

PID ART-155

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CONSERVATION INTERNATIONAL

**USAID “Biodiversity Corridor Planning
and Implementation Program”
(Corridor) Cooperative Agreement**

**January 12, 2001
Performance Monitoring Plan for FY01 and
Report for FY00**

**Conservation International
FY01 Performance Monitoring Plan
FY00 Report**

**Biodiversity Corridor Planning and Implementation Program (Corridor)
Leader with Associates Cooperative Agreement No. LAG-A-00-99-00046-00**

**USAID/G/ENV Biodiversity Program
Performance Monitoring Plan
Compilation of Current Plan and Instructions**

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Date form submitted: November 15, 2000**

Indicator 1

Area of biologically important habitat under improved management; reported as total hectares to date.

Biologically important habitat is considered under "improved" management when *any one* of the following occurs: a change in legal status favors conservation, a local site assessment is completed, management actions are designed with appropriate participation, human and institutional capacity is developed, management actions are implemented, ongoing monitoring and evaluation is established, adaptive management is demonstrated, or on-the-ground impacts are demonstrated.

Objective:	SS01: Increased and improved protection and sustainable use of natural resources, principally forests, biodiversity, and freshwater and coastal ecosystems in key areas.			
Approved:	1996	Country/Organization: USAID/G/ENV		
Result Name:	IR 1.1 Effective biodiversity conservation and management			
Indicator 2:	Area of habitat under <i>improved</i> management			
Unit:	Hectares (ha)	FY	Planned (ha)	Actual (ha)
Source:	Field visits and evaluations	1999	(Baseline)	0
Indicator/Description: Areas of habitat are considered under <i>improved</i> management when any one of the following occurs: change in legal status favoring conservation, completion of a local site assessment, participatory design of management actions, development of human and institutional capacity, implementation of management actions, establishment of ongoing monitoring and evaluation system, and demonstration of adaptive management. See the site management benchmarks worksheet for additional details.		2000	2,562,000	623,019
		2001	3,999,730	
		2002		
		2003		
Comments: Results ARE cumulative. * = these illustrative figures are based on a trend analysis of past performance. They may be revised during planning consultations with G/ENV partners.		2004		

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Specifics of hectares under improved management (ha):

Site	Actual FY99 (Baseline)	Planned FY00	Actual FY00	Planned FY01	Actual FY01	Planned FY02	Actual FY02	Planned FY03	Actual FY03	Planned FY04	Actual FY04
Bodoquena, Brazil approx. 60,000 ha.	0	45,000	76,400	76,400							
Emas National Park, Brazil Approx. 131,000 ha	0	98,000	30,000	130,000							
Parque Natural do Pantanal, Brazil Approx. 106,000 ha (Fazendas: Rio Negro [7,764 ha], Santa Sofia [7,700 ha], Fazendinha [9,619 ha], Redenção [45,500 ha], Esperança [10,800 ha]; Rio Negro neighboring farms [25,000 ha];	0	79,500	106,683	100,000							
Parque Nacional do Pantanal, Brazil 140,000 ha	0	105,000	0	105,000							
Parque Estadual das Nascentes do Taquari, Brazil 30,000 ha	0	22,500	30,618	30,618							
Paraguayan Cerrado, Brazil 854,000 ha	0	450,000	0	0							

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Site	Actual FY99 (Baseline)	Planned FY00	Actual FY00	Planned FY01	Actual FY01	Planned FY02	Actual FY02	Planned FY03	Actual FY03	Planned FY04	Actual FY04
Rio Apa, Brazil 2,000 ha	0	2,000	0	0							
Potential Core- nuclei/corrid or area, Brazil Approx. 10,000,000 ha	0	1,000,000	163,318	200,000							
Proposed Kanuku Mountains Protected Area, Guyana 636,536 ha	0	350,000	0	350,000							
Proposed Upper Essequibo conservation concession, Guyana 400,000 ha	0	400,000	200,000	200,000							
NSMNP-- Includes Palanan (49,000 ha, Barangay Villa Robles) Divilacan (41,400 ha) (Barangays Dicaruyan, Dicambanga n, Sapinit and others), Isabela, Philippines Total 90,400	0	5,000 (Palanan , 2,000) (Divilaca n, 3,000)	8,000 (Palana n 5,000) (Divilac an 3,000)	51,000 (Palanan 28,000) (Divilaca n 25,000)							
NSMNP barangays not included in above site (includes 3 barangays of Dinapigue) (190,000 hectares)	0	0	0	100,000							

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Site	Actual FY99 (Baseline)	Planned FY00	Actual FY00	Planned FY01	Actual FY01	Planned FY02	Actual FY02	Planned FY03	Actual FY03	Planned FY04	Actual FY04
Community forestry zone in Baggao, Cagayan province (NE corner NSMNP), Isabela (3,000 ha)	0	2,000	2,000	1,000							
Municipalities of San Mariano (26,500 ha and Ilagan 34,900 ha) Buffer zone of NSMNP, and CBFM Project, Isabela (61,400 ha)	0	3,000	6,000	23,000							
Tumauini Watershed Reservation, Isabela (15,000 ha)	0	0	0	5,000							
Baua and Wangag Watershed reservation, Cagayan (15,987 ha)	0	0	0	6,000							
Penablanca Protected Landscape and Seascape, Cagayan (4,136 ha)	0	0	0	4,136							
Certificate of Ancestral Domain Claims, Quirino (108,360)	0	0	0	35,000							
15 Watershed Forest reserve in Aurora Province (59,075.5 ha)	0	0	0	15,000							
Maria Aurora Memorial Park, Aurora (5,576 ha)	0	0	0	5,576							
Total	0	2,562,000	623,019	1,437,730							

Site Management Definitions (for your reference)

Site	Geographic areas with biologically significant species, habitats over which a government agency, NGO, community organization, or other group is employing these adaptive management techniques.
Local site assessment and threats identified	Working with local partners to determine what courses of action are needed to counter current and future threats to biodiversity.
Management actions designed	Developing the interventions that will be undertaken.
Human and institutional capacity developed	Providing the training and technical assistance necessary to undertake the actions developed above.
Management actions implemented	At least one step in the intervention undertaken.
Change in legal status/development of policies	Altering the ownership or control of a site to counter identified threats to the biodiversity of the site (e.g., gazetting as a park or protected area, providing tenure and resource use rights to local communities) or implementing a policy as defined above for IR 2.
Monitoring and evaluation	The periodic collection of information related to managing and measuring the impacts of project activities.
Adaptive management	A process of learning, defining policies and practices, and decision making in which an organization responsible for the conservation of a particular ecosystem or area is responsive to both natural and human-induced ecological and social change and is able to react quickly and with appropriate interventions.
On the ground impacts	Documented instances of improvement in habitat quality and/or recovery of a population that can be directly linked to improved management; for example, evidence that a population of game animal is recovering due to implementation of anti-poaching rules; safe-guarding of a forest area that had been threatened by logging or road-building.

