

Agency for International Development  
Washington, D.C. 20523

938-6500  
PDFC 7133

AUG 29 1991

Mr. Mario H. Ochoa  
Executive Vice President  
Adventist Development and  
Relief Agency International  
12501 Old Columbia Pike  
Silver Spring, Md. 20904

Subject: Cooperative Agreement No. PDC-0500-A-00-1097-00

Dear Mr. Ochoa:

Pursuant to the authority contained in the Foreign Assistance Act of 1961 and the Federal Grant and Cooperative Agreement Act of 1982, as amended, the Agency for International Development (hereinafter referred to as "A.I.D.") hereby provides to the Adventist Development and Relief Agency International (hereinafter referred to as "ADRA" or "Recipient") the sum set forth in Section 1C.2. of Attachment 1 of this Cooperative Agreement to provide financial support for the program described in Attachment 2 of this Cooperative Agreement entitled "Program Description."

This Cooperative Agreement is effective as of the date of this letter and funds obligated hereunder shall be used to reimburse the Recipient for allowable program expenditures for the period set forth in Section 1B. of Attachment 1 of this Cooperative Agreement.

This Cooperative Agreement is made to the Recipient on the condition that the funds will be administered in accordance with the terms and conditions as set forth in the attachments listed under my signature below, which together constitute the entire Cooperative Agreement document and have been agreed to by your organization.

Please acknowledge receipt and acceptance of this Cooperative Agreement by signing all copies of this Cover Letter, retaining one copy for your files, and returning the remaining copies to the undersigned.

Sincerely yours,



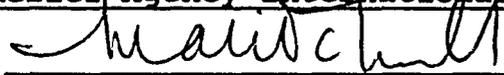
Stephen A. Dean  
Grant Officer  
Office of Procurement

**Attachments:**

- 1. Schedule
- 2. Program Description
- 3. Standard Provisions
- 4. Special Provision entitled "Restrictions on Lobbying"
- 5. A.I.D. Eligibility Rules

**ACKNOWLEDGED:**

Adventist Development and  
Relief Agency International

BY: 

TYPED NAME: Mario H. Ochoa

TITLE: Executive Vice President

DATE: September 18, 1991

FISCAL DATA

A. GENERAL

- A.1. Total Estimated A.I.D. Amount: \$1,750,000
- A.2. Total Obligated A.I.D. Amount: \$1,750,000
- A.3. Cost-Sharing Amount (Non-Federal): \$664,397
- A.4. Other Contributions (Federal): \$ - 0
- A.5. Project No.: 938-0500  
938-0284
- A.6. A.I.D. Project Office: FVA/PVC, Coleman. R.
- A.7. Funding Source: A.I.D./W
- A.8. Tax I.D. No.: 52-1314847
- A.9. DUNS No.: 00-691-9732
- A.10. LOC No.: 72-00-1353

B. SPECIFIC

- B.1.(a) PIO/T No.: 938-0500-1385008  
938-0284-1381205
- B.1.(b) Appropriation: 72-1111021.7  
72-1111021.3
- B.1.(c) Allotment: 147-38-099-00-76-11  
143-38-099-00-76-11
- B.1.(d) BPC: EDCA-91-13810-KG11  
EDNA-91-13810-KG11
- B.1.(e) Amount: PIO/T 1385008 \$1,700,000  
1381205 50,000  
\$1,750,000

ATTACHMENT 1SCHEDULE1A. PURPOSE OF COOPERATIVE AGREEMENT

The purpose of this Cooperative Agreement is to provide financial support for the program described in Attachment 2 of this Cooperative Agreement entitled "Program Description."

1B. PERIOD OF COOPERATIVE AGREEMENT

The effective date of this Cooperative Agreement is the date of the Cover Letter and the estimated completion date is September 1, 1994. Funds obligated hereunder (see Section 1C.2. below) shall be used to reimburse the Recipient for allowable program expenditures incurred by the Recipient in pursuit of program objectives during such period. Funds obligated hereunder are anticipated to be sufficient for completion by the Recipient of the program described in Attachment 2 of this Cooperative Agreement by the estimated completion date.

1C. AMOUNT OF COOPERATIVE AGREEMENT AND PAYMENT

1C.1. The total estimated amount of this Cooperative Agreement for its full period, as set forth in Section 1B. above, is \$1,750,000.

1C.2. A.I.D. hereby obligates the amount of \$1,750,000 for the purposes of this Cooperative Agreement during the indicated period set forth in Section 1B. above, thereby fulfilling A.I.D.'s funding requirements. A.I.D. shall not be liable for reimbursing the Recipient for any costs in excess of the obligated amount, except as specified in paragraph (f) of the Standard Provision of this Cooperative Agreement entitled "Revision of Grant Budget."

1C.3. Payment shall be made to the Recipient in accordance with procedures set forth in the Standard Provision of this Cooperative Agreement entitled "Payment - Letter of Credit," as shown in Attachment 3.

1C.4. The total estimated amount of the program described in Attachment 2 of this Cooperative Agreement is \$2,414,397, of which A.I.D. may provide the amount specified in Section 1C.1. above, and the Recipient will provide \$664,397 in accordance with Section 1L. below.

**1D. COOPERATIVE AGREEMENT BUDGET**

1D.1. The following is the Budget for the total estimated amount of this Cooperative Agreement (see Section 1C.1. above) for its full period (see Section 1B. above). The Recipient may not exceed the total estimated amount or the obligated amount of this Cooperative Agreement, whichever is less (see Sections 1C.1. and 1C.2., respectively, above). Except as specified in the Standard Provision of this Cooperative Agreement entitled "Revision of Grant Budget," as shown in Attachment 3, the Recipient may adjust line item amounts as may be reasonably necessary for the attainment of program objectives.

Revisions to the budget shall be in accordance with Section 1C. above and the Standard Provisions entitled "Revision of Grant Budget" and "Cost Sharing (Matching)."

1D.2. Budget  
(in dollars)

| <u>Cost Element</u> | <u>A.I.D.</u>      | <u>Recipient/<br/>Others<br/>(Non-Fed)</u> | <u>Total</u>       |
|---------------------|--------------------|--|--------------------|
| Salaries            | \$ 426,083         | 77,000                                     | \$ 503,083         |
| Fringe              | 99,449             | 17,986                                     | 117,435            |
| Consultants         | 82,825             | 5,250                                      | 88,075             |
| Equipment           | 32,050             | 104,726                                    | 136,776            |
| Supplies/Services   | 144,348            | 168,299                                    | 312,647            |
| Evaluation          | 36,884             | - 0 -                                      | 36,884             |
| Travel              | 394,403            | 98,735                                     | 493,138            |
| Other Direct Costs  | 279,684            | 95,865                                     | 375,549            |
| Indirect Costs      | 254,274            | 96,536                                     | 350,810            |
| <b>Total Costs</b>  | <b>\$1,750,000</b> | <b>\$664,397</b>                           | <b>\$2,414,397</b> |

1D.3. Inclusion of any cost in the budget of this Cooperative Agreement does not obviate the requirement for prior approval by the Agreement Officer of cost items designated as requiring prior approval by the applicable cost principles (see the Standard Provision of this Cooperative Agreement set forth in Attachment 3 entitled "Allowable Costs") and other terms and conditions of this Cooperative Agreement, unless specifically stated in Section 1I. below.

**1E. REPORTING****1E.1. Financial Reporting**

1E.1.(a) Financial reporting requirements shall be in accordance with the Standard Provision of this Cooperative Agreement entitled "Payment - Letter of Credit," as shown in Attachment 3.

1E.1.(b) All financial reports shall be submitted to A.I.D., Office of Financial Management, FA/FM/CMPD/DC, Room 700 SA-2, Washington, D.C. 20523-0209. In addition, three copies of all financial reports shall be submitted to the A.I.D. Project Office specified in the Cover Letter of this Cooperative Agreement, concurrently with submission of the Quarterly Technical Reports (See Section 1E.2. below).

