

Agency for International Development  
Washington, D.C. 20523

936-5120  
PDFCU 918

AUG 30 1991

Mr. Robert Scherer  
President and Chief Executive  
The National Cooperative Business Association  
1401 New York Avenue, N.W., Suite 1100  
Washington, D.C. 20005-2160  
Subject: Cooperative Agreement No. DAN-5120-A-00-1066-00

Dear Mr. Scherer:

Pursuant to the authority contained in the Foreign Assistance Act of 1961 and the Federal Grant and Cooperative Agreement Act of 1982, as amended, the Agency for International Development (hereinafter referred to as "A.I.D.") hereby provides to the National Cooperative Business Association (hereinafter referred to as "NCBA" or "Recipient", the sum set forth in Section 1C.2. of Attachment 1 of this Cooperative Agreement to provide financial support for the program described in Attachment 2 of this Cooperative Agreement entitled "Program Description."

This Cooperative Agreement is effective as of the date of this letter and funds obligated hereunder shall be used to reimburse the Recipient for allowable program expenditures for the period set forth in Section 1B. of Attachment 1 of this Cooperative Agreement.

The total estimated amount of this Cooperative Agreement is the amount set forth in Section 1C.1. of Attachment 1, of which the amount set forth in Section 1C.2. is hereby obligated. A.I.D. shall not be liable for reimbursing the Recipient for any costs in excess of the obligated amount. However, subject to Section 1C.4. of Attachment 1, additional funds may be obligated by A.I.D. until such time as the obligated amount may equal the total estimated amount of this Cooperative Agreement.

This Cooperative Agreement is made to the Recipient on the condition that the funds will be administered in accordance with the terms and conditions as set forth in the attachments listed under my signature below, which together constitute the entire Cooperative Agreement document and have been agreed to by your organization.

PC:COOPAGR/VS:8200n  
Rev:03/14/91(TM13:57+Lobbying/TM1B:78)

Please acknowledge receipt and acceptance of this Cooperative Agreement by signing all copies of this Cover Letter, retaining one copy for your files, and returning the remaining copies to the undersigned.

Sincerely yours,



Jay M. Bergman  
Agreement Officer  
Chief, Food and Agriculture Branch  
A.I.D./W Projects Division  
Office of Procurement

**Attachments:**

1. Schedule
2. Program Description
3. Standard Provisions
4. Special Provision entitled "Restrictions on Lobbying"
5. A.I.D. Eligibility Rules

**ACKNOWLEDGED:**

National Cooperative Business Association

BY: Robert D. Scherer  
TYPED NAME: Robert D. Scherer  
TITLE: President  
DATE: 9/11/91

FISCAL DATA

A. GENERAL

A.1.	Total Estimated A.I.D. Amount:	\$1,999,996
A.2.	Total Obligated A.I.D. Amount:	\$ 470,000
A.3.	Cost-Sharing Amount (Non-Federal):	\$1,653,378
A.4.	Other Contributions (Federal):	\$ 0
A.5.	Project Number:	936-5120
A.6.	A.I.D. Project Office:	S&T/N, C. Coleman
A.7.	Funding Source:	A.I.D./W
A.8.	Tax Identification Number:	36-200-7481
A.9.	DUNS Number:	07-482-5878
A.10.	Letter of Credit Number:	72-00-1423

B. SPECIFIC

B.1. (a)	PIO/T Number:	936-5120-1361760
B.1. (b)	Appropriation Number:	72-1111021.3
B.1. (c)	Allotment Number:	143-36-099-01-20-11
B.1. (d)	Budget Plan Code:	DDNA-91-13600-IG11
B.1. (e)	Amount:	\$130,280
B.2. (a)	PIO/T Number:	936-5120-1361761
B.2. (b)	Appropriation Number:	72-1111021.3
B.2. (c)	Allotment Number:	143-36-099-00-20-11
B.2. (d)	Budget Plan Code:	DDNA-91-13600- <del>IG</del> 11
B.2. (e)	Amount:	\$339,720

SCHEDULE

1A. PURPOSE OF COOPERATIVE AGREEMENT

The purpose of this Cooperative Agreement is to provide financial support for the program described in Attachment 2 of this Cooperative Agreement entitled "Program Description."

1B. PERIOD OF COOPERATIVE AGREEMENT

1B.1. The effective date of this Cooperative Agreement is the date of the Cover Letter and the estimated completion date is September 29, 1996. Funds obligated hereunder shall be used to reimburse the Recipient for allowable program expenditures incurred by the Recipient in pursuit of program objectives at any time during the period beginning September 30, 1991 (see Section 1D.4. below) and ending on the estimated completion date.

1B.2. However, because this Cooperative Agreement is incrementally funded (see Section 1C.4. below), funds obligated hereunder are only anticipated to be sufficient for program expenditures through November 30, 1992.

1C. AMOUNT OF COOPERATIVE AGREEMENT AND PAYMENT

1C.1. The total estimated amount of this Cooperative Agreement for its full period, as set forth in Section 1B.1. above, is \$1,999,996.

1C.2. A.I.D. hereby obligates the amount of \$470,000 as partial funding of the total estimated amount set forth in Section 1C.1. above for program expenditures during the indicated period set forth in Section 1B. above. Notwithstanding said total estimated amount, A.I.D. shall not be liable for reimbursing the Recipient for any costs in excess of the obligated amount, except as specified in paragraph (f) of the Standard Provision of this Cooperative Agreement entitled "Revision of Grant Budget" (see also Section 1C.4. below).

1C.3. Payment shall be made to the Recipient in accordance with procedures set forth in the Standard Provision of this Cooperative Agreement entitled "Payment - Letter of Credit," as shown in Attachment 3.

1C.4. As indicated in Section 1C.2. above, this Cooperative Agreement is partially funded. Until such time as the obligated amount (see Section 1C.2. above) shall equal the total estimated amount (see Section 1C.1. above) of this Cooperative Agreement, additional increments of funds may be obligated by A.I.D. under this Cooperative Agreement (by a Cooperative Agreement modification), subject to availability of

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funds, possible evaluation of the program, program priorities at the time, and the requirements of the Standard Provisions of this Cooperative Agreement entitled "Revision of Grant Budget" and, if applicable (see Section 1K.2. for applicability) "Cost Sharing (Matching)," as set forth in Attachment 3.

1C.5. The total estimated amount of the program described in Attachment 2 of this Cooperative Agreement is \$3,653,374, of which A.I.D. may provide the amount specified in Section 1C.1. above, and the Recipient will provide \$1,653,378 in accordance with Section 1L. below.

**1D. COOPERATIVE AGREEMENT BUDGET**

1D.1. The following is the Budget for the total estimated amount of this Cooperative Agreement (see Section 1C.1. above) for its full period (see Section 1B. above). The Recipient may not exceed the total estimated amount or the obligated amount of this Cooperative Agreement, whichever is less (see Sections 1C.1. and 1C.2., respectively, above). Except as specified in the Standard Provision of this Cooperative Agreement entitled "Revision of Grant Budget," as shown in Attachment 3, the Recipient may adjust line item amounts as may be reasonably necessary for the attainment of program objectives. Revisions to the budget shall be in accordance with Section 1C. above and the Standard Provisions entitled "Revision of Grant Budget" and "Cost Sharing (Matching)."

