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August 20, 1987

Mr. Don Douglas  
Representative  
Program for Appropriate Technology in Health  
37 Soi 15 (Somprasong 3)  
Petchburi Road  
Bangkok 10400

Loan and Grant Agreements

- FM/LMD (if Loan)
- FM/PAFD
- FM/CAD
- GC/ANE
- ANE/Desk
- ANE/TR Officer
- ANE/PD Officer & File ✓
- PPC/CDIE/DI

Dear Mr. Douglas:

Subject: Grant No. 493-0342-G-SS-7048-00

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the United States Agency for International Development, (hereinafter referred to as "USAID"), under the PVO Co-Financing II Project No. 493-0342, agrees to grant the Program for Appropriate Technology in Health, (hereinafter referred to as "Grantee"), under the terms of this Agreement, the amount of Baht 3,927,765 (Baht Three million, nine hundred twenty-seven thousand, seven hundred sixty-five), not to exceed the Baht equivalent of US\$ 154,030 (exchange rate of US\$1 = Baht 25.50), to provide support for a three-year project titled "Managing Technologies for Thai Health", as more fully described in Annex A, "Project Description", to this Grant and Annex D, the Grantee's proposal, dated May 22, 1987. During the period involved, the Grantee will contribute from other sources an additional Baht 8,349,159 (Baht Eight million, three hundred forty-nine thousand, one hundred fifty-nine), either in cash or in kind.

The effective date of this Grant will be the date accepted by the Grantee as indicated below.

This Grant is made to the Grantee on condition that the funds will be administered in accordance with the terms and conditions as set forth in Annex A entitled "Project Description", Annex B entitled "Standard Provisions", and Annex F entitled "Project Implementation Guidelines". In the event there is discrepancy or disagreement between the Standard Provisions and the Project Description or Letter Grant Agreement, the Project Description and/or Letter Grant Agreement shall prevail.

Please sign the Statement of Assurance of Compliance (Annex E) and the original and three (3) duplicate originals of this Letter Grant Agreement to acknowledge your acceptance of the conditions under which these funds have been granted, retain one (1) duplicate original for your files, and return the remaining three (3) copies to USAID.

Sincerely yours,

*John R. Eriksson*  
John R. Eriksson  
Director

Accepted:

Countersigned:

By : *Dan J Douglas*

By : *C. L. Hall*

Title: Representative, PATH/Thailand

Title: Contracting Officer, PATH

Date : 24 Aug. 1987

Date : 24 Aug 1987

- Annexes: 1. Project Description (Annex A)  
 2. Standard Provisions OMB Control No. 0412-0510 (Annex B)  
 3. Report Forms (Annex C)  
 4. Grant Proposal Dated May 22, 1987 (Annex D)  
 5. Statement of Assurance of Compliance (Annex E) - U.S. PVO only  
 6. Project Implementation Guidelines (Annex F)

Fiscal Data

Control No. : P870101  
Appropriation: 72-1171021  
Allotment : QDHA-87-27493-KG-13  
Grant Amount : \$154,030.00

Funds Available *R. K. Hammerly 8/20/87*  
O/FIN

Project Description

A. Purpose of Grant:

The purpose of this Grant is to enable the Grantee to undertake a three-year project to most effectively meet the goals stated below.

B. Project Goals:

The general goals are to provide support and coordination for the funded projects underway and for those being planned for implementation in Thailand. The project will seek to accomplish the following:

1. To assure that all PATH's international programs which can be of benefit to Thailand are implemented to the fullest extent possible in Thailand.
2. To help tailor the development of programs intended to be implemented in Thailand to most accurately reflect needs of the Thai people and the desires of Thai public health decision makers.
3. To allow PATH to continue to provide technical advice and assistance to public and private health-related institutions in Thailand in the furtherance of their programs and to serve health markets not presently being served.
4. To sponsor support for local scientific and public health research capabilities.
5. To provide for transfer of appropriate health technologies to public and private institutions.
6. To further improve the availability of health products and services in Thailand by using and strengthening private sector elements of the health delivery system.
7. With special regard to the Health Tech; Technologies for Child Survival Program, sponsored by AID/S&T Health, the PATH/Bangkok office will further this program in the following ways:
  - a. Assist in the testing, demonstration, and/or introduction of six to eight new health technologies for Thailand.
  - b. Assure that the specific health-related technology needs in Thailand are presented for consideration as additional subprojects under the Health Tech Program.

c. The accomplishment of these goals will be made measurable for each proposed subproject in the Health Tech Program in terms of children immunized, disease incidence reduced, productivity increased, products tested, and health technologies transferred. At the conclusion of this program, Thai public health decision-makers will have at their disposal the information needed to select, and in some cases, procure appropriate health products which have been tested and validated by the program. Having been part of the program design itself, as well as its implementation, key Thai Government officials should have a greater understanding of health product needs in Thailand and of the product development process.

8. To develop sustainable long-term non-AID sources of support for administrative costs of the PATH/Bangkok office.

C. Implementation:

The project financed by this Grant and its manner of implementation are described in the Grantee's proposal dated May 22, 1987 (Annex D). In addition, the Grantee will prepare and submit to USAID by September 30, 1987, a Year I Implementation Plan. Implementation Plans for subsequent years will be due on September 30 of each year of the Grant.

