



PD ARC-82C
99022

598-0842
University of Florida
(Grant)

U S AGENCY FOR
INTERNATIONAL
DEVELOPMENT

APR 3 1998

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University of Florida College of Journalism and Comm
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SUBJECT Award No LAG-G-00-98-00021-00

Dear Professor Roberts

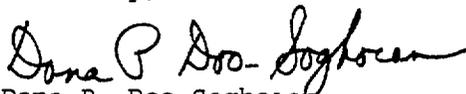
Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended the U S Agency for International Development (hereinafter referred to as "USAID" or "Grantor") hereby grants to the University of Florida (herein after referred to as UoFCJC or "Recipient"), the sum of \$110,167 00 to provide support for a program to measure public opinion in Cuba, as described in the Schedule of this award and the Attachment 2, entitled "Program Description "

This award is effective and obligation is made as of the date of this letter and shall apply to commitments made by the Recipient in furtherance of program objectives during the period beginning with the effective date and ending 07/04/1999 USAID shall not be liable for reimbursing the Recipient for any costs in excess of the obligated amount

This award is made to UoFCJC, on condition that the funds will be administered in accordance with the terms and conditions as set forth in 22 CFR 226 entitled "Administration of Assistance Awards to U S Non-Governmental Organizations", Attachment 1, entitled "Schedule", Attachment 2 entitled "Program Description", and Attachment 3 entitled "Standard Provisions "

Please sign the original and each copy of this letter to acknowledge your receipt of this award, and return the original and all but one copy to the Grant Officer

Sincerely,



Dana P. Doo-Soghoian
Grant Officer
Office of Procurement
M/OP/B/LA

Attachments

- 1 Schedule
- 2 Program Description
- 3 ~~Standard Provisions~~
- 4 ~~Standards for USAID Funded Communications Projects~~

ACKNOWLEDGED UNIVERSITY OF FLORIDA
COLLEGE OF JOURNALISM AND COMMUNICATIONS

BY



Sandra Goldstein

Title

Assistant Director of Research

Date

4/10/98



ACCOUNTING AND APPROPRIATION DATA

A GENERAL

1	Total Estimated Amount	\$110,167 00
2	Total Program Amount	\$110,167 00
3	Total Obligated Amount	\$110,167 00
4	Cost-Sharing Percentage (Non-Federal)	0%
5	Activity Title	598-0842 University of Florida
6	USAID Technical Office	AA/LAC USAID Senior Adviser to Cuba David Mutchler, Rm 5 08-036 RRB Washington, DC 20523
7	Tax I D Number	596002052
8	DUNS No	004426771 -
9	LOC Number	72-00-1384

B SPECIFIC

1	NMS Request No	11
2	Org ID No	11093
3	Account No	ES98/99LES898
4	Amount Obligated	\$110,167

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ATTACHMENT 1

SCHEDULE

1 1 PURPOSE OF GRANT

The purpose of this Grant is to provide support for the program described in Attachment 2 of this Grant entitled "Program Description "

1 2 PERIOD OF GRANT

The effective date of this Grant is the date of the Cover Letter and the estimated completion date is 07/04/1999

1 3 AMOUNT OF AWARD AND PAYMENT

- (a) USAID hereby obligates the amount of \$110,167 00 for the purposes of this Agreement
- (b) Payment shall be made to the Recipient by Payment - Letter of Credit in accordance with procedures set forth in 22 CFR 226 22

1 4 BUDGET

The following is the Grant Budget, including local cost financing items, if authorized Revisions to this budget shall be made in accordance with 22 CFR 226 25

GRANT BUDGET
4/3/98 to 7/04/99

<u>Cost Line Item</u>	<u>Amount</u>
Measuring Public Opinion in Cuba	\$110,167
USAID Grant Amount	110,167
Grantee Cost Share (not required in this Grant)	
TOTAL ESTIMATED AMOUNT	\$110,167

5

1 5 REPORTING AND EVALUATION**1 5 1 Financial Reporting**

In keeping with the requirements established in 22 CFR 226 52, the Recipient is required to

- (a) Prepare a "Financial Status Report", SF 269a, on an accrual basis and submitted quarterly in an original and two copies to USAID/M/FM/CMP, Room 7 07-095, RRB, Washington, DC 20523

1 5 2 Monitoring and reporting program performance

- (a) Requirements The Recipient shall submit an original and one copy of a brief quarterly program report to the address listed in the Cover Letter In addition, one copy shall be submitted to USAID/PPC/POL/CDIE, Rm M-01-010, RRB, Washington, DC 20523-1802 final performance report is also required
- (b) Contents The quarterly program report shall briefly present the information contained in 22 CFR 226 51(d) It states
"Performance reports shall generally contain for each award, brief information on each of the following

- (1) A comparison of actual accomplishments with the goals and objectives established for the period, the findings of the investigator, or both Whenever appropriate and the output of programs or projects can be readily quantified, such quantitative data should be related to cost data for computation of unit costs
- (2) Reasons why established goals were not met, if appropriate
- (3) Other pertinent information including, when appropriate, analysis and explanation of cost overruns or high unit costs "