**1D.2. Budget**

<u>Cost Element</u>	<u>A.I.D.</u>	<u>Grantee/ Others (Non-Fed)</u>	<u>Total</u>
Salaries and Wages	\$ 529,310	\$ 56,872	\$ 586,182
Fringe Benefits	95,276	10,238	105,514
Consultants	125,383	987,158	1,112,541
Travel/Transportation	303,523	21,000	324,523
Per Diem	74,884	88,263	163,147
Other Direct Costs	135,492	0	135,492
Equipment	4,040	0	4,040
Subcontracts	200,000	0	200,000
Overhead	485,325	446,796	932,121
G & A	46,763	43,051	89,814
<b>Total</b>	<b>\$1,999,996</b>	<b>\$1,653,378</b>	<b>\$3,653,374</b>

1D.3. Inclusion of any cost in the budget of this Cooperative Agreement does not obviate the requirement for prior approval by the Agreement Officer of cost items designated as requiring prior approval by the applicable cost principles (see the

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Standard Provision of this Cooperative Agreement set forth in Attachment 3 entitled "Allowable Costs") and other terms and conditions of this Cooperative Agreement, unless specifically stated in Section 1I. below.

1D.4. With reference to paragraph (b)(5) of the Standard Provision set forth in Attachment 3 of this Cooperative Agreement entitled "Revision of Grant Budget," the Recipient must obtain A.I.D. Agreement Officer approval of all (sub)contracts despite the fact that (sub)contract costs are provided for in Section 1D.2. entitled "Budget" (see also subsection 1F.8. below entitled "Subcontracts and Subagreements").

**1E. REPORTING**

**1E.1. Financial Reporting**

1E.1.(a) Financial reporting requirements shall be in accordance with the Standard Provision of this Cooperative Agreement entitled "Payment - Letter of Credit" as shown in Attachment 3.

1E.1.(b) All financial reports shall be submitted to A.I.D., Office of Financial Management, PFM/FM/CMPD/DCB, Room 700 SA-2, Washington, D.C. 20523-0209. In addition, three copies of all financial reports shall be submitted to the A.I.D. Project Office specified in the Cover Letter of this Cooperative Agreement, concurrently with submission of the Quarterly Technical Reports (See Section 1E.2. below).

1E.1.(c) The frequency of financial reporting and the due dates of reports shall be as specified in the Standard Provision of this Cooperative Agreement referred to in Section 1E.1.(a) above.

1E.1.(d) The Recipient's financial reports shall include expenditures of A.I.D. Cooperative Agreement funds provided hereunder, as well as non-federal matching funds and any other contributions in accordance with Section 1J. below.

**1E.2. Program Performance Planning and Reporting**

**1E.2.(a) Project Implementation Plan**

Not later than sixty (60) days from the effective date of this Cooperative Agreement (see Section 1B. above), the Recipient shall prepare and submit to the A.I.D. Project Officer specified in the Cover Letter of this Cooperative Agreement

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five (5) copies of a project implementation plan, with critical path indicators (as described in Appendix 9A of A.I.D. Handbook 3), for the full term of this Cooperative Agreement.

**1E.2.(b) Annual Workplans**

**1E.2.(b)(1)** The Recipient shall submit annual workplans for this Cooperative Agreement. Each annual workplan shall contain the following:

**1E.2.(b)(1)(A)** An action-oriented workplan describing planned activities during the next year, delineated by calendar quarter, and linked to the project goals and objectives, which describes the individuals to be involved, the activities to be conducted, and where and when they will be conducted. Planned activities shall be grouped by subject category, and then related to project objectives;

**1E.2.(b)(1)(B)** A projected budget, utilizing the same budget line items as are set forth in the budget of this Cooperative Agreement, for each calendar quarter, corresponding to the workplan; and

**1E.2.(b)(1)(C)** Publications, reports, workshops, seminars, and other information dissemination activities planned, by calendar quarter.

**1E.2.(b)(2)** The Recipient may develop the annual workplans in consultation with the A.I.D. Project Officer for this Cooperative Agreement.

**1E.2.(b)(3)** Five (5) copies of each annual workplan will be submitted to the designated A.I.D. Project Officer for this Cooperative Agreement and one copy submitted to the Agreement Officer. The first annual workplan covering the first year of this Cooperative Agreement shall be submitted by the Recipient not later than sixty (60) days from the effective date of this Cooperative Agreement (see Section 1B. above). Thereafter, the annual workplan for each successive year of this Cooperative Agreement shall be submitted by the Recipient not later than sixty (60) days prior to the beginning of each year.

**1E.2.(c) Quarterly Reports**

The Recipient shall submit five (5) copies of brief quarterly program performance reports, which coincide with the financial reporting periods described in Section 1E.1. above, to the A.I.D. Project Office specified in the Cover Letter of this Cooperative Agreement. In addition, two copies shall be submitted to A.I.D., PPC/CDIE/DI, Washington, DC 20523-1802.

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These reports shall be submitted within 30 days following the end of the reporting period, and shall briefly present the following information:

1E.2.(c)(1) A comparison of actual accomplishments with the goals established for the period, the findings of the investigator, or both. If the output of programs can be readily quantified, such quantitative data should be related to cost data for computation of unit costs.

1E.2.(c)(2) Reasons why established goals were not met, if applicable.

1E.2.(c)(3) Other pertinent information including the status of finances and expenditures and, when appropriate, analysis and explanation of cost overruns or high unit costs.

1E.2.(d) Special Reports

Between the required program performance reporting dates, events may occur that have significant impact upon the program. In such instances, the Recipient shall inform the A.I.D. Project Officer as soon as the following types of conditions become known:

1E.2.(d)(1) Problems, delays, or adverse conditions that will materially affect the ability to attain program objectives, prevent the meeting of time schedules and goals, or preclude the attainment of work units by established time periods. This disclosure shall be accompanied by a statement of the action taken, or contemplated, and any A.I.D. assistance needed to resolve the situation.

1E.2.(d)(2) Favorable developments or events that enable time schedules to be met sooner than anticipated or more work units to be produced than originally projected.

1E.2.(d)(3) If any performance review conducted by the Recipient discloses the need for change in the budget estimates in accordance with the criteria established in the Standard Provision of this Cooperative Agreement entitled "Revision of Grant Budget," the Recipient shall submit a request for budget revision to the Agreement Officer and the A.I.D. Project Officer specified in the Cover Letter of this Cooperative Agreement.

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**1E.2.(e) Final Report**

Within 90 days following the estimated completion date of this Cooperative Agreement (see Section 1B. above), the Recipient shall submit five (5) copies of a final report to the A.I.D. Project Office specified in the cover letter of this Cooperative Agreement. In addition, two copies shall be submitted to A.I.D., PPC/CDIE/DI, Washington, DC 20523-1802. It will cover the entire period of the Cooperative Agreement and include all information shown in Sections 1E.2.(b) through 1E.2.(f) above.

**1E.2.(f) Technical and Research Reports and Publications**

The Recipient shall summarize technical and research activities of the project in reports, and distribute such reports to the appropriate USAID Missions, LDCs, and host country and international institutions in order to encourage use of the technology developed. Such reports will be completed within 60 days after completion of the activity. Journal articles and other publications are encouraged. See also Section 1I. of this Cooperative Agreement pertaining to publications.

