

ORIGINAL

DEPARTMENT OF STATE
AGENCY FOR INTERNATIONAL DEVELOPMENT
WASHINGTON, D.C. 20523

PLH #4258
Pm - m/Kolla
Reg. District
PDC/AVL/OPNS

SEP 18 1978

Dr. Earl W. Brian
Chairman, Board of Trustees
Direct Relief Foundation
404 East Carrillo Street
Santa Barbara, California 93101

Subject: Specific Support Grant
No. AID/pha-G-1129
Amendment No. 5

Dear Dr. Brian:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as "A.I.D." or "Grantor") hereby amends the subject Grant as follows:

The purpose of this amendment is to extend the term of the Grant from September 30, 1978 to December 31, 1978. No additional funds are obligated as a result of this amendment.

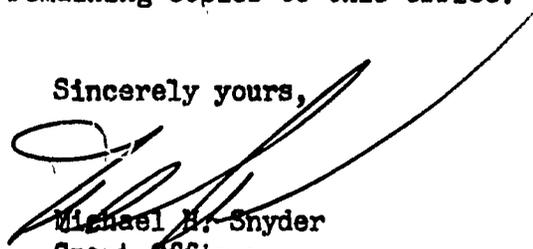
Attachment A, "Program Description", item E, Budget, as amended in Amendment No. 4, is further amended as follows:

Delete the column heading "From 10/1/77 to 9/30/78" and substitute in lieu thereof "From 10/1/77 to 12/31/78".

Please sign the original and all copies of this amendment to acknowledge your acceptance of the conditions contained herein. Retain

one copy for your file and return the remaining copies to this office.

Sincerely yours,



Michael H. Snyder
Grant Officer
Services Operations Division
Office of Contract Management

ACCEPTED:

DIRECT RELIEF FOUNDATION

BY



TYPED OR PRINTED NAME

TITLE

DATE

ORIGINAL

**DEPARTMENT OF STATE
AGENCY FOR INTERNATIONAL DEVELOPMENT
WASHINGTON, D.C. 20523**

MAR 28 1978
Prog neg - m ka
neg - B Wa
PHA/prc
NOV 28 1977

Dr. Earl Brian
Chairman of the Board
Direct Relief Foundation
27 East Cannon Perdido
Santa Barbara, California 93202

**Subject: Specific Support Grant
AID/pha-G-1129
Amendment No. 4
PIO/T 3289201**

Dear Dr. Brian:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as "AID") hereby grants to the Direct Relief Foundation (hereinafter referred to as "DRF") the additional sum of \$171,765 in further support of the objectives of this Grant as described in Attachment A to the basic Grant entitled "Program Description". This amount, together with previous obligations, increases the total AID obligation under this Grant to \$531,795.

The additional funds are granted to DRF on the condition that they will be administered in accordance with the terms and conditions set forth in Grant Attachment A, entitled "Program Description", and Attachment B, entitled "Standard Provisions". Attachment C, "Payment Provision" is deleted in its entirety.

This Grant is further amended as follows:

1. Attachment A, Program Description - Delete item E. Budget in its entirety and in lieu thereof, substitute the following:

ORIGINAL

"BUDGET

	<u>Fr: 10/1/75</u> <u>To: 9/30/76</u>	<u>Fr: 10/1/76</u> <u>To: 9/30/77</u>	<u>Fr: 10/1/77</u> <u>To: 9/30/78</u>	<u>Total</u>
1. Salaries & Fringe Benefits	\$ 76,279	\$113,620	\$122,752	\$312,651
2. Consultants	12,898	29,436	11,000	53,334
3. Travel	25,454	15,821	18,498	59,773
4. Equipment	7,997	-0-	-0-	7,997
5. Other Direct Costs	<u>25,533</u>	<u>37,007</u>	<u>35,500</u>	<u>98,040</u>
Total	<u>\$148,161</u>	<u>\$195,884</u>	<u>\$187,750</u>	<u>\$531,795</u>

The Grantee may not exceed the total obligated amount of \$531,795, but adjustments among the line items within each year are unrestricted with the exception of line item 4."

2. Attachment B, "Standard Provisions" - Make the following changes:

a) Delete the current Standard Provisions in their entirety and substitute in lieu thereof, the Standard Provisions identified as "Attachment B, Specific Suppo Grant, dated September 1977" attached hereto.

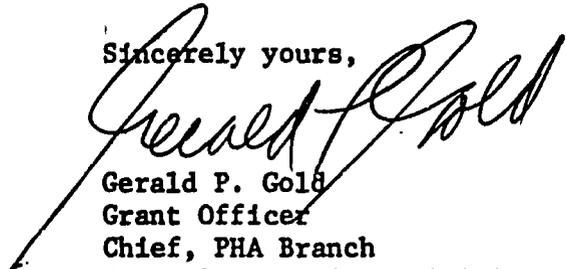
b) Delete from the Standard Provisions dated September 1977, the following clauses:

- 1) 7A. Payment - Federal Reserve Letter of Credit (FRLC) Advance.
- 2) 7C. Payment - Reimbursement.
- 3) Delete Clause No. 10 in its entirety and substitute in lieu thereof the attached Clause No. 10, entitled "Procurement of Goods and Services under \$250,0
- 4) 12B. Title To and Care of Property (U.S. Government title).
- 5) 12C. Title To and Care of Property (Cooperating Country title).