Documentation Standards**General Site Information:**

For *each site* in the program, the following should be submitted, regardless of progress in the activities. This information is important as our indicators will be closely scrutinized and the information will be widely shared.

Site Name:
Site Coordinates (if known):
Country/Province/State:
Biome:
Total Area of the Site:
Host Country Partners:

EXAMPLE

Site Name: Jumla District
Site Coordinates: Lat. 28 ° 58' to 29 ° 30'; Long. 81 ° 28' to 82 ° 18'
Country/Province/State: Nepal, Jumla District
Biome: temperate alpine
Total Project Area: 254,365 ha
Host Country Partners: Asia Network for Small Scale Agricultural Bioresources

A) Brazil

- A1 Site Name: Bodoquena, Brazil**
Site Coordinates (if known): 20,5587/21,3512 S - 56,9786/56,6834 W
Country/Province/State: Brazil / Bonito, Bodoquena, Jardim e Porto Murtinho
Biome: Pantanal (Upper Rio Miranda - Seasonal Deciduous Forest)
Total Area of the Site: Approx. 76,480 ha
Host Country Partners: SEMA, Fundação Neotrópica, SODEBON
- A2 Site Name: Emas National Park, Brazil**
Site Coordinates (if known): 18,3723/17,8353 S - 53,1460/52,6828 W
Country/Province/State: Brazil / Mineiros
Biome: Cerrado
Total Area of the Site: Approx. 131,000 ha
Host Country Partners: USP, UNICAMP, UNB, INPE, Fundação EMAS, Prefeitura de Mineiros
- A3 Site Name: Parque Natural do Pantanal, Brazil**
Site Coordinates (if known):
Country/Province/State: Brazil / Aquidauana
Biome: Pantanal - Upper Rio Negro
Total Area of the Site: Approx. 106,000 ha
Host Country Partners: Associação de Produtores Rurais do Rio Negro
- A4 Site Name: Parque Nacional do Pantanal, Brazil**
Site Coordinates (if known): 18,00/17,10 S - 57,90/56,75W
Country/Province/State: Brazil / Poconé
Biome: Pantanal - Pantanal de Poconé
Total Area of the Site: Approx. 140,000 ha
Host Country Partners: ECOTRÓPICA, IBAMA
- A5 Site Name: Parque Estadual das Nascentes do Taquari, Brazil**
Site Coordinates (if known): 18,00/18,26 S - 54,57/53,07 W
Country/Province/State: Brazil / Alcínópolis, Costa Rica
Biome: Cerrado
Total Area of the Site: Approx. 30,000 ha
Host Country Partners: SEMA, Fundação EMAS

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- A6 Site Name: Paraguayan Cerrado, Brazil**
 Site Coordinates (if known):
 Country/Province/State: Paraguay
 Biome: (Cerrado?)
 Total Area of the Site: Approx. 854,000 ha
 Host Country Partners: Guirá
- A7 Site Name: Rio Apa, Brazil**
 Site Coordinates (if known):
 Country/Province/State: Brazil; Caracol(?)
 Biome: Cerrado
 Total Area of the Site: Approx. 2,000 ha
 Host Country Partners: Guirá, SODEBON
- A8 Site Name: Potential Core Nuclei/Corridor Area, Brazil**
 Site Coordinates (if known):
 Country/Province/State: Brazil; Aquidauana (?)
 Biome: Pantanal
 Total Area of the Site: Approx. 10,000,000 ha
 Host Country Partners: SEMA, Associação dos Produtores do Rio Negro

B) Guyana

- B1 Site Name: Proposed Kanuku Mountains Protected Area, Guyana**
 Site Coordinates (if known):
 Country/Province/State: Guyana - Region 9
 Biome: Tropical Rain Forest
 Total Area of the Site: 636,536
 Host Country Partners: Government of Guyana (national and Regional); Guyana Environmental Protection Agency (EPA); Guyana Protected Areas Secretariate, as well as 22 communities living in and around Kanuku Mountains

- B2 Site Name: Proposed Upper Essequibo Conservation Concession, Guyana**
 Site Coordinates (if known):

Conservation Concession Coordinates				
ID#	Degrees Minutes.m		Decimal Degrees	
	I. Longitude	Latitude	II. Longitude	Latitude
1	-58 06.78702	3 20.94966	-58.113117	3.349161
2	-58 23.30544	3 20.97930	-58.388424	3.349655
3	-58 23.29056	3 29.82528	-58.388176	3.497088
4	-58 20.57694	3 32.81448	-58.342949	3.546908
5	-58 18.68022	3 33.21930	-58.311337	3.553655
6	-58 18.00108	3 34.17066	-58.300018	3.569511
7	-58 16.64472	3 35.39292	-58.277412	3.589882
8	-58 15.82446	3 37.85400	-58.263741	3.630900
9	-58 14.33352	3 38.39544	-58.238892	3.639924
10	-58 09.59772	3 36.20868	-58.159962	3.603478
11	-58 08.10768	3 36.34170	-58.135128	3.605695
12	-58 06.75840	3 35.37330	-58.112640	3.589555
13	-58 06.78702	3 20.94966	-58.113117	3.349161

- Country/Province/State: Guyana, Region 9
 Biome: Lowland Tropical Rainforest
 Total Area of the Site: 200,000 - 1,000,000 acres (200,000 received in Exploratory lease phase)
 Host Country Partners: Guyana Forestry Commission, Government of Guyana

C) Philippines

- C1 Site Name:** **NSMNP--Includes Palanan (49,000 ha, Barangay Villa Robles), Divilacan (41,400 ha) (Barangays Dicaruyan, Dicambangan, Sapinit and others), Isabela, Philippines**
- Site Coordinates (if known): Latitude 16 ° 45' to 17° 25' and Longitude 122°00 to 122 °30'
- Country/Province/State: Palanan and Divilacan, Isabela, Philippines
- Biome: Tropical
- Total Area of the Site: 90,400 hectares
- Host Country Partners: PLAN International Philippines, DENR-NIPA Inc., NORDECO
- C2 Site Name:** **NSMNP barangays not included in above site (includes 3 barangays of Dinapigue)**
- Site Coordinates (if known): Latitude 16°30' to 16°45' and Longitude 122°00 to 122°20'
- Country/Province/State: Dinapigue and Maconacon, Isabela Philippines
- Biome: Tropical
- Total Area of the Site: 190,000 hectares
- Host Country Partners: PLAN International Philippines
- C3 Site Name:** **Community forestry zone in Baggao, Cagayan province (NE corner NSMNP), Isabela**
- Site Coordinates (if known): Latitude 17° 55' to 18°10' and Longitude 121°50' to 122°05'
- Country/Province/State: Baggao, Cagayan, Philippines
- Biome: Tropical
- Total Area of the Site: 3,000 hectares
- Host Country Partners: Foundation for Philippine Environment, PROCESS
- C4 Site Name:** **Municipalities of San Mariano (26,500 ha and Ilagan 34,900 ha) Buffer zone of NSMNP, and CBFM Project, Isabela**
- Site Coordinates (if known): Latitude 16°50' to 17°05' longitude 121°50 to 122°00
- Country/Province/State: San Mariano and Ilagan, Isabela, Philippines
- Biome: Tropical
- Total Area of the Site: 61,400 hectares
- Host Country Partners: PLAN International Philippines and DENR-NIPA Inc.
- C5 Site Name:** **Tumauni Watershed Reservation, Isabela**
- Site Coordinates (if known): Latitude 17°15' to 17°25' to Longitude 122°00 to 122°15'
- Country/Province/State: Tumauni, Isabela Philippines
- Biome: Tropical
- Total Area of the Site: 15,000 hectares
- Host Country Partners: Department of Environment and Natural Resources and Local Government Unit
- C6 Site Name:** **Baua and Wangag Watershed reservation, Cagayan**
- Site Coordinates (if known): Latitude 18°05' to 18°15' and Longitude 121°55' to 122°05'
- Country/Province/State: Gonzaga, Cagayan, Philippines
- Biome: Tropical
- Total Area of the Site: 15,987 hectares
- Host Country Partners: Department of Environment and Natural Resources and Local Government Unit
- C7 Site Name:** **Penablanca Protected Landscape and Seascape, Cagayan**
- Site Coordinates (if known): Latitude 17°45' to 17°55' and Longitude 121°55' to 122°05'
- Country/Province/State: Penablanca, Cagayan, Philippines
- Biome: Tropical
- Total Area of the Site: 4,136 hectares
- Host Country Partners: Department of Environment and Natural Resources, Department of Tourism and Local Government Unit

- C8 Site Name:** **Certificate of Ancestral Domain Claims, Quirino**
Site Coordinates (if known): Latitude 16°00' to 16°30' and Longitude 121°20' to 121°45'
Country/Province/State: Nagtipunan, Quirino, Philippines
Biome: Tropical
Total Area of the Site: 108,360 hectares
Host Country Partners: Department of Environment and Natural Resources and Local Government Unit
- C9 Site Name:** **15 Watershed Forest reserve in Aurora Province**
Site Coordinates (if known): Latitude 15°25' to 16°25' and Longitude 121°21' to 122°07'
Country/Province/State: Aurora, Philippines
Biome: Tropical
Total Area of the Site: 59,075.5 hectares
Host Country Partners: Department of Environment and Natural Resources, Local Government Unit
- C10 Site Name:** **Maria Aurora Memorial Park , Aurora**
Site Coordinates (if known): Latitude 15°40' and Longitude 121°22'
Country/Province/State: Aurora, Philippines
Biome: Tropical
Total Area of the Site: 5,576 hectares
Host Country Partners: Department of Environment and Natural Resources and Local Government Unit

Indicator 1: Area under Improved Management

The Site Management Worksheet is used to determine when a site may be reported under improved management (and, eventually, when it qualifies for reporting under "effective" management). When any one of the benchmarks is reached the site - or a portion of it - is said to be under improved management. By writing years - rather than checkmarks - in the boxes, we are able to see past, present, and future (anticipated) progress in one table. The number of benchmarks a site has reached is not something that appears in our final report but is useful information for both partners and G/ENV to monitor progress.

Instructions: For each box, write the year that a benchmark was obtained or is anticipated. Targets are indicated by putting the year in parentheses, e.g., (2002). Please attempt to forecast progress to 2004, if possible. If there is not enough information to forecast when a step will take place, leave that box blank.

Note: It is understood that benchmarks might not be reached in the same order as they are shown in the worksheet. While activities may be implemented over several years, each site benchmark is only checked once in the first year. Work may continue at sites for which all boxes are checked. However, not all sites would be expected to complete all these steps, as these vary by program.

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Site Management Benchmarks Worksheet FY:	Steps Completed								Area of biologically important habitat (ha)
	Site and country	Change in legal status that favors conservation	Local site assessment completed	Management actions designed with appropriate participation	Human and institutional capacity developed	Management actions implemented	Ongoing monitoring and evaluation initiated	Adaptive management demonstrated	
Bodoquena, Brazil		Compile existing information and identify gaps (FY00) (1.2.2) FY01	Fire management completed for part of the area (3.2.2) (completed) FY00 Participatory workshop to develop corridor design has taken place and local core group established (1.1.6) (completed) FY00	Training and awareness activities completed (3.2.2) (completed) FY00 Training in CI's SMA (Strategic Management Approach) to corridor staff, creating M&E focal points for CI-Brazil and partners (1.3.1) FY01 Provide training for CI Brazil and Corridor staff in landscape analysis to enable the corridor team to perform assessments for the potential creation of natural reserves. (2.5.3) FY01					Approx. 60,000 ha

