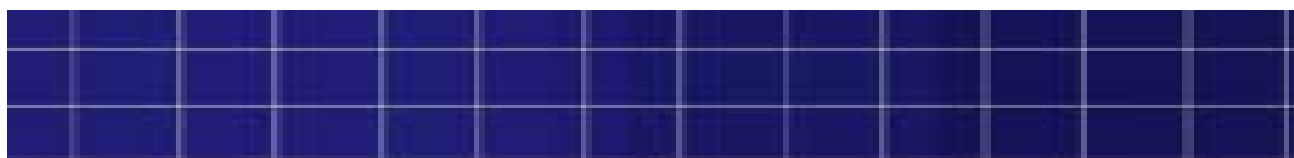


FEDERAL RESOURCE GUIDE FOR SUPPORTING STATE INTERNATIONAL ENGAGEMENT: COPING, COMPETING, AND COOPERATING IN A GLOBAL ECONOMY



FEDERAL RESOURCE GUIDE FOR SUPPORTING STATE INTERNATIONAL ENGAGEMENT: COPING, COMPETING, AND COOPERATING IN A GLOBAL ECONOMY



Prepared by the United States-Asia Environmental Partnership (US-AEP), an interagency and public-private partnership program led by the United States Agency for International Development (USAID). A significant portion of the material contained herein was compiled from the online version of the Catalog of Federal Domestic Assistance (www.cfda.gov), produced by the United States General Services Administration.

AUGUST 2002

Foreword

Foreign relations is an area traditionally viewed as a function strictly of the Federal government. Yet when it comes to the international interests of the United States and the ability of Americans to cope with, compete in and cooperate within the global system, the role of state and local governments looms large.

Over the last twenty years, the participation of state and local governments in international activities has grown dramatically. Trade and commercial interests have largely driven this growth, as evidenced by the increase in overseas state trade offices. Now, cultural exchanges and education are increasingly important. Universities and community colleges, including state-run educational institutions, account for the fifth largest American service “export,” amounting to well over \$12 billion in 1999-2000.

In the conduct of U.S. foreign assistance, the United States Agency for International Development (USAID) is finding an increasing willingness and interest on the part of the states to collaborate and partner with developing countries. Because states are innovative engines of economic growth and social progress, as well as “laboratories of democracy,” their knowledge and experience can be invaluable to developing countries.

Globalization has driven home the fact that local economic development and quality of life will be greatly affected by international events and relationships. In 1997, USAID, in cooperation with the Council of State Governments, sponsored the publication of *“International Dimensions of American Federalism: State Policy Responses to a Changing Global Environment.”* The publication documented the rapid growth of the international activities of the states. Just this year, George Washington University’s Elliott School of International Affairs, supported by USAID, completed a preliminary survey that is designed to provide an update on the growth and scope of state governments’ international activities.

USAID is frequently asked about the availability of federal programs for assisting the states in their international pursuits. In the absence of a single reference source, we decided to produce this prototype publication. *The Federal Resource Guide for Supporting State International Engagement: Coping, Competing, and Cooperating in a Global Economy* details various federal programs that can help state and local institutions advance their international interests. We hope this guide will prove useful to state leaders and others. We also encourage other organizations to consider producing a more comprehensive and improved version of this resource on a regular basis. Such a publication will meet the growing needs and international interests of our state and local governments.

This publication was compiled by an internet search of the Catalog of Federal Domestic Assistance (www.cfda.gov). This catalog, produced annually by the U.S. General Services Administration (GSA), identifies federal programs that provide assistance to states, local governments, non-governmental organizations (NGOs), businesses, academia and individuals. The GSA online catalog was searched using the terms international, foreign, trade, state, and development. Entries resulting from the search were chosen for inclusion in this publication if they were international in scope and if states, localities, NGOs, businesses, universities, or individuals were eligible. Since programs from USAID were not included in the Catalog, entries for relevant USAID programs have been prepared and included.



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Roster of Federal Resources for State-International Programs by Agency

Number	Agency	Name	Type of Assistance	Eligibility						Financial Assist (Y/N)?	Range of Fin. Assistance
				State	Local	NGO	Business	Univ	Individual		
-	Agency for International Development	International Development Partnerships	Partnership Grants	N	N	Y	N	Y	N	Y	Up to \$200,000
-	Agency for International Development	Higher Education Partnerships for Development	Partnership Grants	Y	N	Y	N	Y	N	Y	\$100,000-\$150,000
-	Agency for International Development	Global Technology Network	Development Assistance	N	N	N	Y	N	N	N	N/A
-	Agency for International Development	Resource Cities	Project Grants	N	Y	Y	N	N	N	Y	Up to \$300,000
-	Agency for International Development	United States-Asia Environmental Partnership	Project Grants; Advisory Services	Y	Y	Y	Y	Y	N	Y	\$5,000-\$150,000
-	Agency for International Development	USAID/USEA International Energy Partnership Program	Voluntary partnership agreements	Y	N	N	Y	N	N	Y	\$80,000-\$100,000
10.162	Dept of Agriculture	Inspection Grading and Standardization	Provision of Specialized Services	N	N	N	Y	N	Y	N	N/A
10.962	Dept of Agriculture	International Training Foreign Participant	Project Grants	N	N	Y	N	Y	N	Y	\$10,000-\$40,000
10.601	Dept of Agriculture	Market Access Program	Direct Payments for Specified Use	Y	N	Y	Y	N	N	Y	\$22,000-\$9,611,000
10.961	Dept of Agriculture	Scientific Cooperation and Research	Project Grants; Direct Payments for Specified Use	Y	N	N	N	Y	N	Y	up to \$45,000 (3yrs)
10.907	Dept of Agriculture	Snow Survey and Water Supply Forecasting	Dissemination of Technical Information	Y	Y	Y	Y	Y	Y	N	N/A
11.108	Dept of Commerce	Commercial Service	Advisory Services & Counseling	Y	Y	Y	Y	Y	Y	N	N/A
11.150	Dept of Commerce	Export Licensing Service & Information	Advisory Services & Counseling	Y	Y	Y	Y	Y	Y	N	N/A
11.112	Dept of Commerce	Export Promotion - Market Development Cooperator	Project Grants	Y	N	Y	Y	N	N	Y	\$40,000-\$400,000

Number	Agency	Name	Type of Assistance	Eligibility						Financial Assist (Y/N)?	Range of Fin. Assistance
				State	Local	NGO	Business	Univ	Individual		
11.111	Dept of Commerce	Foreign-Trade Zones in the U.S.	Provision of Specialized Services	N	N	N	Y	N	N	N	N/A
11.025	Dept of Commerce	Measures and Analyses of the U.S. Economy	Dissemination of Technical Information	Y	Y	Y	Y	Y	Y	N	N/A
11.650	Dept of Commerce	National Technical Information Service	Dissemination of Technical Information	Y	Y	Y	Y	Y	Y	N	N/A
11.026	Dept of Commerce	National Trade Data Bank	Dissemination of Technical Information	Y	Y	Y	Y	Y	Y	N	N/A
11.114	Dept of Commerce	Special American Business Internship Training Program	Project Grants	Y	Y	Y	Y	Y	N	Y	\$8,400- \$40,000
11.313	Dept of Commerce	Trade Adjustment Assistance	Project Grants	N	N	Y	Y	N	N	Y	\$610,000- \$1,090,000
11.110	Dept of Commerce	Trade Development	Advisory Services & Counseling	Y	Y	Y	Y	Y	Y	N	N/A
12.550	Dept of Defense	International Education_US Colleges and Universities	Project Grants	N	N	Y	N	Y	N	Y	\$200,000
12.401	Dept of Defense	National Guard Military Operations and Maintenance (O&M) Projects	Project Grants	Y	N	N	N	N	N	Y	\$100,000 and up
84.274	Dept of Ed	American Overseas Research Centers	Project Grants	N	N	Y	N	Y	N	Y	\$73,000
84.153	Dept of Ed	Business and International Education Projects	Project Grants	N	N	N	N	Y	N	Y	\$75,000- \$79,000
84.220	Dept of Ed	Centers for International Business Education	Project Grants	N	N	N	N	Y	N	Y	\$313,000
84.304	Dept of Ed	Cooperative Education Exchange Program	Project Grants	N	N	Y	N	Y	N	Y	\$83,000- \$1,250,000
84.293	Dept of Ed	Foreign Language Assistance	Project Grants	Y	Y	N	N	N	N	Y	\$118,000
84.269	Dept of Ed	Institute for International Public Policy	Project Grants	N	N	N	N	Y	N	Y	\$1,500,000
84.017	Dept of Ed	International Research and Studies	Project Grants	Y	Y	Y	N	Y	Y	Y	\$98,000- \$124,000
84.019	Dept of Ed	International: Overseas Faculty Research Abroad	Project Grants	N	N	N	N	Y	N	Y	\$53,000

Number	Agency	Name	Type of Assistance	Eligibility						Financial Assist (Y/N)?	Range of Fin. Assistance
				State	Local	NGO	Business	Univ	Individual		
84.018	Dept of Ed	International: Overseas Seminars Abroad_Bilateral Projects	Project Grants	N	N	N	N	N	Y	Y	\$161,000
84.022	Dept of Ed	International: Overseas_Doctoral Dissertation	Project Grants	N	N	N	N	Y	N	Y	\$27,000
84.021	Dept of Ed	International: Overseas_Group Projects Abroad	Project Grants	Y	N	Y	N	Y	Y	Y	\$61,000
84.170	Dept of Ed	Javits Fellowships	Project Grants	N	N	N	N	N	N	Y	\$11,000- \$20,500
84.229	Dept of Ed	Language Resource Centers	Project Grants	N	N	N	N	Y	N	Y	\$360,000
84.015	Dept of Ed	National Resource Centers and Fellowships Program for Language and Area or Language and International Studies	Project Grants	N	N	N	N	Y	N	Y	\$137,000- \$202,000
84.337	Dept of Ed	Technological Innovation and Cooperation for Foreign Information Access	Project Grants	N	N	N	N	Y	N	Y	\$167,000
84.016	Dept of Ed	Undergraduate International Studies and Foreign Language Programs	Project Grants	N	N	Y	N	Y	N	Y	\$75,000
81.064	Dept of Energy	Office of Scientific and Technical Information	Dissemination of Technical Information	Y	Y	N	N	Y	N	N	N/A
93.168	Dept of HHS	International Cooperative Biodiversity Groups Program	Project Grants	Y	Y	Y	Y	Y	N	Y	\$575,000
93.106	Dept of HHS	Minority International Research Training Grant in the Biomedical and Behavioral Sciences	Project Grants	N	N	N	N	Y	N	Y	\$200,000
93.989	Dept of HHS	Senior International Fellowships	Project Grants	N	N	N	N	N	Y	Y	\$30,000- \$1,300,000
14.506	Dept of HUD	General Research and Technology Activity	Project Grants	Y	Y	Y	N	N	N	Y	\$15,000- \$1,420,000
15.620	Dept of Interior	African Elephant Conservation	Project Grants	Y	Y	Y	N	Y	N	Y	\$50,000 or less

Number	Agency	Name	Type of Assistance	Eligibility						Financial Assist (Y/N)?	Range of Fin. Assistance
				State	Local	NGO	Business	Univ	Individual		
15.621	Dept of Interior	Asian Elephant Conservation	Project Grants	Y	Y	Y	N	Y	N	Y	\$30,000 or less
15.629	Dept of Interior	Great Apes Conservation	Project Grants	Y	Y	Y	Y	Y	N	Y	\$30,000 or less
15.628	Dept of Interior	Multi-State Conservation Grants	Project Grants	Y	N	Y	N	N	N	Y	\$16,500-\$500,000
15.619	Dept of Interior	Rhinoceros and Tiger Conservation	Project Grants	Y	Y	Y	N	Y	N	Y	\$30,000 or less
17.245	Dept of Labor	Trade Adjustment Assistance_Workers	Direct Payments for Unrestricted Use	N	N	N	Y	N	Y	Y	weekly allowance payments
19.403	Dept of State	American Council of Young Political Leaders	Project Grants	N	N	Y	N	Y	N	N	N/A
19.406	Dept of State	College & University Affiliations Program	N/A	N	N	N	N	Y	N	Y	\$90,000-\$150,000
19.405	Dept of State	College & University Partnerships Program	Project Grants	N	N	N	N	Y	N	Y	\$100,000-\$300,000
19.413	Dept of State	Cultural Exchange (Performing Arts)	Project Grants	N	N	N	N	N	Y	Y	up to \$25,000
19.410	Dept of State	Educational Exchange_Congress-Bundestag Youth Exchange	Project Grants	N	Y	Y	N	N	N	Y	varies
19.418	Dept of State	Educational Exchange_Fulbright American Studies Institutes	Project Grants	N	N	N	N	Y	N	Y	\$182,000-\$255,000
19.400	Dept of State	Educational Exchange_Graduate Students	Project Grants	N	N	N	N	N	Y	Y	\$1,200-\$35,000
19.408	Dept of State	Educational Exchange_Teachers from Secondary and Postsecondary Levels and School Administrators	Project Grants	N	N	N	N	N	Y	Y	\$2,000-\$24,000
19.401	Dept of State	Educational Exchange_University Lecturers (Professors) and Research Scholars	Project Grants	N	N	N	N	N	Y	Y	\$3,500-\$80,000

Number	Agency	Name	Type of Assistance	Eligibility						Financial Assist (Y/N)?	Range of Fin. Assistance
				State	Local	NGO	Business	Univ	Individual		
19.406	Dept of State	College & University Affiliations Program	Project Grants	N	N	N	N	Y	N	Y	\$60,000-\$225,000
19.421	Dept of State	Exchange_English Language Fellowship Program	Project Grants	N	N	N	N	N	Y	Y	\$35,000-\$60,000
19.404	Dept of State	Professional Development_International Educators/Administrators	Project Grants	N	N	Y	N	N	N	Y	\$4,000
19.419	Dept of State	Professional Development_Teacher Training	Project Grants	N	N	Y	N	Y	N	N	N/A
19.415	Dept of State	Professional Exchanges_Annual Open Grant	Project Grants	N	N	Y	N	Y	N	Y	up to \$135,000
19.202	Dept of State	Special Domestic Assignments	Provision of Specialized Services	Y	Y	Y	N	N	N	N	N/A
19.407	Dept of State	Teacher Exchange_New Independent States	Project Grants	N	Y	Y	N	Y	N	N	N/A
66.950	EPA	Environmental Education and Training Program	Project Grants	N	N	Y	N	Y	N	N	N/A
66.930	EPA	US-Mexico Border Grants Program	Project Grants	N	Y	Y	N	Y	N	Y	\$35,000-\$40,000
47.075	National Science Foundation	Social, Behavioral, and Economic Sciences	Project Grants	N	N	Y	N	Y	Y	Y	\$1,000-\$9,000,000
70.002	OPIC	Foreign Investment Financing	Guaranteed/Insured Loans	N	N	N	Y	N	Y	Y	up to \$400 million
70.003	OPIC	Foreign Investment Insurance	Insurance	N	N	Y	Y	Y	Y	Y	\$67,500-\$200 million

Agency for International Development

International Development Partnerships

FEDERAL AGENCY: UNITED STATES AGENCY FOR INTERNATIONAL DEVELOPMENT (USAID),
PARTNER: UNITED NEGRO COLLEGE FUND SPECIAL PROGRAMS CORPORATION (UNCFSP).

AUTHORIZATION: The Foreign Assistance Act of 1961, as amended.

OBJECTIVES: To strengthen developing country institutional ability to meet economic and social development needs; to assist in the achievement of USAID goals and strategic objectives (SOs) of Missions; and to further the international involvement of Historically Black Colleges and Universities (HBCUs).

TYPES OF ASSISTANCE: Partnership Grants.

USES AND USE RESTRICTIONS: UNCFSP will award a minimum of four subagreements to HBCUs each year with developing country institutions of higher education in Africa, Europe and Eurasia, Asia and the Near East, and Latin America and the Caribbean. USAID Missions and geographic bureaus may fund additional non-competitive partnerships in their countries/regions. Allowable cost regulations are available on the USAID website, <http://www.usaid.gov>.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Member institutions of Higher Education and Historically Black Colleges and Universities (HBCUs), consortia of HBCUs, or consortia of other institutions and NGOs led by an HBCU.

Beneficiary Eligibility: Focus countries will be specified in the Request for Applications statement of conditions.

Credentials/Documentation: Not applicable.

APPLICATION AND AWARD PROCESS:

Reapplication Coordination: Information is available in the Request for Applications issued by the United Negro College Fund Special Programs Corporation.

Application Procedure: Applications for awards are submitted in two parts (1) a technical application and (2) a cost application. The technical application must include a detailed description of partnership goals, activities, expected outcomes, and implementation plan with a performance milestones matrix, institutional capacity and past performance, and an evaluation plan. The cost application must include annual budgets, a summary budget for the life of the project, a budget narrative and a budget milestones matrix for the first year of the project. Instructions for completing the applications are found in the Request for Applications.

Award Procedure: Applications will be reviewed by a panel consisting of representatives from U.S. and international institutions of higher education, education officers and development specialists. Staff organize review panel proceedings, provide guidelines for the review process and facilitate discussion about applications, but recommendations of the Peer Review Panel determine the status applications.

Deadlines: Deadlines will be announced in the Request for Applications.

Range of Approval/Disapproval Time: Variable.

Appeals: There are no appeals.

Renewals: The project period may last up to 24-36 months.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Applicants must include direct cost sharing of at least 25 percent in matching funds. All contributions, both cash and in kind, will be accepted as part of the applicant's cost sharing when they are verifiable, not from any other Federally assisted program, and necessary and reasonable to accomplish the partnership's objectives, and are for allowable charges.

Length and Time Phasing of Assistance: A grant is awarded for 24-36 month period.

POST ASSISTANCE REQUIREMENTS:

Reports: Applicants must provide a partnership evaluation plan identifying anticipated outcomes and performance requirements for achieving project goals. The evaluation plan should include procedures for ongoing monitoring and corrective action.

Audits: All fiscal records will be subject to audit by USAID.

Records: As stated in the Request for Applications.

FINANCIAL INFORMATION:

Account Identification: Not applicable.

Obligations: Not applicable.

Range and Average of Financial Assistance: Up to \$200,000.

PROGRAM ACCOMPLISHMENTS: Not available.

REGULATIONS, GUIDELINES, AND LITERATURE: Request for Applications issued by the United Negro College Fund Special Programs Corporation.

INFORMATION CONTACTS:

Regional or Local Office: Not applicable.

Headquarters Office: International Development Partnership Activity, United Negro College Fund Special Programs Corporation, 1444 Eye St. NW, Suite 500, Washington, DC 20005. Contact: Shelby Lewis at slewis@uncf.org.

Web Site Address: <http://www.uncf.org>

RELATED PROGRAMS: See <http://www.usaid.gov>

EXAMPLES OF FUNDED PROJECTS: Not available.

CRITERIA FOR SELECTING PROPOSALS: Technical Quality (25 points); Cost Effectiveness (20 points); Strategic Fit (20 points); Quality of Personnel (15 points); Commitment and Past Performance (10 points); Sustainability (10 points). Total possible points (100).

Agency for International Development **Higher Education Partnerships for International Development**

FEDERAL AGENCY: UNITED STATES AGENCY FOR INTERNATIONAL DEVELOPMENT (USAID), **PARTNER:** THE ASSOCIATION LIAISON OFFICE FOR UNIVERSITY COOPERATION IN DEVELOPMENT

AUTHORIZATION: The Foreign Assistance Act of 1961, as amended.

OBJECTIVES: To support partnerships between higher education institutions in the United States and cooperating countries to demonstrate how they can apply their expertise collaboratively to development challenges, and to increase the capacity of institutions in USAID assisted countries to contribute to development. These partnerships, which usually involve the institutions' private and public sector partners, expand ways in which universities, colleges, and community colleges may meet their mandate of service to include the development of the local community, the region, the nation and another part of the world.

TYPES OF ASSISTANCE: Partnership Grants.

USES AND USE RESTRICTIONS: Funds may be used to support American academic institutions, as they engage with higher education associations and institutions in developing or newly independent countries to address complex economic, social and educational issues and development problems. Strengthen their respective capacities for conducting teaching, research and service to address development priorities, including the improvement of basic education and higher education. Contribute to the preparation of a responsible citizenry and a skilled workforce engaged in a global marketplace. Increase attention to and understanding of international education and development issues on campus and among the institutions' constituencies. Disseminate information and share results of development cooperation both abroad and in the U.S.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: All U.S. accredited institutions of higher education including: member institutions of the American Council on Education (ACE), with the American Association of Community Colleges (AACCC); the American Association of State Colleges and Universities (AASCU); the Association of American Universities (AAU); the National Association of Independent Colleges and Universities (NAICU); the National Association of State Universities and Land-Grant Colleges (NASULGC); and other regionally accredited, degree granting U.S. higher education institutions that are committed to creating institutional partnership programs between U.S. higher education institutions and those in USAID-assisted countries. Also, networks or consortia or state-based economic development collaborations.

Beneficiary Eligibility: Higher education institutions both in the United States and in countries committed to achieving sustainable development and to cooperating with the United States. Institutions submitting proposals are responsible for determining the eligibility of the host country for USAID funds. For further information see the USAID website at <http://www.usaid.gov>.

Credentials/Documentation: Not applicable.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Information is available in the Request for Applications and at <http://www.aascu.org/alo>

Application Procedure: Eligible institutions and consortia may submit an application for funding when the Association Liaison Office for University Cooperation in Development issues a Request for Applications.

Award Procedure: Applications will be peer reviewed by a panel of higher education experts in international development. Awards will be made based on the reviewers' recommendations of merit and to provide balance among categories of participating institutions and development objectives.

Deadlines: Deadlines will be announced in the Request for Applications.

Range of Approval/Disapproval Time: Variable.

Appeals: There are no appeals.

Renewals: The project period may last up to 24-36 months.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Applicants must include cost sharing of at least 25 percent. Both cash and in-kind contributions are accepted.

Length and Time Phasing of Assistance: A grant is awarded for up to a 24-36 month period.

POST ASSISTANCE REQUIREMENTS:

Reports: Semi-Annual Reports are required. One person from the U.S. institution and one person from the cooperating country institution to attend an annual workshop focusing on results and lessons learned.

Audits: All fiscal records will be subject to audit by USAID.

Records: As stated in the Request for Applications.

FINANCIAL INFORMATION:

Account Identification: Not applicable.

Obligations: Not applicable.

Range and Average of Financial Assistance: Up to \$100,000- \$150,000.

PROGRAM ACCOMPLISHMENTS: Numerous partnerships since 1998. See <http://www.aascu.org/alo>.

REGULATIONS, GUIDELINES, AND LITERATURE: Request for Applications issued by the Association Liaison Office for University Cooperation in Development, USAID.

INFORMATION CONTACTS:

Regional or Local Office: Not applicable.

Partner Headquarters Office: The Association Liaison Office for University Cooperation in Development, 1307 New York Ave. NW, Suite 500, Washington, DC 20005-4701. Contact: <http://www.aascu.org/alo>

Web Site Address: <http://www.aascu.org/alo>

RELATED PROGRAMS: See <http://www.usaid.gov>

EXAMPLES OF FUNDED PROJECTS: See <http://www.aascu.org/alo/IP/proposals.htm>

CRITERIA FOR SELECTING PROPOSALS: Development need and USAID objectives (25 points); Project design and potential results (25 points); Mutuality and sustainability of partnership (25 points); Evaluation plan (10 points); Overall presentation and budget (10 points); Public outreach (5 points). Total possible points (100).

Agency for International Development

Global Technology Network

FEDERAL AGENCY: UNITED STATES AGENCY FOR INTERNATIONAL DEVELOPMENT (USAID).

AUTHORIZATION: The Foreign Assistance Act of 1961, as amended.

OBJECTIVES: The Global Trade & Technology Network (GTN) is a USAID program which promotes economic growth in developing countries through trade, investment, and technology transfer. Through GTN, USAID assists small and medium enterprises (SMEs) in developing countries to access global markets through an all-horizons trade initiative, enhancing the potential of these firms to engage in business transactions with firms in any market worldwide. GTN also facilitates two way bilateral trade with U.S. companies to assist SMEs build capacity through the transfer of new technology, which allows them to manufacture at U.S. quality standards for the purpose of developing bilateral export sales. GTN is free of charge to all participants. GTN Partner organizations include: 38 state trade offices, over 20 industry associations, all federal government agencies involved in trade promotion and trade financing, multi-lateral agencies as well as some county development offices, and universities.

TYPES OF ASSISTANCE: Assists U.S. firms and SMEs in developing countries to compete in the international marketplace through assistance in trade and investment, technology transfer, follow-up services, business development missions, trade finance assistance, technical assistance, and global market research.

USES AND USE RESTRICTIONS: Priority sectors for technology transfer are information and communication and environment and energy. All GTN services are free of charge. Companies must register to access the business opportunities.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: GTN services are available to firms in all industry sectors in Africa, Asia, the Near East, Central and Southeast Europe, Eurasia, Latin America, the Caribbean, and the United States.

Beneficiary Eligibility: As stated above.

Credentials/Documentation: Not applicable.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Not applicable.

Application Procedure: Firms in all GTN countries register, free of charge, on the website www.usgtn.net.

Award Procedure: Not applicable.

Deadlines: Not applicable, ongoing program.

Range of Approval/Disapproval Time: Not applicable.

Appeals: Not applicable.

Renewals: Not applicable, ongoing program.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Not applicable.

Length and Time Phasing of Assistance: Not applicable.

POST ASSISTANCE REQUIREMENTS:

Reports: Not applicable.

Audits: Not applicable.

Records: Not applicable.

FINANCIAL INFORMATION:

Account Identification: Not applicable.

Obligations: Not applicable.

Range and Average of Financial Assistance: Not applicable.

PROGRAM ACCOMPLISHMENTS: Since 1994, over 4,000 overseas trade leads have been developed and disseminated to GTN firms. This has resulted in over \$345 million in transactions over the last nine years.

REGULATIONS, GUIDELINES, AND LITERATURE: See www.usgtn.net.

INFORMATION CONTACTS:

Regional or Local Office:

Headquarters Office: Global Trade & Technology Network, 1301 Pennsylvania Avenue, NW, Suite 925, Washington, D.C. 20004. Email: usgtn@usgtn.net. Tel: 202-628-9750. Fax: 202-628-9740

Web Site Address: <http://www.usgtn.net>

RELATED PROGRAMS: GTN works with federal partner organizations including the US Small Business Administration, the Export-Import Bank of the United States, and the Overseas Private Investment Corporation, in addition to state trade finance, and private sector financing organizations to assist firms in identifying financing for transactions.

EXAMPLES OF FUNDED PROJECTS: GTN success stories have taken place across many sectors, including health, agribusiness, information and communications technology, environment and energy. A European distributor and a company in Missouri signed a contract for the purchase of milk-cooling tanks worth \$35,000. This purchase will help some 150 farmers, members of an agricultural association in Eastern Europe, improve their delivery capacity and expand their clientele. A Tunisian firm purchased wind turbines from a U.S. Company in Arizona. The total contract was over \$100,000 and included 100 wind turbines intended for use in rural village communities. In partnership with the US-Asia Environmental Partnership (US-AEP), GTN assisted a company in Virginia to facilitate the sale of a modular waste incinerator, worth nearly \$2 million, to a Korean company.

CRITERIA FOR SELECTING PROPOSALS: Not applicable.

Agency for International Development

International Resource Cities Program (IRCP)

FEDERAL AGENCY: UNITED STATES AGENCY FOR INTERNATIONAL DEVELOPMENT (USAID).

AUTHORIZATION: The Foreign Assistance Act of 1961, as amended.

OBJECTIVES: The IRCP is a joint partnership between USAID, International City/County Management Association (ICMA), and ICMA members. Funding of the partnerships is provided by USAID. The IRCP provides direct, flexible, and low-cost access to U.S. cities, counties, and associations. The program builds practical, problem-solving relationships between U.S. local governments and associations and their counterparts in developing and transitional countries to support international technical assistance, information sharing, and technology exchange.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: These funds cover the costs of international travel, accommodations, and related expenses. ICMA staff provides technical and logistical support to both the United States and overseas partners. The designated resource cities, both in the United States and overseas, are expected to cover the salaries of their employees while they are participating in short-term overseas assignments, which are not expected to exceed two weeks per trip.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: City governments and associations of both the U.S. and foreign countries.

Beneficiary Eligibility: Partnerships are between U.S. and foreign cities.

Credentials/Documentation: Not available.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: The exact scope of assistance will be determined in consultation with the overseas counterpart and outlined in a memorandum of understanding (MOU). It is anticipated that the MOU will be drafted and signed by official representatives of both local governments/associations and ICMA during or following the first exchange visit.

Application Procedure: See www.icma.org

Award Procedure: See www.icma.org

Deadlines: Variable.

Range of Approval/Disapproval Time: Variable.

Appeals: Not available.

Renewals: Not available.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Both cities in the partnership donate staff time, but costs for all travel and accommodations for each city are covered.

Length and Time Phasing of Assistance: 18-24 months.

POST ASSISTANCE REQUIREMENTS:

Reports: An annual report for each partnership is required.

Audits: Not available.

Records: Not available.

FINANCIAL INFORMATION:

Account Identification: Not available.

Obligations: Not available.

Range and Average of Financial Assistance: Up to \$300,000.

PROGRAM ACCOMPLISHMENTS: Concrete results include development of municipal strategic plans, new processes for budget forecasting, citizen surveys, citizen information centers, economic development centers and incubators, and marketing profiles; and analysis of specific improvements to water supply, wastewater, and solid waste management, including improvements in landfill management.

REGULATIONS, GUIDELINES, AND LITERATURE: See www.icma.org

INFORMATION CONTACTS:

Headquarters Office: Jon Bormet, Director, 202/962-3508; fax, 202/962-3681; e-mail, jbormet@icma.org; Melissa Speed, Program Manager, 202/962-3522; fax, 202/962-3681; e-mail, mspeed@icma.org

Web Site Address: www.icma.org

RELATED PROGRAMS: US-Asia Environmental Partnership.

EXAMPLES OF FUNDED PROJECTS: In Rayong, Thailand, a new citizen budget review committee has been formed. Modeled on its Portland partner, the five-member committee will review the budget and offer its comments as a routine part of the city's budget-making process. In Ulaanbaatar, Mongolia, a citizens' committee already exists to review all proposed tax/fee increases. The city wishes to raise fees to generate additional revenues, but the citizen's committee has objected. As a result, members of the citizens' committee will be a part of a future delegation to the partner city of Bakersfield, California, so that they may see first-hand how the fees work, and how they are fairly administered. In New Amsterdam, Guyana, the RCP is involving the local community, women's groups, the chamber of commerce, and Rotary and Lion's Clubs to encourage them to take a leadership role in their community.

CRITERIA FOR SELECTING PROPOSALS: Not available.

Agency for International Development

United States-Asia Environmental Partnership

FEDERAL AGENCY: ASIA AND NEAR EAST BUREAU, UNITED STATES AGENCY FOR INTERNATIONAL DEVELOPMENT (USAID).

AUTHORIZATION: The Foreign Assistance Act of 1961, as amended.

OBJECTIVES: Led by the United States Agency for International Development, the United States-Environmental Partnership (US-AEP) is a unique interagency and public-private partnership program which promotes a clean revolution in Asia. US-AEP supports sustainable development in Asian countries by creating partnerships, transferring best practices, and facilitating trade between the US and Asia.

TYPES OF ASSISTANCE: Project Grants and Advisory Services.

USES AND USE RESTRICTIONS: The purpose of US-AEP is to support a collaborative approach (strengthening partnerships and networks) to increase understanding of Asian environmental problems and appropriate responses, and focus on specific issues where U.S. environmental technology is most appropriate. Priority will be given to activities which: demonstrate a collaborative approach; have a focused topic, as opposed to a general theme; are hosted, supported and endorsed by public or private Asian institutions; demonstrate significant cost-sharing by the sponsors; and have the potential for follow-on activities of relevance to US-AEP.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Asian, Pacific, U.S. or international non-governmental organizations (NGOs), community-based organizations, business associations, small (up to \$50 million in annual sales) and medium-sized enterprises (SMEs), universities, and U.S. state and local governments. Priority will be given to proposals for grants that will fund activities in the U.S. or Asia which: foster the transfer of U.S. environmental technology to Asia; enhance the understanding of Asians of environmental problems and/or response measures; and focus on specific problems or issues where U.S. environmental technology is most appropriate.

Beneficiary Eligibility: Focus countries include: India, Indonesia, Philippines, Sri Lanka, Thailand, and Vietnam, limited eligibility in Hong Kong, Korea, Malaysia, Singapore, and Taiwan.

Credentials/Documentation: Not applicable.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Variable.

Application Procedure: US-AEP has multiple grant and technical assistance programs which vary by implementing partner. For more information, contact the US-AEP Secretariat or visit www.usaep.org.

Award Procedure: Variable according to implementing partner.

Deadlines: Variable.

Range of Approval/Disapproval Time: Variable.

Appeals: Not available.

Renewals: Variable.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Matching and in-kind contributions are required.

Length and Time Phasing of Assistance: Variable.

POST ASSISTANCE REQUIREMENTS:

Reports: Final reports are required for all grants; periodic reports may be required in some cases.

Audits: Not available.

Records: Receipts must be provided for all expenses.

FINANCIAL INFORMATION:

Account Identification: Not Applicable.

Obligations: FY02 \$11.5 million, FY03 \$8.5 million.

Range and Average of Financial Assistance: Depending on project and grant program, \$5,000 to \$150,000.

PROGRAM ACCOMPLISHMENTS: US-AEP accomplishes its goals by focusing its efforts on four specific program areas: policy and environmental regulation, urban environmental management, industrial environmental management, and technology cooperation. For example, US-AEP has aided in the development of wastewater treatment systems in the Philippines, Thailand and Singapore. These projects will transport over 210 million gallons of wastewater per day and serve the needs of 5 million people. US-AEP has guided solid waste management initiatives in India, the Philippines, Korea and Taiwan, using U.S. expertise and technology to benefit the lives of millions of people. US-AEP has been instrumental discontinuing the use of leaded gasoline use in Indonesia, the Philippines and Vietnam. These efforts have resulted in the acceleration of a leaded gasoline phase-out by the Vietnamese government from fifteen to six years.

REGULATIONS, GUIDELINES, AND LITERATURE: Information is available at www.usaep.org

INFORMATION CONTACTS:

Headquarters Office: USAID, 1300 Pennsylvania Ave, NW 4th floor, Washington, DC 20523, Tel: (202) 712-1881. Technical Support Services Contract, US-AEP, Julie Haines, Chief of Party, 1819 H St., NW, 7th Floor, Washington, DC 20006, Tel: (202) 835-0333.

Institute of International Education, Exchange Program for Sustainable Growth, Larry Lai, Managing Director, 1400 K Street, NW, Suite 650, Washington, DC 20005, Tel: (202) 326-7700.

Council of State Governments, State Environmental Initiative, Chris Whatley, Director of International Programs, Hall of the States, 444 N. Capitol St, NE, Suite 401, Washington, DC 20001, Tel: (202) 624-5460.

Web Site Address: <http://www.usaep.org>

CRITERIA FOR SELECTING PROPOSALS: Demonstration of a collaborative approach; focused topic, as opposed to a general, generic theme; hosted, supported and endorsed by public or private Asian institutions; demonstration of significant cost-sharing by sponsors; potential for follow-on activities of relevance to US-AEP.

Agency for International Development **USAID/USEA International Energy Partnership Program**

FEDERAL AGENCY: UNITED STATES AGENCY FOR INTERNATIONAL DEVELOPMENT (USAID), **PARTNER:** UNITED STATES ENERGY ASSOCIATION (USEA)

AUTHORIZATION: Foreign Assistance Act of 1961, as amended.

OBJECTIVES: 1. To strengthen developing country institutional ability to meet energy infrastructure needs; 2. To assist in the achievement of USAID goals and strategic objectives (SOs) of Missions; 3. To promote efficient, sustainable and environmentally sound approaches for energy system operation and regulation; and 4. To help enhance opportunities for U.S. investment and trade in international energy markets.

TYPES OF ASSISTANCE: Voluntary partnership agreements.

USES AND USE RESTRICTIONS: Costs are consistent with USAID regulations. Organizations participate on a voluntary basis, and no formal grant agreements are executed. With USAID funds, USEA manages the travel, lodging, meals and related expenses for U.S. participants on executive exchange visits to partner countries. Participating companies or entities are responsible for the salaries, benefits and indirect costs of individual participants. Program matches U.S. electric utilities and regulatory commissions with counterparts in selected USAID-assisted countries only.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Any investor-owned or municipal electric utility based in the U.S., any U.S. state utility regulatory commission, or any U.S. Regional Transmission Operator.

Beneficiary Eligibility: Eligible countries are determined by USAID and USEA.

Credentials/Documentation: Not applicable.

APPLICATION AND AWARD PROCESS:

Pre-application Coordination: Information is available from USEA at <http://www.usea.org> or the contact information listed below.

Application Procedure: Please contact USEA regarding the format for a written expression of interest.

Award Procedure: Selection of U.S. partners is made by USAID, USEA, and potential utility or regulatory partners overseas.

Deadlines: N/A

Range of Approval/Disapproval Time: Variable.

Appeals: There are no appeals.

Renewals: Subject to availability of funding.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Applicants must be willing to provide the salaries, benefits and other indirect costs of their participants.

Length and Time Phasing of Assistance: Partnership duration is 12-24 months.

POST ASSISTANCE REQUIREMENTS:

Reports: Applicants must provide results-focused trip reports and an overall assessment of the partnership results.

Audits: N/A

Records: N/A

FINANCIAL INFORMATION:

Account Identification: N/A

Obligations: N/A

Range and Average of Financial Assistance: \$80,000 - 100,000 (for travel-related expenses only)

PROGRAM ACCOMPLISHMENTS: Improvements in the delivery of energy services.

REGULATIONS, GUIDELINES, AND LITERATURE:To request more information on how to participate, contact USEA.

INFORMATION CONTACTS:

Regional or Local Office: N/A

Headquarters Office: Energy Partnership Program, United States Energy Association, 1300 Pennsylvania Ave., NW, Suite 500, Mailbox 142, Washington, DC 20004-3022. Contact: Barry Worthington at 202-312-1230 or bworthington@usea.org

Web Site Address: <http://www.usea.org>

RELATED PROGRAMS: See <http://www.usaid.gov>

EXAMPLES OF FUNDED PROJECTS: *Partnerships in Africa:* NEPA (Nigeria's national utility) and Georgia Power; ERB (the national regulatory commission of Zambia) and the state commissions of Oregon, Connecticut and the District of Columbia. *Partnerships in Asia:* NEA (the national utility of Nepal) and Wisconsin Electric and Tacoma Power; BSES Orissa (an Indian utility) and Tucson Electric Power and Pinnacle West; PGC (a Bangladeshi utility) and Bonneville Power; GERC (an Indian state regulator) and the state regulatory commissions of New Jersey and Ohio. *Partnerships in Eurasia:* Crimenergo (a Ukrainian utility) and Otter Tail Power; Ministry of Natural Resources and Environmental Protection of Kazakhstan and the Alabama State Oil and Gas Board; the National Energy Commission of Armenia and the state regulatory commission of Kentucky. *Partnerships in Latin America:* ANEEL (Brazil's national regulatory commission) and the state commission of Pennsylvania; ACERCA (the regional regulatory body of Central America) and the state commissions of Idaho and Kansas.

CRITERIA FOR SELECTING PROPOSALS: Compatibility of U.S. and international partners

Department of Agriculture

10.162 Inspection Grading and Standardization (Agricultural Fair Practices Act)

FEDERAL AGENCY: AGRICULTURAL MARKETING SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION: Agricultural Marketing Act of 1946, 7 U.S.C. 1622a- J, 1-n; Cotton Statistics and Estimates Act, 7 U.S.C. 473d; Cotton Futures Act, 7 U.S.C. 15b; Cotton Standards Act, 7 U.S.C. 51-65; Naval Stores Act, 7 U.S.C. 91-99; Tobacco Inspection Act, 7 U.S.C. 511 i-q; Wool Standards Act, 7 U.S.C. 415b-d; Egg Products Inspection Act, Public Law 91-597, 21 U.S.C. 1031-1056; Dairy and Tobacco Adjustment Act of 1983, 7 U.S.C. 4501-4513; Tobacco Statistics Act, 7 U.S.C. 501-508; Food Security Act of 1985, as amended.

OBJECTIVES: To develop and apply standards of quality and condition for agricultural commodities; to participate and assist in the development of international agricultural standards; and to conduct quarterly inspections of egg handlers and hatcheries.

TYPES OF ASSISTANCE: Provision of Specialized Services.

USES AND USE RESTRICTIONS: USDA grades are used by the owners of agricultural commodities and by dealers in these commodities to Hatcheries and shell egg handlers, except for producer-packers with an annual production from 3,000 hens or less packing for the retail consumer are required to be checked quarterly to determine the disposition of certain undergrade eggs.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Any owner of or dealer in agricultural commodities who (a) has a financial interest in the commodity to be graded and (b) is located within the United States and its Territories. All hatcheries and shell egg handlers having an annual production from 3,000 or more hens who pack for the retail consumer and are located within the U.S. or its Territories.

Beneficiary Eligibility: Buyers and sellers of agricultural commodities. Shell egg handlers having an annual production from 3,000 or more hens who pack for the retail consumer and are located in the U.S. or its Territories.

Credentials/Documentation: None.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: None. This program is excluded from coverage under E.O. 12372.

Application Procedure: Request for grading of a commodity must be submitted to local AMS grading office in writing. Eligible hatcheries apply for registration by contacting: Chief, Poultry Grading Branch, Agricultural Marketing Service, Department of Agriculture, Washington, DC 20250.

Award Procedure: Eligible hatcheries and shell egg handlers must complete registration forms.

Deadlines: None.

Range of Approval/Disapproval Time: Not applicable.

Appeals: In cases in which applicants do not agree with the grade given, they may appeal for a review of the grade to the local AMS office.

Renewals: Not applicable.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Not applicable.

Length and Time Phasing of Assistance: Not applicable.

POST ASSISTANCE REQUIREMENTS:

Reports: None.

Audits: In accordance with the provisions of 7 CFR Part 3052, which implement OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 7 CFR 3052.

Records: None.

FINANCIAL INFORMATION:

Account Identification: 12-2500-0-1-352; 12-9972-0-7-352.

Obligations: FY 01 \$258,564,000; FY 02 est \$187,077,000; and FY 03 est \$194,403,000.

Range and Average of Financial Assistance: Not applicable.

PROGRAM ACCOMPLISHMENTS: Number of bales of cotton classed in (thousands) fiscal year 2001, 15,656; fiscal year 2002, estimate 18,925; and fiscal year 2003 estimate, 15,589. Number of tobacco auction markets inspected fiscal year 2001, 89; fiscal year 2002, estimate 89; and fiscal year 2003, estimate 89. Pounds of imported and domestic tobacco inspected in (millions) fiscal year 2001, 1,242; fiscal year 2002, estimate 568; and fiscal year 2003, estimate 568. Pounds of poultry products graded (in millions) fiscal year 2001, 14,536; fiscal year 2002, 14,875; and fiscal year 2003, 15,150. Pounds of meat graded or accepted (in millions) fiscal year 2001, 39,822; fiscal year 2002, estimate 39,500; and fiscal year 2003, estimate 40,000. Shell eggs grading (mil. dz.) fiscal year 2001, 2,327; fiscal year 2002, estimate 2,376; and fiscal year 2003, estimate 2,425. Processed fruit and vegetable grading (mil. lbs.) fiscal year 2001, 20,353; fiscal year 2002, estimate 20,000; and fiscal year 2003, estimate 20,000. Fresh fruit and vegetable grading (mil. lbs.) fiscal year 2001, 76,000; fiscal year 2002, estimate 76,000; and fiscal year 2003, estimate 76,000. Livestock grading (mil. lbs.) fiscal year 2001, 18; fiscal year 2002, estimate 40; and fiscal year 2003, estimate 40. Dairy products grading (mil. lbs.) fiscal year 2001, 1,610; fiscal year 2002, estimate 1,670; and fiscal year 2003, estimate 1,840.

REGULATIONS, GUIDELINES, AND LITERATURE: 7 CFR 26-32, 41-70, 160- 171, 205; AH-533, "USDA Standards for Food and Farm Products;" Marketing Bulletin No. 37, "The Story of Cotton;" "Annual Report on Tobacco Statistics, AH-566, The Classification of Cotton;" Misc. Pub. No. 867, "Tobacco in the U.S.;" quarterly report— "Tobacco Stocks Reports as of Jan. 1, April 1, July 1, and Oct. 1;" MS-82, "Cotton Testing Service;" AMS-180 MB-41, "Market News Service on Cotton;" MB-43, 7 CFR 2851, 2852, 2853, 2855, 2856, 2858, 2870, 28171; AH-341, "USDA Standards for Food and Farm Products." AH-31, "Poultry Grading Manual." AH-75, "Egg Grading Manual." Egg and Egg Products Inspection Regulations (7 CFR Part 2859); POB-FL-33, Tobacco Market Review for Flue-cured; POB-LA-34, Tobacco Market Review for Burley; POB-LA-33, Tobacco Market Review for Fire and Dark Air.

INFORMATION CONTACTS:

Regional or Local Office: Local Agricultural Marketing Service inspection, grading, and classing offices are listed in Appendix IV of the Catalog.

Headquarters Office: Associate Administrator, Agricultural Marketing Service, Department of Agriculture, Washington, DC 20250. Tel: (202) 720-4276. Contact: Dr. Ken Clayton.

Web Site Address: <http://www.ams.usda.gov>

RELATED PROGRAMS: 10.500, Cooperative Extension Service.

EXAMPLES OF FUNDED PROJECTS: Not applicable.

CRITERIA FOR SELECTING PROPOSALS: Not applicable.

Department of Agriculture

10.962 International Training Foreign Participant

FEDERAL AGENCY: FOREIGN AGRICULTURAL SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION: National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended, 7 U.S.C. 3291; Food Security Act of 1985, as amended, Public Law 99-198.

OBJECTIVES: To assist U.S. colleges and universities in strengthening their capabilities for food, agricultural, and related research training and extension relevant to agricultural development activities in other countries.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Reimbursable agreements are used to fund projects involved with international training activities, including course development and/or evaluation.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: U.S. institutions of higher education or nonprofit organizations included with agricultural development and education activities.

Beneficiary Eligibility: U.S. institutions of higher education or nonprofit organizations included with agricultural development and education activities.

Credentials/Documentation: None. This program is subject to the provisions of OMB Circular Nos. A-21 and A-122. This program is excluded from coverage under OMB Circular No. A-87.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Requests for proposals will be published in the Federal Register. This program is excluded from coverage under E.O. 12372.

Application Procedure: Instructions for requests for proposals will be published in the Federal Registers. This program is subject to the provisions of OMB Circular No. A-110. This program is excluded from coverage under OMB Circular No. A-102.

Award Procedure: All proposals are evaluated by technical experts which rate the qualifications of applicants and merits of the proposals.

Deadlines: None.

Range of Approval/Disapproval Time: Not applicable.

Appeals: None.

Renewals: Extension may be authorized.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance: Assistance is phased according to the requirements of individual projects.

POST ASSISTANCE REQUIREMENTS:

Reports: None.

Audits: In accordance with the provisions of 7 CFR Part 3052, “Audits of States, Local Governments, and Non-Profit Organizations,” which implement OMB Circular No. A-133 (Revised, June 24, 1997), “Audits of States, Local Government, and Non-Profit Organizations,” nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audits requirements for that year, except as noted in 7 CFR 3052.

Records: None.

FINANCIAL INFORMATION:

Account Identification: 12-3200-0-1-352.

Obligations: (Reimbursable Agreements) FY 01 \$2,020,011; FY 02 est \$2,080,611; and FY 03 est \$2,080,611.