**1E.2.(g) Environmental Impact**

If it appears that outputs of this project will result in an adverse environmental impact, the Recipient shall notify the A.I.D. Project Officer prior to implementation, in order to allow for orderly preparation of an environmental impact statement. The Recipient shall assure that appropriate U.S. Government and/or host country procedures are followed.

**1E.2.(h) Trip Reports**

Within 30 days following the completion of each international trip, the Recipient shall submit 3 copies of a trip report summarizing the accomplishments of the trip to the A.I.D. Project Officer specified in the cover letter of this Cooperative Agreement. If several individuals are travelling together to one site, a single report representing the group will suffice. The report shall include the purpose of the trip, technical observations, suggestions and recommendations, overall impressions of the site situation (if appropriate), and a list of persons visited with their title and organization affiliation.

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**1E.2.(i) Training Reports**

1E.2.(i)(1) If the Standard Provision entitled "Participant Training" applies to this Cooperative Agreement (see Section 1K. for applicability), the Recipient shall comply with reporting and information requirements of the Standard Provision of this Cooperative Agreement entitled "Participant Training," as well as Chapters 5 and 24 of A.I.D. Handbook 10.

1E.2.(i)(2) The Recipient shall also provide five (5) copies of quarterly training reports to the A.I.D. Project Officer, covering this Cooperative Agreement. The report shall include the following information:

- Total number of new trainees during the period; and
- The following information for each LDC trainee:
  - name
  - citizenship
  - gender
  - training site
  - beginning and ending dates of training
  - purpose of training
  - type of training activities
  - source of funding

1E.2.(i)(3) The Recipient shall provide ten (10) copies of all training manuals produced under this Cooperative Agreement to the A.I.D. Project Officer.

**1E.2.(j) Care of Laboratory Animals**

If the Standard Provision entitled "Care of Laboratory Animals" applies to this Cooperative Agreement (see Section 1K. for applicability), the Recipient shall include the certificate required by paragraph (c) of said Standard Provision in all of its reports which pertain to the use of laboratory animals.

**1E.2.(k) Research Involving Recombinant DNA**

If any research involving recombinant DNA is being funded hereunder, the Recipient shall comply with the reporting requirements set forth in Section 1I.2.(d) of this Cooperative Agreement.

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**1F. SUBSTANTIAL INVOLVEMENT UNDERSTANDINGS**

It is understood and agreed that A.I.D. will be substantially involved during performance of this Cooperative Agreement as follows:

**1F.1. Annual Workplan** - The A.I.D. Project Officer will be consulted during the development of the annual workplans and have the right of final approval of all areas of the workplan where A.I.D. funds are included.

**1F.2. Workplan Revisions** - The A.I.D. Project Officer will be consulted and have the right of approval for revisions of the annual workplan which involves the use of A.I.D. funds.

**1F.3. Field Visits** - Pursuant to the standard provision of this Cooperative Agreement entitled "Air Travel and Transportation," the A.I.D. Project Officer must provide advance approval of all international travel.

**1F.4. Field Activities** - The A.I.D. Project Officer will be involved in, and must approve, the selection of sites, methodologies and strategies to be used in field activities funded under this Cooperative Agreement.

**1F.5. Consultants** - The A.I.D. Project Officer must approve, in advance, the selection of consultants retained by the Recipient.

**1F.6. Participants** - Where A.I.D. funds are used, the A.I.D. Project Officer must approve, in advance, the selection of technical trainees or scientists for participation in training activities.

**1F.7. Principal Manager** - The A.I.D. Project Officer must approve, in advance, the selection of the Recipient's Project Manager and any alternate.

**1F.8. Subcontracts and Subagreements** - The A.I.D. Project Officer must approve, in advance, the terms of reference or scope of work of all subcontracts and subagreements awarded by the Recipient. If required by Paragraphs (b)(5) or (b)(6) of the Standard Provision entitled "Revision of Grant Budget," or the Standard Provision entitled "A.I.D. Eligibility Rules for Goods and Services," the Agreement Officer must approve subcontracts (see the Standard Provision entitled "Procurement of Goods and Services") and subagreements (see the Standard Provision entitled "Subagreements"). See also subsection 1D.4 above.

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1F.9. Technical Advisory Group (TAG) - A.I.L. will be involved and have right of final approval in scheduling meetings and reviews of the Technical Advisory Group.

**1G. PROCUREMENT AND (SUB) CONTRACTING**

**1G.1. Applicability**

This Section 1G. applies to the procurement of goods and services by the Recipient (i.e., contracts, purchase orders, etc.) from a supplier of goods and services (see the Standard Provisions of this Cooperative Agreement entitled "Procurement of Goods and Services" and "AID Eligibility Rules for Goods and Services"), and not to assistance provided by the Recipient (i.e., a [sub]grant or subagreement) to a subrecipient (see the Standard Provision of this Cooperative Agreement entitled "Subagreements").

**1G.2. Requirements**

In addition to other applicable provisions of this Cooperative Agreement, the Recipient shall comply with paragraph (b)(2) of the Standard Provision of this Cooperative Agreement entitled "AID Eligibility Rules for Goods and Services," concerning total procurement value of more than \$250,000 under this Cooperative Agreement. Further thereto, the following is (are) the Authorized Geographic Code(s):

**1G.2.(a) Authorized Geographic Codes**

**1G.2.(a)(1) Source, Origin, and Componentry of Goods and Commodities**

**1G.2.(a)(1)(A) Source, Origin, and Componentry**

Except as specified in Sections 1G.2.(a)(1)(B) and 1G.2.(a)(1)(D) below, all goods/commodities shall have their source and origin in the "cooperating country"\* or the United States, and shall meet A.I.D.'s componentry requirements, except as the Agreement Officer may otherwise agree in writing (see also Section 1G.2.[a][4] below).

\* Each developing country in which assessments, training, or technical or other assistance takes place under the project shall be considered a "cooperating country."

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**1G.2. (a) (1) (B) Exception for Purchase/Procurement Transactions not Exceeding \$5,000**

If the proposed purchase/procurement transaction does not exceed \$5,000 excluding transportation costs, paragraph (b) (1) of the Standard Provision of this Cooperative Agreement entitled "AID Eligibility Rules for Goods and Services" shall apply in lieu of Section 1G.2. (a) (1) (A) above.

**1G.2. (a) (1) (C) Local Cost Financing**

If, pursuant to Sections 1G.2. (a) (1) (A) or 1G.2. (a) (1) (B) above, the cooperating country is authorized for source and origin purposes, the Standard Provision of this Cooperative Agreement entitled "Local Cost Financing" will apply. Pursuant to said Standard Provision, indigenous goods and imported shelf items provided by local suppliers are eligible for local cost financing in quantities up to the total estimated cost of this Cooperative Agreement, subject to the restrictions stated in said Standard Provision, and Chapter 18 of Supplement B to A.I.D. Handbook 1, which, as may from time to time be amended, is incorporated herein as a part of this Cooperative Agreement by reference.

**1G.2. (a) (1) (D) Restricted Goods**

Notwithstanding the foregoing, the restricted goods listed in paragraph (a) (3) of the Standard Provision of this Cooperative Agreement entitled "AID Eligibility Rules for Goods and Services," and, if applicable (see Section 1G.2. [a][1][C] above or Section 1K. below for applicability), paragraph (e) of the Standard Provision entitled "Local Cost Financing," must be specifically approved by the Agreement Officer, except to the extent that such approval may be provided in Section 1I. below.