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	Site and country	Change in legal status that favors conservation	Local site assessment completed	Management actions designed with appropriate participation	Human and institutional capacity developed	Management actions implemented	Ongoing monitoring and evaluation initiated	Adaptive management demonstrated	
Emas National Park, Brazil		Compile existing information and identify gaps (1.2.2) (FY00) FY01 Conduct assessment of threats, stakeholders and stakeholder (1.1.1) FY01 Conduct land tenure survey to compile information on private properties in the park neighboring areas. (1.1.4) FY01 Develop agreements between landowners and corridor project, (create legal basis for best practices) (1.4.1) FY01	Participatory workshop to develop corridor design has taken place and local core group established (1.1.6) (completed) FY00 Develop 4-P workshop to establish a strategy for the Emas Foundation's Corridor environmental education and awareness campaign. (1.7.2) FY01	LCU established (1.5.1) (completed) FY00 Training in CI's SMA (Strategic Management Approach) to corridor staff, creating M&E focal points for CI-Brazil and partners (1.3.1) FY01 Production of an awareness video on the Cerrado-Pantanal Corridor (1.7.1) FY01 Provide training for Emas staff on creation of RPPNs and Videography analysis (2.5.1). FY01	The Emas Foundation will produce public awareness and environmental education materials as recommended by the February 4-P Workshop. (1.7.3) FY01				Approx. 131,000 ha

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	Site and country	Change in legal status that favors conservation	Local site assessment completed	Management actions designed with appropriate participation	Human and institutional capacity developed	Management actions implemented	Ongoing monitoring and evaluation initiated	Adaptive management demonstrated	
Parque Natural do Pantanal, Brazil (Fazendas: Rio Negro [7,764 ha], Santa Sofia [7,700 ha], Fazendinha [9,619 ha], Redenção [45,500 ha], Esperança [10,800 ha]; Rio Negro neighboring farms [25,000 ha].	Assist in the creation of at least three RPPNs in the Pantanal corridor area. (2.1.1) FY01	Compile existing information and identify gaps (1.2.2) FY01 Conduct assessment of threats, stakeholders and stakeholder incentives (1.1.1) FY01 Conduct land tenure survey to compile information on private properties in the park neighboring areas. (1.1.4) FY01	Fire management completed (3.2.1) (completed) FY00 Participatory workshop to develop corridor design has taken place and local core group established (1.1.6) (completed) FY00 CI Pantanal will support the State government in producing a management plan for Rio Negro State Park. (2.4.1) FY01	LCU established (1.5.1) (completed) FY00 Training in CI's SMA (Strategic Management Approach) to corridor staff, creating M&E focal points for CI-Brazil and partners (1.3.1) FY01 Plan and design infrastructure of farms into a comprehensive ecotourism product (1.4.2) FY01 Provide training for CI Brazil and Corridor staff in landscape (2.5.3) FY01 Train fire brigades in the region providing basic equipment and training (2.7.3) FY01	Implement some of the designs produced during the charette at Rio Negro Farm, in order to demonstrate the effectiveness of these environmentally sound infrastructure development (1.4.3) FY01	Implement a monitoring station for local water quality sources (3.1.1) FY01			Approx. 106,000 ha
Parque Nacional do Pantanal, Brazil		Compile existing information and identify gaps (1.2.2) (FY00) FY01 Plan and execute an AquaRAP expedition (1.1.5) FY01	Participatory workshop to develop corridor design has taken place and local core group established (1.1.6) (completed) FY00	Train fire brigades in the region providing basic equipment and training (2.7.3) FY01					140,000 ha

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Site Management Benchmarks Worksheet FY:	Steps Completed								Area of biologically important habitat (ha)
	Site and country	Change in legal status that favors conservation	Local site assessment completed	Management actions designed with appropriate participation	Human and institutional capacity developed	Management actions implemented	Ongoing monitoring and evaluation initiated	Adaptive management demonstrated	
Parque Estadual das Nascentes do Taquari, Brazil		Compile existing information and identify gaps (1.2.2) (FY00) FY01 Conduct assessment of threats, stakeholders and stakeholder (1.1.1) FY01 Conduct land tenure survey to compile information on private properties in the park neighboring areas. (1.1.4) FY01 Develop agreements between landowners and corridor project to create a legal basis for best practices (1.4.1) FY01	Participatory workshop to develop corridor design has taken place and local core group established (1.1.6) (completed) FY00	Training in CI's SMA (Strategic Management Approach) to corridor staff, creating M&E focal points for CI-Brazil and partners (1.3.1) FY01 Production of an awareness video on the Cerrado-Pantanal Corridor (1.7.1) FY01 Provide training for CI Brazil and Corridor staff in landscape analysis to enable the corridor team to perform assessments for the potential creation of natural reserves. (2.5.3) FY01					30,000 ha
Paraguayan Cerrado, Brazil		Compile existing information and identify gaps (1.2.2) (FY00) FY01	Participatory workshop to develop corridor design took place and local coregroup established (1.1.6) (completed) FY00	Provide training for CI Brazil and Corridor staff in landscape analysis (2.5.3) FY01					854,000 ha

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	Site and country	Change in legal status that favors conservation	Local site assessment completed	Management actions designed with appropriate participation	Human and institutional capacity developed	Management actions implemented	Ongoing monitoring and evaluation initiated	Adaptive management demonstrated	
Rio Apa, Brazil		Compile existing information and identify gaps (1.2.2) (FY00) FY01	Participatory workshop to develop corridor design has taken place and local core group established (1.1.6) (completed) FY00						2,000 ha
Potential Core-nuclei/corridor area, Brazil		Compile existing information and identify gaps (1.2.2) (FY00) FY01 Conduct land tenure survey to compile information on private properties in the park neighboring areas. (1.1.4) FY01	Participatory workshop to develop corridor design has taken place and local core group established (1.1.6) (completed) FY00	Training in CI's SMA (Strategic Management Approach) to corridor staff, creating M&E focal points for CI-Brazil and partners (1.3.1) FY01 Production of an awareness video on the Cerrado-Pantanal Corridor (1.7.1) FY01 Provide training for CI Brazil and Corridor staff in landscape (2.5.3) FY01					Approx. 10,000,000 ha

