 2002.

DEMOCRACY ASSISTANCE

By Account and By Country
Source - USAID/M/B, DCHA/DG, PPC

BUREAU	FY 2001 Actual			FSA TOTAL
	DFA/DA	ESF	SEED	
AFR				
Angola	889	2,491	-	3,380
Benin	1,485	-	-	1,485
Burkina Faso				
Burundi		4,500		4,500
Cape Verde				
CAR				
Congo (DROC)	150	4,978	-	5,128
Congo (Brazzaville)	-	-	-	-
Cote d'Ivoire				
ECOMOG				
Eritrea	300	-	-	300
Ethiopia	2,350	-	-	2,350
Ghana	1,500	-	-	1,500
Guinea	1,300	-	-	1,300
Kenya	1,950	2,400	-	4,350
Lesotho				
Liberia	1,008	-	-	1,008
Madagascar	658	-	-	658
Malawi	1,200	-	-	1,200
Mali	2,000	750	-	2,750
Mauritius	-	-	-	-
Mozambique	1,912	600	-	2,512
Namibia	1,598	-	-	1,598
Niger				
Nigeria	8,099	9,330	-	17,429
OAU				
Rwanda	1,000	2,100	-	3,100
Senegal	2,316	-	-	2,316
Sierra Leone	500	-	-	500
Somalia	520	-	-	520
South Africa	8,803	800	-	9,603
Sudan	520			520
Swaziland				
Tanzania		-	-	-
Uganda	571	595	-	1,166
Zambia	650	300	-	950
Zimbabwe	2,000	3,280	-	5,280
REDSO/East	1,697	-	-	1,697
REDSO/West	418	-	-	-
RCSA	1,251	-	-	1,251
Sahel Regional	-	-	-	-
ARDF	-	-	-	-
AFR/SD	3,415	4,895	-	8,310
AFR/DP	-	-	-	-
Total - AFR	50,060	37,019	-	87,079

DEMOCRACY ASSISTANCE

By Account and By Country

Source - USAID/M/B, DCHA/DG, PPC

BUREAU	FY 2001 Actual			FSA TOTAL
	DFA/DA	ESF	SEED	
ANE				
Afghanistan				
Algeria				
Bangladesh	3,000	-	-	3,000
Burma	-			-
Cambodia	-	8,514	-	8,514
China				
East Timor		11,342		
Egypt	-	26,615	-	26,615
India		2,193		2,193
Indonesia	12,400	20,580	-	32,980
Iraq				
Lebanon	-	5,481	-	5,481
Mongolia	-	3,000	-	3,000
Morocco				
Nepal	1,000			
Oman	-	-	-	-
Pakistan	-	-	-	-
Philippines	2,000	-	-	2,000
South Korea				
Sri Lanka	-	-	-	-
Tunisia	-	-	-	2,000
Yemen				
WB/G	-	10,580	-	10,580
ANE Regional	4,209	16,319	-	20,528
Total - ANE	22,609	104,624	-	127,233

USAID Funding for Democracy and Governance Activities
By Bureau, Account and Strategic Objective*

June 25, 2001

FY 2001 (Request)

FY 2000 (Actual obligations)

	ROL	EPPS	CS	GOV	Total
Africa	\$55,143	\$4,372	\$42,877	\$25,233	\$127,625
DA	\$0				
ESF	\$55,000	\$13,366	\$1,500	\$10,500	42.00%
DFA	\$72,625	\$13,143	\$2,873	\$33,377	\$66.60%
Total	\$127,625	4.22%	3.24%	33.60%	49.77%

	ROL	EPPS	CS	GOV	Total
Africa	\$13,347	\$7,300	\$29,000	\$27,952	\$77,599
DA	\$58,545	\$7,567	\$3,299	\$24,797	\$23,852
ESF	\$19,054	\$3,750	\$4,601	\$4,331	\$5,150
DFA	\$0				
Total	\$77,599	17.20%	3.71%	37.37%	36.07%

	ROL	EPPS	CS	GOV	Total
AME	\$18,382	\$14,194	\$80,020	\$28,209	\$140,805
DA	\$29,800	\$3,323	\$3,853	\$18,546	\$3,563
ESF	\$111,005	\$10,396	\$10,318	\$51,466	\$24,717
FSA	\$0				
Total	\$140,805	3.35%	10.02%	36.83%	20.83%

