

SARP
NATURAL RESOURCES
MANAGEMENT PROJECT
MALAWI COMPONENT

(690-0251.12)

(PP AMEND. 1)

SEPTEMBER 18, 1995

AGENCY FOR INTERNATIONAL DEVELOPMENT PROJECT DATA SHEET		1. TRANSACTION CODE <input checked="" type="checkbox"/> [] A=Add, C=Change, D=Delete	Amendment Number	DOCUMENT CODE 3
2. COUNTRY/ENTITY ZIMBABWE		3. PROJECT NUMBER [690-0251]		
4. BUREAU/OFFICE AFRICA []		5. PROJECT TITLE (maximum 40 characters) [Natural Resources Management]		
6. PROJECT ASSISTANCE COMPLETION DATE (PACD) MM DD YY 08 18 99		7. ESTIMATED DATE OF OBLIGATION (Under "B", below, enter 1,2,3 or 4) A. Initial FY 89 B. Quarter 4 C. Final FY 95		

8. COSTS (\$000 OR EQUIVALENT \$1 =)

A. FUNDING SOURCE	FIRST FY _____			LIFE OF PROJECT		
	B. FX	C. L/C	D. Total	E. FX	F. L/C	G. Total
AID Appropriated Total						
(Grant)			5,577,000			5,577,000
(Loan)						(2,843,779)
Other U.S.	1.					
	2.					
Host Country						(227,000)
Other Donor(s)						
TOTALS ->		USAID ONLY	5,577,000		USAID ONLY	50,143,779

9. SCHEDULE OF AID FUNDING (\$000)

A. APPROPRIATION	B. PRIMARY PURPOSE CODE	C. PRIMARY TECH. CODE		D. OBLIGATIONS TO DATE		E. AMOUNT APPROVED THIS ACTION		F. LIFE OF PROJECT	
		1. Grant	2. Loan	1. Grant	2. Loan	1. Grant	2. Loan	1. Grant	2. Loan
(1) DFA	REGIONAL			48,830,000		1,313,779		50,143,779	
(2)									
(3)	MALAWI			(1,530,000)		(1,313,779)		(2,843,779)	
(4)									
TOTALS ->				48,830,000		1,313,779		50,143,779	

10. SECONDARY TECHNICAL CODES (maximum 6 codes of 3 positions each)

11. SECONDARY PURPOSE CODE

12. PROJECT PURPOSE (maximum 480 characters) Overall Purpose: To improve the social and economic well-being of residents of targeted rural communities by implementing sustainable community-based wildlife conservation and utilization programs.

Regional Component Purpose: To obtain and disseminate knowledge of different strategies and methodologies for community management of wildlife

13. SCHEDULED EVALUATIONS

MM | YY MM | YY MM | YY
Interim 02 | 94 01 | 97 Final TBD |

14. SOURCE/ORIGIN OF GOODS AND SERVICES

000 941 Local
 Other (Specify) (935) 100%

15. AMENDMENTS/NATURE OF CHANGE PROPOSED (This is page 1 of a page PP Amendment).

This amendment will add additional time, change the method of implementation to provide assistance via a Cooperative Agreement, and increase funds to carry out the activities required to strengthen the Technical Coordination Unit.

I have reviewed and concur with the methods of implementation and financing Charles E. Burns, Controller August 30, 1995 Date

16. APPROVED BY	Signature: <u>Carole S. Palma</u>	18. DATE DOCUMENT RECEIVED IN AID/W, OR FOR AID/W DOCUMENTS. DATE OF DISTRIBUTION. <u>10/19/95</u>
	Title (A) Director, USAID/Zimbabwe	

ACTION MEMORANDUM FOR THE ACTING DIRECTOR, USAID/ZIMBABWE

FROM: Melissa Williams, PDO *MWilliams*

SUBJECT: Natural Resources Management Project (690-0251.12)
Request for Approval of the Project Paper Supplement for Additional Funding
and Time Extension of the Malawi Regional Component

DATE: September 7, 1995

ACTION REQUESTED:

In connection with the subject project, you are requested to (i) approve the attached project paper supplement, and (ii) approve the attached cable requesting the Regional Center for Southern Africa to amend the project authorization and project grant agreement to increase grant funding by \$1,313,779 pursuant to Section 496 of the Foreign Assistance Act of 1961, as amended, for a new total life of project total of \$50,143,779. Funding for the Malawi component, which is being modified through this amendment, will be increased from \$1,530,000 to \$2,843,779. The current project assistance completion date (PACD) of August 31, 1996 for the Malawi component will be extended commensurate with the overall project PACD of August 18, 1999 to allow for the completion of activities specified herein. The amount to be obligated in FY 95 will be \$1,313,779 for this component.

BACKGROUND AND DESCRIPTION:

On August 19, 1989, USAID authorized the regional Natural Resources Management Project (NRMP) (690-0251) at \$19,530,000 to provide assistance to three member countries of the Southern Africa Development Community (SADC, formerly SADCC) - Botswana, Zambia, and Zimbabwe - and the Ministry of Forestry and Natural Resources of Malawi serving as SADC Sector Coordinator for Forestry, Fisheries and Wildlife. The purpose of the regional project was to improve the social and economic well-being of residents of targeted rural communities by implementing sustainable community-based wildlife conservation and utilization programs.

The Malawi Component of the project (NRMP/MC) was designed in mid-1989 under the direction of USAID/SARP. USAID granted \$1,530,000 to the Government of Malawi (GOM) in its capacity as the SADCC member state responsible for the Forestry, Inland Fisheries and Wildlife Sectors. The current PACD for NRMP/MC is August 31, 1996, whereas the PACD for the overall NRMP activity is August 18, 1999.

Analyses and other requirements:

The attached project paper supplement was prepared by the Regional Center for Southern Africa (RCSA) in Gaborone. The information contained therein demonstrates that:

- the project is technically, economically and socially sound and administratively feasible;
- the technical design and cost estimates are reasonable and adequately planned, thereby satisfying the requirements of Section 611(a) of the Foreign Assistance Act, as amended;
- the timing and funding of project activities are appropriately scheduled and the implementation plan is realistic and establishes a reasonable time frame for carrying out the project;
- adequate provision has been made for evaluation and audit; and
- the project is environmentally sound.

Conditions and Covenants:

The following covenants have been added for the Malawi component in accordance with USAID's Policy Determination 20, dated January 3, 1994.

1. No funds or other support provided hereunder may be used in a project or activity reasonably likely to involve the relocation or expansion outside of the United States of an enterprise located in the United States if non-U.S. production in such relocation or expansion replaces some or all of the production of, and reduces the number of employees at, said enterprise in the United States.
2. No funds or other support provided hereunder may be used in a project or activity the purpose of which is the establishment or development in a foreign country of any export processing zone or designated area where the labor, environmental, tax, tariff, and safety laws of the country would not apply, without the prior written approval of USAID.
3. No funds or other support provided hereunder may be used in an activity which contributes to the violation of internationally recognized rights of workers in the recipient country, including in any designated zone or area in that country.

Waivers:

No waivers are required for the PP Supplement.

Responsible USAID Officer:

The transition and transfer of project management activities for the regional component of the Natural Resources Management Project to the Regional Center for Southern Africa (RCSA) is presently scheduled to occur in November 1995. Until such time as this transfer has been

completed, USAID/Zimbabwe will retain project management responsibility for the Malawi component. This responsibility will be carried out in consultation with personnel of USAID/Malawi and the RCSA. The responsible officer at USAID/Zimbabwe will be the team leader for the Environmental Resources Management Strategic Objective or his/her designee.

Project Review Action:

A Project Review Committee reviewed the Project Paper Supplement on July 26, 1995, and recommended approval subject to modifications. Harare 8308 (attached) transmitted the results of the review to the Regional Center and other southern Africa missions on August 14, 1995. The discussion below highlights key issues for your attention and identifies action parties.

1. **Issue: Additional Funding for Project Manager** -- Following initial distribution of the PP Supplement on July 14, 1995, the Regional Center informed USAID/Zimbabwe that it intended to increase the planned obligation for this project in FY 95 by approximately \$314,000 in order to fund a third country national (TCN)/cooperating country national (CCN) project management position. This position and funding were not included in the PP Supplement as distributed; however, subsequent to distribution of the PP Supplement, USAID/Zimbabwe received the Statement of Work (SOW) for this position, for inclusion in the revised PP Supplement.