Dr. E. Brian
DRF

Please sign the original and seven (7) copies of this letter to acknowledge your acceptance of the terms and conditions under which the additional funds have been granted.

Sincerely yours,



Gerald P. Gold
Grant Officer
Chief, PHA Branch
Central Operations Division
Office of Contract Management

ACKNOWLEDGED:

DIRECT RELIEF FOUNDATION
BY: Earl W. Brian
TYPED NAME: Earl W. Brian, M.D.
TITLE: Chairman, Board of Trustees
DATE: March 31, 1978

FUNDS OBLIGATED

Approp. No. 72- 1181021.6
Allot. No. 846-38-099-00-26-81
Obl. No. 3289301 Obl. Ct. 4190
Amount \$ 1,712,265.00
Proj. No. 932-0081-23

By MMH Date 12/1/77

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DEPARTMENT OF STATE
AGENCY FOR INTERNATIONAL DEVELOPMENT
WASHINGTON, D.C. 20523

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neg - S Bailey

Dr. Earl Brian
Chairman of the Board
Direct Relief Foundation
27 East Cannon Perdido
Santa Barbara, California 93202

NOV 4 1976

Subject: Specific Support Grant
AID/pha-G-1129
Amendment No. 3
PIO/T No: 932-13-950-081-73-3279205

Dear Dr. Brian:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as "AID") hereby grants to the Direct Relief Foundation (hereinafter referred to as "DRF") the additional sum of \$187,000 in further support of the objectives of this Grant as described in Attachment A to the basic Grant entitled "Program Description". This amount, together with previous obligations, increases the total AID obligation under this Grant to \$360,030.

The additional funds are granted to DRF on the condition that they will be administered in accordance with the terms and conditions set forth in Grant Attachment A, B, and C, entitled respectively "Program Description", "Standard Provisions", and "Payment Provisions".

1. Attachment A, Program Description - Delete item E. Budget in its entirety and in lieu thereof substitute the following:

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Direct Relief Foundation

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"BUDGET

	<u>Fr:10/1/75</u> <u>To:9/30/76</u>	<u>Fr:10/1/76</u> <u>To:9/30/77</u>	<u>Fr:10/1/77</u> <u>To:9/30/78</u>	<u>Total</u>
1. Salaries & Fringe Benefits	\$ 76,474	\$116,907	\$122,752	\$316,133
2. Consultants	26,260	28,484	5,000	59,744
3. Travel	25,187	14,226	12,373	51,786
4. Equipment	8,971	-	-	8,971
5. Other Direct Costs	<u>25,304</u>	<u>34,357</u>	<u>35,500</u>	<u>95,161</u>
	\$162,196	\$193,974	\$175,625	\$531,795

The Grantee may not exceed the total obligated amount of \$360,030, but adjustments among the line items within each year are unrestricted with the exception of line item 4.

Of the \$360,030 obligated, \$162,196 fully supports the period 10/1/75 - 9/30/76, \$193,974 fully supports the period 10/1/76 - 9/30/77 and \$3,860 partially supports the period 10/1/77 - 9/30/78. Subject to their availability additional funds in the amount of \$171,765 may be granted to DRF."

Please sign the original and seven (7) copies of this letter to acknowledge your acceptance of the terms and conditions under which the

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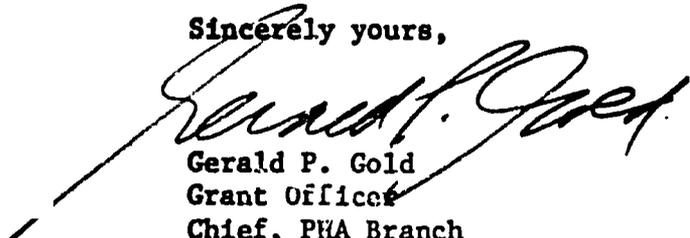
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Direct Relief Foundation

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additional funds have been granted.

Sincerely yours,



Gerald P. Gold
Grant Officer
Chief, PHA Branch
Central Operations Division
Office of Contract Management

ACCEPTED:

DIRECT RELIEF FOUNDATION

BY: 

TYPED NAME: Edwin W. Thomas

TITLE: Program and Planning Officer

DATE: November 29, 1976

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DEPARTMENT OF STATE
AGENCY FOR INTERNATIONAL DEVELOPMENT
WASHINGTON, D.C. 20523

28 OCT 1976

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Eg - L Kelly
PHA/PA/OPNS*

SEP 24 1976

Dr. Earl Brian
Chairman of the Board
Direct Relief Foundation
27 East Cannon Perdido
Santa Barbara, California 93202

Subject: Letter Amendment No. 2 to
AID/pha-G-1129

Dear Dr. Brian:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the subject grant is hereby amended as follows:

In Attachment A, Program Description, Part E, Budget, delete the portion below the heading "Budget" (page 4) and in lieu thereof insert the following:

	<u>"BUDGET"</u>			
	<u>Fr: 10/1/75</u> <u>To: 9/30/76</u>	<u>Fr: 10/1/76</u> <u>To: 9/30/77</u>	<u>Fr: 10/1/77</u> <u>To: 9/30/78</u>	<u>Total</u>
1. Salaries & Fringe Benefits	\$ 76,474	\$116,907	\$122,752	\$316,133
2. Consultants	26,260	28,484	5,000	59,744
3. Travel	25,187	14,226	12,373	51,786
4. Equipment	8,971	-	-	8,971
5. Other Direct Costs	<u>25,304</u> <u>\$162,196</u>	<u>34,357</u> <u>\$193,974</u>	<u>35,500</u> <u>\$175,625</u>	<u>95,161</u> <u>\$531,795</u>

NOTE: Of the \$173,030 originally granted, \$162,196 is granted in support of the program for the year ending 9/30/76 and \$10,834 is granted in partial support of the program for the year ending 9/30/77.