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Site Management Benchmarks Worksheet FY:	Steps Completed								Area of biologically important habitat (ha)
	Site and country	Change in legal status that favors conservation	Local site assessment completed	Management actions designed with appropriate participation	Human and institutional capacity developed	Management actions implemented	Ongoing monitoring and evaluation initiated	Adaptive management demonstrated	
Proposed protected area in the Kanuku Mountains (Region 9), Guyana		Existing Biological and socio-economic data on region compiled (completed) FY00 Additional Baseline assessments of biological, socio-economic, and legal environment of the region completed (1.1.1-1.1.7, 1.1.9) (completed) FY00 Traditional resource Use mapping of communities around Kanuku Mtns (1.1.8) FY01 Digital overflights and vegetation mapping (1.1.6) FY01 Conduct needs assessment and resource analysis in communities around Kanuku Mtns for conservation enterprise activities (3.2.3) FY01	Design Monitoring and evaluation plan (1.3.3) FY01 Preliminary plan and proposal for the creation of the Kanuku Mountain protected area completed (2.1.1-2 1.2) (completed) FY00	Training complete for Project Manager and GIS/Databas e Manager (1.2.1) (completed) FY00 Enterprise specialist for Kanuku Region hired and trained (3.2.1) FY00 Develop community consultation plan (1.4.2) FY01 Training in enterprise development and business management to be held (3.2.5) FY01					636,536 ha

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Site Management Benchmarks Worksheet FY:	Steps Completed								Area of biologically important habitat (ha)
	Site and country	Change in legal status that favors conservation	Local site assessment completed	Management actions designed with appropriate participation	Human and institutional capacity developed	Management actions implemented	Ongoing monitoring and evaluation initiated	Adaptive management demonstrated	
Essequibo River Region Proposed Conservation Concession, Guyana	Conservation Concession negotiations finalized (2.1.4) (completed) FY00	Existing biological data on region compiled (1.1.1-1.1.3) FY01 Timber inventory of region complete (1.1.10) FY01 Economic analysis of timber industry completed (1.1.10) FY01	Management plan for conservation concession complete (2.4.1) FY01 Analysis and development of conservation Finance mechanism for Conservation Concession developed (1.6.1). FY01	Training complete for GIS/Databases manager and Project Manager (1.2.1) (completed) FY00 Training in Protected Areas and Development of Protected Areas (1.4.1). FY01		Long-term monitoring plan developed for concession area (2.4.1) FY01			200,000 ha
NSMNP-- Includes Palanan (49,000 ha, Barangay Villa Robles), Divilacan (41,400 ha) (Barangays Dicaruyan, Dicambangan, Sapinit and others), Isabela, Philippines		Land use change analysis (completed) (1.1.1.2) FY 00 Assessment of political economy (completed) (1.1.2.2) FY00	Formulate M&E plan (1.3.1) FY00 FY01 Mechanisms for strengthening the effectiveness of the Protected Area Superintendent instituted (completed) (2.2.2.1) FY00	Strengthen enforcement capacity of NSMNP community forest guards (2.2.1 & 2.2.1.1-3) (completed) FY00 Train community park guards in para legal Maconacon and Divilacan (2.2.1) FY01	Train DENR/PASU staff, local communities and community park guards to implement M&E plan (1.3.1) FY01 Conduct lecture on ecology, wildlife management, environmental protection, etc. to various audiences and creation of ecological display at NSMNP center (2.7.1) FY01	Creation of interpretative facility for research and conduct biodiversity monitoring in the 16 hectares dynamic plot (2.2.7) FY01	Conduct rehabilitation of the blue lagoon ecosystem through the participation of the local community (2.8.1) FY01	90,400 ha	

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Site Management Benchmarks Worksheet FY:	Steps Completed								Area of biologically important habitat (ha)
	Site and country	Change in legal status that favors conservation	Local site assessment completed	Management actions designed with appropriate participation	Human and institutional capacity developed	Management actions implemented	Ongoing monitoring and evaluation initiated	Adaptive management demonstrated	
NSMNP barangays not included in above site (includes 3 barangays of Dinapigue)		Compile and analyze land use data (1.1.1) FY01 Conduct Rapid Assessment of Corridor Economics (RACE) to include the political economy (1.1.6) FY01 Compile and digitize spatial and textual data and create GIS information system (1.2.1) FY01	Develop or formulate M&E plan (1.3.1) FY01 Design and operationalize GIS data base (1.2.3) FY01	Conduct M&E training and biannual training to all staff and partners (1.4.3) FY01					
Community forestry zone in Baggao, Cagayan province (NE corner NSMNP), Isabela (3,000 ha)		Land use change analysis completed (1.1.1.2) (completed) FY00		Train Peoples organizations in financial management and networking (2.2.2) FY01	M&E Staff and local communities to be trained to implement monitoring and evaluation system (1.3.1) (FY00) FY01	M&E plan developed (1.3.1.1) (completed) FY00			3,000 ha
Municipalities of San Mariano (26,500 ha and Ilagan 34,900 ha) Buffer zone of NSMNP, and CBFM Project, Isabela (61,400 ha)		Land use change analysis completed (1.1.1.2) (completed) FY00		Train Peoples organizations in financial management and networking (2.2.2) FY01	M&E Staff and local communities to be trained to implement monitoring and evaluation system (1.3.1) (FY00) FY01	M&E plan developed (1.3.1.1) (completed) FY00			61,400 ha

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Site Management Benchmarks Worksheet FY:	Steps Completed								Area of biologically important habitat (ha)
	Site and country	Change in legal status that favors conservation	Local site assessment completed	Management actions designed with appropriate participation	Human and institutional capacity developed	Management actions implemented	Ongoing monitoring and evaluation initiated	Adaptive management demonstrated	
Tumauini Watershed Reservation, Isabela (15,000 Ha)		Compile and analyze land use data (1.1.1) FY01 Conduct Rapid Assessment of Corridor Economics (RACE) to include the political economy (1.1.6) FY01 Compile and digitize spatial and textual data and create GIS information system (1.2.1) FY01	Develop or formulate M&E plan (1.3.1) FY01 Design and operationalize GIS data base (1.2.3) FY01	Conduct M&E training and biannual training to all staff and partners (1.4.3) FY01					15,000ha
Baua and Wangag Watershed reservation, Cagayan (15,987 ha)		Compile and analyze land use data (1.1.1) FY01 Conduct Rapid Assessment of Corridor Economics (RACE) to include the political economy (1.1.6) FY01 Compile and digitize spatial and textual data and create GIS information system (1.2.1) FY01	Develop or formulate M&E plan (1.3.1) FY01 Design and operationalize GIS data base (1.2.3) FY01	Conduct M&E training and biannual training to all staff and partners (1.4.3) FY01					15,987ha