Response/Action: The PP Supplement, as revised, includes funding and a statement of the responsibilities for the NRM project specialist to be located in the Regional Center in Botswana. The Regional Center will be responsible for all actions associated with the recruitment, selection, hiring and obligation of funds for the contract of this individual.

2. **Issue: Method of Obligation/Implementation** -- The PP Supplement indicates that funds will be obligated directly through the award of a cooperative agreement (CA) and a personal services contract (PSC). Given this short time frame prior to the end of the fiscal year, it will be difficult to complete the competitive process for the award of the CA and for the recruitment and selection of the PSC.

Response/Action: USAID Zimbabwe expressed its concern regarding the proposed method of obligation in the reporting cable resulting from the PP Supplement Review. The responsibility for ensuring that appropriate obligation mechanisms are developed and that funds are obligated prior to the end of the fiscal year rests with the Regional Center.

3. **Malawi Adherence to Covenants:** The mid-term project evaluation indicated a need to ensure that adequate Malawian personnel were dedicated to the project. The

original project contained a covenant that two such counterpart personnel would be provided. The current project manager for the regional component indicated that, to his knowledge, there are no full time staff dedicated to support these activities. Previous staff have been reassigned, and the SADC Coordinator carries NRM project responsibilities in addition to other non-project related activities. The other staff in the Technical Coordination Unit (TCU) such as the NRM Officer, librarian, etc. are not dedicated to NRM project activities, to the best of our knowledge.

Response/Action: Resolution of this issue will remain an implementation issue. If the additional funds proposed in this PP Supplement are not obligated through a bilateral agreement, USAID will have no additional leverage to formalize agreements regarding adequate counterpart support. Additional information received subsequent to the issuance of the reporting cable indicates that the Malawi unit does have one individual dedicated to project activities; however project implementors (USAID/Zimbabwe in the short term and the RCSA in the longer term, along with the NGO/Consortium) must address this issue on a continuing basis.

4. **Age of Pipeline:** At present, the Malawi component of the project has a pipeline of \$772,349 of which \$677,413 is uncommitted. All of these funds were obligated in FY 1989 and may be subject to deobligation based on information provided by the USAID Controller.

Response/Action: The RCSA is aware of the age and magnitude of the pipeline and will endeavor to commit and expend the existing pipeline funds as quickly as possible.

5. **Project management:** Until such time as the RCSA has the adequate staff in place to assume direct project management of the Malawi component of the NRM project, USAID/Zimbabwe will retain project management responsibilities as agreed at a meeting held in Harare on June 23, 1995, with attendant workload implications. Responsibilities for management of the Malawi component will include such activities as CN/TN preparation; drafting and processing project documents; PIO/T preparation, clearance and approval; selection of personnel; and review of applications for the cooperative agreement.

Response/Action: USAID/Zimbabwe will work with the RCSA to develop a detailed list of project management responsibilities for the Malawi component and designate an appropriate action officer for each activity. In addition to those activities noted above, the list will include any actions resulting from the recent Project Coordinating Committee meeting in Namibia that will require action from USAID/Zimbabwe or the RCSA in fulfillment of management responsibilities for the Malawi component. The current Project Administrator at USAID/Zimbabwe will be responsible for developing the initial list of actions pending.

6. **Competition for Cooperative Agreement:** The Review Committee noted that the PP

Supplement indicates that the invitation for applications (IFA) for the cooperative agreement will be sent to a limited number of regional NGOs. The authorized geographic code for this activity is 935, and USAID/Zimbabwe is concerned that limiting the range of applicants may exclude some capable, U.S.-based NGOs.

Response/Action: The RCSA has consulted with the RCO and RLA and advises that limited distribution of the IFA is considered sufficient and appropriate to meet competition criteria for the selection of the recipient.

7. **Delegation of Authority:** Subsequent to the review, USAID/Zimbabwe has received a copy of STATE 186755, which designates the RCSA as a schedule "A" mission. In accordance with Paragraph 2 of that cable, the RCSA will authorize this amendment. USAID/Zimbabwe will complete the approval process for the Project Paper Supplement and submit to the RCSA for authorization and obligation actions.

Statutory Checklist:

The project statutory checklist need not be redone since only a few issues arise out of recent legislation. The issues are addressed as follows: 1) It has been determined that the project does not finance activities that encourage relocation of U.S. businesses likely to cause loss of U.S. jobs; support export processing zones; or undermine internationally recognized workers' rights in violation of Section 545 of the Appropriations Act, FY 95 and PD 20. 2) It has been ascertained that D.C. parking fines owed by the Government of Malawi have been paid or withheld from prior planned obligations to Malawi (Appropriations Act, FY 95, sec 564). 3) The Malawi country checklist is up-to-date and the country is eligible for assistance.

Notification to Congress:

A Technical Notification for this intended obligation was submitted to Congress on July 31 and expired without objection on August 15, 1995. AFR/SA has indicated that it will issue a cable on the expiration of the Technical Notification once AFR/DP has issued a budget allowance for the amendment funding.

Authority:

Per STATE 186755, USAID/Zimbabwe retains implementation authority for those SARP projects for which it retains management responsibilities. Authorization responsibility, however, rests with the RCSA Mission Director under DOA 551, as amended.

Recommendation:

That you (1) approve the attached project paper supplement to the Malawi component of the Natural Resources Management Project by approving this action memorandum and signing the project data sheet and (2) authorize the attached cable, which informs the director of the Regional Center that the PP supplement has been approved and requests the Regional Center to take appropriate action for authorization and obligation of funds.

Approved: CS Palma

Disapproved: _____

Date: Sept 18, 1995

ad *Wm*

Drafted: stauffer\williams

Clear:

- C. Cutshall, SO1 CRC date 8/22/95
- R. Armstrong, SO1 AKA date 8/27/95
- C. Doggett, RMS CS date 8/29/95
- C. Brooks, CONT DB date 8/30/95
- M. Alexander, RLA LA date 9/11/95
- C. Palma, DDIR CS date 8/15/95

Attachments:

**Outgoing Cable
STATE 186755
Project Data Sheet
Project Paper Supplement
Draft Project Authorization Amendment No. 9**

690-0251.12

UNCLAS AIDAC HARARE 09601

Lasers:

ACTION: AID
INFO: ECON AMB DCM

DISSEMINATION: AID
CHARGE: AID

APPROVED: (A)DIR:CPALMA
DRAFTED: PDO:MWILLIAMS:PNM
CLEARED: 1.NRM:CC; 2.SOL:RA; 3.PRM:CD; 4.CONT:CB;

VZCZCSBI363
RR RUEHOR RUEHTO RUEHLG RUEHLS RUEHDR RUEHSA
RUEHMB RUEHRU RUEHC RUEHWD
DE RUEHSB #9601 2611348
ZNR UUUUU ZZH
R 181348Z SEP 95
FM AMEMBASSY HARARE
TO RUEHOR/AMEMBASSY GABORONE 0153
INFO RUEHTO/AMEMBASSY MAPUTO 0207
RUEHLG/AMEMBASSY LILONGWE 0103
RUEHLS/AMEMBASSY LUSAKA 0154
RUEHDR/AMEMBASSY DAR ES SALAAM 0023
RUEHSA/AMEMBASSY PRETORIA 0186
RUEHMB/AMEMBASSY MBABANE 0078
RUEHRU/AMEMBASSY MASERU 0030
RUEHC/SECSTATE WASHDC 0665
RUEHWD/AMEMBASSY WINDHOEK 0288
BT
UNCLAS HARARE 009601

AIDAC

RCSA FOR DIRECTOR, VALERIE DICKSON HORTON

E.O. 12356: N/A
SUBJECT: APPROVAL OF PP SUPPLEMENT FOR NATURAL RESOURCES
MANAGEMENT (690-0251.12) REGIONAL CO-ORDINATION
COMPONENT

REF: (A) HARARE 08308 (B) STATE 186755

1. USAID/ZIMBABWE WISHES TO INFORM THE REGIONAL CENTER
FOR SOUTHERN AFRICA THAT IT HAS COMPLETED MODIFICATIONS
TO THE PP SUPPLEMENT FOR THE REGIONAL COORDINATION
COMPONENT OF THE NATURAL RESOURCES MANAGEMENT PROJECT
(690-0251.12) AS SET FORTH IN REFTEL A, AND HAS APPROVED
SUBJECT PP SUPPLEMENT. THE APPROVED DOCUMENT IS BEING
TRANSMITTED TO YOU VIA E-MAIL AND COURIER.