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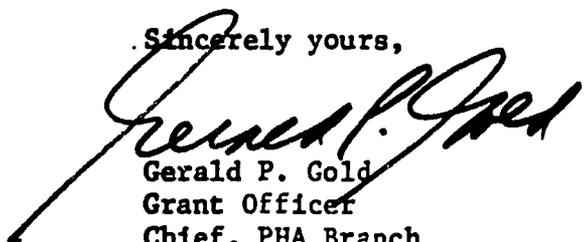
The Grantee may not exceed the funds obligated under this grant. Additional funds may be granted subject to their availability."

Except as expressly herein amended, the subject grant, as previously amended, is in all respects notified, confirmed, and continued in full force and effect in accordance with its terms.

No funds have been obligated by this amendment.

Please acknowledge your acceptance of this Amendment by having an authorized official sign the original and six (6) copies of this letter. Please return the original and five (5) copies of this letter to my office.

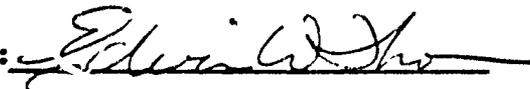
Sincerely yours,



Gerald P. Gold
Grant Officer
Chief, PHA Branch
Central Operations Division
Office of Contract Management'

ACCEPTED:

DIRECT RELIEF FOUNDATION

BY: 

PRINTED NAME: Edwin W. Thomas

TITLE: Program and Planning Officer

DATE: October 15, 1976

copy

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DEPARTMENT OF STATE
AGENCY FOR INTERNATIONAL DEVELOPMENT
WASHINGTON, D. C. 20523

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1976

Dr. Earl Brian
Chairman of the Board
Direct Relief Foundation
27 East Cannon Perdido
Santa Barbara, California 93202

JAN 14 1976

Subject: Letter Amendment No. 1 to
AID/pha-G-1129

Dear Dr. Brian:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the subject grant is hereby amended as follows:

Attachment B - Standard Provisions

1. Standard Provision entitled Salaries

Delete and in lieu thereof insert the the following:

· "Salaries.

All salaries, wages, fees and stipends reimbursed under this Grant shall be in accordance with the Grantee's Policies and Procedures Manual which has been accepted by A.I.D. for the period from October 1, 1975 through September 30, 1976".

2. Standard Provision entitled Travel and Transportation.

Delete Paragraph (4) and in lieu thereof insert the following:

"(4) Travel allowances shall be reimbursed in accordance with the Grantee's Policies and Procedures Manual which has been accepted by A.I.D. for the period from October 1, 1975, through September 30, 1976".

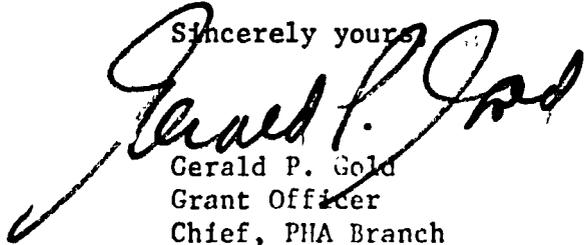
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Except as expressly herein amended, the subject grant is in all respects ratified, confirmed and continued in full force and effect in accordance with its terms.

Please acknowledge your acceptance of this Amendment by having an authorized official sign the original and six (6) copies of this letter. Please return the original and five (5) copies of this letter to my office.

Sincerely yours



Gerald P. Gold
Grant Officer
Chief, PHA Branch
Central Operations Division
Office of Contract Management

ACCEPTED
Direct Relief Foundation

BY:

TYPED OR PRINTED NAME: Peter Rank

TITLE: Executive Director

DATE: January 26, 1976

ORIGINAL

DEPARTMENT OF STATE
AGENCY FOR INTERNATIONAL DEVELOPMENT
WASHINGTON, D.C. 20523

L. Lanner
M. Kolars, PHA/1

SPECIFIC SUPPORT GRANT

Dr. Earl Brian
Chairman of the Board
Direct Relief Foundation
27 East Canon Perdido
Santa Barbara, California 93202

SEP 30 1975

Subject: AID/pha-G-1129
(PIO/T No. 932-13-930-081-73-32)

Dear Dr. Brian:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as "A.I.D." or "Grantor") hereby grants to the Direct Relief Foundation (hereinafter referred to as "DRF" or "Grantee") the sum of \$173,030 to support a program of development as more fully described in the attachment to this Grant entitled "Program Description".