Range and Average of Financial Assistance: \$10,000 to \$40,000. Average: \$18,900.

PROGRAM ACCOMPLISHMENTS: This program currently includes short term agricultural and trade related training programs for senior and mid-level officials including marketing and production of forestry and wood products; soybean utilization; rice processing; food safety; and dairy production/livestock management.

REGULATIONS, GUIDELINES, AND LITERATURE: 7 CFR 3015.

INFORMATION CONTACTS:

Regional or Local Office: None.

Headquarters Office: Dr. Frank A. Fender, FAS\International Cooperation and Development, Food Industries Division, Department of Agriculture, Washington DC 20250-1086. Tel: (202) 690-1339.

Web Site Address: <http://www.fas.usda.gov>

RELATED PROGRAMS: None.

EXAMPLES OF FUNDED PROJECTS: Short term training in brown rice processing and utilization; utilization of wood products; U.S. food safety regulations; and tropical dairy management.

CRITERIA FOR SELECTING PROPOSALS: Criteria are dependent upon identification of specific project requirements and program priorities developed by ICD, in consultation with collaborating agencies and organizations. Contact FAS/ICD/FID for additional information.

Department of Agriculture

10.601 Market Access Program (MAP)

FEDERAL AGENCY: FOREIGN AGRICULTURAL SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION: Agricultural Trade Act of 1978, as amended, Section 203.

OBJECTIVES: To encourage the creation, maintenance, and expansion of commercial export markets for U.S. agricultural commodities through cost-share assistance to eligible trade organizations that implement a foreign market development program.

TYPES OF ASSISTANCE: Direct Payments for Specified Use.

USES AND USE RESTRICTIONS: Market Access Program (MAP) funds are authorized through program agreements that provide for partial reimbursement of eligible promotional expenses in a Unified Export Strategy application approved by the Foreign Agricultural Service (FAS), the agency which administers the program for the Commodity Credit Corporation (CCC). MAP participants may receive assistance for either generic or brand promotion activities. Program funds help finance activities such as consumer advertising, point of sale demonstrations, public relations, trade servicing activities, participation in trade fairs and exhibits, market research and technical assistance.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: To be approved, applicants must be: (1) A nonprofit U.S. agricultural trade organization; (2) a nonprofit State regional trade group; (3) a U.S. agricultural cooperative; (4) a State agency; or (5) a U.S. commercial entity that is a small-sized entity (other than a cooperative or producer association).

Beneficiary Eligibility: CCC will enter into MAP agreements only where the eligible agricultural commodity is comprised of at least 50 percent U.S. origin content by weight, exclusive of added water.

Credentials/Documentation: Applicants are required to provide a competent, experienced staff and other resources to assure adequate development, supervision, and execution of promotion activities. All applicants must submit a written proposal which provides a brief discussion of the commodity for which assistance is requested; the proposed program with a justification; and a strategic plan. In addition, all applicants must submit a statement certifying that any CCC resources received will supplement, but not supplant, any private or third party funds or other contributions to program activities. This program is excluded from coverage under OMB Circular No. A-87.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: None. This program is excluded from coverage under E.O. 12372 and OMB Circular No. A-102.

Application Procedure: Each year the availability of funds is announced in a Federal Register notice. At this time a written export strategy should be submitted to the Director, Marketing Operations Staff, FAS. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure: Applications are reviewed against the allocation criteria and factors set forth in 7 CFR Part 1485. CCC notifies each applicant of the final disposition of its application and also issues a public announcement concerning the allocation of resources among the applicant organizations. Each approved applicant receives a program agreement and allocation approval letter which specifies any special terms and conditions applicable to a participant's program. Final agreement occurs when both the participating organization and the Administrator of FAS in the Administrator's capacity as Vice President, CCC, sign the program agreement.

Deadlines: Application deadline is announced in the form of a Federal Register notice.

Range of Approval/Disapproval Time: Approximately 90 days.

Appeals: Not applicable.

Renewals: Not applicable. Program commitments are made on a program year basis.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: A participant's contribution requirement will be specified in the export strategy approval letter. Participants must contribute at least 10 percent of the value of resources provided by CCC for generic promotions. Such contributions may be in the form of cash, goods or services. In the case of brand promotions, a participant must contribute at least 50 percent of the total cost of such brand promotions. The brand promotion program is by its very nature a matching funds program.

Length and Time Phasing of Assistance: Agreements generally include a twelve-month promotional effort and a provision for program evaluation by an independent third party. Funds awarded in any given fiscal year are typically available for two additional years.

POST ASSISTANCE REQUIREMENTS:

Reports: Expense reports are submitted to claim reimbursement for promotional expenses. Trip reports are submitted not later than 45 days after completion of travel. Research reports and end-of-year contribution reports are submitted no later than 6 months after the end of a participant's activity plan year.

Audits: Participant accounts are reviewed as needed, but normally at least every two years, by representatives of the Compliance Review Staff (CRS) of FAS. Audits and reviews are also conducted sporadically by representatives of the Office of Inspector General and the Government Accounting Office. Accounts and records must be available for inspection or audit at any reasonable time. This program is excluded for coverage under OMB Circular No. A-133.

Records: Must be maintained for not less than 3 years after completion or termination of the agreement or not more than 5 full calendar years following the year of the transaction that is evidenced by such an account or record that took place, whichever is sooner.

FINANCIAL INFORMATION:

Account Identification: 12-4336-0-3-999.

Obligations: (Direct payments) FY 01 \$90,000,000; FY 02 est \$90,000,000; and FY 03 est \$90,000,000.

Range and Average of Financial Assistance: \$22,000 to \$9,611,000. Average: \$1,375,000.

PROGRAM ACCOMPLISHMENTS: For the 2001 program, allocations were made to 65 U.S. nonprofit commodity groups and regional trade groups for export promotion activities. Example: The North American Blueberry Council, with the help of MAP funds and industry contributions, capitalized on the increased Japanese interest in American Blueberries. Application seminars by a professional baker from the U.S., combined with advertisements and brochures, provided blueberry information and concepts to Japanese bakers. The result was a wide variety of new product introductions and a record level of 3,029 tons of fresh and frozen high bush blueberries being shipped to Japan in 1999.

REGULATIONS, GUIDELINES, AND LITERATURE: 7 CFR 1485.

INFORMATION CONTACTS:

Regional or Local Office: Not applicable.

Headquarters Office: Deputy Administrator, Commodity and Marketing Programs, Foreign Agricultural Service, Department of Agriculture, Washington DC 20250. Telephone: (202) 720-4761.

Web Site Address: <http://www.fas.usda.gov/mos/programs/mapprog.html>

RELATED PROGRAMS: 10.600, Foreign Market Development Cooperator Program.

EXAMPLES OF FUNDED PROJECTS: Generic programs: (1) Promotion of U.S. forest products in Europe through conferences and demonstration projects; (2) promotion of sunflower kernel in Germany through trade shows, advertising and public relations; (3) promotion of U.S. potatoes in Japan through consumer and trade advertising; (4) familiarizing world trading partners with the U.S. grain trading system in order to encourage easier and more fruitful trade. Brand identified promotion program: (1) Promotion of branded citrus worldwide, especially in the Far East and Europe; (2) promotions of branded almonds by increasing consumer awareness in Japan, France and the United Kingdom; and (3) promotions by State groups of a variety of high value products worldwide.

CRITERIA FOR SELECTING PROPOSALS: Allocations will only be made to applicants that present the best opportunity for developing or expanding export markets for U.S. agricultural commodities. In assessing the applicant, the following factors are considered: (1) effectiveness of program management; (2) soundness of accounting procedures; (3) the nature of the organization; (4) prior export promotion or direct export experience; (5) previous MAP funding and performance; (6) adequacy of the applicant's strategic plan; (7) past and present contribution levels; (8) export goals; and the (9) accuracy of past projected export goals. In providing assistance for brand promotions, priority will be given to small-sized entities. Qualifying products whose composition is less than 50 percent U.S. origin are not eligible.

Department of Agriculture

10.961 Scientific Cooperation and Research

(International Collaborative Research and Scientific Exchanges, Scientific Cooperation Program)

FEDERAL AGENCY: FOREIGN AGRICULTURAL SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION: National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended, 7 U.S.C. 3291; Food Security Act of 1985, Public Law 99-198, as amended.

OBJECTIVES: To promote international cooperation in research efforts that benefit U.S. food, agriculture and the environment through short term scientific exchanges and longer term collaborative research projects linking scientists in the U.S. and countries worldwide.

TYPES OF ASSISTANCE: Project Grants; Direct Payments for Specified Use.

USES AND USE RESTRICTIONS: Funds may not be used to pay indirect costs, general communication, clerical and publication costs, tuition, expenses solely for conferences or sabbaticals, or salary for principal investigators. Funds may be used for direct costs of conducting approved activities such as research associates, travel, supplies and equipment.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: U.S. institutions of higher learning, U.S. Federal or State agencies, and public/private nonprofit organizations whose primary purpose is scientific and agricultural research, including those located in U.S. territories. U.S. scientists may collaborate with an appropriate research institution in any country with which the United States has diplomatic relations. International Agricultural Research Centers of the Consultative Group on International Agricultural Research (CGIAR) are considered foreign cooperating institutions.

Beneficiary Eligibility: U.S. institutions of higher learning, U.S. Federal or State agencies, and public/private nonprofit organizations whose primary purpose is scientific and agricultural research, including those located in U.S. territories. U.S. scientists may collaborate with an appropriate research institution in any country with which the United States has diplomatic relations. International Agricultural Research Centers of the Consultative Group on International Agricultural Research (CGIAR) are considered foreign cooperating institutions.

Credentials/Documentation: Proposal selection criteria includes an assessment of the professional qualifications and competence of the proposal's principal investigators and the adequacy of the institutional resources related to the proposed scientific cooperation activity. This program is subject to the provisions of OMB Circular Nos. A-21 and A-122. This program is excluded from coverage under OMB Circular No. A-87.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: None. Interested parties are encouraged to submit proposals using program guidelines available from the USDA/Foreign Agricultural Service/International Cooperation and Development's Research and Scientific Exchanges Division. This program is excluded from coverage under E.O. 12372 and OMB Circular No. A-102.

Application Procedure: Formal Scientific Cooperation and Research Program proposals should be submitted to the USDA/Foreign Agricultural Service/International Cooperation and Development's Research and Scientific Exchanges Division as outlined in the program guidelines which detail application procedures. Guidelines for the Scientific Cooperation and Research Program are available directly from the Foreign Agricultural Service's Research and Scientific Exchanges Division, USDA/FAS/ICD/RSED/SCRIP, Mail Stop 1084, 1400 Independence Avenue, SW, Washington, D.C. 20250-1084. Program guidelines are also on the internet through the USDA Foreign Agricultural Service's web site at <http://www.fas.usda.gov/icd/grants/scrp.htm> as well as from links at other sites concerning USDA funding programs. This program is subject to the

provision of OMB Circular No. A-110. This program is excluded from coverage under OMB Circular No. A-102.

Award Procedure: Program staff members review and evaluate all proposals with the assistance and advice of peer panels of qualified U.S. scientists. Proposals are selected according to how well they meet criteria that includes scientific or technical merit of the proposed activity; suitability of the investigators and institutions; feasibility of achieving proposal objectives; relevance to priority issues in U.S. food, agriculture and the environment; and potential for furthering international cooperation. Proposals are funded to the extent permitted by available funds.

Deadlines: Annual deadlines for proposal submissions are generally early September or October to be considered for funding the following year. Consult current program guidelines for specific dates.

Range of Approval/Disapproval Time: Generally from 120 to 150 days.

Appeals: None.

Renewals: None. Proposals for follow up activities to previously funded Scientific Cooperation and Research Program projects must compete with all other new proposals.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: For Research Collaboration Projects, participating U.S. institutions are expected to share a portion of project costs or arrange supplemental funding from other sources. For the U.S. - China Scientific and Technical Exchanges operated under a receiving side pays arrangement with the People's Republic of China, U.S. program participants are responsible for their international airfare.

Length and Time Phasing of Assistance: Long-term research project agreements range from 1 to 3 years, with funds provided annually. Short-term exchange visits are limited to 2 to 4 weeks.

POST ASSISTANCE REQUIREMENTS:

Reports: Long-term research projects require technical annual progress and final research reports. Short-term exchange visits require trip reports. Financial reports are also required.

Audits: Audits and review are conducted periodically by representatives of the Office of Inspector general and Government Accounting Office. Accounts and records must be available for inspection or audit at any reasonable time. For short-term exchange visits, audits are not normally performed other than review of transportation/per diem expenses to ensure compliance with Federal Travel Regulations. This program is excluded from coverage under OMB Circular No. A-133.

Records: Records must be maintained for not less than 3 years after completion or termination of the agreement.

FINANCIAL INFORMATION:

Account Identification: 12-3200-0-1-352.

Obligations: (Cooperative Agreements and Direct Payments) FY 01 \$2,500,000; FY 02 est \$2,500,000; and FY 03 est \$2,500,000.

Range and Average of Financial Assistance: For research projects, up to a maximum total of \$45,000 for a 3-year project. Partial support is available for short-term exchanges up to 4 weeks.

PROGRAM ACCOMPLISHMENTS: Through this worldwide Scientific Cooperation and Research Program, the Foreign Agricultural Service supports the international cooperative efforts of over 130 research and exchange activities in 52 countries focused on the practical uses of science to help solve critical problems affecting U.S. agriculture such as trade barriers and phytosanitary issues, food safety, and exotic diseases and pests. Both the U.S. and 11 countries in Africa have benefited from 24 research and scientific exchange activities under this program including providing alternatives for small farmers, applying the safe use of biotechnology to prevent contamination of corn by highly toxic aflatoxins, improving seedless mandarins for new domestic and international markets, using natural enemies for biological control of stemborers which cause over \$1 billion in damages to crops each year. U.S. and Japanese scientists collaborating on research using biotechnology increased our understanding of the mechanisms of insect resistance through joint studies on flies collected from poultry farms and houses in both countries which could have enormous implications for insect resistance monitoring and management, and jointly developed new options for environmentally sound, cost-effective waste management in swine production. Scientists from Prairie View University and the University of Costa Rica conducted joint research which provided new information for developing high quality, nutritious and safe food products using some of the 5-15 million tons of edible fish dumped at sea by shrimp trawlers each year.

REGULATIONS, GUIDELINES, AND LITERATURE: 7 CFR 3015.

INFORMATION CONTACTS:

Regional or Local Office: None.

Headquarters Office: Carol Kramer-LeBlanc, Director, USDA/FAS/International Cooperation and Development, Research and Scientific Exchanges Division, Department of Agriculture, Washington, DC 20250-1084. Telephone: (202) 690-4872.

Web Site Address: <http://www//fas/usda.gov/icd/grants/scrp.htm>

RELATED PROGRAMS: None.

EXAMPLES OF FUNDED PROJECTS: Mississippi State University researchers are collaborating with scientists in Guyana to identify genes for drought tolerance, yield, and root storage quality for improving the sweet potato, one of Mississippi's top cash crops. Fort Valley State University and Danish scientists are jointly determining effective biological control of the internal parasites which are a major obstacle in limiting growth of the goat industry. Joint research projects with Ireland using biotechnology for food safety are evaluating the steps in meat animal slaughter and dressing to be used in determining necessary controls or interventions, and identifying the on-farm factors that influence the prevalence of E. coli byssing on contaminated feeds and water supplies, and pre-slaughter transport and stockyard conditions. Auburn University and Vietnamese scientists are conducting joint research on alley cropping to provide Alabama and other southeastern farmers short-term income from annual crops while waiting for trees, planted to protect highly erosive soils, to mature for harvesting several years later. Collaborative research with China includes joint projects identifying cost-effective, practical manure systems and management practices that can be used by both American and Chinese animal producers to reduce environmental pollution while maintaining production efficiency; using biotechnology to preserve genes from wild sheep which survive on open ranges which are valuable for improving domestic sheep; biological control of the Asian Longhorned Beetle and Gypsy Moth, two insect pests native to China which devastate North American forests; and producing fiberboard from cornstalks, soybean-based wood adhesives and fast-growing hardwood trees, a potential new market for agricultural residues.

CRITERIA FOR SELECTING PROPOSALS: Within the guidelines established for the Scientific Cooperation and Research Program, proposals are evaluated on the overall scientific or technical merit of the proposed activity; suitability of the investigators and institutions; feasibility of achieving proposal objectives; relevance to priority issues in U.S. food, agriculture and the environment; and potential for furthering international cooperation.

Department of Agriculture

10.907 Snow Survey and Water Supply Forecasting (Snow Surveys)

FEDERAL AGENCY: NATURAL RESOURCES CONSERVATION SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION: Soil Conservation and Domestic Allotment Act of 1936, as amended, Public Law 74-46.

OBJECTIVES: To provide information on forthcoming seasonal water supplies from streams that derive most of their run off from snow melt. To help farm operators, rural communities, and municipalities use water-supply forecasts in managing water resources. To provide hydrometeorological data for regulating reservoir storage and managing stream flow. To provide soil moisture/temperature and climate data to support drought assessment, fire hazard assessment, wildlife management and climate change research. To obtain, evaluate and disseminate climate data in support of NRCS conservation activities including models.

TYPES OF ASSISTANCE: Dissemination of Technical Information.

USES AND USE RESTRICTIONS: The information is used by the owners and operators of the 30,000,000 irrigated acres in the mountain States, and the far West. The data are also used in administering international and interstate river flow distribution contracts and agreements, and in the regulation of hundreds of small and large reservoirs for irrigation, flood control, power generation, recreation, industry, and municipal supplies. The data are also used for drought, flood, fire, and climate assessments. Cooperators include other Federal agencies, State agencies, municipalities, irrigation districts, water associations and private utilities, and water management officials of Canada and Mexico.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: General public, including those located in the U.S. Territories.

Beneficiary Eligibility: General public, including those located in the U.S. Territories.

Credentials/Documentation: None.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: This program is excluded from coverage under E.O. 12372.

Application Procedure: Individuals can make requests for reports from NRCS Field and State offices that issued them, and from the NRCS National Water and Climate Center at Portland, OR.

Award Procedure: Not applicable.

Deadlines: None.

Range of Approval/Disapproval Time: Not applicable.

Appeals: Not applicable.

Renewals: Not applicable.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Not applicable.

Length and Time Phasing of Assistance: Not applicable.

POST ASSISTANCE REQUIREMENTS:

Reports: Not applicable.

Audits: Not applicable.

Records: Not applicable.

FINANCIAL INFORMATION:

Account Identification: 12-1000-0-1-302.

Obligations: (Salaries and expenses) FY 01 \$5,991,192; FY 02 est \$9,008,981; and FY 03 est \$9,162,000.

Range and Average of Financial Assistance: Not applicable.

PROGRAM ACCOMPLISHMENTS: Daily snow and precipitation data is gathered from 660 automated Snow Telemetry (SNOTEL) sites and 1200 manual snow courses in West U.S. and Alaska. This data and over 9,000 forecasts of seasonal volume stream flows at 709 stream aging points are provided to water users via the internet address <http://www.wcc.nrcs.usda.gov>. Climate analyses are performed for soil surveys (40 narratives per year) and for wetland determination (6,700 WETS tables) nationally. Soil moisture analysis has been done to more effectively evaluate potential runoff conditions and for drought and flood potential.

REGULATIONS, GUIDELINES, AND LITERATURE: Snow Surveys, AIB 536.

INFORMATION CONTACTS:

Regional or Local Office: State and local offices of the Natural Resources Conservation Service in Alaska, Arizona, California, Colorado, Idaho, Montana, Nevada, New Mexico, Oregon, Utah, Washington, Wyoming, and the National Water & Climate Center, Portland, OR. See Appendix IV of the Catalog for addresses. California's Department of Water Resources, which coordinates and issues snow surveys for that State, and the British Columbia Department of Lands, Forests and Water Resources, supply data for the monthly west-wide summaries.

Headquarters Office: Deputy Chief for Science and Technology Natural Resources Conservation Service, Department of Agriculture, P.O. Box 2890, Washington, DC 20013. Telephone: (202) 720-4630. FTS is not available.

Web Site Address: <http://www.nrcs.usda.gov>

RELATED PROGRAMS: 10.902, Soil and Water Conservation; 10.904, Watershed Protection and Flood Prevention.

EXAMPLES OF FUNDED PROJECTS: Not applicable.

CRITERIA FOR SELECTING PROPOSALS: Not applicable.

Department of Commerce

11.108 Commercial Service

FEDERAL AGENCY: INTERNATIONAL TRADE ADMINISTRATION, DEPARTMENT OF COMMERCE

AUTHORIZATION: Omnibus Trade and Competitiveness Act of 1988, Public Law 100-418, 15 U.S.C. 473; 15 U.S.C. 1512; 15 U.S.C. 649a et seq.

OBJECTIVES: Through export counseling, trade promotion, trade finance and advocacy provided by domestic international network of trade professionals, encourage export capable U.S. firms to expand their export marketing efforts or begin exporting; to assist them to identify and assess potential foreign trade contacts and market opportunities; and to facilitate their export efforts through a comprehensive use of export promotion services, including trade events and market research information.

TYPES OF ASSISTANCE: Advisory Services and Counseling.

USES AND USE RESTRICTIONS: Services include the following: 1) Information on foreign market trade opportunities; 2) export counseling for U.S. business on market identification and assessment; 3) non-financial assistance in export promotion (matchmaker missions, multi-state/catalog exhibitions, and other specialized promotions); 4) product promotions through catalog-magazine listings; 5) support to State and private sector organizers of selected domestic and foreign trade fairs and missions through international buyer shows, certified trade fairs and missions; 6) information on foreign tariffs, customs regulations and procedures, market potential in individual countries industry analyses, and other related activities; 7) foreign government- to-government advocacy and representation; 8) advice and counseling on individual foreign markets; and 9) assistance on sources of export finance available from U.S. Export Import Bank, U.S. Small Business Administration and U.S. Agency for International Development in U.S. Export Assistance Centers; 10) information on locating and evaluating prospective overseas business contacts.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Any U.S. citizen, firm, organization, or branch of government needing information or assistance in international business matters.

Beneficiary Eligibility: Any U.S. citizen, firm, organization or branch of government needing information or assistance in international business matters.

Credentials/Documentation: None.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure: Not applicable.

Award Procedure: Not applicable.

Deadlines: Not applicable.

Range of Approval/Disapproval Time: Not applicable.

Appeals: Not applicable.

Renewals: Not applicable.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Not applicable.

Length and Time Phasing of Assistance: Not applicable.

POST ASSISTANCE REQUIREMENTS:

Reports: None.

Audits: In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), recipients that are States, Local Governments, Non-profit Organizations (to include Hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award documents.

Records: None.

FINANCIAL INFORMATION:

Account Identification: 13-1250-0-1-376.

Obligations: (Operations and Administration) FY 01 \$178,805,000; FY 02 est \$193,091,000; and FY 03 est \$197,920,000.

Range and Average of Financial Assistance: Not applicable.

PROGRAM ACCOMPLISHMENTS: Directly contributed to the expansion of U.S. exports, particularly those of small and medium-size firms through one-on-one business counseling. Advocated on behalf of U.S. business firms in their pursuit of major foreign projects and products sales contracts. Provided trade opportunity leads, market research, Agent/Distributor Reports (ADS), International Country Profiles (ICP), Customized Market Analysis (CMA), and a variety of other marketing information products. Introduced visiting U.S. executives to end-users and other potential business partners. Assisted U.S. business firms in their participation in major foreign promotional exhibitions, trade missions, and trade delegations. Provided in-depth counseling and marketing information through, personal visits, by telephone, fax, and other correspondence to U.S. business representatives. Aggressively advocated U.S. business interests abroad. Develop E-Commerce programs to expand and expedite market entry programs for U.S. companies.

REGULATIONS, GUIDELINES, AND LITERATURE: Omnibus Trade and Competitiveness Act of 1988, Public Law 100-418, Title II, Section 2308, 102 Stat. 1342; 15 U.S.C. 472. A Basic Guide to Exporting; Commercial News USA (CNUSA); program/service literature; conditions of participation, Operations Manual, and Federal Register notices.

INFORMATION CONTACTS:

Regional or Local Office: Export Assistance Centers (EAC): Local Department of Commerce, Commercial Services domestic field offices are listed in Appendix IV of the Catalog.

Headquarters Office: Not applicable.

Web Site Address: <http://ita.doc.gov>

RELATED PROGRAMS: None.

EXAMPLES OF FUNDED PROJECTS: Not applicable.

CRITERIA FOR SELECTING PROPOSALS: Not applicable.

Department of Commerce

11.150 Export Licensing Service and Information (Export Control) Assistance Program

FEDERAL AGENCY: BUREAU OF EXPORT ADMINISTRATION, DEPARTMENT OF COMMERCE

AUTHORIZATION: Export Administration Act of 1979, as amended, 50 U.S.C. 2401 et seq.

OBJECTIVES: Respond to the needs of the exporting community by telephone, in person, and through seminars and other outreach efforts in order to help exporters understand and comply with the Export Administration Regulations that involve the export of certain products and technical data requiring a special export license for shipments to foreign countries.

TYPES OF ASSISTANCE: Advisory Services and Counseling.

USES AND USE RESTRICTIONS: Individuals and business firms may obtain information, training, and assistance on the interpretation of export control regulations and policies, when a validated export control license is required; and secure expeditious handling of an export application when priority action is warranted.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Any person, firm, organization, or branch of government needing information or assistance on export control matters.

Beneficiary Eligibility: Any person, firm, organization, or branch of government needing information or assistance on export control matters.

Credentials/Documentation: None.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: None. This program is excluded from coverage under E.O. 12372.

Application Procedure: In person, by letter or telephone.

Award Procedure: Not applicable.

Deadlines: Not applicable.

Range of Approval/Disapproval Time: Not applicable.

Appeals: Not applicable.

Renewals: Not applicable.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Not applicable.

Length and Time Phasing of Assistance: Not applicable.

POST ASSISTANCE REQUIREMENTS:

Reports: None.

Audits: In accordance with the provisions of OMB circular No. A133 (Revised, June 24, 1997), recipients that are States, Local Governments, Nonprofit Organizations (to include Hospitals), and Institutions of High Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996(31. U.S.C 7501 -7507).

Commercial organization shall be subject to the audit requirements as stipulated in the award document. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records: None.

FINANCIAL INFORMATION:

Account Identification: 13-0300-0-1-376.

Obligations: (Operation and administration) FY 01 \$3,025,000; FY 02 est \$3,683,000; and FY 03 est \$3,779,000.

Range and Average of Financial Assistance: Not applicable.

PROGRAM ACCOMPLISHMENTS: The Office of Exporter Services (OEXS) is the Bureau of Export Administration's (BXA) front line unit in providing exporter counseling and plays a major role in guiding exporters through complex licensing transactions. Through a variety of seminar programs offered at locations throughout the United States, OEXS instructs and assists new and established exporters through basic and advanced level courses. OEXS also provides specialized workshops on topics of specific interest (e.g., commercial encryption licensing, chemical weapons regulations, export management systems and freight forwarder programs). In fiscal year 2001, we instructed over 6,000 exporters through 47 BXA sponsored export licensing seminars and workshops. In fiscal year 2002 we anticipate over 7,000 exporters will be counseled through 52 export licensing seminars. A planned increase in fiscal year 2003 to 58 export licensing seminars should allow us to instruct over 8,000 exporters. Through our headquarters in Washington, DC and our Western Regional Offices in Los Angeles and San Jose, California we provide one-on-one counseling 11 hours a day thorough meetings, telephone counseling sessions and responses to e-mail and fax inquiries. In addition, the BXA Web site www.bxa.doc.gov provides exporters constant access to timely information and services.

REGULATIONS, GUIDELINES, AND LITERATURE: Export Administration Regulations; Export Administration Bulletins; Summary of U.S. Export Regulations; Export Administration Annual Report; Export Control of Technical Data; Exports by Mail; Denial and Probation Orders Currently Affecting Export Privileges; Index to the Commodity Control List; Export Management System Guidelines and Summary thereof.

INFORMATION CONTACTS:

Regional or Local Office: Refer to Appendix IV of the Catalog for BXA Regional Office addresses.

Headquarters Office: Office of Exporter Services, Outreach and Educational Services Division, Room 1099, Department of Commerce, Washington, DC 20230 or Outreach and Educational Services Division, P.O. Box 273, Washington, DC 20044. Telephone: (202) 482-4811. Western Regional Office (Newport Beach, California) 3300 Irvine Avenue, Suite 345, Newport Beach, CA 92660. Telephone: (949) 660-9347. Western Regional Office (San Jose, CA) 152 North Third Street, Suite 550, San Jose, CA 951120-5591. Telephone: (408) 998-7470. FTS is not available.

Web Site Address: <http://www.bxa.doc.gov>

RELATED PROGRAMS: 11.108, Commercial Service.

EXAMPLES OF FUNDED PROJECTS: Not applicable.

CRITERIA FOR SELECTING PROPOSALS: Not applicable.

Department of Commerce

11.112 Export Promotion Market Development Cooperation

FEDERAL AGENCY: INTERNATIONAL TRADE ADMINISTRATION, DEPARTMENT OF COMMERCE

AUTHORIZATION: Omnibus Trade and Competitiveness Act of 1988, Public Law 100-418, Title II, Section 2303, 102 Stat. 1342, 15 U.S.C. 4723.

OBJECTIVES: Through the Market Development Cooperator Program (MDCP), the International Trade Administration (ITA) aims to develop, maintain and expand foreign markets for nonagricultural goods and services produced in the United States.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: A detailed description of the program is published each year in a notice in the Federal Register. The most recent notice published is available at www.export.gov/mdcp, or from the contact listed in "Information Contacts" below. The most prominent uses and restrictions are summarized below. (1) Applicants should propose foreign market development activities that result in increased exports by U.S. firms; (2) the primary beneficiaries of project activity should be small-and medium-sized enterprises; (3) no more than \$400,000 in Federal funds is available for each project; (4) the Federal funds are to last for the life of the project, not to exceed three years; (5) applicant match is two for one: for each Federal dollar received, applicant must match at least one dollar with cash and another dollar with cash or in-kind contribution; and (6) Federal funds and the first dollar of applicant match can be used only on direct costs.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Applicants are found to be eligible in one of three categories: (1) Nonprofit industry organizations (includes small business development centers, World Trade Centers, and 501(c) nonprofits such as chambers of commerce, international trade centers, port authorities, economic development organizations, and other business interest groups); (2) trade associations; and (3) State departments of trade and their regional associations (includes centers for international trade and similar entities that may fall within a university organization). The Statute stipulates that private industry firms or groups of firms may be found eligible in cases where no entity described above represents that industry. However, since the program began operating in 1993, no private firm has been able to demonstrate that an organization in one of the first three categories did not represent its interests.

Beneficiary Eligibility: U.S. firms that sell non-agricultural goods or services.

Credentials/Documentation: In order to determine eligibility, applicants should consult www.export.gov/mdcp and request an eligibility determination in writing from the MDCP Manager (see "Information Contacts"). The written request should be accompanied by the most current version of all the following documents that apply: (1) Articles of incorporation; (2) charter; (3) bylaws; (4) information on types of members and membership fees; (5) Internal Revenue Service acknowledgment of non-profit status; (6) Annual Report; (7) Audited financial statements; and (8) documentation of ties to State trade departments or their regional associations.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: None. This program is excluded from coverage under E.O. 12372.

Application Procedure: The main body of the application should include the substance of the applicant's proposal as identified in elements (1) through (4) below. It is limited to 50 pages. Elements (5) through (7) below, as well as information which supplements elements (1) through (4) should be submitted as appendices. There is no limit to the number of pages for the appendices. The elements of the application are summarized below. (1) Executive summary of one-page that lists application essentials such as a summary of the proposal, name address, contact information, ITA offices to be involved in the proposal, budget,

amount of Federal funds requested, term of project, U.S. industry(s) to be promoted, and target markets; (2) background research on the market potential of U.S. products to be marketed, competition, economic situation, ability/desire of the U.S. industry to export, and the ability/desire of foreign customers to purchase U.S. products; (3) project description including a work plan, performance measures, a description of performance measurement recording and reporting, and a description of how applicant plans to incorporate partnership with ITA in its project; (4) credentials including a description of the applicant's competence and resumes of principal players; (5) budget including financial statements, a detailed description of the budget, the "Budget for Project Award Period" worksheet, and the form "Budget Information" (SF-424A); (6) other forms including SF-424, SF424B, CD- 511, and CD-346. Applicants that intend to lobby the Federal Government with funds included in the project budget should also submit SF-LLL. All of these forms are available at www.export.gov/mdcp; (7) other appendices.

Award Procedure: A selection panel of at least three senior ITA officials evaluates each MDCP application based on the following criteria: (1) Export success potential; (2) performance measurement; (3) partnership potential with ITA and compatibility with ITA priorities; (4) creativity and institutional capacity; and (5) budget, cash match, and sustainability. Each application can receive up to 20 points in each of the five categories for a total possible score of 100. Once applications are received, applicants may not revise them. Unsuccessful applicants will be notified in writing within 10 days after award decisions have been announced. Since the program began in 1993, ITA has made, on average, 7 awards per year.

Deadlines: May vary from year to year. Most recent information is posted at www.export.gov/mdcp.

Range of Approval/Disapproval Time: Approximately 90 to 180 days.

Appeals: All award decisions are final. There is no appeal process.

Renewals: Not applicable.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Omnibus Trade and Competitiveness Act of 1988, Public Law 100-418, Title II, Section 2303, 102 Stat. 1342, 15 U.S.C. 4723. This information on formula and matching requirements is published in the Federal Register in an annual solicitation for applications, available at www.export.gov/mdcp.

Length and Time Phasing of Assistance: Funds may be expended over the period of time required to complete the scope of the work, but not exceed three years from the date of the award. Assistance is released according to approved marketing plans in the Market Development Cooperator Program.

POST ASSISTANCE REQUIREMENTS:

Reports: Award recipients submit reports and requests as set forth below. (1) Quarterly reports: (a) progress in project activity, and (b) financial status (SF-269); (2) requests/reports as needed: (a) Advance or reimbursement request (SF-270); and (b) cash transactions reports (SF-272)(for recipients that receive award funds in advance).

Audits: In accordance with the provisions of OMB Circular No. A- 133 (Revised, June 24, 1997), recipients that are States, Local Governments, Non-profit Organizations (to include Hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507).

Records: All financial and programmatic records, supporting documents, statistical reports, and other records of recipients or sub recipients must be maintained in accordance with the terms of the award. Generally, the recipient must retain records for 3 years from the date on which the final expenditure

report is submitted.

FINANCIAL INFORMATION:

Account Identification: 13-1250-0-1-376.

Obligations: (Grants) FY 01 \$2,300,000; FY 02 est \$2,000,00; and FY 03 est \$2,000,000.

Range and Average of Financial Assistance: \$40,000 to \$400,000. Average: \$298,000.

PROGRAM ACCOMPLISHMENTS: In fiscal year 2001, ITA received 61 applications and made seven awards.

REGULATIONS, GUIDELINES, AND LITERATURE: Applicants can link to Internet sources for each of the authorities cited below at www.export.gov/mdcp. (1) MDCP-specific authorities and guidelines: (a) Federal Register notice soliciting applications; (b) mock application; and (c) application kit; (2) statutory authority: Omnibus Trade and Competitiveness Act of 1988, Public Law 100-418, Title II, Section 2303, 102 Stat. 1342, 15 U.S.C. 4723. (3) regulatory/administrative guidelines: (a) costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, and with OMB Circular No. A-122 for nonprofit and for-profit organizations; (b) financial assistance management will be in accordance with OMB Circular No. A-110 for nonprofit organizations and 15 CFR Part 24 for State and local governments.

INFORMATION CONTACTS:

Regional or Local Office: The MDCP is managed from the headquarters office, which should be the applicant's initial point of contact for MDCP information. In addition, applicants are encouraged to contact relevant ITA industry specialists in Washington, DC and their nearest ITA Export Assistance Center. Contact information is available at www.export.gov/mdcp.

Headquarters Office: MDCP Manager, Brad Hess, 14th Street and Constitution Avenue, NW., HCHB 3215, Washington, DC 20230. Telephone: (202) 482-2969. FAX: (202) 482-5828. Organizational Reference: U.S. Department of Commerce, International Trade Administration, Trade Development, Office of Planning, Coordination and Management. Management and Planning Division.

Web Site Address: <http://www.ita.doc.gov/mdcp>

RELATED PROGRAMS: None.

EXAMPLES OF FUNDED PROJECTS: Examples of activities which applicants from prior years have found appropriate are set forth below. These are provided only for illustration. Applicants are not required to propose any of these activities (1) Foreign trade shows; (2) foreign trade missions; (3) reverse trade missions; (4) product demonstrations abroad; (5) export seminars; (6) technical seminars abroad; (7) joint product promotions with foreign partners; (8) establishment of offices abroad; (9) co-locating an office with the U.S. & Foreign Commercial Service; (10) advice to foreign standards and testing organizations; (11) training of foreign agents and distributors; and (12) creating and promoting matchmaking databases. Descriptions of the 61 MDCP award recipients' projects are available at www.export.gov/mdcp.

CRITERIA FOR SELECTING PROPOSALS: Additional details on the selection criteria are published in the Federal Register in an annual solicitation for applications. The most recent solicitation is available at www.export.gov/mdcp.

Department of Commerce

11.111 Foreign-Trade Zones in the United States (Foreign-Trade Zones)

FEDERAL AGENCY: INTERNATIONAL TRADE ADMINISTRATION, DEPARTMENT OF COMMERCE

AUTHORIZATION: Foreign-Trade Zones (FTZ) Act of 1934, 48 Stat. 98-1003, 19 U.S.C. 81a-81u, as amended, Public Law 81-566, 64 Stat. 246; Public Law 85-791, 72 Stat. 945; Public Law 91-271, 84 Stat. 292; Public Law 96-609, 94 Stat. 3561 Section 231; Public Law 98-573, 98 Stat. 142 and 299; Public Law 99-386, 100 Stat. 823; Public Law 99-514; Public Law 100-418, 102 Stat. 1300; Public Law 100-647, 102 Stat. 3808; Public Law 100-449, 102 Stat. 1863; Public Law 101-382, 104 Stat. 706 and 710.

OBJECTIVES: To help encourage domestic warehousing, manufacturing and processing activity. States and local communities use foreign-trade zones as part of their overall economic growth efforts to improve their international business service structure. In this way, FTZs contribute to the enhancement of their investment climate for commerce and industry.

TYPES OF ASSISTANCE: Provision of Specialized Services.

USES AND USE RESTRICTIONS: Zone procedures help firms reduce customs costs and help improve a domestic operation's international competitiveness. The procedures are available at facilities designated as foreign trade zones and sub zones under the sponsorship of local public and quasi-public corporations.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Public and private corporations as defined by the Law, and by appropriate State enabling legislation.

Beneficiary Eligibility: Foreign Trade Zone's (FTZ) are required to operate under public utility principles, providing access to zone procedures on a nondiscriminatory basis to businesses and manufacturers. Zone procedures are available at any of the operating FTZ's, subject to customs requirements, a review process to determine that manufacturing activity is in the public interest, and the specific requirements of the local zone project.

Credentials/Documentation: Applications contain: A cover letter, with exhibits, detailing corporation's eligibility to apply; type of authority requested; a site and facility description; project background; the need for a zone; public economic benefits; the relationship of the zone project to the overall community economic development plans; and how the project will be operated and financed. When manufacturing activity is involved, the application must also contain information on the activity, including products and components, tariff rates, benefits to the operator, industry information, international competitive factors, public benefits (e.g., employment retention/creation), and a demonstration of a net positive economic effect.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Draft applications are recommended. This program is excluded from coverage under E.O. 12372.

Application Procedure: Applications for zone authority are submitted by eligible applicants and reviewed under the regulations of the FTZ Board. The Board (Secretaries of Commerce (Chairman), and Treasury) can approve or deny the application, or approve it with restrictions. Applications are processed for the Board by the FTZ Staff/Import Administration/International Trade Administration, U.S. Department of Commerce.

Award Procedure: Upon filing of an application, after a pre-filing review, an examiner is appointed to investigate the proposal and make recommendations. Hearings are held in cases of new zones and public comments invited in all cases. When the Foreign-Trade Zones Board makes a decision an order is issued and published in the Federal Register.

Deadlines: Not applicable.

Range of Approval/Disapproval Time: Applications are usually acted upon within 10 to 12 months of filing.

Appeals: A negative decision can be appealed by reapplication to the FTZ Board when warranted by evidence and circumstance.

Renewals: Applicable when the Board places time constraints on the FTZs. In that event, a renewal application must be filed.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Not applicable.

Length and Time Phasing of Assistance: Not applicable.

POST ASSISTANCE REQUIREMENTS:

Reports: Annual reports on FTZ operations are submitted by grantees.

Audits: FTZ operations are supervised and audited by the U.S. Customs Service and monitored by the Foreign-Trade Zones Board in terms of restrictions imposed by board and the general public interest mandate of the FTZ Act.

Records: Each grantee is required to keep records pursuant to the FTZ Board's (15 CFR 400), and Customs regulations (19 CFR 146).

FINANCIAL INFORMATION:

Account Identification: 13-1250-0-1-376.

Obligations: (Operations and administration) FY 01 \$842,006; FY 02 est \$932,170; and FY 03 est \$1,000,000.

Range and Average of Financial Assistance: Not applicable.

PROGRAM ACCOMPLISHMENTS:The Board processes approximately 75 to 90 applications each year covering new general-purpose zones, sub zones, and zone expansions.

REGULATIONS, GUIDELINES, AND LITERATURE: Commerce Regulations, 15 CFR, Part 400 (15 CFR 400). U.S. Custom Regulations, (19 CFR Part 146), FTZ Board Guidelines (see web site below).

INFORMATION CONTACTS:

Regional or Local Office: Commerce District Offices.

Headquarters Office: Office of the Executive Secretary, Foreign- Trade Zones Board, 1401 Constitution Avenue, NW., Room FCB 4100W, Washington, DC 20230. Inquiries should be directed to Dennis Puccinelli, Executive Secretary, Foreign-Trade Zones Board. Telephone: (202) 482-2862.

Web Site Address: <http://ia.ita.doc.gov/ftzpage>

RELATED PROGRAMS: None.

EXAMPLES OF FUNDED PROJECTS: Not applicable.

CRITERIA FOR SELECTING PROPOSALS:Not applicable.

Department of Commerce

11.025 Measures and Analyses of the U.S. Economy

FEDERAL AGENCY: BUREAU OF ECONOMIC ANALYSIS, ECONOMICS AND STATISTICS ADMINISTRATION, DEPARTMENT OF COMMERCE

AUTHORIZATION: 15 U.S.C. 171 et seq., Chapter 5, 1516, 22 U.S.C. 286f, 3101 et seq., Chapter 46.

OBJECTIVES: To produce and disseminate accurate, timely, relevant, and cost-effective economic accounts statistics that provide government, businesses, households, and individuals with a comprehensive, up-to-date picture of economic activity. BEA's national, regional, and international economic accounts present basic information on such key issues as U.S. economic growth, regional economic development, and the Nation's position in the world economy. The principal statistics prepared by BEA include gross domestic product (GDP), corporate profits, personal income by State and region, U.S. balance of payments, international trade, and GDP by industry.

TYPES OF ASSISTANCE: Dissemination of Technical Information.

USES AND USE RESTRICTIONS: The measures and analyses produced by BEA are used in the formulation and execution of fiscal, financial, international, and other policies related to the major economic goals of the Nation. Businesses plan production, price, and investment programs with the aid of the information provided by BEA. This information also is essential for economic decision-making by State and local governments, labor, other economic groups, and by individuals who need a better orientation in an increasingly complex economic world. In addition, teaching and research programs at universities and research organizations rely on the information provided.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Any business, public official, civic organization, or private citizen may request information in person, by letter, by telephone, or by e-mail.

Beneficiary Eligibility: Any business, public official, civic organization, or private citizen may request information in person, by letter, by telephone, or by e-mail.

Credentials/Documentation: None.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure: Not applicable.

Award Procedure: Not applicable.

Deadlines: Not applicable.

Range of Approval/Disapproval Time: Not applicable.

Appeals: Not applicable.

Renewals: Not applicable.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Not applicable.

Length and Time Phasing of Assistance: Not applicable.

POST ASSISTANCE REQUIREMENTS:

Reports: Not applicable.

Audits: Not applicable.

Records: Not applicable.

FINANCIAL INFORMATION:

Account Identification: 13-1500-0-1-376.

Obligations: (Salaries and expenses) FY 01 \$48,244,000; FY 02 est \$56,611,000; and FY 03 est \$69,796,000.

Range and Average of Financial Assistance: Not applicable.

PROGRAM ACCOMPLISHMENTS: Many of BEA's principal accomplishments in fiscal year 2001 were outlined in the following articles published in the Survey of Current Business, BEA's monthly journal of record. In January 2001, "Annual Input-Output Accounts of the U.S. Economy, 1997" presented a detailed picture of how industries interact to provide input to, and take output from, each other. In April, "Comparing NIPA Profits With S&P Profits" discussed how the purposes, definitions, and methodologies of these two estimates explain the differences between them. In May, "NAICS in BEA's Economic Accounts" discussed the gradual implementation of the North American Industry Classification System (NAICS) to replace the Standard Industrial Classification (SIC) system for identifying industry data. In June 2001, "An Upcoming Change in the NIPA Presentation of Private Inventories by Industry" described changes in the NIPA tables resulting from converting the inventory estimates from SIC to NAICS. In addition to these Survey articles, BEA staff presented two papers at the November meeting of the BEA Advisory Committee: "Priorities for Industry Accounts at BEA" and "International Accounts Data Needs: Plans, Progress, and Priorities." The full texts and abstracts are available on BEA's Website at www.bea.gov/bea/papers.htm. Over the course of 2001, BEA's executive staff developed a new 5-year Strategic Plan to guide future work. A draft of the plan has been presented to BEA's staff, advisory committee and other stakeholders in order to obtain broad input on the plan. The full plan is available on BEA's Website at www.bea.gov/bea/ARTICLES/2001/december/1201plan.pdf.

REGULATIONS, GUIDELINES, AND LITERATURE: BEA's estimates are available on the BEA Website at www.bea.doc.gov, in publications, and on diskettes and CD-ROMs. BEA's products are described in the Catalog of Products, which is available from the BEA Public Information Office and on the BEA Website. For more information on the availability of BEA's products, call the BEA Order Desk at 1-800-704-0415; from outside the United States, call 202-606-9666. The Survey of Current Business, BEA's monthly journal, presents summary estimates and analyses of U.S. economic activity. The Survey is available by subscription from the Superintendent of Documents, U.S. Government Printing Office (GPO): List ID SCUB, price \$49.00 per year (domestic second-class mail), \$61.25 (foreign second-class mail), or \$95.00 (domestic first-class mail); single copy price \$25.00 (domestic) or \$31.25 (foreign). Foreign airmail delivery rates are available upon request from GPO. To order using Visa, MasterCard, or a GPO deposit account, call 202-512-1800 or fax 202-512-2250. To order by mail, send a check payable to "Superintendent of Documents" to Superintendent of Documents, P.O. Box 371954, Pittsburgh, PA 15250-7954. To order on-line, go to the GPO Website at www.gpo.gov/su_docs/sale.html. BEA's estimates also are available on-line by subscription through STAT-USA Internet at www.stat-usa.gov. For more information, call STAT-USA at 1-800-782-8872; from outside the United States, call 202-482-1986.

INFORMATION CONTACTS:

Regional or Local Office: Not applicable.

Headquarters Office: Public Information Office, Bureau of Economic Analysis, Department of Commerce, 1441 L St., NW., BE-53, Washington, DC 20230. Telephone: 202-606-9900. TDD: 202-606-5335. E-mail: webmaster@bea.gov. FTS is not available.

Web Site Address: <http://www.bea.gov>

RELATED PROGRAMS: 11.001, Census Bureau Data Products; 11.110, Trade Development; 17.003, Prices and Cost of Living Data; 17.004, Productivity and Technology Data; 17.006, Employment Projections Data.