1 6 TITLE TO AND CARE OF PROPERTY

Title to all property financed under this award shall vest in the Recipient subject to the requirements of 22 CFR 226 30 through 37

1 7 AUTHORIZED GEOGRAPHIC CODE

The authorized geographic code for procurement of goods and services under this award is 935 (Special Free World) USAID Geographic Code 935 includes any area or country in the Free World, including the cooperative country Cuba, vis-a-vis the Cuban Democracy Act of 1992

In accordance with the Cuban Democracy Act, Cuba is considered an eligible source for those procurements necessary to carry out the specific narrow purpose of this Grant In addition, the U S Treasury Department has issued USAID License No C-18212 and Amendment No C-18212-A thereto authorizing the Agency to carry out the full range of activities under this Grant

1 8 INDIRECT COSTS (DEC 1997)

Pending establishment of revised provisional or final indirect cost rates, allowable indirect costs shall be reimbursed on the basis of the following negotiated provisional or predetermined rates and the appropriate bases

Description	Rate	Base	Type	Period
Home Office Rate	45%	1/	1/	1/
Fringe Benefit	26%	2/	2/	2/

1/ Base of Application Modified total direct costs shall exclude equipment capital expenditures, etc

Type of Rate Provisional
Period 7/1/97 to 6/30/98

2/ Base of Application Modified total direct costs, consisting of all salaries and wages, fringe benefits, etc

Type of Rate Provisional
Period 7/1/97 until amended

1 9 RESOLUTION OF CONFLICTS

Conflicts between any of the Attachments of this Grant shall be resolved by applying the following descending order of precedence

Attachment 1 - Schedule
22 CFR 226
Attachment 3 - Standard Provisions
Attachment 2 - Program Description

1 10 COST SHARING

The Project Office has determined that there is no cost sharing requirement under this Grant

1 11 COMMUNICATIONS PRODUCTS (OCT 1994)

- (a) Definition - Communications products are any printed materials (other than non-color photocopy material), photographic services or video production services
- (b) Standards - USAID has established standards for communications products. These standards must be followed unless otherwise specifically provided in the agreement or approved in writing by the agreement officer. A copy of the standards for USAID financed publications and video productions is attached

- (c) Communications products which meet any of the following criteria are not eligible for USAID financing under this agreement unless specifically authorized in the agreement schedule or in writing by the agreement officer
- (1) Any communication product costing over \$25,000, including the costs of both preparation and execution. For example, in the case of a publication, the costs will include research, writing and other editorial services (including any associated overhead), design, layout and production costs
 - (2) Any communication products that will be sent directly to, or likely to be seen by, a Member of Congress or Congressional staffer, and
 - (3) Any publication that will have more than 50 percent of its copies distributed in the United States (excluding copies provided to CDIE and other USAID/W offices for internal use)

1 12 PAYMENT OFFICE

US AGENCY FOR INT'L DEVELOPMENT
M/FM/CMP/MP
Room 7 07-095 RRB
Washington DC 20523

1 13 GRANT ADMINISTRATION OFFICE

The post-award grant administration office is the U S Agency for International Development, M/OP/B/LA, RRB, Room 7 09-103, Washington, DC 20523. The cognizant Grant Officer is Dana P Doo-Soghoian and may be contacted at 202-712-5671

1 14 PROJECT OFFICE

The Project Office is the U S Agency for International Development, LAC/SPM, RRB, Washington, DC 20523. The cognizant Project Officer is the USAID Senior Advisor to Cuba, David Mutchler, and may be reached at 202-712-4742

1 15 SPECIAL PROVISIONS

(a) This Grant is made pursuant to the authorities of the Cuban Democracy Act, 22 U S C Sec 6001 et seq, Sec 6004, ("CDA") and the Cuban Liberty and Democratic Solidarity (Libertad) Act of 1996, 22 U S C Sec 6021 et seq, Sec 6039, ("Libertad Act"). Activities authorized and financed under this Grant are governed and limited by the terms of the CDA and the Libertad Act

(b) The CDA and the Libertad Act authorize assistance through nongovernmental organizations to support individuals and independent nongovernmental organizations to promote peaceful nonviolent democratic change in Cuba through various types of democracy-building efforts for Cuba. The Grantee agrees that funds made available under this Grant will only be utilized for peaceful,

nonviolent activities in accordance with the CDA and the Libertad Act. The Grantee has assured USAID that the program of the Grantee does not include support or promotion of political change in Cuba by violent means.

