

1302

PDBBR.539

UNITED STATES OF AMERICA
AGENCY FOR INTERNATIONAL DEVELOPMENT
U.S.A.I.D. MISSION TO KENYA



UNITED STATES POSTAL ADDRESS
US AID MISSION TO KENYA
BOX 201
APO NEW YORK, NEW YORK 09675

INTERNATIONAL POSTAL ADDRESS
POST OFFICE BOX 30261
NAIROBI, KENYA

August 15, 1989

Mr. Kenneth H. Phillips
President
Foster Parents Plan International
155 Plan Way
Warwick, R.I. 02887

Subject: Grant No. ~~025-02500-00-9072~~ 00-9072

Dear Mr. Phillips:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as "A.I.D." or "Grantor") hereby grants to the Foster Parents Plan International (hereinafter referred to as "Grantee") the sum of \$330,000 to provide support for a Water Availability Project, as described in Attachment 1, the Schedule of this Grant, and Attachment 2, entitled "Program Description".

This grant is effective and obligation is made as of the date of this letter and shall apply to commitments made by the Grantee in furtherance of program objectives from the period of August 1, 1989 and ending on the estimated completion date of August 31, 1991.

This grant is made to the Grantee on condition that funds will be administered in accordance with terms and conditions as set forth in Attachment 1, entitled the "Schedule", Attachment 2, entitled "Program Description", and Attachment 3, entitled "Standard Provisions", which have been agreed to by your organization.

Please sign the original and four (4) copies of this letter to acknowledge your receipt of the grant, and return the original and three (3) copies of the entire document to the Human Resources Development Office, Box Number 201, APO, NY 09675-5000.

Sincerely,

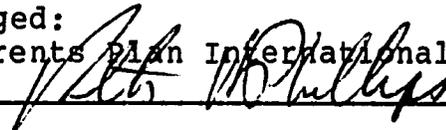

Steven W. Sinding
Grant Officer

Attachments:

1. Schedule
2. Program Description
3. Standard Provisions

Acknowledged:

Foster Parents Plan International

By: 

Typed Name: Kenneth H. Phillips

Title: President

Date: September 5, 1989

Organization: Foster Parents Plan, Inc.

FISCAL DATA

Appropriation	:	72-1191014
Budget Plan Code	:	GSSA-89-21615-KG13
PIO/T No.	:	615-0236-3-90095
Project No.	:	615-0236
Total Estimated Amount	:	\$330,000
Total Obligated Amount	:	\$330,000

PLAN International Grant
Grant No: 615-0236-G-00-9072
Dated: August 15, 1989

ATTACHMENT 1

SCHEDULE

A. Purpose of Grant

The purpose of this Grant is to provide support for a Water Availability Project to be implemented by PLAN International/Embu, Kenya in Embu District, as more specifically described in Attachment 2 to this Grant entitled "Program Description."

B. Period of Grant

The effective date of this Grant is the date of signature of the Grant. The estimated expiration of this Grant is August 31, 1991. Notwithstanding the effective date of this Grant, and subject to the Standard Provision entitled "Allowable Costs", costs incurred on or after August 1, 1989 shall be eligible for reimbursement. Such costs are included in the Grant Budget.

C. Amount of Grant and Payment

1. A.I.D. hereby obligates the amount of \$330,000 for purposes of this Grant.
2. Payment shall be made to the Grantee in accordance with procedures set forth in Attachment 3 - Optional Standard Provision 2, entitled "Payment - Periodic Advance".

D. Financial Plan

The budget for this Grant is set forth in Annex 1 to this attachment. Revisions to this plan shall be made in accordance with the standard provision of this Grant, entitled "Revision of Grant Budget". The Grantee is fully responsible for financial management and accountability for utilization of Grant funds.

E. Indirect Cost Rate

Payments on account of allowable indirect costs shall be made on the basis of the following provisional rate(s) not to exceed the percentage included applied to the base(s) which are set forth below. The procedures governing the applicability of the rate(s) are set forth in Attachment 3 - Optional Standard Provision of this Grant entitled, "Negotiated Indirect Cost Rates - Provisional".

<u>Type</u>	<u>Rate</u>	<u>Base</u>	<u>Term</u>
Overhead	10.00%	Total USAID contribution	Effective Date of Grant until Amended

F. REPORTING AND EVALUATION

During the life of the Grant, the Grantee will submit to USAID/Kenya Human Resources Development Office the following reports:

1. Technical Reporting

On a quarterly basis, a report will be submitted on project progress and on problems encountered to date in achievement of Grant objectives. The quarterly report will include a general assessment of progress and problems to date; a brief status report on each of the sub-projects and the indicators of outputs achieved to date; a brief summary of actions planned for the next period; a description of all goods and services (including amount and source and origin) whose source and origin are not Kenya or the United States; and a table showing all personnel directly financed under this Grant. These reports will contain both quantitative and qualitative information to the extent possible. The first such report will cover the period ending November 30, 1989. Each such report is due 30 days following the end of the reporting period and will be submitted in three (3) copies.

2. Financial Reporting

Financial reporting by the Grantee will be in accordance with the procedures set forth in Attachment 3 - Optional Standard Provision 2, entitled "Payment - Periodic Advance". All financial reports required by that provision shall be submitted to PFM/FM/CMP/LC, Room 703, SA-2, Washington, D.C. 20523, with copies sent to USAID/Kenya Human Resources Development Office (HRD), Box Number 201, APO, NY 09675-5000.

The Grantee agrees to consult with the HRD Office concerning further details for submitting all financial reports and estimates.

3. Final Report

A final progress and financial report is required which will include a comprehensive review and discussion of project activities and achievements. This report will be due 30 days after the estimated expiration date of the Grant.

4. Evaluation

An evaluation of the entire project will be conducted in the 8th quarter of the project. Two months prior to conducting this evaluation, a scope of work will be provided to USAID/Kenya for review and comments. USAID reserves the right to participate in the evaluation. The Grantee will submit three (3) copies of the evaluation report to USAID within 30 days of report completion.

G. Authorized Source and Origin for Goods and Services

Goods and services financed by A.I.D. under this Grant shall have their source and origin in countries contained in A.I.D. Geographic Code 935 in accordance with the order of preference rules specified in section (b) (1) of the Standard Provision of this Grant entitled, "Eligibility Rules for Goods and Services." (See Annex 2 to this Attachment for details on countries covered in A.I.D. Geographic Codes).

PLAN International
Grant No: 615-0236-G-00-9072
Dated: August 15, 1989

ANNEX 1 to Attachment 1

Estimated Grant Budget
(U.S. \$)
From August 1, 1989 to August 31, 1991

Cost Element	USAID	PLAN	Total
1. Drilling Sub-contract	245,000	0	245,000
2. Survey Supervision Sub-contract	55,000	0	55,000
3. Equipment	0	115,000	115,000
4. Materials	0	20,000	20,000
5. Training	0	5,000	5,000
6. Salaries & Wages	0	26,800	26,800
Subtotal	300,000	166,800	466,800
7. Indirect Costs (@ 10.00%)	30,000	0	30,000
Total Grant	330,000	166,800	496,800

ANNEX 2
to ATTACHMENT 1

RELEVANT A.I.D. GEOGRAPHIC CODES

000 UNITED STATES

899 FREE WORLD

Any area or country in the Free World* excluding the cooperating country itself.

935 SPECIAL FREE WORLD

Any area or country in the Free World*, including the cooperating country itself.

