

USAID/CARIBBEAN REGIONAL PROGRAM

**RESULTS REVIEW AND
RESOURCE REQUEST (R4)**

Please Note:

The attached FY 2003 Results Review and Resource Request (“R4”) was assembled and analyzed by the country or USAID operating unit identified on this cover page.

The R4 is a “pre-decisional” USAID document and does not reflect results stemming from formal USAID review(s) of this document.

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MEMORANDUM

Date: May 24, 2001

From: Mosina Jordan, USAID/Jamaica-Caribbean Regional Program Mission Director

To: Michael Deal, AA/LAC

Subject: Caribbean Regional Program (CRP) R4 Transmittal Memo

Since last year's R4, the Mission has made significant administrative and programmatic advances in support of our 2000 five-year Caribbean Regional strategy. A new HIV/AIDS regional objective (RSO4) was approved in March, hurricane Georges and Lenny reconstruction activities are fully operational and the CRP satellite office in Bridgetown Barbados is open.

Procurement planning for technical and management services is at an appropriately advanced stage, staff are in place in both Kingston and the satellite office in Barbados, and several large assistance instruments have been secured with key partners. Higher budget planning levels approved for FY02 and FY03 (\$12.5 million and \$10.5 million ESF respectively plus \$3.0 million/year HIV/AIDS) will enable the Mission to implement the new Administration's "Third Border Initiative" in the economic growth, environment, rule of law, and HIV/AIDS areas. The Mission views these activities as extremely important to the region, however, the additional funding takes the CRP over its approved level of \$40 million. Therefore, the Mission requests formal approval to increase the life of program funding of the Caribbean Regional Program to reflect these increases.

This past year the Mission tackled the challenge of moving from strategic planning to implementation of economic growth, environment and rule of law, activities. Activity-level planning procurement and engagement with partners has been highly consultative. Pre-award financial accountability assessments and on-the-ground technical assistance were often required. The Mission worked closely with the RCO to minimize the number of separate assistance instruments under each Regional Strategic Objective (RSO). Thus, for example, a three-year \$3.0 million cooperative agreement with OAS under the environmental Regional Strategic Objective (RSO)2 encompasses a broad array of environmental concerns within the tourism industry, and holds the grantee accountable for high level results. Similar agreements are being negotiated with OECS under RSO1 and RSO3, and under the new RSO4 (for HIV/AIDS prevention) implementation will essentially be limited to one contractor, and a single grantee Pan American Health Organization/ Caribbean Epidemiology Center (PAHO/CAREC).

Significant advances were made in developing a performance-monitoring plan for the CRP. With technical assistance from CDIE, the CRP management team developed a draft PMP and worked closely with staff in identifying appropriate indicators. Most significant in the course of this work was the recognition that the SO and IR level indicators identified as most appropriate for measuring overall strategy impact at the end of five years, were not appropriate measures of achievement (outputs) early on in the strategy. Consequently, the team is now in the process of systematically developing activity level data more appropriate for R4 reporting during the earlier stages of implementation.

On the administrative side, recruiting of new staff and opening of the satellite office has greatly enhanced CRP management capacity. Nevertheless there continues to be a need for official representation in Barbados. Without USDH presence, it is impossible to avoid extensive travel by Senior Management from Jamaica to the region – 70% of my time is now spent in the region. I recommend that we revisit the issue of posting a USDH in Barbados. A justification will be sent to you under separate cover.

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Glossary

AOJ	Administration of Justice
CARICOM	Caribbean Common Market
CAST	Caribbean Action for Sustainable Tourism
CCA	Caribbean Conservation Association
CEHI	Caribbean Environmental Health Institute
CGCED	Caribbean Group for Cooperation in Economic Development
CTO	Caribbean Tourism Organization
ECCB	Eastern Caribbean Central Bank
EMS	Environmental Management System
EU	European Union
IDB	Inter-American Development Bank
IR	Intermediate Result
NGO	Non-Government Organization
OAS	Organization of American States
PACT	Protected Area Conservation Trust (Belize)
PASA	Participating Agencies Services Agreement
PSC	Personal Services Contract
R4	Results, Review, and Resource Request
SITE	Strategic Interventions for Tourism and Environment
SME	Single Market Economy (OECS)
SPS	Sanitary and Phytosanitary
TA	Technical assistance
UNDP	United Nations Development Program
USAID	United States Agency for International Development
UWICED	University of the West Indies Center for Environment & Development
WB	The World Bank

Overview Factors Affecting Program Performance

Introduction: U.S. foreign policy interests in the Caribbean are guided by the 1997 Bridgetown Barbados Summit accord, which commits the United States and signatory Caribbean nations to implement plans of action that promote free trade and economic development; safeguard the natural environment; and enhance justice and security. USAID's Caribbean Regional Program (CRP) is in response to U.S. commitments and responsibilities under the plan of action, and, beginning this year, the CRP will implement significant programs to address the HIV/AIDS epidemic in the region.

For the United States, the Caribbean constitutes our "third border." Its proximity to, and the large number of its residents in the United States, as well as its importance as a U.S. tourist destination means that unemployment, the HIV/AIDS epidemic, drug trafficking, environmental degradation and recurrent natural disasters in the Caribbean directly affect the United States' well-being. The close cooperation of Caribbean governments in areas of drug interdiction and money laundering is an on-going exercise. Yet such cooperation depends on efficient legal systems and the capacity of Caribbean authorities to introduce and sustain effective judicial programs in the face of economic hardships and the continuing demise of traditional sources of income. The United States is also committed to completing the Free Trade Area of the Americas (FTAA) process by 2005 in order to expand markets for U.S. goods and services and help insure safe destinations for U.S. foreign investment and tourism.

The Development Challenge: The primary development challenge in the Caribbean is to reduce poverty through the promotion of sustainable growth. Historically, these countries have faced special development challenges because of their small size, dependence on preferential trade regimes, and their vulnerability to natural disasters and other external shocks. Elevated HIV/AIDS incidence (now the leading cause of death among 15-44 year olds) has introduced another major development challenge to the region.

These small island nations have small domestic economies and depend vitally on export revenues and tourism as the mainstay for growth. The removal of trade preferences is causing economic hardships, and fuels the debate over the special needs of small island states amidst the growing trend towards globalization. At a more basic level, these small island countries often lack the capacity to carry out analyses required for implementation of FTAA and World Trade Organization (WTO) standards. Heavy reliance on relatively few exports and tourism products and the relatively high cost of telecommunication services has further constrained growth. Breaking into new export markets continues to be difficult for small and medium-sized Caribbean producers. Trade in services provides real opportunity for expansion. But in order to do so, competitiveness needs to be improved and modern information technology needs to be adopted on a wide-scale. In addition, there is the further complication of inadequately trained labor.

Environmental problems arise from inadequate and inappropriate waste management, land use practices and coastal zone management. Most of the countries offer environmental services to some of their residents; but proper land use practices are often not in effect, and coastal zone management suffers from inadequate planning, regulation and enforcement. Environmental services are offered free of charge, posing a financial burden on the governments' fiscal balances and contributing to inadequate and unsustainable services and regulations. Greater environmental investment is critical, especially within the private sector tourist industry, and there is consensus on the importance of establishing uniformly higher environmental standards; strengthening compliance with environmental laws and regulations; and raising public awareness and reporting on environmental issues.

Large civil and criminal case backlogs exist in virtually all Caribbean judiciaries. The painstaking process of court reporting and case-flow management compounds the problem. Civil case backlog and court delays raise the cost of doing business, and discouraging private investment and growth in the region. The inability of courts to enforce collection of debts in a timely manner raises the cost of borrowing money. Less well off individuals and small and micro enterprises are particularly disadvantaged by inefficient judicial systems. Better information management along with procedural and organizational reforms is needed to streamline court and registry procedures and improve efficiency of the legal system without affecting judicial outcomes. Alternative Dispute Resolution (ADR) mechanisms including negotiation, mediation, and arbitration, need to be introduced to handle certain types of cases now contributing to court system congestion.

The Caribbean Regional Program also recognizes the special needs of small island nations in dealing with hurricanes and tropical storms which periodically wreak havoc in the Caribbean. The destruction caused in

September 1998 by Hurricane Georges, especially in St. Kitts & Nevis and Antigua & Barbuda, and in November 1999 by Hurricane Lenny, especially in Grenada, Dominica, St. Lucia and Antigua & Barbuda is being addressed under separate Special Objectives (SpOs) which will be completed by December 2001.

Development Successes: USAID/J-CAR's Caribbean Regional Program (CRP) is a recent initiative (FY2000 through FY2004) that focuses on achieving four regional strategic objectives (RSOs) and two special objectives: RSO1 – increased employment and diversification in select non-traditional activities; RSO2 – improved environmental management by public and private entities; RSO3 – increased efficiency and fairness of legal systems; RSO4 – enhanced Caribbean response to the HIV/AIDS crisis in target countries, and two special objectives (SpOs) – related to Hurricane Georges and Hurricane Lenny recovery and reconstruction. These objectives are consistent with the Agency's goals of broad-based economic growth, protecting the environment, stemming the spread of HIV/AIDS, building sustainable democracies (with a focus on rule of law) and humanitarian assistance. While the two CRP special objectives are nearly complete, activities under the first three strategic objectives are still getting under way. In these cases the procurement of technical and management services is well advanced, and several partners are already engaged. In the case of RSO4 (which was approved March 2001) the overall design work has been completed.

RSO1: Although no change at the RSO level can be reported at this early date, output levels to date include technical assistance in drafting of the Barbados telecommunications legislation; development of a website connecting regional trade ministries; and assistance in drafting of sanitary and phytosanitary legislation prerequisite to advancing agricultural trade. In addition, a workshop was held to sensitize the regional private sector on the need to promote enterprise competitiveness. Training is also planned to strengthen CARICOM's Regional Negotiating Machinery (RNM).

RSO2: Activities under this strategic objective are also just getting under way. However, during this past year, "best practices" relating to small hotels environmental management were documented, and a grant agreement was reached with the Organization of American States (OAS) that will improve environmental management systems of small hotels, and provide a framework for "green certification". In addition, the new OAS grant will help Caribbean communities establish and manage eco-tourism sites and attractions.

RSO3: USAID-funded activities are also under way to improve the administrative efficiency of Organization of Eastern Caribbean States (OECS) courts by improving case-flow management, introducing more efficient court reporting, and expanding the use of alternative dispute resolution mechanisms. During this past year, USAID provided technical assistance to the OECS Supreme Court to develop a work plan and budget for streamlining court administration, and subsequently assisted the OECS Supreme Court to implement key elements of that plan.

Hurricane Recovery: Under Hurricane Georges USAID is near to completing reconstruction of a pediatric ward for the Joseph N France Hospital in St. Kitts, has procured medical equipment for that hospital, and has introduced mitigation management skills throughout the region. Under the Hurricane Lenny reconstruction program, USAID is currently repairing sea walls and coastal roads in Grenada, Dominica and St. Lucia, and is providing training for the design and maintenance of coastal infrastructure.

Other Donors: The other major donors providing support in the Caribbean region are the European Union (EU), the World Bank, the Inter-American Development Bank (IDB), including the Multilateral Investment Fund and the Caribbean Development Bank. Canada and the United Kingdom (U.K.) are the major bilateral donors. With the start-up of the Caribbean Regional Program, the U.S. will rank as the largest bilateral donor in the Eastern Caribbean.

FY 2003 Budget Request: The budget request for FY 2003 is made under two scenarios. The first (Scenario A), shows a total of \$13.5 million, representing \$10.5 million of ESF funds and \$3.0 million of CSD funds. The latter amount supports the new HIV/AIDS strategic objective. The second (Scenario B), represents \$10.5 million of ESF funds and \$3.45 million of CSD funds. The CSD funds in Scenario B reflects an anticipated 15% increase in HIV funding for the HIV/AIDS SO, when compared to the amount requested for FY 2002. Budget figures for FY 2002 and 2003 include \$5.5 million and \$3.5 million ESF respectively for the Third Border Initiative.

SO Text for SO: 538-003: Hurricane Georges Reconstruction and Recovery in the Eastern Caribbean

Country/Organization: USAID Caribbean Regional Program

Objective ID: 538-003

Objective Name: Hurricane Georges Reconstruction and Recovery
in the Eastern Caribbean

Self Assessment: Meeting Expectations

Primary Links to Agency Strategic Framework: **(Please Assign Percentages, Total Equals 100):**

10% 1.1 Critical private markets expanded and strengthened
0% 1.2 More rapid and enhanced agricultural development and food security encouraged
0% 1.3 Access to economic opportunity for the rural and urban poor expanded and made more equitable
0% 2.1 Rule of law and respect for human rights of women as well as men strengthened
0% 2.2 Credible and competitive political processes encouraged
0% 2.3 The development of politically active civil society promoted
0% 2.4 More transparent and accountable government institutions encouraged
0% 3.1 Access to quality basic education for under-served populations, especially for girls and women, expanded
0% 3.2 The contribution of host-country institutions of higher education to sustainable development increased
0% 4.1 Unintended and mistimed pregnancies reduced
50% 4.2 Infant and child health and nutrition improved and infant and child mortality reduced
0% 4.3 Deaths, nutrition insecurity, and adverse health outcomes to women as a result of pregnancy and childbirth reduced
0% 4.4 HIV transmission and the impact of the HIV/AIDS pandemic in developing countries reduced
0% 4.5 The threat of infectious diseases of major public health importance reduced
0% 5.1 Threat of global climate change reduced
0% 5.2 Biological diversity conserved
0% 5.3 Sustainable urbanization including pollution management promoted
0% 5.4 Use of environmentally sound energy services increased
0% 5.5 Sustainable management of natural resources increased
40% 6.1 Urgent needs in times of crisis met
0% 6.2 Personal security and basic institutions to meet critical intermediate needs and protect human rights re-established

Link to U.S. National Interests: Humanitarian Response

Primary Link to MPP Goals: Humanitarian Assistance

Secondary Link to MPP Goals (optional): Health

(Page limitations for narrative begin here):

Summary of the SO:

Hurricane Georges left a trail of damage throughout the Leeward Islands of the Eastern Caribbean as it passed through the region on September 20, 1998. It caused severe damage in St. Kitts-Nevis and Antigua-Barbuda. Approximately 3,500 people were left homeless, 50 percent of the sugar crop was lost and school, health, business, agriculture and tourism infrastructure was badly damaged. The structural and economic damage in St. Kitts-Nevis and Antigua-Barbuda was estimated at hundreds of millions of US dollars. More than 6,000 people lost employment or their source of income as a result of the hurricane. The Hurricane Georges SpO was established to

assist the countries of St. Kitts-Nevis and Antigua-Barbuda recover from the crisis resulting from the passage of Hurricane Georges. The SpO is comprised of the following intermediate objectives:

IR 1: Hospital services restoration (St. Kitts-Nevis)

IR 2: Local capacity for disaster mitigation enhanced (St. Kitts-Nevis and Antigua-Barbuda)

IR 3: Economic activities reactivated to small and micro-enterprises.

This SpO is meeting expectations, with main activities ending in August 2001 leading to full closure of all activities by December 31, 2001. All three IRs provide necessary humanitarian assistance to the affected countries, are currently being implemented and are within the time lines that have been established. The reconstruction of the pediatric ward at the hospital in St. Kitts is in progress, various programs are in-place to ensure strengthening of each country's ability to withstand disasters, and support is being provided to micro-businesses in St. Kitts-Nevis. The beneficiaries of this program are the people of the affected countries.

Key Results:

- 1) Reconstruction of the pediatric ward, which is managed by PAHO, is 28 % complete.
- 2) The OAS has conducted 9 workshops in St. Kitts-Nevis and Antigua-Barbuda to assist in strengthening the capacities of the affected countries to withstand disasters. The program is 50% completed.
- 3) The Foundation for National Development (St. Kitts) has positioned 42% of the funds allocated to them.

Performance and Prospects:

IR-1 Hospital Services Restoration - Reconstruction of the pediatric ward, under a contract between PAHO and Moorjani-Edgehill, commenced on September 4, 2000. Work is on target for completion by June 30, 2001. Installation of foundations as well as the floor slab has been completed. The construction of walls, installation of conduits and pipes are underway. In addition, the procurement of X-ray equipment, and all other equipment will take place in early to mid-2001. Training in disaster management (supplies) is scheduled for May 2001.

IR-2 Local Mitigation Capacity Enhancement - USAID is working with the OAS to implement the program to strengthen disaster mitigation capabilities in these countries. Development, adoption and implementation of effective national hazard mitigation policies and operational plans are underway. Hazard mitigation committee at the Ministerial level have been established in both countries and will serve as the final review board for the policies and plans. The following hazards were identified from hazard mitigation planning and prioritization workshops which were held in St. Kitts-Nevis and Antigua-Barbuda: wind, storm surge, inland flooding, coastal erosion (all in both countries), drought (Antigua, Barbuda and Nevis) and inland erosion (Antigua, Barbuda and St. Kitts). Full assessment and mapping of these hazards which is currently underway, will be completed in January 2001. The results of these hazard assessments will be used to conduct vulnerability assessments of critical facilities and to provide background information for the national hazard mitigation policy/plan.

Both Antigua/Barbuda and St. Kitts/Nevis have adopted national building codes, and building inspectors have been trained to support this activity. The Program is now assisting in ensuring that the codes are available to the public. Safe building practice documents have been drafted to suit some of the perceived needs for the sector, builders, and householders. These constitute good practice manuals, an inspection checklist for householders, and a flyer addressing actions that can be taken before a storm to prevent damage.

USAID is working with the Caribbean Disaster Emergency and Recovery Agency (CDERA) to provide appropriate training for emergency and shelter policies and programs in Antigua/Barbuda and St. Kitts/Nevis. Preparatory work began for structural vulnerability assessments to be undertaken in both countries. Facilities to be included in the structural vulnerability assessment have been developed and bids for undertaking this work were solicited from local engineering firms. Work on these assessments will begin early in 2001.

IR-3 Economic Activities Reactivated - Following field assessments in Antigua & Barbuda and St. Kitts & Nevis only one lending institution, the Foundation for National Development (FND) in St. Kitts was found which matched the requirements necessary to provide assistance to the small and micro enterprise sector. A Grant Agreement providing \$350,000 was subsequently put in place on November 28, 2000 with the FND. To date, \$150,000 has been disbursed with the balance scheduled to be disbursed by May 2001.

Possible Adjustments to Plans:

No adjustments to the current plan are contemplated.

Other Donor Programs:

CDERA, the European Union is constructing the Outpatient Department, the World Bank is providing resources for the Adult Medical Ward of the hospital, and the Caribbean Development Bank is financing the reconstruction of the Obstetrics Ward.

Major Contractors and Grantees:

The Pan American Organization is managing reconstruction of the pediatric ward in St. Kitts. The Construction Contractor is Moorjani-Edgehill/Barbados. The Organization of American States is managing the activities under IR 2. The Foundation for National Development/St. Kitts is the beneficiary of credit for onlending to the micro-enterprise.

SO Text for SO: 538-004: Increased employment and diversification in select non-traditional activities

Country/Organization: USAID Caribbean Regional Program

Objective ID: 538-004

Objective Name: Increased employment and diversification in select non-traditional activities

Self Assessment: Meeting Expectations

Primary Links to Agency Strategic Framework: **(Please Assign Percentages, Total Equals 100):**

- 90% 1.1 Critical private markets expanded and strengthened
- 5% 1.2 More rapid and enhanced agricultural development and food security encouraged
- 5% 1.3 Access to economic opportunity for the rural and urban poor expanded and made more equitable
- 0% 2.1 Rule of law and respect for human rights of women as well as men strengthened
- 0% 2.2 Credible and competitive political processes encouraged
- 0% 2.3 The development of politically active civil society promoted
- 0% 2.4 More transparent and accountable government institutions encouraged
- 0% 3.1 Access to quality basic education for under-served populations, especially for girls and women, expanded
- 0% 3.2 The contribution of host-country institutions of higher education to sustainable development increased
- 0% 4.1 Unintended and mistimed pregnancies reduced
- 0% 4.2 Infant and child health and nutrition improved and infant and child mortality reduced
- 0% 4.3 Deaths, nutrition insecurity, and adverse health outcomes to women as a result of pregnancy and childbirth reduced
- 0% 4.4 HIV transmission and the impact of the HIV/AIDS pandemic in developing countries reduced
- 0% 4.5 The threat of infectious diseases of major public health importance reduced
- 0% 5.1 Threat of global climate change reduced
- 0% 5.2 Biological diversity conserved
- 0% 5.3 Sustainable urbanization including pollution management promoted
- 0% 5.4 Use of environmentally sound energy services increased
- 0% 5.5 Sustainable management of natural resources increased
- 0% 6.1 Urgent needs in times of crisis met
- 0% 6.2 Personal security and basic institutions to meet critical intermediate needs and protect human rights re-established

Link to U.S. National Interests: Economic Prosperity

Primary Link to MPP Goals: Regional Stability

Secondary Link to MPP Goals (optional): Economic Development

(Page limitations for narrative begin here):

Summary of the SO:

Marginal economic growth with concurrent lack of new employment opportunities is one of the most critical problems in the Caribbean, which is characterized by small domestic economies and dependence on export revenues (including tourism) for growth. Most have received preferential trade status from both the United States and European countries in the past, resulting in distorted investment in the region toward those areas that receive preferences, particularly bananas and sugar. Dismantling of these preferential trade regimes has resulted in serious and substantial displacements, especially in the Windward Islands. Economic growth in these small economies has been further constrained by their limited capacity to carry out policy and regulatory analysis required for implementation of FTAA and World Trade Organization (WTO) trade standards, their reliance on relatively few exports and tourism products and the relatively high costs of transportation and telecommunications services.

To address some of these issues, this SO is working with the public and private sectors to reduce barriers to increased production and marketing of goods (including non-traditional agricultural goods). Beneficiaries are the populations of the targeted Caribbean countries, and particularly those who are directly displaced by economic restructuring. The program covers the Caribbean region but places special emphasis on the Eastern Caribbean countries, and complements on-going USAID Caribbean bilateral programs. Intermediate results necessary to achieve the SO are: IR1: Increased Trade of Select Non-Traditional Products and Services; and IR2: Increased Production of Select Diversified Goods and Services.

This SO is meeting expectations. Several start-up activities were initiated to give the program a jump-start. The overall design work has been successfully carried out, and the procurement process for technical services is near completion.

Key Results:

As the SO is in its initial implementation stage, significant changes are not expected in measuring employment levels of select non-traditional goods and services until latter years of the program. Further, the Mission is revisiting the IRs and indicators of this SO to reflect a more manageable level, e.g. at the firm level in the case of measuring increased production and exports, would be more realistic. In addition, consideration has to be given to the ability to collect reliable data for the indicators. The revised results framework will be reported on with baseline data later this year. However, progress related to this SO is reflected at the activity level.