1E.1.(c) The frequency of financial reporting and the due dates of reports shall be as specified in the Standard Provision of this Cooperative Agreement referred to in Section 1E.1.(a) above.

1E.1.(d) The Recipient's financial reports shall include expenditures of A.I.D. Cooperative Agreement funds provided hereunder, as well as non-federal matching funds and any other contributions in accordance with Section 1L. below.

**1E.2(a) Detailed Implementation Plan**

The Recipient shall submit a Detailed Implementation Plan (DIP) for each country program by April 1, 1992 in accordance with FVA/PVC guidelines. (Illustrative guidelines are available from FVA/PVC and will be furnished to you in the Fall of 1991.) This implementation plan should include a description of how the various child survival interventions will be evaluated and should clearly define: (a) the objectives and outputs that each program will be held accountable for; (b) the specific indicators that will be used to measure program success in reaching objectives and outputs; (c) mechanisms for collecting data, i.e. surveys, sentinel systems, etc.; and (d) manpower and other resources needed for carrying out monitoring and evaluation activities with a revised budget. The DIP should include scheduled reports, internal and external evaluations, and line item budgets.

The Recipient will develop/adopt a program-specific Health Information System, responsive to needs of field programs and headquarters and be able to provide A.I.D. with information for

tracking program performance. This system should be described in the first year progress report.

At the time this report is submitted to FVA/PVC, a copy will be sent simultaneously to the A.I.D. Mission in each respective country. All work to be charged to this Agreement, including preparation of final reports, must be completed prior to expiration of this grant.

#### 1E.2(b) Annual Report

Five copies of the Annual Report will be submitted to the AID/FVA/PVC Project Officer by October 15 of each year of the program; the first report is due October 15, 1992. The annual report should follow the annual report guidelines and should summarize inputs, outputs, progress to date, constraints, and highlights from the preceding year. The Report should also include reporting on the standard A.I.D. indicators for ORT, immunization and growth monitoring and nutrition interventions which are required of all programs receiving child survival funding. For these child survival projects, a mid-term evaluation report will replace the 2nd annual report.

The Annual report will also include the "USAID Health and Child Survival Project Questionnaire." This must be completed each year of the grant (including the final year) and for each PVO-funded CS project. Other A.I.D.-funded grants, such as OPGs, should be identified if there is a relationship of that grant to this grant supported program.

During the life-of-program, program monitoring and information on a country-specific basis will be included in the annual reporting system. Field reports prepared by the recipient's regional and technical specialists, as well as FVA/PVC consultants will also be included in the Annual Report.

At the time this report is submitted to FVA/PVC, a copy will be sent simultaneously to the A.I.D. Mission in each respective country. All work to be charged to this Agreement, including preparation of final reports, must be completed prior to expiration of this grant.

#### 1E.2(c) Interim Reporting

Prior to the required final performance reporting date, events may occur that have significant impact upon the program outcome. In such instances, the Recipient shall inform the Grant Officer in writing, and the AID/FVA/PVC Project Officer as soon as the following types of conditions are known:

a. Problems, delays or adverse conditions that will materially affect the ability to attain program objectives, prevent the meeting of time schedules, and goals, or preclude the attainment of program work activities by the established time period. This disclosure shall be accompanied by the statement of the action taken, or contemplated, and any assistance needed to resolve the situation.

b. Favorable developments or events that enable time schedules to be met or work activities to be performed sooner than originally projected, resulting in an earlier than planned project completion date.

At the time this report is submitted to FVA/PVC, a copy will be sent simultaneously to the A.I.D. Mission in each respective country. All work to be charged to this Agreement, including preparation of final reports, must be completed prior to expiration of this grant.

#### 1E.2(d) Mid-Term Evaluation

A mid-term project evaluation will be scheduled in collaboration with AID. The Recipient should work closely with the FVA/PVC Project Officer to plan for this evaluation including scheduling and participants. The results of this evaluation will be submitted to FVA/PVC as a mid-term evaluation report in accordance with mid-term evaluation report guidelines provided by FVA/PVC. (NOTE: with prior A.I.D. written approval an annual report may take the place of a mid-term evaluation for expansion grants.)

At the time this report is submitted to FVA/PVC, a copy will be sent simultaneously to the A.I.D. Mission in each respective country. All work to be charged to this Agreement, including preparation of final reports, must be completed prior to expiration of this grant.

#### 1E.2(e) Final Evaluation Report

A final independent evaluation in collaboration with A.I.D. will be carried out in the final year of the program to evaluate program effectiveness and impact. The final evaluation must be completed prior to the expiration date of the Agreement, in accordance with final evaluation guidelines provided by FVA/PVC. The final evaluation will be submitted to A.I.D. as part of the final report as explained below.

At the time this report is submitted to FVA/PVC, a copy will be sent simultaneously to the A.I.D. Mission in each respective country. All work to be charged to this Agreement, including preparation of final reports, must be completed prior to expiration of this grant.

1E.2(f) Final Report

No later than 90 days after the expiration of this Cooperative Agreement period, a final report following PVC final report guidelines and including items specified by the project officer is due. The final report includes but is not limited to, the findings of the final evaluation. The final report should follow the final evaluation guidelines, and items specified by the Project Officer. Five (5) copies will be submitted to the AID/FVA/PVC and one (1) copy to the Grant Officer whose address appears on the Cooperative Agreement cover letter.

The A.I.D. Child Survival and Health Reporting Schedule must be submitted as part of the final report as well as a full financial report including a complete pipeline analysis.

At the time this report is submitted to FVA/PVC, a copy will be sent simultaneously to the A.I.D. Mission in each respective country. All work to be charged to this Agreement, including preparation of final reports, must be completed prior to expiration of this grant.

1E.2.(g) Quarterly Reports

The Recipient shall submit five (5) copies of brief quarterly program performance reports, which coincide with the financial reporting periods described in Section 1E.1. above, to the A.I.D. Project Office specified in the Cover Letter of this Cooperative Agreement. In addition, two copies shall be submitted to A.I.D., PPC/CDIE/DI, Washington, DC 20523-1802. These reports shall be submitted within 30 days following the end of the reporting period, and shall briefly present the following information:

1E.2.(g)(1) A comparison of actual accomplishments with the goals established for the period, the findings of the investigator, or both. If the output of programs can be readily quantified, such quantitative data should be related to cost data for computation of unit costs.

1E.2.(g)(2) Reasons why established goals were not met, if applicable.

1E.2.(g)(3) Other pertinent information including the status of finances and expenditures and, when appropriate, analysis and explanation of cost overruns or high unit costs.

1E.2.(H) Trip Reports

Within 30 days following the completion of each international trip, the Recipient shall submit 3 copies of a trip report summarizing the accomplishments of the trip to the A.I.D. Project Officer specified in the cover letter of this Cooperative Agreement. If several individuals are travelling together to one site, a single report representing the group will suffice. The report shall include the purpose of the trip, technical observations, suggestions and recommendations, overall impressions of the site situation (if appropriate), and a list of persons visited with their title and organization affiliation.

1F. SUBSTANTIAL INVOLVEMENT UNDERSTANDINGS

It is understood and agreed that A.I.D. will be substantially involved during performance of this Cooperative Agreement as follows:

1F.1 Detailed Implementation Plan (DIP) - The A.I.D. Project Officer will be consulted during the development of the DIP(s) and have the right of final approval of all areas of the DIP where A.I.D. funds are included.

1F.2. DIP Revisions - The A.I.D. Project Officer will be consulted and have the right of approval for revisions of the DIP which involves the use of A.I.D. funds.

1F.3. Field Visits - Pursuant to the requirement in paragraph (a) in the Standard Provisions of this Cooperative Agreement entitled "Air Travel and Transportation," the A.I.D. Project Officer must provide advance approval of all international travel. For the purposes of this Agreement the requirement for advance written approval in paragraph (a) is waived by FVA/PVC. The requirements in paragraphs (b) through (f) of the standard provision are not waived and remain applicable to this Agreement.