941 SELECTED FREE WORLD

Any independent country in the Free World*, excluding the cooperating country itself and the following:

<u>Europe</u>		<u>Other</u>	
Andorra	Luxembourg	Angola	Mozambique
Austria	Malta	Australia	New Zealand
Belgium	Monaco	Bahamas	Qatar
Denmark	Netherlands	Bahrain	Saudi Arabia
Finland	Norway	Canada	Singapore
France	Portugal	China, Republic	South Africa
Germany, Federal	San Marino	of (Taiwan)	United Arab
Republic of	Spain	Cyprus	Emirates
(including West	Sweden	Gabon	
Berlin)	Switzerland	Greece	
Ireland	United Kingdom	Hong Kong	
Italy	Vatican City	Iraq	
Iceland	Yugoslavia	Japan	
Liechtenstein		Kuwait	

*"Free World" excludes the following areas or countries:

Afghanistan, Albania, Bulgaria, Cambodia, Cuba, Czechoslovakia, Estonia, German Democratic Republic, Hungary, Iran, Laos, Latvia, Libya, Lithuania, Mongolia, North Korea, People's Democratic Republic of Yemen (South Yemen or Aden), People's Republic of China, Poland, Romania, Syria, Union of Soviet Socialist Republics, Viet Nam.

ATTACHMENT 2

PLAN INTERNATIONAL

PROGRAM DESCRIPTION

Introduction

The purpose of this grant is to support a water project in Gachoka Division, Embu District. Lack of potable water in the division has been identified as the main problem/need facing the majority of people living there. Therefore, the project seeks to address the special needs associated with the lack of clean water, so as to reduce water-related diseases and illness, reduce the time spent by women and children to collect water, and increase overall sanitation and hygiene within the home. The potable water objective is an integral element of larger PLAN health sector goals in the area, i.e.

- to ensure that families have year-round access to a source(s) of potable water that provides at least 10 litres per person per day within a 4 km. round trip; and
- to reduce the mortality and morbidity of mothers and children in the project area.

Foster Parents Plan, a U.S. PVO, is a financial sponsorship organization. It implements integrated community development projects that require "saturation enrollment", i.e., PLAN's programs reach the vast majority of a target community's population, virtually all below a fixed low-income level. With GOK cooperation, PLAN began its operation in Embu in 1982. Its programs cover five major sectors, including health, education, community development, and resource and skills development. An initial baseline survey of Gachoka, the target division, was done in February 1983.

The Project

During the two-year project period, PLAN will organize community participation to install handpumps, and train village maintenance and repair teams. Water boreholes will be drilled by a professional drilling company, under contract with PLAN, using PLAN's drilling rig and equipment. Experienced technical and management staff are already working at the project site.

The project will construct 50 positive boreholes in Gachoka Division, fit them with handpumps and train maintenance and repair teams to service them. A PLAN study in 1987 indicated that other water sources (surface water, springs, open wells, small dams, rivers etc.) were highly contaminated. Therefore, PLAN chose two other systems: boreholes with handpumps, and rainwater roof catchment systems with large storage tanks. This project will help provide the former.

With an average annual rainfall of under 800mm, the effect of poor water is illustrated by the statistics gathered in Gachoka Division by PLAN baseline data survey taken in 1983. According to the data, only 21% of the population in the target area had adequate potable water.

PLAN has a professional management team in place in its Embu office. This includes the Field Director, a National Assistant Director, a Health Coordinator, a Training Coordinator, a qualified Water Engineer, a qualified Accountant, and an experienced staff of Community Development Workers (CDW's). PLAN's Embu Office, which is supervised by PLAN International Headquarters (U.S.), has been implementing water projects for the past five years. Its work has included sub-surface dams, surface dams, spring boxes, roof catchment systems, extension of piped water systems, renovation of existing wells, and boreholes with handpumps.

End of Project Status and Evaluation

By the end of the project, success will be evaluated against the following targets:

- (1) fifty boreholes drilled and certified as positive;
- (2) fifty handpumps installed and functioning;
- (3) fifty village maintenance and repair teams trained;
- (4) water availability within a 2km radius;
- (5) water flow of 400 litres per hour;
- (6) quality of water at a reasonable standard; and
- (7) 3500 families using the water.