**1G.2. (a) (2) Eligibility of Commodity-Related Services**

**1G.2. (a) (2) (A) Ocean Transportation**

The eligibility of ocean transportation services is determined by the flag registry of the vessel. Notwithstanding the Standard Provision of this Cooperative Agreement entitled "Ocean Shipment of Goods," ocean shipping financed hereunder shall, except as the Agreement Officer may otherwise agree in writing, be financed only on flag vessels of the United States (A.I.D. Geographic Code 000). If the Agreement Officer approves the use of non-U.S. flag vessels, the Standard Provision of this Cooperative Agreement entitled "Ocean Shipment of Goods" will apply. Notwithstanding any of the foregoing, commodities shipped by a transportation medium

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owned, operated, or under the control of any country not included in A.I.D. Geographic Code 935 (see Section 1G.2.(a)[4][B] below) are ineligible for A.I.D. financing hereunder, regardless of whether such transportation costs are financed hereunder. Moreover, commodities are ineligible for A.I.D. financing hereunder if shipped on a vessel which A.I.D. has designated as ineligible, regardless of whether such transportation costs are financed hereunder. Commodities are also ineligible for A.I.D. financing hereunder if shipped under an ocean charter that has not received prior approval of the Agreement Officer, regardless of whether such transportation costs are financed hereunder.

1G.2.(a)(2)(B) Dead Freight

Transportation costs attributable to dead freight are not eligible for A.I.D. financing.

1G.2.(a)(2)(C) Despatch and Demurrage

If the Recipient finances the delivery costs beyond the port of loading, the Recipient must refund to A.I.D. all despatch earned at the port of unloading. Demurrage costs are ineligible for A.I.D. financing.

1G.2.(a)(2)(D) Air Transportation

The eligibility of air travel and transportation services is determined by the flag registry of the aircraft. The Standard Provision of this Cooperative Agreement entitled "Air Travel and Transportation" applies. Commodities are ineligible for A.I.D. financing hereunder if shipped under an air charter that has not received prior approval of the Agreement Officer, regardless of whether such transportation costs are financed hereunder.

1G.2.(a)(2)(E) Marine Insurance

The Authorized Geographic Code for marine insurance is the same as is set forth in Section 1G.2.(a)(3)(B) below. Paragraph (c) of the Standard Provision of this Cooperative Agreement entitled "AID Eligibility Rules for Goods and Services" applies. If the Cooperating Country is authorized for the placement of marine insurance but discriminates against any marine insurance company authorized to do business in any state of the United States, failure to insure all A.I.D.-financed commodities with U.S. insurance companies shall render the commodities ineligible for A.I.D. financing hereunder.

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**1G.2.(a)(2)(F)      Other Delivery Services**

No special eligibility requirements pertain to other delivery services (such as export packing, loading, commodity inspection services, and services of a freight forwarder) except that citizens or firms of any country not included in Geographic Code 935 (see Section 1G.2.[a][4][B] below) are ineligible as suppliers of delivery services, and non-U.S. citizens lawfully admitted for permanent residence in the U.S. are eligible regardless of their citizenship.

**1G.2.(a)(2)(G)      Incidental Services**

Incidental services are defined as installation or erection of A.I.D.-financed equipment or the training of personnel in the maintenance, operation, and use of such equipment. No special eligibility requirements pertain to incidental services except that citizens or firms of any country not included in Geographic Code 935 (see Section 1G.2.[a][4][B] below) are ineligible as suppliers of incidental services, and non-U.S. citizens lawfully admitted for permanent residence in the U.S. are eligible regardless of their citizenship.

**1G.2.(a)(2)(H)      Local Cost Financing**

If, pursuant to this Section 1G.2.(a)(2), the cooperating country is authorized for commodity-related services, the Standard Provision of this Cooperative Agreement entitled "Local Cost Financing" will apply. Pursuant to said Standard Provision, services provided by local suppliers are eligible for local cost financing in quantities up to the total estimated cost of this Cooperative Agreement, subject to the restrictions stated in said Standard Provision, and Chapter 18 of Supplement B to A.I.D. Handbook 1, which, as may from time to time be amended, is incorporated herein as a part of this Cooperative Agreement by reference.

**1G.2.(a)(3)      Nationality of Supplier**

**1G.2.(a)(3)(A)      Suppliers of Goods and Commodities**

Except as specified in Section 1G.2.(a)(3)(C) below, the suppliers of goods and commodities shall have their nationality in the "cooperating country"\* or the United States, except as the Agreement Officer may otherwise agree in writing.

\* Each developing country in which assessments, training, or technical or other assistance takes place under the project shall be considered a "cooperating country."

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**1G.2. (a) (3) (B)**      Suppliers of Services (Other Than  
Commodity-Related Services)

Except as specified in Section 1G.2.(a)(3)(C) below, the suppliers of services (other than commodity-related services, as described in Section 1G.2.[a][2] above) shall have their nationality in the "cooperating country"\* or the United States, except as the Agreement Officer may otherwise agree in writing.

**1G.2. (a) (3) (C)**      Government Owned Organizations

Notwithstanding the foregoing, a Government Owned Organization, i.e., a firm operated as a commercial company or other organizations (including nonprofit organizations other than public educational institutions) which are wholly or partially owned by governments or agencies thereof, are not eligible as suppliers of goods and commodities, commodity-related services, or services (other than commodity-related services), except as the Agreement Officer may otherwise agree in writing.

**1G.2. (a) (3) (D)**      Local Cost Financing

If, pursuant to this Section 1G.2.(a)(3), the cooperating country is authorized for supplier nationality purposes, the Standard Provision of this Cooperative Agreement entitled "Local Cost Financing" will apply. Pursuant to said Standard Provision, local suppliers are eligible for local cost financing of indigenous goods, imported shelf items, and services in quantities up to the total estimated cost of this Cooperative Agreement, subject to the restrictions stated in said Standard Provision, and Chapter 18 of Supplement B to A.I.D. Handbook 1, which, as may from time to time be amended, is incorporated herein as a part of this Cooperative Agreement by reference.

**1G.2. (a) (4)**      Definitions

**1G.2. (a) (4) (A)**      Source, Origin, Componentry, and Nationality  
of Supplier

Source, origin, componentry requirements, and supplier nationality are defined in Chapter 5 of A.I.D. Handbook 1, Supplement B, which, as may be amended from time to time, is incorporated herein as a part of this Cooperative Agreement by

\* Each developing country in which assessments, training, or technical or other assistance takes place under the project shall be considered a "cooperating country."

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reference (see also Attachment 5 of this Cooperative Agreement which reflects the substance of Chapter 5 of A.I.D. Handbook 1, Supplement B as of the effective date of this Cooperative Agreement).

**1G.2. (a) (4) (B)      A.I.D. Geographic Codes**

A.I.D. Geographic Codes are defined in Appendix D of A.I.D. Handbook 18, which, as may be amended from time to time, is incorporated herein as a part of this Cooperative Agreement by reference (see also Attachment 5 of this Cooperative Agreement which reflects the substance of Appendix D of A.I.D. Handbook 18 as of the effective date of this Cooperative Agreement).