1F.4 Field Activities - The following primary (core) countries are approved for direct in country program support under the Cooperative Agreement: Indonesia, Nicaragua and Uganda. Other countries may be approved during the period of the Agreement. Such approval shall be communicated by A.I.D. in writing after consultation with the relevant A.I.D. Mission. The A.I.D.

Project Officer will be involved in, and must approve, the selection of sites, methodologies and strategies to be used in field activities in core countries funded under this Cooperative Agreement.

1F.5 Subcontracts and Subagreements - If required by Paragraphs (b)(5) or (b)(6) of the Standard Provisions entitled "Revision of Grant Budget," or the Standard Provision entitled "A.I.D. Eligibility Rules for Goods and Services," the Grant Officer must approve subcontracts (see the Standard Provision entitled "Procurement of Goods and Services") and subagreements (see the Standard Provision entitled "Subagreement").

1F.6 Evaluation - The scope of work for the independent mid-term and/or final evaluation must be developed with, and the evaluator(s) chosen to carry out this activity must be approved in advance by, the AID/FVA/PVC Project Officer. This approval must be communicated in writing. The Recipient is encouraged to provide at least one evaluator from its permanent staff for this evaluation(s). At least one evaluator must be an individual not currently employed by the Recipient. The AID/FVA/PVC Project Officer is to participate in the pre- and post-evaluation briefings and to receive six (6) copies of the completed evaluation report for FVA/PVC and one copy for each country evaluated.

## 1G. PROCUREMENT AND (SUB) CONTRACTING

### 1G.1. Applicability

This Section 1G. applies to the procurement of goods and services by the Recipient (i.e., contracts, purchase orders, etc.) from a supplier of goods and services (see the Standard Provisions of this Cooperative Agreement entitled "Procurement of Goods and Services" and "AID Eligibility Rules for Goods and Services"), and not to assistance provided by the Recipient (i.e., a [sub]grant or subagreement) to a subrecipient (see the Standard Provision of this Cooperative Agreement entitled "Subagreements").

### 1G.2. Requirements

In addition to other applicable provisions of this Cooperative Agreement, the Recipient shall comply with paragraph (b)(2) of the Standard Provision of this Cooperative Agreement entitled "AID Eligibility Rules for Goods and Services," concerning total procurement value of more than \$250,000 under this Cooperative Agreement. Further thereto, the following is (are) the Authorized Geographic Code(s):

**1G.2.(a) Authorized Geographic Codes****1G.2.(a)(1) Source, Origin, and Componentry of Goods and Commodities****1G.2.(a)(1)(A) Source, Origin, and Componentry**

Except as specified in Sections 1G.2.(a)(1)(B) and 1G.2.(a)(1)(D) below, all goods/commodities shall have their source and origin in the United States of America, and shall meet A.I.D.'s componentry requirements, except as the Agreement Officer may otherwise agree in writing (see also Section 1G.2.[a][4] below).

**1G.2.(a)(1)(B) Exception for Purchase/Procurement Transactions not Exceeding \$5,000**

If the proposed purchase/procurement transaction does not exceed \$5,000 excluding transportation costs, paragraph (b)(1) of the Standard Provision of this Cooperative Agreement entitled "AID Eligibility Rules for Goods and Services" shall apply in lieu of Section 1G.2.(a)(1)(A) above.

**1G.2.(a)(1)(C) Local Cost Financing**

If, pursuant to Sections 1G.2.(a)(1)(A) or 1G.2.(a)(1)(B) above, the cooperating country is authorized for source and origin purposes, the Standard Provision of this Cooperative Agreement entitled "Local Cost Financing" will apply. Pursuant to said Standard Provision, indigenous goods and imported shelf items provided by local suppliers are eligible for local cost financing in quantities up to the total estimated cost of this Cooperative Agreement, subject to the restrictions stated in said Standard Provision, and Chapter 18 of Supplement B to A.I.D. Handbook 1, which, as may from time to time be amended, is incorporated herein as a part of this Cooperative Agreement by reference.

**1G.2.(a)(1)(D) Restricted Goods**

Notwithstanding the foregoing, the restricted goods listed in paragraph (a)(3) of the Standard Provision of this Cooperative Agreement entitled "AID Eligibility Rules for Goods and Services," and, if applicable (see Section 1G.2.[a][1][C] above or Section 1K. below for applicability), paragraph (e) of the Standard Provision entitled "Local Cost Financing," must be specifically approved by the Agreement Officer, except to the extent that such approval may be provided in Section 1I. below.

**1G.2. (a) (2) Eligibility of Commodity-Related Services****1G.2. (a) (2) (A) Ocean Transportation**

The eligibility of ocean transportation services is determined by the flag registry of the vessel. Notwithstanding the Standard Provision of this Cooperative Agreement entitled "Ocean Shipment of Goods," ocean shipping financed hereunder shall, except as the Agreement Officer may otherwise agree in writing, be financed only on flag vessels of the United States (A.I.D. Geographic Code 000). If the Agreement Officer approves the use of non-U.S. flag vessels, the Standard Provision of this Cooperative Agreement entitled "Ocean Shipment of Goods" will apply. Notwithstanding any of the foregoing, commodities shipped by a transportation medium owned, operated, or under the control of any country not included in A.I.D. Geographic Code 935 (see Section 1G.2.[a][4][B] below) are ineligible for A.I.D. financing hereunder, regardless of whether such transportation costs are financed hereunder. Moreover, commodities are ineligible for A.I.D. financing hereunder if shipped on a vessel which A.I.D. has designated as ineligible, regardless of whether such transportation costs are financed hereunder. Commodities are also ineligible for A.I.D. financing hereunder if shipped under an ocean charter that has not received prior approval of the Agreement Officer, regardless of whether such transportation costs are financed hereunder.

**1G.2. (a) (2) (B) Dead Freight**

Transportation costs attributable to dead freight are not eligible for A.I.D. financing.

**1G.2. (a) (2) (C) Despatch and Demurrage**

If the Recipient finances the delivery costs beyond the port of loading, the Recipient must refund to A.I.D. all despatch earned at the port of unloading. Demurrage costs are ineligible for A.I.D. financing.

**1G.2. (a) (2) (D) Air Transportation**

The eligibility of air travel and transportation services is determined by the flag registry of the aircraft. The Standard Provision of this Cooperative Agreement entitled "Air Travel and Transportation" applies. Commodities are ineligible for A.I.D. financing hereunder if shipped under an air charter that has not received prior approval of the Agreement Officer, regardless of whether such transportation costs are financed hereunder.

**1G.2. (a) (2) (E)      Marine Insurance**

The Authorized Geographic Code for marine insurance is the same as is set forth in Section 1G.2.(a)(3)(B) below. Paragraph (c) of the Standard Provision of this Cooperative Agreement entitled "AID Eligibility Rules for Goods and Services" applies. If the Cooperating Country is authorized for the placement of marine insurance but discriminates against any marine insurance company authorized to do business in any state of the United States, failure to insure all A.I.D.-financed commodities with U.S. insurance companies shall render the commodities ineligible for A.I.D. financing hereunder.

**1G.2. (a) (2) (F)      Other Delivery Services**

No special eligibility requirements pertain to other delivery services (such as export packing, loading, commodity inspection services, and services of a freight forwarder) except that citizens or firms of any country not included in Geographic Code 935 (see Section 1G.2.[a][4][B] below) are ineligible as suppliers of delivery services, and non-U.S. citizens lawfully admitted for permanent residence in the U.S. are eligible regardless of their citizenship.

**1G.2. (a) (2) (G)      Incidental Services**

Incidental services are defined as installation or erection of A.I.D.-financed equipment or the training of personnel in the maintenance, operation, and use of such equipment. No special eligibility requirements pertain to incidental services except that citizens or firms of any country not included in Geographic Code 935 (see Section 1G.2.[a][4][B] below) are ineligible as suppliers of incidental services, and non-U.S. citizens lawfully admitted for permanent residence in the U.S. are eligible regardless of their citizenship.

