

PDBBR-540



UNITED STATES OF AMERICA
AGENCY FOR INTERNATIONAL DEVELOPMENT
U.S.A.I.D. MISSION TO KENYA

UNITED STATES POSTAL ADDRESS
US AID MISSION TO KENYA
BOX 201
APO NEW YORK, NEW YORK 09675

INTERNATIONAL PGSTAL ADDRESS
POST OFFICE BOX 30261
NAIROBI, KENYA

August 15, 1989

Dr. Mark Stanley Price
Director of African Operations
African Wildlife Foundation
P.O. Box 48177
Nairobi

Subject: Grant No. ~~615-0236~~-G-00-9073

Dear Dr. Stanley Price:

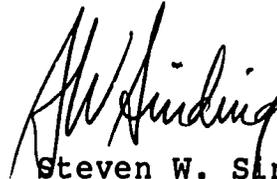
Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as "A.I.D." or "Grantor") hereby grants to the African Wildlife Foundation (hereinafter referred to as "Grantee") the sum of \$164,000 to provide support for a program in the Development of a Kenyan Conservation Organization (Uvumbuzi), as described in Attachment 1, the Schedule of this Grant, and Attachment 2, entitled "Program Description".

This grant is effective and obligation is made as of the date of this letter and shall apply to commitments made by the Grantee in furtherance of program objectives during the period beginning with the effective date and ending on the estimated completion date of August 31, 1991.

This grant is made to the Grantee on condition that funds will be administered in accordance with terms and conditions as set forth in Attachment 1, entitled the "Schedule", Attachment 2, entitled "Program Description", and Attachment 3, entitled "Standard Provisions", which have been agreed to by your organization.

Please sign the original and four (4) copies of this letter to acknowledge your receipt of the grant, and return the original and three (3) copies of the entire document to the Human Resources Development Office, USAID/Kenya, P.O. Box 30261, Union Towers, Nairobi, Kenya.

Sincerely,



Steven W. Sinding
Grant Officer

Attachments:

1. Schedule
2. Program Description
3. Standard Provisions

Acknowledged:

African Wildlife Foundation

By: MR. Stanley Price.

Typed Name: MR. STANLEY PRICE

Title: Director of African Operations

Date: 31/8/89

FISCAL DATA

Appropriation	:	72-1191014
Budget Plan Code	:	GSSA-89-21615-KG13
PIO/T No.	:	615-0236-3-90096
Project No.	:	615-0236
Total Estimated Amount	:	\$164,000
Total Obligated Amount	:	\$164,000

AWF Grant
Grant No: 615-0236-G-00-9073
Dated: August 15, 1989

ATTACHMENT 1

SCHEDULE

A. Purpose of Grant

The purpose of this Grant is to provide support for a project for the Development of a Kenyan Conservation Organization (Uvumbuzi) to be implemented by African Wildlife Foundation, Nairobi, as more specifically described in Attachment 2 to this Grant entitled "Program Description."

B. Period of Grant

The effective date of this Grant is the date of signature of the Grant. The estimated expiration of this Grant is August 31, 1991.

C. Amount of Grant and Payment

1. A.I.D. hereby obligates the amount of \$164,000 for purposes of this Grant.
2. Payment shall be made to the Grantee in accordance with procedures set forth in Attachment 3 - Optional Standard Provision 2, entitled "Payment - Periodic Advance".

D. Financial Plan

The budget for this Grant is set forth in Annex 1 to this attachment. Revisions to this plan shall be made in accordance with the standard provision of this Grant, entitled "Revision of Grant Budget". The Grantee is fully responsible for financial management and accountability for utilization of Grant funds.

E. Indirect Cost Rate

Payments on account of allowable indirect costs shall be made on the basis of the following provisional rate(s) not to exceed the percentage included applied to the base(s) which are set forth below. The procedures governing the applicability of the rate(s) are set forth in Attachment 3 - Optional Standard Provision of this Grant entitled, "Negotiated Indirect Cost Rates - Provisional".