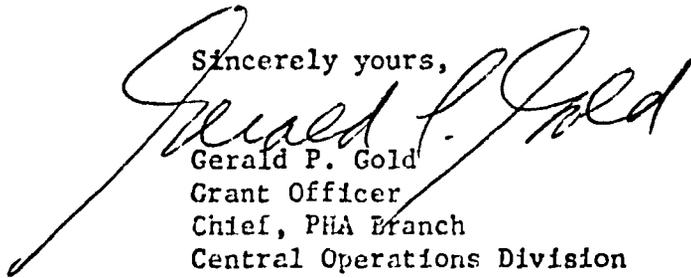
This Grant is effective and obligation is made as of the date of this letter and shall apply to commitments made by the Grantee in furtherance of the program objectives during the period as set forth in the budget section of the Program Description.

This Grant is made to the Direct Relief Foundation on condition that the funds will be administered in accordance with the terms and conditions as set forth in Attachment A, entitled "Program Description", and Attachment B, entitled "Standard Provisions".

Direct Relief Foundation

Please sign the Statement of Assurance of Compliance, enclosed herein, and the original and seven (7) copies of this letter to acknowledge your acceptance of the conditions under which these funds have been granted. Please return the Statement of Assurance of Compliance and the original and six (6) copies of this Grant to the Office of Contract Management, making sure the copy you retain does not contain the stamp "Funds Available".

Sincerely yours,


Gerald P. Gold
Grant Officer
Chief, PHA Branch
Central Operations Division
Office of Contract Management

Attachments:

- A. Program Description
- B. Standard Provisions
- C. Payment Provisions

ACCEPTED:

DIRECT RELIEF FOUNDATION

BY: Elisabeth Zimdin

PRINTED NAME: Elisabeth Zimdin

TITLE: Vice President

DATE: October 7, 1975



Peter Rank

Executive Director

PROGRAM DESCRIPTION

A. Purpose of Grant

The purpose of this grant is to increase the capability of the Direct Relief Foundation to improve the health and economic status and resources of needy people in selected less developed countries, stressing preventive health programs which include health, education and family planning training, systems of providing medical supplies and pharmaceuticals and development of a viable means of increasing productivity of the indigenous population.

B. Specific Objectives

1. Identify 9 areas of need (within selected LDCs) and establish Health Resource Centers in at least 3 of these areas.
2. Train approximately 30 local community health workers in first aid, family planning, nutrition, sanitation and referral.
3. Identify, improve and/or develop six market areas which produce local marketable goods, and
4. Develop 3 locally supported organizations for internal community upgrading.

C. Implementation

In order to achieve the objectives the program support and evaluation capability of DRF will be strengthened by the addition of personnel. Once

the additional Program Planning and Evaluation staff is in place, priorities and specific programs will be defined in terms of quantifiable and measurable indicators. Country selection will be based on an analysis of four major factors: (a) Identification of suitable health and economic problem solving programs. (b) Establishment of appropriate agreements with selected host country institutions, private and government. (c) Availability of local support, (d) A.I.D. priorities and concurrences. The grant program will be carried out in accordance with the implementation plan attached hereto as Appendix A.

The outputs to be obtained from the grant and its indicators will be: (a) An expanded development staff and facilities. Program staff will have been increased by one Program and Planning Officer, one Program Evaluator, one Program and Budget Specialist, one Program Data and Information Specialist, and two clericals, and additional space for added staff will have been leased. (b) Additional planning, budget and evaluation capability. With the addition of the professional staff, and clerical and logistics support, the planning, budgeting and evaluation systems will be operative. (c) Improved medical supply and delivery system. An indicator will be a designed delivery system, including monitoring controls, evaluation method, etc., applicable worldwide. An illustrative implementation plan and an illustrative budget in the three years of the grant are attached.

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D. Reporting

A detailed annual report (6 copies) shall be submitted to PHA/PVC prior to September 30 of each year of the grant. The report shall include all the information pertinent to the grant and include such output indicators as indicated in C above. DRF shall, to the extent possible, analyze how women are employed and include such findings in this report. It is expected though not required, that all quarterly reports, technical papers, journal articles, state of the art monographs, etc. that may directly or indirectly emanate from the increased capability of DRF, be submitted to assist in evaluation of the grant and, when applicable, for consideration for inclusion in the "A.I.D. Research and Development Abstracts" and/or other A.I.D. technology transfer publications. Copies of agreements between DRF and developing country governments will also be forwarded as related to this grant.

E. Budget

This grant is made in support of a three-year program with the initial grant being the funds necessary to support the first year's budget. The Grantee may not exceed the total amount of the Budget (or amount granted, whichever is less), but adjustments among the line items are unrestricted, except for line item Number 4. Subject to the availability of funds, A.I.D. may grant additional funds in support of the program.

Program Description

BUDGET

	<u>1st</u> <u>Year</u>	<u>2nd</u> <u>Year</u>	<u>3rd</u> <u>Year</u>	<u>TOTAL</u>
1. Salaries and Fringe Benefits	\$ 80,400	\$106,590	\$113,625	\$300,615
2. Consultants	18,800	23,700	6,000	48,500
3. Travel	30,830	16,450	17,000	64,280
4. Equipment	9,250	-	-	9,250
5. Other Direct Costs	<u>33,750</u>	<u>36,400</u>	<u>39,000</u>	<u>109,150</u>
	\$173,030	\$183,140	\$175,625	\$531,795

F. Additional Provisions

1. The Grantee must obtain the prior written approval of the Grant Officer for all personnel charged to this grant until such time as the Grantee's policies and procedures are approved by A.I.D.