2. IN ACCORDANCE WITH REFTEL B, THE RCSA RETAINS THE

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AUTHORITY TO AMEND PROJECT AUTHORIZATIONS. THEREFORE THE DIRECTOR, RCSA IS REQUESTED TO EXECUTE AUTHORIZATION AMENDMENT NUMBER 9 FOR THE NATURAL RESOURCES MANAGEMENT PROJECT (690-0251) INCREASING THE TOTAL LIFE OF PROJECT FUNDING TO \$50,143,779.

3. AS AGREED BY USAID/ZIMBABWE AND THE RCSA, THE RCSA

UN

WILL BE RESPONSIBLE FOR ENSURING THAT FUNDS ARE OBLIGATED PRIOR TO THE END OF THE FISCAL YEAR.

4. USAID/ZIMBABWE REQUESTS THAT A COPY OF THE SIGNED AUTHORIZATION AMENDMENT BE SENT TO US, SO THAT WE MAY INCLUDE IT IN THE PP SUPPLEMENT DOCUMENTATION WHICH IS TRANSMITTED TO AID/W FOR COPYING AND BINDING.

CARSON

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ADM AID

SECSTATE 186755

UNLESS AND UNTIL REVOKED BY THE DIRECTOR/RCSA OR AA/AFR.
REDELEGATIONS OF AUTHORITY ISSUED BY USAID/ZIMBABWE FOR
AUTHORIZATION OF ACTIVITIES UNDER SARP ARE HEREBY REVOKED.

END QUOTE.

TARNOFF

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UNCLAS

ADM AID

SECSTATE 186755

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PROJECT AUTHORIZATION AMENDMENT NO. NINE (9)

Country/Entity: Southern Africa Region
Project Title: Natural Resources Management
Project Number: 690-0251

Pursuant to the Foreign Assistance Act of 1961, as amended; the Foreign Operations, Export Financing and Related Activities Appropriations Acts of 1989 and subsequent years; Delegation of Authority 551, as amended; and ad hoc delegations of authority thereunder, the regional Natural Resources Management Project ("Project") was authorized on August 19, 1989 and amended on eight occasions thereafter. I hereby further amend the Authorization as follows:

In Paragraph 1, delete the phrase "forty-eight million eight hundred thirty thousand U.S. dollars (\$48,830,000)," and substitute the phrase "fifty million one hundred forty three thousand seven hundred seventy nine U.S. dollars (\$50,143,779)."

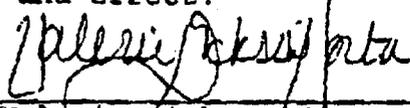
In Paragraph 5.A(2), Malawi Covenants, insert the following new clauses:

d. Safeguarding U.S. Jobs. No funds or other support provided hereunder may be used in a project or activity reasonably likely to involve the relocation or expansion outside of the United States of an enterprise located in the United States if non-U.S. production in such relocation or expansion replaces some or all of the production of, and reduces the number of employees at, said enterprise in the United States.

e. Export Processing Zones. No funds or other support provided hereunder may be used in a project or activity the purpose of which is the establishment or development in a foreign country of any export processing zone or designated area where the labor, environmental, tax, tariff, and safety laws of the country would not apply, without the prior written approval of USAID.

f. Safeguarding Rights of Workers. No funds or other support provided hereunder may be used in an activity which contributes to the violation of internationally recognized rights of workers in the recipient country, including in any designated zone or area in that country."

Except as revised herein, the Project Authorization as amended remains in full force and effect.

Signed: 
Valerie Dickson-Horton
Director, Regional Center
for Southern Africa

Date: 28th September 1995

OCT. 4 '95 9:56AM

00. 015012

Drafted: MAlexander, RLA *[Signature]*

Clearances: WStickel, DD *(init)* in attached
DStauffer, SPDO USA
CBrooks, RCont CBrooks &
9/25/95

**NATURAL RESOURCES MANAGEMENT PROJECT
MALAWI COMPONENT**

PROJECT NO. 690-0251.12

PROJECT PAPER SUPPLEMENT NO. 1

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ACTION MEMORANDUM
PROJECT AUTHORIZATION
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I. EXECUTIVE SUMMARY

This Project Paper Supplement is intended to make available additional financial resources and to extend the completion date for the regional coordination component of the Natural Resources Management Project. It provides for the issuance of an Invitation for Applications (IFA) from a Non Governmental Organization (NGO) or consortium of NGOs based in the southern Africa region to assist the Southern African Development Community's (SADC) Technical Coordination Unit for Wildlife (TCU) in carrying out its regional mandate. Under the IFA it is expected that the selected NGO or consortium shall provide technical advice and support to the TCU for both capacity-building within the Malawi-based TCU, and the implementation of activities under the USAID-funded regional component of the Natural Resources Management Project (NRMP/RC).

The overall purpose of the assistance to be provided to the TCU through the Cooperative Agreement will be to encourage the deepening of the expertise and competence of the TCU, and to expand the TCU's ability to coordinate regional NRM projects, particularly in the area of community-based natural resources management. The enhanced capacity will enable the TCU to play a major role in guiding the future direction of wildlife and other natural resources conservation and sustainable utilization in SADC member countries. The NGO's activities will be guided by the TCU's regional mandate in collaboration with the technical staff of USAID's Regional Center for Southern Africa (RCSA) in Botswana.

In addition, this Project Paper Supplement provides for the hiring of a Natural Resources Management Program Specialist who will be either a Cooperating Country National (CCN) or a Third Country National (TCN) from within the southern Africa region to assist the USAID Regional Center for Southern Africa with the management of the regional NRMP coordination component. This program specialist will be based in Gaborone, Botswana.

II. SUPPLEMENT RATIONALE

A. Background Information

SADC has adopted a Regional Natural Resources Policy and Development Strategy which identifies wildlife (indigenous animals and plants) as an important and integral component of the region's natural resources. The strategy recognizes the technical viability and economic advantages of wildlife utilization in areas only marginally or completely unsuitable for agriculture and livestock production, categories which apply to large portions of the region's land surface. It seeks to extend this form of land use, already demonstrated as viable on privately owned marginal lands in Zimbabwe, to lands under communal forms of management where local populations have received little direct benefit and where the wildlife resource is under heavy pressure from commercial poaching or overutilization. Unless exploitation of wildlife in these communal lands is controlled and subjected to sustainable

forms of utilization, the region will largely be deprived of one of its most productive natural resources, leading to further environmental degradation from which rural populations are likely to suffer most. The SADC strategy, taking a lead from the Communal Areas Management Programme for Indigenous Resources (CAMPFIRE) approach developed in Zimbabwe, has made the development of local management regimes for indigenous resources in rural areas the top regional priority.

Implementation of the SADC strategy rests with Malawi's Ministry of Natural Resources, which serves as the SADC Coordinator for Forestry, Fisheries and Wildlife. Its mandate stems from the policy of SADC to decentralize responsibility for development of the various economic sectors that are important for regional cooperation. The Ministry's Department of National Parks and Wildlife has responsibility for wildlife within this mandate and its chief officer is the Technical and Administrative Coordinator for Wildlife within the SADC Coordination Unit for Forestry, Fisheries and Wildlife. This unit, the Technical Coordination Unit (TCU) currently is staffed by six persons, namely: the Sector Coordinator, a desk officer, an NRMP officer, a librarian, a secretary, and a technical advisor funded by World Wide Fund for Nature (WWF). The regional coordination dimension of USAID's regional Natural Resources Management Project is located within the sector coordinating unit.

Under this regional coordination component of the Natural Resources Management Project, USAID is committed to providing assistance to the SADC Technical Coordination Unit (Wildlife) to facilitate regional cooperation and coordination among NRMP components which are now being implemented in Zimbabwe, Botswana, Namibia, and Zambia and to disseminate information on community-based natural resources management among all SADC member states. There are two principal components of this assistance to the Malawi SADC TCU:

1. **project coordination** (regional communications) the objective of which is to foster better understanding and technical knowledge of community-based natural resources management through sharing of information by documents, workshops, and conferences; and
2. **project monitoring and evaluation** (regional research) the objective of which is to collect and analyze data that may be used to inform project implementation and assess project impact over time.