D. Reporting:

The Grantee will prepare and submit detailed progress reports from the date of the Grant and such reports will become due within 30 days following the 3rd, 6th, 9th, 12th, 15th, 18th, 21st, 24th, 27th, 30th, 33rd and 36th months of the project. Reports will describe the progress and problems of project activities as set forth in the project proposal, following the recommended reporting format (Annex C). The reporting of gender-segregated data on participants and beneficiaries of project activities is also required.

E. Evaluation:

The evaluation plan should be jointly reviewed with USAID staff and submitted to USAID by September 30, 1987. An evaluation report will be due within 60 days following the 36th month (the terminal date of the project). The evaluation report will be in sufficient detail, including gender-segregated data, that USAID can judge the effectiveness of the project, the efficiency of implementation, and the impact of project activities.

F. Period of Grant

The effective date of this Grant is August 24, 1987. The expiration date of this Grant is August 24, 1990.

G. Amount of Grant and Payment

1. USAID hereby obligates the amount of \$154,030 for purpose of this Grant. The Grantee will provide from other sources a minimum of 25% of the total project cost.

2. After the Agreement has become effective, and in accordance with Payment - Periodic Advance, Standard Provisions (Annex B), an initial release of funds for the project will be made upon submission by the Grantee to the Controller, USAID/Thailand, 37 Soi 15 (Somprasong 3), Petchburi Road, Bangkok 10400, of Voucher Standard Form 1034 (Form SF-1034) in an original and three (3) copies, as well as Form SF-270 "Request for Advance or Reimbursement", requesting disbursement of an amount equal to the USAID share of anticipated requirements for authorized expenditures by budget line items, for the ensuing two months. Please note that Form SF-1034 is required to be prepared/submitted by the Grantee with each subsequent advance request and quarterly expenditures reports "SF-272 and SF 269). To ensure having funds on hand to cover anticipated expenses at all times, the Grantee should submit the next request for a cash advance 15 days before the end of the current 2-month funding period. That is, the initial 2-month cash advance request would be submitted upon signing of the Grant Agreement; a second monthly cash advance request by the 15th day of the second month; a third by the 15th day of the third month, and so on, each month for the current and following months' cash needs.

H. Budget:

1. The project budget is summarized as follows and is provided as part of Annex D.

Local Currency:

<u>Expense Category</u>	<u>USAID Baht</u>	<u>PATH Baht</u>	<u>Total</u>
Personnel	1,560,728	3,569,311	5,130,039
Fringe benefit	156,060	356,923	512,983
Consultants and professional fees	173,300	747,278	920,578

Travel and per diem	200,966	1,392,682	1,593,648
Other direct costs	864,383	216,087	1,080,470
Indirect costs @32.9%	<u>972,328</u>	<u>2,066,877</u>	<u>3,039,205</u>
Total	<u>3,927,765</u>	<u>8,349,158</u>	<u>12,276,923</u>
US Dollar Equivalent (US\$1=Baht25.50)	<u>\$154,030</u>	<u>\$327,418</u>	<u>\$481,448</u>
Cost Shares	(32%)	(68%)	

2. It is understood that the Grantee may make adjustments among USAID line items up to 15% of the amount of any line items provided, however, the total amount of the Grant may not be exceeded.

3. The Grantee is required to submit to USAID the following annual reports (within the noted number of days after the close of each Grantee's fiscal year:

- a. Annual audited financial statements (within 60 days).
- b. Current operational year budget, detailing sources of income, administrative expenses and program costs, including unaudited financial statements for the operational (fiscal) year just ended, and a budget vs. actual expenditures report for the preceding operational (fiscal) year just ended (within 30 days).

Following completion of the sixth month of the Grant period, and at six-month intervals, the Grantee will submit an accounting of expenditures for the non-USAID portion of the budget.

I. Audit:

In the event that the independent audit reports on the Grantee or any sub-grantee or contractor, as provided for, and/or program reports, do not satisfy USAID's requirements as determined by the AID Inspector General (Audit), and the AID Inspector General chooses to make an on-site fiscal or program audit, the Grantee agrees to USAID taking reasonable steps to coordinate the scheduling and conduct of such audit with the Grantee in advance, and to providing unrestricted access to its books and records, as further described, and in accordance with, Mandatory Standard Provision 2.

J. Overhead (Indirect Cost) Rate:

Pending establishment of final overhead rates for the period beginning January 1, 1985, payment of indirect cost shall be on a provisional basis, applicable to the base, and for the period specified below:

<u>Rate</u>	<u>Base Applies to</u>	<u>Period</u>
32.9%	Total Direct Costs	From January 1, 1985 until amended.

K. Special Provisions:

The Standard Provisions to this Grant contained in Annex B consist of Mandatory and Optional Standard Provision for U.S., Non-Governmental Grantees.

The Grantee is not authorized any logistics support from USAID or the U.S. Mission to Thailand.