**1G.2. (a) (2) (H)      Local Cost Financing**

If, pursuant to this Section 1G.2.(a)(2), the cooperating country is authorized for commodity-related services, the Standard Provision of this Cooperative Agreement entitled "Local Cost Financing" will apply. Pursuant to said Standard Provision, services provided by local suppliers are eligible for local cost financing in quantities up to the total estimated cost of this Cooperative Agreement, subject to the restrictions stated in said Standard Provision, and Chapter 18 of Supplement B to A.I.D. Handbook 1, which, as may from time to time be amended, is incorporated herein as a part of this Cooperative Agreement by reference.

**1G.2.(a)(3) Nationality of Supplier****1G.2.(a)(3)(A) Suppliers of Goods and Commodities**

Except as specified in Section 1G.2.(a)(3)(C) below, the suppliers of goods and commodities shall have their nationality in the United States of America, except as the Agreement Officer may otherwise agree in writing.

**1G.2.(a)(3)(B) Suppliers of Services (Other Than Commodity-Related Services)**

Except as specified in Section 1G.2.(a)(3)(C) below, the suppliers of services (other than commodity-related services, as described in Section 1G.2.[a][2] above) shall have their nationality in the United States of America, except as the Agreement Officer may otherwise agree in writing.

**1G.2.(a)(3)(C) Government Owned Organizations**

Notwithstanding the foregoing, a Government Owned Organization, i.e., a firm operated as a commercial company or other organizations (including nonprofit organizations other than public educational institutions) which are wholly or partially owned by governments or agencies thereof, are not eligible as suppliers of goods and commodities, commodity-related services, or services (other than commodity-related services), except as the Agreement Officer may otherwise agree in writing.

**1G.2.(a)(3)(D) Local Cost Financing**

If, pursuant to this Section 1G.2.(a)(3), the cooperating country is authorized for supplier nationality purposes, the Standard Provision of this Cooperative Agreement entitled "Local Cost Financing" will apply. Pursuant to said Standard Provision, local suppliers are eligible for local cost financing of indigenous goods, imported shelf items, and services in quantities up to the total estimated cost of this Cooperative Agreement, subject to the restrictions stated in said Standard Provision, and Chapter 18 of Supplement B to A.I.D. Handbook 1, which, as may from time to time be amended, is incorporated herein as a part of this Cooperative Agreement by reference.

**1G.2.(a)(4) Definitions****1G.2.(a)(4)(A) Source, Origin, Componentry, and Nationality of Supplier**

Source, origin, componentry requirements, and supplier nationality are defined in Chapter 5 of A.I.D. Handbook 1, Supplement B, which, as may be amended from time to time, is incorporated herein as a part of this Cooperative Agreement by reference (see also Attachment 5 of this Cooperative Agreement which reflects the substance of Chapter 5 of A.I.D. Handbook 1, Supplement B as of the effective date of this Cooperative Agreement).

1G.2. (a) (4) (B)      A.I.D. Geographic Codes

A.I.D. Geographic Codes are defined in Appendix D of A.I.D. Handbook 18, which, as may be amended from time to time, is incorporated herein as a part of this Cooperative Agreement by reference (see also Attachment 5 of this Cooperative Agreement which reflects the substance of Appendix D of A.I.D. Handbook 18 as of the effective date of this Cooperative Agreement).

1G.3.      Approvals

Inclusion of costs in the budget of this Cooperative Agreement for the purchase of nonexpendable equipment obviates neither the requirement of Section J.13. of OMB Circular A-21 (for educational institutions) or Section 13 of Attachment B of OMB Circular A-122 (for nonprofit organizations other than educational institutions) for prior approval of such purchases by the Agreement Officer, nor any other terms and conditions of this Cooperative Agreement, unless specifically stated in Section 1I. below.

1G.4.      Title to Property

Title to property acquired hereunder shall vest in the Recipient, subject to the requirements of the Standard Provision of this Cooperative Agreement entitled "Title To and Use of Property (Grantee Title)" regarding use, accountability, and disposition of such property, except to the extent that disposition of property may be specified in Section 1I. below.

1H.      INDIRECT COST RATES

1H.1.      Pursuant to the Standard Provision of this Cooperative Agreement entitled "Negotiated Indirect Cost Rate - Provisional," an indirect cost rate or rates shall be established for each of the Recipient's accounting periods which apply to this Cooperative Agreement. Pending establishment of final or revised provisional indirect cost rates, provisional payments on account of allowable indirect costs shall be made on the basis of the following negotiated provisional rate applied to the base which is set forth below:

| Type                 | Rate   |
|----------------------|--------|
| Provisional Overhead | 17.00% |

1/ Base of Application: Total Direct costs excluding donated commodities and ocean freight.

## 1I. SPECIAL PROVISIONS

### 1I.1. Limitations on Reimbursement of Costs of Compensation for Personal Services and Professional Service Costs

#### 1I.1.(a) Employee Salaries

Except as the Agreement Officer may otherwise agree in writing, A.I.D. shall not be liable for reimbursing the Recipient for any costs allocable to the salary portion of direct compensation paid by the Recipient to its employees for personal services which exceed the highest salary level for a Foreign Service Officer, Class 1 (FS-1), as periodically amended.

#### 1I.1.(b) Consultant Fees

Compensation for consultants retained by the Recipient hereunder shall not exceed, without specific approval of the rate by the Agreement Officer: either the highest rate of annual compensation received by the consultant during any full year of the immediately preceding three years; or the maximum rate of a Foreign Service Officer, Class 1 (FS-1) (as periodically amended), whichever is less. A daily rate is derived by dividing the annual compensation by 2,087 and multiplying the result by 8.

### 1I.2. Publications

1I.3.(a) The Recipient agrees to provide one copy of the manuscript of any proposed publication to the A.I.D. Project Officer not later than submission to the publisher, and to give serious consideration to any comments received from the A.I.D. Project Officer.

1I.3.(b) In the case of publication of any of the reports described in Section 1E.2. of this Cooperative Agreement, A.I.D. reserves the right to disclaim endorsement of the opinions expressed. For other publications, A.I.D. reserves

the right to dissociate itself from sponsorship or publication. In both cases, the Recipient will consult with the A.I.D. Project Officer as to the nature and extent of any A.I.D. disclaimer of endorsement or dissociation from sponsorship or publication.

1I.3.(c) If A.I.D. does not choose to disclaim endorsement or dissociate itself from sponsorship or publication, the Recipient shall, in accordance with the Standard Provision of this Cooperative Agreement entitled "Publications," acknowledge A.I.D. support as follows:

"This publication was made possible through support provided by the Office of FVA, U.S. Agency for International Development, under Cooperative Agreement No. PDC-0500-A-00-1097-00."

1I.2.(d) In addition to providing one copy of all published works and lists of other written work produced under this Cooperative Agreement to the A.I.D. Project Officer, as required by paragraph (b) of the Standard Provision of this Cooperative Agreement entitled "Publications," the Recipient shall also provide two copies of such publications and lists to A.I.D., PPC/CDIE/DI, Washington, D.C. 20523-1802.

### 1I.3. Equipment Purchases

#### 1I.3.(a) Requirement for Prior Approval

Pursuant to Sections 1D.3. and 1G.3. above and the Standard Provisions of this Cooperative Agreement entitled "Allowable Costs" and "Revision of Grant Budget," and by extension, Section 13 of Attachment B of OMB Circular A-122, the Recipient must obtain A.I.D. Agreement Officer approval for purchases of the following:

1I.3.(a)(1) General Purpose Equipment, which is defined as an article of nonexpendable tangible personal property which is usable for other than research, medical, scientific or technical activities, whether or not special modifications are needed to make them suitable for a particular purpose (e.g., office equipment and furnishings, air conditioning equipment, reproduction and printing equipment, motor vehicles, and automatic data processing equipment), having a useful life of more than two years and an acquisition cost of \$500 or more per unit); and

1I.3.(a)(2) Special Purpose Equipment, which is defined as an article of nonexpendable tangible personal property, which is used only for research, medical, scientific, or technical

activities (e.g., microscopes, x-ray machines, surgical instruments, and spectrometers), and which has a useful life of more than two years and an acquisition cost of \$1,000 or more per unit).