During the period August 1991 to May 1993, USAID financed a project specialist to the SADC TCU who assisted the Sector Coordinator in undertaking the following activities: establishing an NRM Information Center; holding two annual project conferences in Malawi (1991) and Zambia (1992); collecting and analyzing household-level socio-economic data for Zambia; organizing three special training workshops covering gender analysis, community participation, and participatory rural appraisal techniques; collecting and disseminating articles covering NRM activities in Botswana, Zambia, and Zimbabwe; and developing

indicators to monitor NRM implementation and evaluate NRMP impacts. Overall, the mid-term evaluation of this component of the project carried out in March 1994 was quite positive regarding the activities that were undertaken while the project specialist was in place.

B. Problem Statement

These important regional NRMP coordination and monitoring activities lapsed, however, when the long-term technical advisor resigned in May 1993, and USAID did not field a replacement due to new limitations on United States Personal Services Contractor (USPSC) personnel. The mid-term evaluation of this project component strongly recommended the continuation of these important regional project activities and services as follows:

1. Regional NRMP activities should continue to be carried out within the SADC framework, and that inasmuch as the SADC Wildlife Technical Coordination Unit (TCU) is located in Malawi, regional NRM activities should retain their Malawi base;
2. SADC and the Government of Malawi should demonstrate their interest in supporting regional activities by providing at least two full-time staff to regional activities within the coordination unit;
3. USAID should honor its agreement to provide technical assistance to the TCU, i.e., the Project Advisor's position should be filled; and,
4. Implementation of regional activities should seek to make better use of existing regional institutional capacities, especially NGOs.

Initial efforts to comply with these recommendations through the replacement of the long-term technical advisor in August 1993 failed, due to the unforeseen constraints imposed through the Agency-wide reduction in Personal Services Contractor (PSC) staff. More recently, USAID and the TCU have formally agreed to pursue the effective implementation of these recommendations through Project Implementation Letter (PIL) No. 21 dated November 21, 1994 (copy included as Annex D), which revised those sections of the NRMP's Malawi (SADC) Component Agreement dealing with USAID and "Implementing Agency" (SADC/TCU) responsibilities, i.e., Sections 3.1 and 3.2 of the Amplified Project Description, respectively, to promote an effective project response to these implementation concerns. Through the proposed cooperative agreement with a regional NGO or consortium, USAID intends to reactivate and strengthen regional coordination and communications under the leadership of the SADC TCU. Regional coordination and information sharing will also be important functions of the Natural Resources Management Program Specialist based in the Regional Center.

C. Conformance with Agency and Regional Strategies

USAID's *Strategies for Sustainable Development* sets forth guidelines for programs in the priority areas of environmental protection, building democracy, population, and economic growth. Key fields in the environmental arena include: climate change; biodiversity; agricultural, industrial, and natural resource management practices; public policies and institutions; research and education; and bilateral and multilateral interventions. The Natural Resources Management Project directly supports this focus area through activities aimed at fostering and promoting community involvement and decision-making in sustainable use programs. The regional component of the project specifically seeks to encourage regional approaches, through coordination, exchange of information on lessons learned and best practices, as well as evaluation and monitoring of cross-cutting issues in the region.

The newly established Regional Center for Southern Africa (RCSA) operates under the mandate and guidelines of the *Strategic Start-up Framework for the Initiative for Southern Africa (ISA)*. This framework is based on four strategic objectives, one of which is focussed on the agriculture and natural resources sector (ANR). The relevant strategic objective will result in "key regional conditions established for sustainable increases of agricultural and natural resources productivity by smallholders." Activities under the regional component of the Natural Resources Management Project support the intermediate outcomes of "improved data and analyses for regional ecosystem management" and "strengthened regional institutional capacity to lead regional efforts to increase ANR productivity."

As noted above, regional coordination activities will be implemented through the SADC Wildlife Technical Coordinating Unit based in Malawi. Although USAID management for this activity will rest with USAID/Zimbabwe initially and the Regional Center for Southern Africa beginning in late 1995, it is important to note that USAID/Malawi will be implementing a separate natural resources management program concurrently with the regional activity. USAID/Malawi's Natural Resource Management and Environmental Support Program (NATURE) is scheduled for authorization and obligation in 1995. This \$40 million combined non project and project assistance activity will assist Malawi to establish a policy framework and enabling environment that will empower local communities, organizations and government to conserve and reverse the on-going destruction of the nation's natural resources. The NATURE Program will: a) develop a comprehensive public policy framework which enables and stimulates natural resource management and conservation actions; b) strengthen the Malawian government's capacity to administer, implement, and monitor the environmental policies and programs; c) expand community-based, organized, and led resource management programs; d) address critical land tenure issues on communal, estate and public lands; e) establish a national environmental education program; and f) evaluate the feasibility of creating a non-governmental endowment as a means for sustained funding of natural resource management activities.

III. IMPLEMENTATION PLAN

A. Implementation Activities

1. Assistance to the SADC/TCU (Wildlife)

The services of the NGO/Consortium will be secured competitively through an Invitation for Applications (IFA) issued by USAID's Regional Center for Southern Africa based in Gaborone.

The selected NGO/Consortium will assist the SADC Technical Coordination Unit (Wildlife) over a two-year period in carrying out the specific functions and activities described below, and in strengthening the capacity of the Unit to undertake these functions. Depending on the progress made and the needs at the end of that two-year period, there is a possibility that the cooperative agreement could be extended for an additional period of up to two years.

a. Capacity-Building of the Technical Coordination Unit

The NGO/Consortium will provide advisors who will work closely with the Sector Coordinator and the full-time staff required to be assigned to this unit by SADC and the Government of Malawi (the project counterparts), in order to enhance the ability of the unit to fulfill its mandate. Functions to be strengthened include planning, project development and oversight, project evaluation, communications and coordination among the various wildlife units and projects in SADC member countries. Over time, the staff of the Unit should assume increasing responsibility for carrying out the functions described below, and should demonstrate a mastery of the skills received through any project-supported training.

b. NRMP Administration

In addition to its SADC obligations, the TCU (Wildlife) in Malawi has responsibility for a number of functions under the USAID NRMP which provide the critically needed linkages among the activities of widely dispersed groups. These functions include liaison, data collection and analysis, and the sharing of information and techniques on what works best from the experience of practitioners within the region. The NGO/Consortium will assist the TCU in planning for and effectively carrying out these functions, with the goal of ensuring that by the end of the two-year period, the TCU will have an improved capacity to fulfill its regional coordination role.

i. Liaison

The TCU and the Sector Coordinator who heads the Unit, serve as the primary liaison between the regional projects funded under USAID's regional program for Southern Africa, and other SADC countries and programs. In this capacity, the TCU liaises with the USAID

project manager and project staff from all participating countries (the Project Coordination Committee (PCC) members), with the new USAID Regional Center for Southern Africa, with NGOs and other organizations involved in wildlife and natural resources management activities in the region, and with counterpart officials from other SADC member countries.

ii. Communications and Information Exchange

Among the important communications and information exchange functions served by the SADC TCU are the following:

(1) Handle correspondence related to the NRMP.

(2) Respond to requests and issues raised by PCC members.

(3) Serve as the secretariat to the NRMP Project Coordination Committee, and is primarily responsible for the PCC's operations. Organizes and chairs the periodic meetings of the PCC. This includes preparing the agenda, selecting and contracting a site, sending out announcements and tracking responses, estimating requirements for travel and per diem expenditures, facilitating/chairing sessions, preparing and distributing minutes from the meetings;

(4) Organize annual regional conferences and periodic workshops to share experiences and lessons learned among a range of participants in the NRMP-funded pilot projects and other community-based NRM projects in the region. This includes preparing an agenda and background paper, preparing a budget, selecting and contracting a site, sending out announcements and invitations and tracking responses, arranging for facilitators and translators, chairing sessions, and preparing and distributing final reports;

(5) Disseminate information related to community-based natural resources management to project participants and other collaborators within the SADC region, including lessons learned from the national-level projects, and articles in the press about projects within the region or successful projects elsewhere. Provide information to the press or other groups to publicize the activities of the NRMP;

(6) Prepare annual project workplans, detailed budgets, and quarterly progress reports; and

(7) Facilitate networking among community-based natural resources management practitioners within the region. Networking can be achieved through regional workshops and conferences, newsletters or other publications, and also through exchanges and visits by counterparts within local NGOs, wildlife departments, and community management committees.

iii. TCU Monitoring and Evaluation Responsibilities

Monitoring and evaluation is a critical element of the NRMP. It is needed to assess progress against objectives and actual impact on intended beneficiaries -- both essential to demonstrating that the benefits merit the costs involved as well as to identify and resolve problems that may arise during the course of implementation. Specific TCU responsibilities under monitoring and evaluation include:

- (1) Developing a monitoring and evaluation strategy for the NRMP;
- (2) Monitoring and reporting on implementation progress, and developing a strategy for resolving problems and issues;
- (3) Evaluating and reporting on overall impact of the NRMP, and ensuring that proper data and analysis are being generated;
- (4) Assisting country programs in defining and evaluating monitoring strategies;
- (5) Advising SADC institutions on wildlife and NRM monitoring issues;
- (6) Ensuring that lessons learned are disseminated throughout the region; and
- (7) Monitoring expenditures for the Malawi coordination component.

iv. Technical Leadership

(1) Coordinate technical discussions between NRMP partners, and be responsible for coordinating responses to technical problems and issues;

(2) Has primary responsibility for summarizing technical impacts and implications of NRM projects and interventions. One issue that may merit special attention is the need to improve the marketing of products from community-based NRM (CBNRM) programs.