Finally, the water program will continue to be monitored by PLAN for another three years after the project is finished. PLAN's goal is to develop viable village health committees that will be responsible for their own water system. Each community will select its own members to receive training in pump and well maintenance, and a fund will be established by the villagers to pay for parts and maintenance. PLAN will provide back up, refresher training for pump maintenance and repair teams, and ensure that spare parts are available.

Funding

Funds under this grant will be used to support PLAN's project activities for the initial one (1) year of a proposed two (2) year project. The total project cost is estimated at \$496,800, with USAID's contribution estimated at \$330,000. USAID will fund a drilling sub-contract, survey supervision sub-contract, and indirect costs.

Foster Parents Plan International will provide the equivalent (in cash and in-kind) of \$166,800 for personnel, equipment, materials, and training. PLAN's contribution represents 34 percent of total project costs.

Note: A more complete project description is contained in the project proposal, available in the Human Resources Development Office, USAID/Kenya. However, if any provisions of the grant document conflict with those in the final proposal (with revisions, if any), the grant provisions will govern.

ATTACHMENT 3

STANDARD PROVISIONS

The Standard Provisions set forth as Attachment 3 of this Grant consist of the following Mandatory and Optional Standard Provisions marked by an "X", which are incorporated as part of this Grant by reference with the same force and effect as if included in full text. Full text of the Mandatory and Optional Standard Provisions designated below may be obtained from the AID office specified on the cover page.

1. MANDATORY STANDARD PROVISIONS FOR U.S., NONGOVERNMENTAL GRANTEES

- (x) 1. Allowable Costs (November 1985)
- (x) 2. Accounting, Audit, and Records (January 1988)
- (x) 3. Refunds (January 1988)
- (x) 4. Revision of Grant Budget (November 1985)
- (x) 5. Termination and Suspension (May 1986)
- (x) 6. Disputes (March 1987)
- (x) 7. Ineligible Countries (May 1986)
- (x) 8. Nondiscrimination (May 1986)
- (x) 9. U.S. officials Not to Benefit (November 1985)
- (x) 10. Nonliability (November 1985)
- (x) 11. Amendment (November 1985)
- (x) 12. Notices (November 1985)

2. OPTIONAL STANDARD PROVISIONS FOR U.S., NONGOVERNMENTAL GRANTEES

- () 1. Payment - Letter of Credit (November 1985)
- (X) 2. Payment - Periodic Advance (January 1988)
- () 3. Payment - Cost Reimbursement (November 1985)
- (x) 4. Air Travel and Transportation (November 1985)
- (x) 5. Ocean Shipment of Goods (May 1986)
- (x) 6. Procurement of Goods and Services (November 1985)

- (x) 7. AID Eligibility Rules for Goods and Services (November 1985)
- (x) 8. Subagreements (November 1985)
- (x) 9. Local Cost Financing (May 1986)
- () 10. Patent Rights (November 1985)
- () 11. Publications (November 1985)
- () 12. Negotiated Indirect Cost Rates - Predetermined (May 1986)
- (x) 13. Negotiated Indirect Cost Rates -Provisional (May 1986)
- (x) 14. Regulations Governing Employees (November 1985)
- () 15. Participant Training (May 1986)
- () 16. Voluntary Population Planning (August 1986)
- () 17. Protection of the Individual as a Research Subject (November 1985)
- () 18. Care of Laboratory Animals (November 1985)
- () 19. Government Furnished Excess Personal Property (November 1985)
- (x) 20. Title to and Use of Property (Grantee Title) (November 1985)
- () 21. Title to and Care of Property (U.S. Government Title) (November 1985)
- () 22. Title to and Care of Property (Cooperating Country Title) (November 1985)
- (x) 23. Cost Sharing (Matching) (November 1985)
- (x) 24. Use of Pouch Facilities (November 1985)
- (x) 25. Conversion of United States Dollars to Local Currency (November 1985)