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Site Management Benchmarks Worksheet FY:	Steps Completed								Area of biologically important habitat (ha)
	Site and country	Change in legal status that favors conservation	Local site assessment completed	Management actions designed with appropriate participation	Human and institutional capacity developed	Management actions implemented	Ongoing monitoring and evaluation initiated	Adaptive management demonstrated	
Penablanca Protected Landscape and Seascape, Cagayan (4,136 ha)		Compile and analyze land use data (1.1.1) FY01 Conduct Rapid Assessment of Corridor Economics (RACE) to include the political economy (1.1.6) FY01 Compile and digitize spatial and textual data and create GIS information system (1.2.1) FY01	Develop or formulate M&E plan (1.3.1) FY01 Design and operationalize GIS data base (1.2.3) FY01	Conduct M&E training and biannual training to all staff and partners (1.4.3) FY01					4,136ha
Certificate of Ancestral Domain Claims, Quirino (108,360)		Compile and analyze land use data (1.1.1) FY01 Conduct Rapid Assessment of Corridor Economics (RACE) to include the political economy (1.1.6) FY01 Compile and digitize spatial and textual data and create GIS information system (1.2.1) FY01	Develop or formulate M&E plan (1.3.1) FY01 Design and operationalize GIS data base (1.2.3) FY01	Conduct M&E training and biannual training to all staff and partners (1.4.3) FY01					108,360ha

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Site Management Benchmarks Worksheet FY:	Steps Completed								Area of biologically important habitat (ha)
	Site and country	Change in legal status that favors conservation	Local site assessment completed	Management actions designed with appropriate participation	Human and institutional capacity developed	Management actions implemented	Ongoing monitoring and evaluation initiated	Adaptive management demonstrated	
15 Watershed Forest reserve in Aurora Province (59,075.5 ha)		Compile and analyze land use data (1.1.1) FY01 Conduct Rapid Assessment of Corridor Economics (RACE) to include the political economy (1.1.6) FY01 Compile and digitize spatial and textual data and create GIS information system (1.2.1) FY01	Develop or formulate M&E plan (1.3.1) FY01 Design and operationalize GIS data base (1.2.3) FY01	Conduct M&E training and biannual training to all staff and partners (1.4.3) FY01					59,075.5ha
Maria Aurora Memorial Park, Aurora (5,576 ha)		Compile and analyze land use data (1.1.1) FY01 Conduct Rapid Assessment of Corridor Economics (RACE) to include the political economy (1.1.6) FY01 Compile and digitize spatial and textual data and create GIS information system (1.2.1) FY01	Develop or formulate M&E plan (1.3.1) FY01 Design and operationalize GIS data base (1.2.3) FY01	Conduct M&E training and biannual training to all staff and partners (1.4.3) FY01					5,576ha

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Site Management Benchmarks Worksheet FY:	Steps Completed								Area of biologically important habitat (ha)
	Site and country	Change in legal status that favors conservation	Local site assessment completed	Management actions designed with appropriate participation	Human and institutional capacity developed	Management actions implemented	Ongoing monitoring and evaluation initiated	Adaptive management demonstrated	
Totals:	1	4	10	6	0	2	0	0	12,522,470
Total Points in 2000:	23								
Total Points in 2001:									
Total Points in 2002:									
Total Points in 2003:									
Total Points in 2004:									

Indicator 2

Area of biologically important habitat under effective management, measured in hectares; reported as total hectares to date.

To be counted, the rate of habitat degradation in USAID-supported programs must be arrested or significantly slowed, and the groups managing the area must have demonstrated the institutional ability to monitor and respond to both threats and opportunities. These effectively managed areas have documented their ability to conserve biodiversity today and to respond to future threats.

While an area can be considered under improved management if managers complete any one of the steps described in the preceding paragraph, effective management requires demonstrated on-the-ground impacts of these steps. "Effective" management is a much higher standard than "improved" and should be estimated conservatively. It may take years to accomplish this standard at some sites. Many sites will not achieve this benchmark while supported with USAID funds.

Note on site definition:

Programs that are not at all site-based but are working to promote regional, national, or international policies, can report under Indicator 3, Policy Successes. Programs that do involve site work should report under all three indicators (with the understanding that the figure reported for indicator 2 may be "0").

It is understood that it may be difficult to delineate a site, especially in ecoregional and policy programs. The land or water area should be conservatively measured. The area reported as the *entire site* should encompass the area where the program is expected to have significant impact at some point in the life of the project; e.g., the area of a protected area or its buffer zones, where the program has an impact. If the site is not a protected area, the project site should be the area controlled by a community or group of communities affected by the program.

Objective:	SS01: Increased and improved protection and sustainable use of natural resources, principally forests, biodiversity, and freshwater and coastal ecosystems in key areas.			
Approved:	1996	Country/Organization: USAID/G/ENV		
Result Name:	IR 1.1 Effective biodiversity conservation and management			
Indicator 1:	Area of habitat under <i>effective</i> management			
Unit:	Hectares (ha)	FY	Planned (ha)	Actual (ha)
Source:	Field visits and evaluations	1999	(Baseline)	0
Indicator/Description:	Areas under effective management meet two conditions: improvement in habitat quality (the state of native plant and animal populations and the productivity of soil and water), or decrease in the rate of habitat degradation; and demonstration of adaptive management (the institutional ability to monitor and respond to threats and opportunities).	2000	0	0
Comments:		2001	0	
Results ARE cumulative. * = these illustrative figures are based on a trend analysis of past performance. They may be revised during planning consultations with G/ENV partners.		2002		
		2003		
		2004		

Specifics of hectares under effective management (ha):

Site	Actual FY99 (Baseline)	Planned FY00	Actual FY00	Planned FY01	Actual FY01	Planned FY02	Actual FY02	Planned FY03	Actual FY03	Planned FY04	Actual FY04
Total	0	0	0	0							

Documentation Standards**Indicator 2. Area under effective management**

The following additional documentation is required only when reporting an area under effective management:

Summary:

Each summary will: (1) provide some general information about the site; (2) document that habitat quality is maintained/improved and/or the rate of degradation is significantly reduced; (3) demonstrate the local institutional ability to monitor and respond to threats and opportunities (adaptive management); (4) provide a list of additional references; and (5) locate the site on one or more maps. The format should be:

Effective Management Condition 1: Habitat Quality:

This section should: (a) discuss the significance of the site for natural resources management (e.g., unique habitats, presence of threatened or endangered species, forest or coastal resources) and (b) documentation that habitat quality is maintained/improved and/or the rate of degradation is significantly reduced. Both (a) and (b) should make references to additional supporting materials, where appropriate. This section need only be a paragraph long, although additional detail is welcome if it is available.