EXAMPLES OF FUNDED PROJECTS: Not applicable.

CRITERIA FOR SELECTING PROPOSALS: Not applicable.

Department of Commerce

11.650 National Technical Information Service (NTIS)

FEDERAL AGENCY: NATIONAL TECHNICAL INFORMATION SERVICE, DEPARTMENT OF COMMERCE

AUTHORIZATION: Research and Technical Services Act, Public Law 81- 776, 15 U.S.C. 1151-1157, 3710; Omnibus Trade and Competitiveness Act of 1988, Public Law 100-418; National Institute of Standards and Technology Authorization Act, Title II, Subtitle B; National Technical Information Act of 1988, Public Law 100-519, 15 U.S.C. 3704; American Technology Preeminence Act of 1991, Public Law 102-245.

OBJECTIVES: To provide products and services designed to increase the flow of technical information and technology into the world marketplace. To serve as a principal source for the public sale of U.S. Government-sponsored technical information products, related business information, research in progress information, machine-processed data files, computer software, and to serve the information dissemination needs of the Federal Government.

TYPES OF ASSISTANCE: Dissemination of Technical Information.

USES AND USE RESTRICTIONS: Provides an extensive inventory of over 3,000,000 information products, of which approximately 2,500,000 are under computerized inventory control. Customers may quickly locate summaries of interest using public vendor online systems, NTIS Catalogs, or by referring to NTIS' web site, www.ntis.gov. Approximately 50,000 new titles are acquired and catalogued into the collection each year, and copies are made available by NTIS in paper or microform. The NTIS Bibliographic data file (on magnetic tape) is available for lease. The computer products of other Federal agencies also are sold or leased by NTIS. NTIS offers web services that include design, hosting, maintenance, and management of World Wide Web sites, FTP sites, Telnet sites, and Bulletin Board Systems. These services can provide web-page design and hosting or a "start to finish" mounting of a site, as well as "back-end" functionality to a site with search engines, sales modules and databases. A comprehensive catalog of information is available and can be obtained by leasing our database through a variety of commercial vendors. A standing order microfiche service, Selected Research in Microfiche (SRIM), automatically provides subscribers with the full text of research reports specially selected to satisfy individual requirements. The National Audiovisual Center (NAC) is the central distributor for all federally produced multimedia programs. It maintains a collection of more than 9,000 titles on a wide range of subjects. In addition to videotapes, NAC offers materials produced in slide sets and multimedia kits. Subjects include safety and health, law enforcement, fire and medical training, foreign languages, natural resources, drug abuse prevention, science, and history. Additionally, NAC offers many indispensable training materials on a variety of topics. Utilization of foreign technological and scientific advances is made available within the U.S. industrial sector by collecting, translating, and disseminating scientific and technical information originating outside the U.S. The NTIS Electronic Media Services Division supports other Federal agency clients in meeting Their information needs by assisting them in creating and producing customized multimedia CD-ROM, document conversion, and magnetic media.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Any United States and most foreign organizations or individuals are eligible to purchase NTIS products and services.

Beneficiary Eligibility: Any United States and most foreign organizations or individuals.

Credentials/Documentation: Payment options available for all products and services.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: None. This program is excluded from coverage under E.O. 12372.

Application Procedure: Write NTIS, 5285 Port Royal Road, Springfield, VA 22161, for information concerning products and services ask for NTIS PR-827.

Award Procedure: None.

Deadlines: None.

Range of Approval/Disapproval Time: Not applicable.

Appeals: Not applicable.

Renewals: Not applicable.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Not applicable.

Length and Time Phasing of Assistance: Not applicable.

POST ASSISTANCE REQUIREMENTS:

Reports: Not applicable.

Audits: Not applicable.

Records: Not applicable.

FINANCIAL INFORMATION:

Account Identification: 13-4295-0-3-376

Obligations: (NTIS Revolving Fund) FY 01 \$34,649,999; FY 02 est \$49,469,206; & FY 03 est \$41,000,000. Normal operating costs are funded through the sale of NTIS products and services.

Range and Average of Financial Assistance: Not applicable.

PROGRAM ACCOMPLISHMENTS: NTIS has approximately 3,000,000 scientific, technical, and engineering reports permanently available for sale. Each report added to the collection is abstracted, catalogued, and indexed so that it can be identified and merged into the permanent bibliographic database for future generations of researchers and the public-at-large who may benefit from this valuable research and innovations.

REGULATIONS, GUIDELINES, AND LITERATURE: NTIS descriptive brochures, & product & service brochures are available on request.

INFORMATION CONTACTS:

Regional or Local Office: Not applicable.

Headquarters Office: Ron Lawson, Director, 5285 Port Royal Rd., Room 1014 Sills, Springfield, VA 22161. Phone: (703) 605-6400. Sales Division: Phone: 1-800-553-6847 or email: orders@ntis.gov (for placing orders and for information on NTIS products and services).

Web Site Address: <http://www.ntis.gov>

RELATED PROGRAMS: 11.603, National Standard Reference Data System; 11.604, Standard Reference Materials; 11.900, Patent and Trademark Technical Information Dissemination; 39.009, Federal Consumer Information Center; 40.001, Depository Libraries for Government Publications; 40.002, Government Publications Sales and Distribution; 42.007, Reference Services in Science, Technology and Business; 43.002, Technology Transfer; 81.003, Granting of Patent Licenses.

EXAMPLES OF FUNDED PROJECTS: Not applicable.

CRITERIA FOR SELECTING PROPOSALS: Not applicable.

Department of Commerce

11.026 National Trade Data Bank (NTDB)

FEDERAL AGENCY: STAT-USA, ECONOMICS AND STATISTICS ADMINISTRATION, DEPARTMENT OF COMMERCE

AUTHORIZATION: Omnibus Trade and Competitiveness Act of 1988, 15 U.S.C. 4901 et. seq., 15 U.S.C. 1527a.

OBJECTIVES: The National Trade Data Bank is a comprehensive source of international economic trade data from over 50 U.S. Government agencies. The data on the NTDB is available as part of the STAT- USA/ Internet at <http://www.stat-usa.gov/ntdb>.

TYPES OF ASSISTANCE: Dissemination of Technical Information.

USES AND USE RESTRICTIONS: Statistical information used by Federal, State and local agencies, businesses, industries, educational institutions and the general public. It contains over 300,000 documents and is updated daily.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: STAT-USA/Internet subscriptions (includes the NTDB) are available for purchase by the general public.

Beneficiary Eligibility: General public.

Credentials/Documentation: Not applicable.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: None. This program is excluded from coverage under E.O. 12372.

Application Procedure: Not applicable.

Award Procedure: Not applicable.

Deadlines: Not applicable.

Range of Approval/Disapproval Time: Not applicable.

Appeals: Not applicable.

Renewals: Not applicable.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Not applicable.

Length and Time Phasing of Assistance: Not applicable.

POST ASSISTANCE REQUIREMENTS:

Reports: None.

Audits: None.

Records: None.

FINANCIAL INFORMATION:

Account Identification: 13-4323-0-1-376.

Obligations: Note: The Economics and Statistics Administration/STAT-USA operates a revolving fund for the payment of expenses incurred in the electronic dissemination of data, including the acquisition and public sale of domestic, Federally-funded and foreign business, trade, and economic information.

Range and Average of Financial Assistance: Not applicable.

PROGRAM ACCOMPLISHMENTS: The NTDB began operating in August 1990 and is currently available to the public at almost all Federal Depository Libraries nationwide, as well as through purchase and subscription. Currently over 120 program titles make up the NTDB, including market research, country profiles, export and import statistics, foreign business contacts, and export “how-to” guides. The Internet site contain access and display software as well as the complete, up-to-date databank.

REGULATIONS, GUIDELINES, AND LITERATURE: STAT-USA/Internet: Annual subscription is \$175.00, and single quarterly subscription is \$75.00. For subscriptions, contact: U.S. Department of Commerce, STAT-USA, HCHB Room 4885, Washington, DC 20230. Telephone: (202) 482- 1986, FAX (202) 482-2164, email statmail@esa.doc.gov or on the Internet <http://www.stat-usa.gov>.

INFORMATION CONTACTS:

Regional or Local Office: Not applicable.

Headquarters Office: Cheryl Brumm, Director, STAT-USA, U.S. Department of Commerce, HCHB Room 4880, Washington, DC 20230. Telephone: (202) 482-4568.

Web Site Address: <http://www.stat-usa.gov/ntdb>

RELATED PROGRAMS: 11.027, State of the Nation.

EXAMPLES OF FUNDED PROJECTS: Not applicable.

CRITERIA FOR SELECTING PROPOSALS: Not applicable.

Department of Commerce

11.114 Special American Business Internship Training Program (SABIT)

FEDERAL AGENCY: DEPARTMENT OF COMMERCE, INTERNATIONAL TRADE ADMINISTRATION

AUTHORIZATION: Foreign or Assistance Act of 1961, 632(a) and 735(b).

OBJECTIVES: The SABIT program awards funding to qualified U.S. companies for training business executives and scientists from the New Independent States (NIS) of the former Soviet Union. SABIT exposes NIS business managers and scientists to a completely new way of thinking in which demand, consumer satisfaction, and profits drive production. Mid to senior level interns visiting the U.S. for internship programs with public or private sector companies will be exposed to an environment which will provide them with practical knowledge for restructuring their enterprises. The program provides first-hand, eye-opening experience to managers and scientists which cannot be duplicated by American managers traveling to their territories.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: ITA will reimburse recipient firms for the round-trip international travel of each intern from the intern's home city in the NIS to the U.S. internship site. ITA will also reimburse recipient firms for the \$34 per day stipend per intern for the awarded period, up to six months. ITA will reimburse recipient firms up to \$750 per month per intern for housing cost. In general, each award will have a cap of \$13,700 per intern for total cost of airline travel, stipend, and housing.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Any profit or nonprofit U.S. corporation, association, organization or other public or private entity. Agencies or divisions of the Federal government are not eligible.

Beneficiary Eligibility: Any profit or nonprofit U.S. corporation, association, organization, or other public or private entity.

Credentials/Documentation: None.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: None. This program is excluded from coverage under E.O. 12372.

Application Procedure: Competitive Application Kit. Standard Forms 424, 424B and the CD-511, Certifications regarding Debarment, Suspension and Other Responsibility Matters; Drug-Free Workplace Requirement and Lobbying must be completed. These forms are available from the SABIT program; telephone: (202) 482-0073, or SABIT homepage: www.mac.doc.gov/sabit/sabit.html.

Award Procedure: Each application will receive an independent, objective review by one or more ITA review panels (composed of three or four members) qualified to evaluate applications submitted under the program. Applications will be evaluated on a competitive, "rolling" basis as they are received in accordance with the evaluation criteria. ITA review panel(s) reserve the right to reject any application; to limit the number of interns per applicant; to waive informalities and minor irregularities in applications received; and to consider other than competitive procedures to distribute assistance under this program and in accordance with the law.

Deadlines: Proposals must be submitted by mail or courier (no facsimile copies will be accepted) to the Sabit Office on or before 5:00pm (Eastern) on the deadline date which is published in the applicable Federal Register Notice which will be 30 to 90 days after publication.

Range of Approval/Disapproval Time: Approximately 2 or 3 months.

Appeals: All award decisions are final. There is no appeal process.

Renewals: Recipients may be eligible, pursuant to approval of an amendment of an active award, to host additional interns under the program.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: None. Any costs beyond those covered by the award, including visa fees, housing costs exceeding \$500 (or \$750 in high cost-of-living areas) per month, insurance, and food and incidentals beyond \$34 per day, any training-related travel within the U.S. and provision of the hands-on training will be covered by the grantee.

Length and Time Phasing of Assistance: The cooperative agreement is valid for 1 year. Funds are reimbursed to recipients upon submission of SF 270, SF 269, Final Report, proof of insurance, and airline ticket receipt.

POST ASSISTANCE REQUIREMENTS:

Reports: End of Internship Report, Submission of SF 270, SF 269, proof of medical insurance, market survey for the type of housing provided, and airline ticket receipts.

Audits: The grants officer has the discretion to require by the terms and conditions of SABIT awards that recipients have an audit conducted in accordance with Government Auditing Standards. In Accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), recipients that are States, Local Governments, Non-profit organizations (to include Hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document.

Records: All documents related to the award 3 years after submitting the final financial report, SF 269.

FINANCIAL INFORMATION:

Account Identification: 13-1250-0-1-376.

Obligations: (Operations and Administration Assistance) FY 01 \$1,500,000; FY 02 est \$1,500,000; and FY 03 est \$1,500,000.

Range and Average of Financial Assistance: \$8,400 to 40,400; Average est. \$18,000.

PROGRAM ACCOMPLISHMENTS: To date, the SABIT program has trained over 2,000 interns in over 2,500 U.S. host companies. Recent survey results indicate that over 75 percent of U.S. company hosts that participate in SABIT, maintain some form of ongoing business relationship with their SABIT intern. Not only has the program exposed managers and scientists to American ways of innovation and management, but also assisted U.S. business to gain allies who can become their best customers in the NIS. Over \$997 million in business revenues have been generated by American companies based solely on their SABIT interns.

REGULATIONS, GUIDELINES, AND LITERATURE: Federal Register Notice: Docket No. 011123281-1281-01, SABIT brochures, SABIT Exchange Newsletter, Competitive Application Kit. All can be obtained free of charge from the SABIT office by telephone: (202) 482-0073 or visiting the SABIT homepage: www.mac.doc.gov/sabit/sabit.html.

INFORMATION CONTACTS:

Regional or Local Office: SABIT Program, U.S. Department of Commerce, (FCB) - Fourth Floor - 4100W, 1401 Constitution Avenue, NW., Washington, DC 20230. Telephone: (202) 482-0073. Fax: (202) 482-2443, SABIT homepage: www.mac.doc.gov/sabit.html.

Headquarters Office: SABIT Program, U.S. Department of Commerce, (FCB) - Fourth Floor - 4100W, 1401 Constitution Avenue, NW., Washington, DC 20230.

Web Site Address: <http://www.ita.doc.gov/sabit/sabit.html>

RELATED PROGRAMS: None.

EXAMPLES OF FUNDED PROJECTS: Three to 6 months training for managers or scientists in areas including agribusiness, defense conversion, energy, environment, financial services, construction, medical supplies and services, product standards and quality control, telecommunications, transportation, and biotechnology. SABIT specialized programs include Standards, Energy, Technology Commercialization and Transportation Infrastructure.

CRITERIA FOR SELECTING PROPOSALS: Consideration for financial assistance will be given to those SABIT proposals which: present a realistic work plan describing, in detail, the training to be provided to the intern(s); exhibit commitment to the intent and goals of SABIT by providing practical, on-the-job, non-academic, non-classroom, training; provide a section of application that identifies the logistics of the internship, the internship coordinator, the divisions in which the intern will be placed, anticipated housing arrangements, and a statement that notes applicant's commitment to intern's return to the NIS; and provide a general description of the profile of the intern(s) the applicant would like to host. Evaluation criteria are listed in decreasing importance. That is, evaluation criterion 1 is most important, followed by criterion 2, etc.

Department of Commerce

11.313 Trade Adjustment Assistance

FEDERAL AGENCY: ECONOMIC DEVELOPMENT ADMINISTRATION, DEPARTMENT OF COMMERCE

AUTHORIZATION: Trade Act of 1974, Title II, Public Law 93-618, as amended, 88 Stat. 1978, 19 U.S.C. 2341-2343.

OBJECTIVES: To provide trade adjustment assistance to firms and industries adversely affected by increased imports.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Under Chapter 3 of Title II, of the Trade Act of 1974, as amended, a firm may petition the Economic Development Administration (EDA) of the Department of Commerce for certification as being impacted by import competition. Interested firms that believe they meet this requirement may contact EDA or one of the 12 EDA funded Trade Adjustment Assistance Centers (TAACs). TAACs will assist firms, at no cost, in completing and submitting a petition to EDA's Planning and Development Assistance Division (PDAD). Before a firm receives technical assistance under the program, the firm must have an adjustment plan approved by EDA. Usually the adjustment proposal, which includes an unbiased diagnostic of the firm's strengths, weaknesses, opportunities and threats, is prepared by the TAAC in cooperation with the firm. The adjustment proposal also identifies technical assistance tasks, which when implemented, will guide the firm toward economic recovery. The adjustment proposal must also demonstrate that the implementation of the technical assistance tasks has a reasonable chance helping the firm recover. The firm must pay at least 25 percent of the TAAC's cost of the preparation of the adjustment proposal. After a firm's adjustment proposal is accepted by EDA, the firm is authorized to apply for technical assistance to implement the recovery strategy. The technical assistance is usually provided by a private consultant, who is jointly hired by the certified firm and the TAAC. The certified firm and the TAAC each typically pay one half of the consultant's fee. The TAAC's total share of technical assistance for a firm, however, is generally limited to \$75,000. Organizations representing trade-injured industries are eligible under the Trade Act to EDA for industry-wide assistance. However, since fiscal year 1996 EDA has allocated all of the program funds to support the activities of the national TAAC network. The only recent exception was assistance to the Alaskan Salmon industry, which was funded under a special appropriation for fiscal year 1999.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: The statute (19 U.S.C. ss 2343 (b)) provides that grants may be awarded to "intermediary organizations (including Trade Adjustment Assistance Centers)" to provide assistance to trade-injured firms. For an industry association or other organizations to be eligible for industry assistance, evidence must be submitted demonstrating that the industry faces import competition and includes a substantial number of Trade Act certified firms or worker groups.

Beneficiary Eligibility: Only firms certified by EDA on behalf of the Secretary of Commerce are eligible for trade adjustment assistance under the program. Industries that can demonstrate they have been injured by imports and have a substantial number of Trade Act certified firm or worker groups may also benefit.

Credentials/Documentation: The TAACs act as intermediaries for this program between EDA and the firm. Entities seeking industry wide assistance must contact PDA staff to discuss their needs, describe the import injury and determine if funding is available for industry wide projects.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: This program is excluded from coverage under E.O. 12372.

Application Procedure: The 12 TAACs apply each year for funding for the coming fiscal year. Industry associations or other organizations seeking industry assistance must submit an application Standard Form 424, if invited to do so as a result of the meeting with EDA representatives.

Award Procedure: Awards to TAACs are made based upon applications and previous performance. Awards to industries are made based on conformance with program requirements and availability of funds.

Deadlines: There are no deadlines, as long as the application allows enough time for processing to receive fiscal year funds.

Range of Approval/Disapproval Time: A decision on a firm's petition for certification is usually made by EDA within 30 to 45 days of receipt of its receipt from the TAAC. Decision on adjustment proposals are typically made within two to three weeks of receipt by EDA. Decisions on TAAC applications or industry wide assistance requests are normally made within two months of receipt of a full and complete application by EDA.

Appeals: There is no appeal procedure.

Renewals: TAAC grants are usually renewed; industry grants usually are not renewed.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Federal funds can be used by TAACs for up to 100 percent of administrative expenses in providing technical assistance to firms. The Federal share of implementation assistance to firms is usually 50 percent and normally does not exceed \$75,000 per firm. Generally, a minimum of 50 percent share (half of which must be in cash) is required for industry assistance cooperative agreements.

Length and Time Phasing of Assistance: Generally one year for TAAC grants and the amount of time needed to complete the project for industry grants.

POST ASSISTANCE REQUIREMENTS:

Reports: Periodic reporting of operating results and progress, financial statements, and summary of funds spent.

Audits: In accordance with the provisions of OMB Circular No. A-133 (Revised June 24, 1997), recipients that are States, Local Government, Nonprofit Organizations (to include Hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award documents. States, local governments, and nonprofit governments that expend \$300,000 or more in a year in Federal awards shall have a single or program-specific audit conducted for that year.

Records: Records are required to be maintained for 3 years. All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or subgrantees are required to be maintained by the terms of the agreement. The grantee must retain records for 3 years after completion of the project or submission of the final financial report, whichever is later, and have them readily available for inspection and audit.

FINANCIAL INFORMATION:

Account Identification: 13-2050-0-1-452.

Obligations: (Cooperative Agreements) FY 01 \$10,477,000; FY 02 est \$10,500,000; and FY 03 est \$13,000,000.

Range and Average of Financial Assistance: TAAC grants FY 02 \$610,000 to \$1,090,000.

PROGRAM ACCOMPLISHMENTS: In fiscal year 2001, the TAACs provided assistance to firms as follows: 179 firms were certified eligible to receive trade adjustment assistance, 118 adjustment proposals were accepted.

REGULATIONS, GUIDELINES, AND LITERATURE: Copies of pertinent regulations, guidelines, a TAA information package, and forms are available from the Planning and Development Assistance Division, the Trade Adjustment Assistance Centers (TAACs), or their website, www.taacenters.org.

INFORMATION CONTACTS:

Regional or Local Office: Local Department of Commerce District Offices are listed in Appendix IV of the Catalog.

Headquarters Office: For Firm and Industry Assistance Information: Anthony J. Meyer, U. S. Department of Commerce, Room H7315 Economic Development Administration, Planning and Development Assistance Division, 14th & Constitution Avenue, NW., Washington, DC 20230. Telephone: (202) 482-2127. FAX No. (202) 482-0466. Use the same number for FTS.

Web Site Address: <http://www.doc.gov/eda/> and www.taacenters.org

RELATED PROGRAMS:10.768, Business and Industry Loans; 59.012, Small Business Loans.

EXAMPLES OF FUNDED PROJECTS: There are 12 funded Trade Adjustment Assistance Centers (TAACs), which cover the 50 States and the District of Columbia. The TAACs receive cooperative agreement to help firms become certified and receive trade adjustment assistance. Common types of technical assistance firms receive under the program include market research, quality assurance completion (ISO-9000 designation, for example) and management information systems guidance. Industries have been aided in developing new manufacturing machines and procedures, technological innovations, expanding exporting opportunities, marketing studies, product diversification, etc.

CRITERIA FOR SELECTING PROPOSALS: TAAC funding priority is given to existing TAACs which have successfully carried out previous cooperative agreements. Industries must have a substantial number of TAA certified firms and have developed a proposal providing meaningful near-term benefit to their industry to receive assistance.

Department of Commerce

11.110 Trade Development

FEDERAL AGENCY: INTERNATIONAL TRADE ADMINISTRATION, DEPARTMENT OF COMMERCE

AUTHORIZATION: 15 U.S.C. 1512; 19 U.S.C. 1202, 2031, 2354.

OBJECTIVES: To foster the competitiveness and growth of U.S. industries and promote their increased participation in international markets.

TYPES OF ASSISTANCE: Advisory Services and Counseling.

USES AND USE RESTRICTIONS: To serve as the Federal Government source of industry-specific expertise, advocacy on behalf of American companies for major overseas contracts, negotiation and enforcement of bilateral and multilateral trade agreements, industry competitiveness analysis and trade promotion assistance; for use by the business community, Federal program managers and policy-makers.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Any business, public official, civic organization or private citizen may request information in person, by letter, by telephone or via the Internet (<http://www.doc.ita.gov/itahome.html>).

Beneficiary Eligibility: Any business, public official, civic organization or private citizen may request information in person, by letter or telephone.

Credentials/Documentation: None.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure: Not applicable.

Award Procedure: Not applicable.

Deadlines: Not applicable.

Range of Approval/Disapproval Time: Not applicable.

Appeals: Not applicable.

Renewals: Not applicable.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Not applicable.

Length and Time Phasing of Assistance: Not applicable.

POST ASSISTANCE REQUIREMENTS:

Reports: None.

Audits: In accordance with the provisions of OMB Circular No. A- 133 (Revised, June 24, 1997), recipients that are States, Local Governments, Non-profit Organizations (to include Hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document.

Records: None.

FINANCIAL INFORMATION:

Account Identification: 13-1250-0-1-376.

Obligations: (Operations and Administration) FY 01 \$62,395,000; FY 02 est \$67,369,000; and FY 03 est \$58,270,000.

Range and Average of Financial Assistance: Not applicable.

PROGRAM ACCOMPLISHMENTS: This program included regular statistical reports; economic studies on current policy issues, such as international trade and industry competitiveness and the impact of Government regulations on industry; forecasts of industry/sector output, cost trends, and their general economic condition; information on industry structure, operations and technological developments; advocacy on behalf of U.S. bidders for foreign procurement projects; export promotion events and foreign market information.

REGULATIONS, GUIDELINES, AND LITERATURE: Periodic reports on U.S. international competitiveness, U.S. manufactures trade, U.S. international direct investment and other international economic topics.

INFORMATION CONTACTS:

Regional or Local Office: Local Department of Commerce field offices are listed in Appendix IV of the Catalog.

Headquarters Office: International Trade Administration, U.S. Department of Commerce, 14th and Constitution Avenue, NW., Washington, DC 20230. Inquiries should be directed to Advocacy Center, (202) 482-3896; Transportation and Machinery, (202) 482-1872; Energy, Environment and Materials, (202)482-0614; Service Industries, Tourism and Finance, (202) 482-5261; Export Trading Company Affairs, (202) 482- 5131; Textiles, Apparel, and Consumer Goods Industries, (202) 482-3737; Information Technology Industries, (202) 482-5908; Trade and Economic Analysis, (202) 482-5145; Export Assistance and Business Outreach, (202) 482-4501; and Planning, Coordination and Management, (202) 482-4921.

Web Site Address: <http://www.export.gov>

RELATED PROGRAMS: 10.153, Market News; 10.950, Agricultural Statistics Reports; 11.001, Census Bureau Data Products; 11.005, Census Special Tabulations and Services; 11.025, Measures and Analyses of the U.S. Economy; 11.800, Minority Business Development Centers; 59.005, Business Development Assistance to Small Business; 59.007, Management and Technical Assistance; 59.026, Service Corps of Retired Executives Association.

EXAMPLES OF FUNDED PROJECTS: Not applicable.

CRITERIA FOR SELECTING PROPOSALS: Not applicable.

Department of Defense

12.550 International Education_U.S. Colleges and Universities **National Security Education Program (NSEP)**

FEDERAL AGENCY: OFFICE OF THE ASSISTANT SECRETARY OF DEFENSE, STRATEGY AND REQUIREMENTS, DEPARTMENT OF DEFENSE

AUTHORIZATION: 50 U.S.C. 1901-1910.

OBJECTIVES: (1) To equip Americans with an understanding of less commonly taught languages and cultures; (2) to build a critical base of future leaders both in the marketplace and in government service; (3) to develop a cadre of professionals with more than the traditional knowledge of language and culture; and (4) to enhance institutional capacity and increase the number of faculty who can educate U.S. citizens toward achieving these goals.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Grant awards are made to U.S. institutions of higher education to develop or strengthen their capabilities to enhance the national capacity to educate U.S. citizens in critical languages, areas, and international fields. The National Security Education Act places no special or unique requirements on grantee institutions. Only requirements that govern all Federal grants will apply to NSEP grants. No International exchange programs may be funded by NSEP.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Any accredited U.S. institution of higher education (defined in Section 1201(a) of the Higher Education Act of 1965, 20 U.S.C. 1141(a) is eligible to apply for a grant. This includes 2 and 4 year colleges and universities, both public and private. Other organizations, associations, agencies, and foreign institutions may be included in proposals but may not be direct recipients of a grant. Federal government schools are not eligible.

Beneficiary Eligibility: Accredited U.S. institutions of higher education and their students.

Credentials/Documentation: None.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: None. This program is excluded from coverage under E.O. 12372.

Application Procedure: All eligible, private and public U.S. institutions of higher education may submit proposals in response to the relevant agency announcements.

Award Procedure: Award decisions will be based on a competitive selection of proposals resulting from an independent merit review.

Deadlines: Specified in annual application, usually in the Spring.

Range of Approval/Disapproval Time: From 45 to 60 days at each stage of a two-stage process.

Appeals: None.

Renewals: Reapply and compete again with a new proposal.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: None.

Length and Time Phasing of Assistance: Grants are for 1 to 2 years.

POST ASSISTANCE REQUIREMENTS:

Reports: Semi-annual progress reports.

Audits: In accordance with the provisions of OMB Circular No. A- 133 (Revised, June 24, 1997), “Audits of States, Local Governments, and Nonprofit Organizations,” nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records: The Comptroller General of the United States or a duly authorized representative from the General Accounting Office shall, until 3 years after final payment under this grant have access to and right to examine any of the recipient’s directly pertinent books, documents, papers, or other records involving transactions related to this grant.

FINANCIAL INFORMATION:

Account Identification: 97-8168-0-7-051.

Obligations: (Grants) FY 01 \$2,000,000; FY 02 est \$2,000,000; and FY 03 est \$2,000,000. (Note: funding is based on a calendar year basis. Funds are not subject to annual appropriations. Grant funding comes from interest earned from money deposited in the National Security Education Trust Fund.)

Range and Average of Financial Assistance: Up to \$200,000 per year for 2 years.

PROGRAM ACCOMPLISHMENTS: This program received 120 proposals and awarded seven institutional grants in 2001, which directly benefited 42 United States institutions of higher education.

REGULATIONS, GUIDELINES, AND LITERATURE: 32 CFR Part 206, Annual Grant Solicitation DASW01-97-R-0040.

INFORMATION CONTACTS:

Regional or Local Office: Not applicable.

Headquarters Office: Dr. Edmond J. Collier or Mr. Kenneth A. Clayton, Department of Defense, Office of the Secretary of Defense, Under Secretary of Defense for Policy, Assistant Secretary of Defense (Strategy and Requirements), National Security Education Program, 1101 Wilson Boulevard, Suite 1210, Arlington, VA 22209-2248. Telephone: (703) 696-1991. E-mail: collier@nsep.policy.osd.mil.

Web Site Address: <http://www.ndu.edu/nsep>

RELATED PROGRAMS: 12.552, National Security Education_Fellowships; 12.551, National Security Education_Scholarships.

EXAMPLES OF FUNDED PROJECTS: (1) Development of a model program to enable students to study and learn in business and professional communities in China; (2) a collaborative project to increase the number of students with expertise in women’s roles in national development, democratization, and production in countries of Africa; (3) a program to enable 17 universities to graduate at least 25 public-service professionals each year with advanced proficiency in less common studied languages and practical international experience; (4) continuation of a program to increase international education, language competency, and technical knowledge of science and engineering students; (5) development, publication and distribution of

courseware for less commonly taught languages; (6) development of a model program to prepare undergraduate and graduate students for careers in international trade; and: (7) creation of a summer program that incorporates and teaches Russian and Ukrainian Languages, Slavic culture, international business and international political studies to students and faculty of Historically Black Colleges and Universities and members of the Hispanic Association of Colleges and Universities.

CRITERIA FOR SELECTING PROPOSALS: Significance of the problem and proposal's response to the problem; proposals effectiveness, and evaluation plans.

Department of Defense

12.401 National Guard Military Operations and Maintenance (O&M) Projects

FEDERAL AGENCY: NATIONAL GUARD BUREAU, DEPARTMENT OF DEFENSE

AUTHORIZATION: 32 U.S.C. 106 and 107.

OBJECTIVES: 1. ARNG Real Property O&M Projects (RPOMA) - provide Federal support for services provided by the State for authorized facilities for leases, real property services, real property maintenance, and O & M minor construction costs; 2. ARNG Environmental and Integrated Training Area Management Programs Resources Management provide Federal support for services provided by the States to accomplish environmental actions such as: a. Environmental Compliance/Corrective Projects - maintenance and repair and construction projects that are initiated to comply with environmental laws, prevent pollution, conserve the environment, and restore the environment; b. Environmental Services - activities that support environmental compliance, pollution prevention, conservation, and restoration; such as studies, plans, surveys, assessments, test, monitoring, hazardous waste management and environmental public affairs requirements; c. Program Management - actions, activities, and tasks which are accomplished to maintain a strong environmental program. Program management includes, but is not limited to items such as salaries and benefits, training, maintaining and environmental reference library and material, file/records administration, travel, per diem, mileage, etc.; d. ITAM program - an Army program designed to sustain future use of federally supported training lands through program components and to integrate other Federal programs and stewardship principles into range, training; 3. ARNG Security Guard Activities - provide Federal support for services provided by the States for authorized facilities and services for security guard costs; 4. ARNG Electronic Security System Operation and Maintenance - provide Federal support for services provided by the States for Joint Services Interior Intrusion Detection Systems (J-SIIDS), Commercial Intrusion Detection Systems (CIDS), Exterior Intrusion Detection Systems, Closed Circuit Television (CCTV) used for security surveillance and associated on site and off site remote monitoring equipment provided by the State for authorized facilities; 5. ARNG Telecommunications - provide Federal support for services provided by the States for installation/activity telephone service; facsimile service; telecommunication services; foreign exchange (FX) service; Wide Area Telecommunications Service (WATS); telephone directories; funding, billing, and internal controls for base telecommunications services; and Defense Switched Network (DSN); 6. ARNG Aviation Training Base Operation - provide Federal support for services provided by the States for Air Traffic Control (ATC) Service, Airport Service Agreements, and Aircraft Rescue Fire Fighting (AFF); 7. ARNG Ranges and Training Land Program - provide Federal support for services provided by the States for range operations, range maintenance, leases, rentals and equipment for authorized ranges and training lands; 8. ARNG Full Time Dining Facility Operations - provide Federal support for services provided by the States for authorized Food Service Operations at ARNG missions and training sites; 9. ARNG Store Front Recruiting Office Activities - provide Federal support for services provided by the States for authorized Store Front Recruiting activities; 10. Office of the Chief - Information Services Activities - provide Federal support for services provided by the States for printing and duplication services, mail distribution services, and records management services; 11. ARNG Air and Surface Petroleum, Oil and Lubricants (POL) - provide Federal support services and maintenance expenses for POL activities; 12. ARNG Aviation Reimbursable Maintenance Operations - Provide Federal support for aviation reimbursable maintenance operations within the State. These operations include the maintenance, fabrication, and/or repair of aircraft, aircraft subassemblies, and systems; 13. Air National Guard (ANG) Facilities O & M - provide Federal support for services provided by the State for authorized facilities for leases, real property operations, real property maintenance and repair, and O + M minor construction costs; 14. ANG Environmental Programs Management - provide Federal support for services provided by the States to accomplish environmental actions such as: a. Environmental Compliance/Corrective Projects - maintenance and repair and/or construction projects that are initiated to comply with the environmental laws, prevent pollution, conserve the environment, and restore the environment, b. Environmental Services - activities that support environmental compliance, pollution prevention, conservation, and restoration; such as studies, plans, surveys, tests, monitoring, hazardous waste disposal and management and environmental public affairs requirements, c. Program Management - actions, activities, and tasks which are accomplished to maintain a strong environmental

program. Program management includes, but is not limited to items such as environmental corrective actions, Hazardous waste management, ECAMP auditing, Training, Environmental Project reviews, Environmental planning activities, and Natural resources actions; 15. ANG Security Guard - provide Federal support for services provided by the States for authorized expenses incurred in rendering security guard service; and 16. ANG Fire Protection Activities - provide Federal support for services provided by the States for authorized fire protection activities. 17 ANG Natural and Cultural Resources Management - provide Federal support for Natural and Cultural resource Management projects which are initiated to comply environmental laws, conserve and restore the environment; 18. ANG Air Traffic Control Activities - provide Federal support to the State for sufficient personnel, equipment (except GFE), tools, materials, supervision and other items/services necessary to perform non-approach control air traffic control, and aviation weather observing/reporting services; 19. ANG Paint Facility Activities - provide Federal support for paint facility activities, which include but are not limited to, painting aircraft, and administrative support; 20. ANG Services Resources Management - provide Federal support for State services employees training, lodging and food service activities, i.e., cooks, custodial support and, food service accounting. Note: State means any of the States of the United States, District of Columbia, the Commonwealth of Puerto Rico, Virgin Islands, and Guam, including their political subdivisions, counties, municipalities, cities, towns, townships, local public authorities and tax-supported agencies.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: To provide a combat-ready reserve force in the Army and Air Force National Guard units in the 50 States, District of Columbia, Commonwealth of Puerto Rico, Virgin Islands, and Guam.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: The 50 States, District of Columbia, Commonwealth of Puerto Rico, Virgin Islands, and Guam. The State National Guard unit must be federally recognized.

Beneficiary Eligibility: The 50 States, District of Columbia, Commonwealth of Puerto Rico, Virgin Islands, and Guam. The State National Guard must be federally recognized.

Credentials/Documentation: None.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: None. This program is excluded from coverage under E.O. 12372.

Application Procedure: The State Adjutant General submits proposed projects in accordance with National Guard Bureau criteria guidelines.

Award Procedure: After Congress authorizes, approves, and appropriates funds, the National Guard Bureau reviews and approves all necessary plans, specifications, and other documentation, the award can be made.

Deadlines: Not later than June 30, the States shall submit a budget to NGB, for review/approval, for each succeeding fiscal year (September 1 through October).

Range of Approval/Disapproval Time: From 1 to 2 months.

Appeals: None.

Renewals: Requires submission of a budget proposal for the coming fiscal year.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Federal funds provide from 75 percent to 100 percent of cost for these programs.

Length and Time Phasing of Assistance: Twelve month funding.

POST ASSISTANCE REQUIREMENTS:

Reports: States must submit certified vouchers for each payment to the United States Property and Fiscal Officer of the State.

Audits: Governed by Single Audit Act of 1984.

Records: Maintained at State level.

FINANCIAL INFORMATION:

Account Identification: 57-3840-0-1-051.

Obligations: (Cooperative Agreements) FY 00 \$244,000,000; FY 01 est \$150,000,000; and FY 02 est \$100,000,000.

Range and Average of Financial Assistance: 100,000 and up.

PROGRAM ACCOMPLISHMENTS: All programs have been successful. For specific accomplishments for the programs listed in Objectives above, please contact the Program Managers listed in Appendix IV of the Catalog under National Guard Bureau Headquarters Offices.

REGULATIONS, GUIDELINES, AND LITERATURE: None.

INFORMATION CONTACTS:

Regional or Local Office: None.

Headquarters Office: See Appendix IV of the Catalog.

Web Site Address: <http://www.ngb.dtic.mil/indexshtm/>

RELATED PROGRAMS: None.

EXAMPLES OF FUNDED PROJECTS: ARNG Real Property O&M Projects (RPOMA); Environmental Resources Management; Security Guard Activities; Electronic Security System; Telecommunications; Aviation Operations; Automated Target Systems; Full Time Dining Facility Operations; Store Front Office Recruiting Lease; Administrative Services Activities; ARNG Air and Surface Petroleum, oil and lubricants; ARNG Aviation Reimbursable Maintenance Operations; Air National Guard (ANG) Facilities O&M; ANG Environmental; ANG Security Guard; (ANG) Fire Protection; (ANG) Natural and Cultural Resources Management; (ANG) Air Traffic Control Activities and (ANG) Paint Facility Activities.

CRITERIA FOR SELECTING PROPOSALS: States shall submit annual financial plans which will be reviewed, prioritized, and approved by the appropriate NGB Program Manager.

Department of Education

84.274 American Overseas Research Centers

FEDERAL AGENCY: OFFICE OF ASSISTANT SECRETARY FOR POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION: Higher Education Act, Title VI, Part A, Section 610, as amended, Public Law 102-325, Section 601, 106 Stat. 728, 20 U.S.C. 1127.

OBJECTIVES: To enable American overseas research centers, that are consortia of higher education institutions, to promote postgraduate research, exchanges, and area studies.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Grants may be used to pay all or a portion of the cost of establishing or operating a center or program, including the cost of faculty and staff stipends and salaries, faculty, staff, and student travel, the operation and maintenance of overseas facilities, the cost of teaching and research materials, the cost of bringing visiting scholars and faculty to a center to teach or to conduct research, the cost of organizing and managing conferences, and the cost of publishing and disseminating materials for the scholarly and general public.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: The Secretary shall only award grants to centers that: 1) Receive more than 50 percent of their funding from public or private United States sources; 2) have a permanent presence in the country in which the center is located; and 3) are organizations described in Section 501(c)(3) of the Internal Revenue Code of 1986 which are exempt from taxation under Section 501(a) of the Code.

Beneficiary Eligibility: Public and private agencies, organizations, institutions, and individuals will benefit.

Credentials/Documentation: None.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure: Eligible centers may submit an application for funding when a new competition is announced in the Federal Register. Application forms and guidelines for the submission of applications are available from the program.

Award Procedure: Final recommendations are made to the Secretary following advice from a panel of consultants.

Deadlines: Deadlines will be announced in the Federal Register.

Range of Approval/Disapproval Time: The range is approximately 90 days.

Appeals: There are no appeals.

Renewals: Centers selected to receive funds for the three year program must submit performance reports for continuation of support. Renewals are subject to the availability of funds.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: None.

Length and Time Phasing of Assistance: Grants are awarded for 12 month periods for a total project period of 36 months.

POST ASSISTANCE REQUIREMENTS:

Reports: Funded projects must provide reports within 90 days of termination of each of the two twelve month grant periods and a final report within 90 days of termination of the last twelve month grant period.

Audits: All fiscal records will be subject to audit by the Department of Education any time within three years after expiration of the grant.

Records: All records supporting claims under grants or relating to accountability for awarded funds must be available on request: (1) For three years after the close of the fiscal year that the grant expired; or (2) until the grantee is notified that the fiscal audit has closed, whichever is later.

FINANCIAL INFORMATION:

Account Identification: 91-0201-0-1-502.

Obligations: (Grants) FY 01 \$800,000; FY 02 est \$1,000,000; and FY 03 est \$1,000,000.

Range and Average of Financial Assistance: In 2001, the average award was \$73,000.

PROGRAM ACCOMPLISHMENTS: In fiscal year 2001, 11 projects were funded.

REGULATIONS, GUIDELINES, AND LITERATURE: This program is governed by the authorizing legislation and the Education Department General Administrative Regulations, 34 CFR 74 through 77, 79 through 82, 85, and 86. Application guidelines will be announced in the Federal Register. Contact the program office for more information.

INFORMATION CONTACTS:

Regional or Local Office: Not applicable.

Headquarters Office: Advanced Training and Research Team, International Education, Office of Higher Education Programs and Graduate Programs Service, Office of Postsecondary Education, Department of Education, 400 Maryland Avenue, SW., Washington, DC 20202-5331. Contact: Cheryl Gibbs. Telephone: (202) 502-7634.

Web Site Address: <http://www.ed.gov/offices/OPE/OHEP>

RELATED PROGRAMS: 84.015, National Resource Centers and Fellowships Program for Language and Area or Language and International Studies; 84.016, Undergraduate International Studies and Foreign Language Programs; 84.017, International Research and Studies; 84.019, International: Overseas_Faculty Research Abroad; 84.022, International: Overseas_Doctoral Dissertation; 84.153, Business and International Education Projects; 84.220, Centers for International Business Education; 84.229, Language Resource Centers.

EXAMPLES OF FUNDED PROJECTS: American Institute of Indian Studies (AIIS), founded in 1961, serves American scholars overseas, as a base for research and a liaison with scholars and research targets in India. Specific activities funded include library expansion, overseas director and staff salaries as well as operations and communications. American Research Institute in Turkey (ARIT) directly supports programs of fellowship for research and for language study in Turkey for American researchers and graduate students. Its programs, services, and facilities are available to all U.S. scholars at its Centers in Istanbul and Ankara.

Specific activities funded include administrator and librarian salaries and overseas operations. American Center of Oriental Research ACOR serves American scholars of archaeology providing fellowship and publication programs, coordinating American archaeological work and interest in Jordan, and undertaking cooperative efforts on behalf of the Jordanian and American scholarly communities. Specific activities funded include administrative salaries, library acquisitions, and overseas operations.

CRITERIA FOR SELECTING PROPOSALS: The selection criteria are: Meeting the purposes of the authorizing statute (30 points); extent of need for the project (20 points); plan of operation (15 points); quality of key personnel (10 points); budget and cost-effectiveness (15 points); evaluation plan (5 points); and adequacy of resources (5 points).

Department of Education

84.153 Business and International Education Projects

FEDERAL AGENCY: OFFICE OF ASSISTANT SECRETARY FOR POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION: Higher Education Act of 1965, as amended, Title VI, Part B, Public Law 102-325, 20 U.S.C. 1130, 1130b, and 1132.

OBJECTIVES: To promote innovation and improvement in international business education curricula at institutions of higher education (IHEs) and promote linkages between IHEs and the business community.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Institutions eligible for discretionary grant funding must enter into an agreement with a business enterprise, trade organization or association engaged in international economic activity, or a combination or consortium of the named entities. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Accredited institutions of higher education may apply. Institutions must enhance their own international academic programs and provide appropriate services to the business community to expand U.S. exports abroad.

Beneficiary Eligibility: Students and faculty at accredited institutions will benefit.

Credentials/Documentation: This program is excluded from coverage under OMB Circular No. A-87.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under E.O. 12372.

Application Procedure: Eligible institutions may submit proposals for funding of projects when the announcement of a new competition appears in the Federal Register. Application forms and guidelines are available from the International Education Graduate Program Service. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: Final recommendations are made to the Secretary of Education following advice from a panel of consultants, developed according to procedures in regulations.

Deadlines: Deadline for transmittal of proposals is announced in the Federal Register.

Range of Approval/Disapproval Time: Approximately 120 days.

Appeals: None.

Renewals: Project period may last up to 24 months. Applicants submit performance reports for continuation funding according to instructions established by the Department. Renewals are subject to the availability of appropriations.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: The Federal share for each fiscal year shall not exceed 50 percent of the cost of each project.

Length and Time Phasing of Assistance: Grants are awarded for a 12 or 24 month period. Renewals are subject to the availability of appropriations.

POST ASSISTANCE REQUIREMENTS:

Reports: Institutions must provide final reports upon completion of the program. Financial reports are also required.

Audits: None.

Records: All records supporting claims under grants or relating to accountability for awarded funds must be available upon request: (1) For three years after close of the fiscal year in which the grant was closed out; or (2) until the grantee is notified of the completion of a Federal financial audit, whichever is later.

FINANCIAL INFORMATION:

Account Identification: 91-0201-0-1-502.

Obligations: (Grants) FY 01 \$4,305,000; FY 02 est \$4,600,000; and FY 03 est \$4,720,000.

Range and Average of Financial Assistance: In 2001, the average new award was \$75,000; the average continuation award was \$79,000.

PROGRAM ACCOMPLISHMENTS: In fiscal year 2001, 56 awards were made to eligible institutions.

REGULATIONS, GUIDELINES, AND LITERATURE: 34 CFR 74, 75, 77, and 78 available from Superintendent of Documents, Government Printing Office, Washington, DC 20402.

INFORMATION CONTACTS:

Regional or Local Office: Not applicable.

Headquarters Office: International Studies Team, International Education and Graduate Programs Service, Office of Postsecondary Education, Department of Education, 400 Maryland Avenue, SW., Washington, DC 20202-5332. Contact: Tanyelle Richardson. Telephone: (202) 502-7626. E-mail: tanyelle.richardson@ed.gov.

Web Site Address: <http://www.ed.gov/offices/OPE/OHEP>

RELATED PROGRAMS: 84.220, Centers for International Business Education.

EXAMPLES OF FUNDED PROJECTS: Projects to improve the teaching of international business and support linkages between institutions of higher education and the business community engaged in international commerce. Howard Community College's International Business and Education Center developed a one-stop shopping International Trade Assistance Center (ITAC) which promotes economic development in Howard County and the State of Maryland with Title VI funding. ITAC provides services to businesses in all phases of exporting. Furthermore, ITAC has partnered with several public entities, including Maryland's International Division, World Trade Center Institute and Howard County's Economic Development Authority and others. These partnerships enable ITAC to serve businesses and promote their capacity to sell its goods and services outside the United States. Moreover, the International Business and Educational Center (IBEC) has launched major initiatives to internationalize both credit and non-credit courses at Howard Community College. These initiatives include international faculty exchanges. The first-hand experience enables faculty to enrich both the curriculum and the student awareness of international business issues. Purdue University's School of Agriculture trained twenty undergraduate students in agribusiness, Russian language and area

studies. The students studied Russian language, area studies, agriculture and business practices in both Indiana and Novgorod, Russia. Each student collected and compiled market research for Indiana businesses and were involved in several trade workshops in Indiana to increase the capacity of Indiana agribusiness to conduct trade activities in Russia.

