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Peru and Andean Trade Capacity Building (PATCB) Project

Action Plan – Implementation of an AEO Program in Peru

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ACTION PLAN

Implementation of an AEO Program in Peru

Action Office: Risk Management and Post Clearance Control Department, and Customs Control Section

Milestones – estimates from the date of program commencement 15 months total = 12 months to establish, 3 or more months to pilot	1) Building the project team and identifying the members of the working group – 30 days 2) Drafting and approval of the project – 30 days 3) Establishing Legal framework – 3 to 11 months 4) Establishing AEO requirements and benefits – 90 days 5) Establishing Legal basis for benefits – 3 to 11 months 6) Developing application and verification process – 120 Days 7) Hiring and Training qualified staff to oversee the program – 12 months 8) Announce and promote the program – 10 months 9) Select participants in the pilot program – 11 months 10) Conduct Pilot and evaluate success – 12 to 15 months					
Action/priority objective	Measures	Responsibility	Timeframe	Resources	Possible barriers	Communication plan
1. Forming the project implementation team	1.1 Identifying sponsor for the project from among the Custom Service management. 1.2 Appointment of the full-time project manager 1.3 Appointment of the working group members from different relevant departments/divisions.	Customs	1 month			
2. Creating the working group on AEO.	2.1 Recommendations on the appointment of members from among businesses (Chamber of Commerce, representatives of importers and exporters of Peru, etc.) 2.2 Identification and invitation for representatives of the private sector and from other relevant government agencies to form the working group. 2.3 Schedule first organizational meeting to establish the objective of AEO Program. 2.4 Selection of the Deputy chairperson from private sector.	1. Project team; 2. Representatives of business; 3. Public Information Office	1 month			1. Exchange of information 2. Schedule meetings

3. Define the Project	<p>3.1 Drafting a charter and scope of work:</p> <ul style="list-style-type: none"> - defining the project and provide direction; - importance of the project for custom authority and stakeholders; - appointment of the project manager and his/her decision making authority; - specifying the role of the working group as a main channel of communication between Customs, OGAs and partners from the private sector; - Emphasizes senior management support -Emphasize the importance of the private sector in the design of the AEO program <p>3.2. Identifying subdivisions responsible for AEO Program management after the end of the implementation team work.</p> <p>3.3 Verify Legal framework is in place</p> <p>3.3 Identifying resources required to support an efficient AEO Program (computers and necessary equipment, etc.)</p> <p>3.4 Posting the Order on project implementation on intranet and official webpage of SUNAT.</p>	<p>1. Project team and working group;</p> <p>2. Representatives of businesses;</p> <p>3. Superintendent of Customs;</p> <p>4. Public Information Office</p>	1 month		1. Legal framework	
4. Draft project implementattion plan.	<p>4.1 Task to be completed, responsible person(s) and timeframe</p> <p>4.2 Circulate for review and comments</p> <p>4.3 Adjust as appropriate</p> <p>4.4 Make available to all Customs managers and post on intranet</p>	<p>1. Project team and working group</p>	2 months			

5. Establishing AEO requirements	<p>5.1 Developing national requirements based the recommendations of the working group by means of:</p> <ul style="list-style-type: none"> - setting a documents record-keeping system in accordance with standards set by the working group; - setting reasonable standards on financial viability; - setting appropriate national standards on reasonable security with regard to the size and activity of a company, - development and coordination of plans of intervention between Customs and AEO for emergency situations, keeping the balance between security and trade facilitation; - setting requirements for CS and AEO with regard to cooperation, communication and training in areas of common interest; <p>5.2 Determining the compliance levels for potential AEO.</p>	1. Project team Working Group;	1 month			
6. Determining AEO benefits	<p>6.1 Identifying benefits for AEO resulting from cooperation with business;</p> <p>6.2 Identifying measures accelerating goods clearance (less controls, pre-file in conditions of increased risk, etc.)</p> <p>6.3 Identifying relevant and practical benefits for businesses, admissible under laws in force. Drafting an instruction on the application of each applicable benefit;</p> <p>6.4 Coordination of the identified benefits with the Legal Division.</p>	1 Project team, Working Group; 2. Legal Division	1 month		1. Legal framework	
7. Design the application	7.1 Drafting a detailed instruction on the application and security profile regarding the	1. Project team, Working Group	1 month			

process	<p>participation in AEO Program;</p> <p>7.2 Developing a template of memorandum of understanding between AEO applicant and CS;</p> <p>7.3 Drafting AEO certificate;</p> <p>7.4 Drafting working proceedings with regard to the process of examination, check and approval of applications;</p> <p>7.5 Developing procedure of AEO withdrawal and suspension;</p> <p>7.6 Developing procedure of appeal in case of rejection of AEO status.</p>					
8. Identification of specialists in supply chain security	<p>8.1 Identifying specialists from within Customs with education background and at least 2 years of customs work;</p> <p>8.2 Training of specialists in supply chain.</p> <p>8.3 Providing specialists with necessary technical facilities (equipment, software, transportation means, and communication facilities).</p>	<p>1. Project team;</p> <p>2. Human Resources Division;</p> <p>3. Supply Division;</p>	2 months		Lack of resources or funding	
9. Announce and promote AEO initiative	<p>9.1 Launch of public information campaign about the new role of Customs as an advocate of supply chain security, as well as its commitment to facilitate the movement of legal cargo;</p> <p>9.2 Planning meetings with other governmental agencies to address the level of their importance in the successful implementation of AEO;</p> <p>9.3 Information sharing with businesses about benefits resulting from their participation in AEO program;</p> <p>9.4 Organization of meetings with businesses regarding the increase of mutual trust;</p> <p>9.5 Outreach to all customs employees with</p>	<p>1. Public Information;</p> <p>2. Project team, Working group</p> <p>3. External Relations Division.</p>	2 months		<p>1. Harsh laws (sanctions) for businesses,</p> <p>2. Lack of mutual trust;</p> <p>3. Lack of communication.</p>	1. Schedule meetings

	<p>regard to AEO program implementation</p> <p>9.6 Outreach to other Customs Administrations regarding Peru AEO program implementation</p>					
10. Pilot testing of AEO concept	<p>10.1 Selection of pilot companies based on specific criteria. Consideration of traders from qualified Certified Customs Users.</p> <p>10.2 Information sharing with decision-making persons of companies with regard to requirements and benefits of AEO program.</p> <p>10.3 Issue Formal invitation to participate in the pilot project.</p> <p>10.4 Daily supervision of custom processes and personnel associated with AEO program.</p> <p>10.5 Drafting and implementation of a training course on AEO program to be delivered to pilot companies.</p> <p>10.6 Implementation of the pilot test, Customs and private sector monitor performance.</p> <p>10.7 Adjustment to AEO program instructions, if needed.</p> <p>10.8 Draft a detailed report at the end of the pilot period and to Customs management and stakeholders;</p> <p>10.9 At the end of the pilot test AEO program may be extended.</p>	1. Project team, working group	3 -6 months for testing; (12 months for preparation)			
11. Examination of the mutual recognition	<p>11.1 Initiating high-level discussions between custom services and creating a working group;</p> <p>11.2 Ensuring and documenting a parallel comparison of AEO program in each implementing country;</p> <p>11.3 Organization of visits of mutual observation and assessment of the processes of mutual validation in order to establish the level</p>	1. Customs Service			<p>1. Lack of legal framework for mutual recognition</p> <p>2. Lack of common standards with regard to risk</p>	

	of compatibility; 11.4 Examining the feasibility of an exchange of information in the context of laws in each country.				analysis and management	
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