Effective Management Condition 2 - Adaptive Management

This section should, at a minimum, provide evidence that local institutions have the ability to monitor and respond to threats and opportunities. Where possible, it should also indicate the extent to which local institutions meet the definition of adaptive management, *i.e.* that they are able to: (a) continuously test assumptions and hypotheses; (b) experiment with alternative approaches to resolve problems and address pertinent issues; (c) generate, analyze, and use relevant and reliable data and information; (d) determine the impacts of its chosen course of action; and (e) learn from failure as well as success, and apply these lessons to future program decisions. This section should reference supporting materials, where appropriate.

References

Full citations should be given of reports or communications referenced in the previous sections.

Maps

The location of the area under effective management must be clearly identified on one or more maps. These maps should preferably be in English, or a translation from the original language provided. The maps should include:

1. **Site Maps:** These maps should indicate:
 - Boundaries of the area under effective management
 - Boundaries of the project area, if different
 - Scale of the map
 - Latitude and longitude, along with an arrow indicating north
 - General land, water or coastal resources use/vegetation types (wherever possible; broad categories include forest, grassland, urban areas, agricultural land)
 - Towns and roads, as appropriate, which serve as useful reference points
2. **Provincial/national map:** This map would indicate the location of the site within the country or province/state. This could be a separate map or it could be included as an insert in the site map.
3. **Global/regional map:** This map is optional. For lesser known sites, it may be useful to orient unfamiliar readers with the relative location of the sites within a region or at a global level.

Brazil

Brazil is not reporting any area under effective management for FY00. Therefore no additional documentation is detailed in this section.

Guyana

Guyana is not reporting any area under effective management for FY00. Therefore no additional documentation is detailed in this section.

The Philippines

The Philippines is not reporting any area under effective management for FY00. Therefore no additional documentation is detailed in this section.

Indicator 3

Documented improvements in biodiversity conservation as a result of strengthened policies or improved policy implementation; reported as number of new policy successes in that year.

Policies include laws, regulations, decrees, and agreements — adopted by an organization — that support the conservation and management of biodiversity. Policies can be designed and implemented at local, regional, national, and/or international levels. Internal policies of conservation NGOs would not be included in this total. Policy successes are documented examples where G/ENV-supported efforts to improve policies or policy implementation have directly contributed to on-the-ground biodiversity conservation.

Objective:	SS01: Increased and improved protection and sustainable use of natural resources, principally forests, biodiversity, and freshwater and coastal ecosystems in key areas.			
Approved:	1996	Country/Organization: USAID/G/ENV		
Result Name:	IR 1.1: Effective biodiversity conservation and management			
Indicator 3:	Documented improvements in biodiversity conservation as a result of strengthened policies or improved policy implementation			
Unit of Measure:	Number of policy successes	FY	Planned	Actual
Source:	Reports from partners	1999	(Baseline)	0
Indicator/Description: Policies include laws, regulations, decrees, and agreements that support the conservation and management of biodiversity. Policy implementation can occur at local, regional, national, and international levels, but do not include internal organizational policies. Successful policies include those USAID/G/ENV supported efforts that lead to documented effective management where on-the-ground conservation benefits are observed. See the policy results worksheet for details.		2000	0	0
		2001	0	
		2002		
		2003		
		2004		
Comments: Results are reported annually and ARE NOT cumulative. * = these illustrative figures are based on a trend analysis of past performance. They may be revised during planning consultations with G/ENV partners.				

Indicator 3. Policy Successes

The Policy Worksheet helps us keep track of policy initiatives and count those that qualify as "successes" under Indicator 3.

The year indicates the year in which each step was actually completed or is targeted (target years in parentheses). Please forecast which steps may take place by 2004. If there is not enough information to forecast when a step may take place in the next few years, leave that box blank. Only when the last step is reached, documented improvements in conservation, can a policy be claimed as a success.

Note: Not all policy initiatives would be expected to complete all these steps, as these vary by program. While all policies and all benchmarks are weighted equally, particularly notable accomplishments can be described in more detail in the narrative.

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Policy Results Worksheet FY:	Steps Completed				
Policy Initiatives	Policy analyses	Communication and educational activities to improve policies and their implementation	Improved policies adopted by national, regional, and local institutions	Improved policy implementation	Documented improvements in conservation as a result of policy implementation
Create communications strategy to improve local and regional awareness , Brazil		Develop awareness tools to make stakeholders advocates (1.7.1) (FY00) FY01			
Influence fire use patterns and fire use regulation at the local and state level, Brazil		Training awareness and fire management activities coordinated (3.2.1-2) (completed) FY00 Train at least five fire brigades in the region providing basic equipment and training (2.7.3) FY01			
At the state level, encourage active participation in support of the corridor approach as a viable conservation tool, Brazil		CI Pantanal and the State Secretary for the Environment have organized and conducted a Workshop (1.1.6) (completed) FY00 CI Pantanal will draft, develop and produce popular texts to increase awareness among rural/urban population (2.7.1). FY01 Launch the "Preservation of the Pantanal Watershed" campaign (2.7.2) FY01			