2. As of the date this grant was made, the Grantee's accounting system was not approved by A.I.D. The system will be reviewed again by A.I.D. within 90 days after the effective date of this grant to determine its acceptability.

MONTHS	1	6	12	18	24	30	36
5. DEVELOP LOCAL ORGANIZATIONS ● Integrate concept into HRC plan ● Discuss with community in target area							
6. EVALUATION OF PROGRAM ● Ongoing ● Final							

STANDARD PROVISIONS

a. Allowable Costs and Payment (Oct. 1974)

The Grantee shall be reimbursed for costs incurred in carrying out the purposes of this Grant which are determined by the Grant Officer to be allowable in accordance with the terms of this Grant and Subpart 15.2 of the Federal Procurement Regulations (FPR) (Principles and Procedures for use in Cost Reimbursement Type Supply and Research Contracts with Commercial Organizations)* in effect on the date of this Grant. Payment of allowable costs shall be in accordance with Attachment C of this Grant.

[*If this Grant is made to a university, the applicable cost principles are "Federal Management Circular, 73-8 (Cost Principles for Educational Institutions)" instead of Subpart 15.2 of the FPR as cited above]

b. Accounting, Records, and Audit (Oct. 1974)

The Grantee shall maintain books, records, documents, and other evidence in accordance with the Grantee's usual accounting procedures to sufficiently substantiate charges to the grant. The Grantee shall preserve and make available such records for examination and audit by AID and the Comptroller General of the United States, or their authorized representatives (1) until the expiration of three years from the date of termination of the program and (2) for such longer period, if any, as is required to complete an audit and to resolve all questions concerning expenditures unless written approval has been obtained from the AID Grant Officer to dispose of the records. AID follows generally accepted auditing practices in determining that there is proper accounting and use of grant funds. The Grantee agrees to include the requirements of this clause in any subordinate agreement hereunder.

c. Refunds (Oct. 1974)

(1) If use of the Grant funds results in accrual of interest to the Grantee or to any other person to whom Grantee makes such funds available in carrying out the purposes of this Grant, Grantee shall refund to AID an amount equivalent to the amount of interest accrued.

(2) Funds obligated hereunder but not disbursed to the Grantee at the time the grant expires or is terminated, shall revert to AID, except for funds encumbered by the Grantee by a legally binding transaction applicable to this Grant. Any funds disbursed to but not expended by the Grantee at the time of expiration or termination of the Grant shall be refunded to AID.

(3) If, at any time during the life of the Grant, it is determined by AID that funds provided under the Grant have been expended for purposes not in accordance with the terms of the Grant, the Grantee shall refund such amounts to AID.

d. Equal Opportunity Employment (Oct. 1974)

With regard to the employment of persons in the U.S. under this Grant, Grantee agrees to take all reasonable steps to ensure equality of opportunity in its employment practices without regard to race, religion, sex, color or national origin of such persons and that, in accordance with Title VI of the Civil Rights Act of 1964, when work funded by this Grant is performed in the U.S. no person shall, on the grounds of race, religion, sex, color or national origin, be excluded from participation, be denied benefits, or be subjected to discrimination. In addition, the Grantee agrees to comply in accordance with its written assurance of compliance, with the provisions of Part 209 of Chapter II, Title 22 of the Code of Federal Regulations, entitled "Non-Discrimination in Federally Assisted Programs of the Agency for International Development - Effectuation of Title VI of the Civil Rights Act of 1964."

e. Termination (Oct. 1974)

This Grant may be terminated at any time, in whole or in part, by the Grant Officer upon written notice to the Grantee, whenever for any reason he shall determine that such termination is in the best interest of the Government. Upon receipt of and in accordance with such notice, the Grantee shall forthwith take immediate action to minimize all expenditures and obligations financed by this Grant, and shall cancel such unliquidated obligations whenever possible. Except as provided below, no further reimbursement shall be made after the effective date of termination, and the Grantee shall within thirty (30) calendar days after the effective date of such termination repay to the Grantor all unexpended portions of funds theretofore paid by the Grantor to the Grantee which are not otherwise obligated by a legally binding transaction applicable to this Grant. Should the funds paid by the Grantor to the Grantee prior to the effective date of this termination of this Grant, be insufficient to cover the Grantee's obligations pursuant to the aforementioned legally binding transaction, the Grantee may submit to the Grantor within (90) calendar days after the effective date of such termination a written claim covering such obligations, and, subject to the limitations contained in the Grant, the Grant Officer shall determine the amount or amounts to be paid by the Grantor to the Grantee under such claim.

f. Officials Not to Benefit (Oct. 1974)

No member of or delegate to Congress or resident commissioner shall be admitted to any share or part of this Grant or to any benefit that may arise therefrom; but this provision shall not be construed to extend to this Grant if made with a corporation for its general benefit.

g. Warranty Against Contingent Fee (Oct. 1974)

The Grantee warrants that no person or selling agency has been employed or retained to solicit or secure this Grant upon an agreement or understanding for a commission, percentage, brokerage, or contingent fee except bona fide employees or bona fide established commercial or selling agencies maintained by the Grantee for the purpose of securing business. For breach or violation of this warranty, AID shall have the right to cancel this grant without liability or, in its discretion, to deduct from the Grant amount, or otherwise recover, the full amount of each commission, percentage, brokerage, or contingent fee.