**1G.3.      Approvals**

Inclusion of costs in the budget of this Cooperative Agreement for the purchase of nonexpendable equipment obviates neither the requirement of Section J.13. of OMB Circular A-21 (for educational institutions) or Section 13 of Attachment B of OMB Circular A-122 (for nonprofit organizations other than educational institutions) nor prior approval of such purchases by the Agreement Officer, nor any other terms and conditions of this Cooperative Agreement, unless specifically stated in Section 1I. below.

**1G.4.      Title to Property**

Title to property acquired hereunder shall vest in the Recipient, subject to the requirements of the Standard Provision of this Cooperative Agreement entitled "Title To and Use of Property (Grantee Title)" regarding use, accountability, and disposition of such property, except to the extent that disposition of property may be specified in Section 1I. below.

**1H. INDIRECT COST RATES**

**1H.1.** Pursuant to the Standard Provision of this Cooperative Agreement entitled "Negotiated Indirect Cost Rates - Provisional," an indirect cost rate or rates shall be established for each of the Recipient's accounting periods which apply to this Cooperative Agreement. Pending establishment of final or revised provisional indirect cost rates, provisional payments on account of allowable indirect

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costs shall be made on the basis of the following negotiated provisional rate(s) applied to the base(s) which is (are) set forth below:

<u>Type</u>	<u>Rate</u>	<u>Base</u>
Overhead	38.4%	<u>1/</u>
G & A	3.7%	<u>2/</u>

- 1/ Base of Application: Total direct costs excluding equipment, nonexpendable property, subcontracts and subgrants, and in-country training costs.
- 2/ Base of Application: Total direct costs for contracts and grants.

**1I. SPECIAL PROVISIONS**

**1I.1. Limitations on Reimbursement of Costs of Compensation for Personal Services and Professional Service Costs**

**1I.1.(a) Employee Salaries**

Except as the Agreement Officer may otherwise agree in writing, A.I.D. shall not be liable for reimbursing the Recipient for any costs allocable to the salary portion of direct compensation paid by the Recipient to its employees for personal services which exceed the highest salary level for a Foreign Service Officer, Class 1 (FS-1), as periodically amended.

**1I.1.(b) Consultant Fees**

Compensation for consultants retained by the Recipient hereunder shall not exceed, without specific approval of the rate by the Agreement Officer: either the highest rate of annual compensation received by the consultant during any full year of the immediately preceding three years; or the maximum rate of a Foreign Service Officer, Class 1 (FS-1) (as periodically amended), whichever is less. A daily rate is derived by dividing the annual compensation by 2,087 and multiplying the result by 8.

**1I.2. Compliance With Federal Guidelines and Regulatory Procedures Pertaining to Recombinant DNA**

**1I.2.(a)** The Recipient shall implement any research activities under this Cooperative Agreement which involve recombinant DNA in accordance with:

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1I.2.(a)(1) The National Institutes of Health Guidelines for Research Involving Recombinant DNA Molecules;

1I.2.(a)(2) Procedures issued by the U.S. Department of Agriculture (USDA), the Environmental Protection Agency (EPA), or other appropriate Federal agency;

1I.2.(a)(3) A.I.D.'s environmental procedures; and

1I.2.(a)(4) Such other Federal guidelines and procedures as may apply during the course of research.

1I.2.(b) The Recipient cannot commence testing in any foreign location until written approval for such testing is obtained from the A.I.D. Project Officer and the government of the country where testing is planned. Testing shall be conducted in accordance with all applicable regulations of that country.

1I.2.(c) In addition, and prior to commencement of any such testing, the Recipient shall make a judgement and communicate same to the A.I.D. Project Officer as to whether the regulations, procedures, or facilities of the country in question are adequate to ensure testing in an environmentally sound manner. In the event such judgement is that they are not, the Recipient and the A.I.D. Project Officer will consult and agree on the conditions to be applied to the testing which will have such environmental effect.

1I.2.(d) Reports submitted to A.I.D. under this Cooperative Agreement will address regulatory issues as noted above related to the activity.

1I.3. Publications

1I.3.(a) The Recipient agrees to provide one copy of the manuscript of any proposed publication to the A.I.D. Project Officer not later than submission to the publisher, and to give serious consideration to any comments received from the A.I.D. Project Officer.

1I.3.(b) In the case of publication of any of the reports described in Section 1E.2. of this Cooperative Agreement, A.I.D. reserves the right to disclaim endorsement of the opinions expressed. For other publications, A.I.D. reserves the right to dissociate itself from sponsorship or publication. In both cases, the Recipient will consult with the A.I.D. Project Officer as to the nature and extent of any A.I.D. disclaimer of endorsement or dissociation from sponsorship or publication.

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11.3.(c) If A.I.D. does not choose to disclaim endorsement or dissociate itself from sponsorship or publication, the Recipient shall, in accordance with the Standard Provision of this Cooperative Agreement entitled "Publications," acknowledge A.I.D. support as follows:

"This publication was made possible through support provided by the Office of Nutrition, Bureau for Science and Technology, U.S. Agency for International Development, under Cooperative Agreement No. DAN-5120-A-00-1066-00."

11.3.(d) In addition to providing one copy of all published works and lists of other written work produced under this Cooperative Agreement to the A.I.D. Project Officer, as required by paragraph (b) of the Standard Provision of this Cooperative Agreement entitled "Publications," the Recipient shall also provide two copies of such publications and lists to A.I.D., PPC/CDIE/DI, Washington, D.C. 20523-1802.

11.4. Equipment Purchases

11.4.(a) Requirement for Prior Approval

Pursuant to Sections 1D.3. and 1G.3. above and the Standard Provisions of this Cooperative Agreement entitled "Allowable Costs" and "Revision of Grant Budget," and by extension, Section 13 of Attachment B of OMB Circular A-122, the Recipient must obtain A.I.D. Agreement Officer approval for purchases of the following:

11.4.(a)(1) General Purpose Equipment, which is defined as an article of nonexpendable tangible personal property which is usable for other than research, medical, scientific or technical activities, whether or not special modifications are needed to make them suitable for a particular purpose (e.g., office equipment and furnishings, air conditioning equipment, reproduction and printing equipment, motor vehicles, and automatic data processing equipment), having a useful life of more than two years and an acquisition cost of \$500 or more per unit); and

11.4.(a)(2) Special Purpose Equipment, which is defined as an article of nonexpendable tangible personal property, which is used only for research, medical, scientific, or technical activities (e.g., microscopes, x-ray machines, surgical instruments, and spectrometers), and which has a useful life of more than two years and an acquisition cost of \$1,000 or more per unit).

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**1I.4.(b) Approvals**

In furtherance of the foregoing, the Agreement Officer does hereby provide approval for the following purchases, which shall not be construed as authorization to exceed the total estimated amount or the obligated amount of this Cooperative Agreement, whichever is less (see Section 1C. above):

1. One (1) facsimile machine
2. One (1) computer

**1I.4.(c) Exception for Automation Equipment**

Any approval for the purchase of automation equipment which may be provided in Section 1I.4.(b) above or subsequently provided by the Agreement Officer is not valid if the total cost of purchases of automation equipment (e.g., computers, word processors, etc.), software, or related services made hereunder will exceed \$100,000. The Recipient must, under such circumstances, obtain the approval of the Agreement Officer for the total planned system of any automation equipment, software, or related services.