#### 1I.3.(b) Approvals

In furtherance of the foregoing, the Agreement Officer does hereby provide approval for the following purchases, which shall not be construed as authorization to exceed the total estimated amount or the obligated amount of this Cooperative Agreement, whichever is less (see Section 1C. above):

"N/A"

#### 1I.3.(c) Exception for Automation Equipment

Any approval for the purchase of automation equipment which may be provided in Section 1I.4.(b) above or subsequently provided by the Agreement Officer is not valid if the total cost of purchases of automation equipment (e.g., computers, word processors, etc.), software, or related services made hereunder will exceed \$100,000. The Recipient must, under such circumstances, obtain the approval of the Agreement Officer for the total planned system of any automation equipment, software, or related services.

#### 1I.3.(d) Compliance with A.I.D. Eligibility Rules

Any approvals provided in Section 1I.4.(b) above or subsequently provided by the Agreement Officer shall not serve to waive the A.I.D. eligibility rules described in Section 1G. of this Cooperative Agreement, unless specifically stated.

#### 1I.4. Restricted Goods

Pursuant to Section 1G. above, paragraph (a)(3) of the Standard Provisions of this Cooperative Agreement entitled "AID Eligibility Rules for Goods and Services," and, if applicable (see Section 1K. below for applicability), paragraph (e) of the Standard Provision of this Cooperative Agreement entitled "Local Cost Financing," the Agreement Officer's approval is required for purchase of the restricted goods described therein. In furtherance thereof, the Agreement Officer does hereby provide such approval to the extent set forth below. The Agreement Officer's approval is required for purchases of

such restricted goods if all of the conditions set forth below are not met by the Recipient. Any approval provided below or subsequently provided by the Agreement Officer shall not serve to waive any terms and conditions of this Cooperative Agreement unless specifically stated.

#### 1I.4.(a) Agricultural Commodities

Agricultural commodities may be purchased provided that they are of U.S. source (generally, the country from which the commodities are shipped) and origin (generally, the country in which the commodities are mined, grown, or produced) and purchased from a U.S. supplier, except that wheat, rice, corn, soybeans, sorghums, flour, meal, beans, peas, tobacco, hides and skins, cotton, vegetable oils, and animal fats and oils cannot be purchased under any circumstances without the prior written approval of the Agreement Officer.

#### 1I.4.(b) Motor Vehicles

Motor vehicles, if approved for purchase under Section 1I.4.(b) above or subsequently approved by the Agreement Officer, must be of U.S. manufacture and must be of at least 51% U.S. componentry. The source of the motor vehicles, and the nationality of the supplier of the vehicles, must be in accordance with Section 1G.2. above. Motor vehicles are defined as self-propelled vehicles with passenger carriage capacity, such as highway trucks, passenger cars and busses, motorcycles, scooters, motorized bicycles, and utility vehicles. Excluded from this definition are industrial vehicles for materials handling and earthmoving, such as lift trucks, tractors, graders, scrapers, and off-the-highway trucks.

#### 1I.4.(c) Pharmaceuticals

Pharmaceuticals may be purchased provided that all of the following conditions are met: (1) the pharmaceuticals must be safe and efficacious; (2) the pharmaceuticals must be of U.S. source and origin (see Section 1G. above); (3) the pharmaceuticals must be of at least 51% U.S. componentry (see Section 1G. above); (4) the pharmaceuticals must be purchased from a supplier whose nationality is in the U.S. (see Section 1G. above); (5) the pharmaceuticals must be in compliance with U.S. Food and Drug Administration (FDA) (or other controlling U.S. authority) regulations governing United States interstate shipment of pharmaceuticals; (6) the manufacturer of the

pharmaceuticals must not infringe on U.S. patents; and (7) the pharmaceuticals must be competitively procured in accordance with the procurement policies and procedures of the Recipient and the Standard Provision of this Cooperative Agreement entitled "Procurement of Goods and Services."

#### 1I.4.(d) Pesticides

Pesticides may only be purchased if the purchase and/or use of such pesticides is for research or limited field evaluation by or under the supervision of project personnel. Pesticides are defined as substances or mixtures of substances: intended for preventing, destroying, repelling, or mitigating any unwanted insects, rodents, nematodes, fungi, weeds, and other forms of plant or animal life or viruses, bacteria, or other micro-organisms (except viruses, bacteria, or other micro-organisms on or living in man or other living animals); or intended for use as a plant regulator, defoliant, or dessicant.

#### 1I.4.(e) Rubber Compounding Chemicals and Plasticizers

Rubber compounding chemicals and plasticizers may only be purchased with the prior written approval of the Agreement Officer.

#### 1I.4.(f) Used Equipment

Used equipment may only be purchased with the prior written approval of the Agreement Officer.

#### 1I.4.(g) Fertilizer

Fertilizer may be purchased if it is either purchased in the U.S. and used in the U.S., or if it is purchased in the cooperating country with local currency for use in the cooperating country. Any fertilizer purchases which do not comply with these limitations must be approved in advance by the Agreement Officer.

#### 1I.5. Limitation on Use of Funds

1I.5.(a) The Recipient shall not utilize funds provided by A.I.D. for any testing or breeding feasibility study, variety improvement or introduction, consultancy, publication, conference or training in connection with the growth or production in countries other than the United States of an agricultural commodity for export which would compete with a similar commodity grown or produced in the United States.

1I.5.(b) The reports described in Section 1E.2. shall contain a statement indicating the projects or activities to which United States funds have been attributed, together with a brief description of the activities adequate to show that United States funds have not been used for the purpose in Section 1I.6.(a) above.

1I.5.(c) The Recipient agrees to refund to A.I.D. upon request an amount equal to any United States funds used for the purposes prohibited by Section 1I.6.(a) above.

1I.5.(d) No funds provided by A.I.D. under this Cooperative Agreement shall be used to provide assistance, either directly or indirectly, to any country ineligible to receive assistance pursuant to the Foreign Assistance Act as amended, related appropriations acts, or other statutes and Executive Orders of the United States (also see the Standard Provision of this Cooperative Agreement entitled "Ineligible Countries").

#### 1J. RESOLUTION OF CONFLICTS

Conflicts between any of the Attachments of this Cooperative Agreement shall be resolved by applying the following descending order of precedence:

- Attachment 1 - Schedule
- Attachment 3 - Standard Provisions
- Attachment 4 - Special Provision entitled "Restrictions on Lobbying"
- Attachment 5 - A.I.D. Eligibility Rules
- Attachment 2 - Program Description

#### 1K. STANDARD PROVISIONS

The Standard Provisions set forth as Attachment 3 of this Cooperative Agreement consist of the following Standard Provisions denoted by an "X" which are attached hereto and made a part of this Cooperative Agreement:

##### 1K.1. Mandatory Standard Provisions For U.S., Nongovernmental Grantees

- ( X ) Allowable Costs (November 1985)
- ( X ) Accounting, Audit, and Records (September 1990)
- ( X ) Refunds (September 1990)
- ( X ) Revision of Grant Budget (November 1985)
- ( X ) Termination and Suspension (May 1986)
- ( X ) Disputes (November 1989)
- ( X ) Ineligible Countries (May 1986)

- ( X ) Debarment, Suspension, and Other Responsibility Matters (March 1989)
- ( X ) Nondiscrimination (May 1986)
- ( X ) U.S. Officials Not to Benefit (November 1985)
- ( X ) Nonliability (November 1985)
- ( X ) Amendment (November 1985)
- ( X ) Notices (November 1985)