<u>Type</u>	<u>Rate</u>	<u>Base</u>	<u>Term</u>
Overhead	10.42%	Total USAID contribution	Effective Date of Grant until Amended

F. REPORTING AND EVALUATION

During the life of the Grant, the Grantee will submit to USAID/Kenya Human Resources Development Office the following reports:

1. Technical Reporting

On a quarterly basis, a report will be submitted on project progress and on problems encountered to date in achievement of Grant objectives. The quarterly report will include a general assessment of progress and problems to date; a brief status report on each of the sub-projects and the indicators of outputs achieved to date; a brief summary of actions planned for the next period; a description of all goods and services (including amount and source and origin) whose source and origin are not Kenya or the United States; and a table showing all personnel directly financed under this Grant. These reports will contain both quantitative and qualitative information to the extent possible. The first such report will cover the period ending November 30, 1989. Each such report is due 30 days following the end of the reporting period and will be submitted in three (3) copies.

2. Financial Reporting

Financial reporting by the Grantee will be in accordance with the procedures set forth in Attachment 3 - Optional Standard Provision 2, entitled "Payment - Periodic Advance". All financial reports required by that provision shall be submitted to PFM/FM/CMP/LC, Room 703, SA-2, Washington, DC 20523, with copies sent to USAID/Kenya, Human Resources Development Office (HRD), Box Number 201, APO, NY 09675-5000.

The Grantee agrees to consult with the HRD office concerning further details for submitting all financial reports and estimates.

3. Final Report

A final progress and financial report is required which will include a comprehensive review and discussion of project activities and achievements. This report will be due 30 days after the estimated expiration date of the Grant.

4. Evaluation

An evaluation of the entire project will be conducted in the 8th quarter of the project. Two months prior to conducting this evaluation, a scope of work will be provided to USAID/Kenya for review and comments. USAID reserves the right to participate in the evaluation. The Grantee will submit three (3) copies of the evaluation report to USAID within 30 days of report completion.

G. Special Provisions

No funds shall be disbursed under this grant until A.I.D. has received written approval of the project by the Ministry of Tourism and Wildlife. Written approval shall take the form of a letter approving the project, or such other documentation satisfactory to A.I.D. USAID will notify the Grantee in writing when it has accepted the required Government of Kenya written approval.

H. Authorized Source and Origin for Goods and Services

Goods and services financed by A.I.D. under this Grant shall have their source and origin in countries contained in A.I.D. Geographic Code 935 in accordance with the order of preference rules specified in section (b) (1) of the Standard Provision of this Grant entitled, "Eligibility Rules for Goods and Services." (See Annex 2 to this Attachment for details on countries covered in A.I.D. Geographic Codes).

ANNEX 1 to Attachment 1

Estimated Grant Budget
 (U.S. \$)
 From September 1, 1989 to August 31, 1991

Cost Element	USAID	AWF	Total
1. Salaries & Benefits	53,550	*12,600	66,150
2. Equipment	37,000	0	37,000
3. Supplies	14,300	0	14,300
4. Communication	3,875	0	3,875
5. Training	10,000	0	10,000
6. Other Direct Costs	29,800	**82,000	111,800
- Field Project activities			
- Evaluation			
- Office rental			
Subtotal	148,525	94,600	243,125
7. Indirect Cost (@ 10.42%)	15,475	0	15,475
Total Grant	164,000	94,600	258,600

*50% of the salaries and benefits of the Uvumbuzi staff

**Includes in kind contribution of Voluntary time of Uvumbuzi members estimated at \$75,000

Conversion rate for Kenya Shillings: Kshs.20.00 = \$1.00

ANNEX 2
to ATTACHMENT 1

RELEVANT A.I.D. GEOGRAPHIC CODES

000 UNITED STATES

899 FREE WORLD

Any area or country in the Free World* excluding the cooperating country itself.

935 SPECIAL FREE WORLD

Any area or country in the Free World*, including the cooperating country itself.