**1I.4.(d) Compliance with A.I.D. Eligibility Rules**

Any approvals provided in Section 1I.4.(b) above or subsequently provided by the Agreement Officer shall not serve to waive the A.I.D. eligibility rules described in Section 1G. of this Cooperative Agreement, unless specifically stated.

**1I.5. Restricted Goods**

Pursuant to Section 1G. above, paragraph (a)(3) of the Standard Provisions of this Cooperative Agreement entitled "AID Eligibility Rules for Goods and Services," and, if applicable (see Section 1K. below for applicability), paragraph (e) of the Standard Provision of this Cooperative Agreement entitled "Local Cost Financing," the Agreement Officer's approval is required for purchase of the restricted goods described therein. In furtherance thereof, the Agreement Officer does hereby provide such approval to the extent set forth below. The Agreement Officer's approval is required for purchases of such restricted goods if all of the conditions set forth below are not met by the Recipient. Any approval provided below or subsequently provided by the Agreement Officer shall not serve to waive any terms and conditions of this Cooperative Agreement unless specifically stated.

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**1I.5. (a) Agricultural Commodities**

Agricultural commodities may be purchased provided that they are of U.S. source (generally, the country from which the commodities are shipped) and origin (generally, the country in which the commodities are mined, grown, or produced) and purchased from a U.S. supplier, except that wheat, rice, corn, soybeans, sorghums, flour, meal, beans, peas, tobacco, hides and skins, cotton, vegetable oils, and animal fats and oils cannot be purchased under any circumstances without the prior written approval of the Agreement Officer.

**1I.5. (b) Motor Vehicles**

Motor vehicles, if approved for purchase under Section 1I.4. (b) above or subsequently approved by the Agreement Officer, must be of U.S. manufacture and must be of at least 51% U.S. componentry. The source of the motor vehicles, and the nationality of the supplier of the vehicles, must be in accordance with Section 1G.2. above. Motor vehicles are defined as self-propelled vehicles with passenger carriage capacity, such as highway trucks, passenger cars and busses, motorcycles, scooters, motorized bicycles, and utility vehicles. Excluded from this definition are industrial vehicles for materials handling and earthmoving, such as lift trucks, tractors, graders, scrapers, and off-the-highway trucks.

**1I.5. (c) Pharmaceuticals**

Pharmaceuticals may be purchased provided that all of the following conditions are met: (1) the pharmaceuticals must be safe and efficacious; (2) the pharmaceuticals must be of U.S. source and origin (see Section 1G. above); (3) the pharmaceuticals must be of at least 51% U.S. componentry (see Section 1G. above); (4) the pharmaceuticals must be purchased from a supplier whose nationality is in the U.S. (see Section 1G. above); (5) the pharmaceuticals must be in compliance with U.S. Food and Drug Administration (FDA) (or other controlling U.S. authority) regulations governing United States interstate shipment of pharmaceuticals; (6) the manufacturer of the pharmaceuticals must not infringe on U.S. patents; and (7) the pharmaceuticals must be competitively procured in accordance with the procurement policies and procedures of the Recipient and the Standard Provision of this Cooperative Agreement entitled "Procurement of Goods and Services."

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**1I.5.(d) Pesticides**

Pesticides may only be purchased if the purchase and/or use of such pesticides is for research or limited field evaluation by or under the supervision of project personnel. Pesticides are defined as substances or mixtures of substances: intended for preventing, destroying, repelling, or mitigating any unwanted insects, rodents, nematodes, fungi, weeds, and other forms of plant or animal life or viruses, bacteria, or other micro-organisms (except viruses, bacteria, or other micro-organisms on or living in man or other living animals); or intended for use as a plant regulator, defoliant, or dessicant.

**1I.5.(e) Rubber Compounding Chemicals and Plasticizers**

Rubber compounding chemicals and plasticizers may only be purchased with the prior written approval of the Agreement Officer.

**1I.5.(f) Used Equipment**

Used equipment may only be purchased with the prior written approval of the Agreement Officer.

**1I.5.(g) Fertilizer**

Fertilizer may be purchased if it is either purchased in the U.S. and used in the U.S., or if it is purchased in the cooperating country with local currency for use in the cooperating country. Any fertilizer purchases which do not comply with these limitations must be approved in advance by the Agreement Officer.

**1I.6. Limitation on Use of Funds**

**1I.6.(a)** The Recipient shall not utilize funds provided by A.I.D. for any testing or breeding feasibility study, variety improvement or introduction, consultancy, publication, conference or training in connection with the growth or production in countries other than the United States of an agricultural commodity for export which would compete with a similar commodity grown or produced in the United States.

**1I.6.(b)** The reports described in Section 1E.2. shall contain a statement indicating the projects or activities to which United States funds have been attributed, together with a brief description of the activities adequate to show that United States funds have not been used for the purpose in Section 1I.6.(a) above.

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National Cooperative Business Association

1I.6.(c) The Recipient agrees to refund to A.I.D. upon request an amount equal to any United States funds used for the purposes prohibited by Section 1I.6.(a) above.

1I.6.(d) No funds provided by A.I.D. under this Cooperative Agreement shall be used to provide assistance, either directly or indirectly, to any country ineligible to receive assistance pursuant to the Foreign Assistance Act as amended, related appropriations acts, or other statutes and Executive Orders of the United States (also see the Standard Provision of this Cooperative Agreement entitled "Ineligible Countries").

1J. RESOLUTION OF CONFLICTS

Conflicts between any of the Attachments of this Cooperative Agreement shall be resolved by applying the following descending order of precedence:

- Attachment 1 - Schedule
- Attachment 3 - Standard Provisions
- Attachment 4 - Special Provision entitled "Restrictions on Lobbying"
- Attachment 5 - A.I.D. Eligibility Rules
- Attachment 2 - Program Description

1K. STANDARD PROVISIONS

The Standard Provisions set forth as Attachment 3 of this Cooperative Agreement consist of the following Standard Provisions denoted by an "X" which are attached hereto and made a part of this Cooperative Agreement:

1K.1. Mandatory Standard Provisions For U.S.,  
Nongovernmental Grantees

- ( X ) Allowable Costs (November 1985)
- ( X ) Accounting, Audit, and Records (September 1990)
- ( X ) Refunds (September 1990)
- ( X ) Revision of Grant Budget (November 1985)
- ( X ) Termination and Suspension (May 1986)
- ( X ) Disputes (November 1989)
- ( X ) Ineligible Countries (May 1986)
- ( X ) Debarment, Suspension, and Other Responsibility Matters (March 1989)
- ( X ) Nondiscrimination (May 1986)
- ( X ) U.S. Officials Not to Benefit (November 1985)
- ( X ) Nonliability (November 1985)
- ( X ) Amendment (November 1985)
- ( X ) Notices (November 1985)

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**1K.2. Additional Standard Provisions For U.S.,  
Nongovernmental Grantees**