h. Nonliability (Oct. 1974)

AID does not assume liability with respect to any claims for damages arising out of work supported by its grants.

i. Amendment (Oct. 1974)

The Grant Agreement may be amended by formal modifications to the basic grant document or by means of an exchange of letters between the AID Grant Officer and an appropriate official of the Grantee.

j. Grant Agreement (Oct. 1974)

The letter to the Grantee signed by the Grant Officer, the Program Description and the Standard Provisions which have been reviewed and agreed to by the Grantee, constitute the Grant Agreement.

k. Notices (Oct. 1974)

Any notice given by any of the parties hereunder, shall be sufficient only if in writing and delivered in person or sent by telegraph, cable, registered or regular mail as follows:

To the cognizant AID Grant Officer

To Grantee - At Grantee's address shown in this Grant, or to such other address as either party shall designate by notice given as herein required. Notices hereunder, shall be effective when delivered in accordance with this clause or on the effective date of the notice, whichever is later.

Travel and Transportation (Oct. 1974)

(1) The Grant Officer hereby approves international travel hereunder provided that the Grantee shall obtain written concurrence from the cognizant technical office in AID prior to sending any individual outside the United States to perform work under the Grant. For this purpose the Grantee shall advise the Project Manager at least thirty (30) days in advance of any travel to be undertaken outside the United States. After concurrence is received the Grantee shall provide the cognizant USAID Mission or U.S. Embassy advance notification with a copy to the project officer of the arrival date and flight identification of Grant financed travellers.

(2) Travel to certain countries shall, at AID's option, be funded from U.S.-owned local currency. When AID intends to exercise this option, it will so notify the Grantee after receipt of advice of intent to travel required above. AID will issue a Government Transportation Request (GTR) which the Grantee may exchange for tickets, or AID will issue the tickets directly. Use of such U.S.-owned currencies will constitute a dollar charge to this grant.

(3) The Grantee agrees to travel by the most direct and expeditious route, and to use less than first class transportation unless such use will result in unreasonable delay or increased costs.

(a) All international air travel under this Grant shall be made on United States flag carriers. Exceptions to this rule will be allowed in the following situations provided that the Grantee certifies to the facts in the voucher or other documents retained as part of his Grant records to support his claim for reimbursement and for post audit:

1. Where a flight by a United States carrier is scheduled but does not have accommodations available when reservations are sought;

2. Where the departure time, routing, or other features of a United States carrier would interfere with or prevent the satisfactory performance of official business;

3. Where a scheduled flight by a United States carrier is delayed because of weather, mechanical, or other conditions to such an extent that use of a non-United States carrier is in the Government's interest;

Travel and Transportation - Page 2 of 2

4. Where the appropriate class of accommodations is available on both United States and non-United States carriers, but the use of the United States carrier will result in higher total United States dollar cost to the grant due to additional per diem or other expenses; and

5. Where the appropriate class of accommodations is available only on a non-United States carrier and the cost of transportation and related per diem on the non-U.S. carrier is less than the cost of available accommodations of another class on a United States carrier and related per diem.

(b) All international air shipments under this grant shall be made on United States flag carriers unless shipment would, in the judgment of the Grantee, be delayed an unreasonable time awaiting a United States carrier either at point of origin or transshipment, provided that the Grantee certifies to the facts in the vouchers or other documents retained as part of the Grant records to support his claim for reimbursement and for post audit.

(4) Travel allowances shall be reimbursed in accordance with the Federal Travel Regulations (FTR).



Regulations Governing Employees Outside the United States (Oct. 1974)

(1) The Grantee's employees, when employed in work overseas, shall maintain private status and may not rely on local U.S. Government Offices or facilities for support while so engaged.

(2) The sale of personal property or automobiles by Grantee employees and their dependents in the foreign country to which they are assigned shall be subject to the same limitations and prohibitions which apply to direct-hire AID personnel employed by the mission, except as this may conflict with host government regulations.

(3) Other than work to be performed under this Grant for which an employee or consultant is assigned by the Grantee, no regular or short term employee or consultant of the Grantee shall engage, directly or indirectly, either in his own name or in the name or through an agency of another person, in any business, profession or occupation in the foreign countries to which he is assigned.

(4) The Grantee's employees, while in a foreign country, are expected to show respect for its conventions, customs, and institutions, to abide by its applicable laws and regulations, and not to interfere in its internal political affairs.

(5) On the written request of the Grant Officer or of a cognizant Mission Director, the Grantee will terminate the assignment of any individual to any work under the Grant and, as requested, will use its best efforts to cause the return to the United States of the individual from overseas or his departure from a foreign country or a particular foreign locale.

(6) Allowances for employees assigned overseas shall be reimbursed in accordance with the Federal Travel Regulations (FTR).

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Conversion of United States Dollars to Local Currency (Oct. 1974)

In countries designated "excess" or "near-excess" currency countries, Grantee agrees to convert all United States dollars to local currency through the United States Disbursing Officer, (USDO), American Embassy, unless the Disbursing Officer directs the Grantee otherwise or certifies that no local currency is available.

Ineligible Countries (Oct. 1974)

Unless otherwise approved by the Grant Officer, no grant funds will be expended in countries ineligible for assistance under the Foreign Assistance Act of 1961, as amended, or under acts appropriating funds for foreign assistance.