(3) Training: Identify technical training needs for TCU staff and staff of other wildlife/natural resources management projects in the region, and develop a training strategy to meet those needs. Organize a limited number of specialized technical training seminars (selects training topics, identifies trainer and venue, announces courses, coordinates participation, evaluates effectiveness of training received). Receive, review and approve requests for training on basis of predetermined criteria; and

(4) Research: Under the NRMP Malawi Coordination Component, provision was made for a limited number of small research grants (the total of which was not to exceed \$100,000) which would support the functions outlined above (monitoring and evaluating impact of interventions, advancing knowledge on the state of the art in CBNRM, special

issues related to regional CBNRM programs, etc.) Specific research functions of the TCU include: identifying needs and priorities for regional research; prioritizing the use of the project's research grant funds; developing procedures for awarding grants (application procedures, evaluation criteria, procedures for disseminating calls for proposals via recognized regional channels, a peer review process, and standard grant form); and monitoring implementation and reporting of results for research grants.

2. Natural Resources Management Program Specialist

The Natural Resources Management Program Specialist will be hired under a contract with the RCSA, and will be selected competitively from within the southern Africa region. The responsibilities of this position will be as follows:

- a. Serve as primary liaison between the RCSA and both the SADC/TCU (Wildlife) and the NGO/Consortium in the implementation of the regional coordination component of the NRMP.
- b. Provide technical support, guidance, and oversight for the overall NRMP program, and to each of the country level components as needed. Provide guidance to the RCSA and SADC on follow-on efforts and strategy for addressing community-based natural resources management in the southern Africa region.
- c. Keep up to date on the progress of implementation of each of the NRMP country components, and share information on each of the programs among USAID mission staff, SADC member government ministries, and NGOs in the region.
- d. Serve as a source of expertise on community-based natural resources management in the southern Africa region, and policy and legal issues associated with CBNRM. Collect and maintain relevant information on CBNRM for USAID's Regional Center and the SADC/TCU (Wildlife).
- e. Provide technical and administrative backstopping to the NGO/Consortium working through a cooperative agreement with the SADC/TCU/Wildlife. Work in close collaboration with this organization/consortium to facilitate the achievement of the objectives of the NRMP regional coordination component, including its efforts in support of networking, information dissemination, research grant support, monitoring and evaluation.
- f. Develop scopes of work for, and participate in, evaluations of the overall NRMP program and the regional coordination component.
- g. Establish and maintain complete and up to date project files for the NRMP.
- h. Prepare reports and other documentation on the progress, impact, problems and

successes of the NRMP as required.

- i. Participate in meetings, conferences or workshops in the region related to natural resources management and USAID's assistance through the NRMP, including the quarterly PCC meetings and the annual NRMP workshop.

The Natural Resources Management Program Specialist should have a master's degree in natural resources management or a related discipline, have a minimum of five years of work experience in natural resources management and/or community development, knowledge of the CBNRM issues facing the southern African region, and demonstrated ability to work collaboratively with a broad spectrum of people from the government, NGO and private sectors, and resource users at the community level. Excellent interpersonal skills, writing and communication skills are essential. The specialist should be able to use a computer, and must be available to travel up to 50% of work days within the southern Africa region. He/she must be a citizen of a southern African country.

The Natural Resources Management Program Specialist will be based in the RCSA office in Gaborone, Botswana, and will work under the direction of the USAID Agriculture and Natural Resources Development Officer for the RCSA.

B. Implementation Roles and Responsibilities

(1) NGO/Consortium

The NGO/Consortium will propose how best to provide assistance to the TCU (Wildlife) in carrying out these functions, and a plan of action, budget and staffing will be agreed upon by USAID.

To fulfill the responsibilities described above for providing advice and support for the SADC Wildlife TCU, it is expected that a full-time advisor will need to be assigned to the Unit in Malawi. The qualifications of this advisor are to be proposed by the NGO/Consortium based upon the tasks to be performed, and included in the proposal provided in response to the invitation for applications (IFA). The same process whereby the NGO/Consortium recommends technical assistance needed and proposes a qualified consultant, to be approved by SADC/TCU and USAID, will be followed for any additional requirements for long-term and short-term advisory assistance identified in the future. The NGO/Consortium will be responsible for recruiting for any needed long-term and short-term technical assistance, hiring such advisors, and providing any required logistical support both within Malawi and as they travel within the region.

The NGO/Consortium will also be responsible for organizing the annual project meetings and any training workshops which the SADC TCU (Wildlife) and USAID may approve for funding under the project; funds will be made available under the Cooperative Agreement for two annual meetings and two regional training workshops. The NGO/Consortium will

also assist the TCU in making arrangements for approved training (whether of the TCU's staff, of other trainees approved for NRMP funding under the regional coordination component, or of exchange visits among counterparts from NRMP countries).

The NGO/Consortium will manage the small research grants program, following the priorities, procedures, and criteria developed with the SADC TCU and jointly agreed by USAID.

The NGO/Consortium will prepare an annual workplan and budget in collaboration with the TCU, which will be submitted to the PCC and to USAID for discussion and approval. This workplan will serve as the basis for all implementation actions during the succeeding year, and will be based on the NRMP's annual project workplan as approved by the NRMP Coordinating Committee. The first such workplan will be submitted by the NGO/Consortium within 60 days of the starting date of the Cooperative Agreement, and the second workplan shall be submitted one year later. The NGO/Consortium also shall submit semi-annual reports to the USAID/RCSA in Botswana, which outline progress toward meeting objectives for the assistance, any problems encountered and recommendations for resolution, and financial expenditures against the budget.

The regional NGO/Consortium shall work closely with the WWF/International SADC Technical Advisor currently working with the Unit until his departure in December 1995, to ensure that the TCU's SADC responsibilities are being fully met.

Finally, the NGO/Consortium will facilitate accomplishment of the TCU's other functions, including coordination, information sharing, monitoring and impact evaluation, and communications. It shall play an active role in identifying information needs, locating and disseminating this information, and linking up peers and counterparts in the various SADC member countries active in community-based natural resources management.

(2) TCU/Government of Malawi

The TCU shall provide technical direction for the NGO/Consortium, and shall facilitate all legal and logistical arrangements associated with the entry and establishment of the NGO/Consortium into Malawi. In addition, the TCU shall review and comment on technical submissions from the NGO/Consortium including, but not limited to, an annual work plan, reports from long and short term consultants, evaluations, and assessments. The TCU will also evidence its approval of proposed personnel in writing to USAID and the NGO/Consortium.

The Government of Malawi, acting through the TCU, shall provide adequate counterpart personnel for the proposed NGO/Consortium. Availability of appropriate personnel is essential to meet project objectives of sustainability and of a strengthened TCU.

(3) USAID

USAID Zimbabwe will retain overall project management responsibility for the regional component of the NRM project, until transfer of such responsibilities to the RCSA is completed in late 1995. USAID/Zimbabwe will undertake its interim project management responsibilities in a fully collaborative manner with personnel of the RCSA in order to facilitate an easy transition. Upon transfer of responsibility the responsible officer will be the USAID Project Manager (the Agriculture and Natural Resources Officer in the Regional Center for Southern Africa (RCSA), Gaborone, Botswana, or as designated, the Natural Resources Management Program Specialist whose role is outlined above).