- ( X ) Payment - Letter of Credit (November 1985)
- ( ) Payment - Periodic Advance (January 1988)
- ( ) Payment - Cost Reimbursement (November 1985)
- ( X ) Air Travel and Transportation (November 1985)
- ( X ) Ocean Shipment of Goods (May 1986)
- ( X ) Procurement of Goods and Services (November 1985)
- ( X ) AID Eligibility Rules for Goods and Services (November 1985)
- ( X ) Subagreements (November 1985)
- ( X ) Local Cost Financing (November 1988)
- ( X ) Patent Rights (November 1985)
- ( X ) Publications (November 1985)
- ( ) Negotiated Indirect Cost Rates - Predetermined (May 1986)
- ( X ) Negotiated Indirect Cost Rates - Provisional (May 1986)
- ( X ) Regulations Governing Employees (November 1985)
- ( X ) Participant Training (May 1986)
- ( ) Voluntary Population Planning (August 1986)
- ( ) Protection of the Individual as a Research Subject (November 1985)
- ( ) Care of Laboratory Animals (November 1985)
- ( ) Government Furnished Excess Personal Property (November 1985)
- ( X ) Title To and Use of Property (Grantee Title) (November 1985)
- ( ) Title To and Care of Property (U.S. Government Title) (November 1985)
- ( ) Title To and Care of Property (Cooperating Country Title) (November 1985)
- ( X ) Cost Sharing (Matching) (November 1985)
- ( X ) Use of Pouch Facilities (November 1985)
- ( X ) Conversion of United States Dollars to Local Currency (November 1985)

**1L. COST SHARING AND OTHER CONTRIBUTIONS**

1L.1. The Recipient agrees to expend an amount not less than (a) the amount shown in the budget of this Cooperative Agreement for financing by the Recipient and/or others from non-federal funds (see Sections 1D. and/or 1H.), and (b) the amount shown in the budget of this Cooperative Agreement for financing by the Recipient and/or others from other federal funds.

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National Cooperative Business Association

1L.2. The Standard Provision of this Cooperative Agreement entitled "Cost Sharing (Matching)" makes reference to project costs. "Project Costs" are defined in Attachment E of OMB Circular A-110 as all allowable costs (as set forth in the applicable cost principles [see the Standard Provision of this Cooperative Agreement entitled "Allowable Costs"]) incurred by a Recipient and the value of in-kind contributions made by the Recipient or third parties in accomplishing the objectives of this Cooperative Agreement during the program period.

1L.3. The restrictions on the use of A.I.D. funds provided hereunder, as set forth in this Cooperative Agreement, do not apply to cost-sharing (matching) or other contributions unless such restrictions are stated in the applicable federal cost principles and/or imposed by the source of such cost-sharing (matching) funds or other contributions.

**PROGRAM DESCRIPTION**

## II. PROGRAM DESCRIPTION

### A. Program Goal

The goal of SUSTAIN is to improve the nutritional well-being of the population of selected developing countries, especially at-risk women and children, by ensuring that there is better quality, safer, and more nutritional foods available at reasonable prices.

### B. Program Purpose

The purpose of SUSTAIN is to provide access to the U.S. food processing and marketing industry by small and medium sized food processing companies, host government officials, and USAIDs in targeted developing countries. The industry volunteers will share their technical expertise and experience with developing countries to improve local food processing, preservation, safety, marketing, and management skills and procedures. Special emphasis will be placed on the enrichment of local foods and the development or improvement of weaning foods.

### C. Outputs

The principal outputs of SUSTAIN will be to transfer knowledge and practical implementation skills on food processing, preservation, management and marketing through SUSTAIN visits, in-country training, visits to the United States, and written communication.

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Due to the short term and volunteer nature of the technical assistance, other activities by A.I.D. or the private sector will have to follow SUSTAIN's work to achieve long term results. The experience of SUSTAIN in 1990/1991 indicated the need for long term follow-up assistance in order to assure that recommendations were implemented.

SUSTAIN can act as a catalyst to begin the process of improving skills and expertise of food processing industries. It can also emphasize the value of commercially viable food industries increasing income as a way to improve the nutritional status of the targeted population.

### III. DESCRIPTION OF PROJECT ACTIVITIES

#### A. Overseas Activities

1. Assessment Missions - SUSTAIN responds to requests for broad-based assistance in food processing systems and technologies by organizing initial assessment missions. SUSTAIN mission teams generally include two volunteer food industry consultants and a SUSTAIN staff member.

The mission serves several functions: it allows the team to (a) assess strengths and weaknesses of food processing systems in selected countries; (b) evaluate problems and concerns expressed by the private sector, public sector, and USAID Mission; (c) propose recommendations to address these concerns; and (d) outline a plan of action for short and long term follow-up activities. During the 1991-1995 period, SUSTAIN plans to conduct 12 assessment missions.

At the completion of a mission, SUSTAIN prepares a written report outlining and summarizing these issues. The report is sent to assessment mission participants, USAID, and the Steering Committee.

2. Technical Consultations - Once the assessment mission is completed, SUSTAIN sends technical consultants to help solve specialized problems among small-to-medium sized food processing firms and organizations. Technical consultants may be sent as one or two person teams. Most technical consultants agree to volunteer their time and expertise,

although recruiting paid consultants has been necessary under certain circumstances. However, due to funding and volunteer resource limitations, some requests are beyond the scope of the SUSTAIN program. These long term requests should be handled by other programs to ensure continuity and indepth results. During the five year period, SUSTAIN plans to conduct 27 technical assistance missions.

At the completion of a technical consultant visit, the consultant prepares a written report outlining their finding and recommendations. The report is sent to participants, USAID, and the Steering Committee.

3. Workshops - Issues identified through assessment missions and technical consultants serve as subject areas for industry-wide workshops and seminars. The events are typically co-hosted by in-country organizations, with SUSTAIN providing technical consultants to conduct the workshops. Topics for workshops will include processing and preserving techniques, packaging and labeling, quality control, waste management, food safety, and marketing. During the five year period, SUSTAIN plans to conduct 21 workshops/seminars. At the completion of a workshop, a written summary report is prepared and disseminated. (See Annex 1 for details)

#### B. Publications

1. "SUSTAIN NOTES" - SUSTAIN publishes quarterly issues of "SUSTAIN NOTES", with a mailing of approximately 1,400. The publication deals with technical issues in food processing and is provided gratis to

participants. Articles are contributed by professionals from U.S. food industries, universities, international organizations, and host-country groups. The 1990-91 publications featured articles on food safety, weaning and fortified foods, and quality assurance.

2. Activity Reports - SUSTAIN prepares and disseminates written reports at the completion of all overseas activities.
3. Quarterly Activity Reports - SUSTAIN prepares quarterly reports which summarize project activities, budget expenditures, and planning for the balance of the year. The report is mailed to USAID and the SUSTAIN Steering Committee.
4. Annual Report - SUSTAIN publishes an annual report that is mailed to approximately 1,000 individuals. The report summarizes project activities for the year, with a detailed description of assessment missions and technical consultant activities.
5. Mailing List - SUSTAIN's mailing list is regularly updated to incorporate new participants and maintain an overall mailing of 1,400.

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STANDARD PROVISIONS

**Note:** Only those Standard Provisions indicated in Section 1K. of this Cooperative Agreement apply to this Cooperative Agreement.