	ROL	EPPS	CS	GOV	Total
AME	\$14,346	\$3,188	\$61,735	\$72,394	\$151,663
DA	\$25,831	\$3,333	\$343	\$16,458	\$6,388
ESF	\$124,472	\$10,337	\$1,556	\$48,145	\$36,936
FSA	\$1,360	\$543	\$408	\$132	\$138
Total	\$151,663	2.36%	2.40%	40.73%	47.73%

	ROL	EPPS	CS	GOV	Total
EEA	\$25,397	\$17,388	\$123,054	\$61,756	\$227,595
SEED	\$130,050	\$7,368	\$9,952	\$94,898	\$28,753
ESF	\$11,000	\$1,000	\$13,000	\$13,000	4.83%
FSA	\$86,545	\$18,633	\$7,526	\$37,168	\$33,403
Total	\$227,595	11.18%	7.84%	54.07%	37.13%

	ROL	EPPS	CS	GOV	Total
EEA	\$44,135	\$14,137	\$96,893	\$76,403	\$231,568
SEED	\$104,748	\$13,253	\$6,798	\$62,388	\$23,366
ESF	\$11,254	\$36	\$36	\$1,338	\$5,936
FSA	\$115,566	\$36,644	\$7,439	\$33,168	\$34,035
Total	\$231,568	18.08%	8.40%	41.84%	32.88%

	ROL	EPPS	CS	GOV	Total
EAP	\$35,477	\$6,533	\$36,991	\$31,089	\$110,090
DA	\$41,235	\$6,833	\$2,416	\$18,366	\$13,599
ESF	\$68,855	\$28,546	\$4,323	\$17,556	\$18,273
FSA	\$0				
Total	\$110,090	32.23%	5.34%	33.60%	28.24%

	ROL	EPPS	CS	GOV	Total
EAP	\$37,493	\$4,802	\$27,169	\$22,261	\$91,725
DA	\$33,851	\$7,688	\$2,046	\$11,034	\$13,981
ESF	\$57,874	\$29,807	\$2,762	\$16,135	\$9,170
FSA	\$0				
Total	\$91,725	40.88%	5.24%	28.62%	24.27%

	ROL	EPPS	CS	GOV	Total
EPG	\$4,564	\$3,329	\$5,756	\$2,700	\$16,349
DA	\$16,349	\$4,564	\$3,329	\$5,756	\$2,700
ESF	\$0				
FSA	\$0				
Total	\$16,349	27.92%	20.36%	39.21%	16.51%

	ROL	EPPS	CS	GOV	Total
EPG	\$4,082	\$4,024	\$8,991	\$2,541	\$19,638
DA	\$16,922	\$3,832	\$4,624	\$4,525	\$2,541
ESF	\$2,716	\$258	\$2,466		
FSA	\$0				
Total	\$19,638	19.79%	20.43%	45.78%	12.94%

	ROL	EPPS	CS	GOV	Total
HR/P/C	\$0	\$0	\$3,500	\$0	\$3,500
DA	\$3,500		\$3,500		100.00%
ESF	\$0				
FSA	\$0				
Total	\$3,500		100.00%		

	ROL	EPPS	CS	GOV	Total
HR/P/C	\$0	\$0	\$501	\$0	\$501
DA	\$501		\$501		100.00%
ESF	\$0				
FSA	\$0				
Total	\$501		100.00%		

	ROL	EPPS	CS	GOV	Total
PPC/M	\$0	\$0	\$835	\$0	\$835
DA	\$835		\$835		100.00%
ESF	\$0				
FSA	\$0				
Total	\$835		100.00%		

	ROL	EPPS	CS	GOV	Total
PPC/M	\$0	\$0	\$605	\$0	\$605
DA	\$605		\$605		100.00%
ESF	\$0				
FSA	\$0				
Total	\$605		100.00%		

	ROL	EPPS	CS	GOV	Total
TOTALS	\$138,963	\$45,816	\$293,033	\$148,987	\$626,799
DA	\$91,719	\$14,788	\$6,526	\$46,948	\$13,366
DFA	\$72,625	\$13,143	\$2,873	\$33,377	\$36,353
ESF	\$245,860	\$18,633	\$15,951	\$99,558	\$52,706
SEED	\$130,050	\$7,368	\$9,952	\$94,898	\$28,753
FSA	\$86,545	\$18,633	\$7,526	\$37,168	\$33,403
Total	\$626,799	22.17%	7.31%	36.73%	23.77%

	ROL	EPPS	CS	GOV	Total
TOTALS	\$113,403	\$33,451	\$224,894	\$201,551	\$573,299
DA	\$136,255	\$29,364	\$16,304	\$58,116	\$44,658
DFA	\$0	\$0	\$0	\$0	\$0
ESF	\$215,370	\$46,242	\$8,602	\$70,256	\$50,273
SEED	\$104,748	\$13,253	\$6,798	\$62,388	\$23,366
FSA	\$116,926	\$36,644	\$7,439	\$33,168	\$34,035
Total	\$573,299	19.79%	6.03%	38.73%	35.16%

* Figures do not include funding for OTI, elements of ESF regional and global democracy funds, and Plan Columbia. Figures are in \$1,000s. Discrepancies with official Budget Office totals are due to using rounded figures.

ROL: Rule of Law CS: Civil Society
EPPS: Elections and Political Pro GOV: Governance

Source: USAID Budget Office -- all figures current as of June 2001

USAID Anti-Corruption Funding

actual obligations

Source: M/B, DCHA/DG

	1991	1992	1993	1994	1995	1997	1998	1999	2000	2001
AFR	0	1,765	834	994	3,579	514	125	813	3,448	2,059
ANE	200	600	2,229	2,269	2,918	160	120	6,255	5,363	4,589
E&E	0	3,374	6,278	41,635	2,523	6,371	1,500	2,045	4,452	3,972
LAC	5,934	1,253	2,263	996	7,002	0	0	2,380	1,700	6,550
Global	0	0	507	1,669	808	284	1,303	39	500	800
Total	6,134	6,992	12,111	47,563	16,830	7,329	3,048	11,532	15,463	17,970

Because of a shift in the Agency's budget accounting system, final data for FY 1996 is unavailable.

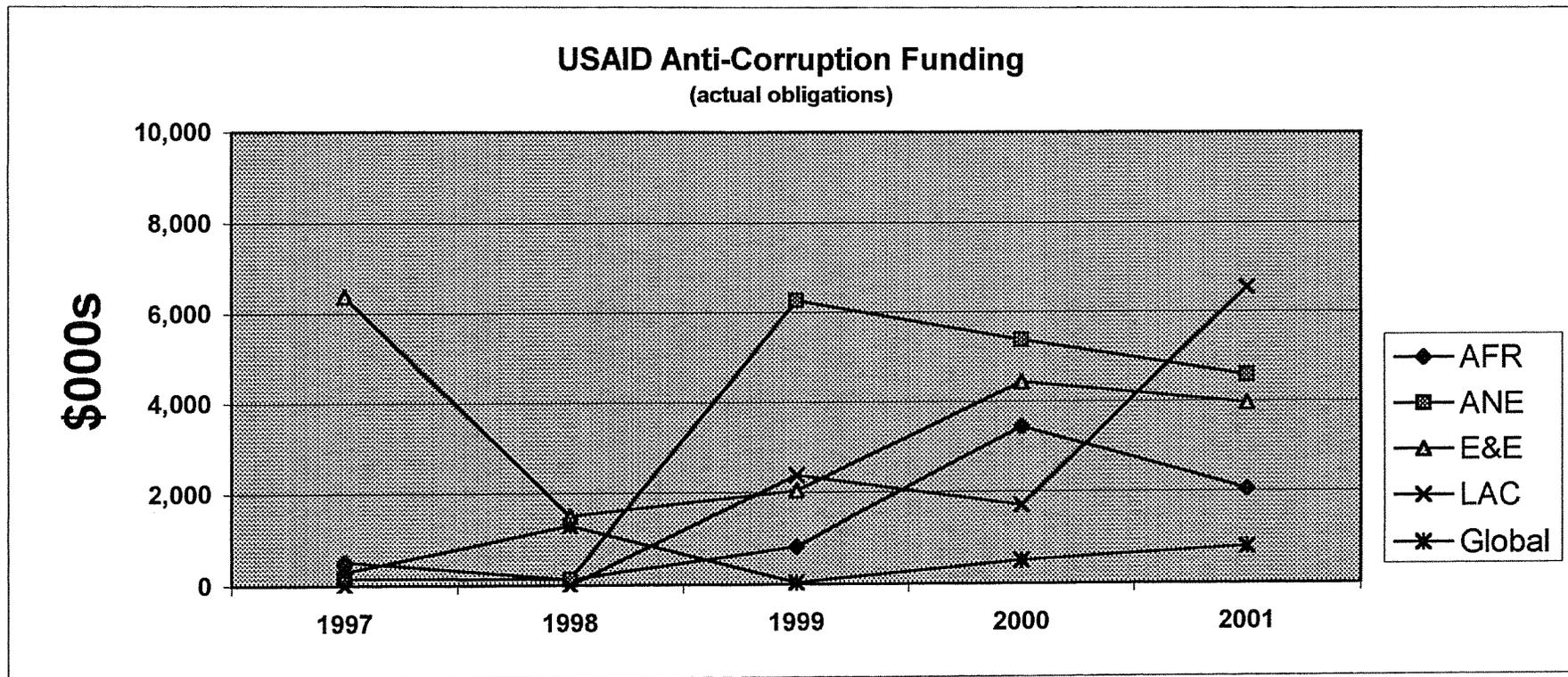


fig. C

FY 2000 (Actual Appropriations, does not include carryover)											
	DA				Total DA	ESF				Total ESF	Grand Total
	ROL	EPPS	CIV SOC	GOV		ROL	EPPS	CIV SOC	GOV		
Angola	\$300		\$1,839		\$2,139			\$500		\$500	\$2,639
	11.37%	0.00%	69.69%	0.00%	81.05%	0.00%	0.00%	0.00%	18.95%	18.95%	100.00%
Benin			\$197	\$1,127	\$1,324			\$250		\$250	\$1,574
	0.00%	0.00%	12.52%	71.60%	84.12%	0.00%	0.00%	0.00%	15.88%	15.88%	100.00%
DROC					\$0			\$2,000		\$2,000	\$2,000
	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%	100.00%	100.00%
Eritrea				\$312	\$312					\$0	\$312
	0.00%	0.00%	0.00%	100.00%	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%
Ethiopia	\$156		\$1,092	\$624	\$1,872					\$0	\$1,872
	8.33%	0.00%	58.33%	33.33%	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%
Ghana			\$450	\$851	\$1,301					\$0	\$1,301
	0.00%	0.00%	34.59%	65.41%	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%
Guinea		\$170	\$1,924	\$170	\$2,264					\$0	\$2,264
	0.00%	7.51%	84.98%	7.51%	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%
Kenya			\$1,924	\$1,075	\$2,999			\$700		\$700	\$3,699
	0.00%	0.00%	52.01%	29.06%	81.08%	0.00%	0.00%	0.00%	18.92%	18.92%	100.00%
Liberia		\$100	\$600	\$300	\$1,000					\$0	\$1,000
	0.00%	10.00%	60.00%	30.00%	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%
Madagascar			\$390		\$390			\$132		\$132	\$522
	0.00%	0.00%	74.71%	0.00%	74.71%	0.00%	0.00%	25.29%	0.00%	25.29%	100.00%
Malawi			\$456	\$1,026	\$1,482					\$0	\$1,482
	0.00%	0.00%	30.77%	69.23%	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%
Mali			\$772	\$1,678	\$2,450					\$0	\$2,450
	0.00%	0.00%	31.51%	68.49%	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%
Mozambique		\$427	\$190	\$1,255	\$1,872		\$500			\$500	\$2,372
	0.00%	18.00%	8.01%	52.91%	78.92%	0.00%	21.08%	0.00%	0.00%	21.08%	100.00%
Namibia			\$472	\$386	\$858					\$0	\$858
	0.00%	0.00%	55.01%	44.99%	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%

fig. D

Nigeria	\$367	\$1,830	\$1,592	\$1,000	\$4,789	\$3,201			\$3,201	\$7,990	
	4.59%	22.90%	19.92%	12.52%	59.94%	0.00%	40.06%	0.00%	0.00%	40.06%	100.00%
Rwanda	\$842		\$281	\$749	\$1,872	\$4,000			\$4,000	\$5,872	
	14.34%	0.00%	4.79%	12.76%	31.88%	68.12%	0.00%	0.00%	0.00%	68.12%	100.00%
Senegal	\$1,236		\$1,428	\$580	\$3,244				\$0	\$3,244	
	38.10%	0.00%	44.02%	17.88%	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%
South Africa	\$1,141	\$107	\$1,063	\$7,041	\$9,352	\$700			\$700	\$10,052	
	11.35%	1.06%	10.58%	70.05%	93.04%	6.96%	0.00%	0.00%	0.00%	6.96%	100.00%
Tanzania			\$1,000	\$950	\$1,950				\$0	\$1,950	
	0.00%	0.00%	51.28%	48.72%	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%
Uganda	\$300		\$226	\$800	\$1,326				\$0	\$1,326	
	22.62%	0.00%	17.04%	60.33%	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%
Zambia	\$589		\$375	\$50	\$1,014				\$0	\$1,014	
	58.09%	0.00%	36.98%	4.93%	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%
Zimbabwe		\$300	\$1,284	\$500	\$2,084	\$300		\$500	\$800	\$2,884	
	0.00%	10.40%	44.52%	17.34%	72.26%	0.00%	10.40%	0.00%	17.34%	27.74%	100.00%
REDSO/East	\$608		\$3,637	\$800	\$5,045	\$750		\$1,499	\$750	\$2,999	\$8,044
	7.56%	0.00%	45.21%	9.95%	62.72%	9.32%	0.00%	18.64%	9.32%	37.28%	100.00%
RCSA		\$665	\$665	\$570	\$1,900				\$0	\$1,900	
	0.00%	35.00%	35.00%	30.00%	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%
Sahel			\$110	\$280	\$390				\$0	\$390	
	0.00%	0.00%	28.21%	71.79%	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%
AFR/SD			\$2,757		\$2,757			\$2,226		\$2,226	\$4,983
	0.00%	0.00%	55.33%	0.00%	55.33%	0.00%	0.00%	44.67%	0.00%	44.67%	100.00%
AFR/DP			\$156		\$156				\$0	\$156	
	0.00%	0.00%	100.00%	0.00%	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%
TOTAL AFR	\$5,539	\$3,599	\$23,658	\$19,595	\$52,391	\$5,450	\$4,001	\$3,857	\$4,700	\$18,008	\$70,399
	7.87%	5.11%	33.61%	27.83%	74.42%	7.74%	5.68%	5.48%	6.68%	25.58%	100.00%

fig. D

USAID Democracy Assistance
Ten-year trends
in \$000s

	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002
Total	\$224,869	\$315,477	\$371,228	\$436,506	N/A	\$322,258	\$558,093	\$506,028	\$573,299	\$643,300	\$648,181
of which											
Rule of Law	31.2%	32.0%	20.1%	31.9%	N/A	19.8%	16.7%	18.7%	19.8%	22.2%	N/A
Elections and Political Processes	17.1%	21.6%	22.8%	14.7%	N/A	8.9%	9.7%	11.8%	5.8%	7.3%	N/A
Civil Society	41.1%	37.4%	40.7%	37.7%	N/A	33.0%	34.6%	36.8%	39.2%	46.8%	N/A
Governance	10.5%	9.0%	16.4%	15.7%	N/A	34.2%	39.0%	32.8%	35.2%	23.8%	N/A

Breakdown by Account

DA/DFA	\$92,972	\$106,204	\$152,751	\$92,000	N/A	\$124,300	\$182,707	\$158,276	\$136,255	\$165,850	\$131,700
ESF	\$105,911	\$144,713	\$61,464	\$127,900	N/A	\$101,600	\$178,035	\$129,676	\$215,370	\$260,856	\$275,538
SEED	\$25,818	\$16,785	\$24,135	\$81,400	N/A	\$64,300	\$100,008	\$83,226	\$104,748	\$130,050	\$160,043
FSA	\$0	\$41,539	\$132,319	\$56,200	N/A	\$42,800	\$97,343	\$134,850	\$116,926	\$86,544	\$80,900

1 Figures for 1995, 1997 rounded to nearest \$00,000

Breakdown by Regional Bureau

Africa	\$55,330	\$72,289	\$103,240	\$70,879	N/A	\$67,370	\$93,807	\$88,588	\$77,599	\$142,630	\$120,500
Asia/Near East	\$22,015	\$30,405	\$25,712	\$80,730	N/A	\$64,259	\$130,745	\$73,625	\$151,663	\$142,301	\$153,788
Europe & Eurasia	\$43,117	\$68,595	\$156,353	\$136,907	N/A	\$107,326	\$221,590	\$228,076	\$231,568	\$227,594	\$240,943
Latin America											
Caribbean	\$101,257	\$132,819	\$75,580	\$109,964	N/A	\$65,861	\$89,418	\$81,038	\$91,725	\$110,091	\$118,050
Other	\$3,150	\$11,369	\$10,343	\$38,026	N/A	\$17,442	\$22,533	\$34,701	\$20,744	\$20,684	\$14,900

* Figures do not include Funding for OTI, elements of ESF regional and global democracy funds, and Plan Columbia.