Subordinate Agreements (Oct. 1974)

The placement of subordinate agreements (e.g., leases, options, etc.), grants, or contracts with other organizations, firms or institutions and the provisions of such subordinate agreements are subject to prior written consent of the Grant Officer if they will be funded hereunder. In no event shall any such subordinate agreement, grant, or contract be on a cost-plus-a-percentage-of-cost basis. Subordinate contractors (including suppliers) shall be selected on a competitive basis to the maximum practicable extent consistent with the obligations and requirements of this Grant.

Publications (Oct. 1974)

(1) If it is the Grantee's intention to identify AID's contribution to any publication resulting from this Grant, the Grantee shall consult with AID on the nature of the acknowledgement prior to publication.

(2) The Grantee shall provide the Project Manager with one copy of all published works developed under the Grant. The Grantee shall provide the Project Manager with lists of other written work produced under the Grant.

(3) In the event grant funds are used to underwrite the cost of publishing, in lieu of the publisher assuming this cost as is the normal practice, any profits or royalties up to the amount of such cost shall be credited to the Grant.

(4) The Grantee is permitted to secure copyright to any publication produced or composed under the Grant. Provided, the Grantee agrees to and does hereby grant to the Government a royalty-free, non-exclusive and irrevocable license throughout the world to use, duplicate, disclose, or dispose of such publications in any manner and for any purpose and to permit others to do so.

Procurement of Equipment, Vehicles, Supplies, Materials, and Services (Oct. 1974)

(1) Except as may be specifically approved or directed in advance by the Grant Officer, or as provided in paragraphs (2), (3), and (4) below, all equipment, vehicles, materials, supplies and services the costs of which are to be attributed to this Grant and which will be financed with United States dollars shall be purchased in and shipped from the United States. In addition, for any U.S. purchase transaction in excess of \$2,500 the Grantee shall notify the seller that the item(s) must be of U.S. source and production and comply with the componentry limitations and other requirements applicable to suppliers under AID Regulation 1, and that the supplier must execute and furnish Form AID-282 "Suppliers Certificate and Agreement with the Agency for International Development".

(2) Exceptions to the foregoing are as follows (for Title X Grants only):

Grantee may permit a subgrantee (or the Grantee on his behalf) to procure commodities already in its country (with the exception of contraceptives, drug products, pharmaceuticals, and motor vehicles) in an amount not exceeding a total cost of \$5,000 per subgrantee per annum without regard to limitations, rules and procedures applicable to commodity transactions financed by AID. Such commodities shall not be considered "Already in its country" within the meaning of the aforementioned exception if the commodities have been imported or otherwise brought into the country at the request of the Grantee or subgrantee. A subgrantee's own country for this purpose shall in no event include any of the following: Australia, Austria, Belgium, Canada, Denmark, France, Germany, Spain, Italy, Japan, Luxembourg, Monaco, Netherlands, New Zealand, Norway, South Africa, Sweden, Switzerland, and United Kingdom. The Grantee shall take all reasonable precautions to ensure that the subgrantee does not procure any commodity which has as its country of origin any of the following countries: Albania, Bulgaria, China (Mainland and other Communist-controlled areas), including Manchuria, Inner Mongolia, the provinces of Tsinghai and Sikang, Sinkiang, Tibet, and former Kwantung Leased Territory, the present Port Arthur Naval Base Areas, and Liaoning Province, Cuba, German Democratic Republic, Hungary, North Korea, North Vietnam, Outer Mongolia, Poland, Rumania, and the Union of Soviet Socialist Republics.

(3) Printed or audio visual teaching materials may, to the extent necessary, be purchased outside the United States when:

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(a) Effective use of the materials depend on their being in the local language, and

(b) Other funds including U.S. owned and controlled local currencies are not readily available for procurement of such material.

The order of preference as to countries in which such purchases outside the United States are made shall be:

1. The AID receiving country
2. Countries falling within AID Geographic Code 901
3. Other Countries falling within AID Geographic Code 899

AID Geographic Codes are defined in AIDPR 7-6.5201.1.

(4) Procurements in the country in which an activity is being undertaken and which are less than \$2,500 and are for materials (regularly available and normally sold on the local market) which are to be consumed or expended during the period of this Grant, are exempt from the conditions of Paragraph 1 above, provided that the origin of such materials and supplies and the component parts thereof is a free world country as described in AID Geographic Code 935.

(5) All international air shipments made by the Grantee, to be financed hereunder, shall be made on U.S. flag carriers unless shipment would, in the judgement of the Grantee, be delayed an unreasonable time awaiting a U.S. carrier, either at the point of origin or transshipment, provided that the Grantee certifies to the facts in the documents retained as part of his Grant records for post audit by AID. All international ocean shipment made by the Grantee, to be financed hereunder, shall be made on U.S. flag vessels. Where U.S. flag vessels are not available, or their use would result in a significant delay, the Grantee may obtain a release from this requirement from the Transportation Support Division, Office of Commodity Management, AID, Washington, D.C. 20523, as appropriate, giving the basis for the request.

(6) The Grantee shall obtain competition to the maximum extent possible for any procurement to be financed hereunder. Procurement by the Grantee without consideration of more than one source must be approved by the Grant Officer. In no event will any procurement be on a cost-plus-a-percentage of cost basis. The Grantee shall take all reasonable steps necessary to insure that subgrantees procuring in accordance with Paragraph (2) obtain competition to the maximum extent possible. In addition to the foregoing, for purchases made in the United States the cost of which are to be attributed to this Grant, the Grantee shall comply with the following requirements:

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To permit AID, in accordance with the Small Business Provisions of the Mutual Security Act, to give United States Small Business firms an opportunity to participate in supplying commodities and services procured under this Grant, the Grantee, shall, to the maximum extent possible, provide the following information to the Office of Small Business, AID, Washington, D.C. 20523 at least 45 days prior to placing any order or contract in excess of Five Thousand (\$5,000) Dollars, except where a shorter time is requested of, and granted by the Office of Small Business:

(a) Brief general description and quantity of commodities or services;

(b) Closing date for receiving quotations or bids;

(c) Address where invitations or specifications may be obtained.

(7) Funds provided under this Grant shall not be used to procure any commodity or commodity-related services furnished by any supplier whose name appears on the List of Ineligible Suppliers under AID Regulation 8, "Suppliers of Commodities and Commodity-Related Services Ineligible for AID Financing", Grantee agrees to review said list prior to undertaking any procurement the cost of which is to be attributed to this Grant. AID will provide Grantee with this list.

Salaries (Oct. 1974)

All salaries, wages, fees, and stipends reimbursed under this Grant shall be in accordance with the Grantee's policy and practice as reviewed and approved by the Grant Officer. In the absence of an approved policy the Grantee shall follow the regulations contained in Section 7-15.205-6 of the Agency for International Development Procurement Regulations.

PAYMENT PROVISIONPeriodic Grant Disbursement

Each month, or at less frequent intervals as agreed upon in advance, Grantee will submit to the Office of Financial Management, FM/CSD, AID, Washington, D.C. 20523 Voucher Form SF 1034 (original) and SF 1034-A, three copies, each voucher identified by the appropriate grant number, in the amount of estimated cash needs for the following month. The voucher shall be supported by an original and two copies of a report rendered as follows:

Amount of Grant	\$xxx
Expended to date	\$xxx
Expended this period (by line item as set forth in budget)	
1.	\$xxx
2.	\$xxx
3.	\$xxx
 TOTAL THIS PERIOD	 \$xxx
Adv. outstanding to subgrantees (if any)	\$xxx
Anticipated expenditures next month (dates)	\$xxx
Cash received to date	\$xxx
Cash required next month	\$xxx

The report shall include a certification as follows:

"The undersigned hereby certifies: (1) that the above represents the best estimates of funds needed for expenditures to be incurred over the period described, (2) that appropriate refund or credit to the grant will be made in the event funds are not expended, (3) that appropriate refund will be made in the event of disallowance in accordance with the terms of this grant and (4) that any interest accrued on the funds made available herein will be refunded to AID.

BY _____

TITLE _____ DATE _____

ASSURANCE OF COMPLIANCE WITH THE AGENCY FOR
INTERNATIONAL DEVELOPMENT REGULATION UNDER TITLE VI
OF THE CIVIL RIGHTS ACT OF 1964

Direct Relief Foundation

(hereinafter called the "Grantee")

(Name of Grantee)

HEREBY AGREES THAT it will comply with title VI of the Civil Rights Act of 1964 (P.L. 88-352) and all requirements imposed by or pursuant to the Regulation of the Agency for International Development (22 CFR Part 209, 30 FR 317) issued pursuant to that title, to the end that, in accordance with title VI of that Act and the Regulation, no person in the United States shall, on the ground of race, color, religion, sex or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under and program or activity for which the Grantee receives Federal financial assistance from the Agency; and HEREBY GIVES ASSURANCE THAT it will immediately take any measures necessary to effectuate this agreement.

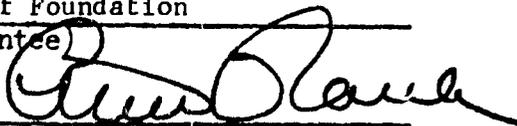
If any real property or structure thereon is provided or improved with the aid of Federal financial assistance extended to the Grantee by the Agency, this assurance shall obligate the Grantee, or in the case of any transfer of such property, any transferee, for the period during which the real property or structure is used for a purpose for which the Federal financial assistance is extended or for another purpose involving the provision of similar services or benefits. If any personal property is so provided, this assurance shall obligate the Grantee for the period during which it retains ownership or possession of the property. In all other cases, this assurance shall obligate the Grantee for the period during which the Federal financial assistance is extended to it by the Agency.

THIS ASSURANCE is given in consideration of and for the purpose of obtaining any and all Federal grants, loans, contracts, property, discounts or other Federal financial assistance extended after the date hereof to the Grantee by the Agency, including installment payments after such date on account of applications for Federal financial assistance which were approved before such date. The Grantee recognizes and agrees that such Federal financial assistance will be extended in reliance on the representations and agreements made in this assurance, and that the United States shall have the right to seek judicial enforcement of this assurance. This assurance is binding on the Grantee, its successors, transferees, and assignees, and the person or persons whose signatures appear below are authorized to sign this assurance on behalf of the Grantee.

Direct Relief Foundation

(Grantee)

BY(Signature)



TITLE

Executive Director

TYPED NAME

Peter Rank

DATE

October 7, 1975