Performance and Prospects:

During the review period, extensive design work was completed. An Activity Approval Document was approved in March 2000, and various sub-grants and cooperative agreements are expected to be signed in early 2001 for the overall implementation of the SO. Despite the fact that major implementing instruments are not yet in place, several initiatives and activities have been carried out.

IR1: Increased Trade of Select Non-Traditional Products and Services - USAID provided technical assistance to the Government of Barbados for policy consultation and drafting of telecommunications reform and electronic commerce legislation. A final draft of the Telecommunications Bill has been completed. This Bill gives legislative effect to the Green Paper on telecommunications Sector Policy, which moves the sector from a monopoly to a free market environment. The Bill was tabled in Parliament at the end of March 2001 after a process of public consultation. In early 2001, USAID plans to provide technical assistance to the Eastern Caribbean for drafting regulations under the newly established Regulatory Authority for the OECS member states, Eastern Caribbean Telecommunications (ECTEL). This support will include drafting model licenses and requirements for implementing regulations by the National Telecommunications Regulatory Commissions. It will also include support in policy areas such as interconnection and tariffs, licensing, spectrum allocation and management. The SO also plans to initiate the development of telecenters throughout the OECS to support economic projects using Information Technology (IT) applications, and undertake an assessment of IT capabilities in the region's educational and training institutions.

The 1998 OECD Report on Harmful Tax Competition raised issues that affect the financial sectors of a number of countries in the Caribbean. This has major implications with regard to how the region will proceed with FTAA and WTO trade negotiations, particularly in the context of trade in financial services. Over the past year this has been one of the most highly charged issues in the Caribbean. USAID and Caribbean leaders have had extensive discussions on how to address financial sector issues. As a result, USAID will work with the IMF and other donors to establish a Caribbean Regional Technical Assistance Center (CARTAC) that will provide technical advice and training in fiscal, monetary, financial, and related statistical areas to the countries of the Caribbean.

Technical assistance has been provided to CARICOM countries through the Regional Negotiating Machinery (RNM) to establish a trade information network ("Virtual Secretariat"). A website was established in Barbados and was connected to seven of the RNM's offices. Hardware and software were provided to all 15 CARICOM member countries and the Trade Ministries in 13 of the 15 CARICOM member countries have now been connected to the website. The two remaining trade ministries should be connected to the system shortly. This trade network will allow for the effective participation of CARICOM member countries in the negotiation process towards their ultimate participation in the FTAA. In addition to strengthening the CARICOM regional capacity to effectively

participate in FTAA and WTO processes, through training, the SO will assist the RNM to expand its pool of skills in international trade-related matters.

IR2: Increased Production of Select Diversified Goods and Services - The Caribbean Association of Industry and Commerce (CAIC) is developing a competitiveness program for the small and medium enterprise sectors. USAID provided technical assistance through the U.S. firm, OntheFRONTIER in sensitizing the business community in the region to develop enhanced competitiveness. OntheFRONTIER and CAIC are preparing a regional strategic action plan to build successful businesses and develop overall competitiveness in light of the new world economic order. This action plan involves the identification of key areas of training required by the private sector, the establishment of a series of performance measures to improve productivity, promote competitiveness, better understand the needs of customers and become an engine of innovation, with focus on results.

As a result of the previous work done under the Hemispheric Free Trade Expansion project relating to agricultural trade policy, USAID conducted an evaluation in the Eastern Caribbean to determine the need for future assistance in this area. Recommendations were made to assist the OECS with the drafting of legislation on sanitary and phytosanitary (SPS) measures relating to animal/plant health and quarantine. This legislation is required to enable the OECS countries to comply with the WTO agreement on SPS measures governing trade and agriculture in order to expand market access and provide for fair competition through transparency. The SO plans to work with the Pan American Health Organization to provide the necessary technical assistance to the OECS.

A USAID grant to the Export Development Unit (EDU) of the OECS Secretariat, to support small and medium enterprise development, is being finalized. The objective is to improve competitiveness, expand market access, and increase exports within a targeted enterprise base. This activity includes a Technology and Innovation Program (TIP) which will provide technical assistance to targeted enterprises with emphasis on quick responsiveness to identified individual company business needs in technical, managerial and financial areas.

Possible Adjustments to Plans:

As noted, consideration is being given to recasting the strategic framework to reflect lower level and more manageable related IRs and indicators. To implement the "Third Border Initiative," this SO will develop a scholarship program that will enhance competitiveness in the region, and develop activities that will support the government and private sector analyze key policy implications and benefits of FTAA.

Other Donor Programs:

The CRP is working with the World Bank and the IDB in shaping telecommunications and information technology activities. CRP initiatives in telecommunications will directly complement a larger IDB program designed to cover the entire region. The Canadian International Development Agency (CIDA) and the Department for International Development (DFID) (United Kingdom) are involved in trade reform and integration, both with the RNM and CARICOM. Again, CRP activities support these efforts. The European Union (EU) is also working with EDU to assist with enterprise development in selected sectors. The CRP activities will also expand on the European Union initiative.

Major Contractors and Grantees:

A variety of grants and contracts is being/will be utilized to implement the CRP. Principal implementing grantees are the RNM (strengthening CARICOM's regional trade negotiating capacity), the Government of Barbados (Telecom Reform Legislation), ECTEL - OECS's new Regulatory Reform Authority (Telecom Reform Legislation & Informatics), OECS/EDU (SME development), CAIC and the U.S. company OntheFRONTIER (enterprise competitiveness), PAHO (SPS legislation).

Performance Data Table Fiscal Year: FY2003

Objective Name: Increased employment and diversification in select non-traditional activities

Objective ID: 538-004

Approved: Country/Organization: USAID Caribbean Regional Program

Result Name: SO SO level

Indicator: Total employment for all goods and services.

Disaggregated By:

Unit of Measure: Total employment of national economies

Year	Planned	Actual
2000 (B)	352,011	352,011
2001	352,891	-
2002	354,658	-
2003	352,891	-

Source:

TBD

Indicator/Description:

Employment increase in OECS economies that USAID is working (or proxy thereof).

Comments:

Measuring employment levels are not expected to change significantly until latter years of the program.

SO Text for SO: 538-005: Improved environmental management by public and private entities

Country/Organization: USAID Caribbean Regional Program

Objective ID: 538-005

Objective Name: Improved environmental management by public and private entities

Self Assessment: Meeting Expectations

Primary Links to Agency Strategic Framework: **(Please Assign Percentages, Total Equals 100):**

- 0% 1.1 Critical private markets expanded and strengthened
- 0% 1.2 More rapid and enhanced agricultural development and food security encouraged
- 0% 1.3 Access to economic opportunity for the rural and urban poor expanded and made more equitable
- 0% 2.1 Rule of law and respect for human rights of women as well as men strengthened
- 0% 2.2 Credible and competitive political processes encouraged
- 0% 2.3 The development of politically active civil society promoted
- 0% 2.4 More transparent and accountable government institutions encouraged
- 0% 3.1 Access to quality basic education for under-served populations, especially for girls and women, expanded
- 0% 3.2 The contribution of host-country institutions of higher education to sustainable development increased
- 0% 4.1 Unintended and mistimed pregnancies reduced
- 0% 4.2 Infant and child health and nutrition improved and infant and child mortality reduced
- 0% 4.3 Deaths, nutrition insecurity, and adverse health outcomes to women as a result of pregnancy and childbirth reduced
- 0% 4.4 HIV transmission and the impact of the HIV/AIDS pandemic in developing countries reduced
- 0% 4.5 The threat of infectious diseases of major public health importance reduced
- 5% 5.1 Threat of global climate change reduced
- 10% 5.2 Biological diversity conserved
- 5% 5.3 Sustainable urbanization including pollution management promoted
- 10% 5.4 Use of environmentally sound energy services increased
- 70% 5.5 Sustainable management of natural resources increased
- 0% 6.1 Urgent needs in times of crisis met
- 0% 6.2 Personal security and basic institutions to meet critical intermediate needs and protect human rights re-established

Link to U.S. National Interests: Global Issues: Environment, Population, Health

Primary Link to MPP Goals: Environment

Secondary Link to MPP Goals (optional): Economic Development

(Page limitations for narrative begin here):

Summary of the SO:

The eco-systems which sustain Caribbean economies, whether based on agriculture, fisheries and/or tourism, are under severe and increasing stress. Ecosystem stability is especially precarious where island terrestrial and coastal systems are subjected to resource-intensive uses (uncontrolled fisheries, crop monoculture, heavy industry/mining, and mass tourism). The causes of eco-system degradation may be direct (e.g., over-fishing, denuded hillsides) or indirect (e.g., raw sewage or pesticide residue runoff transported to streams, beaches and coral reefs). Ultimately the impacts on the environment are a destruction of productive habitats and resources (e.g. worn out soil), loss of biodiversity, multiplying waste disposal and human health problems, and deteriorating economies. USAID's regional program reflects the region's priority concern for sustainable livelihoods. It seeks to identify and act upon those environmental problems which are most closely linked to, and underlie, the economies and growth potential across

the region. As such, a principal focus of the program will be on the tourism sector (with an emphasis on small hotels, sites and attractions and community tourism). The objective of the program is to improve environmental management by both public and private sector entities. Given the interdependence of terrestrial and marine resource utilization and economic development prospects, the program seeks to: (1) protect ecosystems which underwrite tourism growth and other high potential economic diversification; (2) address coastal environmental problems within a "ridge to reef" perspective; and (3) reduce land-based sources of coastal and marine pollution. The program draws on the experiences and lessons learned from USAID's previous projects in the region, several initial pilot programs and studies, and from experiences of other USAID missions and partners. The principal beneficiaries are the Caribbean people. The program covers the Caribbean region but places special emphasis on the Organization of Eastern Caribbean States (OECS) sub-region.

Intermediate results necessary to achieve the SO are: IR1: Increased Access to Best Environmental Management Practices; IR 2: Improved Environmental Policies and Compliance Measures; and IR3: Strengthened Organizational Capacity to Finance Environmental Improvements.

This SO is meeting expectations. Several start-up initiatives were initiated and the program is now moving into the implementation phase.

Key Results:

The year 2000 is the baseline for this SO. In order to measure the overall performance of this SO, a proxy indicator was developed which is the number of organizations adopting best practices. Best practices cut-across the IR's, i.e. they include practices related to management of resources that affect the environment, policies and compliance measures aimed at mitigating environmental damage, and generating finance for environmental improvements. Organizations with which the program will work include public institutions, environmental NGO's, Community Based Organizations (CBO's) and private enterprises. It is expected that the program will affect the adoption of best practices by 6 organizations in 2001, and more than double that number in each year thereafter.

To capture data on access to best practices the number of target groups exposed to environmental information will be measured. Environmental information includes, among other things regional "best practices" and "lessons learned" in sustainable tourism and environmental management. The focus is on small hotels, environmental management systems, environmental certification, eco-tourism practices that can be used as a basis for training and assisting other hoteliers and tourism operators. Inputs include a "coaching toolkit" to help guide small hoteliers and organizations/persons with environmentally sound investments, including sites and attractions and community tourism products. Further information available at www.caribbeaninkeeper.com. It is expected that ten groups will have had such experience in the first year of program implementation, increasing to 45 by the end of the strategy period.

The program to improve environmental policies and compliance measures (IR2) will get underway in 2001. The indicator is the number of key policy and compliance initiatives implemented, and at least one significant policy measure is expected this year. The program will work with the Caribbean tourism Organization (CTO) and the Natural Resources Management Unit (NRMU) of the OECS Secretariat to strengthen environment/trade policies. It will work with media practitioner networks to produce media materials and informational packages which target policy makers, local stakeholders, private entities, etc. to influence policy and compliance.

A continuing impediment to improved environmental management is a lack of financing to undertake needed initiatives. The IR3 indicator is the number of private, community and public organizations independently securing financing for environmental management activities (in the region) will be measured. Initial activities related to this IR, include a "green property" certification program to encourage investment (by small hoteliers, sites and attractions operators) in environmental improvements; the encouragement of investment in environmental management system (EMS) improvements by tourism entrepreneurs; the development of brands and standards for visitor accommodation facilities which are recognized and acceptable to the market place; and access to innovative finance programs (e.g. an investment fund, the TNC Eco-enterprise Fund) to broaden availability of capital and technical assistance for environmentally responsible investments. The baseline for this indicator is zero. It is expected that 3-4 organizations will independently secure financing each year of program implementation.

Performance and Prospects:

The people of the Caribbean recognize the relationship between improved environmental management and their economic well being. They also recognize that their interconnectedness, whether by virtue of the shared benefits of the Caribbean Sea or collective position in world markets, requires concerted regional and cross-sectoral supporting policy frameworks and actions. Prospects for achieving program results are excellent.

During the review period extensive design work was completed. On March 19, 2001, a major grant was signed with the OAS to implement a number of initiatives which relate to IR's 1 and 2. Likewise, PA Consulting Group (Formerly Hagler Bailly Services) has been helping to identify and develop plans for financing environmental improvements. Additional implementation instruments will be finalized over the next two months. Despite the fact that major implementing mechanisms were not in place until recently, several start-up activities were carried out.

IR 2.1: Increased Access to Best Environmental Practices: A consultant undertook the documentation of best practices relating to small hotels environmental management. He compiled lessons learned from the best of sustainable tourism and environmental efforts across the region. This "list of lessons learned" address the full range of initiatives, such as, small hotels sites and attractions management, natural and cultural heritage resources management, community-based tourism, environmental awareness, hotel EMS improvements, user fees and trust funds, website development and marketing tools. The first draft of this document is available in hard copy and electronic format through a website related to tourism and ecotourism services.

Development of the "coaching toolkit" referred to above was initiated using information from the best practices research. The toolkit will support the training and technical outreach initiative related to environmental management practices. This toolkit will include best practices, resource information and "how to" assistance for a variety of topical areas central to strengthening the region's small tourism operations. Topical areas will include energy conservation, environmental audits, wastewater management, business planning, financial management, site design and upgrading to meet standards requirements, maintenance. The coach serves as facilitator, working with small tourism operators over an extended period of time and facilitates/arranges access to programs they may need to improve product and achieve sustainability.

A consultant completed an inventory of sites, attractions and community tourism in Dominica, (such inventories will be carried out in several countries and will inform the program on how to proceed with the sites, attractions and community tourism work). The consultant began work with the Caribbean Alliance for Sustainable Tourism (CAST) to help establish Dominica as a "Green Globe" ecotourism destination.

IR 3: Strengthened Organizational Capacity to Finance Environmental Improvements:

A USAID financed small hotels workshop was held in St. Lucia in October 2000 to promote the development of environmentally sound brands and standards for small hotels. Over 70 hoteliers attended the workshop from OECS countries, as did several representatives of hotel associations. A Caribbean brand for small hotels is expected to be launched soon, and the development of standards related to environmental issues is well underway.

Considerable work was carried out to identify options for the establishment of an investment fund for small hoteliers, including investment packages to access financing for small hotels and tourism operations. A survey was conducted of relevant regional lending institutions (commercial banks, development banks, etc.), capital and aid sources, revolving funds, trust funds, interest levels, loan criteria, currency issues, perceived barriers, and cost sharing schemes. On the demand side, issues relating to property size, geographic and economic segmentation, and geographic parameters were also surveyed. Further research is being conducted and analyzed in this area for the development of a plan.

An amendment to an existing Task Order with PA Consulting Group is being finalized to implement a number of activities. These include the development of environmental walk-through centers for small properties to improve environmental performance by identifying no cost/low cost activities that can be quickly implemented. They include initiatives to raise owner/operator awareness of options related to partial or full environmental audits, green certification and the implementation of Environmental Management Systems (EMS), as well as the preparation of a small hotels audit protocol.

Possible Adjustments to Plans:

Consideration is being given to expanding the scope of the environment component of the program, utilizing funds which will be made available under the “Third Border Initiative”. Areas to be focussed on include natural resource and environment management in the key productive sectors of tourism and energy. Other adjustments may be made as appropriate as the program becomes fully operational.

Other Donor Programs:

A significant strength of SO2 is the extent to which donor partnerships are being developed. The CRP will add a substantial environmental management component to the OAS Caribbean Tourism Competitiveness and Sustainability Project, thus adding a critical dimension to this initiative. As the design of this activity proceeded, CIDA also became interested in providing financing. The added support and collaboration of the Organization of American States (OAS) and the Canadian International Development Agency (CIDA), will result in an activity which can have significant impact on the Caribbean tourism sector. Further, the European Union (EU), the Department for International Development (DFID), International Finance Corporation (IFC), and the United Nations Development Program (UNDP) are undertaking environmental management programs in partnership with the CRP. Of particular significance is the formalized collaborative framework, already established with the donors above, to develop sustainable tourism destinations, sites, attractions and community tourism, brands and standards for visitor accommodations, and environmental investment funds. The CRP will provide some funding through United Nations Environment Program (UNEP) to support the Cartagena Convention on Land Based Sources of Pollution.

Major Contractors and Grantees:

A number of Contractors and grantees are being engaged under SO2. As noted, a substantial grant has been negotiated with the OAS. The rationale for this is: the OAS has extensive experience in the Caribbean assisting the tourism sector, its Caribbean Tourism Competitiveness and Sustainability Project with which the CRP will partner is well conceived and already showing results and, through this mechanism, the bulk of CRP resources will reach the target groups. Other implementing mechanisms are now being finalized, including the Task Order with PA Consulting Group mentioned above.

Performance Data Table

Fiscal Year: FY2003

Objective Name: Improved environmental management by public and private entities

Objective ID: 538-005

Approved: Country/Organization: USAID/Jamaica Caribbean Regional Program

Result Name: IR 1 Increased access to best environmental management practices

Indicator: Number of target groups exposed to environmental information

Disaggregated By: Type of organization for internal management purposes

Unit of Measure: Number of targeted groups

Year	Planned	Actual
2000	Baseline	0
2001	10	-
2002	15	-
2003	25	-
2004	45	-

Source:

OAS and other implementing organizations

Indicator/Description:

Target groups include public sector representatives, Community-Based Organizations (CBOs), environmental NGOs & private organizations. Environmental information includes toolkits, media products, training material, observation tours, and on-site technical assistance. A group has been exposed to environmental information if they have acquired environmental information from a USAID-sponsored activity.

Comments:

Targets represent number of groups. This is a change from the last R4 which showed the indicator as a "percentage" of total targeted groups.

Performance Data Table Fiscal Year: FY2003

Objective Name: Improved environmental management by public and private entities

Objective ID: 538-005

Approved: Country/Organization: USAID Caribbean Regional Program

Result Name: IR 3 Strengthened organizational capacity to finance environmental improvements

Indicator: Number of private, community, and public organizations independently securing financing for environmental management activities (in the region)

Disaggregated By:

Unit of Measure: Number of organizations

Year	Planned	Actual
2000 (B)	Baseline	0
2001	3	-
2002	6	-
2003	10	-

Source:

Organizations' financial reports.

Indicator/Description:

Organizations will be counted when they have actually acquired financing. An index will be established to measure progress toward this point: 1) no strategy for financing; 2) completion of a financial plan, and; 3) successfully obtaining financing for environmental initiatives. This is a cumulative calculation of organizations.

Comments:

This indicator will no longer measure the sum of non-USAID dollars supporting CRP environmental initiative.

Performance Data Table

Fiscal Year: FY2003

Objective Name: Improved environmental management by public and private entities
 Objective ID: 538-005
 Approved: Country/Organization: USAID Caribbean Regional Program
 Result Name: IR 2 Implementation of improved policies and compliance measures.
 Indicator: Number of key policy and compliance initiatives implemented.
 Disaggregated By:

Unit of Measure: Number of initiatives that have been implemented.

Year	Planned	Actual
2000 (B)	1	-
2001	2	-
2002	3	-
2003	4	-
2004	5	-

Source:
 Public sector organizations and implementing contractor (grantees, contractors) reports.

Indicator/Description:
 The SO Team has identified "key" policy and compliance categories. Policies and compliance initiatives are counted for this indicator once they are implemented.

Comments:

SO Text for SO: 538-006: Increased efficiency and fairness of legal systems in the Caribbean

Country/Organization: USAID Caribbean Regional Program

Objective ID: 538-006

Objective Name: Increased efficiency and fairness of legal systems in the Caribbean

Self Assessment: Meeting Expectations

Primary Links to Agency Strategic Framework: **(Please Assign Percentages, Total Equals 100):**

- 0% 1.1 Critical private markets expanded and strengthened
- 0% 1.2 More rapid and enhanced agricultural development and food security encouraged
- 0% 1.3 Access to economic opportunity for the rural and urban poor expanded and made more equitable
- 90% 2.1 Rule of law and respect for human rights of women as well as men strengthened
- 0% 2.2 Credible and competitive political processes encouraged
- 10% 2.3 The development of politically active civil society promoted
- 0% 2.4 More transparent and accountable government institutions encouraged
- 0% 3.1 Access to quality basic education for under-served populations, especially for girls and women, expanded
- 0% 3.2 The contribution of host-country institutions of higher education to sustainable development increased
- 0% 4.1 Unintended and mistimed pregnancies reduced
- 0% 4.2 Infant and child health and nutrition improved and infant and child mortality reduced
- 0% 4.3 Deaths, nutrition insecurity, and adverse health outcomes to women as a result of pregnancy and childbirth reduced
- 0% 4.4 HIV transmission and the impact of the HIV/AIDS pandemic in developing countries reduced
- 0% 4.5 The threat of infectious diseases of major public health importance reduced
- 0% 5.1 Threat of global climate change reduced
- 0% 5.2 Biological diversity conserved
- 0% 5.3 Sustainable urbanization including pollution management promoted
- 0% 5.4 Use of environmentally sound energy services increased
- 0% 5.5 Sustainable management of natural resources increased
- 0% 6.1 Urgent needs in times of crisis met
- 0% 6.2 Personal security and basic institutions to meet critical intermediate needs and protect human rights re-established

Link to U.S. National Interests: Democracy

Primary Link to MPP Goals: Democracy and Human Rights

Secondary Link to MPP Goals (optional): Democracy and Human Rights

(Page limitations for narrative begin here):

Summary of the SO:

Caribbean courts are hampered by significant delays and backlogs in civil cases (estimated to average five years) and to somewhat to a lesser degree, in criminal cases. This is due to factors, including excessive adjournments, inefficient case-flow management, antique court reporting, limited access to judicial information by magistrates, and lawyers, inadequate training of court administrators and other professional staff, and region-wide under-utilization of court-sanctioned alternative dispute resolution mechanisms. Over the life of the strategy period, this SO proposes to enhance court systems efficiency and fairness by (i) improving the operating environment of the OECS courts and registries through computerization and streamlined case-flow management; (ii) promoting, through technical assistance and training, a fuller use of alternative dispute resolution methods as an alternative to going to court; (iii) insuring that court proceedings are recorded by trained professional reporters using automated means; and (iv)

providing judicial and administrative training judges, magistrates and court staffs. In addition, USAID will provide technical assistance on the establishment of a new Caribbean Court of Justice (to replace the Privy Council as the highest Court of Appeal), and assistance in modernizing the court registries in at least four Resident Magistrates Courts in Jamaica.