1K.2. Additional Standard Provisions For U.S.,  
Nongovernmental Grantees

- ( X ) Payment - Letter of Credit (November 1985)
- ( ) Payment - Periodic Advance (January 1988)
- ( ) Payment - Cost Reimbursement (November 1985)
- ( X ) Air Travel and Transportation (November 1985)
- ( X ) Ocean Shipment of Goods (May 1986)
- ( X ) Procurement of Goods and Services (November 1985)
- ( X ) AID Eligibility Rules for Goods and Services (November 1985)
- ( X ) Subagreements (November 1985)
- ( X ) Local Cost Financing (November 1988)
- ( X ) Patent Rights (November 1985)
- ( X ) Publications (November 1985)
- ( ) Negotiated Indirect Cost Rates - Predetermined (May 1986)
- ( X ) Negotiated Indirect Cost Rates - Provisional (May 1986)
- ( X ) Regulations Governing Employees (November 1985)
- ( ) Participant Training (May 1986)
- ( ) Voluntary Population Planning (August 1986)
- ( ) Protection of the Individual as a Research Subject (November 1985)
- ( ) Care of Laboratory Animals (November 1985)
- ( ) Government Furnished Excess Personal Property (November 1985)
- ( X ) Title To and Use of Property (Grantee Title) (November 1985)
- ( ) Title To and Care of Property (U.S. Government Title) (November 1985)
- ( ) Title To and Care of Property (Cooperating Country Title) (November 1985)
- ( X ) Cost Sharing (Matching) (November 1985)
- ( X ) Use of Pouch Facilities (November 1985)
- ( X ) Conversion of United States Dollars to Local Currency (November 1985)

**1L. COST SHARING AND OTHER CONTRIBUTIONS**

1L.1. The Recipient agrees to expend an amount not less than (a) the amount shown in the budget of this Cooperative Agreement for financing by the Recipient and/or others from non-federal funds (see Sections 1D. and/or 1H.), and (b) the amount shown in the budget of this Cooperative Agreement for financing by the Recipient and/or others from other federal funds.

1L.2. The Standard Provision of this Cooperative Agreement entitled "Cost Sharing (Matching)" makes reference to project costs. "Project Costs" are defined in Attachment E of OMB Circular A-110 as all allowable costs (as set forth in the applicable cost principles [see the Standard Provision of this Cooperative Agreement entitled "Allowable Costs"]) incurred by a Recipient and the value of in-kind contributions made by the Recipient or third parties in accomplishing the objectives of this Cooperative Agreement during the program period.

1L.3. The restrictions on the use of A.I.D. funds provided hereunder, as set forth in this Cooperative Agreement, do not apply to cost-sharing (matching) or other contributions unless such restrictions are stated in the applicable federal cost principles and/or imposed by the source of such cost-sharing (matching) funds or other contributions.

Attachment 2

PROGRAM DESCRIPTION

The Recipient's proposal entitled "Child Survival Grants Program" dated May 1991 is hereby incorporated by reference in its entirety and is made part of this Cooperative Agreement. In the event of any inconsistency between the Recipient's proposal and the Program Description attached, the later description shall take precedence.

## Section A. Summary Description of Project

**Project Location:** The proposed project is located in Region I in north-central Nicaragua and comprises three districts whose main population centers are Esteli, Ocotal and Somoto. Esteli is designated as the regional capital. The Program area is 30% urban and 70% rural. ADRA is currently involved in 342 communities and will scale back into 200 communities.

### Region I Population

| Total   | Under 1 | Under 2 | Under 5 | Under 6 | Women 15-45 |
|---------|---------|---------|---------|---------|-------------|
| 463,435 | 18,158  | 15,929  | 84,104  | 98,243  | 105,334     |
| 100%    | 4%      | 3.5%    | 18%     | 21%     | 23%         |

(Source: MINSA Region I, Esteli, 1990)

### Key Interventions

- a. Diarrheal disease control (ORT and improved water/sanitation)
- b. Control of pneumonia (ARI)
- c. Expanded program of immunization (EPI)
- d. Women's health (safe motherhood and family planning)
- e. Growth monitoring/nutrition promotion (GM/N)

**Goal:** To increase self-reliance of families and communities by protection mother and child health through improved delivery of basic health services, especially to under-five children, in one of the poorest and most disadvantaged areas of Nicaragua.

### Program Objectives:

By the end of the program period, September 1994:

1. (EPI) To increase the demand for vaccination services through the identification and follow-up of 90% of children under one year (est. 2,460) in 200 communities.
2. (EPI) To increase the percentage of children (0-11 months) completing immunizations from 80% (est. 2,186) to 90% (est. 2,460).
3. (ORT/ARI) to provide 90% of the 200 target communities (180) with trained volunteers to treat dehydration and pneumonia episodes in community-based units (UROs and URAs)
4. (ORT) To promote diarrhea dietary management at the household level for 80% of children under five years (est. 13,937) in 180 of the 200 target communities.
5. (GM/N) To implement in 180 of the 200 target communities growth monitoring/nutrition promotion activities for 80% of under-five children (est. 13,937).
6. (GM/N) To decrease moderate and severe malnutrition from 50% to 30% among children under five (est. 8,710 to 5,226) in target area communities through growth monitoring (e.g., weighing, nutrition education, training, and follow-up).

7. (SM) To assist MINSA in vaccination 50% of women of CBA (est. 7,856) with TT2.
8. (FP) To increase to 40% the number of married women using modern methods of contraception (est. 6,284).

#### **Section D. Project Design/Duration**

- D.1** The duration of the proposed CS project is 36 months, from October 1, 1991 to September 30, 1994.
- D.2** Goal: To increase the self-reliance of families and communities by protecting mother and child health through improved delivery of basic health services, especially to under-fives, in one of the poorest and most disadvantaged areas of Nicaragua.

#### **Program Objectives:**

By the end of the program period, September, 1994:

1. (EPI) To increase the demand for vaccination services through the identification and follow-up of 90% of children under one year (est. 2,460) in 200 communities.
2. (EPI) to increase the percentage of children (0-11) completing immunizations from 80% (est. 2,86) to 90% (est. 2,460).
3. (ORT/ARI) To provide 90% of the 200 target communities (180) with trained v volunteers to treat dehydration and pneumonia episodes in community-based units (UROs and URAs)
4. (ORT) To promote diarrhea dietary management at the household level for 80% of children under five years (est. 13,937) in 180 of the 200 target communities.
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8. (FP) To increase to 40% the number of married women using modern methods of contraception (est. 6,284).

#### **Outputs (by year):**

- 1991 500 Volunteers retrained  
 15 CHWs oriented/trained to be volunteer supervisors  
 Baseline registration completed  
 DIP completed
- 1992 100 additional volunteers selected/trained  
 Continuing education provided all staff - ADRA & MINSA

- First annual report published**
- 1993 Midterm evaluation accomplished; second annual report**  
**Mid-project course corrections completed**  
**Continuing education for volunteers and all staff**
- 1994 200 Communities with functioning health committees**  
**600 trained volunteers working in their communities**  
**2,733 children (0-11 months) will be completing EPI immunizations**  
**15,712 women of CBA will have received TT2**  
**Final evaluation completed; comparison to baseline and final report published**

**NICARAGUA REVISIONS**  
**MAY 1991**

Please note the following changes that were made for the Child Survival VII Proposal for Nicaragua in sections A. Summary Description of Project and D. Project Design/Duration.