941 SELECTED FREE WORLD

Any independent country in the Free World*, excluding the cooperating country itself and the following:

<u>Europe</u>		<u>Other</u>	
Andorra	Luxembourg	Angola	Mozambique
Austria	Malta	Australia	New Zealand
Belgium	Monaco	Bahamas	Qatar
Denmark	Netherlands	Bahrain	Saudi Arabia
Finland	Norway	Canada	Singapore
France	Portugal	China, Republic	South Africa
Germany, Federal	San Marino	of (Taiwan)	United Arab
Republic of	Spain	Cyprus	Emirates
(including West	Sweden	Gabon	
Berlin)	Switzerland	Greece	
Ireland	United Kingdom	Hong Kong	
Italy	Vatican City	Iraq	
Iceland	Yugoslavia	Japan	
Liechtenstein		Kuwait	

*"Free World" excludes the following areas or countries:

Afghanistan, Albania, Bulgaria, Cambodia, Cuba, Czechoslovakia, Estonia, German Democratic Republic, Hungary, Iran, Laos, Latvia, Libya, Lithuania, Mongolia, North Korea, People's Democratic Republic of Yemen (South Yemen or Aden), People's Republic of China, Poland, Romania, Syria, Union of Soviet Socialist Republics, Viet Nam.

AFRICAN WILDLIFE FOUNDATION (AWF)

PROGRAM DESCRIPTION

Introduction

With a broad goal of increasing the effective conservation of natural resources in Kenya through expanded public knowledge and participation, the grant's purpose is to assist AWF, a U.S. PVO, to develop the Uvumbuzi Wildlife Club as a viable, indigenous adult education organization, knowledgeable about conservation issues and effective in promoting local conservation activities.

African Wildlife Foundation is a private, U.S.-based voluntary organization with over 27 years of experience in Africa working with wildlife-related organizations. It has long been involved in conservation education and public awareness, and its affiliated Uvumbuzi Club began in 1983 to serve as "graduate" association for former members of the youth-oriented Wildlife Clubs of Kenya. Uvumbuzi's primary objective is to conserve and preserve the natural habitat and its flora and fauna. To date, its activities have included camping trips to protected areas, tree planting, and various aspects of wildlife education.

The Project

The AWF/Uvumbuzi Club Project will run for a period of two years. Specifically, the project seeks to:

- * Bring about a clear definition of the structure and activities of Uvumbuzi as an organization
- * Develop the organizational and financial management skills of staff of the Uvumbuzi secretariat, as well as members of Uvumbuzi
- * Strengthen an indigenous Kenyan conservation society, knowledgeable about conservation issues and effective in promoting conservation activities
- * Provide information and training to Uvumbuzi members on the conservation of natural resources
- * Define a program of activities for Uvumbuzi members which will allow them to promote conservation with selected target groups, and
- * Develop professional links with other PVOs in the field of natural resources conservation

An AWF Project Management Officer will work with Uvumbuzi to implement the project. AWF is responsible for financial stewardship, and for meeting all of AID's reporting requirements and schedules.

The AWF/Uvumbuzi project will bring about a strengthened, more effective conservation organization in Kenya. Uvumbuzi's membership will be increased, and a comprehensive conservation training program carried out for Uvumbuzi members. This will be done by site visits to areas of conservation interest; workshops for club members to learn more about technical components of conservation projects; and seminars related to contemporary wildlife, conservation and environmental issues in Kenya.

The activities of the project will be conducted in two phases. The first stage of the project will focus on internal management strengthening of Uvumbuzi. This will include: organizing the secretariat, examining eligibility and size of membership, training members through site visits, workshops and issue-directed seminars, developing management and program development skills. During the second phase, the project will help Uvumbuzi members to use their newly-acquired conservation knowledge and skills. They will develop and manage an integrated pilot conservation education program for youth in selected wildlife clubs. Drawing on the communication and teaching expertise acquired by Uvumbuzi members, the project will concentrate on promoting conservation issues that Uvumbuzi feels are of particular contemporary importance in Kenya. AWF will assist the Uvumbuzi secretariat to plan pilot conservation education program(s) in areas and locations in which AWF already has developed expertise and contacts.

AWF staff involved will include the Director and Assistant Director of African Operations, with support from AWF administrative and accounting staff. A suitably qualified AWF Project Officer will be appointed to work for 50% of his/her time on this project. Additional staff workers will be recruited to assist the Uvumbuzi secretariat.