Achievement of this SO depends on two Intermediate Results (IRs): Improved Efficiency of Legal Systems (IR1), and Improved Access to Legal Information and Training (IR2).

The ultimate customers of the services of more efficient and fair legal systems in the Caribbean are many and varied, ranging from judges, lawyers and other members of the legal profession to private entrepreneurs, investors and other members of the wider public.

This SO is meeting expectations. Over the past year, the transition was made from design to procurement which led to the implementation of some of the planned activities. A clearer understanding was also reached with key partners and other key donors on the number and importance of crosscutting activities in the achievement of the results. Thus, intervention such as court administration improvements, alternative dispute resolution, case reporting, and training affect results achievement in both IR1 and IR2.

Key Results:

The year 2000 is the base year for most indicators of this SO. In order to measure the achievement of positive gains in efficiency and fairness of the legal system, there should be a reduction in the number of cases that are backlogged and a reduction in time required to adjudicate cases. Reliable data is generally lacking, reflecting the administrative limitations of courts and registries. However, it is currently estimated that approximately 100 civil cases are being settled per annum in each of the six national high courts in the OECS Supreme Court system. As the program assists with referring cases to ADR, reorganizing registries, and streamlining adjudicating cases using modern case management technologies, it is anticipated that by the year 2003 the "number of cases disposed" of will reach 200 cases per year per OECS country. However, as alternative dispute resolution systems take time to attain maximum effect, progress towards this target is not expected until 2002.

Improved Efficiency of the Legal System: At this time while there is no doubt that there are rising case backlogs in the OECS, it is unclear what the nature of the backlogs are in terms of the types and number of cases. Indeed, some of these cases may not need to go to court. As a result, the Registrars of each of the High Courts in the OECS Court System are currently conducting comprehensive inventories of all backlogged cases in their registry portfolios. This exercise is expected to be concluded in mid-2001. Completion of this exercise will help reduce backlog, improve caseload management, provide us with baseline data for "the percentage backlogged cases cleared" indicator, and will solve a lack of information in this area which over time has plagued the other donors (IDB and CIDA).

For the "establishment of court reporting systems," it must be noted that all court proceedings in the OECS beneficiary countries are currently manually transcribed by judges and magistrates. A pilot court reporting activity will be initiated in early 2001, in Dominica, which will provide court reporting equipment, technical assistance and training to establish a viable system. As a result of this activity, we expect that the 0-baseline figure will change to 1 in the year 2001. Assuming that the pilot activity in Dominica proves worthy of replication, we envision by the year 2003 an additional 3 high courts will be employing modern court reporting, and the two remaining OECS high courts will be using modern court reporting by 2004.

Improved Access to Legal System Information and Training. To establish a user-friendly regional case reporting system, the SO plans to work with the University of the West Indies (UWI). The new system will enable judges and lawyers to have ready access to cases, any of which are valuable as precedents. We anticipate that the existing baseline of 10,000 legal decisions at the UWI in Barbados will gradually be integrated in an automated format over the strategy period, with 1,000 cases being utilized in 2001. The wider availability of decided cases to the regional legal profession and litigants, will ensure that court decisions are more expeditiously rendered. This will also reduce case backlogs and procedural delays.

Until recently, no judicial and administrative training plan existed in the OECS countries. A comprehensive training plan has been obtained from the Chief Justice of the OECS Supreme Court which covers the needs of judges, magistrates and professional court administrative staff over the strategy period. In 2000, U. S. based "Court Management Associates" conducted a workshop for the six OECS Court Registrars on the new roles and

responsibilities implicit in the new Supreme Court rules which were slated to go into effect in early 2001. The comprehensive training plan, which comprises twelve initiatives, makes a distinction between those training offerings which require tuition by the use of distance education methods compared to those which require tuition by personal contact. Three regional training programs are planned for 2001, four in 2002, and a target of more three in 2003.

Performance and Prospects:

As stated earlier, a transition has been made from design to the implementation of a number of critical activities which have a direct bearing on the successful achievement of the SO.

IR1: Improved Efficiency of Legal Systems - After consultations with the U.S. National Center for State Courts, the OECS Supreme Court Chief Justice identified a comprehensive case flow management system that would be suitable for the OECS Supreme Court. This computerized system will allow the court to coordinate the processes and resources for efficient case tracking and enable the timely progression of cases from filing to disposition. The SO has provided technical assistance to assist with the design of a masterplan for this system. This masterplan acknowledges the revised Supreme Court Civil Procedure Rules, which empower presiding judges to manage court proceeding in a more activist fashion. By early 2001, computer hardware will arrive in Saint Lucia. Once delivered, the program will provide the services of the relevant experts to configure the system to the needs of the Courts using software recommended by the U.S. National Center for State Courts, and purchased by the OECS Regional Governments. This installation will be followed by a training program for all users of the system.

A revised grant agreement between USAID and the Caribbean Law Institute (CLI) based in Tallahassee, Florida, is ensuring the present preparation of a curriculum for two workshops in Alternative Dispute Resolution which will assist judges and other members of the regional Bar to come to an understanding of the newly drafted Rules of the Supreme Court which place heavy emphasis on the role of mediation in reducing court case backlogs. The curriculum for the first workshop, which will take place in August 2001, is almost completed.

Over the next year, technical assistance and training will be provided to Dominica as a prelude to the phased implementation of electronic recording of court proceedings in the OECS countries. This is the only court in the OECS system, which presently has available to it the services of two court reporters (the minimum required to establish a viable court reporting system). The SO will provide the necessary equipment and supplies to the Dominica High Court. An assessment of the Jamaican court reporting program has revealed that its training facilities, while extensive, do not have the capacity to train more than five court reporting trainees from OECS Countries this year. As a result, we are presently working with the Chief Justice of the OECS Supreme Court to ascertain the possibility of upgrading the present four-month course in the British Virgin Islands (BVI) to accommodate the type of training required by the OECS Court Reporting Trainees. The BVI training program already has the services of a chief instructor who has been certified in the U.S. and it is currently seeking OECS court reporting trainees. It is expected that court reporters will be trained for each of the OECS countries by the end of the program.

To assist with improving the efficiency of the judicial system in Jamaica, two experts from the Federal Judicial College in Reno, Nevada provided training to 18 Supreme Court Judges in judicial writing. The course was conducted at the Justice Training Institute.

IR2: Improved Access to Legal Information and Training - The SO will work with the University of the West Indies over the next year to enlarge its West Indian Case-Law Database. Over the next year, the UWI will purchase the computer equipment and technical services required to systematize the decided cases into a computerized reporting format.

The SO Team and the Chief Justice of the OECS Supreme Court have participated in the production of a three-year judicial training plan. The region's first session was conducted by Court Management Associates, and focused on administrative issues such as appellate court improvement, docket management and court governance. Efforts are now underway to identify which of those courses can be taught using distant education services and establish priorities. Over the next year we expect to conduct several training courses and work closely with other donors and with the Judicial Education Committee to respond to other training needs.

A judicial symposium on Environmental Law is scheduled to take place in Saint Lucia in April 2001, and will focus on topics such as the role of the judiciary in the enforcement and compliance of environmental laws in national courts and measures to strengthen judicial cooperation on environmental law in the Caribbean. The attendance of nine Caribbean judges and prosecutors to the symposium will be financed by the program.

A cut in the budget would result in a substantial reduction of the training activities already identified for the OECS Countries, and would result in the cancellation of all plans to use distance education methods as a mode of training delivery.

Possible Adjustments to Plans:

Proposals are being made for further development of this program to incorporate relevant aspects of the "Third Border Initiative". Under consideration, are activities to reduce human rights excesses through greater community/police communication (with special focus on Jamaica), to accelerate the hearing of court cases through modernization of the region's court systems, and to reduce money laundering through the establishment of a law reform commission to review and update regional legislation.

Other Donor Programs:

Other donors that have programs that either directly or indirectly increase the efficiency and fairness of regional justice systems are: the Inter-American Development Bank (IDB), the Canadian International Development Agency (CIDA) and the British's Department for International Development (DFID). Both CIDA and USAID/Jamaica have agreed at the donor level to focus funding on different areas of the OECS legal system, while IDB and DFID's efforts are confined to Jamaica and focus largely on the strengthening of communities and community policing respectively, which do not overlap with USAID/Jamaica's Caribbean regional assistance efforts. Duplicative financing of individual project activities will therefore be avoided.

Major Contractors and Grantees:

Current and potential contractors and grantees include Court Management Associates of the United States, the Dispute Resolution Foundation of Jamaica, the University of the West Indies, the Government of Jamaica, the OECS Secretariat, the Caribbean Law Institute and the CARICOM Secretariat.

Performance Data Table

Fiscal Year: FY2003

Objective Name: Increased efficiency and fairness of legal systems in the Caribbean
Objective ID: 538-006-01
Approved: Country/Organization: USAID Caribbean Regional Program
Result Name: Improved efficiency of legal systems
Indicator: Cases using alternative resolution mechanisms
Disaggregated By:

Unit of Measure: Number of cases

Year	Planned	Actual
2000	TBD	Baseline
2001		-
2002		-
2003		-
2004		

Source:
TBD

Indicator/Description:
The number of cases resolved using alternative dispute resolution mechanisms.

Comments:
As indicated in last year's R4, this indicator has been revised. It will no longer be report.

Performance Data Table Fiscal Year: FY2003

Objective Name: Increased efficiency and fairness of legal systems in the Caribbean
Objective ID: 538-006-01
Approved: Country/Organization: USAID Caribbean Regional Program
Result Name: Improved access to legal system information and training
Indicator: Time it takes a legal system user to receive information on the status of a case.
Disaggregated By:

Unit of Measure: Percent reduction in average time.

Year	Planned	Actual
2000	0	Baseline
2001	10	-
2002	25	-
2003	50	-

Source:
Semi-annual survey of court users

Indicator/Description:

Comments:
As indicated in last year's R4, this indicator has been revised. It will no longer be report.

SO Text for SO: 538-007: Hurricane Lenny Recovery in the Eastern Caribbean

Country/Organization: USAID Caribbean Regional Program

Objective ID: 538-007

Objective Name: Special Objective: Hurricane Lenny Recovery in the Eastern Caribbean

Self Assessment: Meeting Expectations

Primary Links to Agency Strategic Framework: **(Please Assign Percentages, Total Equals 100):**

20% 1.1 Critical private markets expanded and strengthened
0% 1.2 More rapid and enhanced agricultural development and food security encouraged
0% 1.3 Access to economic opportunity for the rural and urban poor expanded and made more equitable
0% 2.1 Rule of law and respect for human rights of women as well as men strengthened
0% 2.2 Credible and competitive political processes encouraged
0% 2.3 The development of politically active civil society promoted
0% 2.4 More transparent and accountable government institutions encouraged
0% 3.1 Access to quality basic education for under-served populations, especially for girls and women, expanded
0% 3.2 The contribution of host-country institutions of higher education to sustainable development increased
0% 4.1 Unintended and mistimed pregnancies reduced
0% 4.2 Infant and child health and nutrition improved and infant and child mortality reduced
0% 4.3 Deaths, nutrition insecurity, and adverse health outcomes to women as a result of pregnancy and childbirth reduced
0% 4.4 HIV transmission and the impact of the HIV/AIDS pandemic in developing countries reduced
0% 4.5 The threat of infectious diseases of major public health importance reduced
0% 5.1 Threat of global climate change reduced
0% 5.2 Biological diversity conserved
0% 5.3 Sustainable urbanization including pollution management promoted
0% 5.4 Use of environmentally sound energy services increased
0% 5.5 Sustainable management of natural resources increased
80% 6.1 Urgent needs in times of crisis met
0% 6.2 Personal security and basic institutions to meet critical intermediate needs and protect human rights re-established

Link to U.S. National Interests: Humanitarian Response

Primary Link to MPP Goals: Humanitarian Assistance

Secondary Link to MPP Goals (optional): Health

(Page limitations for narrative begin here):

Summary of the SO:

Hurricane Lenny was a late 1999 season storm that was formed in the Western Caribbean, south of the Cayman Islands. It was unusual in that it followed a west to east track through the Caribbean rather than a “normal” east to west track. As a result of this unusual direction as well as the fact that it reached an intensity of a Category 4 level storm, large portions of the western coastlines of Antigua, Dominica, Grenada and St. Lucia were adversely affected. Sea defense walls collapsed and coastal highways were washed away. Many beaches were severely eroded. Ordinarily, these coastlines would not have taken the full brunt of a hurricane (normally, it is the East Coast of the islands that take the initial impact). Thus, infrastructure damage was extensive and businesses along the western coastlines suffered as coastal defenses on this side of the islands were not prepared to take the initial brunt of a hurricane. For example, in Antigua-Barbuda, essentially all of the businesses were affected by the severe flooding. Antigua, Dominica, Grenada and St. Lucia have invested in sea defense reconstruction and coastal

highway repair. However, the quality of some efforts is very poor compared to others. Thus, standards need to be implemented which will allow these countries to put in place uniform structures that will withstand major hurricane attacks. The beneficiaries of this program are the people of the affected countries.

The Hurricane Lenny Recovery in the Eastern Caribbean SpO was established to assist the countries of Grenada, Dominica, St. Lucia and Antigua-Barbuda recover from damage resulting from Hurricane Lenny. Key infrastructure such as roads, sea defenses and sea front walkways will be rehabilitated. In addition, key personnel will be trained to manage existing resources as well as to plan and design against future disasters.

The SpO comprises the following intermediate objectives:

IR 1: Key sea defense systems and selected sections of coastal roads reconstructed

IR 2: Key personnel trained in specialized areas

IR 3: Integrated coastal management plans developed in selected areas of Antigua-Barbuda and St. Lucia

IR 4: Economic activities reactivated.

Key Results:

The program is on target for completion of the main activities by the end of August 2001 leading to full closure by December 31, 2001. Design and tendering activities are underway under IR 1 with construction anticipated to start in March 2001. Training of personnel in the region in specialized areas as covered under IR 2 will commence in May and be completed by October 2001. Under IR 3, work has commenced on coastal zone management plans for sections of St. Lucia and Antigua-Barbuda and will be completed by August. Under IR 4, a thorough review of the financial institutions that service micro and small enterprises found that none were strong enough to take on an activity of this nature. Therefore, IR4 has been dropped.

Performance and Prospects:

The project is on track to be completed on or before December 31, 2001.

Under IR1, a contract was signed on October 20, 2000 with the firm Smith Warner International in partnership with Consulting Engineering Partnership Ltd. (SWI/CEP) to carry out environmental impact assessments (EIA), design reviews and project management of the proposed works in Grenada, Dominica and St. Lucia. The firm has since completed the EIAs for the sites in Grenada and Dominica, modified sea wall designs in Grenada to make them more cost effective and is reviewing site plans for Dominica. Construction is projected to get underway in March for Grenada and April for Dominica.

Under IR 2, individuals will be trained in specialized coastal engineering/management courses. USAID is working with the OAS, and the University of the West Indies (UWI) faculty to carry out this program. Courses will include coastal zone/island systems management, coastal defense systems, monitoring and maintenance of coastal infrastructure, and the design of marine structures. These four courses are planned and will commence in May 2001 with an end date of October 31, 2001. A needs assessment of individuals requiring training is currently underway.

The integrated coastal management plans (ICMP) being carried out under IR 3 for selected areas in St. Lucia will be completed by the end of April 2001. Construction activities which take into account these plans will end by September 2001. The U.S. National Oceanographic and Atmospheric Administration (NOAA) will develop the ICMP for the northwestern coast of Antigua, which is expected to be completed by June 2001.

In November 2000, a capability assessment was conducted on a number of lending institutions in the region that could provide credit to the micro enterprise sector in a short period of time. The assessment revealed entities with weak financial structures in all four countries which made it impossible to work with them under the tight timeframe of the project. Thus, IR4 has been eliminated from this SpO.

Possible Adjustments to Plans:

The only adjustment to the SpO is the elimination of IR 4.

Other Donor Programs:

The Caribbean Development Bank and the World Bank are carrying out coastal roads and sea defense projects in Grenada and Dominica.

Major Contractors and Grantees:

The governments of Grenada, Dominica, St. Lucia, and Antigua-Barbuda are the major beneficiaries. The Organization of American States, and the University of the West Indies are assisting with the training activities under the project. Smith Warner International in partnership with Consulting Engineering Partnership Ltd. (SWI/CEP) is responsible for designs and management of construction activities, as well as the preparation of the ICMP for St. Lucia. NOAA is responsible for carrying out the ICMP for Antigua-Barbuda.

R4 Part III: Resource Request

A. Financial Plan

USAID\J-CAR's program resource request is summarized in Table 1 below. This request reflects strategies and results frameworks which were approved with our Caribbean Regional Program strategy in July 1999, in addition to strategies and results frameworks for the Hurricane Georges Reconstruction and Recovery Program (approved in June 1999), the Hurricane Lenny Recovery Program (approved in May 2000), the new HIV/AIDS related Strategic Objective (approved in March 2001), and the new Administration's still pending Third Border Initiative. The Caribbean Regional Program stands to benefit significantly from adequate and reliable inflow of ESF funds needed to implement the multifaceted range of activities which have been identified under its four major strategic objectives. As of FY 2002, these efforts will be further boosted by the injection of Third Border Initiative funds (ESF) to facilitate (1) an economic growth related scholarship program, and FTAA activities, (2) a program for improved natural resource and environment management in the major GDP sectors of tourism and energy, (3) a program to increase the efficiency and transparency of the region's court and policing systems, and (4) an expanded HIV/AIDS Strategic Objective. The Hurricane Georges and Lenny Programs are on track for completion in December 2001 and this is reflected in their related budget tables.

The new HIV/AIDS strategic objective will cover the four remaining years of the strategic planning period to FY 2004. Approval is expected for a \$14 million budget for the strategy period, requiring on average, a sum of \$4.1 million in each of the out years in order to meet the anticipated targets at the end of FY 2004. Increased levels in FY 02/03 for the Third Border Initiative activities in all four RSOs will carry the Mission over its approved life of program funding level of \$40 million. Therefore, the Mission requests an increase in the life of program funding level for the CRP strategy in order to accommodate the Third Border Initiative activities.

Expenditure projections for the fiscal years 2001 to 2003 largely reflect 9 to 12 months of end-of-year pipeline available for the following year's anticipated expenditure, with the notable exception being the HIV/AIDS strategic objective (excluding Third Border Initiative funds), which is facing a shortfall of approximately \$1.1 million in its first year of implementation.

Table 1: Summary of Program Funding Request

<u>Strategic Objective/SpO</u>	<u>Account</u>	<u>FY2001</u>	<u>FY2002</u>	<u>FY2003A</u>	<u>FY2003B</u>
538-004 Employment & Diversification	ESF*	\$2,500	\$5,100	\$5,000	\$5,000
538-005 Environmental Management	ESF*	\$2,500	\$3,500	\$3,500	\$3,500
538-006 Efficiency of Legal Systems	ESF*	\$1,975	\$1,900	\$2,000	\$2,000
538-003 Hurricane Georges (SpO)	CACEDRF	\$0	\$0	\$0	\$0
538-007 Hurricane Lenny	CACEDRF	\$0	\$0	\$0	\$0
538-008 Response to HIV/AIDS	CSD/ESF*	\$1,497	\$5,000	\$3,000	\$3,450
Sub-Total	ESF	\$6,975	\$12,500	\$10,500	\$10,500
Sub-Total	CACEDRF	\$0	\$0	\$0	\$0
Sub-Total	CSD	\$1,497	\$3,000	\$3,000	\$3,450
Total		\$8,472	\$15,500	\$13,500	\$13,950

B. Global Field Support

During FY 2001 to 2003, it is anticipated that Global Field support services will be required to assist with the implementation of the new HIV/AIDS Strategic Objective. The SO Team projects an expenditure of \$980,000 in FY 2001. This amount is expected to increase to \$1,315 in FY 2002, and thereafter to level off in FY 2003. This scenario may change as the SO is further developed.

* The ESF allocation for FY 2002 and FY 2003 includes Third Border Initiative funds.

C. Workforce and OE

Although the Mission prepared separate workforce tables for the bilateral and CRP, the Mission's OE budget tables reflect the combined operating costs for the CRP and bilateral Mission. This seemed appropriate since the Mission does not receive a separate OE allowance for the CRP. Significant increases/decreases in USAID/Jamaica's final OE budget submission for FY 2002 over its FY 2001 budget are due to the following:

- the departure of three USDHs and the arrival of three new or replacement USDHs (and related transfer costs) where only one USDH departure/arrival occurred in the prior year;
- the completion of the Mission's realignment/reorganization (hence no severance costs);
- the extensive formal training required to maximize the effectiveness of the newly recruited Mission staff - 50% of whom had never worked for the USG before;
- the finalization of the recruitment of the CRP staff; and
- start up costs associated with the opening of the CRP satellite office in Barbados.

USAID/Jamaica has prepared separate workforce tables for the Mission's Caribbean Regional Program (CRP) and its bilateral program. "End of year On-board FY 2001 Estimates" project a total combined workforce of 82.5 (8.5 for the CRP and 74 for the bilateral). The "End of year On-board FY 2001 Target" level, as represented in last year's R4, was 79 (7.5 for the CRP and 71.5 for the bilateral).

The CRP's FY '01 OE workforce levels reflect the following:

- the shift from OE to program-funding of the CRP secretary and
- the shift of the OE-funded USDH Supervisory Agricultural Development Officer from the bilateral workforce tables to the CRP workforce tables.

The CRP's FY '02 OE and program-funded workforce levels include the following additions:

- an FSN 12 Project Management Specialist (Engineer), and
- an FSN-10 Financial Support Advisor.

These positions are located within the USAID/Jamaica Mission.

Out year CRP workforce levels remain fixed at 10.5.