CRITERIA FOR SELECTING PROPOSALS: Applications for awards will be evaluated competitively under the selection criteria which includes: (1) Plan of operation (30 points); (2) qualifications of key personal (10 points); (3) budget and cost-effectiveness (15 points); (4) evaluation plan (15 points); (5) adequacy of resources (10 points); and (6) need for the project (20 points).

Department of Education

84.220 Centers for International Business Education

FEDERAL AGENCY: OFFICE OF THE ASSISTANT SECRETARY FOR POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION: Higher Education Act of 1965, as amended, Title VI, Part B, Public Law 102-325, 20 U.S.C. 1130 a-b.

OBJECTIVES: To provide a comprehensive university approach to improve the teaching of international business by bringing together faculty from numerous disciplines and to engage in research to promote the international competitiveness of U.S. business.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Institutions of higher education eligible for discretionary grant funding must: (a) Establish a center advisory council to conduct extensive planning regarding the scope of the center's activities and the design of its programs prior to establishing the center; (b) assure ongoing collaboration in the center's establishment and operation by appropriate faculty from business, management, foreign language, international studies and other professional schools/departments; (c) assure that the center's programs are open to students concentrating in these areas; and (d) assure that the recipient institution will use the aid provided to supplement and not to supplant their activities. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on 202-708-7770.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Accredited public and nonprofit private institutions of higher education, or combinations of such institutions, that establish a center advisory council before the date Federal assistance is received may apply. This council will conduct extensive planning concerning the scope of the center's activities and the design of its program prior to establishing the center.

Beneficiary Eligibility: Students and faculty of accredited institutions of higher education will benefit.

Credentials/Documentation: This program is excluded from coverage under OMB Circular No. A-87.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under E.O. 12372.

Application Procedure: Eligible institutions may submit proposals for funding of projects when the announcement of a new competition appears in the Federal Register. Application forms and guidelines are available from the Center for International Education. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: Final recommendations are made to the Secretary of Education following advice from a panel of consultants developed according to procedures defined by the Education Department General Administrative Regulations.

Deadlines: Deadlines for transmitting proposals are announced in the Federal Register.

Range of Approval/Disapproval Time: Approximately 150 days.

Appeals: None.

Renewals: Project period may last up to 36 months. Applicants submit performance reports for continuation funding according to instructions published by the Department. Renewals are subject to the availability of funds.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: The Federal share of the cost of centers is: (a) Not more than 90 percent for the first year in which Federal funds are furnished; (b) not more than 70 percent for the second year; and (c) not more than 50 percent for the third year and succeeding years. The nonfederal share for planning, establishing and operating the center may be provided by in-cash or in-kind assistance.

Length and Time Phasing of Assistance: Grants are awarded for a 36 month period, subject to the availability of funds.

POST ASSISTANCE REQUIREMENTS:

Reports: Institutions must provide final reports upon completion of the program. Financial reports are also required.

Audits: None.

Records: All records supporting claims under grants or relating to accountability for awarded funds must be available upon request for three years after close of the fiscal year in which the grant was closed out or until the grantee is notified of the completion of a Federal financial audit, whichever is later.

FINANCIAL INFORMATION:

Account Identification: 91-0201-0-1-502.

Obligations: (Grants) FY 01 \$8,760,000; FY 02 est \$10,300,000; and FY 03 est \$10,300,000.

Range and Average of Financial Assistance: In fiscal year 2001, the average award was \$313,000.

PROGRAM ACCOMPLISHMENTS: In fiscal year 2001, 28 awards were made to eligible institutions.

REGULATIONS, GUIDELINES, AND LITERATURE: Regulations are found in 34 CFR 74, 75, 77, and 85.

INFORMATION CONTACTS:

Regional or Local Office: Not applicable.

Headquarters Office: International Studies Branch, Center for International Education, Department of Education, 400 Maryland Ave., SW., Washington, DC 20202-5332. Contact: Susanna C. Easton. Telephone: (202) 502-7700. Use the same number for FTS. E-Mail: Susanna_easton@ed.gov.

Web Site Address: <http://www.ed.gov/offices/OPE/OHEP>

RELATED PROGRAMS: 84.015, National Resource Centers and Fellowships Program for Language and Area or Language and International Studies; 84.153, Business and International Education Projects.

EXAMPLES OF FUNDED PROJECTS: Projects that support linkages among international business education, foreign language, and area studies programs at institutions of higher education perform research on issues to improve U.S. competitiveness and provide assistance to the business community on matters of international commerce. San Diego State University (SDSU) developed a very successful interdisciplinary international business undergraduate degree program. The SDSU CIBER enhanced this program through implementing the following activities: dual, transnational undergraduate degrees with Mexico, France, and

Canada, developed internships, both abroad and domestic, developed business courses taught in target languages, enhanced study abroad programs, and developed courses in area studies. The CIBER also provides business training for liberal arts faculty as well as language training for the business community. The breadth and depth of the SDSU CIBER collaborative activities guarantee timely and wide dissemination of its programs and research. The University of Texas at Austin (UT-Austin) CIBER initiated several activities to globalize business education and research. UT-Austin CIBER developed comprehensive exchange academic programs in Latin America, created double degree programs within UT- Austin and with a foreign university, created an MBA/MA degree in collaboration with the National Resource Centers on the UT-Austin campus. The Export Video Series for the business community created an International Trade Analysis Program and conducted several academic outreach programs for other institutions of higher education. UT-Austin CIBER collaborative activities demonstrates how the CIBER acts as a national and regional resource.

CRITERIA FOR SELECTING PROPOSALS: Applications for awards will be evaluated competitively under selection criteria that include: (1) Meeting the purpose of the statute (30 points); (2) extent of need for the project (10 points); (3) quality of management plan (10 points); (4) quality of key personnel (10 points); (5) significance (10 points); (6) evaluation plan (5 points); (7) adequacy of resources (8 points); quality of the project design (15 points); and quality of project services (2 points).

Department of Education

84.304 Cooperative Education Exchange Program

FEDERAL AGENCY: ASSISTANT SECRETARY FOR EDUCATION RESEARCH STATISTICS, AND IMPROVEMENTS, DEPARTMENT OF EDUCATION

OBJECTIVES: (1) To make available to educators from eligible countries exemplary curriculum and teacher training programs in civics and government education, and economics education, developed in the United States; (2) to assist eligible countries in the adaptation, implementation, and institutionalization of such programs; (3) to create and implement civics and government education, and economic education, programs for students that draw upon the experiences of the participating eligible countries; (4) to provide a means for the exchange of ideas and experiences in civics and government education, and economic education, among political, educational, governmental, and private sector leaders of participating eligible countries; and (5) to provide support for—(a) independent research and evaluation to determine the effects of educational programs on students' development of the knowledge, skills, and traits of character essential for the preservation and improvement of constitutional democracy; and (b) effective participation in, and the preservation and improvement of, an efficient market economy.

AUTHORIZATION: Elementary and Secondary Education Act of 1965 as amended by the No Child Left Behind Act of 2001, Title II, Sections 2343 and 2345.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Of the funds available for this program, 37.5 percent are for a grant or contract with the Center for Civic Education and 37.5 percent for a grant or contract for the National Council on Economic Education. The remaining 25 percent of the funds are for one to three grants awarded competitively to eligible entities described below. Countries eligible to participate, in addition to the United States, include Central European countries, Eastern European countries, Lithuania, Latvia, Estonia, the independent states of the former Soviet Union as defined in Section 3 of the FREEDOM Support Act (22 U.S.C. 5801), the Republic of Ireland, the province of Northern Ireland in the United Kingdom, and any developing country (as such term is defined in section 209(d) of the Education for the Deaf Act) if the Secretary of Education, with the concurrence of the Secretary of State, determines that such developing country has a democratic form of government.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: The Center for Civic Education and the National Council on Economic Education each receive a grant or contract. In addition, a competition is held for organizations experienced in the development of curricula and programs in civics and government education and economic education for students in elementary schools and secondary schools in countries other than the United States.

Beneficiary Eligibility: Educational leaders in the areas of civics and government education, and economic education, including teachers, curriculum and teacher training specialists, scholars in relevant disciplines, and educational policymakers, and government and private sector leaders from the United States and eligible countries.

Credentials/Documentation: None.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or the official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure: Contact the Headquarters Office listed below for application packages containing the announcement, application, and assurance forms.

Award Procedure: Applications are reviewed and evaluated by outside experts and program staff annually, in accordance with EDGAR and 34 CFR 700, Evaluation of Applications for Grants and Cooperative Agreements. The Assistant Secretary for Educational Research and Improvement approves the selection of applications.

Deadlines: Application deadlines and other information for applicants are published as a notice in the Federal Register.

Range of Approval/Disapproval Time: Approximately 120 days.

Appeals: None.

Renewals: None.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: None.

Length and Time Phasing of Assistance: Awards are made for twelve months. One award is made to the Center for Civic Education and one award to the National Council on Economic Education. One to three awards are competitively selected.

POST ASSISTANCE REQUIREMENTS:

Reports: As required by the Education Department General Administrative Regulations for direct grants (34 CFR 75). A final performance report is due ninety days after termination of the grant.

Audits: See 34 CFR 74.26. Institutions of higher education and nonprofit organizations are subject to the audit requirements of OMB Circular No. A-133. State and local governments are subject to the requirements in the Single Audit Act and the ED regulations implementing OMB Circular No. A-128 (see 34 CFR 80).

Records: As required by EDGAR for direct grant programs (34 CFR 75). Generally, records related to grant funds, compliance, and performance must be maintained for a period of five years after completion.

FINANCIAL INFORMATION:

Account Identification: 18-1000-0-1-501.

Obligations: (Grants) FY 01 \$10,000,000; FY 02 \$10,800,000; and FY 03 est \$0.

Range and Average of Financial Assistance: The range is from \$83,000 to \$1,250,000.

PROGRAM ACCOMPLISHMENTS: In 2001, awards were made to two entities, the American Forum, Inc. and the National Council on Economic Education.

REGULATIONS, GUIDELINES, AND LITERATURE: The regulations applicable to this program are in the Education Department General Administrative Regulations, 34 CFR 74, 75, 77, 79, 80, 81, 82, 85, 86, and 97.

INFORMATION CONTACTS:

Regional or Local Office: none.

Headquarters Office: U.S. Department of Education, National Research Institute on Student Achievement, Curriculum, and Assessment, Office of Educational Research and Improvement, Department of Education, Washington, DC 20208-5573. George L. Harris, Jr., Telephone: (202) 219-2079. E-mail: George.Harris@ed.gov.

Web Site Address: www.ed.gov/offices/OERI

RELATED PROGRAMS: None.

EXAMPLES OF FUNDED PROJECTS: None.

CRITERIA FOR SELECTING PROPOSALS: The criteria for selecting applications under this program are contained in 34 CFR 700, Evaluation of Applications for Grants and Cooperative Agreements. The specific criteria to be used for a particular grant competition are selected from among those in 34 CFR 700, and announced in the application package or the application notice in the Federal Register.

Department of Education

84.293 Foreign Language Assistance

FEDERAL AGENCY: OFFICE OF THE DIRECTOR FOR ENGLISH LANGUAGE ACQUISITION, LANGUAGE ENHANCEMENT, AND ACADEMIC ACHIEVEMENT FOR LIMITED ENGLISH PROFICIENT STUDENTS, DEPARTMENT OF EDUCATION

AUTHORIZATION: Elementary and Secondary Education Act of 1965, as amended, Title V, Part D, subpart 9.

OBJECTIVES: To support innovative model programs of foreign language study in public elementary and secondary schools.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Projects must be designed to support innovative model programs of foreign language study in elementary and secondary schools. Projects must show the promise of being continued beyond the grant period. Not less than three-fourths of the appropriation must be used for elementary level projects. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564- 76.569. For assistance call the Office of the Chief Financial Officer/ Indirect Cost Group on 202-708-7770.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: State and local education agencies may apply.

Beneficiary Eligibility: Elementary and secondary school children will benefit.

Credentials/Documentation: None.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or the official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure: To participate, an organization must have on file with the Secretary of Education, by the designated deadline, an application that complies with the statutory requirements. Contact the program office for specific application information.

Award Procedure: Applications are approved for awards by the Director, English Language Acquisition, Language Enhancement, And Academic Achievement For Limited English Proficient Students based on evaluations and recommendations of outside experts and an administrative review of the applications.

Deadlines: Deadline will be announced in application notice published in the Federal Register. Contact the program office for more information.

Range of Approval/Disapproval Time: Approximately 120 days.

Appeals: None.

Renewals: Renewals are based on an annual review of the performance data and the availability of funds.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: The Federal share of the program may not exceed 50 percent of the project cost.

Length and Time Phasing of Assistance: Awards are made for 3 years.

POST ASSISTANCE REQUIREMENTS:

Reports: Financial status and performance reports, as required by EDGAR, 34 CFR 75.720. Specific reporting requirements are contained in the grant documents.

Audits: In accordance with the provisions of OMB Circular No. A- 133 (Revised, June 24, 1997), “Audits of States, Local Governments, and Nonprofit Organizations,” nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records: Grantees are required to maintain appropriate records related to grant funds, as required by EDGAR.

FINANCIAL INFORMATION:

Account Identification: 18-1000-0-1-501.

Obligations: (Grants) FY 01 \$14,000,000; FY 02 est \$14,000,000; and FY 03 est \$0.

Range and Average of Financial Assistance: Average award: \$118,000.

PROGRAM ACCOMPLISHMENTS: In fiscal year 2001, the program made 118 awards.

REGULATIONS, GUIDELINES, AND LITERATURE: No specific regulations have been developed. EDGAR will be used as appropriate.

INFORMATION CONTACTS:

Regional or Local Office: Not applicable.

Headquarters Office: Office of English Language Acquisition, Language Enhancement, And Academic Achievement For Limited English Proficient Students, Department of Education, 400 Maryland Ave., SW., Washington, DC 20202. Contact: Rebecca Richey. E-mail Rebecca.richey@ed.gov Telephone:(202) 205-9717.

Web Site Address: <http://www.ed.gov/offices/OBEMLA>

RELATED PROGRAMS: None.

EXAMPLES OF FUNDED PROJECTS: Contact the Program Office for this information.

CRITERIA FOR SELECTING PROPOSALS: Selection criteria include: need for the project, significance, and quality of project design.

Department of Education

84.269 Institute for International Public Policy

FEDERAL AGENCY: OFFICE OF ASSISTANT SECRETARY FOR POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION: Higher Education Act of 1965, Title VI, Part C, as amended, 20 U.S.C. 1131-1131f.

OBJECTIVES: To establish an institute for international public policy. This institute shall conduct a program to significantly increase the numbers of African Americans and other under represented minorities in the international service, including private international voluntary organizations and the foreign service of the United States.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Funds may be used to support a junior year abroad program, fellowships for graduate study, internships, intensive academic programs such as summer institutes, or intensive language training.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: A consortium consisting of one or more of the following entities: An institution eligible for assistance under Part B of Title III of the Higher Education Act of 1965, as amended; an institution of higher education that serves substantial numbers of African Americans or other underrepresented minority students and an institution of higher education with programs in training foreign service professionals.

Beneficiary Eligibility: Institutions of higher education and minority students will benefit.

Credentials/Documentation: None. This program is excluded from coverage under OMB Circular No. A-87.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Technical assistance in preparing applications is available from the agency information contact listed below. An informal preapplication conference with the agency information contact is recommended. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure: Eligible consortia may submit an application for funding when a new competition is announced in the Federal Register. Application forms and guidelines for the submission of applications are available from the International Studies Team of the International Education and Graduate Programs Service (IEGPS).

Award Procedure: Final recommendations are made to the Secretary following advice from a panel of consultants.

Deadlines: Deadlines will be announced in the Federal Register.

Range of Approval/Disapproval Time: The range is approximately 90 days.

Appeals: There are no appeals.

Renewals: The project period may last up to 60 months. Applicants must receive annual approval for renewals.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: The Federal share for each fiscal year shall not exceed 50 percent

of the cost of each project.

Length and Time Phasing of Assistance: A grant is awarded for a 60 month period; subject to the availability of funds.

POST ASSISTANCE REQUIREMENTS:

Reports: The Institute shall annually prepare a report on the activities of the Institute and shall submit it to the Secretary of Education and the Secretary of State.

Audits: All fiscal records will be subject to audit by the Department of Education at any time within 3 years after expiration of the grant.

Records: All records supporting claims under grants or relating to accountability for awarded funds must be available on request: (1) For 3 years after the close of the fiscal year that the grant expired; or (2) until grantee is notified that the fiscal audit has closed, whichever is later.

FINANCIAL INFORMATION:

Account Identification: 91-0201-0-1-502.

Obligations: (Grants) FY 01 \$1,022,000; FY 02 est \$1,500,000; and FY 03 est \$1,500,000.

Range and Average of Financial Assistance: One award is made for the entire amount available.

PROGRAM ACCOMPLISHMENTS: One award for \$1,022,000 was made in fiscal year 2001.

REGULATIONS, GUIDELINES, AND LITERATURE: 34 CFR 74, 75, 77, 79, 80, 82, 85, and 86.

INFORMATION CONTACTS:

Regional or Local Office: Not applicable.

Headquarters Office: International Studies Team, International Education and Graduate Programs Service, Higher Education Programs, Office of Postsecondary Education, Department of Education, 400 Maryland Avenue, SW., Washington DC 20202-5332. Contact: Tanyelle Richardson. Telephone: (202) 401-9798.

Web Site Address: <http://www.ed.gov/offices/OPE/OHEP>

RELATED PROGRAMS: 84.015, National Resource Centers and Fellowships Program for Language and Area or Language and International Studies; 84.016, Undergraduate International Studies and Foreign Language Programs; 84.017, International Research and Studies; 84.018, International: Overseas Seminars Abroad_Bilateral Projects; 84.019, International: Overseas_Faculty Research Abroad; 84.021, International: Overseas_Group Projects Abroad; 84.022, International: Overseas_Doctoral Dissertation; 84.153, Business and International Education Projects; 84.220, Centers for International Business Education; 84.229, Language Resource Centers.

EXAMPLES OF FUNDED PROJECTS: None.

CRITERIA FOR SELECTING PROPOSALS: Applications under the program will be evaluated competitively. Criteria will include: (1) Meeting the purpose of the statute (20 points); (2) extent of need for the project (15 points); (3) quality of key personnel (10 points); (4) evaluation plan (10 points); (5) adequacy resources (5 points); significance (10 points); quality of project design (7 points); quality of project services (8 points); quality of management plan (10 points).

Department of Education

84.017 International Research and Studies

FEDERAL AGENCY: ASSISTANT SECRETARY FOR POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION: Higher Education Act of 1965, Title VI, Section 605, as amended, 20 U.S.C. 1125.

OBJECTIVES: To improve foreign language, area, and other international studies training through support of research, studies, experimentation, development of specialized instructional materials, and the publication of specialized materials developed as a result of research conducted under this program.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: The program supports research and studies that may include but are not limited to: 1) Studies and surveys to determine needs for increased or improved instruction in foreign language, area studies, or other international fields including the demand for foreign language, area, and other international specialists in government, education, and the private sector; 2) studies and surveys to assess the utilization of graduates of programs supported under this title by governmental, educational, and private sector organizations and other studies assessing the outcomes and effectiveness of programs so supported; 3) comparative studies of the effectiveness of strategies to provide international capabilities at institutions of higher education; 4) research on more effective methods of providing instruction and achieving competency in foreign languages; 5) the development and publication of specialized materials for use in foreign language, area studies, and other international fields, or for training foreign language, area and other international specialists; 6) the application of performance tests and standards across all areas of foreign language instruction and classroom use, and 7) other research or materials development projects that further the purposes of the International Education Programs authorized by Part A of Title VI of the HEA. Funds awarded under this program may not be used for the training of students and teachers.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Public and private agencies, organizations, institutions, and individuals may apply.

Beneficiary Eligibility: Public and private agencies, organizations, institutions, and individuals will benefit.

Credentials/Documentation: Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure: Formal applications should be prepared in response to announcements of mandatory program requirements and closing dates in the Federal Register. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: Applications are reviewed by Department of Education specialists and review panels of scholars.

Deadlines: Will be announced in the Federal Register and are normally in October/November of the year preceding the grant period. Contact the program office for more information.

Range of Approval/Disapproval Time: From 6 to 7 months.

Appeals: Not applicable.

Renewals: Projects conducted under a grant may be phased, with the phases following the first phase subject to renewal on a non-competitive basis. Renewals of grants, which were not included in the original negotiations, will be considered in competition with all other new applications and are dependent on the submission of reports that demonstrate that substantial progress has been made.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: None.

Length and Time Phasing of Assistance: Depends on project, but is generally from 12 to 36 months. Phasing of projects is determined during the negotiation process.

POST ASSISTANCE REQUIREMENTS:

Reports: A final report or subject data as established through negotiation. Interim progress reports and a financial report are required.

Audits: All fiscal records will be subject to audit by the Department at any time within 3 years after expiration of the contract or grant.

Records: All records supporting claims under grants or relating to accountability for awarded funds must be available on request: (1) For 3 years after close of the fiscal year of grant expiration or (2) until the grantee is notified of completion of the Federal audit, whichever is later.

FINANCIAL INFORMATION:

Account Identification: 91-0201-0-1-502.

Obligations: (Grants) FY 01 \$4,550,000; FY 02 est \$5,050,000; and FY 03 est \$5,200,000.

Range and Average of Financial Assistance: In fiscal year 2001, the average new award was \$98,000. Average continuation award was \$124,000.

PROGRAM ACCOMPLISHMENTS: In fiscal year 2001, 39 grants were awarded. Funds were also allocated to one Small Business Innovation Research (SBIR) project.

REGULATIONS, GUIDELINES, AND LITERATURE: Contact the program office for information.

INFORMATION CONTACTS:

Regional or Local Office: Not applicable.

Headquarters Office: Advanced Training and Research Team, International and Graduate Program Service, Office of Postsecondary Education, U.S. Department of Education, 400 Maryland Ave., SW., Washington, DC 20202-5331. Contact: Jose L. Martinez. Telephone: (202) 502-7635.

Web Site Address: <http://www.ed.gov/offices/OPE/OHEP>

RELATED PROGRAMS: 84.015, National Resource Centers and Fellowships Program for Language and Area or Language and International Studies; 84.016, Undergraduate International Studies and Foreign Language Programs; 84.018, International: Overseas Seminars Abroad_Bilateral Projects; 84.019, International: Overseas_Faculty Research Abroad; 84.021, International: Overseas_Group Projects Abroad; 84.022, International: Overseas_Doctoral Dissertation; 84.229, Language Resource Centers.

EXAMPLES OF FUNDED PROJECTS: “HEA Title VI, Part A, Section 606: An Assessment Study”; “National Proficiency Tests in Ukrainian and Croatian”; “Teaching about the Americas: An Electronic Curriculum”; “Instructional Materials on China and Japan”; “Proficiency-based Business Arabic”; “A CD-ROM-based Video in Japanese Language and Culture”; “Middle East Curriculum and Dissemination Project”; “Teaching Materials for Russian Language Instruction at the Secondary Schools Level”; “Africa: Media and Education Project”; “Survey of Foreign Language Entrance and Degree Requirements in U.S. Colleges and Universities.” International Law - Video Course and Learner’s Guide, Hausa Language and Culture Video Project, The Muslim Middle East: Curriculum Development and Dissemination Project.

CRITERIA FOR SELECTING PROPOSALS: The criteria for selecting proposals is stated in 34 CFR 655.31, 660.31, 660.32, and 660.33. The Secretary will evaluate applications in accordance with the published criteria. An applicant may receive as many as five or ten points or as few as zero points under each criterion. (20 U.S.C. 1121-1127, 3063- 3065).

Department of Education

84.019 International: Overseas Faculty Research Abroad

FEDERAL AGENCY: ASSISTANT SECRETARY FOR POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION: Mutual Educational and Cultural Exchange Act of 1961, as amended, Sections 102(b)(6) and 105(d); Public Law 87-256.

OBJECTIVES: To help universities and colleges strengthen their language and area studies programs by enabling faculty members to conduct research abroad in order to improve their skills in languages and their knowledge of the cultures on which their academic interests focus.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Generally, awards will not be available for projects focusing primarily on Western Europe or on countries where the United States has no diplomatic representation. Financial provisions include a stipend in lieu of salary; cost of air fare for award recipient only; baggage allowance; project allowance to purchase expendable materials; services and supplies; fees to foreign institutions; and local travel expenditures. Fellowships are adjusted for duplicating support.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Institutions of higher education may apply.

Beneficiary Eligibility: Those benefiting will: (1a) Be a citizen or national of the United States; (1b) be a permanent resident of the United States; or (1c) provide evidence from the Immigration and Naturalization Service that he or she is in the United States for other than a temporary purpose with the intention of becoming a citizen or permanent resident; (2) be employed by an institution of higher education; (3) have been engaged in teaching relevant to his or her foreign language or area specialization for the 2 years immediately preceding the date of the award; (4a) have proposed research relevant to a modern foreign language or area specialization that cannot be conducted in the United States, or for which a foreign country or region provides superior research facilities; (4b) contribute to the development or improvement of the study of modern foreign languages or area studies in those fields needed for a full understanding of the area, regions, or countries in which the modern foreign languages are commonly used; (4c) not be doing dissertation research for a Ph.D.; and (5) possess adequate skills in the language or languages necessary to successfully carry out the project.

Credentials/Documentation: This program is excluded from coverage under OMB Circular No. A-87.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Eligible institutions will be responsible for accepting, screening, and forwarding through the Department of Education's Application Control Center to the International Education and Graduate Programs Service (IEGPS) International Education those individual applications that meet the institution's technical and academic criteria and the program's eligibility requirements. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under E.O. 12372.

Application Procedure: Faculty members may address requests for general information to the Advanced Training and Research Team, International Education and Graduate Programs Service. Completed applications should be submitted directly to the faculty members employing institution, which will forward them to the Application Control Center. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure: The IEGPS will make the initial selection and recommendation of fellowship recipients with the advice of a panel of academic specialists in modern foreign language and area studies and comments by U.S. embassies and binational commissions in the proposed countries of research. The selections

are subject to review and final approval by the J. William Fulbright Foreign Scholarship Board. The grant covering successful scholars is made to the institutions which employ the faculty members.

Deadlines: Generally the last week in October or the first week in November of the year preceding the year of research. Exact date is announced in the Federal Register. Contact the program office for more information.

Range of Approval/Disapproval Time: Approximately 200 days.

Appeals: Not applicable.

Renewals: Not applicable.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: None.

Length and Time Phasing of Assistance: From 3 to 12 months.

POST ASSISTANCE REQUIREMENTS:

Reports: Award recipient: final program report submitted through the grantee institution no later than 90 days after the termination date of the award. Institution: fiscal year report.

Audits: Fiscal records of institutions subject to the Department audit at any time within 5 years after close of the fiscal year in which expenditures are made.

Records: Records bearing on receipt and expenditure of grant funds must be made available for the Department inspection: (1) For 3 years after the close of the fiscal year in which expenditures are made if departmental audit has occurred by that time; (2) until audit or for 5 years following end of budget period in which grant expired, whichever is later; or (3) until resolution of outstanding audit questions.

FINANCIAL INFORMATION:

Account Identification: 91-0201-0-1-502.

Obligations: (Grants) FY 01 \$1,265,000; FY 02 est \$1,432,000; and FY 03 est \$1,580,000.

Range and Average of Financial Assistance: In fiscal year 2001, the average new award was \$53,000.

PROGRAM ACCOMPLISHMENTS: In fiscal year 2001, 26 fellowships were awarded.

REGULATIONS, GUIDELINES, AND LITERATURE: Final Regulations published in Federal Register on December 19, 1983; 34 CFR 662.

INFORMATION CONTACTS:

Regional or Local Office: Not applicable.

Headquarters Office: Advanced Training and Research Team, International Education and Graduate Programs Service, Office of Postsecondary Education, Department of Education, 400 Maryland Avenue, SW., Washington, DC 20202-5331. Contact: Eliza A. Washington. Telephone: (202) 502-7633.

Web Site Address: <http://www.ed.gov/offices/OPE/OHEP>

RELATED PROGRAMS: 19.400, Educational Exchange_Graduate Students; 19.401, Educational Exchange_University Lecturers (Professors) and Research Scholars; 84.015, National Resource Centers and

Fellowships Program for Language and Area or Language and International Studies; 84.016, Undergraduate International Studies and Foreign Language Programs; 84.017, International Research and Studies; 84.018, International: Overseas Seminars Abroad_Bilateral Projects; 84.021, International: Overseas_Group Projects Abroad; 84.022, International: Overseas_Doctoral Dissertation; 84.229, Language Resource Centers.

EXAMPLES OF FUNDED PROJECTS: The Effects of the Zimbabwe Revolution on the Southern African Regional System; Refugee Integration and Repatriation in Eastern Sudan; Women in Politics in Ecuador; Health Problems and the Accessibility and Utilization of Health Care in Guanacaste, Costa Rica; Worker Cooperatives in Japan and Culture of Cooperation; Technology and Nationalism in Late Colonial Netherlands East Indies; Proficiency-Based Business Arabic; Nations, Nationalism and Ethnicity: The Srilankan Model and its Transformations; Race, Ethnicity, Social Class and Power in the Hispanic Caribbean: The Historical Development and Contemporary Expression of Relations; The Americans in Prague: A History of US-Czechoslovak Relations, 1918-1993; Central and East European Countries and the European Union: Admission, Terms, Impacts; Turkey's Encounter with Nationalism and Modernity's Race, Class, and Gender in Black Political Movements in South Africa, 1925- 1960: A Biography of Jose Mpama.

CRITERIA FOR SELECTING PROPOSALS: Evaluation Criteria: (1) Quality of proposed project (maximum 45 points); (2) qualifications of the beneficiary (maximum 45 points); and (3) priorities (maximum 10 points) if announced in the Notice of Closing Date.

Department of Education

84.018 International: Overseas Seminars Abroad_Bilateral Projects

FEDERAL AGENCY: ASSISTANT SECRETARY FOR POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION: Mutual Educational and Cultural Exchange Act of 1961, as amended, Public Law 87-256, 75 Stat. 527.

OBJECTIVES: To increase mutual understanding and knowledge between the people of the United States and those in other countries by offering qualified U.S. educators opportunities to participate in short-term study seminars abroad on topics in the social sciences and the humanities.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Program funds provide round-trip economy airfare, room and board, tuition and fees for seminars. Actual locations may vary from year-to-year. No dependents are to accompany awardees participating in seminars.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: (1) Applicants must be U.S. citizens or have permanent resident status; (2) must hold a bachelor's degree; (3) must have a minimum of 3 years full-time professional experience in U.S. school systems teaching or supervising in the social sciences or humanities subjects; (4) must have at least 3 years full-time in teaching, administering or supervising in the humanities, the social sciences or social studies subjects; (5) applicants must be currently employed full-time in teaching, administering or supervising in the aforementioned areas; (6) applicants also must meet any language requirements if applicable (see the application booklet for details). If selected, awardees must furnish evidence of good health and emotional maturity.

Beneficiary Eligibility: (1) Undergraduate faculty members of four year colleges/universities and 2-year community colleges in the fields of the humanities and social sciences; (2) supervisors and secondary (grades 9 through 12) and elementary and junior high school (grades K through 8) teachers of social studies and humanities; and (3) curriculum development specialists, administrators of local and State education agencies who have direct responsibility for developing curriculum in the subject areas encompassed by the social sciences and the humanities.

Credentials/Documentation: Applicants' basic data; education and professional preparation; present and previous employment; specific statement of objectives; plans to utilize the knowledge and experience gained from the seminar; involvement in educational/professional/civic groups, associations/organizations; personal traits; honors/awards/publications, etc. This program is excluded from coverage under OMB Circular No. A-87.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Center for International Education and Graduate Programs Service, Department of Education, publishes an annual announcement of opportunities and conducts open competition to select participants. Binational commissions or foundations or other appropriate agencies receive funding to conduct the seminars. The standard application forms as furnished by the Federal agency and as required by OMB Circular No. A-102 must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure: Eligible applicants may submit applications for a position in one of the seminars when the announcement of a new competition is made through journals, newsletters, educational associations, individuals, etc. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure: Recommendations are made to the Secretary of Education following advice from a panel of consultants. All recommended selections are subject to review and final approval by the presidentially appointed J. William Fulbright Foreign Scholarship Board.

Deadlines: Generally in late October of the year preceding the award to attend a seminar overseas.

Range of Approval/Disapproval Time: Applicants notified in mid March of selection for seminars.

Appeals: None.

Renewals: None.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: None.

Length and Time Phasing of Assistance: From 4 to 6 weeks.

POST ASSISTANCE REQUIREMENTS:

Reports: Individual curriculum projects and program evaluations from participants are submitted.

Audits: Not applicable.

Records: Retained for one year.

FINANCIAL INFORMATION:

Account Identification: 91-0201-0-1-502.

Obligations: (Grants) FY 01 \$1,613,000; FY 02 est \$2,000,000; and FY 03 est \$2,207,000.

Range and Average of Financial Assistance: Average award is \$161,000.

PROGRAM ACCOMPLISHMENTS: In fiscal year 2001, approximately 160 participants were enrolled in ten projects.

REGULATIONS, GUIDELINES, AND LITERATURE: Program announcements and related information are available from the Program Officer for International Education, Department of Education.

INFORMATION CONTACTS:

Regional or Local Office: Not applicable.

Headquarters Office: International Studies Branch, International Education Graduate Programs Service, Department of Education, 400 Maryland Ave., SW., Washington, DC 20202-5332. Contact Gail Holdren
E-mail: gale.holdren@ed.gov Telephone: (202) 502-7691.

Website Address: <http://www.ed.gov/offices/OPE/OHEP>

RELATED PROGRAMS: 84.015, National Resource Centers and Fellowships Program for Language and Area or Language and International Studies; 84.016, Undergraduate International Studies and Foreign Language Programs; 84.017, International Research and Studies; 84.019, International: Overseas_Faculty Research Abroad; 84.021, International: Overseas_Group Projects Abroad; 84.022, International: Overseas_Doctoral Dissertation; 84.229, Language Resource Centers.

EXAMPLES OF FUNDED PROJECTS: Seminars have been conducted in Chile, China, Egypt, Hungary, Poland, Malaysia, Mexico, and Zimbabwe. Annual language training programs are offered in Japan for teachers of Japanese.

CRITERIA FOR SELECTING PROPOSALS: Contact the Program Office for information.

Department of Education

84.022 International: Overseas Doctoral Dissertation

FEDERAL AGENCY: ASSISTANT SECRETARY FOR POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION: Mutual Educational and Cultural Exchange Act of 1961, as amended, Sections 102(b)(6) and 105(d), Public Law 87-256, 22 U.S.C. 2451.

OBJECTIVES: To provide opportunities for graduate students to engage in full-time dissertation research abroad in modern foreign language and area studies with the exception of Western Europe. This program is designed to develop research knowledge and capability in world areas not widely included in American curricula.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Funds will be available to enable doctoral candidates to complete field research for their dissertations. Generally awards will not be available for projects focusing primarily on Western Europe or countries where the United States has no diplomatic representation. Financial provisions include basic stipend computed on the cost-of-living in the country where research is to be conducted; cost of round trip jet economy air fare for award recipient only; baggage allowance, if justified; dependents allowance for accompanying dependents based on cost-of-living in host country; project allowance to be utilized for the purchase of expendable materials, services, and supplies, tuition payments to foreign institutions, and local travel expenditures; funds to secure health and accident insurance.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Institutions of higher education may apply.

Beneficiary Eligibility: Candidate for Doctoral Dissertation Research Abroad Fellowship must: (1a) Be a citizen or national of the United States; (1b) be a permanent resident of the United States; or (1c) provide evidence from the Immigration and Naturalization Service that he or she is in the United States for other than a temporary purpose with the intention of becoming a citizen or permanent resident; (2) be a graduate student in good standing at an institution of higher education who, when the fellowship period begins, has been admitted to candidacy in a doctoral degree program in modern foreign languages and area studies at that institution; (3) plan a teaching career in the United States upon graduation; (4) possess adequate skills in the foreign language(s) necessary to carry out the dissertation research project.

Credentials/Documentation: This program is excluded from coverage under OMB Circular No. A-87.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: The graduate dean of a college or university or his or her representative will be responsible for accepting, screening, and forwarding through the Department of Education's Application Control Center to the Advanced Training and Research Team those individual applications which meet the institution's technical and academic criteria and the program's eligibility requirements. Candidates for dissertation research fellowships apply directly to the institutions at which they are enrolled in a Ph.D. program, not to the Department of Education. This program is excluded from coverage under E.O. 12372. This program is excluded from coverage under OMB Circular No. A-102.

Application Procedure: Institutions should request application materials from the Advanced Training and Research Team. Students should address requests for application forms to the office of the graduate dean or his or her representative at the graduate schools at which they are enrolled in a Ph.D. program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure: The Advanced Training and Research Team will make initial selection and recommendation of fellowship recipients with the advice of a panel of specialists in foreign language and area studies

and comments by U.S. embassies and binational commissions in the proposed countries of research. Selections are subject to review and final approval by the presidentially appointed J. William Fulbright Foreign Scholarship Board. The grant covering successful graduate students is made to the applicant, i.e., institutions of higher education.

Deadlines: Generally, the last week in October or first week in November of the year preceding the year of research. Exact date is announced in the Federal Register.

Range of Approval/Disapproval Time: About 200 days.

Appeals: Not applicable.

Renewals: Not applicable.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: None.

Length and Time Phasing of Assistance: Awards are made for at least 6 but not more than 12 months.

POST ASSISTANCE REQUIREMENTS:

Reports: Fellows: Terminal reports through the grantee institution no later than 90 days after the termination date of the award. Institutions: fiscal reports.

Audits: Fiscal records of institutions subject to Education Department audit at any time within 5 years after close of the fiscal year in which expenditures are made.

Records: Records bearing on receipt and expenditure of funds to be made available for inspection by the Department for 3 years after close of fiscal year in which expenditures are made if departmental audit has occurred by that time, until audit or for five years following the end of the budget period in which the grant expired (whichever is later) or until resolution of any outstanding audit questions.

FINANCIAL INFORMATION:

Account Identification: 91-0201-0-1-502.

Obligations: (Grants) FY 01 \$3,489,000; FY 02 est \$4,150,000; and FY 03 est \$4,580,000.

Range and Average of Financial Assistance: Average fellowship in fiscal year 2001 was \$27,000.

PROGRAM ACCOMPLISHMENTS: In fiscal year 2001, 129 fellowships were awarded to graduate students.

REGULATIONS, GUIDELINES, AND LITERATURE: Final regulations published in the Federal Register on December 19, 1983.

INFORMATION CONTACTS:

Regional or Local Office: Not applicable.

Headquarters Office: Advanced Training and Research Team, International Education and Graduate Programs Service, Office of Postsecondary Education, Department of Education, 400 Maryland Avenue, SW., Washington, DC 20202-5331. Contact: Karla Ver Bryck Block. Telephone: (202) 502-7632.

Web Site Address: <http://www.ed.gov/offices/OPE/Professionals>

RELATED PROGRAMS: 19.400, Educational Exchange_Graduate Students; 19.401, Educational Exchange_University Lecturers (Professors) and Research Scholars; 84.015, National Resource Centers and Fellowships Program for Language and Area or Language and International Studies; 84.016, Undergraduate International Studies and Foreign Language Programs; 84.017, International Research and Studies; 84.018, International: Overseas Seminars Abroad_Bilateral Projects; 84.019, International: Overseas_Faculty Research Abroad; 84.021, International: Overseas_Group Projects Abroad; 84.229, Language Resource Centers.

EXAMPLES OF FUNDED PROJECTS: Imagined Democracies, Nocturnal Communities: The Production of Political Space in Cote d'Ivoire; Strategies for Survival: Women, Power, and HIV Risk in Uganda; Spirit Possession in Northern Brazil; The Exus of Umbanda Forest Conservation and Common Property Management in Oaxaca, Mexico; Continuity and Change: Cooperatives in Contemporary Rural China; Strangers in their Homeland: The Ethnic Adaptation of Japanese-Brazilian Return Migrants and the Japanese Sociopolitical Response Towards Co-management; Conservation, Indigenous Communities and the Philippine State Disease; Social Change and Gender Among the Huli of Papua New Guinea; Language and Identity in Ukraine: The Politics of Interaction Propaganda vs. "Stalinization" of the Soviet Press, 1922-1930; Commemoration of Nation and Class in Two Palestinian Villages; Educating Ethnicity: Cypriot Conflict and the Revision of History; Education and Youth Culture in Kerala, South India, Trekking Tourism, Traditionalism and Development in a Nepali Village; Women's Political NGOs in the Emerging Democracy of Ghana; and Community Building among Indonesian Transmigrants: The Challenges of Ecological Sustainability and Social Harmony.

CRITERIA FOR SELECTING PROPOSALS: Quality of proposed project (maximum 45 points); qualifications of the beneficiary (maximum 45 points); and priorities (10 points) if announced in the Notice of Closing Date.

Department of Education

84.021 International: Overseas_Group Projects Abroad

FEDERAL AGENCY: ASSISTANT SECRETARY FOR POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION: Mutual Educational and Cultural Exchange Act of 1961, Public Law 87-256; Section 102(b)(6).

OBJECTIVES: To contribute to the development and improvement of the study of modern foreign languages and area studies in the United States by providing opportunities for teachers, faculty, and upper-classmen and/or graduate students to study, travel and research in foreign countries.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: The grant does not provide funds for project-related expenses within the United States. The grant funds may be used in the country of study for the following: maintenance stipend; round-trip international travel; project-related local travel; artifacts; books and other teaching materials; rent for instructional facilities; and clerical and professional services.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Institutions of higher education, State departments of education, private nonprofit educational organizations, and a consortium of institutions, departments, and organizations.

Beneficiary Eligibility: A participant must be: a citizen, or permanent resident of the United States, faculty member and teachers at all levels; a graduate or upperclassman who plans a teaching career in modern foreign languages or area studies.

Credentials/Documentation: Cost will be determined in accordance with OMB Circular No. A-87 for State and local governments.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure: An institution or organization interested in the program should plan and propose a group project that would significantly improve its program in foreign language and area studies. Specific guidelines for preparation of proposals as published in the Federal Register are available upon request from the International Education and Graduate Programs Service (IEGPS). The institution or organization must give assurance that the individuals it nominates to undertake the projects are appropriately qualified. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: With the advice of a panel of academic consultants and an assessment of the feasibility and suitability of each proposal by U.S. Embassies and Binational Commissions in the proposed countries of study, the IEGRS will make initial selections, and preliminary recommendations of grant recipients to the Secretary. All recommended selections are subject to review and final approval by the presidentially appointed J. William Fulbright Foreign Scholarship Board.

Deadlines: Generally, in late October or of the year preceding the year of overseas activity.

Range of Approval/Disapproval Time: About 120 days.

Appeals: Not applicable.

Renewals: Not applicable.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: None.

Length and Time Phasing of Assistance: For short-term seminar, curriculum development, and group research or study projects ranging from 5 weeks, generally, to 12 months; up to 36 months for advanced intensive language training projects.

POST ASSISTANCE REQUIREMENTS:

Reports: Terminal program reports and financial reports from the grantee.

Audits: All fiscal records subject to audit at any time within five years after close of the fiscal year in which expenditures are made.

Records: Documents bearing on receipt and expenditure of grant funds must be made available for inspection by the Department: (1) For three years after the close of the fiscal year in which expenditures are made if departmental audit has occurred by that time; (2) until audit or for five years following the end of budget period in which grant expired, whichever is later; or (3) until resolution of outstanding audit questions.

FINANCIAL INFORMATION:

Account Identification: 91-0201-0-1-502.

Obligations: (Grants) FY 01 \$3,459,000; FY 02 est \$4,000,000; and FY 03 est \$4,415,000.

Range and Average of Financial Assistance: The average new award was \$61,000 in fiscal year 2001.

PROGRAM ACCOMPLISHMENTS: Projects have had an effect on the curriculum through new and expanded courses and faculty training in the use of new materials. In fiscal year 2001, 52 projects with 720 participants were supported with appropriated funds.

REGULATIONS, GUIDELINES, AND LITERATURE: 34 CFR 664, "Federal Register", December 19, 1983.

INFORMATION CONTACTS:

Regional or Local Office: Not applicable.

Headquarters Office: International Studies Team, International Education and Grants Programs Service, Office of Postsecondary Education, Department of Education, 400 Maryland Ave., SW., Washington, DC 20202-5332. Contact: Dr. Lunching Chiao. Telephone: (202) 502-7633.

Web Site Address: <http://www.ed.gov/offices/OPE/OHEP>

RELATED PROGRAMS: 19.400, Educational Exchange_Graduate Students; 19.401, Educational Exchange_University Lecturers (Professors) and Research Scholars; 84.016, Undergraduate International Studies and Foreign Language Programs; 84.017, International Research and Studies; 84.018, International: Overseas Seminars Abroad_Bilateral Projects; 84.019, International: Overseas_Faculty Research Abroad; 84.022, International: Overseas_Doctoral Dissertation; 84.229, Language Resource Centers.

EXAMPLES OF FUNDED PROJECTS: A School-University Collaborative Project for Curriculum Development About the People's Republic of China: Choosing Modernization; Seminar on Cultural Diversity and Development in Malaysia and Singapore - A curriculum development program for 15 Andrew and other college faculty and pre-college teachers in Georgia; Seminar on Mexican Perspective of the North American Free Trade Agreement; Russia: In Search of Democracy and Economic Reform A Curriculum Development Team

Project; The Walls Come Tumbling Down: A 5-week travel-study seminar for 14 Maryland community college faculty to study the Polish people, country, and culture; and a 5-week seminar for consortia faculty on Post-Revolutionary Prospects in Ethiopia and Eritrea. In addition nine advanced foreign language programs in Egypt, China, the Philippines, India, Nigeria, Indonesia, Japan, Russia, and Tanzania involving the instruction of Arabic, Chinese, Filipino, Hindi, Marathi, Bengoli, Hausa, Yoruba, Indonesian, Japanese, Russian, and Kiswahili.

CRITERIA FOR SELECTING PROPOSALS: Refer to 34 CFR 664 (Section 664.31), “Federal Register”, Vol. 48 - No. 244, December 19, 1983.

Department of Education

84.170 Javits Fellowships

FEDERAL AGENCY: OFFICE OF THE ASSISTANT SECRETARY FOR POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION: Higher Education Act of 1965, Title VII, Part A, Subpart 1, as amended, 20 U.S.C. 1134-1134d.

OBJECTIVES: To provide fellowships for graduate study in the arts, humanities, and social sciences to individuals of superior ability selected on the basis of demonstrated achievement, financial need, and exceptional promise. Fellowships are awarded to students intending to pursue a doctoral degree and may be awarded to students pursuing a master's degree in those fields in which the master's degree is commonly accepted as the terminal degree.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: A recipient is entitled to use the fellowship in a graduate program at any accredited institution of higher education in which the recipient may decide to enroll. For each fellowship awarded, the institution receives an institutional allowance determined in accordance with the statutory formula in Section 703 of the Higher Education Act of 1965, as amended. The student receives a stipend set at the level of support based on that provided by the National Science Foundation graduate fellowships. No stipend may exceed the Fellow's demonstrated level of need according to measurements of need set forth in Part F of Title IV of the Higher Education Act. An individual receives payments only during the periods that he or she maintains satisfactory progress in his or her program of study and devotes essentially full time to study or research in the field in which the fellowship was awarded.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Eligibility is limited to U.S. citizens or nationals, permanent residents of the United States, and citizens of any one of the Freely Associated States. An individual who is enrolled or plans to enroll in a terminal degree program is eligible only if he or she is a citizen of the United States. Applicants must be enrolled or eligible to be enrolled in a graduate program in an approved field of study at an accredited institution of higher education. In fiscal year 1999 sixty percent of new awards shall be available for fellowships to otherwise eligible applicants who have earned no graduate credit hours. The remaining forty percent of new awards shall be available for fellowships to all otherwise eligible applicants who are within the first year of graduate study. In each of these two categories, a minimum of sixty percent of these new fellowships shall be awarded to applicants in the humanities, twenty percent to applicants in the social sciences, and twenty percent to applicants in the arts.