** Because of a shift in the Agency's budget accounting system, final data for FY 1996 is unavailable.

*** All figures are actual appropriations unless otherwise stated.

Source: USAID Budget Office -- current as of August 2001

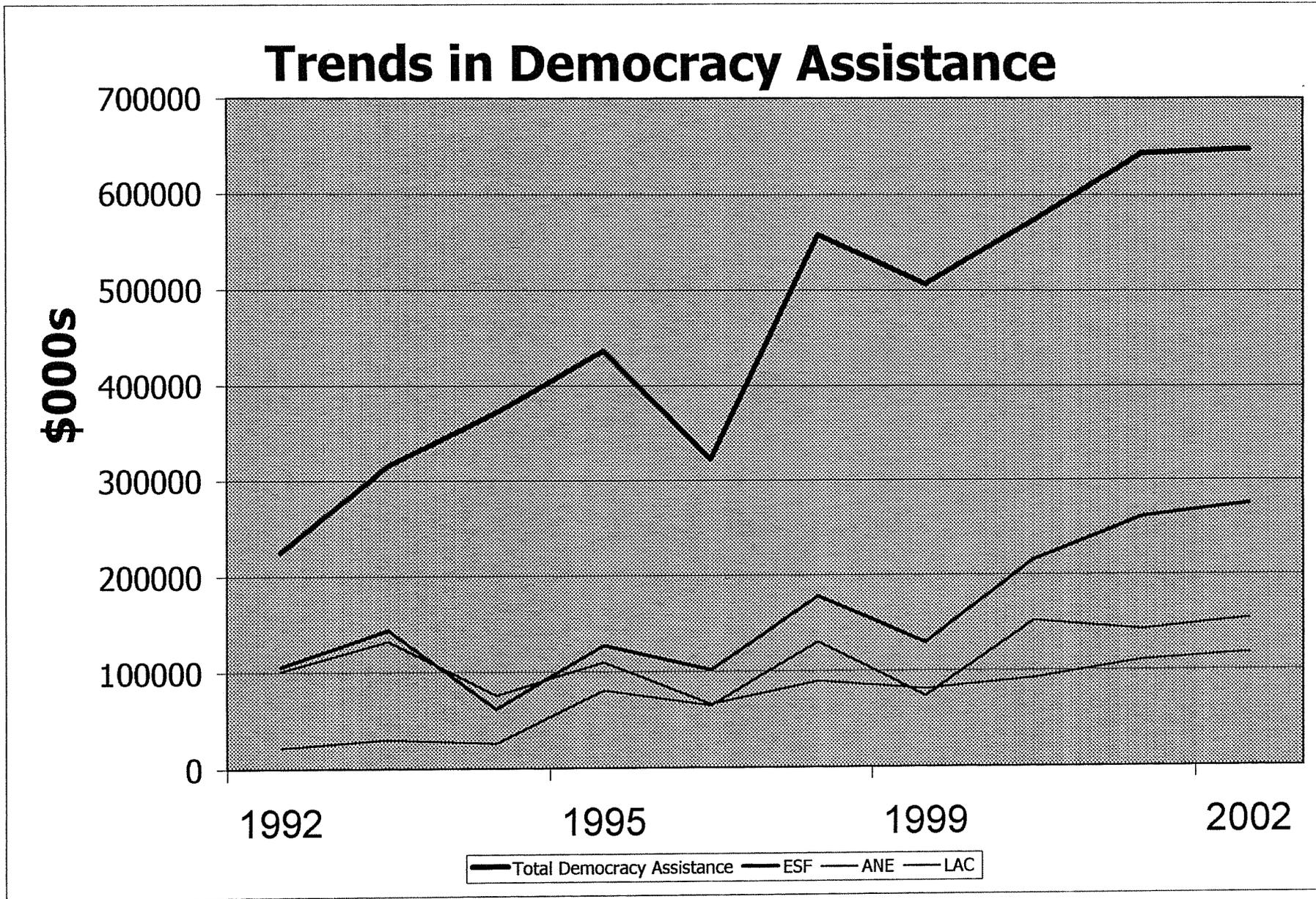


fig. E