Policy Results Worksheet FY.	Steps Completed				
Policy Initiatives	Policy analyses	Communication and educational activities to improve policies and their implementation	Improved policies adopted by national, regional, and local institutions	Improved policy implementation	Documented improvements in conservation as a result of policy implementation
Propose alternative land use patterns compatible with conservation of the region, Brazil	Assess local and regional land use and land tenure policy (1.1.5) (FY00) FY01		Develop agreements between landowners and corridor project(Create legal basis for best practices) (1.4.1) FY01		
Facilitate creation of state-level private and public protected areas, Brazil		Work with local land owners on needed legal documentation (2.6.3) (FY00) FY01	Three new protected areas established (2.6.3) (completed) FY00		
Create communications strategy to improve national and international awareness, Guyana		Design and implement National conservation awareness campaign (3.5.6-3.5.12) (FY00) FY01			
Create Kanuku Mountains Region communications strategy to improve regional awareness, Guyana		Design and implement conservation awareness campaign for the communities within the region (2.7.1) (FY00) FY01			

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Policy Results Worksheet FY:	Steps Completed				
Policy Initiatives	Policy analyses	Communication and educational activities to improve policies and their implementation	Improved policies adopted by national, regional, and local institutions	Improved policy implementation	Documented improvements in conservation as a result of policy implementation
Develop Recommendations for the applicability of existing protected areas legislation at the national level, Guyana	Assess existing legislation and make recommendations for establishing a protected area (1.1.3) (Completed) FY00 Financial assessment of existing laws regarding Trust Funds. Recommendations made for long-term financing of proposed reserve (1.6.1) (FY00) FY01 Economic analysis of national timber industry and viability of large-scale timber extraction complete (1.1.8) (completed) FY00	Strategizing meeting to be held in Georgetown to develop approach to Rural environmental education and conservation awareness (3.5.1.) FY01 Develop appropriate environmental education materials for hinterland audience (3.5.2) FY01 Conduct two environmental educational training workshops in Region 9 (3.5.3) FY01 Host Environmental Biodiversity Reporting Awards (3.5.6) FY01 Launch media campaign - 4 tv and Radio PSA's, press releases, information on Kanuku Mountains, Calendar, etc. (3.5.7- 3.5.12) FY01			

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Policy Results Worksheet FY:	Steps Completed				
Policy Initiatives	Policy analyses	Communication and educational activities to improve policies and their implementation	Improved policies adopted by national, regional, and local institutions	Improved policy implementation	Documented improvements in conservation as a result of policy implementation
Assess land tenure and land resource use decisions, Guyana	Legal analysis of land tenure and review of land tenure issues in Kanuku mountains complete (1.1.7) (completed) FY00	Discussions between CI and mining officials will result in a strategy for working with mining companies in the region (1.4.1) (completed) FY00			
Conservation-based enterprise development, Kanuku Mountains Region, Guyana		Expand conservation enterprise projects in communities within the Kanuku Mountain region (3.2.1-3.2.5) (FY00) FY01 Training of communities in enterprise development and business administration (3.2.5) FY01			
Improve Regional Planning, Northern Sierra Madre Region, Philippines	Develop concepts for challenging destructive enterprises and/or industries (1.1.4.1 FY00) (1.1.6) FY01	Continuous presentation of sierra madre biodiversity corridor framework and provide updates and additional information to stakeholders at various levels (1.4.2) FY01			

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Policy Results Worksheet FY:	Steps Completed				
Policy Initiatives	Policy analyses	Communication and educational activities to improve policies and their implementation	Improved policies adopted by national, regional, and local institutions	Improved policy implementation	Documented improvements in conservation as a result of policy implementation
Improve Regional Planning affecting the NSMNP (Northern Sierra Madre Natural Park), Philippines	Develop concepts for challenging destructive enterprises and/or industries (1.1.4.1 FY00) (1.1.6) FY01	Creation of interpretative facility in the 16 hectares dynamic plot for biodiversity monitoring and research (2.7.2) FY01 Design and conduct local information education and communication activities (2.7.1) FY01			
Reduction of commercial concessions and infrastructure development within Park, Philippines	Collect data and develop strategy (2.3.1.1 FY00) (1.1.3 & 1.1.2) FY 01	Design and conduct local information education and communication activities (2.7.1) FY01			
Engage local stakeholders in participatory corridor framework, Philippines		Information and awareness materials developed and displayed (1.7.1.1) FY01 Formation of Local Coordinating Units for every province to coordinate field activities and participate in the planning process (1.4.1) FY01			

Documentation Standards

Indicator 3. Policy Successes

Policy Annotation

If a policy initiative has not been reported previously or if there have been significant changes in the policy strategy, a one-paragraph narrative should be provided which includes the full title of the policy initiative, a short description of the rationale for the initiative, and progress to date.

When a policy has obtained the last step, i.e., has documented improved conservation, there should be a written justification that demonstrates the actual improvement in natural resources management as a result of a strengthened policy or improved policy implementation. For example, designation of protected areas or signing of government MOUs are *not*, on their own, policy successes, without concurrent documented improvements in conservation as a result of policy implementation.

- A) **Brazil** - Brazil is not reporting any policy successes for FY00. Therefore no additional documentation is detailed in this section.
- B) **Guyana** - Guyana is not reporting any policy successes for FY00. Therefore no additional documentation is detailed in this section.
- C) **The Philippines** - The Philippines is not reporting any policy successes for FY00. Therefore no additional documentation is detailed in this section.

Documentation Standards

Funds leveraged indicator

In addition to the three results-oriented indicators, the team also tracks the funds leveraged by partners each year. This includes total matching funds and other funds leveraged for the activity in the reporting year. There must be some plausible association between the funds leveraged and USAID support, however, funds do not need to be funneled through the implementing organization to be included. Private match sources do not need to be disaggregated, report as "matching funds." To document this, include names of funding organizations next to dollar amounts.

A) Brazil

Source of Funds (e.g., matching funds, GEF grant, etc.)	Funds (\$USD)
TWPF	19,555
CI-Brazil Unrestricted	45,863
Banco Real	22
Gordon Moore Foundation	159,307
Ford Corporation	24,329
Earthwatch Institute	28,500
TOTAL	277,580

B) Guyana

Source of Funds (e.g., matching funds, GEF grant, etc.)	Funds (\$USD)
TWPF	111,154
CI-Guyana Unrestricted	58,790
W Alton Jones Foundation	46,210
TOTAL	216,154

C) The Philippines

Source of Funds (e.g., matching funds, GEF grant, etc.)	Funds (\$USD)
CI-FPCI	23,864
CI-Phil Unrestricted	17,505
RICOH	4,370
TOTAL	45,743