### SUMMARY OF CHANGES

867 ADRA/CASA community health volunteers (CHV) are currently providing primary health care services in 342 communities to 116,777 beneficiaries in Region I of Nicaragua. Due to budget constraints these services will be substantially decreased. Reductions in Child Survival VII proposed services are summarized below:

1. **COMMUNITIES.** Reduced to 200
2. **COMMUNITY HEALTH WORKERS.** Reduced to 15
3. **ADMINISTRATIVE STAFF.** Ex-patriot staff reduced to one. Area field supervisors reduced to two.
4. **INTERVENTIONS.** As a result of budgetary constraints, the project will concentrate on growth monitoring and nutrition (GM/N), oral rehydration therapy (ORT), and control of acute respiratory infections (ARI). EPI activities will be restricted to continue promotion of immunization and provision to MINSA of names of immunization defaulters. Maternal health activities will also be restricted to promotion of prenatal care by community education and referrals to MINSA. Since barrier methods of contraception are often poorly accepted in Latin America, ADRA will concentrate on referrals to and cooperation with Profamilia. These changes are also proposed in light of the technical comments from USAID referring to the overly ambitious nature of the proposal.

Since GM/N, ORT, and ARI will be fully operational within the ADRA/CASA project at the initiation of the CS VII project, it will not be necessary to schedule a phase-in of these activities. Each intervention will be expanded during the CS VII project; time will be devoted to provision of continuing education, emphasis on home-based ORS solutions within the ORT program, and concentrated efforts to ensure that community members as well as volunteers receive adequate training and reinforcement to continue with project interventions following the termination of the project.

## Section A. Summary Description of Project

**Project Location:** The proposed project is located in Region I in north-central Nicaragua and comprises three districts whose main population centers are Esteli, Ocotal and Somoto. Esteli is designated as the regional capital. The Program area is 30% urban and 70% rural. ADRA is currently involved in 342 communities and will scale back into 200 communities.

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(Source: MINSA Region I, Esteli, 1990)

### Key Interventions

- a. Diarrheal disease control (ORT and improved water/sanitation)
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- c. Expanded program of immunization (EPI)
- d. Women's health (safe motherhood and family planning)
- e. Growth monitoring/nutrition promotion (GM/N)

**Goal:** To increase self-reliance of families and communities by protection mother and child health through improved delivery of basic health services, especially to under-five children, in one of the poorest and most disadvantaged areas of Nicaragua.

### Program Objectives:

By the end of the program period, September 1994:

1. (EPI) To increase the demand for vaccination services through the identification and follow-up of 90% of children under one year (est. 2,460) in 200 communities.
2. (EPI) To increase the percentage of children (0-11 months) completing immunizations from 80% (est. 2,186) to 90% (est. 2,460).
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#### **Section D. Project Design/Duration**

- D.1** The duration of the proposed CS project is 36 months, from October 1, 1991 to September 30, 1994.
- D.2** Goal: To increase the self-reliance of families and communities by protecting mother and child health through improved delivery of basic health services, especially to under-fives, in one of the poorest and most disadvantaged areas of Nicaragua.

#### **Program Objectives:**

By the end of the program period, September, 1994:

1. (EPI) To increase the demand for vaccination services through the identification and follow-up of 90% of children under one year (est. 2,460) in 200 communities.
2. (EPI) to increase the percentage of children (0-11) completing immunizations from 80% (est. 2,86) to 90% (est. 2,460).
3. (ORT/ARI) To provide 90% of the 200 target communities (180) with trained volunteers to treat dehydration and pneumonia episodes in community-based units (UROs and URAs).
4. (ORT) To promote diarrhea dietary management at the household level for 80% of children under five years (est. 13,937) in 180 of the 200 target communities.
5. (GM/N) To implement in 180 of the 200 target communities growth monitoring/nutrition promotion activities for 80% of under-five children (est. 13,937).
6. (GM/N) To decrease moderate and severe malnutrition from 50% to 30% among children under five (est. 8,710 to 5,226) in target area communities through growth monitoring (e.g., weighing, nutrition education, training, and follow-up).
7. (SM) To assist MINSA in vaccinating 50% of women of CBA (est. 7,856) with TT2.
8. (FP) To increase to 40% the number of married women using modern methods of contraception (est. 6,284).

#### **Outputs (by year):**

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 Continuing education provided all staff - ADRA & MINSA

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- 1993 Midterm evaluation accomplished; second annual report**  
**Mid-project course corrections completed**  
**Continuing education for volunteers and all staff**
- 1994 200 Communities with functioning health committees**  
**600 trained volunteers working in their communities**  
**2,733 children (0-11 months) will be completing EPI immunizations**  
**15,712 women of CBA will have received TT2**  
**Final evaluation completed; comparison to baseline and final report published**

**UGANDA REVISIONS**

**MAY 1991**

## Section A. Summary Description of Project

This project is proposed for the Kalagala and Ziobwe Sub-counties in Wabusaana County in the southeast of the Luwero District, an area devastated during the recent conflicts. The estimated populations are:

| Sub-county   | 0-11<br>mos.<br>(4%) | 12-23<br>mos<br>(3.8%) | 24-60<br>mos.<br>(11.6%) | Women<br>15-49 yr<br>(23%) | Total<br>Popul. |
|--------------|----------------------|------------------------|--------------------------|----------------------------|-----------------|
| Kalagala     | 1,069                | 1,016                  | 3,101                    | 6,149                      | 26,736          |
| Ziobwe       | <u>1,234</u>         | <u>1,172</u>           | <u>3,579</u>             | <u>7,096</u>               | <u>30,853</u>   |
| <b>Total</b> | <b>2,303</b>         | <b>2,188</b>           | <b>6,680</b>             | <b>13,245</b>              | <b>57,589</b>   |

The key interventions proposed for this needy population are:

1. Control of diarrheal disease
2. Immunizations to infants under one and women of CBA
3. Nutrition/growth monitoring
4. Reduction of high-risk births/child spacing

The goal of this proposal is to use the community-based health care approach to reduce the deaths of mothers and under-five children and to promote their health. This approach will seek to sustain these gains through cost recovery and agriculturally-based income generating activities. By September 1994, this project seeks to:

1. Increase under age one completion of immunizations to 80% (est. 1,800)
2. Assure two doses of TT to 50% of the women of CBA (est.6,600)
3. Increase the use of ORT as a treatment of diarrhea from 14% (est. 1,550 children) to 42% (est. 4,650)
4. Decrease amount of diarrhea occurring by almost 50%
5. Assure 40% of households growing kitchen gardens (est . 5,300)
6. Weigh 80% of under-fives (est. 8,900) regularly
7. Increase use of modern contraceptives from 4% (530) to 16% of CBA women (1,850)

INDONESIA REVISIONS  
MAY 1991

## Section A. Summary Description of Project

**Project Location:** ADRA will begin new activities in Minahasa District, the area of its prior CS III project in North Sulawesi, Indonesia focusing on the role of TBAs in child survival. All 38 government *Puskesmas* centers will be involved in CS VII, as compared to 17 in CS III. At the request of the Provincial MOH, ADRA in CS VII will also add the Sangihe Talaud District. CS III, which centered on service delivery and support to *Puskesmas* staff and kaders in the Minahasa District, was completed in September 1990.

### Population:

|                 | <u>Minahasa District</u> | <u>Sangihe Talaud District</u> |
|-----------------|--------------------------|--------------------------------|
| Total:          | 742,026                  | 250,483                        |
| Under-one       | 16,695                   | 5,635                          |
| WRA             | 133,564                  | 45,087                         |
| Pregnant women  | 18,402                   | 6,211                          |
| Lactating women | 22,462                   | 8,543                          |
| # RA Couples    | 121,176                  | 33,971                         |

**Key interventions:** Five interventions are included: improved antenatal care (safe motherhood), EPI, child nutrition, family planning and complementary water/sanitation/hygiene activities provided through alternate funding.

**Goal:** Improved health of 12,880 pregnant/lactating women and 11,685 under-ones, and 60% TT2 coverage among WRA in Minahasa District (80,140); and improved health of 4,345 pregnant/lactating women and 3,945 under-ones, and 60% TT2 coverage among WRA in Sangihe Talaud (27,050), by October 1994.