(c) The Grantee further agrees that no funds made available under this Grant will be utilized

(1) by the Grantee, by any subgrantee or subrecipient, or by any member organization of the Grantee, in any program involving support or promotion of political change in Cuba by violent means,

-- (2) nor by any subgrantee, subrecipient, or member organization of the Grantee, the program of which includes support or promotion of political change in Cuba by violent means

(d) No funds or assistance under this Grant may be provided to the Cuba Government, as stated in the Libertad Act. The Libertad Act, 22 U.S.C. Sec. 6023(1) and (5), defines "Cuban Government" as including the government of any political subdivision of Cuba, and also any "agency or instrumentality" of the Government of Cuba, as these terms are further defined in 28 U.S.C. Sec. 1603(b). Section 1603(b) states that an organization which is either 1) an "organ of a foreign state or political subdivision thereof" (here an organ of the Cuban Government) or 2) "a majority of whose shares or other ownership interest is owned by a foreign state or political subdivision thereof" is an "agency or instrumentality of a foreign state" thus ineligible for funding under this Grant. Employees of a government of Cuba, as defined above, are also ineligible for assistance under this Grant while working in their official capacities. However, such persons while not working in their official capacities as employees of the Cuban Government are considered as individuals and are eligible for assistance. Furthermore, merely providing information on transitions to democracy, human rights, and market economies to individuals who are Cuban Government employees is not considered assistance to the Cuban Government because the information is offered to these persons as individuals and does not benefit the current Government of Cuba.

(e) The Conference Report to the Libertad Act, H.R. 104-468, page 50, clarifies that "incidental payments or indirect benefits to commercial or regulatory entities of the Cuban Government, e.g., payments for hotels, car rental, travel or transportation to or within the island, purchases of other goods or services in the local economy, customs fees, migration fees, or other comparable government charges" are not considered to be assistance to the Cuban Government which is prohibited by the Libertad Act.

(f) The Grantee shall take all reasonable steps necessary to ensure that the above special provisions a-e are followed. In addition to any other remedies states in the Grant, violation of these special provisions may be grounds for termination of this Grant pursuant to 22 CFR 226.61.

ATTACHMENT 2

PROGRAM DESCRIPTION

The Recipient's proposal entitled "Measuring Public Opinion in Cuba" and dated October 1997 is attached hereto as the Program Description (Attachment 2) and is made a part of this Award

PROGRAM DESCRIPTION

Despite the obstacles to traditional data gathering techniques, the proposal suggests ways to gauge public opinion in Cuba which would represent improvements over previous attempts, although the study findings would be exploratory and not necessarily representative of the entire Cuban population.

In the 1980's, researchers at MIT used a technique developed in the U S Census Bureau for adjusting sample data to reflect known characteristics of a population. The technique, known as "Mostellerization," was used to determine the size of the listening audience for Radio Liberty. It seems to yield good results for sample survey data, especially when the sample sizes for subgroups do not reflect the same balance as in the population. A similar technique would be used in gathering information about Cuban public opinion. A demographic profile of Cuba can be constructed and survey responses weighted to fit the profile.

The proposed project would construct a demographic profile of Cuba based on gender, age, education, geographical location, and race. The actual design would be 2 (gender male/female) X 3 (age youth/middle age/elderly) X 2 (education college/no college) X 2 (geographical location, Havana/outside Havana) X 2 (race white/non-white), which would yield 48 cells. With the aid of census data published by the Cuban government and additional data provided by the Center for Research and Studies at Florida International University, marginals could be determined so that cell values could be estimated. The data would then be subjected to the Mostellerization technique and weighted accordingly.

Three types of surveys would be carried out. The first would be a large-scale survey of recent emigres. The second and third, much smaller in scope, would be administered by telephone to Cubans on the island.

The first set of data would be collected through personal interviews with recent emigres and would require the cooperation of the Immigration and Naturalization Service which will provide the sampling frame (a list of recent emigres). Sample size about 1200 persons.

The second set of surveys, admittedly exploratory in nature, would be carried out through telephone interviews from the homes of Cuban Americans in the Miami area who have regular contact

with relatives on the island. About one hundred Cuban Americans would be contacted and asked if they have relatives in Cuba whom they call regularly. Those that do will be told the purpose of the survey and will be offered as an incentive to participate a free phone call to a relative in exchange for asking a series of questions. The length of the phone call would be determined by the principal investigator and consultants.

To increase the probability of obtaining valid data, the researcher would be present in the home when the call to a relative is made, or, consistent with Florida law, arrangements would be made to tape record the interview and furnish it to the researcher. While such a technique is not without some methodological shortcomings, it does have the advantage of providing responses from Cubans in Cuba, of increasing the likelihood of cooperation by having questions asked by a relative, and of reaching different kinds of people throughout the island. The result of the small-scale survey of Cubans on the island can serve as a check of the survey of recent emigres.

The third data set of data will be derived from a purposeful sample of population sub-groups. For example, there are increasing contacts between former Cuban military officials living in the U.S. and Cuban military in Cuba. Likewise, there are frequent contacts between former Cuban labor leaders now in the U.S. and labor organizations in Cuba.

Survey questionnaires will be approved by USAID, in consultation with the Department of State and other members of the Inter-agency Working Group Support for the Cuban People. Survey focus is expected to include several major areas: 1) Perceived level of repression, and awareness of the activities of Cuban opposition groups and human rights activists, 2) Media use, exposure to Radio and TV Martí and other sources of outside information, 3) Civic participation, membership in non-governmental organizations, 4) Attitude toward economic and other reforms in Cuba, 5) Availability of goods and supplies, 6) Opinions on international issues, awareness of U.S. legislation and foreign policy initiatives affecting Cuba, 7) Outlook for the future.