End of Project Status and Evaluation

Two evaluations are to be conducted with AWF and Uvumbuzi. They will be part of the training component of this project. Based on the final evaluation, success will be measured by the extent that the project meets the following targets:

- * A minimum increase in Uvumbuzi membership of 50% from start of project
- * At least 20% of annual operating costs met from local resources

- * At least one pilot education project with WCK completed and rated "successful" by at least two-thirds of its participants
- * A follow-on plan of action in place for the next two to three years
- * An Uvumbuzi management committee and accounting procedures in place

Funding

This grant will be for two years. The total project cost is estimated at \$258,600, with USAID's contribution estimated at \$164,000. USAID's contribution will cover local costs, such as salaries and wages, supplies, equipment, communications, training, other direct and indirect costs. Certain supplies and equipment may require dollar payments.

AWF will provide the Kenya shilling equivalent, in cash and in kind, of approximately \$94,600 for a portion of personnel costs. This contribution represents over 36 percent of total project costs.

Note: A more complete project description is contained in the project proposal, available in the Human Resources Development Office, USAID/Kenya. However, if any provisions of the grant document conflict with those in the final proposal (with revisions, if any), the grant provisions will govern.

ATTACHMENT 3

STANDARD PROVISIONS

The Standard Provisions set forth as Attachment 3 of this Grant consist of the following Mandatory and Optional Standard Provisions marked by an "X", which are incorporated as part of this Grant by reference with the same force and effect as if included in full text. Full text of the Mandatory and Optional Standard Provisions designated below may be obtained from the AID office specified on the cover page.

1. MANDATORY STANDARD PROVISIONS FOR U.S., NONGOVERNMENTAL GRANTEES

- (x) 1. Allowable Costs (November 1985)
- (x) 2. Accounting, Audit, and Records (January 1988)
- (x) 3. Refunds (January 1988)
- (x) 4. Revision of Grant Budget (November 1985)
- (x) 5. Termination and Suspension (May 1986)
- (x) 6. Disputes (March 1987)
- (x) 7. Ineligible Countries (May 1986)
- (x) 8. Nondiscrimination (May 1986)
- (x) 9. U.S. officials Not to Benefit (November 1985)
- (x) 10. Nonliability (November 1985)
- (x) 11. Amendment (November 1985)
- (x) 12. Notices (November 1985)

2. OPTIONAL STANDARD PROVISIONS FOR U.S., NONGOVERNMENTAL GRANTEES

- () 1. Payment - Letter of Credit (November 1985)
- (X) 2. Payment - Periodic Advance (January 1988)
- () 3. Payment - Cost Reimbursement (November 1985)
- (x) 4. Air Travel and Transportation (November 1985)
- (x) 5. Ocean Shipment of Goods (May 1986)
- (x) 6. Procurement of Goods and Services (November 1985)

- (x) 7. AID Eligibility Rules for Goods and Services (November 1985)
- (x) 8. Subagreements (November 1985)
- (x) 9. Local Cost Financing (May 1986)
- () 10. Patent Rights (November 1985)
- () 11. Publications (November 1985)
- () 12. Negotiated Indirect Cost Rates - Predetermined (May 1986)
- (x) 13. Negotiated Indirect Cost Rates -Provisional (May 1986)
- (x) 14. Regulations Governing Employees (November 1985)
- () 15. Participant Training (May 1986)
- () 16. Voluntary Population Planning (August 1986)
- () 17. Protection of the Individual as a Research Subject (November 1985)
- () 18. Care of Laboratory Animals (November 1985)
- () 19. Government Furnished Excess Personal Property (November 1985)
- (x) 20. Title to and Use of Property (Grantee Title) (November 1985)
- () 21. Title to and Care of Property (U.S. Government Title) (November 1985)
- () 22. Title to and Care of Property (Cooperating Country Title) (November 1985)
- (x) 23. Cost Sharing (Matching) (November 1985)
- (x) 24. Use of Pouch Facilities (November 1985)
- (x) 25. Conversion of United States Dollars to Local Currency (November 1985)