**Program Objectives:** For Minahasa and Sangihe Talaud, by the end of the program period, October 1994:

- 1) (ANC) Minimum of one monthly social marketing messages disseminated in each District.
- 2) (ANC) Minimum 60% TBAs certified (est. 810).
- 3) (ANC) Minimum 60% TBAs monitored monthly (est. 810).
- 4) 60% TBAs received refresher training (est. 810).
- 5) (ANC) Antenatal care (minimum two visits) provided to 70% of pregnant women by a trained TBA/qualified provider (est. 17,225).
- 6) (ANC/EPI) 70% of pregnant women received TT2 before delivery (17,225).
- 7) (Nut) 70% of pregnant/newly lactating women receive iron folate tablets (17,225).
- 8) (ANC) 70% of trained TBAs maintained pregnancy/delivery records indicating recognition of high-risk births (est. 945).
- 9) (EPI) 70% of newborns begin vaccination series within one month of delivery (15,630).
- 10) (EPI) 60% WRA receive TT2 (107,190).
- 11) (Nut/FP) 70% of pregnant women receive instruction from TBA on maternal nutrition, breastfeeding and child spacing (17,225).
- 12) (FP) 50% of eligible couples where the women delivered within the past month or suitably defined period are family planning acceptors (12,300).

|                                    |  |   |   |
|------------------------------------|--|---|---|
| AID 1350 1<br>13 871<br><br>*PIO/T | AGENCY FOR<br>INTERNATIONAL DEVELOPMENT<br><br>PROJECT IMPLEMENTATION<br>ORDER/TECHNICAL<br>SERVICES | 1 Cooperating Country<br><b>Centrally Funded</b>  | Page 1 of 1 Pages   |
|                                    |  | 2 PIO/T No<br><b>See Attachment</b>   | 3 <input checked="" type="checkbox"/> Original or Amendment No. _____ |
|                                    |  | 4 Project/Activity No and Title<br><b>Child Survival Grant with Adventist Development and Relief Agency</b> |   |

| <b>OFFICE OF FINANCIAL MANAGEMENT</b><br><br><b>FUNDS RESERVED BY:</b><br>Initials: <u>K-4</u><br>Date Posted: <u>5/20/91</u><br>PFM/FM/A/PNP | 5 Appropriation Symbol<br><b>See Attachment</b>  |                    | 6 Budget Plan Code<br><b>See Attachment</b>  |           |                    |              |                   |                   |       |           |     |           |  |                             |  |  |  |  |  |
|---|--|--------------------|--|-----------|--------------------|--------------|-------------------|-------------------|-------|-----------|-----|-----------|--|-----------------------------|--|--|--|--|--|
|   | 7 <input checked="" type="checkbox"/> Administrative Reservation <input type="checkbox"/> Implementing Document  |                    | 8 Project Assistance Completion Date (Mo, Day, Yr)   |           |                    |              |                   |                   |       |           |     |           |  |                             |  |  |  |  |  |
|   | 9 Authorized Agent<br><b>AID/W</b>   |                    | 10 This PIO/T is in full conformance with PRO/AG No _____ Date _____                         |           |                    |              |                   |                   |       |           |     |           |  |                             |  |  |  |  |  |
|   | 11a Type of Action and Governing AID Handbook<br><input type="checkbox"/> AID Contract (HB 14) <input checked="" type="checkbox"/> AID Grant or Cooperative Agreement (HB 13) <input type="checkbox"/> PASA/RSSA (HB 12) <input type="checkbox"/> Other  |                    | 11 Contract/Grant/Cooperative Agreement/PASA/RSSA Reference Number (if this is an Amendment) |           |                    |              |                   |                   |       |           |     |           |  |                             |  |  |  |  |  |
|   | 12 Estimated Financing (A detailed budget in support of column (2) is attached as Attachment No _____)   |                    |  |           |                    |              |                   |                   |       |           |     |           |  |                             |  |  |  |  |  |
|   | <table border="1"> <thead> <tr> <th rowspan="2">Maximum AID Financing Available</th> <th rowspan="2">A Dollars</th> <th>(1) Previous Total</th> <th>(2) Increase</th> <th>(3) Decrease</th> <th>(4) Total to Date</th> </tr> </thead> <tbody> <tr> <td>- 0 -</td> <td>1,750,000</td> <td>-0-</td> <td>1,750,000</td> </tr> <tr> <td></td> <td>B U S -Owned Local Currency</td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table> |                    | Maximum AID Financing Available  | A Dollars | (1) Previous Total | (2) Increase | (3) Decrease      | (4) Total to Date | - 0 - | 1,750,000 | -0- | 1,750,000 |  | B U S -Owned Local Currency |  |  |  |  |  |
| Maximum AID Financing Available   | A Dollars  | (1) Previous Total |  |           | (2) Increase       | (3) Decrease | (4) Total to Date |                   |       |           |     |           |  |                             |  |  |  |  |  |
|   |  | - 0 -              | 1,750,000  | -0-       | 1,750,000          |              |                   |                   |       |           |     |           |  |                             |  |  |  |  |  |
|   | B U S -Owned Local Currency  |                    |  |           |                    |              |                   |                   |       |           |     |           |  |                             |  |  |  |  |  |
| 13 Mission References   |  |                    |  |           |                    |              |                   |                   |       |           |     |           |  |                             |  |  |  |  |  |
| 14A Instructions to Authorized Agent<br><b>See attached sheet.</b>  |  |                    |  |           |                    |              |                   |                   |       |           |     |           |  |                             |  |  |  |  |  |
| 14B Address of Voucher Paying Office<br><b>PFM/FM/CMPD, 700 SA-2<br/>Washington, D.C. 20523</b>   |  |                    |  |           |                    |              |                   |                   |       |           |     |           |  |                             |  |  |  |  |  |

|  |  |  |   |
|--|--|--|---|
| 15 Clearances—Include typed name office symbol telephone number and date for all clearances  |  |  |   |
| A The Project Officer certifies that the specifications in the statement of work or program description are technically adequate<br><i>[Signature]</i><br>FVA/PVC:RColeman |  | Phone No<br><b>32699</b><br>Date<br><b>5/13/91</b>   | B The statement of work or program description lies within the purview of the initiating office and approved agency programs<br><i>[Signature]</i><br>FVA/PVC:JWatlington |
| C <i>[Signature]</i><br>FVA/PVC:JMcEnaney  |  | Date<br><b>5/14/91</b>   | D Funds for the services requested are available<br>Date  |
| E <i>[Signature]</i><br>FVA/PPM:EJefferson   |  | Date<br><b>5/17/91</b>   | M/FM/PAFD   |
| 16 For the Cooperating Country The terms and conditions set forth herein are hereby agreed to<br><br>Signature _____ Date _____<br>Title _____                             |  | 17 For the Agency for International Development<br><i>[Signature]</i><br>Signature _____ Date <b>5-17-91</b><br>Title <b>Carlos Quiros, Director FVA/PPM</b> |   |

\*See HB 3, Sup A App C Att B, for preparation instructions Note The completed form contains sensitive information whose unauthorized disclosure may subject an employee to disciplinary action

Grantee: ADRA

Project No.: 938-0500  
Appropriation No.: 72-1111021.7  
Allotment: 147-38-099-00-76-11  
Budget Plan Code: EDCA-91 13810 KG11  
Amount: \$ 1,700,000  
Obligation No.: 1385008

Project No.: 938-0284  
Appropriation No.: 72-1111021.3  
Allotment: 143-38-099-00-76-11  
Budget Plan Code: EDNA-91 13810 KG11  
Amount: \$ 50,000  
Obligation NO.: 1381205

Project No.:  
Appropriation No.:  
Allotment:  
Budget Plan Code:  
Amount: \$  
Obligation No.:

Project No.:  
Appropriation No.:  
Allotment:  
Budget Plan Code:  
Amount: \$  
Obligation No.: