

AGENCY FOR INTERNATIONAL DEVELOPMENT
WASHINGTON, D.C. 20523

APR 6 1990

Mr. Marvin Weissman
Executive Director
Pan American Development Foundation
1889 F Street, N.W.
Washington, D.C. 20006

Subject: Grant No.: LAC-0303-G-00-0010-00

Dear Mr. Weissman:

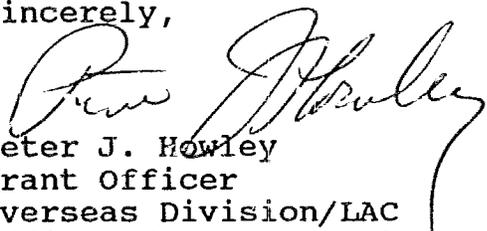
Pursuant to the authority of the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as "A.I.D." or "Grantor") hereby grants to the Pan American Development Foundation (hereinafter referred to as "PADF" or "Grantee") the sum of \$1,400,000 to provide support to PADF's development program as described in the Schedule of this grant and in Attachment 2 entitled "Program Description."

This grant is effective and obligation is made as of the date of this letter and shall apply to commitments made by the Grantee in furtherance of program objectives for the estimated period April 25, 1990 to April 25, 1991. Funds dispensed by A.I.D., but uncommitted by the Grantee at the expiration of this period shall be refunded to A.I.D.

This grant is made to PADF on condition that the funds will be administered in accordance with the terms and conditions set forth in Attachment 1, entitled the "Schedule," Attachment 2, entitled "Program Description," and Attachment 3, entitled "Index of Mandatory Standard Provisions and Optional Standard Provisions," which have been agreed to by your organization.

Please acknowledge receipt and acceptance of the grant by signing all copies of this cover letter, retain one copy for your files, and return the remaining copies to this office, being sure to return all copies marked "Funds Available."

Sincerely,


Peter J. Howley
Grant Officer
Overseas Division/LAC
Office of Procurement

ACKNOWLEDGED:

THE PAN AMERICAN DEVELOPMENT FOUNDATION

By: *Marvin Weissman*

Typed Name: Marvin Weissman

Title: Executive Director

Date: April 20, 1990

Fiscal Data

PIO/T No:	524-0303-3-0653601
Appropriation Symbol:	72-11X1038
Allotment Symbol:	808-65-524-00-69-01
Budget Plan Code:	LRRX90-35524-KG15
Project No.:	524-0303
Obligated Amount:	\$1,400,000
Cumulative Obligation:	\$1,400,000
Total Estimated Cost:	\$1,400,000
DUNS No.:	077811172
E.I. No:	52-6054268
Project Office:	LAC/DR/HPN

Attachments:

1. Schedule
2. Program Description
3. Index of Mandatory Standard Provisions and Optional Standard Provisions

FUNDS AVAILABLE
Initials: *K. Anderson*
4-19-90
PFM/FM/A/PNP
OFFICE OF FINANCIAL MANAGEMENT

Schedule

A. Purpose of Grant

The purpose of this grant is to provide support to the grantee's development program as described in Attachment 2 to this grant, entitled "Program Description."

B. Period of Grant

1. The effective date of this grant is indicated on the Cover Letter. The estimated expiration date of this grant is April 25, 1991.
2. Funds obligated hereunder are available for program expenditures for the estimated period April 25, 1990 to April 25, 1991.

C. Amount of Grant and Payment

1. The total amount of this grant for the period shown in B.2., above, is \$1,400,000.
2. A.I.D. hereby obligates the amount of \$1,400,000 for U.S. Dollar program expenditures during the period set forth in B.2., above, and as shown in the Financial Plan below. None of this assistance may be provided to or through the Government of Nicaragua.
3. Payment shall be made to the Grantee in accordance with procedures set forth in Attachment 3 - Optional Standard Provision No. 1 entitled "Payment - Letter of Credit."
4. Documentation required by the payment provision noted above shall be submitted to:

Agency for International Development
PFM/FM/CMPD
Room 700, SA-2
Washington, D.C. 20523

D. Financial Plan

The following is the financial plan for this grant, including local cost financing items, if authorized. Revisions to this Plan shall be made in accordance with the Standard Provision of this grant entitled "Revision of Grant Budget." A more detailed breakdown of individual line items is included in the Grantee's proposal which is incorporated herein by reference.

<u>Cost Element</u>	<u>Total</u> (4/25/90 - 4/25/91)
1. Program Direct Costs	U.S. \$ 373,425
2. Procurement	793,242
3. Indirect Costs	<u>233,333</u>
GRAND TOTAL	\$1,400,000

E. Reporting and Evaluation

1. Reporting/Monitoring:

- A. Monthly/Quarterly Reports: Reports on project implementation are due monthly for the first three months of program activity and quarterly thereafter. Ten copies of the "Monthly/Quarterly Report on Program Implementation" should be submitted to the A.I.D. Project Officer, LAC/DR/HPN, AID/W, not later than five working days after the last day of the month on which they are due.
- B. Final Report: A final, end-of-project report will be required via a format to be provided to the recipient at a later date.
- C. Interim Reports: Prior to the required final performance reporting date, events may occur that have significant impact upon the project. In such instances, the recipient shall inform the Grant and Project Officers as soon as the following types of conditions become known:
 - a. Problems, delays, or adverse conditions that will materially affect the ability to attain program objectives, prevent the meeting of time schedules and goals, or preclude the attainment of project work activities by the established time period. This disclosure shall be accompanied by a statement of the action taken, or contemplated, and any assistance needed to resolve the situation.

b. Favorable developments or events that enable time schedules to be met sooner than anticipated or more work activities to be performed than originally projected.

D. One copy of the final report shall be sent to the Grant Officer whose address appears on the grant cover letter.

2. Site Monitoring:

From time-to-time A.I.D. officers and/or their representatives will, with prior notification to the recipient, visit selected project sites.

3. Financial reporting requirements shall be in accordance with the Standard Provision of this grant entitled "Payment-Letter of Credit." The original and 2 copies of all such reports shall be submitted to: Program Accounting and Financial Division (FM/PAFD), Office of Financial Management, A.I.D., Washington, D.C. 20523.

4. An end of project financial audit is required in order to assure proper expenditure of funds in accordance with the grant agreement.

F. Overhead Rate

Pursuant to the Standard Provision of this grant entitled "Negotiated Indirect Cost Rates - Provisional," the following overhead rate is established:

<u>Rate</u>	<u>Base</u>	<u>Period</u>
20%	(a)	4/2/90 until amended

(a) Total program expenses and resource development and expenses less A.I.D. shipping subsidy costs.

G. Title to Property

Title to property procured under this grant will vest in the grantee in accordance with Optional Standard Provision 20 of this grant.

H. Special Provisions

Fund-raising expense or "Resource Development Costs" will not be reimbursed either as direct or indirect costs under this grant.

I. Authorized Geographic Code

The authorized geographic code for procurement of goods and services under this grant is "935," Special Free World. This includes any area or country in the Free World and the cooperating country itself.

J. Closeout Procedures

This section prescribes uniform closeout procedures for this grant.

1. The following definitions shall apply for the purpose of this section:
 - a. Closeout. The closeout of a grant is the process by which A.I.D. determines that all applicable administrative actions and all required work of the grant have been completed by the grantee and A.I.D.
 - b. Date of Completion. The date of completion is the date on which the work under the grant is completed or the date on the award document, or any supplement or amendment thereto, on which A.I.D. sponsorship ends.
 - c. Disallowed Costs. Disallowed costs are those charges to a grant that A.I.D. or its representative determines to be unallowable in accordance with the applicable Federal cost principles or other conditions contained in the grant.
2. A.I.D. closeout procedures include the following requirements:
 - a. Upon request, A.I.D. shall make prompt payments to a grantee for allowable reimbursable costs under the grant being closed out.
 - b. The grantee shall immediately refund any balance or unobligated (Unencumbered) cash that A.I.D. has advanced or paid and that is not authorized to be retained by the grantee for use in other grants or agreements.
 - c. A.I.D. shall obtain from the grantee within 90 calendar days after the grant expires all financial, performance, and other reports required as a condition of the grant. A.I.D. may grant extensions when requested by the grantee.

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- d. The grantee shall account for any property acquired with A.I.D. funds or received from the Government in accordance with Attachment N of OMB Circular A-110 entitled "Property Management Standards."
- e. In the event a final audit has not been performed prior to the closeout of the grant, A.I.D. shall retain the right to recover an appropriate amount after fully considering the recommendations on questioned costs resulting from the final audit.

Program Description:

- A. Definition and Scope: To provide quality surgical and intermediate care in Nicaragua to non-combatant victims.
- B. Purpose: To procure and install for the San Jose Hospital (a private sector, non-profit hospital in Diriamba), the equipment, facilities and support services needed to make it operational and enable it to efficiently support quality surgical care beyond the life of this project.
- C. Project Design/Principal Activities:
 - 1) Carry out a needs assessment, procure and install necessary non-medical operational support systems, medical equipment, and supplies that will enable the San Jose Hospital to efficiently support quality surgical care;
 - 2) Establish and manage an effective surgical case Identification and Referral Network to reach potential eligible beneficiaries, through training medical, paramedical and health promoters as well as local community groups;
 - 3) Treat at least 550 eligible beneficiaries: this will allow for treatment of approximately 50 cases per month beginning June 1, 1990 through a surgical program with pre- and post-operative care at the San Jose Hospital in Diriamba; and
 - 4) PADF will continue its ongoing association with George Washington University Medical Center to provide advisory services on clinical aspects of the program; and
 - 5) PADF will work with the World Rehabilitation Fund to carry out mutually supportive medical/surgical activities.

D. Project Implementation Plan:

PADF's surgical care project seeks to ameliorate the lack of quality surgical/medical care by using an existing private sector health facility to provide needed care and related services. A preliminary agreement has been negotiated with the owners of the hospital, the Josefina Order. The project will use items already in storage or that are in Honduras which are surplus. PADF will locate an administrative unit at the offices of the Organization of American States (OAS) in Managua to handle network, coordinate patient flow, arrange transportation and logistics, accounting and overall project management.

E. Program Management:

Mr. Lewis Townsend, Vice President, Pan American Development Foundation, will be principally responsible for the overall management and implementation of the program. Searches for Nicaraguan staff are already underway and key medical/surgical candidates (as well as Project Medical Director) have been identified with further negotiations depending upon assignments to positions by the incoming government.

Capacity to effectively deliver proposed programs in required timeframe:

PADF's Honduras personnel will initially be used in short-term consulting assignments in Nicaragua to train their counterparts in respective responsibilities; a preliminary agreement is already in place between PADF and San Jose Hospital; supplies are immediately available for shipment to Nicaragua - all indicate that PADF has strong on-the-ground capacity to proceed immediately.

Target Areas in Nicaragua:

Based in Diriamba (around 45 miles from Managua); catchment area is country-wide.

AID 1350 1 (3 87) *PIO T AGENCY FOR INTERNATIONAL DEVELOPMENT PROJECT IMPLEMENTATION ORDER/TECHNICAL SERVICES	1. Cooperating Country Nicaragua	Page 1 of _____ Pages
	2. PIO/T No. 524-0303-3-0653601	3. <input checked="" type="checkbox"/> Original or Amendment No. _____
	4. Project Activity No. and Title Survival Assistance for Civilian Victims of the Civil Strife in Central America (CASA) Pan American Development Foundation (PADF) 524-0303	

DISTRIBUTION Initials: _____ Date Posted: <u>4-17-90</u> FFM/FM/A/PNP OFFICE OF FINANCIAL MANAGEMENT	5. Appropriation Symbol 72-11X1038 808-65-524-00-69-01	6. Budget Plan Code LRRX90-35524-KG15																	
	7. Obligation Status <input checked="" type="checkbox"/> Administrative Reservation <input type="checkbox"/> Implementing Document	8. Project Assistance Completion Date (Mo., Day, Yr.) N/A																	
	9. Authorized Agent MS/OP/OS	10. This PIO/T is in full conformance with PRO AG No. _____ Date N/A																	
	11a. Type of Action and Governing AID Handbook <input type="checkbox"/> AID Contract (HB 14) <input checked="" type="checkbox"/> AID Grant or Cooperative Agreement (HB 13) <input type="checkbox"/> PASA RSSA (HB 12) <input type="checkbox"/> Other	11b. Contract Grant Cooperative Agreement PASA RSSA Reference Number (if this is an Amendment) N/A																	
	12. Estimated Financing (A detailed budget in support of column (2) is attached as Attachment No. 2)																		
	<table border="1"> <thead> <tr> <th rowspan="2">Maximum AID Financing Available</th> <th rowspan="2">A Dollars</th> <th>(1) Previous Total</th> <th>(2) Increase</th> <th>(3) Decrease</th> <th>(4) Total to Date</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> <td></td> <td>\$1,400,000</td> <td></td> <td>\$1,400,000</td> </tr> <tr> <td></td> <td>B U.S. Owned Local Currency</td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>		Maximum AID Financing Available	A Dollars	(1) Previous Total	(2) Increase	(3) Decrease	(4) Total to Date				\$1,400,000		\$1,400,000		B U.S. Owned Local Currency			
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	B U.S. Owned Local Currency																		
13. Mission References Invitation for Application (IA) No. OS-90-003 Auth. Memo Brown/Schieck dtd. 4/11/90	14A. Instructions to Authorized Agent MS/OP/OS is requested to execute a grant with the Pan American Development Foundation (PADF) to carry out approved activities under the Survival Assistance for Civilian Victims of the Civil Strife in Central America (CASA) Program as outlined in the Program Description, Attachment 1.																		
	14B. Address of Voucher Paying Office AID/M/FM/PADF - Room 700 SA-2																		

15. Clearances - Include typed name, office symbol, telephone number and date for all clearances			
A. The Project Officer certifies that the specifications in the statement of work or program description are technically adequate. LAC/DR/HPN: J. Clement LAC/DR/HPN: T. Park	Phone No	B. The statement of work or program description lies within the purview of the initiating office and approved agency programs. LAC/DP: J. Francis LAC/DP: C. Williams (W)	Date 4/13/90
	Date 4/13/90		
C. LAC/DR: D. Odle GE/LAC: K. Hansen LAC/DR: E. Brineman	Date 4/13/90	D. Funds for the services requested are available FM	Date
	Date 4/14/90		

16. For the Cooperating Country The terms and conditions set forth herein are hereby agreed to	17. For the Agency for International Development
Signature _____ Date _____	Signature <u>Terrence J. Brown</u> Date <u>4/17/90</u>
Title _____	Title <u>Director, LAC/DR</u>

*See HB 3, Sup. A, App. C, Att. B for preparation instructions. Note: The completed form contains sensitive information whose unauthorized disclosure may subject an employee to disciplinary action.