AID 1360-1 (3-87)  *PIO/T  AGENCY FOR INTERNATIONAL DEVELOPMENT  PROJECT IMPLEMENTATION ORDER/TECHNICAL SERVICES	1. Cooperating Country <b>Worldwide</b>	Page 1 of Pages
	2. PIOT No. <b>1361760</b>	3. <input checked="" type="checkbox"/> Original or Amendment No. _____
	4. Project/Activity No. and Title <b>936-5120 Food Technology and Enterprise for Development</b>	
	(FN Account) <span style="float: right;">Doc. No. 0016</span>	

DISTRIBUTION Da. Int.  OFFICE OF FINANCIAL MANAGEMENT  FUNDS RESERVED BY: PFA 8/22/91 PFM/PM/A/PNP		6. Appropriation Symbol <b>72-1111021.3</b>	8. Budget Plan Code <b>(143-36-099- DDNA-91-13600-IG:11 01-20-11)</b>		
7. Obligation Status <input checked="" type="checkbox"/> Administrative Reservation <input type="checkbox"/> Supplementing Document		9. Project Assistance Completion Date (Mo., Day, Yr.) <b>9/30/98</b>			
8. Authorized Agent <b>SER/OP/W/FA</b>		10. This PIOT is in full conformance with PFM/AG No. _____ <b>PAP signed ST/FA Date 4/17/91</b>			
11a. Type of Action and Governing AID Handbook <input type="checkbox"/> AID Contract (HB 14) <input checked="" type="checkbox"/> AID Grant or Cooperative Agreement (HB 12) <input type="checkbox"/> PASA/RSSA (HB 12) <input type="checkbox"/> Other		11b. Contract/Grant/Cooperative Agreement/ PASA/RSSA Reference Number (if this is an Amendment)  <b>TBD</b>			
12. Estimated Financing (A detailed budget in support of column (2) is attached as Attachment No. _____)					
Maximum AID Financing Available	A. Dollars	(1) Previous Total	(2) Increase	(3) Decrease	(4) Total to Date
	B. U.S. Owned Local Currency		130,280		130,280

13. Mission References

14a. Instructions to Authorized Agent  
 Request that you award a five-year cooperative agreement to the National Cooperative Business Association (NCBA) based on the program description attached. The Justification for Non-Competitive Assistance is attached. The funds in this PIO/T cover the first 14 months of the agreement. The proposal and a detailed budget are attached. This PIO/T is issued in conjunction with PIO/T 1361761.

14b. Address of Voucher Paying Office  
 Agency for International Development  
 PFM/PM SA-2  
 Washington, D.C. 20523

15. Clearances—Include typed name, office symbol, telephone number and date for all clearances.				
A. The Project Officer certifies that the specifications in the statement of work or program description are technically adequate.	Phone No. <b>875-4030</b>	B. The statement of work or program description fits within the purview of the initiating office and approved agency program.	Date <b>8/14/91</b>	
	Date <b>8/14/91</b>			Signature <b>S&amp;T/N, Carolyn I. Coleman</b>
	Date <b>8/15/91</b>			Date <b>8/14/91</b>
C. S&T/N, Brenda Colwell	Date <b>8/15/91</b>	D. Funds for the services requested are available.	Date	
E. S&T/PO, Leola Thompson	Date <b>8/20/91</b>	PFM/PM/A/PNP, Rose Anderson	Date	
16. For the Cooperating Country: The terms and conditions set forth herein are hereby agreed to.		17. For the Agency for International Development Elizabeth P. Roche Signature <i>Elizabeth P. Roche</i> Date <b>8/21/91</b> Title Chief, S&T/PO/PR		
Signature _____ Date _____		Title _____		

\*See HB 3, Sup. A, App. C, AN 8, for preparation instructions. Note: The completed form contains sensitive information whose unauthorized disclosure may subject an employee to disciplinary action.

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AID 1300-1 (3-87)

\*PIO/T

AGENCY FOR INTERNATIONAL DEVELOPMENT  
PROJECT IMPLEMENTATION ORDER/TECHNICAL SERVICES

1. Cooperating Country: **Worldwide** Page 1 of Pages

2. PIOT No. **1361761**

3.  Original or Amendment No. \_\_\_\_\_

4. Project/Activity No. and Title: **936-5120 Food Technology and Enterprise for Development**

(PN Account)

Doc. No. 0010

DISTRIBUTION

OFFICE OF FINANCIAL MANAGEMENT

FUNDS RESERVED BY: *24*

Date Posted: *8/22/91*

Initials: \_\_\_\_\_

PPM/FM/A/PPNP

5. Appropriation Symbol: **72-111021.3**

6. Budget Plan Code: **(143-36-099-DDNA-91-13600-KG11 00-20-11)**

7. Obligation Status:  Administrative Reservation  Explaining Document

8. Project Assistance Completion Date (Mo., Day, Yr.): **9/30/98**

9. Authorized Agent: **MS/OP/W/PA**

10. This PIOT is in full conformance with PNO/AG No. **PAF signed ST/PA** Date: **4/17/91**

11a. Type of Action and Governing AID Handbook:

AID Contract (HB 14)  AID Grant or Cooperative Agreement (HB 12)  PASA/RSSA (HB 12)  Other

11b. Contract/Grant/Cooperative Agreement/PASA/RSSA Reference Number (if this is an Amendment): **TDB**

12. Estimated Financing (A detailed budget in support of column (2) is attached as Attachment No. \_\_\_\_\_)

Maximum AID Financing Available	A. Dollars	11 Previous Total			(4) Total to Date
		(1) Previous Total	(2) Increase	(3) Decrease	
			339,720		339,720
	B. U.S. Owned Local Currency				

13. Mission References

14A. Instructions to Authorized Agent

Request that you award a five-year cooperative agreement to the National Cooperative Business Association (NCBA) based on the program description attached. The Justification for Non-Competitive Assistance is attached. The funds in this PIOT/T cover the first 14 months of the agreement. The proposal and a detailed budget are attached. This PIOT is issued in conjunction with PIOT 1361760.

14B. Address of Voucher Paying Office: **Agency for International Development  
PPM/FM, SA-2  
Washington, D.C. 20523**

15. Clearances—Include typed name, office symbol, telephone number and date for all clearances.

A. The Project Officer certifies that the specifications in the statement of work or program description are technically adequate. <i>Carolyn I. Coleman</i> S&T/N, Carolyn I. Coleman	Phone No. <b>875-4030</b>	B. The statement of work or program description fits within the purview of the initiating office and approved agency programs. <i>Carolyn I. Coleman</i> S&T/N, Carolyn I. Coleman	Date <b>8/14/91</b>
	Date <b>8/14/91</b>		Date <b>8/14/91</b>
C. S&T/N, Brenda Colwell	Date <b>8/15/91</b>	D. Funds for the services requested are available	Date
E. S&T/PO, Leola Thompson	Date <b>8/20/91</b>	PPM/FM/A/PPNP, Rose Anderson	

16. For the Cooperating Country: The terms and conditions set forth herein are hereby agreed to

Signature \_\_\_\_\_ Date \_\_\_\_\_

Title \_\_\_\_\_

17. For the Agency for International Development

**Elizabeth E. Roche**  
*Elizabeth E. Roche*  
Signature \_\_\_\_\_ Date **8/21/91**

Title **Chief, S&T/PO/PR**

\*See HB 2, Sup. A, App. C, Att B, for preparation instructions. Note: The completed form contains sensitive information whose unauthorized disclosure may subject an employee to disciplinary action.

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