Beneficiary Eligibility: Citizens and nationals of the United States, permanent residents of the United States, and citizens of any one of the Freely Associated States.

Credentials/Documentation: Regulations were published in the Federal Register on January 19, 1998. This program is excluded from coverage under OMB Circular No. A-87.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under E.O. 12372.

Application Procedure: Applications must be submitted in accordance with the notice of closing date published in the Federal Register. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure: The Education Department will make awards in accordance with the criteria established by the Javits Fellowship Board. Contact the program office for additional information.

Deadlines: Published in the Federal Register. Contact the program office for more information.

Range of Approval/Disapproval Time: Approximately 120 days.

Appeals: None.

Renewals: Requests for continuation of support are made on an annual basis by the institution at which the fellow is pursuing graduate study, but may not exceed 48 months. Continuation awards are subject to the availability of funds.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: None.

Length and Time Phasing of Assistance: The length of the grant is one year, but renewable for up to a total of 48 months, subject to the availability of funds.

POST ASSISTANCE REQUIREMENTS:

Reports: Certification from an appropriate official at an institution of higher education approved by the Secretary, stating that the fellow is making satisfactory progress and has devoted essentially full time to study or research in the field in which the fellowship was awarded.

Audits: Fiscal records of institutions administering funds are subject to audit by the Education Department at any time within 5 years after the close of the fiscal year in which expenditures are liquidated.

Records: All records bearing on the receipt and expenditure of funds under this program must be available for inspection by the Education Department. Records must be held for three years after the fiscal year in which expenditures are liquidated, or five years after the grant, or until resolution of any audit questions.

FINANCIAL INFORMATION:

Account Identification: 91-0201-0-1-502.

Obligations: (Grants) FY 01 \$10,000,000; FY 02 est \$10,000,000; and FY 03 est \$10,000,000.

Range and Average of Financial Assistance: In fiscal year 2001, the new fellowship stipend awards averaged \$20,500. The new institutional allowance covering tuition and fees was \$11,000.

PROGRAM ACCOMPLISHMENTS: In fiscal year 2001, approximately 341 new and continuing fellowships were awarded.

REGULATIONS, GUIDELINES, AND LITERATURE: Javits Fellowship Program, Department of Education, Washington, DC 20202-5247.

INFORMATION CONTACTS:

Regional or Local Office: Not applicable.

Headquarters Office: International Education and Graduate Programs, Office of Postsecondary Education, Department of Education, Washington, DC 20202-5247. Contact: Carolyn Proctor. Telephone: (202) 502-7567. E-mail: Carolyn.Proctor@ed.gov.

Web Site Address: <http://www.ed.gov/offices/OPE/OHEP>

RELATED PROGRAMS: None.

EXAMPLES OF FUNDED PROJECTS: Awards are made in the following areas: arts, humanities, and social sciences.

CRITERIA FOR SELECTING PROPOSALS: Criteria are established by the Fellowship Board. Fellowship applications are evaluated based on scholarly achievements, including Graduate Record Examination (GRE) scores on the general test only if applying in the humanities, social sciences, multi-or interdisciplinary fields of art history or creative writing; awards; honors; narratives describing personal goals; and three letters of recommendation.

Department of Education

84.229 Language Resource Centers

FEDERAL AGENCY: OFFICE OF ASSISTANT SECRETARY FOR POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION: Higher Education Act, Title VI, Part A, Section 603, as amended, 102-325.

OBJECTIVES: To improve the Nation's capacity to teach and learn foreign languages effectively by supporting the establishment, strengthening, and operation of language resource centers at institutions of higher education.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Funds may be used to carry out activities to improve the teaching and learning of foreign languages. These activities may include: (a) The conduct of research on new and improved methods for teaching foreign languages, including the use of advanced educational technology; (b) the development of new materials for teaching foreign languages to reflect the results of research on effective teaching strategies; (c) the development and application of performance testing that is appropriate for use in an educational setting to be used as a standard measurement of skill levels in foreign language; (d) the training of teachers in the administration and interpretation of foreign language performance tests, the use of effective teaching strategies and the use of new technologies; (e) the publication of instructional materials in the less commonly taught foreign languages; and (f) the widespread dissemination of research results, teaching materials, and improved pedagogical strategies to the postsecondary education community. Equipment costs may not exceed 15 percent of the grant total.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Accredited American institutions of higher education or combinations of such institutions may apply.

Beneficiary Eligibility: Institutions of higher education or combinations of such institutions and individuals will benefit.

Credentials/Documentation: This program is excluded from coverage under OMB Circular No. A-87.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: This program is excluded from coverage under E.O. 12372. This program is excluded from coverage under OMB Circular No. A-102.

Application Procedure: Eligible institutions may submit applications for funding when the announcement of a new competition is issued in the Federal Register. Application forms and guidelines for the submission of applications are available from the Advanced Training and Research Team. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: Final recommendations are made to the Secretary following advice from a panel of consultants.

Deadlines: Official deadline will be announced in the Federal Register.

Range of Approval/Disapproval Time: Approximately 90 days.

Appeals: There are no appeals.

Renewals: Higher education institutions selected to receive funds for the three year phased program may submit annual performance reports for the continuation of support. Renewals are subject to the availability of funds.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: None.

Length and Time Phasing of Assistance: Grants are awarded for up to a 36 month period. Continuation awards are subject to the submission of satisfactory progress reports and are subject to the availability of funds.

POST ASSISTANCE REQUIREMENTS:

Reports: Funded centers must provide final reports upon completion of the 36 month program.

Audits: All fiscal records will be subject to audit by the Department of Education at any time within 3 years after expiration of the grants.

Records: All records supporting claims under grants or relating to the accountability for awarded funds must be available upon request: 1) For 3 years after close of the fiscal year in which the grant expired or 2) until the grantee is notified of the completion of the Federal financial audit, whichever is later.

FINANCIAL INFORMATION:

Account Identification: 91-0201-0-1-502.

Obligations: (Grants) FY 01 \$3,243,000; FY 02 est \$4,300,000; and FY 03 est \$4,300,000.

Range and Average of Financial Assistance: The average 2001 award was \$360,000.

PROGRAM ACCOMPLISHMENTS: Nine continuation awards were made in fiscal year 2001.

REGULATIONS, GUIDELINES, AND LITERATURE: 34 CFR 655 and 669.

INFORMATION CONTACTS:

Regional or Local Office: Not applicable.

Headquarters Office: Advanced Training and Research Team, International Education and Graduate Programs Service, Office of Higher Education Programs, Office of Postsecondary Education, Department of Education, 400 Maryland Avenue, SW., Washington, DC 20202-5331. Contact: Jose L. Martinez. Telephone: (202) 401-7635.

Web Site Address: <http://www.ed.gov/offices/OPE/OHEP>

RELATED PROGRAMS: 84.015, National Resource Centers and Fellowships Program for Language and Area or Language and International Studies; 84.016, Undergraduate International Studies and Foreign Language Programs; 84.017, International Research and Studies; 84.018, International: Overseas Seminars Abroad_Bilateral Projects; 84.019, International: Overseas_Faculty Research Abroad; 84.021, International: Overseas_Group Projects Abroad; 84.022, International: Overseas_Doctoral Dissertation; 84.153, Business and International Education Projects; 84.220, Centers for International Business Education.

EXAMPLES OF FUNDED PROJECTS: (1) The Georgetown University/Center for Applied Linguistics, George Washington University National Capital Foreign Language Resource Center, is continuing its research and training activities, building upon achievements made during the last funding cycle. The School of Languages and Linguistics at Georgetown serves as a field site for some research activities, as do local school systems in Maryland and Virginia. The Center is training teachers in the administration and interpretation of foreign language performance tests; training teachers in foreign language teaching and learning strategies; training teachers in the use of technology in foreign language teaching; conducting foreign language

learning strategy research; and developing foreign language tests. (2) San Diego State University's Language Acquisition Resource Center (LARC) is building upon the foundation laid in the first cycle of Federal funding by continuing research and development in the areas of language testing, strategies for teaching and learning languages, and advanced uses of technology. LARC has shifted its focus during the second funding cycle to the development and implementation of a national training model that incorporates previous research and development. The training is designed to impact language instruction in K-12 and postsecondary institutions. During this cycle, LARC is: Developing a national model of language teacher training; conducting research, development and training in alternative language testing; developing and training educators in effective strategies for teaching and learning languages; and training educators in strategies for integrating technology into language curricula. (3) The University of Hawaii is also building on the existing structure and accomplishments of the currently funded Center. Although the Center focuses particularly on languages of Asia and the Pacific in its training, curriculum, and research activities, it also includes German, Russian, and other European languages in its efforts. The Center is organized around six component areas: pedagogy and psycholinguistics; sociolinguistics and cross-cultural pragmatics; materials and methods development; language testing; technology and telecommunications; and resource provision for foreign language researchers. Projects at the Center are carried out by a project team and generally cut across areas of emphasis, including several of the components listed above. The following types of programs are currently underway: A program of National Foreign Language Fellows; A program of National Foreign Language Interns; Dissemination of materials and research results, including print, video/audio, and electronic media; A series of summer institutes in teacher training and materials preparation; and Research and materials development projects.

CRITERIA FOR SELECTING PROPOSALS: 34 CFR 655.31(a)-(e), 669.21 and 669.22 apply to the Language Resource Centers Program.

Department of Education

84.015 National Resource Centers and Fellowships Program for Language and Area or Language and International Studies

FEDERAL AGENCY: ASSISTANT SECRETARY FOR POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION: Higher Education Act of 1965, Title VI, Section 602, as amended, 20 U.S.C. 1122.

OBJECTIVES: Centers: To promote instruction in those modern foreign languages and area and international studies that are critical to national needs by supporting the establishment, strengthening and operation of such programs at colleges and universities. Centers may focus on undergraduate training only or may be comprehensive, including undergraduate, graduate, and professional training components. Fellowships: To meet the needs of the United States for experts in modern foreign languages combined with area studies and world affairs by supporting fellowships for graduate study at institutions for higher education.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Centers: Funds may be used for instructional costs of language and area and international studies programs, administration, lectures, conferences, library resources and staff, outreach, and travel. Fellowships: Allocations institutional payment and basic subsistence allowance.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Centers: Accredited American colleges and universities may apply. Applying institutions provide evidence of existing resources and institutional commitment to language and area and international studies through a curriculum that provides instruction dealing with a particular world area and its languages, with comparative world area studies, or with the international aspects of professional or other fields of study. Fellowships: Accredited U.S. institutions of higher education offering comprehensive graduate area or international studies programs combined with language study are eligible to apply for allocations of fellowships.

Beneficiary Eligibility: Centers: Accredited American colleges and universities will benefit. Fellowships: Graduate students enrolled in funded centers and programs will benefit. Student candidates must be U.S. citizens or nationals or permanent residents training in area or international studies and in modern foreign languages programs that have or are developing performance-based language instruction. Training must be undertaken through an institution that has an allocation of Foreign Language and Area Studies (FLAS) Fellowships.

Credentials/Documentation: This program is excluded from coverage under OMB Circular No. A-87.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure: Eligible institutions may submit proposals for the funding of centers only, fellowships only, or both when the announcement of a new competition is issued in the Federal Register. Application forms and guidelines for the submission of proposals are available from the Advanced Training and Research Team of the International Education and graduate programs service (IEGPS). This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: Centers: Final recommendations are made to the Secretary following evaluations from panels of experts that evaluate applications according to published selection criteria. Fellowships: The student should contact his/her sponsoring institution for information concerning application forms, deadlines, and related matters. Institutional proposals are approved or disapproved by the Secretary, following

the same procedures as for Center applications.

Deadlines: Will be announced in the Federal Register and are usually in November of the year preceding the grant period. Contact the program office for more information.

Range of Approval/Disapproval Time: About 120 days.

Appeals: None.

Renewals: Higher education institutions receiving funds for three year phased program support must submit annual performance reports for the second and third year for continuation of such support. Students seeking fellowships apply to their institutions for each summer or academic year award period. Renewals are subject to the availability of funds.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: None.

Length and Time Phasing of Assistance: Centers: Grants are awarded for 12-month budget periods, subject to renewals of 1 or 2 years. Payments are made as stipulated in the grant. Renewals are subject to the availability of funds. Fellowships: Academic year second summer fellowships payments to student recipients are made by the institutions on a competitive basis. If summer fellowships are issued, they will be for a summer session in which the equivalent of an academic year's foreign language study is completed. Payments are made by the grantee institution.

POST ASSISTANCE REQUIREMENTS:

Reports: Centers: All programs must provide performance reports upon completion of each year's budget period and a final report of project accomplishments at the end of the grant. Financial reports are also required. Fellowships: Performance reports from fellowship award recipients at the end of each award period. Institutional final reports (awards lists) and financial reports are required annually.

Audits: Centers: All fiscal records will be subject to audit by the Education Department at any time within 3 years after expiration of the grants. Fellowships: Fiscal records of institutions administering fellowship funds will be subject to audit by the Department at any time within 5 years after the close of the fiscal year in which grant funds are all spent.

Records: All records supporting claims under grant or relating to the accountability for awarded funds must be available upon request: (1) For 3 years after close of the fiscal year in which the grant expired or (2) until the grantee is notified of completion of Federal financial audit, whichever is later. Fellowships: All records bearing on the receipt and expenditure of funds under the program must be available for inspection by the Department: (1) For 3 years after the fiscal year in which grant funds are spent, (2) until audit or for 5 years after grant, or (3) until resolution of any audit questions.

FINANCIAL INFORMATION:

Account Identification: 91-0201-0-1-502.

Obligations: (Grants) Centers: FY 01 \$23,020,000; FY 02 est \$27,500,000; and FY 03 est \$28,715,000. Fellowships: FY 01 \$16,359,000; FY 02 est \$25,220,000; and FY 03 est \$26,435,000.

Range and Average of Financial Assistance: Centers: Average 2001 Center award was \$202,000. Average Fellowships award was \$137,000.

PROGRAM ACCOMPLISHMENTS: Centers and Fellowships: In fiscal year 2001, 114 National Resource Cen-

ters were funded at institutions of higher education and 119 Foreign Language and Area Studies Fellowship grants were awarded to institutions of higher education.

REGULATIONS, GUIDELINES, AND LITERATURE: 34 CFR 80, 655, 656, and 657.

INFORMATION CONTACTS:

Regional or Local Office: Not applicable.

Headquarters Office: Advanced Training and Research Team, Office of Postsecondary Education, Department of Education, 400 Maryland Avenue, SW., Washington, DC 20202-5331. Contact: John Paul. Telephone: (202)502-7630.

Web Site Address: <http://www.ed.gov/offices/OHEP>

RELATED PROGRAMS: 84.016, Undergraduate International Studies and Foreign Language Programs; 84.017, International Research and Studies; 84.021, International: Overseas_Group Projects Abroad; 84.022, International: Overseas_Doctoral Dissertation; 84.220, Centers for International Business Education; 84.229, Language Resource Centers.

EXAMPLES OF FUNDED PROJECTS: The University of Washington/East Asia Studies Center offers training programs in East Asian languages and in a wide variety of disciplines at both the undergraduate and graduate levels and in professional schools. The Center for Advanced Study of International Development and the Women and International Development programs at Michigan State University offer courses and activities focused on development studies in the new era of globalization. University of Wisconsin/Latin American Studies Center offers courses in languages and in many disciplines at the undergraduate level in all participating campuses. The Madison and Milwaukee campuses offer extensive graduate and some professional training programs in Latin American studies as well.

CRITERIA FOR SELECTING PROPOSALS: 34 CFR 655, 656, and 657 apply to the Centers and Fellowships Programs. Selection criteria for evaluating applications and selecting centers are listed in the Title VI, International Education Program Regulations in 34 CFR 655.31, 656.21 or 656.22. The Secretary will weigh criteria using points indicated in 656.21 or 656.22. Part 657.21 gives the criteria for selection of institutional awards for fellowship allocations. The Secretary will weigh criteria using points indicated in 657.21.

Department of Education

84.337 Technological Innovation and Cooperation for Foreign Information Access

FEDERAL AGENCY: OFFICE OF THE ASSISTANT SECRETARY FOR POSTSECONDARY EDUCATION, HIGHER EDUCATION PROGRAMS, DEPARTMENT OF EDUCATION

AUTHORIZATION: Higher Education Act of 1965, as amended, Title VI, Part A.

OBJECTIVES: Grants are awarded to institutions of higher education, public or nonprofit private libraries, or consortia of such institutions or libraries, to develop innovative techniques or programs using new electronic technologies to collect, organize, and preserve, and widely disseminate information on world regions and countries other than the United States that address our Nation's teaching and research needs in international education and foreign languages.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Awards may be used to support projects that facilitate access to or preserve foreign information resources in print or electronic forms; develop new means of immediate, full-text document delivery for information and scholarship from abroad; develop new means of shared electronic access to international data; support collaborative projects of indexing, cataloging, and other means of bibliographic access for scholars to important research materials published or distributed outside the United States; develop methods for the wide dissemination of resources written in non-Roman language alphabets; assist teacher of less commonly taught languages in acquiring, via electronic and other means, materials suitable for classroom use; and promote collaborative technology based projects in foreign languages, area studies, and international studies among grant recipients under this title.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Institutions of higher education, and public or nonprofit private libraries or consortia of such institutions or libraries.

Beneficiary Eligibility: Institutions of higher education, and public or nonprofit private libraries or consortia of such institutions or libraries.

Credentials/Documentation: None.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure: In any fiscal year in which funds are available for new awards, the Department of Education publishes a notice in the Federal Register inviting applications and establishing a deadline date. Application packages will be available from the Office of Postsecondary, Higher Education Programs, International Education and Graduate Programs Service. Copies will be mailed upon request. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: Peer review panels composed of representatives from public and private higher education and libraries having expertise in the utilization of technology for accessing and disseminating information on world regions other than the United States that address one nation's teaching and research needs in international education and foreign languages.

Deadlines: The deadline date is published in the Federal Register.

Range of Approval/Disapproval Time: Notification usually is made within 4 months of the deadline for

submission of applications.

Appeals: None.

Renewals: Applications for renewal of multi-year projects are processed and funded before those new projects. Renewals are subject to the availability of funds.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: This program has no statutory formula to determine the amount of a grant. The grantee is required to contribute to the project of an amount from nonfederal sources equal to at least 33 1/3 percent the amount of the grants. The nonfederal contribution may be in cash or in-kind.

Length and Time Phasing of Assistance: Grants may be made on a multi-year basis.

POST ASSISTANCE REQUIREMENTS:

Reports: A final performance report is required.

Audits: In accordance with the provisions of OMB Circular No. A- 133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records: Records supporting claims under a grant must be maintained for 5 years after termination of the award.

FINANCIAL INFORMATION:

Account Identification: 91-0201-0-1-502.

Obligations: (Grants) FY 01 \$1,338,000; FY 02 est \$1,700,000; and FY 03 est \$1,700,000.

Range and Average of Financial Assistance: Fiscal year 2001 average is estimated at \$167,000.

PROGRAM ACCOMPLISHMENTS: Eight grants were awarded in fiscal year 2001.

REGULATIONS, GUIDELINES, AND LITERATURE: Contact the Program Office for information.

INFORMATION CONTACTS:

Regional or Local Office: Not applicable.

Headquarters Office: Department of Education, Office of Postsecondary Education, Higher Education Programs, International Education and Graduate Programs Services, 400 Maryland Avenue, SW., Washington, DC 20202-5332. Contact: Susanna Easton. Telephone: (202) 502-7628. <http://www.ed.gov/offices/OPE>

RELATED PROGRAMS: None.

EXAMPLES OF FUNDED PROJECTS: This is a new program; examples are not yet available.

CRITERIA FOR SELECTING PROPOSALS: (1) Meeting the purpose of the authorizing statute (20 points); (2) need for project (10 points); (3) significance (12 points); (4) quality of the project design (12 points); (5) quality of project personnel (8 points); (6) quality of project services (6 points); (7) adequacy of resources (12 points); (8) quality of the management plan (10 points); and (9) quality of the project evaluation (10 points).

Department of Education

84.016 Undergraduate International Studies and Foreign Language Programs

FEDERAL AGENCY: ASSISTANT SECRETARY FOR POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION: Higher Education Act of 1965, Title VI, Section 604, as amended, 20 U.S.C. 1124.

OBJECTIVES: The Undergraduate International Studies and Foreign Language Program issues awards to institutions of higher education and public and nonprofit private agencies and organizations. The purpose of the awards is to: (1) Assist institutions of higher education to plan, develop, and carry out a program to strengthen and improve undergraduate instruction in international studies and foreign languages; and (2) assist associations and organizations to develop projects that will make an especially significant contribution to strengthening and improving undergraduate instruction in international studies and foreign languages.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Funds may be used for curriculum development activities; faculty development activities; administration, lectures and conferences; library enhancement; and for staff travel. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564- 76.569. For assistance call the Office of the Chief Financial Officer/ Indirect Cost Group on 202-708-7770.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Accredited colleges and universities, and public and nonprofit private agencies and organizations.

Beneficiary Eligibility: Not applicable.

Credentials/Documentation: This program is excluded from coverage under OMB Circular No. A-87.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is excluded from coverage under OMB Circular No. A-102.

Application Procedure: When funds are available for the support of new programs, announcements are issued in the Federal Register. Application forms and guidelines for submission of proposals are available from the Undergraduate International Studies and Foreign Language Program. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: Final recommendations are made to the Secretary of Education following advice from a panel of consultants in accordance with the published criteria.

Deadlines: A closing date generally appears in Federal Register in August. Contact the program office for more information.

Range of Approval/Disapproval Time: About 150 days.

Appeals: Not applicable.

Renewals: Institutions of higher education and associations and organizations currently receiving funds for two or three year phased program support must submit performance reports for continuation funding according to instructions established by the Department. Renewals are subject to the availability of funds.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: The Federal share for each fiscal year shall not exceed 50 percent of the cost of each project. The Secretary may waive or reduce the matching requirement for institutions that are eligible to receive assistance under part A or B of Title III or under Title V of the Higher Education Act of 1965, as amended.

Length and Time Phasing of Assistance: Grants are awarded for 12 month periods, subject to renewals of one or 2 years. Payments are made as stipulated in the grant. Renewals are subjects to the availability of funds.

POST ASSISTANCE REQUIREMENTS:

Reports: All programs must provide final reports upon completion of the program.

Audits: All fiscal records will be subject to audit any time within three years after expiration of the grant.

Records: All records supporting claims under a grant or relating to the accountability for awarded funds must be available upon request: (1) For three years after close of the fiscal year in which contract was liquidated; or (2) until the grantee is notified of completion of Federal financial audit, whichever is later.

FINANCIAL INFORMATION:

Account Identification: 91-0201-0-1-502.

Obligations: (Grants) FY 01 \$4,500,000; FY 02 est \$4,600,000; and FY 03 est \$4,700,000.

Range and Average of Financial Assistance: In fiscal year 2001, the average new award was \$75,000. Average continuation award was \$75,000.

PROGRAM ACCOMPLISHMENTS: In fiscal year 2001, grants were made for 60 undergraduate programs.

REGULATIONS, GUIDELINES, AND LITERATURE: 34 CFR 655 and 658.

INFORMATION CONTACTS:

Regional or Local Office: Not applicable.

Headquarters Office: International Studies Branch, International Education and Graduate Programs Service, Office of Postsecondary Education, Department of Education, 400 Maryland Ave., SW., Washington, DC 20202-5332. Contact: Christine Corey. Telephone: (202) 502-7629. Email: Christine_corey@ed.gov.

Web Site Address: <http://www.ed.gov/offices/OHEP>

RELATED PROGRAMS: 84.015, National Resource Centers and Fellowships Program for Language and Area or Language and International Studies; 84.018, International: Overseas Seminars Abroad_Bilateral Projects; 84.019, International: Overseas_Faculty Research Abroad; 84.021, International: Overseas_Group Projects Abroad; 84.022, International: Overseas_Doctoral Dissertation; 84.229, Language Resource Centers.

EXAMPLES OF FUNDED PROJECTS: The Consortium for Inter-Institutional Collaboration in African and Latin-American Studies, situated at Michigan State University, is characterized by a series of on-campus and in-country intensive language and area studies institutes, organized through cooperation with 20 or

more Historically Black Colleges and Universities (HBCU), 12 liberal arts colleges in the Great Lakes Colleges Association (GLCA), and several institutions in the Associated Colleges of the Midwest (ACM). Wayne State University will develop an interdisciplinary program in Eastern Europe, representing a collaborative effort between the School of Business, the Department of German and Slavic Studies and Wayne State University.

CRITERIA FOR SELECTING PROPOSALS: In addition to evaluation on the basis of criteria found in the Education Division General Administrative Regulations (EDGAR) in 34 CFR 74, 75, 77, and 78 the Secretary will further evaluate applications for Federal support for international studies programs in accordance with the criteria published in 34 CFR 655, 658, and 45 CFR 86872.

Department of Energy

81.064 Office of Scientific and Technical Information (OSTI)

FEDERAL AGENCY: OFFICE OF SCIENCE, DEPARTMENT OF ENERGY

AUTHORIZATION: 42 U.S.C. 7151 (A); 42 U.S.C. 7112 (5).

OBJECTIVES: To collect, preserve, disseminate, and leverage the scientific and technical information (STI) resources of the Department of Energy (DOE) in order to provide access to national and global STI for use by DOE, the scientific research community, academia, US industry, and the public to expand the knowledge base of science and technology. To acquire domestic and international information of interest to DOE and the scientific community through partnerships with U.S. agencies and over 120 countries and international organizations.

TYPES OF ASSISTANCE: Dissemination of Technical Information.

USES AND USE RESTRICTIONS: The STI produced through DOE and its predecessor agencies includes the bulk of recorded knowledge about nuclear energy and much about other forms of energy. OSTI is the sole source of specialized collections containing results of closed-out DOE research and development projects. OSTI provides technical services to DOE and DOE contractor organizations and provides energy information to the National Technical Information Service (NTIS) and to the Government Printing Office (GPO) for dissemination to the public. Most information services are provided electronically through databases and full-text compilations, including a number of Web-based products that are available to the public on the Internet at no cost. Some collections result from partnerships with other governmental agencies, scientific journal publishers, and multi-source database managers.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: State and local governments and universities that are DOE contractors are provided electronic access to energy information in specific areas of concern. Energy information exchange agreements may be made with non-DOE affiliates, universities and other organizations involved in energy research. Public access is available through the National Technical Information Service (NTIS) and the Government Printing Office (GPO).

Beneficiary Eligibility: DOE and DOE contractors are primary beneficiaries of DOE STI collections in their specific areas of concern. Although most all Web-based collections are available to the public, a DOE and DOE contractor version and a limited-access international version of the DOE Information Bridge contain information that is restricted because of international exchange agreements.

Credentials/Documentation: This program is excluded from coverage under OMB Circular No. A-87.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure: By written or telephone inquiry to the information contacts shown below. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure: Not applicable.

Deadlines: Not applicable.

Range of Approval/Disapproval Time: Not applicable.

Appeals: Not applicable.

Renewals: Not applicable.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Not applicable.

Length and Time Phasing of Assistance: Not applicable.

POST ASSISTANCE REQUIREMENTS:

Reports: Not applicable.

Audits: Not applicable.

Records: Not applicable.

FINANCIAL INFORMATION:

Account Identification: 89-0230-0-1-270.

Obligations: (Salaries and Expenses) FY 01 \$8,600,000; FY 02 \$8,732,000; and FY 03 est \$8,970,000.

Range and Average of Financial Assistance: Not applicable.

PROGRAM ACCOMPLISHMENTS: Over 5.7 million references to worldwide energy research reside in data files at OSTI. Over 100,000 references are added annually. Over 1.5 million full-text reports of energy research reside in a centralized repository. Over 65,000 full-text reports are accessible electronically. EnergyFiles (a virtual library of information and resources pertaining to energy science and technology) links to over 500 information resources; the public version of the DOE Information Bridge (full-text DOE research and development reports) contains over 65,000 full-text reports; the DOE version of the Information Bridge contains over 86,000 full-text reports and over 420,000 bibliographic citations; PubSCIENCE (journal literature) contains citations and abstracts from over 990 scientific and technical journals representing over 46 publishers; the PrePRINT Network (preprints) combines over 5,100 dispersed preprint sites housing over 380,000 documents; and the DOE Research and Development (R&D) Project Summaries (active DOE-sponsored projects) contains information about more than 20,000 active DOE-sponsored research projects. Recently created Web sites are DOE R&D Accomplishments (outcomes of past DOE-sponsored or generated research and development), Federal Research and Development (R&D) Project Summaries (Federal R&D projects), and the GrayLIT Network (Federal gray literature). These Web resources are available via the OSTI resources page at <http://www.osti.gov/resource.html>. Annual accesses to these Web products is over 5.1 million.

REGULATIONS, GUIDELINES, AND LITERATURE: OSTI announces and makes available research and development reports and abstract and current awareness publications, which are available on the World Wide Web via the OSTI Home Page at <http://www.osti.gov> or directly at <http://www.osti.gov/ecaps>. The online energy databases are available through commercial database vendors. Policy and practices related to DOE's Scientific and Technical Information Program are available at <http://www.osti.gov/stip/polbest.htm>.

INFORMATION CONTACTS:

Regional or Local Office: Not applicable.

Headquarters Office: DOE, Office of Scientific and Technical Information, Office of Program Integration, P.O. Box 62, Oak Ridge, TN 37831. Contact: Karen J. Spence. Telephone: (865) 574-0295, Fax: (865) 241-3826 (for input and policy considerations). Contact: Mary V. Schorn. Telephone: (865) 576-2413, Fax: (865) 241-3826 (for operations).

Web Site Address: <http://www.osti.gov>

RELATED PROGRAMS: 11.650, National Technical Information Service; 40.001, Depository Libraries for Government Publications.

EXAMPLES OF FUNDED PROJECTS: Not applicable.

CRITERIA FOR SELECTING PROPOSALS: Not applicable.

Department of Health and Human Services

93.168 International Cooperative Biodiversity Groups Program

FEDERAL AGENCY: NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION: Public Health Service Act, Sections 301 and 405, as amended, 42 U.S.C. 241 and 284.

OBJECTIVES: (1) Discover, isolate, and evaluate preclinically, agents from natural sources to treat or prevent cancer, infectious diseases including AIDS, cardiovascular diseases, mental disorders, and other diseases or medical conditions of primary concern to developing countries. (2) Undertake inventories of biological diversity and develop collection practices compatible with conserving biodiversity, and produce documentation of all collected material in the form of museum catalogues, published works, and/or databases, reporting specific locality and all features of biology relevant to standard botanical and zoological collections; assure accessibility of inventory data to all individuals, including those not associated with the International Cooperative Biodiversity Groups (ICBG), by housing catalogues and databases in public institutions (such as universities and national museums) and, when databases are kept on computer systems in private institutions, by including in publications specific references to these data bases. (3) Support research training targeted toward the needs of the developing country or other countries represented within the Group and related to the scope of work of the Request for Applications (RFA), and to augment field experience and training of U.S. scientists in areas of knowledge unique to the developing country. Examples of relevant areas of training could include systematics, ethnobiology, ethnomedicine, chemistry, cell biology, biotechnology, or production methods and quality control in pharmaceutical development.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: (1) Implementation of strategies to support the selection and acquisition of natural resources and novel agents, including the use of ethnobiological studies. (2) Preparation of crude materials for testing against diseases relevant to this RFA. (3) Development of long-term ecological and economic strategies to ensure the sustainable harvesting of targeted organisms. (4) Use of novel contractual or other legal mechanisms to ensure an equitable financial return to the host country group or organization which facilitated the discovery of the natural product. (5) Approaches to working with traditional cultures and their knowledge of traditional medicine. (6) Training and education relevant to the research goals of this RFA, including support for training in taxonomy, and support for scientific infrastructure development in the host country. (7) Incorporation of systematists, ecologists, and anthropologists in integrative surveys of a developing country's biological resources. Individuals with expertise in diverse areas such as economics, sociology, and various disciplines of biological and physical sciences, including those who may not have collaborated in programs of this type, should be encouraged to participate. In addition to being multi-disciplinary it is expected that Groups will be international in scope with participation of developing country institutions to the greatest extent possible. Since it is unlikely that all of the required capabilities will be located within one institution, Groups likely will be multi-institutional as well.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Public and private nonprofit institutions, Governments and their agencies, and foreign institutions are eligible to participate. The Group Leader must be located in a public or private nonprofit institution, government or governmental agency or a foreign nonprofit. For profit institutions may participate in an ICBG as an Associate Program.

Beneficiary Eligibility: At least one of the associate programs must be located in a developing country.

Credentials/Documentation: None.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Prospective applicants are asked to submit a short letter of intent that includes a descriptive title of the proposed project, a short (one paragraph) description of the intended

components, and to the extent known, names of members of the proposed Group (Group Leader and Associate Programs) and their institutions. The letter of intent is requested in order to provide an indication of the number and scope of applications to be reviewed. This program is excluded from coverage under E.O. 12372.

Application Procedure: Applications must be submitted on form PHS 398 (Rev. April 1998). The forms may be obtained from the Office of Extramural Outreach and Information, National Institutes of Health, 6701 Rockledge Drive, MSC 7910, Bethesda, MD 20892-7910 or by Telephone (301) 435-0714 or E-mail: asknih@od.nih.gov. Special instructions are available from the Fogarty International Center. Applications should be submitted to the Center for Scientific Review, National Institutes of Health, Bethesda, MD 20892. This program is subject to the provisions of OMB Circular No. A-110. Applications will be accepted only if they are in response to a Request for Applications.

Award Procedure: All applications are evaluated by an appropriate initial review group and receive a secondary review by the FIC Advisory Board. The staff informs applicants of the results of the review. If support is contemplated, the staff initiates preparation of the awards.

Deadlines: Application receipt dates will be announced in a published Request for Applications (RFA). Contact Headquarters Office for information on when an RFA might be published.

Range of Approval/Disapproval Time: Approximately 6 months.

Appeals: A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his or her application by communicating with the staff of the Center. A description of the NIH Peer Review Appeal procedures is available on the NIH homepage: <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals: Non-competing renewal applications may be made. Applicants must submit their request on form PHS 2590 approximately 4 months before the end of the last budget period. Competing renewal applications may be submitted only in response to a Request for Applications (RFA).

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: None.

Length and Time Phasing of Assistance: Awards are made for a period of 5 years. Funds are allocated annually based on progress in the preceding year. Assistance is awarded by an Electronic Transfer System.

POST ASSISTANCE REQUIREMENTS:

Reports: Semi-annual and annual progress reports are required before disbursement of funds for the next budget period. A final progress report is required within 90 days of termination of the project.

Audits: In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records: Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last expenditure report for the report period.

FINANCIAL INFORMATION:

Account Identification: 75-0819-0-1-552.

Obligations: (Grants) FY 01 \$4,004,000. Now consolidated into 93.989.

Range and Average of Financial Assistance: Awards (including direct and Facilities and Administrative F and A costs) average \$575,000.

PROGRAM ACCOMPLISHMENTS: In fiscal year 2001, six awards were made.

REGULATIONS, GUIDELINES, AND LITERATURE: 42 CFR 52 and 45 CFR Parts 74 and 92; NIH Guide TW-98-001, August 15, 1997; NIH Grants Policy Statement <http://grants.nih.gov/grants/policy/nihgps/>.

INFORMATION CONTACTS:

Regional or Local Office: Not applicable.

Headquarters Office: Program Contact: Dr. Joshua Rosenthal, Division of International Training and Research, Fogarty International Center, National Institutes of Health, Public Health Service, 31 Center Drive, MSC 2220, Bethesda, MD 20892-2220. Telephone: (301) 496-1653. Grants Management Contact: Mr. Bruce Butrum, Grants Management Officer, Division of International Training and Research, Fogarty International Center, National Institutes of Health, Public Health Service, 31 Center Drive, MSC 2220, Bethesda, MD 20892-2220. Telephone; 301-496-1670. (Use same numbers for FTS.)

Web Site Address: <http://www.nih.gov>

RELATED PROGRAMS: None.

EXAMPLES OF FUNDED PROJECTS: A U.S. university, a U.S. conservation organization with an affiliate in Suriname, a U.S. pharmaceutical manufacturer, a U.S. agrosience company, a Surinamese pharmaceutical company, a U.S. botanical garden and the National Herbarium of Suriname collaborate to document, describe and conserve biodiversity in Suriname. The group looks for compounds active against diseases of importance to both developing and developed countries with the goal of developing new pharmaceuticals as well as agricultural agents. The project builds host country capacity for biodiversity inventory and biomedical research, while developing local economic incentives for conservation.

CRITERIA FOR SELECTING PROPOSALS: Scientific merit of the Proposal: (1) Scientific merit and originality of proposed research, and the degree of multi-disciplinary cooperation; (2) technical merit of proposed methods for identifying and collecting material for testing and isolating bioactive natural products—use of indigenous knowledge in this process is encouraged; (3) evidence that the work can be completed successfully in the proposed time frame. Overall likelihood of success in meeting goals and objectives of this RFA, including adequacy of each individual Associate Program and Central Operations Office: (1) Extent of relevance of applicant's (Group) objectives and strategies to address the interdependent issues of drug discovery and development, biodiversity conservation, and sustained economic growth; (2) potential impact on conservation, development, and human health in the participating developing country(ies); (3) evidence of approval and commitment of institutions and countries represented by Group members to Group goals; (4) likelihood of success in development of biodiversity databases and assuring of access to all interested parties; (5) likelihood of success in development of collection practices compatible with conservation of biodiversity. Qualifications and commitment of the scientific staff to the research and training aspects of the proposal: (1) Adequacy of the scientific disciplines and specific competencies represented by the Group Leader Associate Program Leaders and Associate Program Leaders, experience, competence, commitment, and time availability of the Group Leader, and other key personnel; (2) previous success in training personnel in the scientific and technical disciplines considered critical to meeting the objectives

of the proposed programs when the proposal includes a training component; (3) capacity to provide in-country courses and workshops (if any) for indigenous scientific and technical staff; (4) demonstrated support for domestic and international research in the scientific disciplines included in this proposal as evidenced by support from the NIH, NSF, or other sources. Adequacy of facilities and resources: (1) General applicability of the biodiversity infrastructure (systematics, collection capabilities, etc.) to science beyond the specific targets of the proposed work; (2) adequacy of existing physical facilities, research and training resources available to the Group Leader and Associate Program Leaders; (3) evidence of availability and competence of the applying institutions to carry out all required legal, fiscal and policy responsibilities. Adequacy of coordination, administrative, and collaborative relationships: (1) Administrative experience and competence of Group Leader in the development, implementation, and management of comprehensive research programs and the demonstrated commitment of the applicant institution to support these activities; (2) adequacy of plans for effective intra- Group communication and for assuring Group cohesiveness, accounting for the special requirements of an international collaboration; (3) extent and level of developing country participation, and local community involvement; (4) ability to cooperate with and train developing country nationals; (5) commitment to accept provisions outlined under Section G of the RFA, "TERMS OF COOPERATION."

Department of Health and Human Services

93.106 Minority International Research Training Grant in the Biomedical and Behavioral Sciences

FEDERAL AGENCY: NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION: Public Health Service Act, Sections 301 and 405, 42 U.S.C. 241 and 284.

OBJECTIVES: (1) To enable qualified minority undergraduate students, graduate students and faculty members to participate in international biomedical and behavioral research programs; (2) to acquaint minority students and scientists with the full range of career opportunities in biomedical and behavioral research; (3) to strengthen teaching programs at U.S. institutions; and (4) to strengthen ties with research institutes abroad.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Each training grant may include the three following components: (1) The undergraduate program for training minority students abroad for 8 to 12 weeks to include language training and a research experience; (2) The predoctoral component which will support minority students seeking a graduate research degree (Ph.D. or D.Sc.) for 3 to 12 months while abroad at a major international research center, or unique study population site; and (3) 3-12 months of support for faculty mentors providing guidance to undergraduates in (1) above. Grants may support stipends, travel, health insurance, foreign living expenses, tuition and fees, and other educational expenses at the foreign institutions. Stipends are \$800 per month for undergraduates, \$1,225 per month for graduate students, and \$1,250 per month for faculty mentors. Requests may be made for health insurance, foreign tuition, and fees. Foreign living expenses will be a maximum of \$1,000 per month for undergraduate and graduate students and \$2,000 for faculty mentors. Research training support for each participant may be requested for use at the foreign institution for up to \$600 per month. Travel expenses from the home institution to and from the foreign training site may be requested. Also, domestic administrative expenses of up to 10 percent of total direct costs may be requested.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: The applicant institution and its associated consortia institutions must be U.S. colleges or universities that offer baccalaureate degrees in fields relevant to biomedical and behavioral sciences. Each training grant may include any or all of the three following components: (1) The undergraduate program for training minority students abroad for 8 to 12 weeks to include language training (if necessary) and a research experience; (2) The post-baccalaureate component which will support minority students seeking a graduate research degree (M.S., Ph.D. or D.Sc.) for 3 to 12 months while abroad at a major international research center, or unique study population site; and (3) the faculty mentor program to provide 3-12 months of support to provide guidance to undergraduates in (1) above. Grants may support stipends, travel, health insurance, foreign living expenses, tuition and fees, and other educational expenses at the foreign institutions. Stipends are \$800 per month for undergraduate, \$1,225 for graduate students and \$1,250 per month for the faculty member. Requests may be made for health insurance, foreign tuition and fees. Foreign living expenses will be \$1,000 per month for undergraduate and graduate students and \$2,000 for faculty members. Research training support for each participant may be requested for use at the foreign institution for up to \$600 per month. Travel expenses from the home institution to and from the foreign training site may be requested. Also, domestic administrative expenses of up to 10 percent of total direct costs may be requested.

Beneficiary Eligibility: African American, Hispanic American, Native American, and Pacific Islander undergraduate students, graduate students, and faculty members.

Credentials/Documentation: None.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: This program is excluded from coverage under E.O. 12372.

Application Procedure: Applications are to be submitted on the institutional training grant application form PHS 398 (Rev. 04/98). Application kits are available at most institutional business offices or may be obtained from: Division of Extramural Outreach and Information, National Institutes of Health, 6701 Rockledge Drive, MSC 7910, Bethesda, MD 20892-7910. Telephone: 301/435-0714, E-mail grantsinfo@nih.gov. The title and number of the announcement must be typed in Section 2a on the face page of the application. Completed applications should be sent to the Center for Scientific Review, National Institutes of Health.

Award Procedure: Applications will be reviewed for scientific and technical merit by an NIH initial review group, followed by a second level review by the Fogarty International Center National Advisory Board.

Deadlines: An application deadline date will appear in the Request for Applications (RFA) for each competition. Contact Headquarters Office listed below for information on when RFAs may be published.

Range of Approval/Disapproval Time: From 4 to 6 months.

Appeals: A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his or her application by communicating with the staff of the Center. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals: Competing renewal applications from funded grantee institutions may be submitted in response to a Request for Applications (RFA) from the Fogarty International Center.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: None.

Length and Time Phasing of Assistance: Awards are made for a period of 4 years. Funds are allocated annually based on availability of funds and progress in the preceding year. Assistance is awarded by an electronic transfer system.

POST ASSISTANCE REQUIREMENTS:

Reports: Annual progress report is required before disbursement of funds for the next budget period. A financial status report is required not later than 90 days from the end of each budget period. Final progress and financial status reports are required within 90 days of termination of the project.

Audits: In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records: Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last expenditure report for the report period.

FINANCIAL INFORMATION:

Account Identification: 75-0819-0-1-552.

Obligations: (Grants) FY 01 \$5,456,000. Now consolidated into 93.989.

Range and Average of Financial Assistance: Approximately \$200,000 per year to the grantee institution.

PROGRAM ACCOMPLISHMENTS: In fiscal year 2001, 28 continuation awards.

REGULATIONS, GUIDELINES, AND LITERATURE: 42 CFR 52 and 45 CFR Parts 74 and 92; NIH Guide TW-00-001, November 22, 1999; NIH Grants Policy Statement <http://grants.nih.gov/grants/policy/nihgps/>.

INFORMATION CONTACTS:

Regional or Local Office: Not applicable.

Headquarters Office: Dr. Barbara Sina, Division of International Training and Research, Fogarty International Center, National Institutes of Health, Department of Health and Human Services, Building 31, Room B2C39, Bethesda, MD 20892-2220. Telephone: (301) 496-1653. Grants Management Contact: Mr. Bruce Butrum, Grants Management Officer, Fogarty International Center, National Institutes of Health, Department of Health and Human Services, Building 31, Room B2C39, Bethesda, MD 20892-2220. Telephone: (301) 496-1670. Use the same numbers for FTS.

Web Site Address: <http://www.nih.gov/fic>

RELATED PROGRAMS: None.

EXAMPLES OF FUNDED PROJECTS: The MIRT program at Cornell University brings students to the Yutaje field site of the Instituto Venezolano de Investigaciones Cientificas in the Amazon rain forest to collect and screen samples of ethnomedical value for potential as new drugs. Students from Hampton University in Virginia work on similar projects at the University of Dar Es Salaam in Tanzania. The MIRT students from the University of Michigan participate in studies examining how children's health problems relate to psycho-educational function in Chile, China, Costa Rica, India and South Africa. Students in the University of New Mexico MIRT program perform biochemical studies to determine how nutrition affects a variety of diseases in Northern Nigeria. MIRT students from the University of Pittsburgh are trained in epidemiological methods and participate in studies on diabetes in minority populations at sites in the Caribbean.

CRITERIA FOR SELECTING PROPOSALS: (1) Contribution of proposal to achievement of program's objectives; (2) scientific and technical merit of the application as determined by peer review; and (3) availability of funds.

Department of Health and Human Services

93.989 Senior International Fellowships (International Research)

FEDERAL AGENCY: NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION: Public Health Service Act, Sections 301 and 405, as amended; 42 U.S.C. 241 and 284.

OBJECTIVES: The John E. Fogarty International Center (FIC) supports research and research training to reduce global disparities in health and to foster partnerships between U.S. scientists and their counterparts abroad. FIC supports basic biological, behavioral, and social science research—including research in the fields of economics, demography, and ethics—as well as related research training. The research portfolio is divided into several programs that support a wide variety of funding mechanisms to meet programmatic objectives.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Research Grants: Research Grants provide funds for salaries, equipment, supplies, travel, and other expenses associated with scientific investigation concerning global health issues. They are awarded to universities, colleges, medical and dental schools, hospitals, and other non-profit and for-profit institutions. Awards include investigator-initiated research grants, cooperative agreements, international training grants, career development awards to enable investigators with outstanding research potential to develop their careers, and small grants.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Grants: Scientists at universities, medical and dental schools, hospitals, laboratories, and other public or private nonprofit and for-profit institutions. Some restrictions apply and differ among the programs described below.

Beneficiary Eligibility: Any nonprofit or for-profit organization, company or institution engaged in biomedical research. Some restrictions apply and differ among the programs described below.

Credentials/Documentation: Grants: Applications for grants must show the objectives, methodology, and resources for the specific research project and must present the applicant's competence and interest. Career development awards: (1) Individual Candidates: the applicant's academic record, research experience, citizenship, institutional sponsorship, and the proposed area and plan of training, must be included in the application. (2) Institutional Candidates: the applicant institution must show the objectives, methodology, and resources for the research training program, the research qualifications and experience of directing staff to train students, the criteria to be used in selecting individuals for awards, and a detailed budget and justification for the amount of grants funds requested. Costs will be determined in accordance with OMB Circular A-21 - Cost Principles for Educational Institutions, Circular A-110 - Uniform Administrative Requirements for Grants and Other Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations, Circular A-102 - Grants and Cooperative Agreements with State and Local Governments, and Circular A-87 for State and local governments. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other than State and local government grantees, costs will be determined by Health and Human Services (HHS) Regulations 45 CFR, Part 74, Subpart Q.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure: Grants: Scientists at universities, hospitals, laboratories, and other public or private nonprofit and for-profit institutions. Career development awards: (1) Nonprofit domestic organizations may apply for institutional awards. (2) Individual candidates or applicants must arrange sponsorship by a public or nonprofit private institution having staff and facilities appropriate to the proposed research training program. (3) All awardees must be citizens, or non-citizen nationals of the United States or have

been admitted to the United States for permanent residence. (4) To be eligible, career development awardees must have a professional or scientific degree (M.D., Ph.D., D.D.S., D.V.M., Sc.D., D.Eng., or equivalent domestic or foreign degree). Institutional applicants must be able to provide the staff and facilities suitable for the proposed research training. Under some programs non-U.S. institutions and investigators may be eligible for direct awards.

Award Procedure: NIH initial review group, followed by a second level review by the Fogarty International Center National Advisory Board.

Deadlines: GRANTS: An application deadline date will appear in the Request for Applications (RFA) or Program Announcement (PA) for each competition. Contact Headquarters Office listed below for information on when RFAs may be published. FIC PAs have the following receipt dates: FIRCA: March 25, July 25, and November 25. AIDS FIRCA: January 2, May 1 and September 1. International Research Scientist Development Award (IRSDA): February 13.

Range of Approval/Disapproval Time: GRANTS: From 4 to 6 months.

Appeals: A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his or her application by communicating with the staff of the FIC.

Renewals: Non-competing renewal applications may be made for all multi-year awards. Applicants must submit their request on form PHS 2590 two months before the end of the last budget period. Competing renewal applications may be submitted only in response to a Request for Applications (RFA) or Program Announcements (PA.)

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: This Program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance: Grants: Approval of a project includes a determination of support for the authorized project period (not to exceed 5 years). Awards to support the project are made on an annual basis. At the time of initial award, the grant provides funds for the conduct of the project during the first budget period (usually 12 months) and the Notice of Grant Award (Form PHS-1533) indicates the support recommended and expected to be made available for the remainder of the project beyond the approved project period, an application for renewal (PHS-398, Revised 7/10/01) must be submitted in accordance with the deadline dates and instructions attached to the form. Funds are allocated annually based on progress in the preceding year. Assistance is awarded by an Electronic Transfer System for domestic grantees and by U.S. Treasury Check for foreign grantees.

POST ASSISTANCE REQUIREMENTS:

Reports: Grants: Annual and terminal reports on progress, expenditures, and inventions must be provided to FIC staff in accordance with the CFR Title 42 Part 52 - Grants for Research Projects and the CFR Title 45 Part 74 - Uniform Administrative Requirements for grants and cooperative agreements.

Audits: In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that receive financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records: Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last expenditure report for the report period.

FINANCIAL INFORMATION:

Account Identification: 75-0819-0-1-552.

Obligations: (Grants, Cooperative Agreements, and Career Awards) FY 01 \$45,142,000; FY 02 est \$51,010,460; FY 03 est \$56,879,000.

Range and Average of Financial Assistance: FIC regular research grants, education projects, and international training grants grantees receive from \$100,000 to \$1,300,000; \$400,000. FIC Career Development Awards grantees receive from \$50,000 to \$150,000; \$127,000. FIC Cooperative Agreements grantees may receive approximately \$500,000- \$700,000; \$600,000. FIC FIRCA small grant program grantees receive from \$30,00 to \$45,000; \$40,000.

PROGRAM ACCOMPLISHMENTS: Minority International Research Training Grant: In fiscal year 2001, FIC made 28 awards, and in fiscal years 2002 and 2003, expects to make 28 awards each year. International Cooperative Biodiversity Groups: In fiscal year 2001, FIC made 6 awards, and in fiscal years 2002 and 2003, expects to make 5 awards each year. International Maternal and Child Health Research and Training Program: In fiscal year 2001, FIC made 11 awards, and in fiscal years 2002 and 2003, expects to make 11 awards each year. International Studies on Health and Economic Development: In fiscal year 2001, FIC made 11 awards, and in fiscal years 2002 and 2003, expects to make 11 awards each year. International Clinical, Operational, and Health Services Research and Training Award: In fiscal year 2001, FIC made 14 awards, and in fiscal years 2002 and 2003, expects to make 14 awards each year. International Training and Research in Environmental and Occupational Health: In fiscal year 2001, FIC made 17 awards, and in fiscal years 2002 and 2003, expects to make 17 awards each year. International Malaria Research Training Program: In fiscal year 2001, FIC made 11 awards, and in fiscal years 2002 and 2003, expects to make 13 awards each year. AIDS International Training and Research Program: In fiscal year 2001, FIC made 24 awards, and in fiscal years 2002 and 2003, expect to make 23 awards each year. Actions for Building Capacity in Support of the International Collaborations in Infectious Disease Research Program: In fiscal year 2001, FIC made 10 awards, and in fiscal years 2002 and 2003, expects to make 10 awards each year. International Research Scientist Development Award: In fiscal year 2001, FIC made 9 awards, and in fiscal years 2002 and 2003, expects to make 12 to 14 awards each year.

REGULATIONS, GUIDELINES, AND LITERATURE: The grant program legislation and program regulation cited in this Notice of Grant Award, the restrictions on the expenditure of federal funds in appropriations acts, to the extent those restrictions are pertinent to the award, 45 CFR Part 74 or 45 CFR Part 92 as applicable, the NIH Grants Policy Statement, including addenda in effect as of the beginning date of the budget period, and the terms and conditions on the notice of grant award. Information regarding FIC programs can be found at: <http://www.nih.gov/fic/programs.html>.

INFORMATION CONTACTS:

Regional or Local Office: Not applicable.

Headquarters Office: Fogarty International Center, National Institutes of Health, Department of Health and Human Services, Building 31, Room B2C39, MSC 2220, Bethesda, MD 20892-2220. Telephone: (301) 496-1653. Grants Management Contact: Mr. Bruce Butrum, Grants Management Officer.

Web Site Address: <http://www.nih.gov/fic>

RELATED PROGRAMS: None.

EXAMPLES OF FUNDED PROJECTS: Given the interdisciplinary nature of global health challenges, the Fogarty International Center (FIC) systematically seeks alliances with sister Federal agencies, international organizations, development agencies, and non-government organizations. The AIDS International Training

and Research Program (AITRP) led by FIC and in partnership with nine NIH institutes, supports research training that is critical to advancing the AIDS research agenda abroad. For example, with FIC support, investigators at the University of Nairobi and University of Washington have evaluated the rates of HIV transmission to infants through breastfeeding. In the new study, pregnant HIV-infected women joined groups that would either breastfeed or formula feed their infants. Among infants born to mothers in the breast feeding group the risk of becoming HIV infected in association with breast feeding increased by 76% in contrast to the formula fed group. Overall, 44 percent of the HIV infections in infants born to mothers in the breastfeeding group were due to breast milk. This study clearly shows the need to prevent breast milk transmission and has major implications for development and deployment of public health interventions in resource-poor settings. Additional studies are now underway to address the related and complex social and economic implications on infant feeding. The International Training and Research Program in Emerging Infectious Diseases (ITREID) aims to increase the capabilities of developing countries to understand, control and prevent emerging infectious diseases. The ITREID is co-funded by the Fogarty International Center, the National Institute of Allergy and Infectious Diseases (NIAID), the National Institute of Dental and Craniofacial Research (NIDCR), and the National Center on Minority Health and Health Disparities (NCMHD). Recently, Harvard University researchers investigated the correlation between maize and *Anopheles arabiensis*, a local malaria vector. The researchers found that mosquito larvae prefer to feed on the relatively abundant maize pollen in contrast with other local food sources. The prolific pollen provides these mosquitoes the advantage of increased size and longer life span, further facilitating their ability to spread malaria among nearby human populations. Maize is a common crop that is frequently planted near homes in much of Sub-Saharan Africa; altering maize production practices could have a significant impact on the control of malaria in Ethiopia and other African nations. This study also implies that genetically altering maize could potentially impede the mosquitoes' ability to survive or spread malaria among these populations. Further research is being conducted to investigate these possibilities. The International Cooperative Biodiversity Groups (ICBG) program is a unique effort, administered by the FIC, to integrate improvement of human health through drug discovery, incentives for ecological conservation, and new models of sustainable economic activity that focus on the environment, health, equity and democracy. This program is based on the belief that the discovery and development of pharmaceutical and other useful agents from natural products can, under appropriate circumstances, promote sustained economic growth in developing countries while conserving the biological habitats from which these products are derived. The ICBG program is a partnership amongst five institutes of the National Institutes of Health (NIH) as well as the National Science Foundation (NSF) and the U.S. Department of Agriculture (USDA). Five ICBGs are currently working in ten countries across Latin America, Africa and Asia, building research capacity in more than 20 different institutions and training hundreds of individuals. To date, over 5,000 species of plants, animals and microorganisms have been examined for biological activity in 13 different therapeutic areas. Numerous publications in chemistry, biodiversity policy, conservation and ethnobiology have emerged from the funded ICBG groups. Example accomplishments include efforts by researchers at Walter Reed Army Institute of Research to characterize a new mode of action for treatments against leishmaniasis and related parasitic diseases, and isolate promising lead compounds for this and other areas from traditional plant use in West Africa. Another example can be found in the stimulus for a new central biodiversity reserve system in Suriname to protect almost 4 million acres of interior rain forest that will preserve the habitat for research projects like the ICBG as well as other non destructive activities. ICBG research and conservation initiatives are partially credited for this government's efforts of habitat protection. FIC established an interagency, interdisciplinary research program in FY 2000 on ecology and infectious diseases. The first 12 projects supported by this interdisciplinary program will assess how large-scale man-made environmental events such as habitat destruction, urban sprawl, water pollution, introduced species and agricultural intensification all contribute to the emergence and spread of emerging infectious diseases in animals and humans. With FIC support, scientists at Case Western Reserve and the Institute of Medical Research in Papua New Guinea (PNG) have discovered a new mutation in a gene for the Duffy blood group which reduces susceptibility of red blood cells to malaria caused by *Plasmodium vivax*. This parasite must interact with Duffy blood group factor on cells in order to infect them. Genetic epidemiologists have demonstrated that individuals with two copies of the mutant gene are resistant to *P. vivax* infection. Targeting the

parasite's Duffy binding protein and its interaction with Duffy blood group may be a productive strategy for developing a vaccine for vivax malaria. The insights from this study not only suggest that is feasible but represent a conceptual model for addressing the more virulent malaria parasite, *P. falciparum*.

CRITERIA FOR SELECTING PROPOSALS: The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to the announced program objectives.

Department of Housing and Urban Development

14.506 General Research and Technology Activity

FEDERAL AGENCY: OFFICE OF POLICY DEVELOPMENT AND RESEARCH, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION: Housing Act of 1970, Title V, as amended.

OBJECTIVES: To carry out research, demonstration and program evaluation and monitoring projects of high priority and pre-selected by the Department to improve the operations of the Department's programs.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: A limited number of grants are awarded to offerors of unsolicited and solicited research proposals that meet the needs of the Department of Housing and Urban Development. Research relating to: national housing needs; low income housing and housing assistance; effects of welfare reform on assisted housing; the Partnership for Advancing Technology in Housing (PATH) activities to develop new technologies; public finance and urban economic development; research in support of Government-Sponsored Enterprises activities; international activities; and evaluation and monitoring of existing and new housing and community development programs are areas of interest. Grants and cooperative agreements are available to State and local governments, industry, nonprofit and academic institutions. **UNSOLICITED PROPOSALS:** Unsolicited proposals are reviewed in accordance with this program's "Guidelines for Unsolicited Proposals," but because there are no undesignated funds, relatively few can be funded, and only by displacing an already planned project. Cost-sharing is required. In general, funds are not available for: (1) research of primarily local nature and benefits, (2) institutional support, operating funds, IT projects, and (3) development of marketable products.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: State and local governments, academic institutions, public and/or private profit and nonprofit organizations which have authority and capacity to carry out projects.

Beneficiary Eligibility: State and local governments, academic institutions, public and/or private profit and nonprofit organizations which have authority and capacity to carry out projects.

Credentials/Documentation: Capacity and capability of applicant to perform research by grant or cooperative agreement under this program.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Contact the Office of the Assistant Secretary for Policy Development and Research for unsolicited proposals. Contact the Office of Procurement and Contracts for information related to competitive solicitations. This program is excluded from coverage under E.O. 12372.

Application Procedure: Response to HUD request for proposals issued by the Office of Procurement and Contracts is the normal method. Unsolicited proposals that are original in concept and meet program objectives are also considered; however, funds are not set aside specifically for this purpose, and unsolicited proposals must compete with and displace HUD-originated research requirements to be considered for funding. Unsolicited proposals require cost-sharing (proposer bears a share of all cost). No fee is allowed. Grants under this program are subject to modified provisions of OMB Circular No. A-110 with more intensive planning and progress reporting. Cost-reimbursement upon approved progress is preferred payment method. Cooperative agreements are governed by special, OMB-approved agreement provisions drawn from A-110 and other sources to meet needs of this program.

Award Procedure: The applicant will negotiate with HUD on the award amount and scope of work of the proposal. The headquarters office closely monitors research performance.

Deadlines: As specified in request for proposals.

Range of Approval/Disapproval Time: As identified in request for proposals for competitive awards; 60 to 120 days for unsolicited proposals.

Appeals: Office of the Assistant Secretary for Policy Development and Research for unsolicited proposals. Office of Procurement and Contracts for all contractual matters.

Renewals: As provided in agreement arrangements, if any.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Agreements may be either cost reimbursable or fixed price in nature. Unsolicited proposals resulting in an award are required to be cost shared.

Length and Time Phasing of Assistance: As specified in the contractual agreement. Awards usually will not exceed twelve months.

POST ASSISTANCE REQUIREMENTS:

Reports: As specified in agreements.

Audits: Accounts and records of the project are subject to audit by the Federal government at any time. The number of audits depends on the length of the project and the nature of the agreement. A final audit will be made for most agreements.

Records: Full and accurate records must be maintained with respect to all matters covered in the agreement.

FINANCIAL INFORMATION:

Account Identification: 86-0108-0-1-451.

Obligations: (Grants) FY 01 \$52,800,915; FY 02 est \$49,717,942; and FY 03 est \$46,550,000.

Range and Average of Financial Assistance: \$15,000 to \$1,420,000.

PROGRAM ACCOMPLISHMENTS: There were 19 grants awarded in fiscal year 2001. Research and demonstration programs are underway in the following major areas: low-income housing; public housing; the Partnership for Advancing Technology in Housing (PATH) activities to develop new technologies; Government-Sponsored Enterprises' activities; fair housing practices; community and urban economic development; and international activities. Additionally, there are program evaluation and monitoring projects underway.

REGULATIONS, GUIDELINES, AND LITERATURE: Guidelines for Submitting Unsolicited Proposals.

INFORMATION CONTACTS:

Regional or Local Office: None.

Headquarters Office: Assistant Secretary for Policy Development and Research, Department of Housing and Urban Development, 451 7th Street SW., Washington, DC 20410. Attention: Budget, Contracts, and Program Control Division. Telephone: (202) 708-1796. Use the same number for FTS. <http://www.huduser.org>

RELATED PROGRAMS: None.

EXAMPLES OF FUNDED PROJECTS: Not applicable.

CRITERIA FOR SELECTING PROPOSALS: Not applicable.

Department of Interior

15.620 African Elephant Conservation

FEDERAL AGENCY: FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION: African Elephant Conservation Act, Title II Sec. 2001 et seq., Public Law 100-478, 102 Stat. 2315 et seq., 16 U.S.C. 4201 et seq., 4211 et seq., 4221 et seq., 4241 et seq., and 1538.

OBJECTIVES: To provide financial assistance to any organization or individual responsible for African elephant conservation, and any organization or individual with experience in African elephant conservation, for approved elephant conservation projects to support research, conservation, management and protection of African elephants.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Funds may be used for approved African elephant conservation projects. Proposed projects should have the support of the local government(s) and have matching funds (cash) or in-kind support (salaries, equipment, etc.) provided by the organization receiving the grant or other partners. All non-governmental or private sector project proposals must contain evidence of support by local government entities of countries where the project is to be conducted.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Applicants may be any Federal, State and local government agencies, public or private organizations, non- governmental nonprofit organizations, public and private institutions of higher education, or any other entity with experience in conservation. Projects must provide direct benefits to support research, conservation, management and protection of African elephants.

Beneficiary Eligibility: Applicants may be any Federal, State, and local government agencies, public or private organizations, non- governmental nonprofit organizations, public and private institutions of higher education, or any other entity with experience in Asian elephant conservation.

Credentials/Documentation: None.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: None. This program is excluded from coverage under E.O. 12372.

Application Procedure: Submit a request for proposal package, which includes specific information on requirements, to the Chief, Division of International Conservation, U.S. Fish and Wildlife Service, 4401 N. Fairfax Drive, Room 730, Arlington, Virginia 22203.

Award Procedure: The Chief, Division of International Conservation (DIC), will select projects to be funded based on established criteria contained in 16 U.S.C. 4211d. A grant agreement between the Fish and Wildlife Service and the grantee is drafted by DIC. The agreement is then submitted to the Office of Contracting and General Services (CGS) for processing. CGS then sends the grant agreement to the grantee for signature. Upon return to CGS, the grant agreement is signed by CGS, and is effective on the date of CGS signature.

Deadlines: None.

Range of Approval/Disapproval Time: Decisions on funding of project proposals must be made no later than 180 days after the receipt of the project proposal.

Appeals: None.

Renewals: A grant agreement can be modified, extended, or renewed at the discretion of the Chief of Division of International Conservation and the CGS Contracting Officer.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: To the extent possible, grant funds are matched by nonfederal funds. This program has no statutory formula.

Length and Time Phasing of Assistance: Awarded funds must be spent during the approved Period of Performance of the grant agreement and, in accordance with DOI/FWS financial and reporting procedures.

POST ASSISTANCE REQUIREMENTS:

Reports: Progress and final reports are to be submitted in accordance with terms and conditions of the grant agreement and must all be submitted within the Period of Performance of the grant agreement.

Audits: In accordance with the provisions of OMB Circular No. A- 133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal Awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records: Records for grants awarded to State and Local Governments will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Records for grants awarded to institutions of higher education and other nonprofit organizations will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

FINANCIAL INFORMATION:

Account Identification: 14-8154-0-7-303.

Obligations: (Grants) FY 01 \$968,000; FY 02 est \$970,000; and FY 03 est \$920,000.

Range and Average of Financial Assistance: Variable amounts. Due to the limited funding available and the desire to support diverse projects, preference will be given to proposals requesting \$50,000 or less; however, higher amounts may be requested.

PROGRAM ACCOMPLISHMENTS: During 2001, the Service awarded 20 grants in 12 countries. Highlights include: satellite tracking of forest elephant migrations in Congo, non-invasive genotyping of elephants and genetics-based ivory tracking; a meritorious service awards program for game wardens in Africa; relocation of elephant herds to more favorable habitats in Zimbabwe; community-based wildlife conservation programs in Zimbabwe and Zambia; training in elephant conservation and management for wildlife biologists in Ghana and Ivory Coast; the provision of anti-poaching equipment to six African nations; development and testing of deterrents to reduce human/elephant conflicts; and, immunocontraception as an alternative to elephant culling in South Africa.

REGULATIONS, GUIDELINES, AND LITERATURE: 50 CFR Parts 13, 14, 17, 24, 7 CFR Part 355.

INFORMATION CONTACTS:

Regional or Local Office: Not applicable.

Headquarters Office: U.S. Fish and Wildlife Service, U.S. Department of the Interior. Contact: Chief, Division of International Conservation, Room 730, 4401 N. Fairfax Drive, Arlington, Virginia 22203. Telephone: (703) 358-1754.

Web Site Address: <http://www.international.fws.gov/grants/grants.html>

RELATED PROGRAMS: 15.619, Rhinoceros and Tiger Conservation; 15.621, Asian Elephant Conservation; 15.629, Great Apes Conservation.

EXAMPLES OF FUNDED PROJECTS: Projects have included anti-poaching assistance in the form training and equipment, advanced training for African wildlife biologists, relocation of elephants to better habitat, exploring ways to reduce human/elephant conflicts, applied genetics studies to manage and conserve elephant populations, community conservation support, elephant population, movements, and habitat utilization studies, and meritorious service award programs for game scouts.

CRITERIA FOR SELECTING PROPOSALS: Proposals will be reviewed on the basis of criteria contained in 16 U.S.C. 4211, and evaluated for scope, significance, feasibility and cost effectiveness.

Department of Interior

15.621 Asian Elephant Conservation

FEDERAL AGENCY: FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION: Asian Elephant Conservation Act, Public Law 105- 96, 111 Stat. 2150, 16 U.S.C. 4261-4266.

OBJECTIVES: To provide financial assistance to any organization or individual responsible for Asian elephant conservation, and any organization or individual with experience in Asian elephant conservation, for approved elephant conservation projects to support research, conservation, management and protection of Asian elephants.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Funds may be used for approved Asian elephant conservation projects. Proposed projects should have the support of the local government(s) and have matching funds (cash) or in-kind support (salaries, equipment, etc.) provided by the organization receiving the grant or other partners. All non-governmental or private sector project proposals must contain evidence of support by local government entities of countries where the project is to be conducted.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Applicants may be any Federal, State and local government agencies, public or private organizations, non- governmental nonprofit organizations, public and private institutions of higher education, or any other entity with experience in conservation. Projects must provide direct benefits to support research, conservation, management and protection of Asian elephants.

Beneficiary Eligibility: Applicants may be any Federal, State, and local government agencies, public or private organizations, non- governmental nonprofit organizations, public and private institutions of higher education, or any other entity with experience in Asian elephant conservation.

Credentials/Documentation: None.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: None. This program is excluded from coverage under E.O. 12372.

Application Procedure: Submit a request for proposal package, which includes specific information on requirements, to the Chief, Division of International Conservation, U.S. Fish and Wildlife Service, 4401 N. Fairfax Drive, Room 730, Arlington, Virginia 22203.

Award Procedure: The Chief, Division of International Conservation (DIC), will select projects to be funded based on established criteria contained in 16 U.S.C. 4261-4266. A grant agreement between the Fish and Wildlife Service and the grantee is drafted by DIC. The agreement is then submitted to the Office of Contracting and General Services (CGS) for processing. CGS then sends the grant agreement to the grantee for signature. Upon return to CGS, the grant agreement is signed by CGS, and is effective on the date of CGS signature.

Deadlines: None.

Range of Approval/Disapproval Time: Decisions on funding of project proposals must be made no later than 6 months after the receipt of the project proposal.

Appeals: None.

Renewals: A grant agreement can be modified, extended, or renewed at the discretion of the Chief of DIC and the CGS Contracting Officer.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: To the extent possible, grant funds are matched by nonfederal funds. This program has no statutory formula.

Length and Time Phasing of Assistance: Awarded funds must be spent during the approved Period of Performance of the grant agreement, and in accordance with DOI/FWS financial and reporting procedures.

POST ASSISTANCE REQUIREMENTS:

Reports: Progress and final reports are to be submitted in accordance with terms and conditions of the grant agreement and must all be submitted within the Period of Performance of the grant agreement.

Audits: In accordance with the provisions of OMB Circular No. A- 133 (Revised, June 24, 1997, "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that receive financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records: Records for grants awarded to State and Local Governments will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Records for grants awarded to institutions of higher education and other nonprofit organizations will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

FINANCIAL INFORMATION:

Account Identification: 14-1652-0-1-303.

Obligations: (Grants) FY 01 \$725,000; FY 02 est \$970,000; and FY 03 est \$920,000.

Range and Average of Financial Assistance: Variable amounts. Due to the limited funding available and the desire to support diverse projects, preference will be given to proposals requesting \$30,000 or less; however, higher amounts may be requested.

PROGRAM ACCOMPLISHMENTS: During 2001, the Service awarded 21 grants in 9 range countries, highlights include: assessing the distribution, abundance, seasonal movements, and conflicts with man in a river region of Malaysia; establishing monitoring systems for elephants in numerous countries; educational materials for teachers/students in India; training of survey techniques for local park rangers in numerous countries; illegal ivory trade/poaching information for the range of the Asian elephant; and testing of specific deterrents, fencing, repellants, etc., for conflict resolutions in southern India.

REGULATIONS, GUIDELINES, AND LITERATURE: 50 CFR Parts 13, 14, 17, 24, 7 CFR Part 355.

INFORMATION CONTACTS:

Regional or Local Office: Not applicable.

Headquarters Office: U.S. Fish and Wildlife Service, U.S. Department of the Interior. Contact: Chief, Division of International Conservation, Room 730, 4401 N. Fairfax Drive, Arlington, Virginia 22203. Telephone: (703) 358-1754. Fax: (703) 358-2849.

Web Site Address: <http://www.international.fws.gov/grants/grants.html>

RELATED PROGRAMS: 15.619, Rhinoceros and Tiger Conservation; 15.620, African Elephant Conservation; 15.629, Great Apes Conservation.

EXAMPLES OF FUNDED PROJECTS: Projects have included anti-poaching assistance in the form of training and equipment, advanced training for Asian wildlife biologists, exploring ways to reduce human/elephant conflicts, applied genetics studies to manage and conserve elephant populations, community conservation support, and habitat utilization studies.

CRITERIA FOR SELECTING PROPOSALS: Proposals will be reviewed on the basis of criteria contained in 16 U.S.C. 4261-4266, and evaluated for scope, significance, feasibility and cost effectiveness.

Department of Interior

15.629 Great Apes Conservation

FEDERAL AGENCY: FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION: Great Ape Conservation Act of 2000, Public Law 106-411, 16 USC 6301.

OBJECTIVES: To assist in the conservation of great apes by supporting and providing financial resources for the conservation programs of countries within the range of great apes and projects of persons with demonstrated expertise in the conservation of great apes.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Funds may be used for approved great ape conservation projects. Proposed projects should have the support of the local government(s) and have matching funds (cash) or in-kind support (salaries, equipment, etc.) provided by the organization receiving the grant or other partners. All non-governmental or private sector project proposals must contain evidence of support by local governmental entities of countries where the project is to be conducted.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Applicants may be any Federal, State, and local government agencies, public or private organizations, non-governmental nonprofit organizations, public and private institutions of higher education, or any other entity with experience in great ape conservation.

Beneficiary Eligibility: Federal, State, and local government agencies, public or private organization, non-governmental nonprofit organization, public and private institutions of higher education or any other entity with experience in great ape conservation.

Credentials/Documentation: None.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: None. This program is excluded from coverage under E.O. 12372.

Application Procedure: Submit a request for proposal package, which includes specific information on requirements, to the Chief, Division of International Conservation, U.S. Fish and Wildlife Service, 4401 N. Fairfax Drive, Room 730, Arlington, Virginia 22203.

Award Procedure: The Chief, Division of International Conservation (DIC), will select projects to be funded based on established criteria contained in 16 U.S.C. 6301. A grant agreement between the Fish and Wildlife Service and the grantee is drafted by DIC. The agreement is then submitted to the Office of Contracting and General Services (CGS) for processing. CGS then sends the grant agreement to the grantee for signature. Upon return to CGS, the grant agreement is signed by CGS, and is effective on the date of CGS signature.

Deadlines: None.

Range of Approval/Disapproval Time: Decisions on funding of project proposals must be made no later than 180 days after the receipt of the project proposal.

Appeals: Not applicable.

Renewals: A grant agreement can be modified, extended, or renewed at the discretion of the Chief, Division of International Conservation and the CGS Contracting Officer.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: To the extent possible, grant funds are matched by nonfederal funds. This program has no statutory formula.

Length and Time Phasing of Assistance: Awarded funds must be spent during the approved Period of Performance of the grant agreement, and in accordance with DOI/FWS financial and reporting procedures.

POST ASSISTANCE REQUIREMENTS:

Reports: Progress and final reports are to be submitted in accordance with terms and conditions of the grant agreement and must all be submitted within the Period of Performance of the grant agreement.

Audits: In accordance with the provisions of OMB Circular No. A- 133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records: Records for grants awarded to State and Local Governments will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Records for grants awarded to institutions of higher education and other nonprofit organizations will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

FINANCIAL INFORMATION:

Account Identification: 14-1652-0-1-303.

Obligations: (Grants) FY 01 \$668,000; FY 02 est \$920,000; FY 03 est \$920,000.

Range and Average of Financial Assistance: Variable amounts. Due to the limited funding available and the desire to support diverse projects, preference will be given to proposals requesting \$30,000 or less; however, higher amounts may be requested.

PROGRAM ACCOMPLISHMENTS: Since 2001, the Service has awarded 27 grants in 14 countries. Highlights include: ground surveys for chimpanzee and western lowland gorilla populations and capacity building among local people surrounding a conservation area in northern Republic of Congo; ranger-based monitoring system for the long-term conservation of mountain gorillas in the afro-montane forests of northwestern Rwanda, eastern DRC, and southwestern Uganda; bonobo population surveys in Lac Tumba and Opala Regions, assessment of orangutan densities; range use, nutritional requirements and reproductive viability in and near a National Park in Indonesia; and, study to determine the impacts of hunting on Hoolock gibbon populations in Burma.

REGULATIONS, GUIDELINES, AND LITERATURE: 50 CFR Parts 13, 14, 17, 24, 7 CFR Part 355.

INFORMATION CONTACTS:

Regional or Local Office: Not applicable.

Headquarters Office: U.S. Fish and Wildlife Service, U.S. Department of the Interior. Contact: Chief, Division of International Conservation, Room 730, 4401 N. Fairfax Drive, Arlington, VA 22203. Telephone: (703) 358-1754. Fax: (703) 358-2849.

Web Site Address: <http://international.fws.gov/grants/grants.html>

RELATED PROGRAMS: 15.619, Rhinoceros and Tiger Conservation; 15.620, African Elephant Conservation; 15.621, Asian Elephant Conservation.

EXAMPLES OF FUNDED PROJECTS: Projects have included studies to manage and conserve great ape populations, community conservation support, habitat disturbance studies, impacts of hunting, and development of curriculum for wildlife education.

CRITERIA FOR SELECTING PROPOSALS: In determining whether to approve project proposals under this section, the Secretary shall give preference to projects for which matching funds are available. Proposals will be reviewed on the basis of criteria contained in 16 U.S.C. 6301, and evaluated for scope, significance, feasibility and cost effectiveness.

Department of Interior

15.628 Multi-State Conservation Grants

FEDERAL AGENCY: FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION: Federal Aid in Sport Fish Restoration Act of 1950, 64 Stat. 430, as amended; 16 U.S.C. 777-777K; Federal Aid in Wildlife Restoration Act of 1937, 50 Stat. 917, as amended, 16 U.S.C. 669-b, 669-i; The Fish and Wildlife Programs Improvement and National Wildlife Refuge System Centennial Act of 2000, 114 Stat. 1762.

OBJECTIVES: To provide funding for sport fish and wildlife restoration projects identified by the International Association of Fish and Wildlife Agencies.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Sport Fish and Wildlife Restoration funds may be used for sport fisheries and wildlife research projects, boating access development, hunter safety, aquatic education, habitat improvements, and other projects consistent with the purposes of the enabling legislation.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: States, groups of States, U.S. Fish and Wildlife Service (for National Survey of Fishing, Hunting, and Wildlife- Associated Recreation only), non-governmental organizations. By October 1st of each year the International Association of Fish and Wildlife Agencies (IAFWA) will provide the U.S. Fish and Wildlife Service a priority list of projects recommended for funding. The Director may fund only project proposals on the priority list.

Beneficiary Eligibility: Projects must benefit at least 26 States, or a majority of the States in a region of the Fish and Wildlife Service, or a regional association of State fish and game departments.

Credentials/Documentation: Costs for grants to States or groups of States will be determined in accordance with 43 CFR 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and OMB Circular A- 87. Cost for grants to non-governmental groups who are nonprofit organizations will be determined according to 43 CFR 12, Subpart (F), and OMB Circular A-122. Cost principles for non-governmental groups which are commercial will be determined 48 CFR 31.2 and Federal Acquisition Regulations (FAR) 31.2. Non-government applicants must certify that they will not use any grant funds for activities, projects, or programs that promote or encourage opposition to regulated hunting or trapping of wildlife, or angling for or harvest of sport fishes.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: For State applicants, this program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure: States will use forms and procedures described in 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," and OMB-Circular A-102. Nonprofit organizations will use forms and procedures described in 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Cooperative Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations," and OMB Circular A-110. Commercial applicants and Fish and Wildlife Service applicants must use forms and procedures described in 50 CFR 80, the Program Rule for the Federal Aid in Sport Fish and Wildlife Restoration Programs.

Award Procedure: The Director of the Fish and Wildlife Service will select projects to be funded, based on established criteria, from a priority list developed by the International Association of Fish and Wildlife Agencies (IAFWA).

Deadlines: The deadline for submitting proposals will be announced each year in the Federal Register, generally in the spring. The International Association of Fish & Wildlife Agencies must provide its priority list of project proposals to the Fish and Wildlife Service Assistant Director - Migratory Birds and State Programs by October 1st. The following January, the Service Director will publish in the Federal Register the list of projects selected for funding that Federal fiscal year.

Range of Approval/Disapproval Time: Approximately 6 to 9 months.

Appeals: Final determination rests with the Director of the Fish and Wildlife Service.

Renewals: None. Any requests for future funding will compete with requests for new projects.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance: Projects may be approved for up to 3 years, with funding dependant on annual competition.

POST ASSISTANCE REQUIREMENTS:

Reports: Reporting requirements for grants to States are described in 43 CFR 12, Subpart C, and OMB Circular A-102. Reporting for grants to nonprofit organizations are described in 43 CFR 12, Subpart F, and OMB Circular A-110. Commercial grantees and Fish and Wildlife Service grantees must use reporting procedures described in 43 CFR 12.80(b).

Audits: Audits: In accordance with the provisions of OMB Circular No.A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records: Cost records must be maintained for each project for 3 years after submission of the final request for reimbursement. Record keeping requirements for grants to States are described in 43 CFR 12, Subpart C, and OMB Circular A-102. Record keeping requirements for grants to nonprofit organizations are described in 43 CFR 12, Subpart F, and OMB Circular A-110. For Commercial grantee and Fish and Wildlife Service grantees must use record keeping procedures described in 43 CFR 12, Subpart C, and OMB Circular A-102.

FINANCIAL INFORMATION:

Account Identification: 14-8151-0-7-303; 14-5029-0-2-303.

Obligations: (Grants) FY 01 \$6,000,000; FY 02 est \$6,000,000; and FY 03 est \$6,000,000.

Range and Average of Financial Assistance: Not available.

PROGRAM ACCOMPLISHMENTS: Not available.

REGULATIONS, GUIDELINES, AND LITERATURE: 43 CFR 12; 50 CFR 80; Sections 521, 522, and 523 of the Fish and Wildlife Service Manual.

INFORMATION CONTACTS:

Regional or Local Office: See Catalog Appendix IV for Regional Fish and Wildlife Service Offices.

Headquarters Office: Office of Migratory Birds and State Programs, Fish and Wildlife Service, Department of the Interior, 1849 C Street, NW., Room 3248, Washington, D.C. 20240-0001. Contact: Chief, Division of Federal Aid. Telephone: (703) 358-2156.

Web Site Address: <http://grants.fws.gov>

RELATED PROGRAMS: 15.605, Sport Fish Restoration; 15.611, Wildlife Restoration.

EXAMPLES OF FUNDED PROJECTS: Education and training, analysis and focus on the values and use of sport fish and wildlife programs and new technologies, and evaluation in restoration, recreation, enhancement, an/or protection of sport fish and wildlife and their habitats.

CRITERIA FOR SELECTING PROPOSALS: Projects will be reviewed and evaluated for scope, significance, feasibility, cost effectiveness, and attention to one or more focus areas.

Department of Interior

15.619 Rhinoceros and Tiger Conservation

FEDERAL AGENCY: FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION: Rhinoceros and Tiger Conservation Act of 1994, Public Law 103-391, 108 Stat. 4094 et seq., 16 U.S.C. 5301 et seq., as amended by the Rhino and Tiger Product Labeling Act of 1998, Public Law 105-312, 112 Stat. 2956 et seq., 16 U.S.C. 5301 et seq.

OBJECTIVES: To increase conservation of rhinoceros and tigers through strengthening habitat/ecosystem management; surveys and monitoring; conservation education; wildlife inspection, law enforcement and forensic skills; protected area/reserve management; sustainable development in buffer zones surrounding tiger/rhinoceros habitat; management of human behavior and livestock to decrease conflicts with tigers/rhinoceros; and the use of substitutes for tiger/rhinoceros products in oriental medicine. Projects which provide training to strengthen capabilities in these areas will receive priority.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Funds may be used for approved rhinoceros and tiger conservation projects. Projects proposed should have the support of the local government(s) and have matching funds (cash) or in-kind support (salaries, equipment, etc.) provided by the organization receiving the grant or other partners. All non-governmental or private sector project proposals must contain evidence of support by local government entities of countries where the project is to be conducted.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Applicants may be any Federal, State and local government agencies, public or private organizations, non-governmental nonprofit organizations, public and private institutions of higher education, or any other entity with experience in conservation. Projects must provide direct benefits to support research, conservation, management and protection of rhinoceroses and tigers.

Beneficiary Eligibility: Any Federal, State or local government agencies, public or private organizations, non-governmental nonprofit organizations, public and private institutions of higher education, or any other entity.

Credentials/Documentation: None.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: None. This program is excluded from coverage under E.O. 12372.

Application Procedure: Submit requests for proposal packages that contain specific information on the requirements and format to the Chief, Division of International Conservation, U.S. Fish and Wildlife Service, 4401 North Fairfax Drive, ARLSQ 730, Arlington, VA 22203-1622 USA. Telephone: (703) 358-1754; Fax: 703-358-2849.

Award Procedure: The Chief, Division of International Conservation (DIC), will select projects to be funded based on established criteria contained in 16 U.S.C. 5301. A grant agreement between the Fish and Wildlife Service and the grantee is drafted by DIC. The agreement is then submitted to the Office of Contracting and General Services (CGS) for processing. CGS then sends the grant agreement to the grantee for signature. Upon return to CGS, the grant agreement is signed by CGS, and is effective on the date of CGS signature.

Deadlines: None.

Range of Approval/Disapproval Time: Decisions on funding of project proposals must be made no later than 180 days after the receipt of the project proposal.

Appeals: None.

Renewals: A grant agreement can be modified, extended, or renewed at the discretion of the Chief of Division of International Conservation and the CGS Contracting Officer.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: This program has no statutory formula. To the extent possible, grant funds are matched by nonfederal funds.

Length and Time Phasing of Assistance: Award funds must be spent during the approved budget period and in accordance with DOI/FWS financial and reporting procedures.

POST ASSISTANCE REQUIREMENTS:

Reports: Progress and final reports are due in accordance with terms and conditions of the award.

Audits: In accordance with the provisions of OMB Circular No. A- 133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal Awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records: Records for grants awarded to State and Local Governments will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Records for grants awarded to institutions of higher education and other nonprofit organizations will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

FINANCIAL INFORMATION:

Account Identification: 14-5199-0-2-303.

Obligations: (Grants) FY 01 \$725,000; FY 02 est \$970,000; and FY 03 est \$920,000.

Range and Average of Financial Assistance: Variable amounts. Due to the limited funding available and the desire to support diverse projects, preference will be given to proposals requesting \$30,000 or less but higher amounts may be requested.

PROGRAM ACCOMPLISHMENTS: During 2001, the Service awarded 23 grants in 10 range countries.

REGULATIONS, GUIDELINES, AND LITERATURE: Rhinoceros and Tiger Conservation Act of 1994, Public Law 103-391, 108 Stat. 4094 et seq., 16 U.S.C. 5301 et seq., as amended by the Rhino and Tiger Product Labeling Act of 1998, Public Law 105-312, 112 Stat. 2956 et seq., 16 U.S.C. 5301 et seq.

INFORMATION CONTACTS:

Regional or Local Office: Not applicable.

Headquarters Office: U.S. Fish and Wildlife Service, U.S. Department of the Interior. Contact: Chief, Division of International Conservation, 4401 North Fairfax Drive, ARLSQ 730, Arlington, Virginia 22203. Telephone: (703) 358-1754, fax (703) 358-2849.

Web Site Address: <http://international.fws.gov/grants/grants.html>

RELATED PROGRAMS: 15.620, African Elephant Conservation; 15.621, Asian Elephant Conservation; 15.629, Great Apes Conservation.

EXAMPLES OF FUNDED PROJECTS: Projects generally strengthen habitat and ecosystem management, help create protected areas, assist local communities in buffer zones surrounding rhino and tiger habitat, and support monitoring and law enforcement activities. For example, a grant of \$32,400 was awarded to the Asian Rhino Specialist Group to establish and operate three rhino protection units for one year in Way Kambas National Park, Sumatra, for the protection of the Sumatran rhino population of the park.

CRITERIA FOR SELECTING PROPOSALS: Proposals will be reviewed and evaluated for scope, significance to rhinoceros and tiger conservation, feasibility and cost-effectiveness.

Department of Labor

17.245 Trade Adjustment Assistance Workers

FEDERAL AGENCY: EMPLOYMENT AND TRAINING ADMINISTRATION, DEPARTMENT OF LABOR

AUTHORIZATION: Trade Act of 1974, Title II, Public Law 93-618, 88 Stat. 1979, 19 U.S.C. 2271-2322, as amended; Omnibus Budget Reconciliation Act of 1981, Title XXV, Public Law 97-35, as amended; Miscellaneous Revenue Act of 1983, Public Law 97-362, as amended; Amendment to the International Coffee Agreement Act of 1980, Public Law 98-120, as amended; Deficit Reduction Act of 1984, Public Law 98-369, as amended, Public Law 99-190; Third Continuing Resolution for Fiscal Year 1986 Funds, as amended; Consolidated Omnibus Budget Reconciliation Act of 1985, Title XIII, Public Law 99-272; Omnibus Trade and Competitiveness Act of 1988, Title I, Public Law 100-418; North American Free Trade Agreement Implementation Act, Title V, Public Law 103-182; Consolidated Appropriations Act of 2000, Public Law 106-113.

OBJECTIVES: To provide adjustment assistance to workers adversely affected by increased imports which will assist them into suitable employment.

TYPES OF ASSISTANCE: Direct Payments with Unrestricted Use; Provision of Specialized Services.

USES AND USE RESTRICTIONS: State Employment Security Agencies (SESA's) serve as agents of the United States for administering the worker adjustment assistance benefit provisions of the Trade Act. SESA's, through the local offices, provide testing, counseling, and job placement services; job search and relocation assistance; training; and payment of weekly trade readjustment allowances (TRA). State unemployment compensation and extended benefits must be exhausted before TRA is paid to eligible claimants. Trade impacted workers are eligible to receive job search and relocation allowances in addition to the costs of training. They may be paid subsistence and transportation allowances to attend approved training outside the normal commuting distance of a worker's regular place of residence.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: I. Petitions for trade adjustment assistance must be filed with the Secretary of Labor by a group of three or more workers or by their certified or recognized union or by an official of the workers' firm. Notice of such filing shall be promptly published in the Federal Register. Within 10 days after publication, the petitioner or any other person found by the Secretary to have a substantial interest may request a hearing and be afforded the opportunity to be present, to present evidence, and to be heard. The Secretary shall determine whether the petitioning group meets the requirements and may issue a certification of eligibility to apply for adjustment assistance. For workers to be eligible to apply for adjustment assistance, the Department of Labor must determine that: 1) A significant number or proportion of workers in such workers' firm, or subdivision of the firm, have become totally or partially separated, or are threatened to become totally or partially separated; 2) sales or production, or both, of such firm have decreased absolutely; and 3) increases of imports like or directly competitive with articles produced by such workers' firm were an important cause (increased imports must contribute importantly but not necessarily more importantly than any other cause) of such total or partial separation, or threat thereof and to such decline in sales or production. II. Petitions for North American Free Trade Agreement Transitional Adjustment Assistance (NAFTA-TAA) must be filed with the Governor, or the Governor's designated representative, of the State where the petitioners' firm is located by a group of three or more workers (including workers in any agricultural firm or subdivision of an agricultural firm), a union representative, a company official, or a duly authorized representative, including community-based organizations. NAFTA-TAA petitioners are afforded the same rights as TAA petitioners to request a hearing to present evidence relevant to their petition. Upon receipt of a NAFTA-TAA petition, the Governor shall notify the Secretary that the State has received the petition. Within ten (10) days, the Governor will transmit a preliminary finding to the Secretary as to whether the petition meets the criteria that: 1) employment at the petitioners' firm has declined; 2) sales or production has declined absolutely; and either 3) imports of like or directly competitive articles from Mexico or Canada have increased, or 4) there has been a shift in production of articles from the workers' firm or subdivision to Mexico or Canada. Within 30 days from the receipt of the preliminary finding, the Secretary shall determine whether increased imports contributed importantly to worker separa

tions and issue a determination as to whether the petitioners meet the group eligibility requirements for NAFTA-TAA.

Beneficiary Eligibility: A TAA beneficiary must: 1) be found by the Labor Department to have been adversely affected by increased imports, 2) be certified by the Secretary of Labor as eligible to apply for adjustment assistance, and 3) meet the following individual requirements: 1) his or her unemployment or underemployment must have begun on or after the impact date specified in the Secretary's certification as the beginning of the import-impacted unemployment or underemployment; 2) his or her unemployment must begin before the expiration of the 2-year period beginning on the date on which the Secretary issued the certification for his or her group or before the termination date, if any, specified in the certification. In addition, to be eligible for weekly trade readjustment allowance (TRA) payments her or she must: 1) have been employed with wages at a minimum of \$30 per week by the import-affected firm for a least 26 of the previous 52 weeks including the week of total layoff (up to 7 weeks of employer-authorized leave may be counted as qualifying weeks of employment or up to 26 weeks of disability compensation); and 2) be enrolled in or have completed an approved job training program, unless the determination is made that training is either not feasible or not appropriate, in which case a waiver of the training requirement may be issued. II. Individual eligibility requirements for NAFTA-TAA are similar to the TAA requirements described, except that when authorized payment of TRA, the State cannot issue a waiver of the training requirement if the State finds that training is not feasible or appropriate. To receive TRA, the claimant must be enrolled in an approved training program within 6 weeks of the Secretary's issuance of the certification or within 16 weeks of the worker's most recent qualifying separation, whichever is later.

Credentials/Documentation: None.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: None. This program is excluded from coverage under E.O. 12372.

Application Procedure: To apply for TAA under the Trade Act of 1974, as amended, a "Petition for Trade Adjustment Assistance" must be filed directly with the Division of Trade Adjustment Assistance at the address listed below. To apply for NAFTA-TAA under the Trade Act of 1974, as amended, a "Petition of NAFTA Transitional Adjustment Assistance" must be filed directly with the State official or organization designated by the Governor of the State in which the workers' firm or subdivision is located. Petitioners may file petitions for both programs if they wish. Copies of the petition forms, in both English and Spanish, are available through the State Employment Security Agencies or from the headquarter Division listed below. The Petition forms may also be downloaded from our web-site at: <http://www.doleta.gov/>.

Award Procedure: A Certification of Eligibility to apply for adjustment assistance may be issued by the Secretary of Labor to groups

Deadlines: None.

Range of Approval/Disapproval Time: I. For the regular TAA program, eligibility investigations begun by the receipt of a valid petition are completed, and a determination issued, within 60 calendar days of the receipt of the petition. II. For NAFTA-TAA, the determination is issued within 40 days after receipt of the petition by the Governor's agent: 10 days for processing by the State and an additional 30 days for the Department of Labor.

Appeals: There are two courses of appeal, one administrative and the other judicial. Petitioners aggrieved by a final determination by the Secretary may, within 30 days after notice of such determination is published in the Federal Register, file an application for reconsideration with the Division of Trade Adjustment Assistance. If the determination following reconsideration is negative, they may, within 60 days after notice of the negative determination upon reconsideration, file a petition for review of such determination with the United States Court of International Trade in New York City. Aggrieved petitioners may request judicial appeal without first seeking administrative reconsideration, within 60 days after notice of such determination is published in the Federal Register.

Renewals: None.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Not applicable.

Length and Time Phasing of Assistance: Cash trade readjustment allowances (TRA) become payable only after workers have exhausted their entitlement to State unemployment compensation including extended benefits. The maximum number of weeks of State unemployment compensation, extended benefits and trade readjustment allowances cannot exceed 52 weeks except that up to 26 additional weeks may be paid to workers while participating in approved training.

POST ASSISTANCE REQUIREMENTS:

Reports: Not applicable.

Audits: Not applicable.

Records: Not applicable.

FINANCIAL INFORMATION:

Account Identification: 16-0326-0-1-999.

Obligations: (TRA Payments) FY 01 \$278,807,000; FY 02 and FY 03 estimates not available. (Reemployment Services—training, job search and relocation obligation and related administrative costs): FY 01 \$127,410,000; FY 02 and FY 03 estimates Not available.

Range and Average of Financial Assistance: Weekly allowance payments are the same as the amount of weekly State unemployment compensation.

PROGRAM ACCOMPLISHMENTS: From April 1975 through September 2001, the Department of Labor issued certifications in 23,944 cases involving 3,218,861 workers. (These figures are continuously revised to account for actual experience and include both TAA and NAFTA-TAA.)

REGULATIONS, GUIDELINES, AND LITERATURE: Regulations at 29 CFR 90, Certification of Eligibility to Apply for Worker Adjustment Assistance, and 20 CFR 617, Trade Adjustment Assistance for Workers.

INFORMATION CONTACTS:

Regional or Local Office: Regional offices of the Employment and Training Administration, Department of Labor listed in Appendix IV, and local offices of affiliated State Employment Security Agencies.

Headquarters Office: Edward A. Tomchick, Director, Division of Trade Adjustment Assistance, Employment and Training Administration, Department of Labor, 200 Constitution Avenue, NW., Room C-5311, Washington, DC 20210. Telephone: (202) 693-3560.

Web Site Address: <http://www.doleta.gov>

RELATED PROGRAMS: None.

EXAMPLES OF FUNDED PROJECTS: Not applicable.

CRITERIA FOR SELECTING PROPOSALS: Not applicable.

Department of State

19.403 American Council of Young Political Leaders (ACYPL)

FEDERAL AGENCY: BUREAU OF EDUCATIONAL AND CULTURAL AFFAIRS, DEPARTMENT OF STATE

AUTHORIZATION: Public Law 87-256, as amended, 22 U.S.C. 2451 et seq.

OBJECTIVES: To enable emerging political leaders in the U.S. to experience firsthand the political and cultural dynamics of other countries; to offer politically active young people in other countries a similar experience in the United States.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Grant supports partial costs of travel, insurance, program activities, and orientation. Program alumni participate in arranging programming for visiting delegations.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Private nonprofit institution/organization. The ACYPL conducts two-way exchanges of young political leaders with many countries. The programs are generally for 2 weeks.

Beneficiary Eligibility: Individuals who participate in the program will benefit.

Credentials/Documentation: American participant nominations are solicited annually from State legislative leaders, governors, political party officials, alumni, ACYPL members/trustees, and co-sponsors. Selection is made by a Delegate Selection Committee with Democratic and Republican co-chairs. Delegates must be under age 41 and generally include State and local elected officials as well as staff and party activists. The objective is political, geographic, ethnic/racial, and gender diversity that reflects the pluralistic nature of American society. Foreign delegates are selected by ACYPL partner organizations in conjunction with American Embassy officers.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure: The State Department solicits a proposal from ACYPL annually based on the level of appropriated funds available. A portion of the funding may be competed from one year to the next.

Award Procedure: Funding decisions are at the discretion of the Assistant Secretary for Educational and Cultural Affairs and based on the advice of a panel of Bureau reviewers and various State offices. Final technical authority resides with the Grants Division.

Deadlines: The deadline for submission of a proposal is established in the request for proposals or letter of solicitation.

Range of Approval/Disapproval Time: Decisions are generally made within 3 months of the submission of proposals, subject to the availability and timing of funding.

Appeals: None.

Renewals: At the Bureau's discretion, in a given year ACYPL may be invited to submit a renewal proposal.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: ACYPL raises 50 percent of its operating budget from sources other than the government.

Length and Time Phasing of Assistance: The grant is generally awarded for a 12-month period.

POST ASSISTANCE REQUIREMENTS:

Reports: Quarterly program and financial reports are required.

Audits: All ECA grants are subject to OMB Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records: As prescribed by grant terms.

FINANCIAL INFORMATION:

Account Identification: 19-0201-0-1-154.

Obligations: (Grants) FY 01 \$699,000; FY 02 est \$734,000; and FY 03 est \$734,000.

Range and Average of Financial Assistance: One grant per year.

PROGRAM ACCOMPLISHMENTS: ACYPL has maintained bilateral exchanges over the course of its existence with 70 countries. A project involving young political leaders from the Palestinian Authority was implemented in 2001.

REGULATIONS, GUIDELINES, AND LITERATURE: Guidelines for grants are distributed in conjunction with the request for proposals/letter of solicitation; OMB Circulars.

INFORMATION CONTACTS:

Regional or Local Office: American Council of Young Political Leaders, 1612 K Street, NW., Suite 300, Washington, DC 20006. Telephone: (202) 857-0999.

Headquarters Office: Shalita Jones, Youth Programs Division (ECA/PE/C/PY), Office of Citizen Exchanges, Bureau of Educational and Cultural Affairs, 301 4th Street, SW., Washington, DC 20547. Telephone: (202) 619-6299. Fax: (202) 619-5311.

Web Site Address: <http://exchanges.state.gov>

RELATED PROGRAMS: 19.415, Professional Exchanges_Annual Open Grant.

EXAMPLES OF FUNDED PROJECTS: ACYPL conducts mainly bilateral delegation projects (e.g., US to Indonesia, Moroccans to the US), generally 2 weeks in length.

CRITERIA FOR SELECTING PROPOSALS: The criteria for judging proposals include quality of the proposed activities and planning, track record, institutional capacity to take on additional work, cost-effectiveness and cost-sharing, attention to diversity goals, value to U.S. foreign policy objectives, and the quality of the evaluation plan.

Department of State

19.406 College and University Affiliations Program

FEDERAL AGENCY: BUREAU OF EDUCATIONAL AND CULTURAL AFFAIRS, DEPARTMENT OF STATE

AUTHORIZATION: Public Law 87-256, as amended; 22 U.S.C. 2451, et seq.

OBJECTIVES: To foster sustainable linkages between U.S. and foreign academic institutions, which advance specific U.S. foreign policies to contribute to a more secure and prosperous world.

TYPES OF ASSISTANCE: None.

USES AND USE RESTRICTIONS: Funds may be used to defray costs for travel and per diem. There is a modest allowance for educational materials, communications and administrative expenses. Participating institutions are required to maintain faculty and staff on full salary and benefits during the exchange.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Post-secondary, degree-granting institutions; eligible countries and themes are specified in annual grant competitions.

Beneficiary Eligibility: U.S. post-secondary, degree institutions; recognized, degree-granting foreign institutions.

Credentials/Documentation: Post-secondary, degree-granting institutions; eligible countries and themes are specified in any grant competitions.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure: Applicants should send a message via Internet to: affiliat@usia.gov—or call the U.S. Department of State, Bureau of Educational and Cultural Affairs “Grants Information Fax on Demand System” on (202)619-5289 to request a Solicitation Package, outlining the requirements and guidelines for preparing proposals.

Award Procedure: Proposals are reviewed by the Bureau and independent academic peer panels. Funding decisions are at the discretion of the U.S. Department of State Assistant Secretary for Educational and Cultural Affairs.

Deadlines: The competition is announced each year in the Federal Register, usually with a late Fall deadline for proposal submission.

Range of Approval/Disapproval Time: Variable.

Appeals: None.

Renewals: Extensions possible.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Cost-sharing, which may be in the form of allowable direct or indirect costs, is required.

Length and Time Phasing of Assistance: Three academic years.

POST ASSISTANCE REQUIREMENTS:

Reports: A planning report, annual progress reports, and a final report by the U.S. partner institution is required.

Audits: In accordance with the provisions of OMB Circular No. A- 133 (Revised, June 24, 1997), “Audits of States, Local Governments, and Non-Profit Organizations,” nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records: As prescribed by grant terms.

FINANCIAL INFORMATION:

Account Identification: 19-0201-0-1-154.

Obligations: (Grants) FY 00 \$1,423,328; FY 01 est \$2,000,000; and FY 02 est \$2,000,000.

Range and Average of Financial Assistance: \$90,000 to \$150,000.

PROGRAM ACCOMPLISHMENTS: In fiscal year 2000, 11 grants were awarded.

REGULATIONS, GUIDELINES, AND LITERATURE: Annual announcement, Federal Register: College and University Affiliations Program Request for Proposals.

INFORMATION CONTACTS:

Regional or Local Office: None.

Headquarters Office: Office of Global Educational Programs, Humphrey Fellowships and Institutional Linkages Branch, College and University Affiliations Program, Room 349, Attn: Paul Hiemstra, U.S. Department of State, 301 4th Street, SW., Washington, DC 20547. Telephone: (202) 619-5289. Fax: (202) 401-1433.

Web Site Address: <http://exchanges.state.gov>

RELATED PROGRAMS: None.

EXAMPLES OF FUNDED PROJECTS: Not applicable.

CRITERIA FOR SELECTING PROPOSALS: Proposals are evaluated for academic quality, depth, and feasibility, and must be outcome-oriented.

Department of State

19.405 College and University Partnerships Program

FEDERAL AGENCY: BUREAU OF EDUCATIONAL AND CULTURAL AFFAIRS, DEPARTMENT OF STATE

AUTHORIZATION: Public Law 87-256, as amended; 22 U.S.C. 2451, et seq.

OBJECTIVES: To foster sustainable linkages between U.S. academic institutions and academic institutions from Eurasia which support the objectives of the Freedom Support Act by assisting the transition of Armenia, Azerbaijan, Belarus, Georgia, Kazakhstan, Kyrgyzstan, Moldova, Russia, Tajikistan, Turkmenistan, Ukraine, and Uzbekistan to democratic, free-market oriented societies.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Funds may be used to defray costs for travel and per diem. There is a modest allowance for educational materials, communications and administrative expenses. Participating institutions are required to maintain faculty and staff on full salary and benefits during the exchange. Three-year grants to U.S. colleges and universities for faculty exchange, curriculum development and collaborative research with a foreign partner institution.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Post-secondary, degree-granting institutions; eligible countries and themes are specified in annual grant competitions.

Beneficiary Eligibility: U.S. post-secondary, degree institutions; recognized, degree-granting foreign institutions.

Credentials/Documentation: Post-secondary, degree-granting institutions; eligible countries and disciplines are specified in annual grant competitions.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure: Applicants should send a message via Internet to: partnerships@pd.state.gov to request a Solicitation Package, outlining agency requirements and guidelines for preparing proposals.

Award Procedure: Proposals are reviewed by the Bureau and independent academic peer panels. Funding decisions are at the discretion of the Assistant Secretary for Educational and Cultural Affairs. Request for Grant Proposals appear on the agency web page: <http://exchanges.state.gov>. Grants are awarded through an annual competition announced in the Federal Register.

Deadlines: Pending funding availability, the Bureau's competition is announced each year in the Federal Register, usually with a winter deadline for proposal submission. On occasion, multiple competitions may be announced in a single year.

Range of Approval/Disapproval Time: Variable.

Appeals: None.

Renewals: Extensions possible.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Cost sharing, which may be in the form of allowable direct or indirect costs, is required.

Length and Time Phasing of Assistance: Three academic years.

POST ASSISTANCE REQUIREMENTS:

Reports: A planning report and annual progress reports by U.S. partner institutions are required.

Audits: In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Non-Profit Organizations," non federal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records: As prescribed by grant terms.

FINANCIAL INFORMATION:

Account Identification: 19-0201-0-1-154.

Obligations: (Grants) FY 01 \$6,100,000; FY 02 est \$6,500,000; and FY 03 est \$6,500,000.

Range and Average of Financial Assistance: \$100,000 to \$300,000.

PROGRAM ACCOMPLISHMENTS: In fiscal year 2001, 20 grants were awarded.

REGULATIONS, GUIDELINES, AND LITERATURE: Annual announcement, Federal Register: Freedom Support Educational Partnerships Program Request for Proposals.

INFORMATION CONTACTS:

Regional or Local Office: None.

Headquarters Office: Office of Global Educational Programs, Room 349, Attn: Jonathan Cebra, Department of State, 301 4th St., SW., Washington, DC 20547. Telephone: (202) 619-5289. Fax: (202) 401-1433. E-mail: partnerships@pd.state.gov.

Web Site Address: <http://exchanges.state.gov/education/niscupp>

RELATED PROGRAMS: 19.406, College and University Affiliations Program.

EXAMPLES OF FUNDED PROJECTS: Public Finance Consortium (<http://www.unm.edu/~pubfin/pfckaz.html>); Educational Reform Partnership (<http://russia.soe.ecu.edu/>); Russian Rule of Law (<http://www.unh.edu/cie/vologda/rllaw.html>); Teaching and Outreach in Business (<http://mordor.ccssu.crimea.ua/project/niscupp/eng/>); Law Curriculum Reform (<http://www.law.uoregon.edu/programs/orelviv/>); International American Studies (<http://www.wsu.edu/~forrest/UkraineProgram/index.htm>)

CRITERIA FOR SELECTING PROPOSALS: Proposals are evaluated for academic quality, depth, and feasibility, and must be outcome-oriented.

Department of State

19.413 Cultural Exchange (Performing Arts)

Fund for U.S. Artists at International Festivals and Exhibitions

FEDERAL AGENCY: BUREAU OF EDUCATIONAL AND CULTURAL AFFAIRS, DEPARTMENT OF STATE

AUTHORIZATION: Public Law 87-256, as amended, 22 U.S.C. 2451 et seq.

OBJECTIVES: To help ensure that the excellence, diversity and vitality of the arts in the United States are represented at international festivals.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: The Fund makes grants to individual performing artists and organizations that have been invited to participate in international festivals around the world. The fund also aims to support the creative and professional development of U.S. artists through the presentation of their work at significant international festivals worldwide.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: (A) Must be performing artist(s) (including traditional artists) working at a professional level; (B) must be citizens or permanent residents of the United States; (C) the festival(s) must take place outside the U.S. and be international in scope with representation from at least two countries outside the host country or have a U.S. theme with representation from at least three U.S. performing artists or groups; (D) must have a non-U.S. based organizer as the primary sponsor or organizer; (E) must be open to the general public and reach wide public audience; (F) must provide the applicant with a letter of invitation to perform at the festival; and (D) may be single-discipline, one-time, or a first-time event.

Beneficiary Eligibility: The artist(s) must be creative, interpretive, or traditional performing artists working at a professional level and a citizen or permanent resident of the U.S.

Credentials/Documentation: The Artist(s) must be creative, interpretive, or traditional performing artists working at a professional level and a citizen or permanent resident of the U.S.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure: Artist(s), agents or presenting organizations wishing to apply to The Fund should contact Arts International for an application at: The Fund for U.S. Artists, 251 Park Avenue South, 5th Floor, New York, NY 10010.

Award Procedure: The Fund is particularly interested in supporting artist(s) invited to festivals in areas of the world where U.S. work is less frequently performed such as Africa, Asia, the Near East and Latin America.

Deadlines: January 16, May 2 and September 5.

Range of Approval/Disapproval Time: Ten weeks.

Appeals: None.

Renewals: None.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: The Fund is supported by two Federal agencies and three private foundations; the Department of State and the National Endowment for the Arts, the Rockefeller Founda-

tion, The Pew Charitable Trust and the Doris Duke Charitable Foundation. Program support is: The National Endowment: \$207,570; the Rockefeller Foundation: \$250,080; The Pew Charitable Trusts: \$200,000; the Doris Duke Charitable Foundation: \$50,000; and the Department of State: \$136,250; for a total of \$843,900, in annual grant support.

Length and Time Phasing of Assistance: Variable.

POST ASSISTANCE REQUIREMENTS:

Reports: Reports are required from all grantees of the Fund within 30 days after artists return from a festival.

Audits: In accordance with the provisions of OMB Circular No. A-133, (Revised, June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records: As prescribed by grant terms.

FINANCIAL INFORMATION:

Account Identification: 19-0201-0-1-154.

Obligations: (Grants) FY 01 \$843,900; FY 02 est \$893,900; and FY 03 est \$893,900.

Range and Average of Financial Assistance: The applicant must provide evidence of reasonable financial support from the festival in relation to the local economic situation and comparable to what is provided to artists from other countries. Grants to organizations will seldom cover the applicant's total expenses and generally range from \$2,000 to \$10,000; they will not exceed \$25,000.

PROGRAM ACCOMPLISHMENTS: The Fund is expected to award approximately 105 grants to artists and/or arts organizations for their participation in international festivals in fiscal year 2003.

REGULATIONS, GUIDELINES, AND LITERATURE: Performing Arts Guidelines and application issued by The Fund for U.S. Artists at International Festivals and Exhibitions, 251 Park Avenue South, 5th Floor, New York, NY 10010. Telephone: 212-674-9744. Fax: 212-674-9092. Web address: www.artsinternational.org.

INFORMATION CONTACTS:

Regional or Local Office: Not applicable.

Headquarters Office: Arts International for The Fund for U.S. Artists at International Festivals and Exhibitions, 251 Park Avenue South, 5th Floor, New York, NY 10010.

Web Site Address: <http://exchanges.state.gov>

RELATED PROGRAMS: None.

EXAMPLES OF FUNDED PROJECTS: Some examples which illustrate the scope of The Fund's activities in FY 01 include: Jazz saxophonist Kenny Garrett at the Jazz Yatra International Festival, India; The Los Angeles Opera at the Savonlinna Opera Festival in Finland; Philadanco at the Incheon World Dance Festival, Korea; Yara Arts Group at the 10th Berezillia Arts Festival, Ukraine.

CRITERIA FOR SELECTING PROPOSALS: Application will be judged by the panel according to the following criteria: (A) artistic quality; (B) significance of the applicant’s presence at the festival as it relates to the artists’ creative and/or professional development; significance of the festival; (D) evidence of reasonable financial support from the festival.

Department of State

19.410 Educational Exchange_Congress-Bundestag Youth Exchange

FEDERAL AGENCY: BUREAU OF EDUCATIONAL AND CULTURAL AFFAIRS, DEPARTMENT OF STATE

AUTHORIZATION: Public Law 87-256, as amended, 22 U.S.C. 2451, et seq.

OBJECTIVES: Established in 1983 to honor 300 years of German settlements in America. Goals are: To foster interaction between young people from the U.S. and Germany to promote mutual understanding; to foster close ties between Germany and the US.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: CBYX is a reciprocal exchange of U.S. and German young people, three quarters of whom are high school students and one quarter are practical trainees. Annual grants are awarded to American private nonprofit organizations for administering the CBYX American selection process and for placement and monitoring of participating students. The German Government provides grants simultaneously to the German partners of the American organizations. Grants support the costs of travel, insurance, enhancement activities, orientation, selection, and administration.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Organizations must be incorporated not-for-profit and have 4 years of experience in exchanges to qualify for grants of more than \$60,000. For the grants to place and monitor year program participants, experience conducting academic year high school exchanges is required. Each U.S. organization must have a German partner that meets the German eligibility requirements. The organization providing overall administration and recruitment/selection must have experience administering a national competition.

Beneficiary Eligibility: There are certain selection criteria (e.g., age, language ability, social skills, academic achievement) for American applicants. Similar criteria are applied by the German side in its selection, with the added layer of the recommendation of an individual Bundestag member.

Credentials/Documentation: Applicants for participation in the exchange are required to have proof of citizenship and proof of academic standing. They fill out application forms and submit to interviews during semi-finalist screening.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure: An open competition for all components is conducted every 4 years; for placement grants, either an open competition or a limited solicitation is used. For open competitions, the request for proposals is published in the Federal Register.

Award Procedure: Funding decisions are at the discretion of the Assistant Secretary for Educational and Cultural Affairs and are based on the advice of a panel of State reviewers and various offices. Final technical authority resides with the Grants Division.

Deadlines: Deadlines for submission of proposals are established in the request for proposals or letters of solicitation. Most solicitations are offered in the fall with proposals due by the end of the calendar year. The open competition for the 4-year cycle commencing in 2001 was announced on August 5, 1999. The deadline for proposals was September 17, 1999. Organizations were selected for grant awards in November 1999.

Range of Approval/Disapproval Time: Decisions are generally made within 3 months of the submission of proposals, subject to the availability and timing of funding.

Appeals: None.

Renewals: Grantee organizations are eligible for renewal grants in the years between open competitions, providing they maintain a good track record. Renewal grants are being offered in February 2002.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Some cost-sharing is desirable but there is no minimum percentage.

Length and Time Phasing of Assistance: Grants are generally awarded for an 18-month period.

POST ASSISTANCE REQUIREMENTS:

Reports: Quarterly program and financial reports are required.

Audits: In accordance with the provisions of OMB Circular No. A- 133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Non-Profit Organizations," non federal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records: As prescribed by grant terms.

FINANCIAL INFORMATION:

Account Identification: 19-0201-0-1-154.

Obligations: (Grants) FY 01 \$2,857,000; FY 02 est \$2,908,000; and FY 03 est \$3,100,000.

Range and Average of Financial Assistance: Grants for the high school student component are each for 60 U.S. and 60 German students. The young professionals component is for 60 U.S. and 100 German participants. The vocational students component is for 20 participants.

PROGRAM ACCOMPLISHMENTS: There were 380 Americans and 400 Germans selected for participation in the 2001-2002 program.

REGULATIONS, GUIDELINES, AND LITERATURE: State regulations governing exchange visitor (J-1) programs for high school students. Guidelines for grants are distributed in conjunction with the request for proposals/letter of solicitation.

INFORMATION CONTACTS:

Regional or Local Office: The high school component is administered by five nonprofit organizations. The Young Professionals Component is administered by CDS International, 330 Seventh Ave., New York, NY 10001. Telephone: (212) 497-3509. The vocational component is administered by Nacel/Open Door, 3410 Federal Drive, Suite 101, St. Paul, MN 55122. Telephone: (651) 686-0080.

Headquarters Office: Youth Programs Division (ECA/PE/C/PY), Office of Citizen Exchanges, Bureau of Educational and Cultural Affairs, 301 4th Street SW., Washington DC 20547. Contact: Shalita Jones. Telephone: (202) 619-6299. Fax: (202) 619-5311.

Web Site Address: <http://usagermanyscholarships.org>

RELATED PROGRAMS: 19.411, Educational Exchange_NIS Secondary School Initiative.

EXAMPLES OF FUNDED PROJECTS: A list of grantee organizations is available from the State Department program office.

CRITERIA FOR SELECTING PROPOSALS: Programmatic planning, objectives, and quality; organization capacity and track record; support for diversity; cost-effectiveness; project evaluation plan; value to US-partner country relations; multiplier effect.

Department of State

19.418 Educational Exchange_Fulbright American Studies Institutes

Study of the U.S. Program_Office of Academic Exchange Programs

FEDERAL AGENCY: BUREAU OF EDUCATIONAL AND CULTURAL AFFAIRS, DEPARTMENT OF STATE

AUTHORIZATION: Public Law 87-256, as amended, 22 U.S.C. 2451.

OBJECTIVES: To provide participants with a deeper understanding of American society, culture and institutions, past and present, in order to improve courses and teaching about the United States abroad.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Through an integrated series of lectures, readings, interactive discussions, research and independent study opportunities, and site visits, the 6-week graduate-level American Studies Institutes provide participants with a multi-dimensional view of the U.S. Host institutions are responsible for institute design and implementation, and all logistical aspects of the program, including: lodging and subsistence; books and materials; allowances; and transportation.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Accredited colleges, universities, consortia of colleges and universities, and other not-for-profit academic organizations that have an established reputation in American studies and related disciplines, and that can demonstrate expertise in conducting post-graduate level programs for foreign educators, are eligible to apply. Applicant institutions must have a minimum of four years' experience in conducting international exchange programs. Proposals from consortia may be submitted by a member institution with documented authority to represent all members. The project director or one of the key program staff responsible for the academic program must have an advanced degree in American studies or a related discipline.

Beneficiary Eligibility: Participants should be highly-motivated and experienced foreign university faculty, including: teachers; teacher trainers; administrators; department chairs; and, curriculum developers and textbook writers. They will have demonstrated an ability and desire to include aspects of American civilization in their teaching and professional work in their home countries. Participants must be nominated by a U.S. Embassy or Consulate, or by a Fulbright Commission.

Credentials/Documentation: See eligibility requirements above.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure: Initial proposals are generally solicited through open competition, and only occasionally through sole-source or limited solicitations.

Award Procedure: Proposals are analyzed by the Study of the U.S. Branch, and are reviewed by an impartial panel of senior Department of State officers. Final funding decisions are at the discretion of the Assistant Secretary of State for Public Diplomacy at the U.S. Department of State. Final technical authority rests with the Grants Division of the Office of the Executive Director.

Deadlines: Deadline dates are specified in the requests for proposals (RFP's) or in the letter of solicitation.

Range of Approval/Disapproval Time: Grant decisions are usually complete within three months of the due date of the proposals.

Appeals: Not applicable.

Renewals: Based on host institution performance and demand for the program among the overseas audience, applicants may be asked to submit renewal proposals for up to two years following the initial award.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Cost-sharing is strongly encouraged, although there is no minimum requirement.

Length and Time Phasing of Assistance: Cooperative agreements are usually for periods of 6 to 8 months.

POST ASSISTANCE REQUIREMENTS:

Reports: Interim and final program and budget reports are required.

Audits: In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organizations," non federal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records: Not applicable.

FINANCIAL INFORMATION:

Account Identification: 19-0201-0-1-154.

Obligations: (Grants) FY 01 \$2,200,000; FY 02 est \$2,200,000; and FY 03 est \$2,200,000.

Range and Average of Financial Assistance: \$182,000 to \$255,000. Average: \$182,000.

PROGRAM ACCOMPLISHMENTS: In fiscal year 2002, ten cooperative agreements were awarded to U.S. educational institutions, which hosted over 200 foreign American studies faculty, teacher trainers, textbook writers, curriculum developers and government officials.

REGULATIONS, GUIDELINES, AND LITERATURE: Calls for proposals, including guidelines, are published in the Federal Register, the Chronicle of Higher Education, and posted on the internet.

INFORMATION CONTACTS:

Regional or Local Office: None.

Headquarters Office: Department of State, ECA/A/E/USS - Study of the U.S. Branch, Attention: William Bate, Room 252 - State Annex 44, 301 4th Street, SW., Washington, DC, 20547. Telephone: 202-619-4562. FAX: 202-619-6790. E-mail: wbate@pd.state.gov.

Web Site Address: <http://exchanges.state.gov/education/amstudy>

RELATED PROGRAMS: None.

EXAMPLES OF FUNDED PROJECTS: Fulbright American Studies Institute on the U.S. Constitution at Lafayette College; Fulbright American Studies Institute on the U.S. Political System at Southern Illinois University at Carbondale; Fulbright American Studies Institute on the Civilization of the U.S. at New York University.

CRITERIA FOR SELECTING PROPOSALS: Overall quality; program planning; institutional capacity; diversity; experience with foreign educators; evaluation and follow-up; administration and management; and, cost effectiveness.

Department of State

19.400 Educational Exchange Graduate Students (Fulbright Program)

FEDERAL AGENCY: BUREAU OF EDUCATIONAL AND CULTURAL AFFAIRS, DEPARTMENT OF STATE

AUTHORIZATION: Public Law 87-256, as amended, 22 U.S.C. 2451 et seq.

OBJECTIVES: To improve and strengthen international relations of the United States by promoting better mutual understanding among the peoples of the world through educational exchanges.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: The grants are designed to give U.S. students the opportunity to live and study in a foreign country for one academic year with possible renewals or extensions. Grants specify terms and conditions to meet the educational goals proposed by the grantee and approved by the Board of Foreign Scholarships in making the award. Full grants: Round trip transportation, tuition, books, maintenance for one academic year in one country, and health and accident insurance. Travel grants: The grants supplement maintenance and tuition scholarships granted to American students by universities, private donors, and foreign governments, which do not cover the cost of transportation.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: To individuals with the following qualifications: (a) U.S. citizenship at the time of application; (b) with certain exceptions, B.A. degree or its equivalent before the beginning date of the grant; (c) candidates may not hold a doctoral degree at the time of application; (d) applicants must have received the majority of their high school and their undergraduate college education at educational institutions in the United States; (e) language proficiency sufficient to communicate with the people of the host country and to carry out the proposed study; (f) language proficiency is especially important for students wishing to undertake projects in the social sciences and the humanities; and (g) good health.

Beneficiary Eligibility: U.S. citizens who are graduate students and who want to live and study in a foreign country for one academic year.

Credentials/Documentation: See Applicant Eligibility.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure: Applicants who are enrolled in U.S. colleges and universities should contact their Fulbright program advisers on campus. Others should write to the nearest office of the Institute of International Education (IIE): 809 United Nations Plaza, New York, NY 10017. Regional Offices: 401 North Wabash Avenue, Suite 722, Chicago, IL 60611; 515 Post Oak Boulevard, Suite 150, Houston, TX 77027; 41 Sutter Street, Suite 510, San Francisco, CA 94108; 700 Broadway, Suite 112, Denver, CO 80203.

Award Procedure: Final selection of grantees is made by the Presidentially appointed J. William Fulbright, Foreign Scholarship Board.

Deadlines: Competitions normally open on May 1. Enrolled applicants must submit applications to the campus Fulbright program adviser by the date set by him. At-large applicants must normally submit applications to the New York office of IIE by October 25. Note: Travel grants application deadline is October 25.

Range of Approval/Disapproval Time: Variable.

Appeals: None.

Renewals: Extensions possible.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: None.

Length and Time Phasing of Assistance: One academic year.

POST ASSISTANCE REQUIREMENTS:

Reports: Reports by all academic grantees are required at the end of their grants.

Audits: Not applicable.

Records: As prescribed by grant terms.

FINANCIAL INFORMATION:

Account Identification: 19-0201-0-1-154.

Obligations: (Grants) FY 01 \$17,000,000; FY 02 est \$18,750,000; and FY 03 est \$19,750,000.

Range and Average of Financial Assistance: \$1,200 to \$35,000. Average: \$21,000 in fiscal year 2001.

PROGRAM ACCOMPLISHMENTS: In fiscal year 2002, an estimated 900 grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE: Annual announcement: Grants for Graduate Study Abroad, issued by Institute of International Education, 809 United Nations Plaza, New York, NY 10017.

INFORMATION CONTACTS:

Regional or Local Office: See Application Procedure.

Headquarters Office: Institute of International Education, 809 United Nations Plaza, New York, NY 10017.

Web Site Address: <http://exchanges.state.gov>

RELATED PROGRAMS: 19.401, Educational Exchange_University Lecturers (Professors) and Research Scholars.

EXAMPLES OF FUNDED PROJECTS: Not applicable.

CRITERIA FOR SELECTING PROPOSALS: Not applicable.

Department of State

19.408 Educational Exchange Teachers from Secondary and Postsecondary Levels and School Administrators (Fulbright Program Teacher and Administrator Program)

FEDERAL AGENCY: BUREAU OF EDUCATIONAL AND CULTURAL AFFAIRS, DEPARTMENT OF STATE

AUTHORIZATION: Public Law 87-256, as amended, 22 U.S.C. 2451 et seq.

OBJECTIVES: To improve and strengthen international relations of the United States by promoting mutual understanding among the peoples of the world through educational exchanges.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: The grants are designed to give U.S. and foreign teachers and administrators the opportunity to live and teach in a foreign country for 6 weeks, a semester, or an academic year. U.S. and foreign educators, from elementary and secondary levels, 2-year colleges and 4-year institutions are eligible to apply for positions abroad. As the only direct, person-to-person Fulbright Exchange Program, participants receive leave with pay and benefits from their respective university/college/school authorities. Foreign participants whose salary is insufficient to defray their cost-of-living receive a top-off stipend. U.S. educators teach in all fields, including foreign languages. Grants specify terms and conditions to meet the goals of the program approved by the J. William Fulbright Foreign Scholarships Board (FSB) in making the award. Full grants: round trip transportation and health and accident insurance.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: (a) Hold at least a Bachelor's degree; (b) be a U.S. citizen fluent in English at the time of application; (c) have a current full-time teaching or administrative assignment in the U.S. or one of its territories; (d) be in at least the third year of full-time teaching or administration (teachers applying to the Classics Seminar only need to be in their second full-year; (e) have not participated in a Fulbright Teacher Exchange longer than 8 weeks in the last 2 years (there is no required waiting period for teachers who have participated in exchanges of 8 weeks or less).

Beneficiary Eligibility: U.S. and foreign teachers and administrators who want to live and study in a foreign country for 6 weeks, a semester, or an academic year.

Credentials/Documentation: Documentation of educational qualifications and language proficiency may be required.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure: U.S. educators apply through a nation-wide open competition. Seventy Peer Review Committees screen their applications and conduct personal interviews. Foreign educators are screened and nominated by either U.S. Embassies, by Fulbright Commissions, or counterpart agencies. The J. William Fulbright Foreign Scholarship Board gives final approval. The Office of Global Educational Programs and counterpart staff abroad arrange the pairing of U.S. and foreign educators, implement two-way exchanges or one-way placements and supervise the foreign educators while on assignment.

Award Procedure: Final selection of grantees is made by the Presidentially appointed J. William Fulbright Foreign Scholarship Board.

Deadlines: Outreach and recruitment efforts are ongoing all year. Applications are available in March. Deadline for submission is October 15, 2002. The application is available on the internet: www.grad.usda.gov/info_for/fulbright.cfm. Fulbright Abroad—Personal Accounts of Global Experiences: www.exchanges.state.gov/fulbrightersabroad.

Range of Approval/Disapproval Time: Variable.

Appeals: None.

Renewals: Extensions are considered on exceptional occasions.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: None.

Length and Time Phasing of Assistance: Six weeks, a semester, or an academic year.

POST ASSISTANCE REQUIREMENTS:

Reports: Reports by all academic grantees are required at the end of their grants.

Audits: Not applicable.

Records: As prescribed by grant terms.

FINANCIAL INFORMATION:

Account Identification: 19-0201-0-1-154.

Obligations: (Grants) FY 01 \$3,190,000; FY 02 est \$4,245,000 and FY 03 est \$4,245,000.

Range and Average of Financial Assistance: \$2,000 to \$24,000.

PROGRAM ACCOMPLISHMENTS: In fiscal year 2001, the Fulbright Teacher and Administrator Exchange Program awarded 530 grants. It is estimated that 530 awards will be granted for fiscal years 2002 and 2003.

REGULATIONS, GUIDELINES, AND LITERATURE: An application booklet is issued by the Office of Global Educational Programs, Fulbright Teacher and Administrator Exchange Program, 600 Maryland Avenue, SW., Washington DC, 20024. Telephone: (202) 314-3520. Fax: (202) 479-6806. E-mail: fulbright@grad.usda.gov. Website: www.grad.usda.gov/info_for/fulbright.cfm

INFORMATION CONTACTS:

Regional or Local Office: None.

Headquarters Office: Department of State, ECA/A/S/X, Fulbright Teacher and Administrator Exchange Branch, SA-44, 301 4th Street SW., Washington DC, 20547. Program Contact: Ruta Chagnon. Telephone: (202) 619-4556. Fax: (202) 401-1433. E-mail: rchagnon@pd.state.gov.

Web Site Address: http://grad.usda.gov/info_for/fulbright.cfm

RELATED PROGRAMS: None.

EXAMPLES OF FUNDED PROJECTS: Not applicable.

CRITERIA FOR SELECTING PROPOSALS: Not applicable.

Department of State

19.401 Educational Exchange_University Lecturers (Professors) and Research Scholars (Fulbright_Hays Program)

FEDERAL AGENCY: BUREAU OF EDUCATIONAL AND CULTURAL AFFAIRS, DEPARTMENT OF STATE

AUTHORIZATION: Public Law 87-256, as amended, 22 U.S.C. 2451 et seq.

OBJECTIVES: To improve and strengthen the international relations of the United States by promoting mutual understanding among the peoples of the world through educational exchanges.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Grants for professors (university lecturers) and research scholars are usually, although not always, for a full academic year, and generally tenable in one country. The lectureships permit the grantees to lecture, usually serving as visiting professors in institutions of higher learning. The research grants offer opportunities for U.S. citizens to undertake postdoctoral research at overseas universities, colleges, and certain research centers and institutes. Grants specify terms and conditions to meet the educational goals proposed by the grantee and approved by the J. William Fulbright Foreign Scholarship Board in making the award. Individual grants generally include round-trip transportation, for the grantee and in some cases for one or two dependents, a maintenance allowance payable in foreign currency where available, and an allowance for travel, books and services essential to the assignment. Where maintenance is payable in foreign currency, lecturers may also receive a supplemental dollar allowance.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: To individuals with the following qualifications: (1) U.S. citizenship at the time of application. (2) Foreign language proficiency, in some cases. (3) For lecturing: college or university teaching experience at the level for which application is made. (4) For research: a doctoral degree or, in some fields, recognized professional standing as demonstrated by faculty rank, publications, compositions, exhibition record, concerts, etc. Candidates for a doctorate and persons wishing to undertake other predoctoral professional training abroad should write to the Institute of International Education, 809 United Nations Plaza, New York, NY 10017.

Beneficiary Eligibility: U.S. citizens who are educational professionals on the post graduate level, or able to lecture or conduct research in professional fields such as law, business, journalism, etc.

Credentials/Documentation: See Applicant Eligibility.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure: Persons who meet the eligibility requirements and who wish to receive a booklet announcing openings (includes application forms) should contact the Council For International Exchange of Scholars, 3007 Tilden Street, NW., Suite 5M, Washington, DC 20008. Telephone: (202) 686-7866. In many cases this material is also available from U.S. college and university campus contacts.

Award Procedure: Final selection of grantees is made by the Presidentially appointed J. William Fulbright Foreign Scholarship Board.

Deadlines: Subject to change, but generally application are to be submitted by August 1; November 1 for a small number of specialized positions. Major deadlines can be waived under very special circumstances such as an inadequate number of sufficiently qualified candidates for specific awards. After deadlines, applicants should contact CIES to determine availability of awards.

Range of Approval/Disapproval Time: Applicants are notified promptly if they are ineligible. Final notification of selection for an opening occurs in 6 to 9 months.

Appeals: Not applicable.

Renewals: Extensions considered where appropriate.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: None.

Length and Time Phasing of Assistance: Up to 1 academic year.

POST ASSISTANCE REQUIREMENTS:

Reports: Reports by all grantees are required at the end of their grant period.

Audits: Under the total audit concept, audits will be made on an organization-wide basis (rather than grant- by-grant) under GAO guidelines, Standards for Audit of Governmental Organizations, Programs, Activities and Functions, and for programs covered by A-102, Attachment P.

Records: None.

FINANCIAL INFORMATION:

Account Identification: 19-0201-0-1-154.

Obligations: (Grants) FY 01 \$22,000,000; FY 02 est \$24,000,000; and FY 03 est \$25,000,000.

Range and Average of Financial Assistance: \$3,500 to \$80,000. Average: \$50,000 in FY 01.

PROGRAM ACCOMPLISHMENTS: In fiscal year 2002, an estimated 850 grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE: Annual announcement: Fulbright Scholar Program: Grants for Faculty and Professionals issued by Council For International Exchange of Scholars, 3007 Tilden Street, NW., Suite 5M, Washington, DC 20008.

INFORMATION CONTACTS:

Regional or Local Office: None.

Headquarters Office: Council For International Exchange of Scholars, 3007 Tilden Street, NW., Suite 5M, Washington, DC 20008. <http://exchanges.state.gov>

RELATED PROGRAMS: 19.400, Educational Exchange_Graduate Students.

EXAMPLES OF FUNDED PROJECTS: Projects were funded: 1) Giving general lectures on the major problems of Global Biogeochemistry and Global Sedimentary Geochemistry; 2) conducting a series of interviews with elderly Ukrainians in order to develop a needs survey regarding the long-term health care needs of the elderly; and 3) researching current developments in ethnic studies, or/and in interpretations of contemporary social and cultural transformations in popular culture analyses and globalization questions.

CRITERIA FOR SELECTING PROPOSALS: 1) Is proposal feasible and practical. 2) Is proposal already being done (no need for duplication). 3) Is project important to an advancement of the discipline. 4) Is proposal responsive to Fulbright priorities for collaborative and mutual understanding. 5) Is proposal a country priority. 6) Applicant's likelihood for effectiveness as a lecturer abroad.

Department of State

19.421 Exchange English Language Fellow Program (English Language Programs)

FEDERAL AGENCY: BUREAU OF EDUCATIONAL AND CULTURAL AFFAIRS, DEPARTMENT OF STATE

AUTHORIZATION: Public Law 87-256, as amended, 22 U.S.C. 2451 et seq.

OBJECTIVES: The English Language Fellow Program is a short-term program open to English language professionals at two different levels (junior and senior) to assist with the improvement of English teaching capability in selected institutions around the world, and to aid U.S. embassies overseas in their goals of promoting mutual understanding, facilitating democratic institution building and encouraging participation in the global economy. The program is funded by the Department of State, Bureau of Educational and Cultural Affairs, the State's Federal Assistance Support (FSA), the and USAID's Support for Eastern European Democracy (SEED).

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Grants are awarded to recent (junior)TEFL/TESL master's degree graduates (within the last five years) to serve as full-time teachers of English as a foreign language. They may teach up to 20 classroom hours of English per a week and also participate in or organize additional activities such as curriculum and materials development, in-service training, workshops and seminars. Experienced EFL professionals, preferably with overseas teaching experience (seniors) engage in teacher training and also participate in broad-reaching activities such as designing curricula, organizing and conducting workshops and seminars, conducting program evaluation, needs analysis and English for Specific Purposes (ESP). They are placed in host countries at local ministries of education, universities, teacher training institutions and other ESL educational institutions. Grants, specifying terms and conditions to meet the program goals are recommended by a grant review panel and approved at the Bureau of Educational and Cultural Affairs before award. Individual grants generally include basic stipend, round trip transportation, living allowance, medical insurance, miscellaneous and book allowances.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Individuals with the following qualifications: (1) U.S. citizenship at the time of application; and (2) M.A. or Ph.D. degree and experience in TESL/TEFL or a closely related field.

Beneficiary Eligibility: U.S. citizens who are willing to teach for a year according to the needs of the overseas host institution.

Credentials/Documentation: Individuals with the following qualifications: (1) U.S. citizenship at the time of application; and (2) M.A. or Ph.D. degree and experience in TESL/TEFL or a closely related field.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure: Persons who meet the eligibility requirements and who wish to receive information and an application form should contact World Learning, Inc./School for International Training, Kipling Road, P.O. Box 676, Brattleboro, VT 05302-0676. Telephone: (802) 258-3311, E-mail:elf.info@sit.edu.

Award Procedure: Final selection and approval of grantees are made by the Bureau of Educational and Cultural Affairs.

Deadlines: Subject to change, but generally applications are to be submitted by May 31. Deadlines can be waived under very special circumstances such as an inadequate number of qualified candidates for specific awards. After deadlines, applicants should contact the Bureau of Educational and Cultural Affairs to determine the availability of awards.

Range of Approval/Disapproval Time: Applicants are notified promptly if they are ineligible. Final notification of selection for an opening occurs in 3 to 6 months.

Appeals: Not applicable.

Renewals: Extensions are granted for an additional year in the same country. Extensions beyond 2 years must be requested and approved by the Bureau of Educational and Cultural Affairs.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: This program has no statutory formula.

Length and Time Phasing of Assistance: Up to 1 academic year.

POST ASSISTANCE REQUIREMENTS:

Reports: Grantee provides quarterly statistics and program reports and a final evaluation report by September 30 of the grant year.

Audits: In accordance with the provisions of OMB Circular No. A-133, (Revised, June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal Awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted in Circular No. A-133.

Records: None.

FINANCIAL INFORMATION:

Account Identification: 19-0201-0-1-154.

Obligations: (Grants) FY 01 \$3,151,000; FY 02 est \$3,290,000; and FY 03 est \$3,290,000.

Range and Average of Financial Assistance: \$35,000 to \$60,000.

PROGRAM ACCOMPLISHMENTS: In fiscal year 2001, EL Fellow Program awarded 59 grants to qualified teachers of TEFL/TESL to teach in 33 countries. In fiscal year 2002, approximately 55 grants will be awarded. This program enables grantees who teach English as a foreign/second language to acquire overseas teaching experience while providing the host institutions with professional expertise in current methods and theory of English teaching; by providing specially designed courses for targeted high level groups, such as members of parliaments, and their staff, judiciary officials, and leading finance and business officials.

REGULATIONS, GUIDELINES, AND LITERATURE: Annual announcement placed at the Employee Clearinghouse service at the annual Teachers of English to Speakers of Other Languages, Inc. Conference for recruitment of applicants for the English as a Foreign Language Fellow Program.

INFORMATION CONTACTS:

Regional or Local Office: None.

Headquarters Office: World Learning, Inc./School for International Training, Kipling Road, P.O. Box 676, Brattleboro, VT 05302-0676. Telephone: (802) 258-3311.

Web Site Address: <http://exchanges.state.gov/education/engteaching/fellows.htm>

RELATED PROGRAMS: None.

EXAMPLES OF FUNDED PROJECTS: Fellows teach English language skills/techniques, American culture, English for specific purposes in fields such as economics, banking, business/management, and law. They arrange and conduct conferences, and workshops/seminars, worked in communities assisting the local educational institutions in developing and maintaining resource centers. They also established teachers associations and organizations.

CRITERIA FOR SELECTING PROPOSALS: 1) The extent to which the proposal is feasible, practical and cost-effective; and 2) the extent to which the proposal is responsive to ESL/EFL language priorities and objectives.

Department of State

19.404 Professional Development International Educators/Administrators **(Sponsored Training Programs)**

FEDERAL AGENCY: BUREAU OF EDUCATIONAL AND CULTURAL AFFAIRS, DEPARTMENT OF STATE

AUTHORIZATION: Mutual Educational and Cultural Exchange Act of 1961, Public Law 87-256, as amended.

OBJECTIVES: To provide mutually beneficial training and consultation between overseas-based educational advisers and U.S.-based international education professionals.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Regional Linkage awards are given for overseas educational advisers to attend regional U.S. conferences on international education and consult with U.S. campus-based representatives.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Limited to the ECA core cooperating organization (NAFSA: Association of International Educators).

Beneficiary Eligibility: Professionals involved in international education administration.

Credentials/Documentation: Not applicable.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Administered by NAFSA, in cooperation with the Department of State. This program is excluded from coverage under E.O. 12372.

Application Procedure: Programs announced by NAFSA through newsletters, e-mail, websites, and other appropriate means. Applications must be submitted to NAFSA.

Award Procedure: State Department selects participants; NAFSA matches participants with host U.S. campuses.

Deadlines: Contact the Headquarters Office listed below.

Range of Approval/Disapproval Time: Not applicable.

Appeals: None.

Renewals: None.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Applicants' home and hosting institutions are expected to contribute to their participation.

Length and Time Phasing of Assistance: Approximately ten days' duration.

POST ASSISTANCE REQUIREMENTS:

Reports: Within 30 days of completion of programs.

Audits: Not applicable.

Records: As prescribed by grant terms.

FINANCIAL INFORMATION:

Account Identification: 19-0201-0-1-154.

Obligations: (Grants) FY 01 \$52,200; FY 02 est \$19,350; and FY 03 est \$50,000.

Range and Average of Financial Assistance: Average award: \$4,000.

PROGRAM ACCOMPLISHMENTS: Data not available.

REGULATIONS, GUIDELINES, AND LITERATURE: Not applicable.

INFORMATION CONTACTS:

Regional or Local Office: None.

Headquarters Office: Ms. Kim Diehl, NAFSA: Association of International Educators, 1307 New York Avenue, NW., Eighth Floor, Washington, DC 20005-4701. Telephone: (202) 737-3699. Fax: (202) 737-3657. E-Mail: KimD@NAFSA.ORG Web: <http://www.nafsa.org>. State Dept: Catherine L. Friend, ECA/A/S/A, SA#44, Washington, DC 20547. Telephone: (202) 619-5434. Fax: (202) 401-1433. E-Mail: CFRIEND@PD.STATE.GOV.

Web Site Address: <http://exchanges.state.gov>

RELATED PROGRAMS: None.

EXAMPLES OF FUNDED PROJECTS: Overseas educational advisers traveled to various regions of the U.S. to participate in a professional conference about international education and to conduct campus visits and consultations with representatives of selected U.S. colleges and universities.

CRITERIA FOR SELECTING PROPOSALS: Value of proposed exchange to participant, receiving institution, and home country audience of prospective international students; multiplier effect; cost sharing.

Department of State

19.419 Professional Development_Teacher Training

FEDERAL AGENCY: BUREAU OF EDUCATIONAL AND CULTURAL AFFAIRS, DEPARTMENT OF STATE

AUTHORIZATION: Public Law 87-256, as amended, 22 U.S.C. 2451 et seq.

OBJECTIVES: To improve and strengthen international relations of the United States by promoting better mutual understanding among the people of the United States and Republic of South Africa through teacher training.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Designed to give U.S. institutions the opportunity to host South African teachers for a period of 1 month, and for a limited number of U.S. teachers to visit and train teachers in South Africa.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Nonprofit organizations capable of developing and implementing a professional development curriculum for South African primary teacher training in the content areas of math and science.

Beneficiary Eligibility: Nonprofit organizations and local community members willing and able to host and train groups of South African primary teachers of math and science.

Credentials/Documentation: Certificate of compliance with Federal forms/accreditation (for educational institutions).

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure: Nonprofit organizations should contact the Department of State, Bureau of Educational and Cultural Affairs, Teacher Exchange Branch, Room 349, 301 4th Street SW., Washington, DC 20547.

Award Procedure: Final selection of the administering organization is made by the Department of State, Bureau of Educational and Cultural Affairs.

Deadlines: Nonprofit organizations should contact the Bureau of Educational and Cultural Affairs for deadlines.

Range of Approval/Disapproval Time: Variable.

Appeals: Not applicable.

Renewals: Renewable up to 2 years.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance: A 1-year program renewable for 2 additional years. Funding is provided at the beginning of each funding year.

POST ASSISTANCE REQUIREMENTS:

Reports: Quarterly financial and program reports are required.

Audits: In accordance with the provisions of OMB Circular No. A- 133 (Revised, June 24, 1997), “Audits of States, Local Governments, and Nonprofit Organizations,” nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records: As prescribed by grant terms.

FINANCIAL INFORMATION:

Account Identification: 19-0201-0-1-154.

Obligations: (Grants) FY 01 \$299,891; FY 02 est \$299,891; and FY 03 est \$299,891.

Range and Average of Financial Assistance: Not applicable.

PROGRAM ACCOMPLISHMENTS: Twenty-five South African primary teachers and educators took part in a 1-month Summer Institute in the United States during fiscal year 2001; the same number participated in fiscal year 2002.

REGULATIONS, GUIDELINES, AND LITERATURE: Variable.

INFORMATION CONTACTS:

Regional or Local Office: Not Applicable.

Headquarters Office: Department of State, Bureau of Educational and Cultural Affairs, 301 4th Street SW., Room 349, Washington, DC 20547. Attn: Rachel Waldstein. Telephone: (202) 619-5293. Fax: (202) 401-1433. E-mail: rwaldstein@pd.state.gov.

Web Site Address: <http://exchanges.state.gov>

RELATED PROGRAMS: None.

EXAMPLES OF FUNDED PROJECTS: Not applicable.

CRITERIA FOR SELECTING PROPOSALS: Preference will be given to nonprofit organizations with constructivist educational expertise, and strong linkages to South African NGOs and/or educational institutions.

Department of State

19.415 Professional Exchanges Annual Open Grant (Office of Citizen Exchanges)

FEDERAL AGENCY: BUREAU OF EDUCATIONAL AND CULTURAL AFFAIRS, DEPARTMENT OF STATE

AUTHORIZATION: Public Law 87-256, as amended, 22 U.S.C. 2451 et seq.

OBJECTIVES: To foster, improve, and strengthen international relations of the United States by promoting mutual understanding among the peoples of the world through educational and professional exchanges.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: The Office of Citizen Exchanges develops international exchange grant projects with nonprofit American institutions including voluntary community organizations, professional associations, and universities. The program involves thousands of American and foreign visitors who take part in exchanges at the grassroots level both in the U.S. and overseas. Professional programs are based on competition, substantive rigor and cross-cultural sensitivity. Primary objectives include development of institutional links which live beyond the duration of State Department funding support; creation of consortia, associations and information networks; transfer of useful information overseas; development of internships and extended learning programs; expansion of international awareness and programming among U.S. nonprofit institutions and the introduction of ideas which may contribute to the solution of long-term international problems among others.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: To public and private nonprofit organizations meeting the provisions described in IRS regulation 26CFR 1.501(c).

Beneficiary Eligibility: U.S. citizens and foreign nationals.

Credentials/Documentation: To public and private nonprofit organizations meeting the provisions described in IRS regulation 26CFR 1.501(c).

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure: This competition is advertised in the Federal Register annually. Interested applicants should read the complete Federal Register announcement before addressing inquiries to the Office of Citizen Exchanges.

Award Procedure: Following a thorough review and independent paneling of all technically eligible proposals, and based on recommendations by various State Department offices, funding decisions are made by the Assistant Secretary for Educational and Cultural Affairs.

Deadlines: Per announcement in Federal Register.

Range of Approval/Disapproval Time: Four months after closing date.

Appeals: None.

Renewals: Extensions considered where appropriate.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: All proposals should maximize cost sharing through private sector support, as well as institutional direct funding contributions.

Length and Time Phasing of Assistance: Varies.

POST ASSISTANCE REQUIREMENTS:

Reports: Financial and program reports are required at the end of the grant period.

Audits: In accordance with the provisions of OMB Circular No. A- 133, (Revised, June 24, 1997), “Audits of States, Local Governments, and Nonprofit Organizations,” nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records: As prescribed by grant terms.

FINANCIAL INFORMATION:

Account Identification: 19-0201-0-1-154.

Obligations: (Grants) FY 01 \$3,739,528; FY 02 est \$4,283,794; and FY 03 est \$3,000,000.

Range and Average of Financial Assistance: Under \$135,000, Organizations with less than 4 years’ successful experience in managing international exchange programs are limited to grants of \$60,000 or less.

PROGRAM ACCOMPLISHMENTS: In fiscal year 2001, the Office of Citizen Exchanges awarded 29 open competition grants. In fiscal year 2002, 32 grants will be awarded. In fiscal year 2003, an estimated 15 grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE: Annual announcement published in the Federal Register.

INFORMATION CONTACTS:

Regional or Local Office: None.

Headquarters Office: Department of State, Office of Citizen Exchanges, Bureau of Educational and Cultural Affairs, 301 4th Street, SW., Room 220, Washington, DC 20547. Telephone: (202) 619-5348. Fax: (202) 619-4350.

Web Site Address: <http://exchanges.state.gov/education/citizens/>

RELATED PROGRAMS: None.

EXAMPLES OF FUNDED PROJECTS: None.

CRITERIA FOR SELECTING PROPOSALS: To comply with goals and objectives outlined in Federal Register announcement and compatibility with office objectives stated previously.

Department of State

19.202 Special Domestic Assignments (Pearson Program)

FEDERAL AGENCY: BUREAU OF PERSONNEL, DEPARTMENT OF STATE

AUTHORIZATION: Foreign Service Act of 1980, Section 503, Chapter 5, Public Law 96-465.

OBJECTIVES: (1) To allow State and local governments and related organizations to utilize the experience and expertise of Foreign Service Officers; and (2) to permit Foreign Service Officers at approximately mid-career to be assigned to positions with substantial program management responsibility and to become reacquainted with important domestic issues as they impact upon international affairs, and to contribute to the Department of State's recruitment and outreach goals.

TYPES OF ASSISTANCE: Provision of Specialized Services.

USES AND USE RESTRICTIONS: A Foreign Service Officer may be assigned duties for any purpose mutually agreed upon by the Department of State and the host organization. Frequently, officers assigned under this program serves as special assistants to governors, mayors, city managers and county commissioners. They have also been assigned to a State department of social services, a regional local government innovation group, a State league of towns and cities, an international trade mart, a university, and to the Pan American Games organizing group.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: A member of the Foreign Service may be assigned for duty in the United States (or in any territory or possession of the United States and the Commonwealth of Puerto Rico) with a State or local government, a public or private nonprofit organization (including an educational institution), or a Member or office of the Congress may apply.

Beneficiary Eligibility: Foreign Service Officers, State and local governments, and U.S. Territories will benefit.

Credentials/Documentation: None.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Potential applicants are invited to discuss possible requests with the Office of Career Development and Training in the Bureau of Personnel prior to submitting a written proposal. This program is excluded from coverage under E.O. 12372.

Application Procedure: There is no application form. An information sheet on the program is available from the Office of Career Development and Training in the Bureau of Personnel. Eligible organizations should submit their proposals in writing; two to three pages are usually adequate.

Award Procedure: Once the proposal is approved, the Department of State will endeavor to identify an interested and qualified officer for the position.

Deadlines: There is no deadline. Although assignments may be made throughout the year, most assignments will be arranged to begin in the summer months when foreign service officers normally rotate to new positions. A lead-time of 8 to 12 months is normally required in order to identify and assign an appropriate officer.

Range of Approval/Disapproval Time: Proposals are approved or disapproved immediately. In the case of approved proposals, however, there may be a lengthy delay in identifying an appropriate officer.

Appeals: Not applicable.

Renewals: Assignments are made initially for 1 year. Subject to concurrence by the host organization, the

Foreign Service Officer and the needs of the Department of State, the assignment may be extended for up to an additional year.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: For assignments begun after October 1, 1986, the host organization must reimburse the State Department \$10,000 annually. (This sum may be increased periodically.) There are no other costs to the host organization except that it must pay for the job-related expenses of the Foreign Service Officer.

Length and Time Phasing of Assistance: The reimbursement to the State Department is usually paid as a lump sum, although there can be flexibility in the payment arrangement.

POST ASSISTANCE REQUIREMENTS:

Reports: The supervisory officer in the host organization must prepare an annual performance evaluation on the Foreign Service Officer, due annually by April 15.

Audits: None.

Records: None.

FINANCIAL INFORMATION:

Account Identification: 19-0113-0-1-153.

Obligations: Not separately identifiable.

Range and Average of Financial Assistance: At any one time, 10 or fewer Foreign Service Officers are assigned to State and local governments and related organizations.

PROGRAM ACCOMPLISHMENTS: The Department of State receives more requests for the assignment of officers than it is able to fill. Eventually, however, it has been able to assign officers to more than half of the organizations that have submitted written proposals. To date, over 130 mid-career officers have been assigned to positions with State and local governments and other qualifying organizations. Job assignments have varied but have typically involved substantial program development or program management responsibilities, including coordination with city, county, and State authorities, and Federal agencies.

REGULATIONS, GUIDELINES, AND LITERATURE: Additional information on the program is available from the State Department's Office of Career Development and Training in the Bureau of Personnel.

INFORMATION CONTACTS:

Regional or Local Office: None.

Headquarters Office: Mary Ann Thomas, Program Coordinator, Office of Career Development and Assignments, Career Development and Training Staff, (PER/CDA/SL/CDT), Room 2419, NS, Bureau of Personnel, Department of State, Washington, DC 20520. Telephone: (202) 647-3822.

Web Site Address: <http://www.state.gov>

RELATED PROGRAMS: None.

EXAMPLES OF FUNDED PROJECTS: Officers have been appointed as special assistants to State governors, mayors and the directors of State agencies. Officers have also been assigned to a State conference of

municipalities, an association of community colleges, a regional commission, a public interest group, and an international trade mart.

CRITERIA FOR SELECTING PROPOSALS: The Department of State is particularly interested in assigning officers to positions which offer considerable challenge and management responsibility involving personnel, programs, or both. Proposals, which have a direct relationship to international affairs is most likely to be successful in attracting candidates for the position.

Department of State

19.407 Teacher Exchange_New Independent States (NIS) (Partners in Education)

FEDERAL AGENCY: BUREAU OF EDUCATIONAL AND CULTURAL AFFAIRS, DEPARTMENT OF STATE

AUTHORIZATION: Public Law 87-256, as amended; 22 U.S.C. 2451 et seq.

OBJECTIVES: To improve and strengthen international relations of the United States by promoting better mutual understanding among the peoples of the U.S. and New Independent States (NIS) through teacher exchange.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Designed to give U.S. schools the opportunity to host NIS teachers for periods up to 5 weeks and to give U.S. teachers the opportunity to conduct follow-up visit to NIS.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: To nonprofit organizations capable of recruiting secondary level social science educators in Russia, Ukraine, Kyrgyzstan, Uzbekistan, Armenia, Azerbaijan and Georgia and placing them at schools or university schools of education in the United States. School districts, schools, or universities in the United States may apply to the administering organization to participate as a host site.

Beneficiary Eligibility: School districts or universities in the United States willing and able to host groups of NIS teachers. U.S. citizen teachers from those school districts or universities that wish to consult in the NIS.

Credentials/Documentation: Certification of compliance with Federal forms/Accreditation (for educational institutions).

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure: Nonprofit organizations should contact the Department of State, Bureau of Educational and Cultural Affairs, Teacher Exchange Branch, SA-44, Room 349, 301 4th Street SW., Washington DC 20547. School districts, schools, or universities interested in hosting NIS teachers should contact the Teacher Exchange Branch as well.

Award Procedure: Final selection of administering organization is made by the Bureau of Educational and Cultural Affairs, Department of State. Selection of host school districts will be made by administering organizations in consultation with the Bureau.

Deadlines: Nonprofit educational organizations should contact the Bureau for deadlines. School districts should contact administering organization or the Bureau.

Range of Approval/Disapproval Time: Variable.

Appeals: None.

Renewals: Up to 2 years.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: This program has no statutory formula.

Length and Time Phasing of Assistance: Three semesters, renewable up to two times. School districts, schools, and universities will host teachers for up to 5 weeks.

POST ASSISTANCE REQUIREMENTS:

Reports: Quarterly financial and program reports.

Audits: In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records: As prescribed by grant terms.

FINANCIAL INFORMATION:

Account Identification: 19-0201-0-1-154.

Obligations: (Grants) FY 01 \$1,685,000; FY 02 est \$1,320,000; and FY 03 est \$1,320,000.

Range and Average of Financial Assistance: Not applicable.

PROGRAM ACCOMPLISHMENTS: In fiscal year 2001, U.S. schools hosted a total of 131 NIS social science teachers, administrators, and teacher trainers on 6-week internships, and 32 U.S. educators traveled to the NIS. In addition, 28 non-English speaking school administrators participated in a 3-week program. In fiscal year 2002, U.S.- based exchanges will decrease slightly and a new component of NIS-based training will be added.

REGULATIONS, GUIDELINES, AND LITERATURE: Variable.

INFORMATION CONTACTS:

Regional or Local Office: None.

Headquarters Office: Department of State, Bureau of Educational and Cultural Affairs, SA-44, 301 4th Street SW., Room 349, Washington DC 20547. Attn: Rachel Waldstein. Telephone: (202) 619-4568. Fax: (202) 401-1433 E-Mail: rwaldste@pd.state.gov.

Web Site Address: <http://exchanges.state.gov>

RELATED PROGRAMS: None.

EXAMPLES OF FUNDED PROJECTS: Not applicable.

CRITERIA FOR SELECTING PROPOSALS: Preference will be given to schools, school districts, or universities with established programs in civic education and with interest in establishing ties to communities in the NIS.

Environmental Protection Agency

66.950 Environmental Education and Training Program (EETP; Training Program)

FEDERAL AGENCY: OFFICE OF ENVIRONMENTAL EDUCATION, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION: National Environmental Education Act, Public Law 101-619, Section 5.

OBJECTIVES: To train educational professionals in the development and delivery of environmental education programs.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Funds shall be used to establish an education and training program which shall include, at a minimum: 1. classroom training in environmental education and studies including environmental sciences and theory, educational methods, and practices, environmental career or occupational education, and topical environmental issues and problems; 2. demonstrations of the design and conduct of environmental fields studies and assessments; 3. development of environmental education programs and curricula, including programs and curricula to meet the needs of diverse ethnic and cultural groups; 4. sponsorship and management of international exchanges of teachers and other educational professionals between the United States, Canada, and Mexico involved in environmental programs and issues; 5. maintenance or support of a library of environmental education materials, information, literature, and technologies, with electronic as well as hard copy accessibility; 6. evaluation and dissemination of environmental education materials, training methods, and related programs; 7. sponsorship of conferences, seminars, and related forums for the advancement and development of environmental education and training curricula and materials, including international conferences, seminars, and forums; 8. supporting effective partnerships and networks and the use of distant learning technologies; and 9. such other activities as the Administrator determines to be consistent with the policies of the Act. No funds made available for this program shall be used for the acquisition of real property (including buildings) or the construction or substantial modification of any building.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Institutions of higher education or other institutions which are nonprofit (or consortia of such institutions).

Beneficiary Eligibility: Education (0-8), education (9-12), education (13+), nonprofit institutions.

Credentials/Documentation: Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: This program is excluded from coverage under E.O. 12372.

Application Procedure: No new award is being made in fiscal year 2002. A new award will not be made until fiscal year 2005. A notice will be published in the Federal Register with information on application procedures.

Award Procedure: This program is subject to the provisions of OMB Circular No. A- 110.

Deadlines: Not determined yet; to be published in the Federal Register.

Range of Approval/Disapproval Time: Not determined yet; to be published in the Federal Register.

Appeals: None.

Renewals: EPA has established procedures for a careful and detailed review and evaluation of the environmental education and training program to determine whether the quality of the program being operated by the grantee warrants continued support.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: The grantee must provide 25 percent matching funds for the project. The project has no statutory formula.

Length and Time Phasing of Assistance: The training program cooperative agreement will be for a 3 to 5-year budget period, 3 to 5-year project period, subject to availability of funds.

POST ASSISTANCE REQUIREMENTS:

Reports: The grantee must submit bi-annual and final progress reports.

Audits: Projects are subject to inspection and audit by representatives of the Comptroller General of the United States and EPA or any authorized representative. The Office of Management and Budget (OMB) Circular No. A-133, "Audits of States, Local Governments, and Nonprofit Organizations," was published in the Federal Register on June 30, 1997. The Circular implements the Single Audit Act amendments of 1996. The Circular requires nonfederal entities that expend more than \$300,000 in Federal award dollars, to have an audit conducted in accordance with the Circular's provisions. With the revised Circular, the previous OMB Circular No. A-128 for single audits of State and local governments was rescinded and the single audit requirements for these entities were incorporated among the provisions of OMB Circular No. A-133.

Records: Financial records, including all documents to support entries on accounting records and to substantiate changes to each assistance agreement must be kept available to personnel authorized to examine EPA assistance accounts. All records must be maintained until the expiration of 3 years from the date of submission of the final expenditure report. If questions still remain, such as those raised as the result of an audit, related records should be retained until the matter is completely resolved.

FINANCIAL INFORMATION:

Account Identification: 68-0108-0-1-304.

Obligations: (Grants) FY 01 \$1,569,750; FY 02 est \$1,815,000; and FY 03 est Not available.

Range and Average of Financial Assistance: Not applicable.

PROGRAM ACCOMPLISHMENTS: The University of Wisconsin-Stevens Point received nearly \$1.6 million in fiscal year 2001.

REGULATIONS, GUIDELINES, AND LITERATURE: 40 CFR Parts 30, 31, 32, 33, 45, 47; program guidance; Federal Register (Invitation for Pre-proposal, July 2, 1991); Public Law 101-619.

INFORMATION CONTACTS:

Regional or Local Office: Contact the appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office: Kathleen MacKinnon, Environmental Education Specialist, Office of Environmental Education, (1704A), Environmental Protection Agency, 1200 Pennsylvania Ave., NW., Washington, DC 20460. Telephone: (202) 564-0454. E-mail address: mackinnon.kathleen@epa.gov

Web Site Address: <http://www.epa.gov/enviroed>

RELATED PROGRAMS: 66.951, Environmental Education Grants.

EXAMPLES OF FUNDED PROJECTS: Not applicable.

CRITERIA FOR SELECTING PROPOSALS: Priorities for selecting proposals are listed in Section 5 of Public Law 101-619, National Environmental Education Act.

Environmental Protection Agency

66.930 U.S.-Mexico Border Grants Program

FEDERAL AGENCY: OFFICE OF INTERNATIONAL ACTIVITIES, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION: Clean Water Act, Section 104; Resource Conservation and Recovery Act, Section 8001; Clean Air Act, Section 103; Toxic Substances Control Act, Section 10; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20; Safe Drinking Water Act, Section 1442(b); National Environmental Policy Act, Section 102.

OBJECTIVES: To promote community-based and regional approaches to meeting the goals of sustainable development, capacity building, and coordination among key participants in addressing U.S.-Mexico border (including bi-national) environmental issues.

TYPES OF ASSISTANCE: None.

USES AND USE RESTRICTIONS: Available for allowable direct cost expenditures incident to approved project plus allocable portions of allowable indirect costs of the institution, in accordance with established EPA policies.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Educational institutions, Indian Tribes, local governments, 501(C)3 non-governmental organizations (NGOs).

Beneficiary Eligibility: Educational institutions, local governments, 501(C)3 non-governmental organizations (NGOs).

Credentials/Documentation: Costs will be determined in accordance with 40 CFR 30 and 31 for non-governmental organizations, with 40 CFR 31, OMB Circular No. A-87 for local governments, OMB Circular No. A-122 for nonprofit organizations, and other supporting documentation provided by the Agency.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Groups wishing to apply must first submit to EPA a proposal, not to exceed three pages, which outlines (1) Issues which the project will address; (2) the proposed scope of work; (3) the proposed work schedule; (4) the proposed partners in project implementation; (5) the factors used in measuring project success; and (6) an itemized budget. An EPA review team will evaluate pre-proposals and, based on the these evaluations, will invite a selected pool of applicants to submit full proposals. Applicants should submit five copies of their pre-proposals, in writing, to: U.S. Environmental Protection Agency, Attn: Mexico Border Team, Office of International Activities, 401 M Street, SW., (mailcode 2650R) Washington, DC 20460. This program is excluded from coverage under E.O. 12372.

Application Procedure: An EPA review team will evaluate pre-proposals to determine which applicants will be asked to submit complete proposal packages. Following the pre-proposal stage, the standard application forms as furnished by the EPA and required by OMB Circular No. A-102 must be used for the program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." Applicants who are requested to submit full proposals should consult the office or official designated as the single point of contact in his or her State for more information on the process which the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is excluded from coverage under E.O. 12372.

Award Procedure: Each formal application shall be subjected to program evaluation and to approval by the Assistant Administrator, Office of International Activities. Applications shall also undergo administrative and legal evaluation to determine the adequacy of the application in relations. 40 CFR 30 outlines the general procedures used in awarding grants.

Deadlines: Continuation of this program in fiscal year 1998 is contingent upon the availability of funds. If the program continues, EPA's Office of International Activities (Office of Western Hemisphere and Bilateral Affairs) will issue a Catalog of Federal Domestic Assistance Announcement containing application deadlines in early fiscal year 1998.

Range of Approval/Disapproval Time: Approximately 6 weeks from due date for full proposals.

Appeals: Appeals are subject to the provisions as described in 40 CFR 30.63, and 40 CFR 31, Subpart F.

Renewals: Not applicable.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Entities receiving grants under this program are required to contribute a minimum 5 percent matching share (in dollars or in-kind goods/services).

Length and Time Phasing of Assistance: Assistance will be limited to a 1-year funding period. EPA will consider requests for no-cost extensions of projects beyond the initial one-year funding period. Applicants making such requests will be required to fully justify the need for a project period extension.

POST ASSISTANCE REQUIREMENTS:

Reports: Quarterly progress and financial reports; final report and financial statement; other reports required by the specific terms of individual agreements.

Audits: Grants are subject to inspections and audit by representatives of the Comptroller General of the United States and EPA or their authorized representative. Federal audits will be made in accordance with the policies of OMB Circular No. A-73 to ensure that funds have been applied efficiently, economically and effectively. Periodic audits should be made as part of the recipient's system of financial management and internal control to meet terms and conditions of grants and other agreements. In accordance with provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more within the State's fiscal year shall have an audit made for that year. The Office of Management and Budget (OMB) Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations," was published in the Federal Register on June 30, 1997. The Circular implements the Single Audit Act amendments of 1996. The Circular requires nonfederal entities that expend more than \$300,000 in Federal award dollars, to have an audit conducted in accordance with the Circular's provisions. With the revised Circular, the previous OMB Circular No. A-128 for single audits of State and local governments was rescinded and the single audit requirements for these entities were incorporated among the provisions of OMB Circular No. A-133.

Records: All records, including documents to support entries on accounting records and to substantiate charges to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of the submission of the annual financial status report. If any litigation, claim, or audit is started before the end of the three-period, then the organization must retain the records until the subject litigations, claims, or audit findings have been resolved.

FINANCIAL INFORMATION:

Account Identification: 68-0108-1-0-304.

Obligations: (Grants) FY 00 \$0; FY 01 est \$300,000; and FY 02 est not available.

Range and Average of Financial Assistance: \$35,000 to \$40,000; \$37,000. Individual grants awarded under this program are not to exceed \$40,000.

PROGRAM ACCOMPLISHMENTS: In fiscal year 2000, EPA made 14 awards.

REGULATIONS, GUIDELINES, AND LITERATURE: General Grant Regulations and Procedures, Environmental Protection Agency (40 CFR Part 30); EPA Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments (40 CFR Part 31); “EPA Assistance Administration Manual” available from the National Technical Information Service, Springfield, VA 22161 on a subscription basis.

INFORMATION CONTACTS:

Regional or Local Office: Darrin Swartz-Larson, Director, EPA-El Paso U.S.-Mexico Border Liaison Office, 4050 Rio Bravo, Suite 100, El Paso, TX 79902. Telephone: (915) 533-7273. Fax: (915) 533-2327. Lorena Lopez, EPA San Diego U.S.-Mexico Border Liaison Office, 610 W. Ash Street, Suite 703, San Diego, CA 92101. Fax: (619) 235-4771.

Headquarters Office: For information concerning grant application and procedures or for program information, contact the Environmental Protection Agency, Office of International Activities, Office of Western Hemisphere and Bilateral Affairs (2650R), U.S.- Mexico Border Grants Program, Washington, DC 20460. Telephone: (202) 564-6424.

Web Site Address: <http://www.epa.gov>

RELATED PROGRAMS: None.

EXAMPLES OF FUNDED PROJECTS: None.

CRITERIA FOR SELECTING PROPOSALS: Highest rankings will be given to projects which (a) Demonstrate outstanding application of sustainable development, capacity building, and coordination concepts at the local and regional levels; (b) link to objectives and priorities cited in Border XXI; (c) involve a number and variety of collaborators, e.g., community and other nongovernmental organizations, Indian tribes, local and regional governments; (d) schools and universities; and (e) leverage grant funds by proposing to bring in other financial and in-kind resources.

National Science Foundation

47.075 Social, Behavioral, and Economic Sciences (SBE)

FEDERAL AGENCY: NATIONAL SCIENCE FOUNDATION

AUTHORIZATION: National Science Foundation Act of 1950, as amended, Public Law 106-377, 42 U.S.C 1861 et seq.

OBJECTIVES: To promote the progress of the social, behavioral, and economic sciences to promote the continued scientific strength and welfare of the Nation; to facilitate cooperative research activities for U.S. scientists and engineers with foreign scientists, engineers, and institutions; and to support understanding of the resources invested in science and engineering in the United States. The research supported is basic in character. The program includes support of research project grants in the following disciplines: Anthropological and geographic sciences; cognitive, psychological and language sciences; economic, decision and management sciences; social and political sciences; infrastructure, methods and science studies; studies of scientists and engineers, Federal R&D funding and expenditures, and educational attainment in science, mathematics and engineering; and international programs. Support is provided for science and technology centers and for research workshops, symposia, and conferences. In addition, awards are made to improve the quality of doctoral dissertations in the behavioral and social sciences and for dissertations requiring research outside the United States; for graduate traineeships; postdoctoral fellowships; special opportunities for junior faculty for research support; and mid-career professional development opportunities. Support is also provided for undergraduate student research, and for research opportunities for women, minorities, and disabled scientists and engineers.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: International cooperative scientific activities provide funds for U.S. scientists and engineers to carry out studies abroad, to conduct research, to engage in joint research projects with foreign counterpart organizations, and to support international workshops focused on well-defined scientific opportunities in the U.S. and abroad; travel must be on U.S. flag carriers. Other programs in social, behavioral and economic sciences and in science resources studies provide funds which may be used for paying costs necessary to conduct research or studies, such as salaries and wages, equipment and supplies, travel, publication costs, and other direct and indirect costs. Primary responsibility for general supervision of all grant activities rests with the grantee institution; the project director or principal investigator is responsible for the execution of the research activities. Grants are made on a competitive basis. For all programs, funds may not be used for purposes other than those specified in the proposal.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Public and private colleges and universities, nonprofit, nonacademic research institutions, private for profit organizations and unaffiliated scientists under special circumstances. For international cooperative scientific activities, government scientific organizations are also eligible.

Beneficiary Eligibility: Public and private colleges and universities, nonprofit, nonacademic research institutions, private for profit organizations, and unaffiliated scientists under special circumstances. For international cooperative scientific activities, government scientific organizations are also eligible.

Credentials/Documentation: Proposals must be signed electronically by an official authorized to commit the institution or organization in business and financial affairs and who can commit the organization to certain proposal certifications. A copy of foreign counterpart proposals should accompany U.S. proposals for international bilateral research projects. Costs will be determined in accordance with OMB Circular Nos. A-21 for colleges and universities and A-122 for nonprofit organizations. This program is excluded from coverage under OMB Circular No. A-87.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: For international bilateral research programs, proposals should be devel-

oped between the two scientists for submission to the implementing agencies in both countries at the same time. Proposals must be cooperative in nature and be approved by implementing agencies in both countries. For other programs, none is required, but for all programs it is suggested that an initial inquiry be made before a proposal is submitted to determine whether a potential project qualifies for NSF support. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under E.O. 12372.

Application Procedure: For project support, submission of a formal proposal, signed electronically by an official authorized to commit the institution or organization in financial affairs, fully documenting the planned activity and proposed amount of award. Guidelines are contained in publications, "Grant Proposal Guide," NSF 02-2, and "Guide to Programs Fiscal Year 2002," NSF 02-03, and "International Opportunities for Scientists and Engineers," NSF 96-14. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: NSF staff members review and evaluate all proposals. To assist them, they usually obtain the advice of scientists and engineers who are specialists in the field covered by the proposal. Proposals are supported in order of merit to the extent permitted by available funds.

Deadlines: Deadlines and target dates are published in the NSF bulletin.

Range of Approval/Disapproval Time: From 5 to 9 months. Up to 12 months for some international programs.

Appeals: The project director or principal investigator may request, in writing, that the Foundation reconsider its action in declining any proposal application, renewal application, or continuing application.

Renewals: Proposals for renewal of awards, containing the same type of information as the original proposal, should be submitted 6 to 9 months before the expiration of the original award. Renewals are treated in competition with pending proposals.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: For international bilateral research projects, funds are usually contributed from both countries. In most cases, each country assumes responsibility for support of its own participation in the project within its own territory. Cost-sharing does not apply to conferences and symposia. The Grant Proposal Guide (GPG)(Sec. II) and the Grant Policy Manual (Sec. 330) provide additional information on the general NSF policy on cost-sharing.

Length and Time Phasing of Assistance: Up to 5 years. International programs normally up to 2 years.

POST ASSISTANCE REQUIREMENTS:

Reports: For all multi-year grants (including both standard and continuing grants), the PI must submit an annual project report to the cognizant program office at least 90 days before the end of the current budget period. Within 90 days after the expiration of a grant, the PI is required to submit a final project report. For travel grants, a travel report is required. Quarterly Federal Cash Transaction Reports are required. Other reporting requirements may be imposed via the grant instrument.

Audits: In accordance with the provisions of OMB Circular No. A- 133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records: Grantees are expected to maintain separate records for each award to ensure that funds are

used for the general purpose for which the award was made. Records are subject to inspection during the life of the award and for 3 years thereafter.

FINANCIAL INFORMATION:

Account Identification: 49-0100-0-1-251.

Obligations: Grants) FY 01 \$177,230,000; FY 02 est \$168,790,000; and FY 03 est \$195,610,000.

Range and Average of Financial Assistance: \$1,000 to \$9,000,000; \$94,826.

PROGRAM ACCOMPLISHMENTS: In fiscal year 2001, 4,101 proposals were received and 1,870 awards were made. In fiscal year 2002, approximately 4,265 proposals will be received and about 1,965 awards will be made, and in fiscal year 2003 approximately 4,430 proposals will be received and about 2,060 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE: 48 CFR Chapter 25; 45 CFR Chapter VI; "NSF Guide to Programs, 2002," NSF 02-03 (<http://www.nsf.gov/cgi-bin/getpub?nsf0203>); and "Grant Proposal Guide," NSF 02-2, (<http://www.nsf.gov/cgi-bin/getpub?nsf022>).

INFORMATION CONTACTS:

Regional or Local Office: Not applicable.

Headquarters Office: Directorate for Social, Behavioral and Economic Sciences. Office of International Science and Engineering, National Science Foundation, 4201 Wilson Blvd., Suite 935, Arlington, VA 22230. Telephone: (703) 292-8710.

Web Site Address: <http://www.nsf.gov>

RELATED PROGRAMS: 47.041, Engineering Grants; 47.049, Mathematical and Physical Sciences; 47.070, Computer and Information Science and Engineering; 47.074, Biological Sciences; 47.050, Geosciences; 47.076, Education and Human Resources; 47.078, Polar Programs.

EXAMPLES OF FUNDED PROJECTS: "Indicators for the Information Society;" "Indicators of Technology-Based Competitiveness: Incorporating Recent Changes in the Concept 'High Technology' and in Data Availability;" "Information Technology Measures and Benchmarks: New Approaches for International Measurements and Public Policy;" "Privately Provided Public Goods: Theoretical, Econometric and Experimental Studies of Cooperation;" "Distributive Justice Norms in Marriages;" "U.S.-South Africa Workshop: Bacterial Wilt Disease, White River, South Africa, February 2002."

CRITERIA FOR SELECTING PROPOSALS: The National Science Board approved revised criteria for evaluating proposals at its meeting on March 28, 1997 (NSB 97-72). All NSF proposals are evaluated through use of the two merit review criteria. In some instances, however, NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities. On September 20, 1999, the NSF Director issued Important Notice 125, Merit Review Criteria. This Important Notice reminds proposers that both criteria must be addressed in the preparation and review of all proposals submitted to NSF. NSF continues to strengthen its internal processes to ensure that both of the merit review criteria are addressed when making funding decisions. The two merit review criteria are listed below. The criteria include considerations that help define them. These considerations are suggestions, and not all will apply to any given proposal. While proposers must address both merit review criteria, reviewers will be asked to address only those considerations that are relevant to the proposal being considered and for which he/she is qualified to make judgments. What is the intellectual merit of the proposed activity? How important is the proposed activity to advancing knowledge and understanding within its own field or across different fields?

How well qualified is the proposer individual or team to conduct the project? (If appropriate, the reviewer will comment on the quality of prior work.) To what extent does the proposed activity suggest and explore creative and original concepts? How well conceived and organized is the proposed activity? Is there sufficient access to resources? What are the broader impacts of the proposed activity? How well does the activity advance discovery and understanding while promoting teaching, training, and learning? How well does the proposed activity broaden the participation of underrepresented groups (e.g., gender, ethnicity, disability, geographic, etc.)? To what extent will it enhance the infrastructure for research and education, such as facilities, instrumentation, networks, and partnerships? Will the results be disseminated broadly to enhance scientific and technological understanding? What may be the benefits of the proposed activity to society? NSF staff will give careful consideration to the following in making funding decisions: Integration of Research and Education. One of the principal strategies in support of NSF's goals is to foster integration of research and education through the programs, projects and activities it supports at academic and research institutions. These institutions provide abundant opportunities where individuals may concurrently assume responsibilities as researchers, educators, and students, and where all can engage in joint efforts that infuse education with the excitement of discovery and enrich research through the diversity of learning perspectives. Integrating Diversity into NSF Programs, Projects, and Activities. Broadening opportunities and enabling the participation of all citizens - women and men, underrepresented minorities, and persons with disabilities - are essential to the health and vitality of science and engineering. NSF is committed to this principle of diversity and deems it central to the programs, projects, and activities it considers and supports.

Overseas Private Investment Corporation

70.002 Foreign Investment Financing

FEDERAL AGENCY: OVERSEAS PRIVATE INVESTMENT CORPORATION

AUTHORIZATION: Foreign Assistance Act of 1969, Title IV, Section 234(b) and 234(c), Public Law 91-175, 22 U.S.C. 2191, et seq.

OBJECTIVES: To provide financing for projects sponsored by private U.S. businesses in developing countries and emerging economies throughout the world, thereby assisting development goals and improving U.S. competitiveness, creating American jobs and increasing U.S. exports.

TYPES OF ASSISTANCE: Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS: To provide financing for investments in developing countries in projects that contribute to the economic and social development of the host country and have a positive impact on the U.S. economy. OPIC screens out projects that might have a negative effect on U.S. employment or the host country's development or the environment, or would contribute to the violation of internationally recognized worker rights. Direct loans can only be made to private sector projects in which there is significant involvement by an U.S. small business.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Guaranteed investor must be citizen of the United States, a corporation, partnership, or other association created under the laws of the United States or any State or territory, and more than 50 percent beneficially owned by U.S. Citizens; a foreign corporation at least 95 percent owned by such entities; or a 100 percent U.S.-owned foreign entity. Direct loans are reserved for projects sponsored by or significantly involving U.S. small businesses or cooperatives. Applicants also must meet OPIC's credit underwriting criteria.

Beneficiary Eligibility: Project must have significant participation by a United States sponsor, generally in the form of at least 25 percent equity ownership. OPIC does not support projects that will result in the loss of U.S. jobs, that would contribute to the violation of internationally recognized worker rights or involve illicit payments. OPIC only supports projects that contribute to the economic and social development of the host country.

Credentials/Documentation: OPIC Finance Application; Financial statements; OPIC sponsor disclosure report; other applicable information on operations of U.S. investor, and project business plan. A bilateral agreement between the United States and the host country government must be in place before OPIC can provide loan guaranties in a country. In some cases, special foreign government approval is required before financing can be provided.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: None. This program is excluded from coverage under E.O. 12372.

Application Procedure: Application for Financing is required.

Award Procedure: OPIC conducts extensive due diligence and project analysis. Project must be approved internally and meets OPIC's credit, policy and legal criteria. Loan guaranty is issued directly to the lender by OPIC, in conjunction with OPIC entering into financing documentation with the borrower, U.S. sponsor and other parties. Direct loan is made directly to the project company.

Deadlines: None.

Range of Approval/Disapproval Time: Variable, depending on status and complexity of the project.

Appeals: Not applicable.

Renewals: Not applicable.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Significant equity investment in the project company by qualified U.S. sponsor.

Length and Time Phasing of Assistance: Variable, depending on characteristics of the project. OPIC financing is usually in the range of 5-15 years.

POST ASSISTANCE REQUIREMENTS:

Reports: Projects submit quarterly and annual reports on operations and capital spending, and such other reports as OPIC may call for.

Audits: OPIC representatives monitor projects closely and may visit project and interview management. Financial statements generally in accordance with the United States generally accepted accounting practices must be submitted for OPIC monitoring.

Records: Certain accounting records, drawdowns of all funds, and other information must be available during term of financing and beyond.

FINANCIAL INFORMATION:

Account Identification: 71-4030-0-3-151; 71-0100-0-1-151; 71-4075-0-3-151; 71-4074-0-3-151; 71-4184-0-3-151.

Obligations: FY 01 \$7,000,000 in subsidy obligations supported \$991,000,000 and in FY 02 est \$19,000,000 in subsidy obligations supported \$905,000,000 in loans and guaranteed loans; and in FY 03 an est \$19,000,000 in subsidy obligations is expected to support \$950,000,000 in direct loans and guaranteed loans.

Range and Average of Financial Assistance: From \$10,000,000 to \$400,000,000; For small business loans, from \$100,000 to \$29,500,000; \$6,300,000. For non-small business loans: From \$40,950,000 to \$190,000,000. Average: \$9,000,000.

PROGRAM ACCOMPLISHMENTS: Over the past 30 years, OPIC, a self-sustaining agency, has supported \$142 billion of investment overseas that will generate \$64 billion in U.S. exports and create nearly 253,000 American jobs. In 2001, OPIC had a net income of \$215 million. Additionally, OPIC supports sustained development and international trade while solidifying free markets and operates in approximately 140 countries. Projects insured or financed in 2001 alone are expected to create approximately 5,562 direct jobs in developing and emerging markets, while generating \$200 million in host government revenues.

REGULATIONS, GUIDELINES, AND LITERATURE: "OPIC Program Handbook," free.

INFORMATION CONTACTS:

Regional or Local Office: None.

Headquarters Office: Information Officer, Overseas Private Investment Corporation, 1100 New York Ave., NW., Washington, DC 20527. Telephone: (202) 336-8799. For program information by electronic mail: OPIC@opic.gov.

Web Site Address: <http://www.opic.gov>

RELATED PROGRAMS: 70.003, Foreign Investment Insurance.

EXAMPLES OF FUNDED PROJECTS: Examples of financed projects include cellular telephone networks, retail petroleum projects, electric power generation projects, small business transactions, and manufacturing and agricultural ventures.

CRITERIA FOR SELECTING PROPOSALS: See USES AND USE RESTRICTIONS and ELIGIBILITY REQUIREMENTS.

Overseas Private Investment Corporation

70.003 Foreign Investment Insurance (Political Risk Insurance)

FEDERAL AGENCY: OVERSEAS PRIVATE INVESTMENT CORPORATION

AUTHORIZATION: Foreign Assistance Act of 1969, Title IV, Section 234(a), Public Law 91-175, 22 U.S.C. 2191, et seq.

OBJECTIVES: To insure investments of eligible U.S. investors in developing countries and emerging markets, against the political risks of inconvertibility, expropriation, and political violence. Special programs include insuring (1) contractors and exporters against arbitrary drawings of letters of credit posted as bid, performance or advance payment guaranties, (2) petroleum exploration, development and production (3) leasing operations, and (4) debt financials, including securities.

TYPES OF ASSISTANCE: Insurance.

USES AND USE RESTRICTIONS: To encourage private U.S. investment in developing countries and emerging economies by protecting against certain political risks. Investments may be eligible for insurance if they benefit the social and economic development of the host country. OPIC will not support projects that could result in the loss of U.S. jobs, adversely affect the U.S. economy, have a negative impact on the host country's development or environment, or contribute to violations of internationally recognized worker rights.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Citizen of the United States; a corporation, partnership, or other association created under the laws of the United States or any State or territory, of which more than 50 percent is beneficially owned by U.S. citizens; a foreign corporation at least 95 percent owned by such entities; or any other 100 percent U.S.-owned foreign entity.

Beneficiary Eligibility: OPIC does not support projects that will result in the loss of U.S. jobs, that have a negative impact on the host country's economy or environment, or contribute to violations of internationally recognized worker rights. OPIC only supports projects that contribute to the economic and social development of the host country.

Credentials/Documentation: A bilateral agreement between the United States and the host country government must be in place before OPIC can provide insurance for a given country. Additionally, special project approval by the foreign government is required in some countries before insurance can be provided.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Registration letter. This program is excluded from coverage under E.O. 12372.

Application Procedure: The insurance program has a two-step application process. Investors must first register their projects with OPIC before making an irrevocable commitment to invest. Once the details of the investment have been established, an application for insurance coverage is filed. OPIC charges a retainer fee after the submission of an application for insurance in order to proceed with review of the project. Registration and application forms are available on request from the Insurance Applications Officer, Overseas Private Investment Corporation, 1100 New York Avenue, NW., Washington, DC 20527, or on OPIC's website: www.opic.gov.

Award Procedure: Insurance is issued directly to the applicant by OPIC, or through a broker if the investor so chooses.

Deadlines: Registration must be made prior to making an irrevocable commitment to invest. The registration remains active at OPIC for 2 years.

Range of Approval/Disapproval Time: Will vary depending on size and complexity of project.

Appeals: Not applicable.

Renewals: OPIC insurance contracts can be offered at fixed rates for up to 20 years. Investor has the opportunity to change insurance elections annually or semi-annually. Policies are non-cancelable by OPIC, provided that investor is paying premiums and is not in material breach of the contract.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Not applicable. In most cases, insured must retain at least 10 percent of the risk as self- insurance.

Length and Time Phasing of Assistance: Maximum insurance term is 20 years for equity investment; for loans, the term of the loan; for contracts, the term of the contract.

POST ASSISTANCE REQUIREMENTS:

Reports: Annual or semi-annual election of current coverage, annual or semi-annual payment of premiums, and such information as may be requested by OPIC to monitor the project or to make a determination in the case of a claim for compensation.

Audits: Not applicable.

Records: When claim for compensation is pending and after such payment is made, the investor has a duty to cooperate with OPIC in supplying all information requested pertaining to the claim. These responsibilities are clearly spelled out in each insurance contract.

FINANCIAL INFORMATION:

Account Identification: 71-4184-0-3-151.

Obligations: (Insurance Issued) (Aggregate Maximum Insured Amount) FY 01 \$2,202,000,000; FY 02 est \$1,065,000,000; and FY 03 est \$2,200,000,000.

Range and Average of Financial Assistance: \$67,500 to \$200,000,000.

PROGRAM ACCOMPLISHMENTS: Over the past 30 years, OPIC, a self-sustaining agency, has supported more than \$142 billion in U.S. investment overseas that will generate \$64 billion in U.S. exports and create more than 253,000 American jobs. In 2001, OPIC had a net income of \$215 million. Additionally, OPIC has benefited the 140 countries in which it has operated or is currently operating, supporting sustained development and international trade while solidifying free markets. Projects insured or financed in 2001 are expected to created nearly 5, 562 direct jobs in developing and emerging markets, while generating \$200 million in host government revenues.

REGULATIONS, GUIDELINES, AND LITERATURE: "OPIC Program Handbook," free.

INFORMATION CONTACTS:

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Web Site Address: <http://www.opic.gov>

RELATED PROGRAMS: 70.002, Foreign Investment Financing.

EXAMPLES OF FUNDED PROJECTS: Examples of insured projects include: private power generation, mining, petroleum, telecommunications, commercial bank, oil and gas processing equipment, construction, food processing, equipment leasing, etc.

CRITERIA FOR SELECTING PROPOSALS: See USES AND USE RESTRICTIONS and ELIGIBILITY REQUIREMENTS.

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