ENV Sub-Directive Amounts for FY 2001 Request

COUNTRY:	Caribbean Regional					
S.O. # , Title	Total	Global climate change	Biodiversity	Environmentally sound energy	Urban and pollution prevention	Natural resource management
RSO 1:	0					
RSO 2:	2,500			250	1,000	1,250
RSO 3:	0					
RSO 4:	0					
RSO 5:	0					
RSO 6:	0					
RSO 7:	0					
RSO 8:	0					
TOTAL PROGRAM	2,500	0	0	250	1,000	1,250

[List of Objective ID numbers](#)

ENV Sub-Directive Amounts for FY 2002 Request

COUNTRY:	Caribbean Regional					
S.O. # , Title	Total	Global climate change	Biodiversity	Environmentally sound energy	Urban and pollution prevention+	Natural resource management++
RSO 1:	0					
RSO 2:	3,500			250	1,400	1,850
RSO 3:	0					
RSO 4:	0					
RSO 5:	0					
RSO 6:	0					
RSO 7:	0					
RSO 8:	0					
TOTAL PROGRAM	3,500	0	0	250	1,400	1,850

[List of Objective ID numbers](#)

+ This sum includes \$400,000 for the Third Border Initiative Program

++ This sum includes \$600,000 for the Third Border Initiative Program

ENV Sub-Directive Amounts for FY 2003 Request

COUNTRY:	Caribbean Regional					
S.O. # , Title	Total	Global climate change	Biodiversity	Environmentally sound energy	Urban and pollution prevention+	Natural resource management++
RSO 1:	0					
RSO 2:	3,500			250	1,400	1,850
RSO 3:	0					
RSO 4:	0					
RSO 5:	0					
RSO 6:	0					
RSO 7:	0					
RSO 8:	0					
TOTAL PROGRAM	3,500	0	0	250	1,400	1,850

[List of Objective ID numbers](#)

+ This sum includes \$400,000 for the Third Border Initiative Program

++ This sum includes \$600,000 for the Third Border Initiative Program

ENV Sub-Directive Amounts for FY 2002 Alternative Request

COUNTRY:	Caribbean Regional					
S.O. # , Title	Total	Global climate change	Biodiversity	Environmentally sound energy	Urban and pollution prevention	Natural resource management
RSO 1:	0					
RSO 2:	0					
RSO 3:	0					
RSO 4:	0					
RSO 5:	0					
RSO 6:	0					
RSO 7:	0					
RSO 8:	0					
TOTAL PROGRAM	0	0	0	0	0	0

[List of Objective ID numbers](#)

CSD Sub-Directive Amounts for FY 2001 Request

COUNTRY:		Caribbean Regional							
S.O. # , Title	Total	Child Survival/Maternal Health			Vulnerable Children		Other Infectious Diseases*		
		Primary causes	Polio	Micronutrients	DCOF	HIV/AIDS	TB	Malaria	"Other"
RSO 1:									
CSD	0								
Other	0								
	0	0	0				0	0	0
RSO 2:									
CSD	0								
Other	0								
	0	0	0				0	0	0
RSO 3:									
CSD	0								
Other	0								
	0	0	0				0	0	0
RSO 4:									
CSD	0								
Other	0								
	0	0	0				0	0	0
RSO 5:									
CSD	0								
Other	0								
	0	0	0				0	0	0
RSO 6: Enhanced Caribbean Response to the HIV/AIDS Crisis in Target Countries									
CSD	1,497					1,497			
Other	0								
	1,497	0	0				0	0	0
Total CSD	1,497	0	0				0	0	0
Total Other	0	0	0				0	0	0
TOTAL PROGRAM	1,497	0	0				0	0	0

Note: All funding for Malaria should now come from Infectious Diseases

CSD Sub-Directive Amounts for FY 2002 Request

COUNTRY:		Caribbean Regional							
S.O. # , Title	Total	Child Survival/Maternal Health			Vulnerable Children		Other Infectious Diseases*		
		Primary causes	Polio	Micronutrients	DCOF	HIV/AIDS**	TB	Malaria	"Other"
RSO 1:									
CSD	0								
Other	0								
	0	0	0				0	0	0
RSO 2:									
CSD	0								
Other	0								
	0	0	0				0	0	0
RSO 3:									
CSD	0								
Other	0								
	0	0	0				0	0	0
RSO 4:									
CSD	0								
Other	0								
	0	0	0				0	0	0
RSO 5:									
CSD	0								
Other	0								
	0	0	0				0	0	0
RSO 6: Enhanced Caribbean Response to the HIV/AIDS Crisis in Target Countries									
CSD	3,000					3,000			
Other	0								
	3,000	0	0				0	0	0
Total CSD	3,000	0	0				0	0	0
Total Other	0	0	0				0	0	0
TOTAL PROGRAM	3,000	0	0				0	0	0

Note: All funding for Malaria should now come from Infectious Diseases

** Includes \$2,000,000 for the Third Border Initiative Program

CSD Sub-Directive Amounts for FY 2003 Scenario A Request

COUNTRY:		Caribbean Regional							
S.O. # , Title	Total	Child Survival/Maternal Health			Vulnerable Children		Other Infectious Diseases*		
		Primary causes	Polio	Micronutrients	DCOF	HIV/AIDS	TB	Malaria	"Other"
RSO 1:									
CSD	0								
Other	0								
	0	0	0				0	0	0
RSO 2:									
CSD	0								
Other	0								
	0	0	0				0	0	0
RSO 3:									
CSD	0								
Other	0								
	0	0	0				0	0	0
RSO 4:									
CSD	0								
Other	0								
	0	0	0				0	0	0
RSO 5:									
CSD	0								
Other	0								
	0	0	0				0	0	0
RSO 6: Enhanced Caribbean Response to the HIV/AIDS Crisis in Target Countries									
CSD	3,000					3,000			
Other	0					0			
	3,000	0	0				0	0	0
Total CSD	3,000	0	0				0	0	0
Total Other	0	0	0				0	0	0
TOTAL PROGRAM	3,000	0	0				0	0	0

Note: All funding for Malaria should now come from Infectious Diseases

CSD Sub-Directive Amounts for FY 2003 Scenario B Request

COUNTRY:		Caribbean Regional							
S.O. # , Title	Total	Child Survival/Maternal Health			Vulnerable Children		Other Infectious Diseases*		
		Primary causes	Polio	Micronutrients	DCOF	HIV/AIDS	TB	Malaria	"Other"
RSO 1:									
CSD	0								
Other	0								
	0	0	0				0	0	0
RSO 2:									
CSD	0								
Other	0								
	0	0	0				0	0	0
RSO 3:									
CSD	0								
Other	0								
	0	0	0				0	0	0
RSO 4:									
CSD	0								
Other	0								
	0	0	0				0	0	0
RSO 5:									
CSD	0								
Other	0								
	0	0	0				0	0	0
RSO 6: Enhanced Caribbean Response to the HIV/AIDS Crisis in Target Countries									
CSD	3,450					3,450			
Other	0								
	3,450	0	0				0	0	0
Total CSD	3,450	0	0				0	0	0
Total Other	0	0	0				0	0	0
TOTAL PROGRAM	3,450	0	0				0	0	0

Note: All funding for Malaria should now come from Infectious Diseases

FY 2001 Budget Request by Program/Country

Fiscal Year: 2001 Program/Country: Caribbean Regional
 Approp: DA/CSD
 Scenario:

FY 2001 Request															
S.O. #, Title	Starting Pipeline	Total	Agri-culture	Other Economic Growth	Children's Basic Education (*)	Other HCD	Population	Child Survival & Maternal Health (*)	Other Infectious Diseases (*)	HIV/AIDS (*)	Vulnerable Children (*)	Environ	D/G	Est. S.O. Expenditures	Est. S.O. Pipeline End of 2001
RSO 1: Increased Employment and Diversification in Select Non-Traditional Activities															
Regional		0						0							0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 2: Improved Environmental Management by Public and Private Entities															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 3: Increased Effectiveness and Efficiency of Legal Systems in the Caribbean															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 4: Hurricane Georges Recovery and Reconstruction in the Eastern Caribbean															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 5: Hurricane Lenny Recovery in the Eastern Caribbean															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 6: Enhanced Caribbean Response to the HIV/AIDS Crisis in Target Countries															
Regional	0	517								517				250	267
Field Spt	0	980								980				980	0
	0	1,497	0	0	0	0	0	0	0	1,497	0	0	1,230	267	0
RSO 7:															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 8:															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Regional	0	517	0	0	0	0	0	0	0	517				250	267
Total Field Support	0	980	0	0	0	0	0	0	0	980				980	0
TOTAL PROGRAM	0	1,497	0	0	0	0	0	0	0	1,497				1,230	267

FY 2001 Request Agency Goal Totals	
Econ Growth	0
Democracy	0
HCD	0
PHN	1,497
Environment	0
GCC (from all Goals)	0

FY 2001 Account Distribution (CSD only)	
DA Program Total	0
CSD Program Total	1,497
TOTAL	1,497

Prepare one set of tables for each Fiscal Year (FY2001, FY2002, FY2003)
 Prepare one set of tables for each appropriation Account
 Tables for DA and CSD may be combined on one table.
 For the DA/CSD Table, columns marked with (*) will be funded from the CSD Account.

FY 2002 Budget Request by Program/Country

Fiscal Year: 2002 Program/Country: Caribbean Regional
 Approp: DA/CSD
 Scenario:

FY 2002 Request															
S.O. #, Title	Starting Pipeline	Total	Agri-culture	Other Economic Growth	Children's Basic Education (*)	Other HCD	Population	Child Survival & Maternal Health (*)	Other Infectious Diseases (*)	HIV/AIDS (*)	Vulnerable Children (*)	Environ	D/G	Est. S.O. Expenditures	Est. S.O. Pipeline End of 2002
RSO 1: Increased Employment and Diversification in Select Non-Traditional Activities															
Regional		0						0							0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 2: Improved Environmental Management by Public and Private Entities															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 3: Increased Effectiveness and Efficiency of Legal Systems in the Caribbean															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 4: Hurricane Georges Recovery and Reconstruction in the Eastern Caribbean															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 5: Hurricane Lenny Recovery in the Eastern Caribbean															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 6: Enhanced Caribbean Response to the HIV/AIDS Crisis in Target Countries															
Regional	267	1,685								1,685				500	1,452
Field Spt		1,315								1,315				1,315	0
	267	3,000	0	0	0	0	0	0	0	3,000	0	0	0	1,815	1,452
RSO 7:															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 8:															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Regional	267	1,685	0	0	0	0	0	0	0	1,685	0	0	0	500	1,452
Total Field Support	0	1,315	0	0	0	0	0	0	0	1,315	0	0	0	1,315	0
TOTAL PROGRAM	267	3,000	0	0	0	0	0	0	0	3,000	0	0	0	1,815	1,452

FY 2002 Request Agency Goal Totals	
Econ Growth	0
Democracy	0
HCD	0
PHN	3,000
Environment	0
GCC (from all Goals)	0

FY 2002 Account Distribution (CSD only)	
DA Program Total	0
CSD Program Total	3,000
TOTAL	3,000

Prepare one set of tables for each Fiscal Year (FY2001, FY2002, FY2003)
 Prepare one set of tables for each appropriation Account
 Tables for DA and CSD may be combined on one table.
 For the DA/CSD Table, columns marked with (*) will be funded from the CSD Account.

FY 2003 SC. A Budget Request by Program/Country

Fiscal Year: 2003 SC. A Program/Country: Caribbean Regional
 Approp: DA/CSD
 Scenario:

FY 2003 SC. A Request															
S.O. #, Title	Starting Pipeline	Total	Agriculture	Other Economic Growth	Children's Basic Education (*)	Other HCD	Population	Child Survival & Maternal Health (*)	Other Infectious Diseases (*)	HIV/AIDS (*)	Vulnerable Children (*)	Environ	D/G	Est. S.O. Expenditures	Est. S.O. Pipeline End of 2003 SC. A
RSO 1: Increased Employment and Diversification in Select Non-Traditional Activities															
Regional		0						0							0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 2: Improved Environmental Management by Public and Private Entities															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 3: Increased Effectiveness and Efficiency of Legal Systems in the Caribbean															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 4: Hurricane Georges Recovery and Reconstruction in the Eastern Caribbean															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 5: Hurricane Lenny Recovery in the Eastern Caribbean															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 6: Enhanced Caribbean Response to the HIV/AIDS Crisis in Target Countries															
Regional	1,452	1,685								1,685				600	2,537
Field Spt	0	1,315								1,315				1,315	0
	1,452	3,000	0	0	0	0	0	0	0	3,000	0	0	0	1,915	2,537
RSO 7:															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 8:															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Regional	1,452	1,685	0	0	0	0	0	0	0	1,685	0	0	0	600	2,537
Total Field Support	0	1,315	0	0	0	0	0	0	0	1,315	0	0	0	1,315	0
TOTAL PROGRAM	1,452	3,000	0	0	0	0	0	0	0	3,000	0	0	0	1,915	2,537

FY 2003 SC. A Request Agency Goal Totals	
Econ Growth	0
Democracy	0
HCD	0
PHN	3,000
Environment	0
GCC (from all Goals)	0

FY 2003 SC. A Account Distribution (CSD only)	
DA Program Total	0
CSD Program Total	3,000
TOTAL	3,000

Prepare one set of tables for each Fiscal Year (FY2001, FY2002, FY2003)
 Prepare one set of tables for each appropriation Account
 Tables for DA and CSD may be combined on one table.
 For the DA/CSD Table, columns marked with (*) will be funded from the CSD Account.

FY 2003 SC. B Budget Request by Program/Country

Fiscal Year: 2003 SC. B Program/Country: Caribbean Regional
 Approp: DA/CSD
 Scenario:

FY 2003 SC. B Request															
S.O. #, Title	Starting Pipeline	Total	Agri-culture	Other Economic Growth	Children's Basic Education (*)	Other HCD	Population	Child Survival & Maternal Health (*)	Other Infectious Diseases (*)	HIV/AIDS (*)	Vulnerable Children (*)	Environ	D/G	Est. S.O. Expenditures	Est. S.O. Pipeline End of 2003 SC. B
RSO 1: Increased Employment and Diversification in Select Non-Traditional Activities															
Regional		0						0							0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 2: Improved Environmental Management by Public and Private Entities															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 3: Increased Effectiveness and Efficiency of Legal Systems in the Caribbean															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 4: Hurricane Georges Recovery and Reconstruction in the Eastern Caribbean															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 5: Hurricane Lenny Recovery in the Eastern Caribbean															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 6: Enhanced Caribbean Response to the HIV/AIDS Crisis in Target Countries															
Regional	1,452	2,135								2,135				600	2,987
Field Spt	0	1,315								1,315				1,315	0
	1,452	3,450	0	0	0	0	0	0	0	3,450	0	0	0	1,915	2,987
RSO 7:															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 8:															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Regional	1,452	2,135	0	0	0	0	0	0	0	2,135	0	0	0	600	2,987
Total Field Support	0	1,315	0	0	0	0	0	0	0	1,315	0	0	0	1,315	0
TOTAL PROGRAM	1,452	3,450	0	0	0	0	0	0	0	3,450	0	0	0	1,915	2,987

FY 2003 SC. B Request Agency Goal Totals	
Econ Growth	0
Democracy	0
HCD	0
PHN	3,450
Environment	0
GCC (from all Goals)	0

FY 2003 SC. B Account Distribution (CSD only)	
DA Program Total	0
CSD Program Total	3,450
TOTAL	3,450

Prepare one set of tables for each Fiscal Year (FY2001, FY2002, FY2003)
 Prepare one set of tables for each appropriation Account
 Tables for DA and CSD may be combined on one table.
 For the DA/CSD Table, columns marked with (*) will be funded from the CSD Account.

FY 2001 Budget Request by Program/Country

Fiscal Year: 2001 Program/Country: Caribbean Regional
 Approp: ESF
 Scenario:

FY 2001 Request															
S.O. #, Title	Starting Pipeline	Total	Agriculture	Other Economic Growth	Children's Basic Education (*)	Other HCD	Population	Child Survival & Maternal Health (*)	Other Infectious Diseases (*)	HIV/AIDS (*)	Vulnerable Children (*)	Environ	D/G	Est. S.O. Expenditures	Est. S.O. Pipeline End of 2001
RSO 1: Increased Employment and Diversification in Select Non-Traditional Activities															
Regional	2,500	2,500	500	2,000										1,066	3,934
Field Spt	0	0	0	0										0	0
	2,500	2,500	500	2,000	0	0	0	0	0	0	0	0	0	1,066	3,934
RSO 2: Improved Environmental Management by Public and Private Entities															
Regional	2,500	2,500										2,500		1,074	3,926
Field Spt	0	0	0									0		0	0
	2,500	2,500	0	0	0	0	0	0	0	0	0	2,500	0	1,074	3,926
RSO 3: Increased Effectiveness and Efficiency of Legal Systems in the Caribbean															
Regional	1,970	1,975											1,975	753	3,192
Field Spt	0	0	0											0	0
	1,970	1,975	0	0	0	0	0	0	0	0	0	0	1,975	753	3,192
RSO 4: Hurricane Georges Recovery and Reconstruction in the Eastern Caribbean															
Regional		0													0
Field Spt		0	0												0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 5: Hurricane Lenny Recovery in the Eastern Caribbean															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 6: Enhanced Caribbean Response to the HIV/AIDS Crisis in Target Countries															
Regional	0	0													0
Field Spt	0	0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 7:															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 8:															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Regional	6,970	6,975	500	2,000	0	0	0	0	0	0	0	2,500	1,975	2,893	11,052
Total Field Support	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL PROGRAM	6,970	6,975	500	2,000	0	0	0	0	0	0	0	2,500	1,975	2,893	11,052

FY 2001 Request Agency Goal Totals	
Econ Growth	2,500
Democracy	1,975
HCD	0
PHN	0
Environment	2,500
GCC (from all Goals)	0

FY 2001 Account Distribution (ESF only)	
ESF Program Total	6,975
CSD Program Total	0
TOTAL	6,975

Prepare one set of tables for each Fiscal Year (FY2001, FY2002, FY2003)
 Prepare one set of tables for each appropriation Account
 Tables for DA and CSD may be combined on one table.
 For the DA/CSD Table, columns marked with (*) will be funded from the CSD Account.

FY 2002 Budget Request by Program/Country

Fiscal Year: 2002 Program/Country: Caribbean Regional
 Approp: ESF
 Scenario:

FY 2002 Request															
S.O. #, Title	Starting Pipeline	Total	Agri-culture	Other Economic Growth+	Children's Basic Education (*)	Other HCD	Population	Child Survival & Maternal Health (*)	Other Infectious Diseases (*)	HIV/AIDS++	Vulnerable Children (*)	Environ+++	D/G++++	Est. S.O. Expenditures	Est. S.O. Pipeline End of 2002
RSO 1: Increased Employment and Diversification in Select Non-Traditional Activities															
Regional	3,934	5,100	560	4,540										4,500	4,534
Field Spt	0	0	0	0										0	0
	3,934	5,100	560	4,540	0	0	0	0	0	0	0	0	0	4,500	4,534
RSO 2: Improved Environmental Management by Public and Private Entities															
Regional	3,926	3,500										3,500		3,600	3,826
Field Spt	0	0										0		0	0
	3,926	3,500	0	0	0	0	0	0	0	0	0	3,500	0	3,600	3,826
RSO 3: Increased Effectiveness and Efficiency of Legal Systems in the Caribbean															
Regional	3,192	1,900											1,900	3,000	2,092
Field Spt	0	0											0	0	0
	3,192	1,900	0	0	0	0	0	0	0	0	0	0	1,900	3,000	2,092
RSO 4: Hurricane Georges Recovery and Reconstruction in the Eastern Caribbean															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 5: Hurricane Lenny Recovery in the Eastern Caribbean															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 6: Enhanced Caribbean Response to the HIV/AIDS Crisis in Target Countries															
Regional	0	2,000								2,000				1,100	900
Field Spt	0	0								0				0	0
	0	2,000	0	0	0	0	0	0	0	2,000	0	0	0	1,100	900
RSO 7:															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 8:															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Regional	11,052	12,500	560	4,540	0	0	0	0	0	2,000	0	3,500	1,900	12,200	11,352
Total Field Support	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL PROGRAM	11,052	12,500	560	4,540	0	0	0	0	0	2,000	0	3,500	1,900	12,200	11,352

FY 2002 Request Agency Goal Totals	
Econ Growth	5,100
Democracy	1,900
HCD	0
PHN	2,000
Environment	3,500
GCC (from all Goals)	0

FY 2002 Account Distribution (ESF only)	
ESF Program Total	10,500
ESF Program Total	2,000
TOTAL	12,500

Prepare one set of tables for each Fiscal Year (FY2001, FY2002, FY2003)
 Prepare one set of tables for each appropriation Account
 Tables for DA and CSD may be combined on one table.
 For the DA/CSD Table, columns marked with (*) will be funded from the CSD Account.

- + This sum includes \$1,600,000 for the Third Border Initiative Program
- ++ This sum represents the input of the Third Border Initiative Program
- +++ This sum includes \$1,000,000 for the Third Border Initiative Program
- ++++ This sum includes \$900,000 for the Third Border Initiative Program

FY 2002 ALT Budget Request by Program/Country

Fiscal Year: 2002 ALT Program/Country:
 Approp: ESF
 Scenario:

FY 2002 ALT Request															
S.O. #, Title	Starting Pipeline	Total	Agri-culture	Other Economic Growth	Children's Basic Education (*)	Other HCD	Population	Child Survival & Maternal Health (*)	Other Infectious Diseases (*)	HIV/AIDS (*)	Vulnerable Children (*)	Environ	D/G	Est. S.O. Expenditures	Est. S.O. Pipeline End of 2002 ALT
RSO 1:															
Bilateral		0						0							0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 2:															
Bilateral		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 3:															
Bilateral		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 4:															
Bilateral		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SO 5:															
Bilateral		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SO 6:															
Bilateral		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SO 7:															
Bilateral		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SO 8:															
Bilateral		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Bilateral	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Field Support	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL PROGRAM	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

FY 2002 ALT Request Agency Goal Totals	
Econ Growth	0
Democracy	0
HCD	0
PHN	0
Environment	0
GCC (from all Goals)	0

FY 2002 ALT Account Distribution (DA only)	
DA Program Total	0
CSD Program Total	0
TOTAL	0

Prepare one set of tables for each Fiscal Year (FY2001, FY2002, FY2003)
 Prepare one set of tables for each appropriation Account
 Tables for DA and CSD may be combined on one table.
 For the DA/CSD Table, columns marked with (*) will be funded from the CSD Account.

FY 2003 Budget Request by Program/Country

Fiscal Year: 2003 Program/Country: Caribbean Regional
 Approp: ESF
 Scenario:

FY 2003 Request															
S.O. # , Title	Starting Pipeline	Total	Agri-culture	Other Economic Growth+	Children's Basic Education (*)	Other HCD	Population	Child Survival & Maternal Health (*)	Other Infectious Diseases (*)	HIV/AIDS (*)	Vulnerable Children (*)	Environ++	D/G+++	Est. S.O. Expenditures	Est. S.O. Pipeline End of 2003
RSO 1: Increased Employment and Diversification in Select Non-Traditional Activities															
Regional	4,534	5,000	560	4,440				0						5,200	4,334
Field Spt	0	0		0										0	0
	4,534	5,000	560	4,440	0	0	0	0	0	0	0	0	0	5,200	4,334
RSO 2: Improved Environmental Management by Public and Private Entities															
Regional	3,826	3,500										3,500		3,500	3,826
Field Spt	0	0										0		0	0
	3,826	3,500	0	0	0	0	0	0	0	0	0	3,500	0	3,500	3,826
RSO 3: Increased Effectiveness and Efficiency of Legal Systems in the Caribbean															
Regional	2,092	2,000											2,000	2,100	1,992
Field Spt	0	0											0	0	0
	2,092	2,000	0	0	0	0	0	0	0	0	0	0	2,000	2,100	1,992
RSO 4: Hurricane Georges Recovery and Reconstruction in the Eastern Caribbean															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 5: Hurricane Lenny Recovery in the Eastern Caribbean															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 6: Enhanced Caribbean Response to the HIV/AIDS Crisis in Target Countries															
Regional	900	0												800	100
Field Spt	0	0												0	0
	900	0	0	0	0	0	0	0	0	0	0	0	0	800	100
RSO 7:															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 8:															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Regional	11,352	10,500	560	4,440	0	0	0	0	0	0	0	3,500	2,000	11,600	10,252
Total Field Support	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL PROGRAM	11,352	10,500	560	4,440	0	0	0	0	0	0	0	3,500	2,000	11,600	10,252

FY 2003 Request Agency Goal Totals	
Econ Growth	5,000
Democracy	2,000
HCD	0
PHN	0
Environment	3,500
GCC (from all Goals)	0

FY 2003 Account Distribution (ESF only)	
ESF Program Total	10,500
CSD Program Total	0
TOTAL	10,500

Prepare one set of tables for each Fiscal Year (FY2001, FY2002, FY2003)
 Prepare one set of tables for each appropriation Account
 Tables for DA and CSD may be combined on one table.
 For the DA/CSD Table, columns marked with (*) will be funded from the CSD Account.

- + This sum includes \$1,500,000 for the Third Border Initiative Program
- ++ This sum includes \$1,000,000 for the Third Border Initiative Program
- +++ This sum includes \$1,000,000 for the Third Border Initiative Program

FY 2001 Budget Request by Program/Country

Fiscal Year: 2001 Program/Country: Caribbean Regional
 Approp: CACEDRF
 Scenario:

FY 2001 Request															
S.O. #, Title	Starting Pipeline	Total	Agri-culture	Other Economic Growth	Children's Basic Education (*)	Other HCD	Population	Child Survival & Maternal Health (*)	Other Infectious Diseases (*)	HIV/AIDS (*)	Vulnerable Children (*)	Environ	D/G	Est. S.O. Expenditures	Est. S.O. Pipeline End of 2001
RSO 1: Increased Employment and Diversification in Select Non-Traditional Activities															
Regional		0						0							0
Field Spt		0						0							0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 2: Improved Environmental Management by Public and Private Entities															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 3: Increased Effectiveness and Efficiency of Legal Systems in the Caribbean															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 4: Hurricane Georges Recovery and Reconstruction in the Eastern Caribbean															
Regional	3,052	0												3,000	52
Field Spt	0	0												0	0
	3,052	0	0	0	0	0	0	0	0	0	0	0	0	3,000	52
RSO 5: Hurricane Lenny Recovery in the Eastern Caribbean															
Regional	5,100	0												4,700	400
Field Spt	0	0												0	0
	5,100	0	0	0	0	0	0	0	0	0	0	0	0	4,700	400
RSO 6: Enhanced Caribbean Response to the HIV/AIDS Crisis in Target Countries															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 7:															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 8:															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Regional	8,152	0	0	0	0	0	0	0	0	0	0	0	0	7,700	452
Total Field Support	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL PROGRAM	8,152	0	0	0	0	0	0	0	0	0	0	0	0	7,700	452

FY 2001 Request Agency Goal Totals	
Econ Growth	0
Democracy	0
HCD	0
PHN	0
Environment	0
GCC (from all Goals)	0

FY 2001 Account Distribution (CACEDRF only)	
CACEDRF Program Total	0
CSD Program Total	0
TOTAL	0

Prepare one set of tables for each Fiscal Year (FY2001, FY2002, FY2003)
 Prepare one set of tables for each appropriation Account
 Tables for DA and CSD may be combined on one table.
 For the DA/CSD Table, columns marked with (*) will be funded from the CSD Account.

FY 2002 Budget Request by Program/Country

Fiscal Year: 2002 Program/Country: Caribbean Regional
 Approp: CACEDRF
 Scenario:

FY 2002 Request															
S.O. #, Title	Starting Pipeline	Total	Agri-culture	Other Economic Growth	Children's Basic Education (*)	Other HCD	Population	Child Survival & Maternal Health (*)	Other Infectious Diseases (*)	HIV/AIDS (*)	Vulnerable Children (*)	Environ	D/G	Est. S.O. Expenditures	Est. S.O. Pipeline End of 2002
RSO 1: Increased Employment and Diversification in Select Non-Traditional Activities															
Regional		0						0							0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 2: Improved Environmental Management by Public and Private Entities															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 3: Increased Effectiveness and Efficiency of Legal Systems in the Caribbean															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 4: Hurricane Georges Recovery and Reconstruction in the Eastern Caribbean															
Regional	52	0												52	0
Field Spt	0	0												0	0
	52	0	0	0	0	0	0	0	0	0	0	0	0	52	0
RSO 5: Enhanced Caribbean Response to the HIV/AIDS Crisis in Target Countries															
Regional	400	0												400	0
Field Spt	0	0												0	0
	400	0	0	0	0	0	0	0	0	0	0	0	0	400	0
RSO 6:															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 7:															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 8:															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Regional	452	0	0	0	0	0	0	0	0	0	0	0	0	452	0
Total Field Support	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL PROGRAM	452	0	0	0	0	0	0	0	0	0	0	0	0	452	0

FY 2002 Request Agency Goal Totals	
Econ Growth	0
Democracy	0
HCD	0
PHN	0
Environment	0
GCC (from all Goals)	0

FY 2002 Account Distribution (CACEDRF only)	
CACEDRF Program Total	0
CSD Program Total	0
TOTAL	0

Prepare one set of tables for each Fiscal Year (FY2001, FY2002, FY2003)
 Prepare one set of tables for each appropriation Account
 Tables for DA and CSD may be combined on one table.
 For the DA/CSD Table, columns marked with (*) will be funded from the CSD Account.

FY 2002 ALT Budget Request by Program/Country

Fiscal Year: 2002 ALT Program/Country:
 Approp: CACEDRF
 Scenario:

FY 2002 ALT Request															
S.O. #, Title	Starting Pipeline	Total	Agri-culture	Other Economic Growth	Children's Basic Education (*)	Other HCD	Population	Child Survival & Maternal Health (*)	Other Infectious Diseases (*)	HIV/AIDS (*)	Vulnerable Children (*)	Environ	D/G	Est. S.O. Expenditures	Est. S.O. Pipeline End of 2002 ALT
RSO 1:															
Regional		0						0							0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 2:															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 3:															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 4:															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 5:															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 6:															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 7:															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 8:															
Regional		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Regional	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Field Support	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL PROGRAM	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

FY 2002 ALT Request Agency Goal Totals	
Econ Growth	0
Democracy	0
HCD	0
PHN	0
Environment	0
GCC (from all Goals)	0

FY 2002 ALT Account Distribution (DA only)	
DA Program Total	0
CSD Program Total	0
TOTAL	0

Prepare one set of tables for each Fiscal Year (FY2001, FY2002, FY2003)
 Prepare one set of tables for each appropriation Account
 Tables for DA and CSD may be combined on one table.
 For the DA/CSD Table, columns marked with (*) will be funded from the CSD Account.

FY 2003 Budget Request by Program/Country

Fiscal Year: 2003 Program/Country: Caribbean Regional
 Approp: CACEDRF
 Scenario:

FY 2003 Request															
S.O. #, Title	Starting Pipeline	Total	Agri-culture	Other Economic Growth	Children's Basic Education (*)	Other HCD	Population	Child Survival & Maternal Health (*)	Other Infectious Diseases (*)	HIV/AIDS (*)	Vulnerable Children (*)	Environ	D/G	Est. S.O. Expenditures	Est. S.O. Pipeline End of 2003
RSO 1: Increased Employment and Diversification in Select Non-Traditional Activities															
Regional		0						0							0
Field Spt		0						0							0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 2: Improved Environmental Management by Public and Private Entities															
Regional		0													0
Field Spt		0						0							0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 3: Increased Effectiveness and Efficiency of Legal Systems in the Caribbean															
Regional		0													0
Field Spt		0						0							0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 4: Hurricane Georges Recovery and Reconstruction in the Eastern Caribbean															
Regional		0													0
Field Spt		0						0							0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 5: Enhanced Caribbean Response to the HIV/AIDS Crisis in Target Countries															
Regional		0													0
Field Spt		0						0							0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 6:															
Regional		0													0
Field Spt		0						0							0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 7:															
Regional		0													0
Field Spt		0						0							0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 8:															
Regional		0													0
Field Spt		0						0							0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Regional	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Field Support	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL PROGRAM	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

FY 2003 Request Agency Goal Totals	
Econ Growth	0
Democracy	0
HCD	0
PHN	0
Environment	0
GCC (from all Goals)	0

FY 2003 Account Distribution (CACEDRF only)	
CACEDRF Program Total	0
CSD Program Total	0
TOTAL	0

Prepare one set of tables for each Fiscal Year (FY2001, FY2002, FY2003)
 Prepare one set of tables for each appropriation Account
 Tables for DA and CSD may be combined on one table.
 For the DA/CSD Table, columns marked with (*) will be funded from the CSD Account.

FY 2001 Budget Request by Program/Country

Fiscal Year: 2001 Program/Country:
 Approp: AEEB
 Scenario:

FY 2001 Request															
S.O. #, Title	Starting Pipeline	Total	Agri-culture	Other Economic Growth	Children's Basic Education (*)	Other HCD	Population	Child Survival & Maternal Health (*)	Other Infectious Diseases (*)	HIV/AIDS (*)	Vulnerable Children (*)	Environ	D/G	Est. S.O. Expenditures	Est. S.O. Pipeline End of 2001
RSO 1:															
Bilateral		0						0							0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 2:															
Bilateral		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 3:															
Bilateral		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 4:															
Bilateral		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SO 5:															
Bilateral		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SO 6:															
Bilateral		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SO 7:															
Bilateral		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SO 8:															
Bilateral		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Bilateral	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Field Support	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL PROGRAM	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

FY 2001 Request Agency Goal Totals	
Econ Growth	0
Democracy	0
HCD	0
PHN	0
Environment	0
GCC (from all Goals)	0

FY 2001 Account Distribution (DA only)	
DA Program Total	0
CSD Program Total	0
TOTAL	0

Prepare one set of tables for each Fiscal Year (FY2001, FY2002, FY2003)
 Prepare one set of tables for each appropriation Account
 Tables for DA and CSD may be combined on one table.
 For the DA/CSD Table, columns marked with (*) will be funded from the CSD Account.

FY 2002 Budget Request by Program/Country

Fiscal Year: 2002 Program/Country:
 Approp: AEED
 Scenario:

FY 2002 Request															
S.O. #, Title	Starting Pipeline	Total	Agri-culture	Other Economic Growth	Children's Basic Education (*)	Other HCD	Population	Child Survival & Maternal Health (*)	Other Infectious Diseases (*)	HIV/AIDS (*)	Vulnerable Children (*)	Environ	D/G	Est. S.O. Expenditures	Est. S.O. Pipeline End of 2002
RSO 1:															
Bilateral		0						0							0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 2:															
Bilateral		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 3:															
Bilateral		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 4:															
Bilateral		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SO 5:															
Bilateral		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SO 6:															
Bilateral		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SO 7:															
Bilateral		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SO 8:															
Bilateral		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Bilateral	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Field Support	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL PROGRAM	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

FY 2002 Request Agency Goal Totals	
Econ Growth	0
Democracy	0
HCD	0
PHN	0
Environment	0
GCC (from all Goals)	0

FY 2002 Account Distribution (DA only)	
DA Program Total	0
CSD Program Total	0
TOTAL	0

Prepare one set of tables for each Fiscal Year (FY2001, FY2002, FY2003)
 Prepare one set of tables for each appropriation Account
 Tables for DA and CSD may be combined on one table.
 For the DA/CSD Table, columns marked with (*) will be funded from the CSD Account.

FY 2002 ALT Budget Request by Program/Country

Fiscal Year: 2002 ALT Program/Country:
 Approp: AEEB
 Scenario:

FY 2002 ALT Request															
S.O. #, Title	Starting Pipeline	Total	Agri-culture	Other Economic Growth	Children's Basic Education (*)	Other HCD	Population	Child Survival & Maternal Health (*)	Other Infectious Diseases (*)	HIV/AIDS (*)	Vulnerable Children (*)	Environ	D/G	Est. S.O. Expenditures	Est. S.O. Pipeline End of 2002 ALT
RSO 1:															
Bilateral		0						0							0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 2:															
Bilateral		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 3:															
Bilateral		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 4:															
Bilateral		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SO 5:															
Bilateral		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SO 6:															
Bilateral		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SO 7:															
Bilateral		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SO 8:															
Bilateral		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Bilateral	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Field Support	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL PROGRAM	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

FY 2002 ALT Request Agency Goal Totals	
Econ Growth	0
Democracy	0
HCD	0
PHN	0
Environment	0
GCC (from all Goals)	0

FY 2002 ALT Account Distribution (DA only)	
DA Program Total	0
CSD Program Total	0
TOTAL	0

Prepare one set of tables for each Fiscal Year (FY2001, FY2002, FY2003)
 Prepare one set of tables for each appropriation Account
 Tables for DA and CSD may be combined on one table.
 For the DA/CSD Table, columns marked with (*) will be funded from the CSD Account.

FY 2003 Budget Request by Program/Country

Fiscal Year: 2003 Program/Country:
 Approp: AEEB
 Scenario:

FY 2003 Request															
S.O. #, Title	Starting Pipeline	Total	Agri-culture	Other Economic Growth	Children's Basic Education (*)	Other HCD	Population	Child Survival & Maternal Health (*)	Other Infectious Diseases (*)	HIV/AIDS (*)	Vulnerable Children (*)	Environ	D/G	Est. S.O. Expenditures	Est. S.O. Pipeline End of 2003
RSO 1:															
Bilateral		0						0							0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 2:															
Bilateral		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 3:															
Bilateral		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RSO 4:															
Bilateral		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SO 5:															
Bilateral		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SO 6:															
Bilateral		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SO 7:															
Bilateral		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SO 8:															
Bilateral		0													0
Field Spt		0													0
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Bilateral	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Field Support	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL PROGRAM	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

FY 2003 Request Agency Goal Totals	
Econ Growth	0
Democracy	0
HCD	0
PHN	0
Environment	0
GCC (from all Goals)	0

FY 2003 Account Distribution (DA only)	
DA Program Total	0
CSD Program Total	0
TOTAL	0

Prepare one set of tables for each Fiscal Year (FY2001, FY2002, FY2003)
 Prepare one set of tables for each appropriation Account
 Tables for DA and CSD may be combined on one table.
 For the DA/CSD Table, columns marked with (*) will be funded from the CSD Account.

Washington and Overseas Workforce Tables

Org: CRP End of year On-Board FY 2001 Estimate	SO 1	SO 2	SO 3	SO 4	SO 5	SpO1	SpO2	Total SO/SpO	Org. Mgmt.	Fin. Mgmt	Admin. Mgmt	Con- tract	Legal	All Other	Total Mgmt.	Total Staff
OE Funded: 1/																
U.S. Direct Hire	0.25	0.25	0.25	0.25				1							0	1
Other U.S. Citizens								0							0	0
FSN/TCN Direct Hire								0							0	0
Other FSN/TCN								0							0	0
Subtotal	0.25	0.25	0.25	0.25	0	0	0	1	0	0	0	0	0	0	0	1
Program Funded 1/																
U.S. Citizens	0.25	0.75						1					1		1	2
FSNs/TCNs								0			4			1.5	5.5	5.5
Subtotal	0.25	0.75	0	0	0	0	0	1	0	0	4	0	1	1.5	6.5	7.5
Total Direct Workforce	0.5	1	0.25	0.25	0	0	0	2	0	0	4	0	1	1.5	6.5	8.5
TAACS								0							0	0
Fellows								0							0	0
NEPs								0							0	0
Subtotal	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL WORKFORCE	0.5	1	0.25	0.25	0	0	0	2	0	0	4	0	1	1.5	6.5	8.5

1/ Excludes TAACS, Fellows, and NEPs

Washington and Overseas Workforce Tables

Org: CRP End of year On-Board FY 2002 Target	SO 1	SO 2	SO 3	SO 4	SO 5	SpO1	SpO2	Total SO/SpO	Org. Mgmt.	Fin. Mgmt	Admin. Mgmt	Con- tract	Legal	All Other	Total Mgmt.	Total Staff
OE Funded: 1/																
U.S. Direct Hire	0.25	0.25	0.25	0.25				1							0	1
Other U.S. Citizens								0							0	0
FSN/TCN Direct Hire								0							0	0
Other FSN/TCN								0							0	0
Subtotal	0.25	0.25	0.25	0.25	0	0	0	1	0	0	0	0	0	0	0	1
Program Funded 1/																
U.S. Citizens		1						1					1		1	2
FNS/TCNs								0		1	4			2.5	7.5	7.5
Subtotal	0	1	0	0	0	0	0	1	0	1	4	0	1	2.5	8.5	9.5
Total Direct Workforce	0.25	1.25	0.25	0.25	0	0	0	2	0	1	4	0	1	2.5	8.5	10.5
TAACS								0							0	0
Fellows								0							0	0
IDs								0							0	0
Subtotal	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL WORKFORCE	0.25	1.25	0.25	0.25	0	0	0	2	0	1	4	0	1	2.5	8.5	10.5

NOTES:

1) Program Funded FSNs/TCNs: Above figures reflect the addition of 1 Financial Support Advisor and 1 Project Management Specialist (Engineer).

Washington and Overseas Workforce Tables

Org: CRP End of year On-Board FY 2003 Target	SO 1	SO 2	SO 3	SO 4	SO 5	SpO1	SpO2	Total SO/SpO Staff	Org. Mgmt.	Fin. Mgmt	Admin. Mgmt	Con- tract	Legal	All Other	Total Mgmt.	Total Staff
OE Funded: 1/																
U.S. Direct Hire	0.25	0.25	0.25	0.25				1							0	1
Other U.S. Citizens								0							0	0
FSN/TCN Direct Hire								0							0	0
Other FSN/TCN								0							0	0
Subtotal	0.25	0.25	0.25	0.25	0	0	0	1	0	0	0	0	0	0	0	1
Program Funded 1/																
U.S. Citizens		1						1					1		1	2
FSNs/TCNs								0		1	4			2.5	7.5	7.5
Subtotal	0	1	0	0	0	0	0	1	0	1	4	0	1	2.5	8.5	9.5
Total Direct Workforce	0.25	1.25	0.25	0.25	0	0	0	2	0	1	4	0	1	2.5	8.5	10.5
TAACS								0							0	0
Fellows								0							0	0
IDIs								0							0	0
Subtotal	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL WORKFORCE	0.25	1.25	0.25	0.25	0	0	0	2	0	1	4	0	1	2.5	8.5	10.5

1/ Excludes TAACS, Fellows, and NEPs

Washington and Overseas Workforce Tables

Org CRP End of year On-Board FY 2003 Request	SO 1	SO 2	SO 3	SO 4	SO 5	SpO1	SpO2	Total SO/SpO Staff	Org. Mgmt.	Fin. Mgmt	Admin. Mgmt	Con- tract	Legal	All Other	Total Mgmt.	Total Staff
OE Funded: 1/																
U.S. Direct Hire	0.25	0.25	0.25	0.25				1							0	1
Other U.S. Citizens								0							0	0
FSN/TCN Direct Hire								0							0	0
Other FSN/TCN								0							0	0
Subtotal	0.25	0.25	0.25	0.25	0	0	0	1	0	0	0	0	0	0	0	1
Program Funded 1/																
U.S. Citizens		1						1					1		1	2
FSNs/TCNs								0	1	4				2.5	7.5	7.5
Subtotal	0	1	0	0	0	0	0	1	0	1	4	0	1	2.5	8.5	9.5
Total Direct Workforce	0.25	1.25	0.25	0.25	0	0	0	2	0	1	4	0	1	2.5	8.5	10.5
TAACS								0							0	0
Fellows								0							0	0
IDIs								0							0	0
Subtotal	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL WORKFORCE	0.25	1.25	0.25	0.25	0	0	0	2	0	1	4	0	1	2.5	8.5	10.5

1/ Excludes TAACS, Fellows, and NEPs

USDH Staffing Requirements by Backstop, FY 2001 - FY 2004

Mission: USAID/Caribbean Regional

please fill in mission name

Occupational Backstop (BS)	Number of USDH Employees in Backstop in:			
	FY 2001	FY 2002	FY 2003	FY 2004
Senior Management				
SMG - 01				
Program Management				
Program Mgt - 02				
Project Dvpm Officer - 94				
Support Management				
EXO - 03				
Controller - 04				
Legal - 85				
Commodity Mgt. - 92				
Contract Mgt. - 93				
Sector Management				
Agriculture - 10 & 14				
Economics - 11				
Democracy - 12				
Food for Peace - 15				
Private Enterprise - 21				
Engineering - 25				
Environment - 40 & 75				
Health/Pop. - 50				
Education - 60				
Total	0	0	0	0

GDOs: If you have a position that is currently designated a BS-12 GDO, list that position under the occupational backstop that most closely reflects the skills needed for the position.
RUDOs: do not forget to include those who were in UE-funded RUDO positions.
remaining IDIs: list under the occupational Backstop for the work they do.

Please e-mail this worksheet in Excel to: Maribeth Zankowski@HR.PPIM@aidw and to M. Cary Kauffman@HR.PPIM@aidw as well as include it with your R4 submission.

OPERATING EXPENSES

Org. Title: USAID/Caribbean Regional (See Bilateral R4 2003)

Org. No: _____

OC	FY 2001 Estimate			FY 2002 Target			FY 2003 Target			FY 2003 Request		
	Dollars	TF	Total	Dollars	TF	Total	Dollars	TF	Total	Dollars	TF	Total
11.1	Personnel compensation, full-time permanent											
11.1	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
			0.0			0.0			0.0			0.0
	Base Pay & pymt. for annual leave balances - FNDH											
	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
	Subtotal OC 11.1											
11.3	Personnel comp. - other than full-time permanent											
11.3	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
			0.0			0.0			0.0			0.0
	Base Pay & pymt. for annual leave balances - FNDH											
	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
	Subtotal OC 11.3											
11.5	Other personnel compensation											
11.5	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
			0.0			0.0			0.0			0.0
	USDH											
			0.0			0.0			0.0			0.0
	FNDH											
	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
	Subtotal OC 11.5											
11.8	Special personal services payments											
11.8	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
			0.0			0.0			0.0			0.0
	USPSC Salaries											
			0.0			0.0			0.0			0.0
	FN PSC Salaries											
			0.0			0.0			0.0			0.0
	IPA/Details-In/PASAs/RSSAs Salaries											
	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
	Subtotal OC 11.8											
12.1	Personnel benefits											
12.1	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
	USDH benefits											
	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
			0.0			0.0			0.0			0.0
	Educational Allowances											
			0.0			0.0			0.0			0.0
	Cost of Living Allowances											
			0.0			0.0			0.0			0.0
	Home Service Transfer Allowances											
			0.0			0.0			0.0			0.0
	Quarters Allowances											
			0.0			0.0			0.0			0.0
	Other Misc. USDH Benefits											
			0.0			0.0			0.0			0.0
	FNDH Benefits											
	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
	* Payments to FSN Voluntary Separation Fund - FNDH											
			0.0			0.0			0.0			0.0
	Other FNDH Benefits											
			0.0			0.0			0.0			0.0
	US PSC Benefits											
			0.0			0.0			0.0			0.0
	FN PSC Benefits											
	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
	* Payments to FSN Voluntary Separation Fund - FN PSC											
			0.0			0.0			0.0			0.0
	Other FN PSC Benefits											
			0.0			0.0			0.0			0.0
	IPA/Detail-In/PASA/RSSA Benefits											
			0.0			0.0			0.0			0.0
	Subtotal OC 12.1											
	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
13.0	Benefits for former personnel											
13.0	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
	FNDH											
	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
			0.0			0.0			0.0			0.0
	Severance Payments for FNDH											
			0.0			0.0			0.0			0.0
	Other Benefits for Former Personnel - FNDH											
			0.0			0.0			0.0			0.0

OPERATING EXPENSES

Org. Title: USAID/Caribbean Regional (See Bilateral R4 2003)

Org. No: _____

OC	FY 2001 Estimate			FY 2002 Target			FY 2003 Target			FY 2003 Request		
	Dollars	TF	Total	Dollars	TF	Total	Dollars	TF	Total	Dollars	TF	Total
13.0	FN PSCs											
13.0	Severance Payments for FN PSCs		0.0			0.0			0.0			0.0
13.0	Other Benefits for Former Personnel - FN PSCs		0.0			0.0			0.0			0.0
	Subtotal OC 13.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
21.0	Travel and transportation of persons											
21.0	Training Travel		0.0			0.0			0.0			0.0
21.0	Mandatory/Statutory Travel											
21.0	Post Assignment Travel - to field		0.0			0.0			0.0			0.0
21.0	Assignment to Washington Travel		0.0			0.0			0.0			0.0
21.0	Home Leave Travel		0.0			0.0			0.0			0.0
21.0	R & R Travel		0.0			0.0			0.0			0.0
21.0	Education Travel		0.0			0.0			0.0			0.0
21.0	Evacuation Travel		0.0			0.0			0.0			0.0
21.0	Retirement Travel		0.0			0.0			0.0			0.0
21.0	Pre-Employment Invitational Travel		0.0			0.0			0.0			0.0
21.0	Other Mandatory/Statutory Travel		0.0			0.0			0.0			0.0
21.0	Operational Travel											
21.0	Site Visits - Headquarters Personnel		0.0			0.0			0.0			0.0
21.0	Site Visits - Mission Personnel		0.0			0.0			0.0			0.0
21.0	Conferences/Seminars/Meetings/Retreats		0.0			0.0			0.0			0.0
21.0	Assessment Travel		0.0			0.0			0.0			0.0
21.0	Impact Evaluation Travel		0.0			0.0			0.0			0.0
21.0	Disaster Travel (to respond to specific disasters)		0.0			0.0			0.0			0.0
21.0	Recruitment Travel		0.0			0.0			0.0			0.0
21.0	Other Operational Travel		0.0			0.0			0.0			0.0
	Subtotal OC 21.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
22.0	Transportation of things											
22.0	Post assignment freight		0.0			0.0			0.0			0.0
22.0	Home Leave Freight		0.0			0.0			0.0			0.0
22.0	Retirement Freight		0.0			0.0			0.0			0.0
22.0	Transportation/Freight for Office Furniture/Equip.		0.0			0.0			0.0			0.0
22.0	Transportation/Freight for Res. Furniture/Equip.		0.0			0.0			0.0			0.0
	Subtotal OC 22.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
23.2	Rental payments to others											
23.2	Rental Payments to Others - Office Space		0.0			0.0			0.0			0.0
23.2	Rental Payments to Others - Warehouse Space		0.0			0.0			0.0			0.0
23.2	Rental Payments to Others - Residences		0.0			0.0			0.0			0.0

OPERATING EXPENSES

Org. Title: USAID/Caribbean Regional (See Bilateral R4 2003)

Org. No: _____

OC	FY 2001 Estimate			FY 2002 Target			FY 2003 Target			FY 2003 Request		
	Dollars	TF	Total									
Subtotal OC 23.2	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
23.3 Communications, utilities, and miscellaneous charges	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
23.3 Office Utilities			0.0			0.0			0.0			0.0
23.3 Residential Utilities			0.0			0.0			0.0			0.0
23.3 Telephone Costs			0.0			0.0			0.0			0.0
23.3 IT Software Leases			0.0			0.0			0.0			0.0
23.3 IT Hardware Lease			0.0			0.0			0.0			0.0
23.3 Commercial Time Sharing			0.0			0.0			0.0			0.0
23.3 Postal Fees (Other than APO Mail)			0.0			0.0			0.0			0.0
23.3 Other Mail Service Costs			0.0			0.0			0.0			0.0
23.3 Courier Services			0.0			0.0			0.0			0.0
Subtotal OC 23.3	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
24.0 Printing and Reproduction			0.0			0.0			0.0			0.0
Subtotal OC 24.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
25.1 Advisory and assistance services	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
25.1 Studies, Analyses, & Evaluations			0.0			0.0			0.0			0.0
25.1 Management & Professional Support Services			0.0			0.0			0.0			0.0
25.1 Engineering & Technical Services			0.0			0.0			0.0			0.0
Subtotal OC 25.1	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
25.2 Other services	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
25.2 Office Security Guards			0.0			0.0			0.0			0.0
25.2 Residential Security Guard Services			0.0			0.0			0.0			0.0
25.2 Official Residential Expenses			0.0			0.0			0.0			0.0
25.2 Representation Allowances			0.0			0.0			0.0			0.0
25.2 Non-Federal Audits			0.0			0.0			0.0			0.0
25.2 Grievances/Investigations			0.0			0.0			0.0			0.0
25.2 Insurance and Vehicle Registration Fees			0.0			0.0			0.0			0.0
25.2 Vehicle Rental			0.0			0.0			0.0			0.0
25.2 Manpower Contracts			0.0			0.0			0.0			0.0
25.2 Records Declassification & Other Records Services			0.0			0.0			0.0			0.0
25.2 Recruiting activities			0.0			0.0			0.0			0.0
25.2 Penalty Interest Payments			0.0			0.0			0.0			0.0
25.2 Other Miscellaneous Services			0.0			0.0			0.0			0.0
25.2 Staff training contracts			0.0			0.0			0.0			0.0
25.2 IT related contracts			0.0			0.0			0.0			0.0
Subtotal OC 25.2	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0

OPERATING EXPENSES

Org. Title: USAID/Caribbean Regional (See Bilateral R4 2003)

Org. No: _____

OC	FY 2001 Estimate			FY 2002 Target			FY 2003 Target			FY 2003 Request		
	Dollars	TF	Total	Dollars	TF	Total	Dollars	TF	Total	Dollars	TF	Total
25.3	Purchase of goods and services from Government accounts			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
25.3	ICASS		0.0			0.0			0.0			0.0
25.3	All Other Services from Other Gov't. accounts		0.0			0.0			0.0			0.0
	Subtotal OC 25.3		0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
25.4	Operation and maintenance of facilities			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
25.4	Office building Maintenance		0.0			0.0			0.0			0.0
25.4	Residential Building Maintenance		0.0			0.0			0.0			0.0
	Subtotal OC 25.4		0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
25.6	Medical Care			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
	Subtotal OC 25.6		0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
25.7	Operation/maintenance of equipment & storage of goods			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
25.7	IT and telephone operation and maintenance costs		0.0			0.0			0.0			0.0
25.7	Storage Services		0.0			0.0			0.0			0.0
25.7	Office Furniture/Equip. Repair and Maintenance		0.0			0.0			0.0			0.0
25.7	Vehicle Repair and Maintenance		0.0			0.0			0.0			0.0
25.7	Residential Furniture/Equip. Repair and Maintenance		0.0			0.0			0.0			0.0
	Subtotal OC 25.7		0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
25.8	Substance & spt. of persons (by contract or Gov't.)					0.0			0.0			0.0
	Subtotal OC 25.8		0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
26.0	Supplies and materials					0.0			0.0			0.0
	Subtotal OC 26.0		0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
31.0	Equipment			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
31.0	Purchase of Residential Furniture/Equip.		0.0			0.0			0.0			0.0
31.0	Purchase of Office Furniture/Equip.		0.0			0.0			0.0			0.0
31.0	Purchase of Vehicles		0.0			0.0			0.0			0.0
31.0	Armoring of Vehicles		0.0			0.0			0.0			0.0
31.0	Purchase of Printing/Graphics Equipment		0.0			0.0			0.0			0.0
31.0	IT Hardware purchases		0.0			0.0			0.0			0.0
31.0	IT Software purchases		0.0			0.0			0.0			0.0
	Subtotal OC 31.0		0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
32.0	Lands and structures			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		

Organization: USAID/Caribbean Regional (see Bilateral R4 2003)

Foreign National Voluntary Separation Account									
Action	FY 2001			FY 2002			FY 2003		
	OE	Program	Total	OE	Program	Total	OE	Program	Total
Deposits			0.0			0.0			0.0
Withdrawals			0.0			0.0			0.0

Local Currency Trust Funds - Regular				
	FY 2001 Estimate	FY 2002 Target	FY 2003 Target	FY 2003 Request
Balance Start of Year				
Obligations				
Deposits				
Balance End of Year	0.0	0.0	0.0	0.0

Exchange Rate _____

Local Currency Trust Funds - Real Property				
	FY 2001 Estimate	FY 2002 Target	FY 2003 Target	FY 2003 Request
Balance Start of Year				
Obligations				
Deposits				
Balance End of Year	0.0	0.0	0.0	0.0

Exchange Rate _____

Cost of Controller Operations

Org. Title: USAID/Caribbean Regional (see Bilateral R4 2003)

Org. No: _____

OC	FY 2001 Estimate			FY 2002 Target			FY 2003 Target			FY 2003 Request		
	Dollars	TF	Total	Dollars	TF	Total	Dollars	TF	Total	Dollars	TF	Total
11.1	Personnel compensation, full-time permanent			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
11.1	Base Pay & pymt. for annual leave balances - FNDH					0.0			0.0			0.0
	Subtotal OC 11.1			0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
11.3	Personnel comp. - other than full-time permanent			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
11.3	Base Pay & pymt. for annual leave balances - FNDH					0.0			0.0			0.0
	Subtotal OC 11.3			0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
11.5	Other personnel compensation			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
11.5	USDH					0.0			0.0			0.0
11.5	FNDH					0.0			0.0			0.0
	Subtotal OC 11.5			0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
11.8	Special personal services payments			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
11.8	USPSC Salaries					0.0			0.0			0.0
11.8	FN PSC Salaries					0.0			0.0			0.0
11.8	IPA/Details-In/PASAs/RSSAs Salaries					0.0			0.0			0.0
	Subtotal OC 11.8			0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
12.1	Personnel benefits			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
12.1	USDH benefits			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
12.1	Educational Allowances					0.0			0.0			0.0
12.1	Cost of Living Allowances					0.0			0.0			0.0
12.1	Home Service Transfer Allowances					0.0			0.0			0.0
12.1	Quarters Allowances					0.0			0.0			0.0
12.1	Other Misc. USDH Benefits					0.0			0.0			0.0
12.1	FNDH Benefits			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
12.1	* Payments to FSN Voluntary Separation Fund - FNDH					0.0			0.0			0.0
12.1	Other FNDH Benefits					0.0			0.0			0.0
12.1	US PSC Benefits					0.0			0.0			0.0
12.1	FN PSC Benefits			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
12.1	* Payments to FSN Voluntary Separation Fund - FN PSC					0.0			0.0			0.0
12.1	Other FN PSC Benefits					0.0			0.0			0.0
12.1	IPA/Detail-In/PASA/RSSA Benefits					0.0			0.0			0.0
	Subtotal OC 12.1			0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
13.0	Benefits for former personnel			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
13.0	FNDH			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
13.0	Severance Payments for FNDH					0.0			0.0			0.0
13.0	Other Benefits for Former Personnel - FNDH					0.0			0.0			0.0

Cost of Controller Operations

Org. Title: USAID/Caribbean Regional (see Bilateral R4 2003)

Org. No: _____

OC	FY 2001 Estimate			FY 2002 Target			FY 2003 Target			FY 2003 Request		
	Dollars	TF	Total	Dollars	TF	Total	Dollars	TF	Total	Dollars	TF	Total
13.0	FN PSCs			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
13.0	Severance Payments for FN PSCs		0.0			0.0			0.0			0.0
13.0	Other Benefits for Former Personnel - FN PSCs		0.0			0.0			0.0			0.0
	Subtotal OC 13.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
21.0	Travel and transportation of persons			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
21.0	Training Travel		0.0			0.0			0.0			0.0
21.0	Mandatory/Statutory Travel			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
21.0	Post Assignment Travel - to field		0.0			0.0			0.0			0.0
21.0	Assignment to Washington Travel		0.0			0.0			0.0			0.0
21.0	Home Leave Travel		0.0			0.0			0.0			0.0
21.0	R & R Travel		0.0			0.0			0.0			0.0
21.0	Education Travel		0.0			0.0			0.0			0.0
21.0	Evacuation Travel		0.0			0.0			0.0			0.0
21.0	Retirement Travel		0.0			0.0			0.0			0.0
21.0	Pre-Employment Invitational Travel		0.0			0.0			0.0			0.0
21.0	Other Mandatory/Statutory Travel		0.0			0.0			0.0			0.0
21.0	Operational Travel			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
21.0	Site Visits - Headquarters Personnel		0.0			0.0			0.0			0.0
21.0	Site Visits - Mission Personnel		0.0			0.0			0.0			0.0
21.0	Conferences/Seminars/Meetings/Retreats		0.0			0.0			0.0			0.0
21.0	Assessment Travel		0.0			0.0			0.0			0.0
21.0	Impact Evaluation Travel		0.0			0.0			0.0			0.0
21.0	Disaster Travel (to respond to specific disasters)		0.0			0.0			0.0			0.0
21.0	Recruitment Travel		0.0			0.0			0.0			0.0
21.0	Other Operational Travel		0.0			0.0			0.0			0.0
	Subtotal OC 21.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
22.0	Transportation of things			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
22.0	Post assignment freight		0.0			0.0			0.0			0.0
22.0	Home Leave Freight		0.0			0.0			0.0			0.0
22.0	Retirement Freight		0.0			0.0			0.0			0.0
22.0	Transportation/Freight for Office Furniture/Equip.		0.0			0.0			0.0			0.0
22.0	Transportation/Freight for Res. Furniture/Equip.		0.0			0.0			0.0			0.0
	Subtotal OC 22.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
23.2	Rental payments to others			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
23.2	Rental Payments to Others - Office Space		0.0			0.0			0.0			0.0
23.2	Rental Payments to Others - Warehouse Space		0.0			0.0			0.0			0.0
23.2	Rental Payments to Others - Residences		0.0			0.0			0.0			0.0

Cost of Controller Operations

Org. Title: USAID/Caribbean Regional (see Bilateral R4 2003)

Org. No: _____

OC	FY 2001 Estimate			FY 2002 Target			FY 2003 Target			FY 2003 Request		
	Dollars	TF	Total									
Subtotal OC 23.2	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
23.3 Communications, utilities, and miscellaneous charges	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
23.3 Office Utilities			0.0			0.0			0.0			0.0
23.3 Residential Utilities			0.0			0.0			0.0			0.0
23.3 Telephone Costs			0.0			0.0			0.0			0.0
23.3 IT Software Leases			0.0			0.0			0.0			0.0
23.3 IT Hardware Lease			0.0			0.0			0.0			0.0
23.3 Commercial Time Sharing			0.0			0.0			0.0			0.0
23.3 Postal Fees (Other than APO Mail)			0.0			0.0			0.0			0.0
23.3 Other Mail Service Costs			0.0			0.0			0.0			0.0
23.3 Courier Services			0.0			0.0			0.0			0.0
Subtotal OC 23.3	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
24.0 Printing and Reproduction			0.0			0.0			0.0			0.0
Subtotal OC 24.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
25.1 Advisory and assistance services	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
25.1 Studies, Analyses, & Evaluations			0.0			0.0			0.0			0.0
25.1 Management & Professional Support Services			0.0			0.0			0.0			0.0
25.1 Engineering & Technical Services			0.0			0.0			0.0			0.0
Subtotal OC 25.1	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
25.2 Other services	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
25.2 Office Security Guards			0.0			0.0			0.0			0.0
25.2 Residential Security Guard Services			0.0			0.0			0.0			0.0
25.2 Official Residential Expenses			0.0			0.0			0.0			0.0
25.2 Representation Allowances			0.0			0.0			0.0			0.0
25.2 Non-Federal Audits			0.0			0.0			0.0			0.0
25.2 Grievances/Investigations			0.0			0.0			0.0			0.0
25.2 Insurance and Vehicle Registration Fees			0.0			0.0			0.0			0.0
25.2 Vehicle Rental			0.0			0.0			0.0			0.0
25.2 Manpower Contracts			0.0			0.0			0.0			0.0
25.2 Records Declassification & Other Records Services			0.0			0.0			0.0			0.0
25.2 Recruiting activities			0.0			0.0			0.0			0.0
25.2 Penalty Interest Payments			0.0			0.0			0.0			0.0
25.2 Other Miscellaneous Services			0.0			0.0			0.0			0.0
25.2 Staff training contracts			0.0			0.0			0.0			0.0
25.2 IT related contracts			0.0			0.0			0.0			0.0
Subtotal OC 25.2	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0

Cost of Controller Operations

Org. Title: USAID/Caribbean Regional (see Bilateral R4 2003)

Org. No: _____

OC	FY 2001 Estimate			FY 2002 Target			FY 2003 Target			FY 2003 Request		
	Dollars	TF	Total	Dollars	TF	Total	Dollars	TF	Total	Dollars	TF	Total
25.3	Purchase of goods and services from Government accounts			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
25.3	ICASS		0.0			0.0			0.0			0.0
25.3	All Other Services from Other Gov't. accounts		0.0			0.0			0.0			0.0
	Subtotal OC 25.3		0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
25.4	Operation and maintenance of facilities			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
25.4	Office building Maintenance		0.0			0.0			0.0			0.0
25.4	Residential Building Maintenance		0.0			0.0			0.0			0.0
	Subtotal OC 25.4		0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
25.6	Medical Care			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
	Subtotal OC 25.6		0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
25.7	Operation/maintenance of equipment & storage of goods			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
25.7	IT and telephone operation and maintenance costs		0.0			0.0			0.0			0.0
25.7	Storage Services		0.0			0.0			0.0			0.0
25.7	Office Furniture/Equip. Repair and Maintenance		0.0			0.0			0.0			0.0
25.7	Vehicle Repair and Maintenance		0.0			0.0			0.0			0.0
25.7	Residential Furniture/Equip. Repair and Maintenance		0.0			0.0			0.0			0.0
	Subtotal OC 25.7		0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
25.8	Subsistence & spt. of persons (by contract or Gov't.)					0.0			0.0			0.0
	Subtotal OC 25.8		0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
26.0	Supplies and materials					0.0			0.0			0.0
	Subtotal OC 26.0		0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
31.0	Equipment			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
31.0	Purchase of Residential Furniture/Equip.		0.0			0.0			0.0			0.0
31.0	Purchase of Office Furniture/Equip.		0.0			0.0			0.0			0.0
31.0	Purchase of Vehicles		0.0			0.0			0.0			0.0
31.0	Armoring of Vehicles		0.0			0.0			0.0			0.0
31.0	Purchase of Printing/Graphics Equipment		0.0			0.0			0.0			0.0
31.0	IT Hardware purchases		0.0			0.0			0.0			0.0
31.0	IT Software purchases		0.0			0.0			0.0			0.0
	Subtotal OC 31.0		0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
32.0	Lands and structures			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		

Accessing Global Bureau Services Through Field Support and Buy-Ins

Objective Name	Field Support and Buy-Ins: Activity Title & Number	Priority *	Duration	Estimated Funding (\$000)			
				FY 2001 Obligated by:		FY 2002 Obligated by:	
				Operating Unit	Global Bureau	Operating Unit	Global Bureau
Improved Environmental Management by Public and Private Entities	ENV-SSO3 P.A. Consulting Group	High	12 months	101	0		
Enhanced Caribbean Response to the HIV/AIDS Crisis in Target Countries	936-6004.09 Health and Child Survival Fellows Program II	High	24 months	0	70	0	75
Enhanced Caribbean Response to the HIV/AIDS Crisis in Target Countries	936-1421 Peace Corps	Medium-High	12 months	0	40	0	40
Enhanced Caribbean Response to the HIV/AIDS Crisis in Target Countries	936-3090.02 Family Health International	Very High	12 months	0	870	0	1,200
GRAND TOTAL.....				101	980	0	1,315

* For Priorities use high, medium-high, medium, medium-low, low

Accessing Global Bureau Services Through Field Support and Buy-Ins

Objective Name	Field Support and Buy-Ins: Activity Title & Number	Priority *	Duration	Estimated Funding (\$000)			
				FY 2002 Obligated by:		FY 2003 Obligated by:	
				Operating Unit	Global Bureau	Operating Unit	Global Bureau
Improved Environmental Management by Public and Private Entities	ENV-SSO3 P.A. Consulting Group	High	12 months				
Enhanced Caribbean Response to the HIV/AIDS Crisis in Target Countries	936-6004.09 Health and Child Survival Fellows Program II	High	24 months	0	75	0	75
Enhanced Caribbean Response to the HIV/AIDS Crisis in Target Countries	936-1421 Peace Corps	Medium-High	12 months	0	40	0	40
Enhanced Caribbean Response to the HIV/AIDS Crisis in Target Countries	936-3090.02 Family Health International	Very High	12 months	0	1,200	0	1,200
GRAND TOTAL.....				0	1,315	0	1,315

* For Priorities use high, medium-high, medium, medium-low, low

USAID Costs as ICASS Service Provider

Org. Title: USAID/Caribbean Regional-(Not an ICASS provider)		FY 2001 Estimate			FY 2002 Target			FY 2003 Target			FY 2003 Request		
Org. No:	OC	Dollars	TF	Total									
11.1	Personnel compensation, full-time permanent	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
11.1	Base Pay & pymt. for annual leave balances - FNDH			0.0			0.0			0.0			0.0
	Subtotal OC 11.1	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
11.3	Personnel comp. - other than full-time permanent	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
11.3	Base Pay & pymt. for annual leave balances - FNDH			0.0			0.0			0.0			0.0
	Subtotal OC 11.3	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
11.5	Other personnel compensation	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
11.5	USDH			0.0			0.0			0.0			0.0
11.5	FNDH			0.0			0.0			0.0			0.0
	Subtotal OC 11.5	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
11.8	Special personal services payments	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
11.8	USPSC Salaries			0.0			0.0			0.0			0.0
11.8	FN PSC Salaries			0.0			0.0			0.0			0.0
11.8	IPA/Details-In/PASAs/RSSAs Salaries			0.0			0.0			0.0			0.0
	Subtotal OC 11.8	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
12.1	Personnel benefits	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
12.1	USDH benefits	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
12.1	Educational Allowances			0.0			0.0			0.0			0.0
12.1	Cost of Living Allowances			0.0			0.0			0.0			0.0
12.1	Home Service Transfer Allowances			0.0			0.0			0.0			0.0
12.1	Quarters Allowances			0.0			0.0			0.0			0.0
12.1	Other Misc. USDH Benefits			0.0			0.0			0.0			0.0
12.1	FNDH Benefits	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
12.1	Payments to FSN Voluntary Separation Fund - FNDH			0.0			0.0			0.0			0.0
12.1	Other FNDH Benefits			0.0			0.0			0.0			0.0
12.1	US PSC Benefits			0.0			0.0			0.0			0.0
12.1	FN PSC Benefits	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
12.1	Payments to FSN Voluntary Separation Fund - FN PSC			0.0			0.0			0.0			0.0
12.1	Other FN PSC Benefits			0.0			0.0			0.0			0.0
12.1	IPA/Detail-In/PASA/RSSA Benefits			0.0			0.0			0.0			0.0
	Subtotal OC 12.1	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
13.0	Benefits for former personnel	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
13.0	FNDH	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
13.0	Severance Payments for FNDH			0.0			0.0			0.0			0.0
13.0	Other Benefits for Former Personnel - FNDH			0.0			0.0			0.0			0.0
13.0	FN PSCs	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
13.0	Severance Payments for FN PSCs			0.0			0.0			0.0			0.0
13.0	Other Benefits for Former Personnel - FN PSCs			0.0			0.0			0.0			0.0
	Subtotal OC 13.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
21.0	Travel and transportation of persons	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
21.0	Training Travel			0.0			0.0			0.0			0.0
21.0	Mandatory/Statutory Travel	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
21.0	Post Assignment Travel - to field			0.0			0.0			0.0			0.0
21.0	Assignment to Washington Travel			0.0			0.0			0.0			0.0
21.0	Home Leave Travel			0.0			0.0			0.0			0.0
21.0	R & R Travel			0.0			0.0			0.0			0.0
21.0	Education Travel			0.0			0.0			0.0			0.0
21.0	Evacuation Travel			0.0			0.0			0.0			0.0
21.0	Retirement Travel			0.0			0.0			0.0			0.0
21.0	Pre-Employment Invitational Travel			0.0			0.0			0.0			0.0

**Washington Offices/Bureaus
Operating Expenses**

Office/Bureau: <input type="text"/>		FY 2001	FY 2002	FY 2003	FY 2003
OC	Object Class Code Title	Estimate	Target	Target	Request
11.8	Special personal services payments				
	U.S. PSCs				
	IPA/Details-In/PASAs/RSSAs Salaries				
	Subtotal OC 11.8	0.0	0.0	0.0	0.0
12.1	Personnel Benefits				
	U.S. PSCs - Benefits				
	IPA/Details-In/PASAs/RSSAs Benefits				
	Subtotal OC 12.1	0.0	0.0	0.0	0.0
21.0	Travel and transportation of persons				
	Training Travel				
	Operational Travel				
	Site Visits - Headquarters Personnel				
	Site Visits - Mission Personnel				
	Conferences/Seminars/Meetings/Retreats				
	Assessment Travel				
	Impact Evaluation Travel				
	Disaster Travel (to respond to specific disasters)				
	Recruitment Travel				
	Other Operational Travel				
	Subtotal OC 21.0	0.0	0.0	0.0	0.0
23.3	Communications, Utilities, and Miscellaneous Charges				
	Commercial Time Sharing				
	Other Communications, Util, and Misc. Charges				
	Subtotal OC 23.3	0.0	0.0	0.0	0.0
24.0	Printing & Reproduction				
	Subscriptions & Publications				
	Other Printing and Reproduction				
	Subtotal OC 24.0	0.0	0.0	0.0	0.0
25.1	Advisory and assistance services				
	Studies, Analyses, & Evaluations				
	Management & Professional Support Services				
	Engineering & Technical Services				
	Subtotal OC 25.1	0.0	0.0	0.0	0.0
25.2	Other services				
	Non-Federal Audits				
	Grievances/Investigations				
	Manpower Contracts				
	Staff training contracts				
	Other Miscellaneous Services				
	Subtotal OC 25.2	0.0	0.0	0.0	0.0
25.3	Purchase of goods and services from Government accounts				
	DCAA Audits				
	HHS Audits				
	All Other Federal Audits				
	Reimbursements to Other USAID Accounts				
	All Other Services from other Gov't. Agencies				
	Subtotal OC 25.3	0.0	0.0	0.0	0.0
25.7	Operation & Maintenance of Equipment & Storage				
25.8	Subsistence and support of persons (contract or Gov't.)				
26.0	Supplies and Materials				
31.0	Equipment				
	IT Software Purchases				
	IT Hardware Purchases				
	Other Equipment Purchases				
	Subtotal OC 31.0	0.0	0.0	0.0	0.0
Subtotal		0.0	0.0	0.0	0.0
Additional Object Class Codes (If Required)					
Total Other Object Class Codes		0.0	0.0	0.0	0.0
Total Budget		0.0	0.0	0.0	0.0

Information Annex Topic: Environmental Impact

**STATEMENT OF COMPLIANCE WITH IEEs
AND EAs FOR MISSION STRATEGIC
OBJECTIVES AND RELATED ACTIVITIES**

SO TEAM	COMPLIANCE STATUS FOR SO ACTIVITIES
SpO - Hurricane Georges Reconstruction and Recovery Project in the Eastern Caribbean	<ul style="list-style-type: none">• An environmental checklist is now under review for activities under the Hurricane Georges Special Objective.
SpO - Hurricane Lenny Recovery in the Eastern Caribbean	<ul style="list-style-type: none">• An environmental checklist is now under review for activities under the Hurricane Lenny Special Objective.
SO 4 - Enhance Caribbean response to HIV/AIDS crisis in target countries	<ul style="list-style-type: none">• The IEE for the Regional HIV program was recently approved.

Information Annex Topic: E&E R4 Detailed Budget Information

Information Annex Topic: Global Climate Change

FY00 Climate Change Reporting Guidance - Data Tables

Please fill in the YELLOW cells to complete the table.

Table 1.0 - Background Information

	Country, Region, Office, or Program Reporting: (Type in the exact spelling of the appropriate entry from table below)	
	Telephone number:	
Name of person(s) & IR Teams completing tables:	Name #1:	
	SO Team Name and number1	
	Name #2:	
	SO Team Name and number2	
	Name #3:	
Contact	Address (1):	
	Address (2):	
	Street:	
	City, Address Codes:	
	Telephone number:	
	Fax number:	
	Email address:	
	Other relevant information:	

Country / Region / Office / Program Reference Table

AFR/SD – CARPE	G/ENV/UP	Mozambique
AFR/SD – FEWS	G/ENV/ENR	Nepal
Albania	G/ENV/GCC	Nicaragua
Armenia	G/ENV/UP	NIS Regional
Bangladesh	Georgia	Panama
Bolivia	Guatemala	Paraguay
Brazil	Guinea	Peru
Bulgaria	Honduras	Philippines
CEE Regional	India	Poland
Central America (G-CAP)	Indonesia	RCSA
Central Asia Republics	LAC/RSD	Romania
East Asia Environmental Initiative	Lithuania	Russia
Ecuador	Macedonia	South Africa
EGAD	Madagascar	South Asia Regional Initiative
Egypt	Malawi	Uganda
G/ENV/EET	Mali	Ukraine
G/ENV/ENR	Mexico	US-AEP
G/ENV/GCC	Moldova	

Please fill in the YELLOW cells to complete the table.

TABLE 1.1

Result 1: Increased Participation in the UNFCCC

Indicator 1: Policy Development Supporting the Framework Convention on Climate Change

PLEASE SEE DEFINITIONS BELOW

Policy Measure	STEP 1: Policy Preparation and Presentation	STEP 2: Policy Adoption	STEP 3: Implementation and Enforcement	List Activities Contributing to Each Policy Category	SO Number for Activity	CN/TN Number for Activity
Ex: Integration of climate change into national strategic, energy, and sustainable development strategies	1	1		Gov't-established interagency group has completed all necessary analysis and preparation to develop NEAP. The government has also signed Annex b of the FCCC.	3.2	CN-23-222
Integration of climate change into national strategic, energy, and sustainable development strategies						
Emissions inventory						
Mitigation analysis						
Vulnerability and adaptation analysis						
National Climate Change Action Plan						
Procedures for receiving, evaluating, and approving Activities Implemented Jointly (AIJ) proposals						
Procedures for monitoring and verifying greenhouse gas emissions						
Growth baselines for pegging greenhouse gas emissions to economic growth						
Legally binding emission reduction targets and timetables						
Other (describe)						
Other						
Other						
Other						
Other						
Sub-total (number of policy steps achieved):	0	0	0			
	TOTAL (number of policy steps achieved):					
			0			

Definitions: Policy Steps Achieved	
Policy Measure	"Policy measures" may include documentation demonstrating a legal, regulatory, or other governmental commitment to a defined course of action. Thus, for example, "policy measures" would include: a national, state, provincial, or local law; a regulation or decree; guidance issued by an agency, ministry, or sub-national body; a land use plan; a National Environmental Action Plan; a Climate Change Action Plan; or a National Communication to the IPCC. The term "policy measures" does not include technical documentation, such as technical reports or land use maps, nor site-specific activities reported under Indicators 1 and 2 (e.g., legal demarcation of individual site or granting of community access to single location).
Policy Preparation and Presentation (Step 1)	Draft bill, policy or regulation, vetted through relevant stakeholders in government, non-government, the private sector and civil society, and introduced for debate in appropriate legislative, regulatory, or governmental body.
Policy Adoption (Step 2)	Policy intervention is approved and adopted by the appropriate administrative agency or legislative body. Can take the form of the voting on a law; the issuance of a decree, etc.
Policy Implementation and Enforcement (Step 3)	Actions that put the policy interventions into effect, such as agency personnel trained in procedures, appropriate institutions created or strengthened, or legislation implemented through the appropriate government agency.
Definitions: Types of Activities	
Adaptation	Adjustments in practices, processes or structures of systems to projected or actual changes of climate (may be spontaneous or planned).
Emissions inventory	Detailed listing of GHG sources and sinks.
Growth Baselines	An approach that would link countries' emissions targets to improvements in energy efficiency.
Joint Implementation (JI)	The process by which industrialized countries can meet a portion of their emissions reduction obligations by receiving credits for investing in GHG reductions in developing countries.
Mitigation	An action that prevents or slows the increase of greenhouse gases (GHGs) by reducing emissions from sources and sinks.
National Climate Change Action Plan	Plans that delineate specific mitigation and adaptation measures that countries will implement and integrate into their ongoing programs. These plans form the basis for the national communications that countries submit to the UNFCCC Secretariat.

Please fill in the YELLOW cells to complete the table.

TABLE 1.2					
Result 1: Increased Participation in the UNFCCC					
Indicator 2: Increased capacity to meet requirements of the UNFCCC					
	Types of Support Provided (Enter the number of Training/TA activities for each category)				
Categories	Training	Technical Assistance	List the Activities that Contribute to Each Capacity Building Category	SO Number for Activity	CN/TN Number for Activity
Ex: Support for joint implementation activities	1	3	Provided training and assistance in the economic and financial evaluation of energy efficient projects for consideration in JI activities.	2.4	CN-23-222
Monitoring and verifying GHG emissions					
Growth baselines for pegging GHG emissions to economic growth					
Development of emissions reduction targets and timetables					
Support for joint implementation activities					
Support for Vulnerability and Adaptaion Activities					
Other (describe)					
Other					
Total number of points for Training/Technical Assistance:	0	0			

Codes for Land Use and Forestry Sector									
Principal Activities:		Predominant Vegetation Type:				Predominant Managed Land Type:		Codes for Additional Information:	
1	Conservation of natural ecosystems (may include protected area management, extraction of non-timber products, etc. but <i>not</i> timber harvesting.)	A	Tropical evergreen forest	H	Tropical grassland and pasture	1	Agricultural systems: Less than 15% of the area under trees	1	Maps
2	Sustainable forest management for timber using reduced-impact harvesting (non-timber forest products may also be harvested)	B	Tropical seasonal forest	I	Temperate grassland and pasture	2	Agroforestry systems: Greater than 15% of the area under trees	2	Geo-referenced site coordinates
3	Afforestation/reforestation/plantation forests	C	Temperate evergreen forest	J	Tundra and alpine meadow	3	Plantation Forests: At least 80% of the area under planted trees	3	Biomass inventory
4	Agroforestry	D	Temperate deciduous forest	K	Desert scrub	4	Protected areas	4	Rainfall data
5	Sustainable agriculture	E	Boreal forest	L	Swamp and marsh			5	Soil type data
		F	Temperate woodland	M	Coastal mangrove				
		G	Tropical open forest / woodland	N	Wetlands				

Definitions: Natural Ecosystems	
Natural Ecosystems	Any areas that have not experienced serious degradation or exploitation of biomass, and without significant harvest of biomass. This includes protected areas, areas used for the extraction of non-timber forest products, and community-managed forests with minimal timber extraction. Areas where non-timber forest products are harvested can be counted in this category but not those that are managed for timber. The latter are included in 2b below. The distinction is important as different approaches are employed in estimating carbon for "natural areas" (2a) and "managed areas" (2b). Natural areas include: (1) protected areas; (2) areas where non-timber forest products are extracted if significant biomass is not removed (often managed as community-based forest management areas); and (3) any other areas which exclude larger-scale biomass harvest from a management regime including many areas managed by communities and/or indigenous groups.
Definitions: Managed Lands Categories	
Sustainable Forest Management for Timber using Reduced Impact Harvesting (RIH)	A timber management activity will be considered to have a positive impact on carbon (relative to conventional methods) if it employs RIH practices and/or other key criteria. RIH is a package of practices proven to minimize environmental damage and carbon emissions during the logging of natural tropical forest. To be included, an activity must include most of the following practices: <ul style="list-style-type: none"> - tree inventorying, marking and mapping; - careful planning and marking of skidder trails; - vine cutting prior to harvest, where appropriate; - directional felling of trees; - appropriate skidding techniques that employ winching and best available equipment (rubber tired skidder/animal traction) to minimize soil - proper road and log deck construction; - a trained work force and implementation of proper safety practices; - fire mitigation techniques (fire breaks); - existence of a long-term management plan. Report on the area where government, industry or community organizations are carrying out forest management for commercial timber using the techniques above, or forest management areas that have been "certified" as environmentally sound by a recognized independent party. Only the area where sound planning and harvesting is being currently practiced should be included (not the whole concession or forest).
Agroforestry	Agroforestry covers a wide variety of land-use systems combining tree, crop and/or animals on the same land. Two characteristics distinguish agroforestry from other land uses: 1) it involves the deliberate growing of woody perennial on the same unit of land as agricultural crops and/or animals either spatially or sequentially, and 2) there is significant interaction between woody and non-woody components, either ecological or economical. To be counted, at least 15 percent of the system must be trees or woody perennials grown for a specific function (shade, fuel, fodder, windbreak). -- Include the area of land under an agroforestry system in which a positive carbon benefit is apparent (i.e., through the increase in biomass, litter or soil organic matter). Do not include agroforestry systems being established on forestlands that were deforested since 1990.
Reforestation/ Afforestation	The act of planting trees on deforested or degraded land previously under forest (reforestation) or on land that has not previously been under forest according to historical records (afforestation). This would include reforestation on slopes for watershed protection; mangrove reforestation or reforestation to protect coastal areas; commercial plantations and community tree planting on a significant scale, and/or the introduction of trees in non-forested areas for ecological or economic purposes. -- Include the area under reforestation or afforestation (i.e., plantation forests and/or community woodlots). Do not include natural forested areas that have been recently deforested for the purpose of planting trees. Do not include tree planting in agroforestry systems (include this under agroforestry).
Sustainable Agriculture	Agricultural systems that increase or maintain carbon in their soil and biomass through time by employing certain proven cultural practices known <ul style="list-style-type: none"> - no-tillage or reduced tillage - erosion control/soil conservation techniques, especially on hillsides - perennial crops in the system - higher crop yields through better nitrogen and soil management - long-term rotations with legumes - the use of organic mulches, crop residues and other organic inputs into the soil - better management of agrochemicals, by stressing careful fertilizer management that will increase yields while minimizing the use of petro-based
Special Instructions: Creating a Copy of this Spreadsheet	
Step 1	Finish filling any cells you are working on and hit " Return " or " Enter ".
Step 2	Click on " Edit " in the menu bar, above. Go down and click on " Move or Copy Sheet! ". The "Move or Copy" dialog box will open. (NOTE: You may also open this dialog box by using the right button on your mouse to click on the "T4-2.1 Land Use" tab near the bottom of the screen.)
Step 3	Next, scroll down in the dialog box and click on " T2.1 Land Use! ".
Step 4	Next, click on the box at bottom to Create a copy .
Step 5	Hit " OK ". A new copy of T2.1 Land Use will appear in the row of tabs near the bottom of the screen. PLEASE NOTE: Some cells may not retain all the original text when the sheet is copied, especially in the definitions sections.

Please fill in the YELLOW cells to complete the table.

TABLE 2.3							
Result 2: Reduced Net Greenhouse Gas Emissions from the Land Use/Forest Management Sector							
Indicator 3: National/sub-national policy advances in the land use/forestry sector that contribute to the preservation or increase of carbon stocks and sinks, and to the avoidance of greenhouse gas emissions							
PLEASE SEE DEFINITIONS BELOW		Enter the number of separate steps for each measure					
Policy Measure	Scope (N or S)	STEP 1: Policy Preparation and Presentation	STEP 2: Policy Adoption	STEP 3: Implementation and Enforcement	List Activities Contributing to Each Policy Category	SO Number for Activity	CN/TN Number for Activity
Ex: Facilitates establishment and conservation of protected areas	N	2	1		Two studies completed on national protected areas law for the Environment Min., including recommendations for legal reform; revised National Protected Areas Law adopted, Min. Decree No. 1999/304.	3.1	TN-556-27
Facilitates improved land use planning							
Facilitates sustainable forest management							
Facilitates establishment and conservation of protected areas							
Improves integrated coastal management							
Decreases agricultural subsidies or other perverse fiscal incentives that hinder sustainable forest management							
Corrects protective trade policies that devalue forest resources							
Clarifies and improves land and resource tenure							
Other (describe)							
Other							
Other							
Other							
Other							
Sub-total(number of policy steps achieved)		0	0	0			
Total (number of policy steps achieved):				0			

Definitions: Scope	
National Policies (N)	Policies that influence issues on a countrywide level.
Sub-national Policies (S)	Policies that affect a tribal nation, province, state or region that are neither national nor site specific in impact.
Definitions: Policy Steps Achieved	
Policy Measure	"Policy measures" may include documentation demonstrating a legal, regulatory, or other governmental commitment to a defined course of action. Thus, for example, "policy measures" would include: a national, state, provincial, or local law; a regulation or
Policy Preparation and Presentation (Step 1)	Draft bill, policy or regulation, vetted through relevant stakeholders in government, non-government, the private sector and civil society, and introduced for debate in appropriate legislative, regulatory, or governmental body.
Policy Adoption (Step 2)	Policy intervention is approved and adopted by the appropriate administrative agency or legislative body. Can take the form of the voting on a law; the issuance of a decree, etc.
Policy Implementation and Enforcement (Step 3)	Actions that put the policy interventions into effect, such as agency personnel trained in procedures, appropriate institutions created or strengthened, or legislation implemented through the appropriate government agency.

Please fill in the YELLOW cells to complete the table.

TABLE 2.4

Result 2: Reduced Net Greenhouse Gas Emissions from the Land Use/Forest Management Sector
Indicator 4: Value of Public and Private Investment Leveraged by USAID for Activities that Contribute to the Preservation or Increase of Carbon Stocks and Reduction of Greenhouse Gas Emissions

PLEASE SEE DEFINITIONS BELOW

Activity	Source of Leveraged Funds	Methodology for determining amount of funding	Direct Leveraged Funds	Indirect Leveraged Funds	SO Number for Activity	CN/TN Number for Activity
National Nature Conservation Fund	National Government	Figure reflects direct, in-kind contribution of national government.	\$572,800		3.3	TN-556-27
Big Forest Climate Change Action Project	The Nature Conservancy and the Friends of Nature Foundation	NGOs initiated independent activity with separate funding, building on earlier USAID conservation project.		\$1,700,000	3.3	CN-23-222
Total:			\$0	\$0		

Definitions: Funding Leveraged	
Direct Leveraged Funding	Funding leveraged directly in support of current USAID activities and programs, including: - funding leveraged from partners for joint USAID activities; - funding for activities in which USAID developed enabling policies, regulations, or provided pre-investment support (prorated); - obligated or committed funding for direct follow-on MDB loan programs (prorated); - obligated or committed funding for direct follow-on private-sector funded programs that reach financial closure (prorated); - joint implementation investments; - Development Credit Authority investments.
Indirect Leveraged Funding	Funding dedicated by other donors or governments to replicate programs that USAID initiated, but which USAID does not or will not itself fund.

Please fill in the **YELLOW** cells to complete the table.

TABLE 2.5a				
Result 2: Reduced Net Greenhouse Gas Emissions from the Land Use/Forest Management Sector				
Indicator 5a: Increased Capacity to Address Global Climate Change Issues				
Types of institutions strengthened to address GCC issues	Number of Institutions Strengthened	Names of Associations, NGOs, or other Institutions Strengthened	SO Number for Activity	CN/TN Number for Activity
Ex: NGOs	3	Friends of Nature Foundation, SITA, Sustainable Forests Unlimited	3.2	CN-23-222
NGOs				
Private Institutions				
Research / Educational Institutions				
Public Institutions				
Total Number of Institutions Strengthened:	0			

Please fill in the YELLOW cells to complete the table.

Table 2.5b					
Result 2: Reduced Net Greenhouse Gas Emissions from the Land Use/Forest Management Sector					
Indicator 5b: Technical Capacity Strengthened through Workshops, Research, and/or Training Activities					
Category	Types of Support Provided (Enter the number of Training/TA activities for each category)		List the Activities that Contribute to Each Capacity Building Category	SO Number for Activity	CN/TN Number for Activity
	Training	Technical Assistance			
Ex: Advancing sustainable forest management	1	3	Presentation of nursery & reforestation studies; US training on resource mgmt; env'l impact assessment law training; forest restoration & recovery workshop. TA for fire prevention.	3.3	CN-23-222
Advancing improved land use planning					
Advancing sustainable forest management					
Advancing establishment and conservation of protected areas					
Advancing integrated coastal management					
Advancing decreases in agricultural subsidies or other perverse fiscal incentives that hinder sustainable forest management					
Advancing the correction of protective trade policies that devalue forest resources					
Advancing the clarification and improvement of land and resource tenure					
Other (describe)					
Other					
Number of categories where training and technical assistance has been provided:	0	0			

Please fill in the YELLOW cells to complete the table.

TABLE 3.1												
Result 3: Reduced Net Greenhouse Gas Emissions from the Energy Sector, Industry and Urban Areas												
Indicator 1: Emissions of Carbon Dioxide Equivalents Avoided, due to USAID Assistance (Measuring Carbon Dioxide, Methane, and Nitrous Oxide)												
PLEASE SEE FUEL TYPE CODES BELOW	3.1 A - CO2 Emissions avoided through renewable energy activities			3.1 B - CO2 emissions avoided through end use energy efficiency improvements			3.1 C - CO2 emissions avoided through energy efficiency improvements in generation, transmission, and distribution (including new production capacity)			SO number for Activity	CN/TN Number for Activity	
	Activity	3.1A: MW-h produced in electricity generation	3.1A: BTU's produced in thermal combustion	3.1A: Fuel type replaced (use codes)	3.1B: MW-h saved	3.1B: BTU's saved in thermal combustion	3.1B: Fuel type saved (use codes)	3.1C: MW-h saved	3.1C: BTU's saved in thermal combustion			3.1C: Fuel type saved (use codes)
Renewable Energy Production Prog.	512,258		J								2.1	CN-120-97
Steam & Combustion Efficiency Pilot Proj.					1,832,144	J					2.1	CN-120-97
Power Sector Retrofits							912,733			T	2.1	CN-120-97
Totals:	0	0		0	0		0	0	0			

PLEASE SEE FUEL TYPE CODES BELOW	3.1 D - CO2 emissions avoided as a result of switching to cleaner fossil fuels (including new production capacity)				3.1 E - Methane emissions captured from solid waste, coal mining, or sewage treatment	3.1 F - Tonnes of nitrous oxide emissions avoided through improved agriculture	SO number for Activity	CN/TN Number for Activity	
	Activity	3.1D: MW-h produced in electricity generation	3.1D BTUs produced in thermal combustion	3.1D Old fuel type (use codes)	3.1D New fuel type (use codes)	3.1E: Tonnes of methane			3.1F: Tonnes of nitrous oxide
Clean Fuels Program	4,551		H	FF			2	CN-120-97	
Municipal Landfill Proj.						450	2	CN-120-97	
Sust. Ag. & Devt. Proj.							575	2	CN-120-97
Totals:	0	0			0	0			

Codes for Fuel Type			
Fuel Types		Code	Fuel Name
Liquid Fossil	Primary Fuels	A	Crude oil
		B	Orimulsion
		C	Natural gas liquid
	Secondary Fuels	D	Gasoline
		E	Jet kerosene
		F	Other kerosene
		G	Shale oil
		H	Gas/diesel oil
		J	Residual fuel oil
		K	LPG
		L	Ethane
		M	Naphtha
		N	Bitumen
		O	Lubricants
		P	Petroleum coke
		Q	Refinery feedstocks
		R	Refinery gas
S	Other oil		
Solid Fossil	Primary Fuels	T	Anthracite (coal)
		U	Coking coal
		V	Other bituminous coal
		W	Sub-bituminous coal
		X	Lignite
		Y	Oil shale
		Z	Peat
	Secondary fuels/ products	AA	BKB & patent fuela
		BB	Coke oven/gas coke
		CC	Coke oven gas
		DD	Blast furnace gas
Gaseous Fossil		EE	Natural gas (dry)
Biomass		FF	Solid biomass
		GG	Liquid biomass
		HH	Gas biomass

Please fill in the YELLOW cells to complete the table.

TABLE 3.3

Result 3: Decreased Net Greenhouse Gas Emissions from the Energy Sector, Industry, and Urban Areas

Indicator 3: National/sub-national policy advances in the energy sector, industry and urban areas that contribute to the avoidance of greenhouse gas emissions

PLEASE SEE DEFINITIONS BELOW

Policy Measure	Scope (N or S)	STEP 1: Policy Preparation and Presentation	STEP 2: Policy Adoption	STEP 3: Implementation and Enforcement	List Activities Contributing to Each Policy Category	SO Number for Activity	CN/TN Number for Activity
Example: Facilitates improved demand side management or integrated resource planning	N	2	1		Mission supported introduction of two decrees for energy tariff reforms (pursuant to National Energy Reform Law) in the national parliament; one decree was adopted.	2.4	CN-577-92
Facilitates improved demand side management or integrated resource planning							
Facilitates competitive energy markets that promote market-based energy prices, decrease fossil fuel subsidies, or allow open access to independent providers							
Facilitates the installation of energy efficient or other greenhouse gas reducing technologies, including improved efficiencies in industrial processes							
Facilitates the use of renewable energy technologies							
Facilitates the use of cleaner fossil fuels (cleaner coal or natural gas)							
Facilitates the introduction of cleaner modes of transportation and efficient transportation systems							
Promotes the use of cogeneration							
Other (describe)							
Other							
Other							
Other							
Other							
Sub-total (number of policy steps achieved):		0	0	0			
Total (number of policy steps achieved):				0			

Definitions: Scope	
National Policies (N)	Policies that influence issues on a countrywide level.
Sub-national Policies (S)	Policies that affect a tribal nation, province, state or region that are neither national nor site specific in impact.
Definitions: Policy Steps Achieved	
Policy Measures	"Policy measures" may include documentation demonstrating a legal, regulatory, or other governmental commitment to a defined course of action. Thus, for example, "policy measures" would include: a national, state, provincial, or local law; a regulation or decree; guidance issued by an agency, ministry, or sub-national body; a land use plan; a National Environmental Action Plan; a Climate Change Action Plan; or a National Communication to the IPCC. The term "policy measures" does not include technical documentation, such as technical reports or land use maps, nor site-specific activities reported under Indicators 1 and 2 (e.g., legal demarcation of individual site or granting of community access to single location).
Policy Preparation and Presentation (Step 1)	Draft bill, policy or regulation, vetted through relevant stakeholders in government, non-government, the private sector and civil society, and introduced for debate in appropriate legislative, regulatory, or governmental body.
Policy Adoption (Step 2)	Policy intervention is approved and adopted by the appropriate administrative agency or legislative body. Can take the form of the voting on law; the issuance of a decree, etc.
Policy Implementation and Enforcement (Step 3)	Actions that put the policy interventions into effect, such as agency personnel trained in procedures, appropriate institutions created or strengthened, or legislation implemented through the appropriate government agency.

Please fill in the YELLOW cells to complete the table.

TABLE 3.5						
Result 3: Reduced Net Greenhouse Gas Emissions from the Energy Sector, Industry and Urban Areas						
Indicator 5: Value of Public and Private Investment Leveraged by USAID for Activities that Reduce Greenhouse Gas Emissions						
PLEASE SEE DEFINITIONS BELOW						
Activity	Source of Leveraged Funds	Methodology for determining amount of funding	Direct Leveraged Funds	Indirect Leveraged Funds	SO Number for Activity	CN/TN Number for Activity
National Renewable Energy Program	Dept. of Energy, World Bank-GEF	DOE direct buy-in to USAID. In FY99, GEF funded replication of NREP activity begun in FY98.	\$120,000	\$2,500,000	2	CN-577-92
Total:			\$0	\$0		

Definitions: Funding Leveraged	
Direct Leveraged Funding	Funding leveraged directly in support of USAID activities and programs, including: - funding leveraged from partners for joint USAID activities; - funding for activities in which USAID developed enabling policies, regulations, or provided pre-investment support (prorated); - obligated or committed funding for direct follow-on MDB loan programs (prorated); - obligated or committed funding for direct follow-on private-sector funded programs that reach financial closure (prorated); - joint implementation investments; - Development Credit Authority investments.
Indirect Leveraged Funding	Funding dedicated by other donors or governments to replicate programs that USAID initiated, but which USAID does not or will not itself fund.

Please fill in the **YELLOW** cells to complete the table.

TABLE 3.6a

Result 3: Reduced Net Greenhouse Gas Emissions from the Energy Sector, Industry and Urban Areas

Indicator 6a: Increased Capacity to Address Global Climate Change Issues

Types of institutions strengthened to address GCC issues	Number of Institutions Strength-ened	Names of Associations, NGOs, or other Institutions Strengthened	SO Number for Activity	CN/TN Number for Activity
Ex: NGOs	3	Center for Cleaner Production, Association of Industrial Engineers, National Solar Energy Foundation, Clean Air Alliance, Institute for Industrial Efficiency	2.4	CN-577-92
NGOs				
Private Institutions				
Research/Educational Institutions				
Public Institutions				
Total Number of Institutions Strengthened:	0			

Please fill in the YELLOW cells to complete the table.

Table 3.6b					
Result 3: Reduced Net Greenhouse Gas Emissions from the Energy Sector, Industry and Urban Areas					
Indicator 6b: Technical Capacity Strengthened through Workshops, Research, and/or Training Activities					
	Types of Support Provided (Enter the number of Training/TA activities for each category)				
Category	Training	Technical Assistance	List the Activities that Contribute to Each Capacity Building Category	SO Number for Activity	CN/TN Number for Activity
Example: Use of renewable energy technologies	1	3	Developed sustainable markets for renewable energy technologies. Over 200 renewable energy systems installed. Training for utilities, government officials, NGOs. Study on renewable energy applications	2.4	CN-577-92
Improved demand-side management or integrated resource planning					
Competitive energy markets that promote market-based energy prices, decrease fossil fuel subsidies, or allow open access to independent providers					
Installation of energy efficient or other greenhouse gas reducing technologies, including improved efficiencies in industrial processes					
Use of renewable energy technologies					
Use of cleaner fossil fuels (cleaner coal or natural gas)					
Introduction of cleaner modes of transportation and efficient transportation systems					
Use of cogeneration					
Other (describe)					
Other					
Total number of points for Training/Technical Assistance:	0	0			

Please fill in the YELLOW cells to complete the table.

Table 4								
Result 4: Reduced Vulnerability to the Threats Posed by Climate Change								
Indicator: USAID Programs that Reduce Vulnerability to Climate Change								
PLEASE SEE DEFINITIONS BELOW								
Key Area	Country	Budget	Duration	Type of Program (see codes below)	Description	SO Name	SO Number for Activity	CN/TN Number for Activity
Example:	ii South Africa	\$1,200,000	FY96-FY99	3	Technical assistance to Rand Water Board to address water resources planning for water shortages	Increased Access to Environmentally Sustainable Housing and Urban Services for the HDP	SO6	

Definitions: Key Areas	
Coastal Zones	Number of programs that are reducing the vulnerability of coastal populations, infrastructure, habitats and living resources to accelerated sea level rise or other environmental changes associated with climate change (e.g., water availability, resource availability, temperature).
Emergency Preparedness	Number of programs that are increasing ability to cope with and minimize the damage from natural disasters (e.g., drought, famine, disease outbreaks) through surveillance, early warning, emergency preparedness, capacity building, etc.
Agriculture and Food Security	Number of programs that are increasing adaptability and resilience of agriculture and food systems to changes in temperature, water availability, pest and pathogen presence or prevalence, soil moisture and other changes in environmental parameters (e.g., crop diversification, water conservation and delivery, flexible market and trade systems).
Biodiversity/Natural Resources	Number of programs that are increasing the adaptability of natural ecosystems and levels of biodiversity to changes in temperature, water availability, pest and pathogen presence or prevalence, soil moisture and other changes in environmental parameters (e.g., establishment of biological corridors, habitat conservation, preservation of ex situ germplasm).
Human Health and Nutrition	Number of programs that are reducing vulnerability to climate change through improved access to and quality of health services, vector control, nutrition and environmental health interventions.

	Key Area Codes	Codes for Type of Programs
Coastal Zones	i	1. Urban/Infrastructure
		2. Natural Resource
Emergency Preparedness	ii	1. Early Warning System
		2. Humanitarian Response
		3. Capacity Building
Agriculture & Food Security	iii	1. Research and Development
		2. Policy Reform
		3. Extension/ Demonstration
Biodiversity/Natural Resources	iv	1. Preservation of Biodiversity
		2. Forest Conservation
Human Health and Nutrition	v	1. Improved Quality of Health Services
		2. Vector Control
		3. Improved Nutrition

Information Annex Topic: Greater Horn of Africa Initiative

Information Annex Topic: Non-presence Countries (npcs)

Information Annex Topic: Success Stories

Summary of USAID-Assisted Achievements in 2000

SO1: Increased employment and diversification in select non-traditional activities

1. Barbados' final draft of the Telecommunications Bill has been completed. This Bill gives legislative effect to the Green Paper on telecommunications Sector Policy which moves the sector from a monopoly to a free market environment.
2. CARICOM countries, through the Regional Negotiating Machinery (RNM), have a trade information network ("Virtual Secretariat"). A website was established in Barbados and was connected to 7 of the RNM's offices. Hardware and software were provided to all 15 Trade Ministries in CARICOM member countries.
3. The Caribbean Association of Industry and Commerce (CAIC) is developing a competitiveness program for the small and medium enterprise sectors.

SO 2 - Improved environmental management by public and private entities

1. Best practices related to small hotels environmental management across the region has been Documented. The first draft is available in hard copy, as well as electronically through the program's established website, www.caribbeaninnkeeper.com
2. An inventory of sites, attractions and community tourism in Dominica has been completed. Work has begun with the Caribbean Alliance for Sustainable Tourism (CAST) to help establish Dominica as a "Green Globe" ecotourism destination.

SO3 - Increased efficiency and fairness of legal systems in the Caribbean

1. The U.S. National Center for State Courts and the OECS Supreme Court Chief Justice identified a comprehensive case flow management system. This computerized system will allow the court to coordinate the processes and resources for efficient case tracking.
2. A revised grant agreement between USAID and the Caribbean Law Institute (CLI) was signed to ensure preparation of a curriculum for two workshops in Alternative Dispute Resolution which will assist judges and other members of the regional Bar to come to an understanding of the newly drafted Rules of the Supreme Court which place heavy emphasis on the role of mediation in reducing court case backlogs.
3. The SO Team and the Chief Justice of the OECS Supreme Court have developed a three-year judicial training plan. This training plan is the first in the region for judges.

SpO - Hurricane Georges Reconstruction and Recovery in the Eastern Caribbean

1. Reconstruction of the pediatric ward, under a contract between PAHO and Moorjani-Edgehill, commenced on September 4, 2000.
2. Hazard mitigation committee at the ministerial level have been established in both countries and will serve as the final review board for the policies and plans.
3. Both Antigua/Barbuda and St. Kitts/Nevis have adopted national building codes, and building inspectors have been trained to support this activity.

SPO - Hurricane Lenny Recovery in the Eastern Caribbean

1. Environmental Impact Assessments have been completed for the sites in Grenada and Dominica.

Information Annex Topic: Supplemental Information

Information Annex Topic: Updated Results Framework Annex

Part A. Results Framework. Provide a listing of the Operating Unit’s Current Results Framework [Example]

SO _____

IR1 _____
Sub IR1 _____

IR2 _____
Sub IR2 _____

- SO 1: A More Competitive and Market Responsive Private Amusement Park
 - IR 1.1: A Sound, Regulated and Consumer Responsive Amusement Park Sector Established
 - IR 1.1.1: Improved and Expanded Variety Shows Offered
 - IR 1.1.2: Park Maintenance, Supervision and Enforcement Capacity Strengthened
 - IR 1.1.3: Effective Park Training Developed and Institutionalized
 - IR 1.1.4: Park Advertising and Marketing Improved
 - IR 1.2: Surrounding Infrastructure Developed
 - IR 1.2.1: Access/Egress Improved
 - IR 1.2.2: Private Sector Services Industry Encouraged
 - IR 1.3: Increased Public Participation at Amusement Parks

Part B. New Indicator Reporting. Provide a report of indicators from the Operating Unit’s Performance Monitoring Plan that the Operating unit proposes to report on in next year’s R4 submission which are different from the indicators currently being reported.

**This applies to on-going strategies only. Indicators that will be used next year as part of a newly approved strategy need not be listed here.

SO Name Improved Environmental Management by Public and Private Entities

Indicator Level: Strategic Objective

Current Indicator Name: None

Proposed Indicator Name: **Number of Organizations adopting best practices**

	Actual	Planned
Baseline Year	0	
Target 2002		6
Target 2003		14

SO Name: Increased Efficiency and Fairness of Legal Systems in the Caribbean

Indicator Level: Strategic Objective

Current Indicator Name: None

Proposed Indicator Name: **Number of cases disposed**

	Actual	Planned
Baseline Year	100	
Target 2002		-
Target 2003		200

SO Name: Increased Efficiency and Fairness of Legal Systems in the Caribbean

Indicator Level: IR 3.1

Current Indicator Name: None

Proposed Indicator Name: **Percentage of backlogged cases cleared**

	Actual	Planned
Baseline Year		
Target 2002		
Target 2003		

SO Name: Increased Efficiency and Fairness of Legal Systems in the Caribbean

Indicator Level: IR 3.1.2

Indicator Name: None

Proposed Indicator Name: **Establishment of court reporting systems**

	Actual	Planned
Baseline Year	0	
Target 2002		1
Target 2003		3

SO Name: Increased Efficiency and Fairness of Legal Systems in the Caribbean

Indicator Level: IR 3.2

Current Indicator Name: None

Proposed Indicator Name: **Case Reporting System Established**

	Actual	Planned
Baseline Year	10,000	

Target 2002		1,000
Target 2003		4,000

SO Name: Increased Efficiency and Fairness of Legal Systems in the Caribbean

Indicator Level: IR 3.2.1

Current Indicator Name: None

Proposed Indicator Name: **Number of Regional Training Programs Completed**

	Actual	Planned
Baseline Year	1	
Target 2002		3
Target 2003		4

SO Name:

Indicator Level: Strategic Objective

Current Indicator Name:

Proposed Indicator Name:

	Actual	Planned
Baseline Year		
Target 2002		
Target 2003		

SO Name:

Indicator Level: Strategic Objective

Current Indicator Name:

Proposed Indicator Name:

	Actual	Planned
Baseline Year		
Target 2002		
Target 2003		

Information Annex Topic: Institutional and organizational development

What the information annex will be used for: prepare the cross-cutting theme chapter of the FY 2000 Performance Overview. The 2000 revision of the Agency Strategic Plan includes five cross-cutting themes in addition to the six Agency goals and the management goal. It also includes a commitment to report on one of the themes in depth in the Performance Overview each year. Institutional and organizational development has been chosen as the theme to be reported on in the 2000 Performance Overview.

The Performance Overview chapter aims to document the following points, based on the information requested:

- * support for institutional and organizational development is systematically programmed in results frameworks for the majority of Agency OUs;
- * support for institutional and organizational development systematically cross-cuts Agency goal areas in OU programs;
- * institutional and organizational development support is provided to public sector, private for-profit and private non-profit organizations consistent with program objectives;
- * a variety of types of capacity-building (e.g., financial accountability and sustainability, management and

Guidelines for Identifying Institutional Capacity Development. An institutional development IR should contain two elements: (1) the name of the overarching institution concerned and (2) the change taking place. IRs Institutions are defined as the "rules of the game" and the measures for enforcing those rules. In other words, for our purposes, institutions refer to the broad political and economic context within which development processes take place. These include policies, laws, regulations, and judicial practices. They also refer to less tangible practices like corruption, presence or lack of transparency and accountability. The rules and norms we are concerned with are political and economic, not social. Not every IR about policy is to be called institutional development. If the IR is about adopting/implementing a specific policy, it is not institutional development-- it falls under the goal area for the sector it addresses. Include only IRs about changing the

Guideline for Identifying Organizational Capacity Development IRs. The IR should have these elements: (1) It must name or allude to a specific organization or type of organization (an organization is a group of individuals bound by some common purpose to achieve objectives) and (2) it has to how or what action is being done to develop the organization.

Instructions							
<p>Using the definitions of institutional and organizational development stated on the Definitions tab on this Excel workbook, OUs are required to: verify that the IRs and indicators identified for their programs fall within the definition of institutional and/or organizational development provided, correct the list as necessary to add or delete IRs and indicators that match the definition, and identify the recipients of institutional and organizational development support as public sector, private for-profit, private non-profit, marking all that apply in each case. Correct the IR list as necessary to add IRs that match the definition or to delete IRs that do not or that are no longer part of your results framework.</p>							
Verification							
<p>Codes: Y - IR falls within the definition N - IR does not fall with the defintion X - This IR has been changed, modified, or dropped.</p>							
Public sector, private for profit, and private non-profit							
Codes: Y - Yes N - No							