USAID will coordinate with the NGO/Consortium regarding opportunities, progress, constraints and problems, and will provide guidance and advice for resolving problems. USAID staff will visit project sites periodically to review and advise on project progress. The project manager, as well as the Technical Coordinator/SADC, will approve all key personnel utilized under the Cooperative Agreement, including long-term and short-term technical advisors.

USAID will directly procure mid-term and final evaluation services, and any required external audit services.

C. Implementation Schedule

The term of the Cooperative Agreement is to be for a period of two years, beginning no later than August 1995. The schedule of implementation actions for the Cooperative Agreement is as follows:

- | | |
|--|--------------------|
| - Completion of Invitation for Applications | July 31, 1995 |
| - Deadline for Receipt of Applications | September 7, 1995 |
| - Completion of Review of Proposals | September 10, 1995 |
| - Completion of Cooperative Agreement Negotiations | September 30, 1995 |
| - Desired Starting Date | October 15, 1995 |
| - End of Cooperative Agreement | September 30, 1997 |

IV. FINANCIAL PLAN

The revised financial plan for this project component is presented in Annex C. The total estimated cost of the services specified above to be provided under this new

NGO/Consortium Cooperative Agreement is approximately \$1,339,000, and the cost for a two year period of services by the Natural Resources Management assistant is \$314,000. The project has a current pipeline of approximately \$770,000 which will be used to partially fund the planned new activities as well as on-going activities. However, in order to fully fund the project through 1997 will require a total of \$1,313,779 in additional project funds, which will bring the USAID assistance to a total of \$2,843,779 for this project component, and a new total project component cost of \$3,071,179 (including a \$227,500 equivalent in-kind contribution from the Government of Malawi).

With the addition of a NGO/Consortium as a provider of technical assistance and related services under the project, the methods of obligation, implementation and financing will be modified. The additional \$1,313,779 approved through this supplement may be obligated either through: 1) an amendment to the existing bilateral agreement with the Government of Malawi or 2) directly through a cooperative agreement with a competitively selected NGO or consortium, and a contract to the NRM assistant. Final determination of the most appropriate method of obligation will be agreed upon between USAID/Malawi and the Regional Center for Southern Africa. All prior funds have been obligated through a bilateral agreement with the Government of Malawi. The summary chart below indicates the methods and financing and implementation to be used under the project.

Methods of Implementation and Financing			
Element	Method of Implementation	Method of Financing	Cost
Technical Assistance	Personal Services Contract (PSC) Host Country Contracting	Direct Payment Direct Payment	\$ 246,000
Research	Direct Contract Purchase Order Buy-in to PASA	Direct Payment Direct Reimbursement	\$ 220,400
Audit/ Evaluation	Direct Contract Indefinite Quantity Contract	Direct Payment Direct Reimbursement	\$ 470,250
Regional Liaison	Personal Services Contract (PSC) Travel Authorizations Host Country Contracts	Direct Payment Direct Reimbursement Direct Reimbursement	\$ 506,850
Commodities	Direct Contract Purchase Order	Direct Payment	\$ 61,500
NGO/Consortium*	Cooperative Agreement	Direct Payment or Letter of Credit	\$ 1,338,779
TOTAL			\$ 2,843,779

* Element "NGO/Consortium" is added through this amendment. All technical assistance, training, research grants, commodity procurement and administrative support related to

activities of the NGO/Consortium are included in this new element.

V. DEFINITION OF SUCCESS

A. Indicators of Project Success

The following indicators of success relate specifically to performance and results anticipated from the Cooperative Agreement with the NGO/Consortium. They are different from those indicators in the Project Logframe (Annex A) which relate to the overall regional coordination component.

By the end of two years, the NGO/Consortium should have accomplished the following results under the Cooperative Agreement:

1. Strengthened the capacity of the TCU to carry out its regional coordination function, through skills development of TCU staff and the introduction of effective operational procedures for communications, planning, monitoring and evaluation;
2. Organized two successful annual regional conferences for the NRMP;
3. In collaboration with the TCU, identified training needs, prepared a training plan, and begun implementation of the training plan, which will include at a minimum two regional training workshops to develop skills important for CBNRM practitioners in the SADC member countries and training for TCU staff;
4. In collaboration with the TCU, developed and implemented a plan to improve communications and networking among the NRMP country components, as well as others involved in natural resources management in SADC member countries, CBNRMP-implementing countries outside SADC and the Regional World Conservation Union (commonly known as "IUCN") Sustainable Utilization Specialist Group (SUSG). This will include the collection and dissemination of articles, reports, and other documents of relevance to CBNRM project implementors, and the provision of NRMP-related publicity to the press in the region;
5. In collaboration with the TCU, identified priority research needs for the region, developed priorities and procedures for the NRMP's small research grant program, and managed the utilization of research grant funds;
6. In coordination with the TCU, engaged SADC members in a dialogue on a limited number of policy issues which have regional implications; and

7. Assured that other NRMP coordination tasks were completed in a timely fashion, including preparation of annual workplans, budgets and reports; responding to correspondence; planning and holding PCC meetings; tracking progress and correcting any problems identified; and assessing the impact of the NRMP.

B. Monitoring and Evaluating Success

As indicated in Section III.B above, the TCU, with assistance from the NGO/Consortium, will be charged with ensuring that adequate national level monitoring and evaluation systems are in place. In addition, this regional coordination component will also be evaluated to determine progress toward those indicators of success identified in the Section V.A. above. A review of progress is scheduled for January 1997 to determine an appropriate course of future action. If a determination is made to extend the NGO/Consortium, the proposed timing of this evaluation will provide sufficient lead time for negotiation and execution of such an extension.

VI. OTHER SPECIFICATIONS, TERMS AND CONDITIONS

All other specifications, terms and conditions of the project's Malawi regional component, as amended, remain unchanged.

Natural Resources Management Project
 PP Supplement - Malawi Component
 690-0251.12
 Project Logical Framework
 Regional Level

Annex A
 Page 1 of 2

NARRATIVE	INDICATORS	MEANS OF VERIFICATION	ASSUMPTIONS
<p>Regional Goal:</p> <p>Regional cooperation among participating SADC member states in managing and protecting the natural resource base of the SADC region for purposes of sustainable social and economic development as well as protection of ecological diversity.</p>	<p>SADC member states meeting periodically to review progress in natural resource strategy implementation and to share expenses.</p> <p>SADC member states cooperating across borders to address Natural Resources, particularly, wildlife management issues.</p>	<p>Project evaluation.</p> <p>Progress reported at annual SADC meetings.</p>	<p>Natural resource management, including wildlife management is a priority of participating member governments.</p>
<p>Overall Project Purpose:</p> <p>To improve the social and economic well-being of residents of targeted rural communities by implementing sustainable community-based wildlife conservation and utilization programs.</p> <p>Regional Component:</p> <p>To obtain and disseminate knowledge of different strategies and methodologies for community management of wildlife resources among SADC member states.</p>	<p>Project Results:</p> <p>Increased institutional capacity of SADC to address issues of natural resource utilization on a regional basis.</p> <p>Adoption of community-based natural resource management strategies that have proven to be effective in Southern Africa.</p> <p>SADC wildlife policy-makers are knowledgeable of project-funded information disseminated on community-based natural resources management.</p>	<p>Project evaluation</p>	<p>Activities undertaken include a broad cross section of potential models for SADC member countries.</p> <p>Significant numbers of wildlife populations are not destroyed before local management is put into effect.</p> <p>Natural or man-made disasters do not destroy important wildlife populations</p>

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NARRATIVE	INDICATORS			MEANS OF VERIFICATION	ASSUMPTIONS																								
Regional Outputs: Semi-annual meetings Annual regional workshops Cross Border Research papers written Trained staff Newsletter established and functioning Comparative Analysis of different community-based sustainable NRM models	8 4 5 24 1			Project records Project records	Effective administration and management of project inputs																								
Regional Inputs: Technical Assistance Research Audit/Evaluation Regional Liaison Commodities NGO Cooperative Agreement TOTAL	<table border="1"> <thead> <tr> <th>USAID</th> <th>SADC</th> <th>TOTAL</th> </tr> </thead> <tbody> <tr> <td>\$ 246,000</td> <td>0</td> <td>246,000</td> </tr> <tr> <td>220,400</td> <td>0</td> <td>220,400</td> </tr> <tr> <td>470,250</td> <td>0</td> <td>470,250</td> </tr> <tr> <td>506,850</td> <td>227,500</td> <td>734,350</td> </tr> <tr> <td>61,500</td> <td>0</td> <td>61,500</td> </tr> <tr> <td>1,338,779</td> <td>0</td> <td>1,338,779</td> </tr> <tr> <td>2,843,779</td> <td>227,500</td> <td>3,071,179</td> </tr> </tbody> </table>			USAID	SADC	TOTAL	\$ 246,000	0	246,000	220,400	0	220,400	470,250	0	470,250	506,850	227,500	734,350	61,500	0	61,500	1,338,779	0	1,338,779	2,843,779	227,500	3,071,179	NGO Quarterly reports USAID Disbursement Reports Grantee Audit Reports	U.S. Assistance available throughout projected life of project. All parties fulfill roles provide anticipated inputs.
USAID	SADC	TOTAL																											
\$ 246,000	0	246,000																											
220,400	0	220,400																											
470,250	0	470,250																											
506,850	227,500	734,350																											
61,500	0	61,500																											
1,338,779	0	1,338,779																											
2,843,779	227,500	3,071,179																											

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INITIAL ENVIRONMENTAL EXAMINATION
OR
CATEGORICAL EXCLUSION

PROGRAM/PROJECT DATA:

Program Number: 690 -0000
Project Number: 690- 0251

Country/Region: Regional Southern Africa

Program/Project Title: Natural Resources Management Project/Malawi Component

Funding Begin: FY1989 Funding End: FY1999 LOP Amount: 49,830,000
Sub-Activity Amount: \$2,530,000

IEE Prepared By: Eric R. Loken, Regional Environmental Officer, REDSO/FSA

ENVIRONMENTAL ACTION RECOMMENDED: (Place X where applicable)

Categorical Exclusion: XX Negative Determination: _____
Positive Determination: _____ Deferral: _____

ADDITIONAL ELEMENTS: (Place X where applicable)

EMEMP: _____ CONDITIONS: _____ PVO: XX

SUMMARY OF FINDINGS:

This project amendment provides additional time and funding for implementation of the Malawi Component of the Southern Africa Regional Natural Resources Management Project. This project amendment will provide an additional \$1,000,000 and two (2) years to this project component to promote the achievement of the original regional project/component objectives -- to improve the social and economic well-being of residents of targeted rural communities by implementing sustainable community-based wildlife conservation and utilization programs. These additional funds will be used to provide additional technical assistance, training and small-scale research services for improved NRMP coordination and communications, monitoring and evaluation, and technical leadership. Pursuant to Section 216.2 (c) (1) (i) and (iii) and 216.2 (c) (2) (i & iii) of 22 CFR 216, technical assistance, and training are excluded from USAID environmental review procedures, therefore, a Categorical Exclusion is recommended for these activities. In addition this project amendment will provide for a number of small research grants administered under a fund, since these grants will be small (under \$100,000) and the research will be of a controlled nature, they also would qualify for a Categorical Exclusion under Section 216.2 (c) (2)(iii).

APPROVAL OF ENVIRONMENTAL ACTION RECOMMENDED: (Type Name Under Signature Line)

CLEARANCE: Eralt Date: 7/25/95
Mission Director:

CONCURRENCE: John J. Gaudel Date: 7/25/95
Bureau Environmental Officer: Approved: _____
Disapproved: _____

CLEARANCE: Drew Hunter Date: 7/26/95
General Counsel (Africa Bureau)

Summary Financial Plan for NRM Malawi Component

	Current	Changes	Revised
I. Technical Assistance	513,000	(267,000)	246,000
II. Research	272,400	(52,000)	220,400
III. Audit/Evaluation	470,249	1	470,250
IV. Regional Liaison	207,851	298,999	506,850
V. Commodities	66,500	(5,000)	61,500
VI. NGO/Consortium	0	1,338,779	1,338,779
TOTAL	1,530,000	1,313,779	2,843,779

**Summary Financial Plan for Project Paper Amendment
 (New Activities)**

	Yr1	Yr2	Total
	10/01/95 09/30/96	10/01/96 09/30/97	
I. Coop—Agree: Salaries and Fringes	149,265	156,728	305,993
II. Coop—Agree: Indirect Costs	41,265	43,328	84,593
III. Coop—Agree: Allowances	70,726	60,887	131,613
IV. Coop—Agree: Travel and Transportation	24,000	38,000	62,000
V. Coop—Agree: Equipment and Supplies	98,450	19,763	118,213
VI. Coop—Agree: Other Direct Costs/Operations	57,000	59,400	116,400
VII. Coop—Agree: Subgrants/projects/subcontracts	316,700	203,600	520,300
VIII. Project Management	174,000	140,000	314,000
Total	931,406	721,706	1,653,112

The budget for the Project Paper Supplement includes \$1,313,779 in funds to be authorized and obligated in FY 95 and approximately \$340,000 of funds previously obligated but unexpended which will be reprogrammed for activities under this PP Supplement.

Illustrative Budget for Cooperative Agreement

Category	Yr1	Yr2	Total
	10/01/95 09/30/96	10/01/96 09/30/97	
I. Salaries & Fringes	146,655	153,988	300,643
II. Indirect Costs	40,530	42,557	83,087
III. Allowances	70,467	60,615	131,082
IV. Travel & Transportation	27,000	42,075	69,075
V. Equipment & Supplies	98,450	19,763	118,213
VI. Other Direct Costs – Operations	57,000	59,400	116,400
VII. Subgrants/projects/subcontracts	316,700	203,600	520,300
Total	756,802	581,997	1,338,799

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I. Salaries & Fringes	Yr1 10/01/95 09/30/96	Yr2 10/01/96 09/30/97	Total
A. Home Office Staff			
based on 260 days per year, increase per year	5.00%		
Principal in charge (15% FTE)	9,000	9,450	18,450
Contracts budget officer (15% FTE)	6,000	6,300	12,300
Project Administrator (15% FTE)	3,750	3,938	7,688
Subtotal	18,750	19,688	38,438
Fringe benefits (21%)	3,938	4,134	8,072
Total US Support	22,688	23,822	46,509
B. Field Staff			
Resident Manager	75,000	78,750	153,750
Subtotal	75,000	78,750	153,750
Fringe benefits (21% on sal & differential)	18,113	19,018	37,131
Total Field Staff	93,113	97,768	190,881
C. In-Country Staff			
Financial Manager	18,000	18,900	36,900
Secretary	5,000	5,250	10,250
Driver	2,500	2,625	5,125
Subtotal	25,500	26,775	52,275
Fringe benefits (21%)	5,355	5,623	10,978
Total In-country staff	30,855	32,398	63,253
Total Salaries & Fringes	146,655	153,988	300,643
II. Indirect Cost			
Home Office Staff (35% salaries)	7,941	8,338	16,278
Field Staff (35% salaries)	32,589	34,219	66,808
Total Indirect	40,530	42,557	83,087

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	Yr1 10/01/95 09/30/96	Yr2 10/01/96 09/30/97	Total
III. Allowances			
Post Differential	13,967	14,665	28,632
Shipping/Storage of Household Effects	7,500	7,500	15,000
Consumable Shipment (2,500 x \$4.30)	10,750		10,750
Annual Medical Exam/SOS insurance	250	250	500
Housing	18,000	18,000	36,000
Education Allowance	16,000	16,000	32,000
DBA insurance	4,000	4,200	8,200
Total Allowances	70,467	60,615	131,082
IV. Travel & Transportation			
To and From Post (\$3000 per trip)	12,000	12,000	24,000
Coordination Visits (Transport and per diem)	15,000	18,075	33,075
R&R (\$3,000 per trip)		12,000	12,000
Total Travel & Transportation	27,000	42,075	69,075

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	Yr1 10/01/95 09/30/96	Yr2 10/01/96 09/30/97	Total
V. Equipment & Supplies			
Home Office Equipment			
486 Computer @ 2,700	2,700		2,700
HP Laser printer 1 @ \$1,300	1,300		1,300
Office Equipment	1,000		1,000
Typewriter	200		200
FAX	1,400		1,400
Modem	600		600
Subtotal Home Office Equipment	7,200		7,200
Field Office Equipment			
Furniture	12,000		12,000
Copier	10,000		10,000
FAX	2,000		2,000
486 Computer 3 @ 2,700	8,100		8,100
HP Laser printer 1 @ \$1,300	1,300		1,300
TV & VCR	2,500		2,500
Taperecorder	500		500
Vehicles 1 @ \$30,000	30,000		30,000
Subtotal Field Office Equipment	66,400	0	66,400
Home Office Supplies			
Maintenance contract	600	600	1,200
Computer software	1,000		1,000
General supplies	1,750	1,838	3,588
Office Supplies	4,000	4,200	8,200
Photocopying & printing	4,000	4,200	8,200
Subtotal Home Office Supplies	11,350	10,838	22,188
Field Supplies			
Office Supplies	5,000	5,250	10,250
Photocopying & Printing	3,500	3,675	7,175
Computer software	5,000		5,000
Subtotal Field Supplies	13,500	8,925	22,425
Total Equipment & Supplies	98,450	19,763	118,213

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	Yr1 10/01/95 09/30/96	Yr2 10/01/96 09/30/97	Total
VI. Other Direct Costs – Operations			
Home Office Support			
Communications	3,000	3,150	6,150
Subtotal	3,000	3,150	6,150
Field Support			
Rent	18,000	18,900	36,900
Utilities	2,000	2,100	4,100
Communications	12,000	12,600	24,600
Office expense	3,000	3,150	6,150
Postage & Courier	6,000	6,300	12,300
Local transportation (30,000 kms/yr/veh)	9,000	9,000	18,000
Repairs & Maintenance	4,000	4,200	8,200
Subtotal	54,000	56,250	110,250
Total Other Direct Costs	57,000	59,400	116,400
VII. Subgrants/projects/subcontracts			
Conferences/Training/Research (detail provided below)	316,700	203,600	520,300
Total Subgrants/contracts/procurement	316,700	203,600	520,300

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VII. Subgrants/projects/subcontracts (Continued)
Detail of Workshops/Training and Research

	Unit	Duration	Unit Cost	Total Cost	10/01/95 09/30/96	10/01/96 09/30/97
1. Regional Workshops	2	1	98,600	197,200	98,600	98,600
2. Training						
ST-TA needs assessment	1 person	1 3 mos TDY	45,300	45,300	45,300	
Local Consultants for needs assessment	3 person	3 mos	2,500	22,500	22,500	
ST-TA for Procedures Development	1 person	1 1 mos TDY	20,100	20,100	20,100	
ST-TA for Training	1 person	2 1 mos TDY	20,100	40,200	40,200	
Regional Training Activities	5 sessions	1 Week each	15,000	75,000	30,000	45,000
3. Regional Research Program						
Assessment of Cross Border Research Agenda					20,000	
Small Research Grants					40,000	60,000
Total				<u>520,300</u>	<u>316,700</u>	<u>203,600</u>

dfp

Natural Resources Project – Malawi
Project No. 690–0251.12
Project Financial Plan

	Yr1 10/01/95 09/30/96	Yr2 10/01/96 09/30/97	Total
VIII. Project Management			
1. Salary and Benefits	35,000	38,500	73,500
2. Allowances	60,000	35,000	95,000
3. Travel and Transportation	40,000	40,000	80,000
4. Office Equipment and Support	35,000	25,000	60,000
5. Other Indirect Costs	4,000	1,500	5,500
Subtotal	174,000	140,000	314,000

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UNITED STATES AGENCY FOR INTERNATIONAL DEVELOPMENT
MISSION TO ZIMBABWE

INTERNATIONAL MAIL

1 Pascoe Avenue
P.O. Box 6988
Harare, Zimbabwe



UNITED STATES MAIL

Agency for International Development
Harare (ID)
Washington DC 20521-2180
U.S.A.

November 21, 1994

The Chief Parks and Wildlife Officer
Department of National Parks
and Wildlife
P.O. Box 30131
Lilongwe 3
MALAWI

ATTENTION: MR. M.W. MATEMBA, CHIEF PARKS AND WILDLIFE OFFICER

SUBJECT: Natural Resources Management Project
Project No. 690-0251.12
Project Implementation Letter No. 21
Modification of Amplified Project Description and
Extension of Project Assistance Completion Date (PACD)

Dear Mr. Matemba,

The purpose of this Project Implementation Letter (PIL) is to modify Sections 3.1 ("A.I.D. Responsibilities") and 3.2 ("Implementing Agency Responsibilities") of Annex 1 ("Amplified Project Description") of the Natural Resources Management Project Agreement, Malawi (SADC) Component (690-0251.12), and extend the Project Assistance Completion Date to August 31, 1996.

As you are aware, USAID has, since 1990, provided technical assistance, commodities, and operating funds to the Southern African Development Community (SADC) Wildlife Technical Coordination Unit to: 1) facilitate regional cooperation and coordination among NRM Project components being implemented in Zimbabwe, Botswana, Namibia and Zambia; and, 2) disseminate information on community-based natural resources management among SADC member states.

As you are also aware, the pace of implementing regional NRM activities has slowed since the departure of the Project's Technical Advisor, Lyn Robinson, in June, 1993.

To remedy the current problems in technical assistance provision, the recently-concluded mid-term evaluation of NRM-Malawi recommended that:

1. Regional NRMP activities should continue to be carried out within the SADC framework, and that inasmuch as the SADC Wildlife Technical Coordination Unit is located in Malawi, NRM activities should retain their Malawi base;
2. SADC and the Government of Malawi should demonstrate their interest in supporting regional activities by providing at least two full-time staff to regional activities within the coordination unit;
3. USAID should honour its agreement to provide technical assistance to the Wildlife Technical Coordination Unit-i.e., the Project Adviser's position should be filled; and,
4. Implementation of regional activities should seek to make better use of existing regional institutional capacities, especially NGOs.

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Following upon recommendations 3 and 4, while remaining cognizant of USAID/Zimbabwe's inability to provide technical assistance to the Coordinating Unit in the former mode (i.e., a personal services contractor), USAID/Zimbabwe now proposes the following modifications to the above-referenced Grant agreement's Amplified Project Description (Annex 1). Sections 3.1 and 3.2 are hereby deleted in their entirety and replaced with the following:

3.1 A.I.D. Responsibilities

USAID/Zimbabwe will, until such time as regional project management responsibilities have been transferred to ISA Office, provide project management and administration through its General Development Office. The USAID/Zimbabwe Natural Resources Management Project Officer, or his successor, will work closely with the NRM Project Coordination Committee to determine the scope and nature of short and/or longer-term technical assistance requirements. Until such time as regional project management responsibilities have been transferred to the ISA Office, USAID/Zimbabwe will continue to be responsible for contracting technical assistance services, including evaluation services, according to terms of reference developed by the SADC Coordinator and/or the Project Coordination Committee. Until notified otherwise, USAID/Zimbabwe will be the authorized accounting services station for this component of the project, and vouchers should be submitted for payment to the Controller, USAID/Zimbabwe.

3.2 Implementing Agency Responsibilities

a. SADC Wildlife Sector Coordinator

The implementing agency responsible for the Malawi component of the Natural Resources Management Project is the SADC Wildlife Sector Coordinator, located in the Department of National Parks and Wildlife (DNPW) of Malawi's Ministry of Forestry and Natural Resources.

The Chief Parks and Wildlife Officer will be assisted by a technical assistance provider (e.g., institutional or individual contractor, cooperative agreement holder, grantee) which will be responsible for facilitating the implementation of regional NRM Project activities, as identified by the SADC Wildlife Sector Coordinator and/or the Project Coordination Committee. DNPW agrees to assign a full-time staff counterpart for the NRM Project, and another staff member who will work full-time on broader SADC Wildlife Management issues.

DNPW will coordinate the activities of the project through the Project Coordination Committee, composed of the SADC Coordinator, USAID as cooperating partner, and representatives of countries implementing the NRM Project.

DNPW will maintain NRM Project commodities and use them for project implementation purposes.

b. Project Coordination Committee

The above-mentioned Project Coordination Committee (PCC), chaired by the SADC Wildlife Sector Coordinator, will establish an annual work plan covering regional communications and regional research activities. The PCC will work closely with Project Implementation Committees in each of the participating NRM Project countries to develop plans for regional communication and research activities. The PCC will assist the SADC Wildlife Sector Technical Coordinator in developing a comprehensive scopes of work for the technical assistance provider, which will facilitate the execution of those activities identified in the above-mentioned project work plan.

c. Technical Assistance Provider

Regional communications and research activities will be facilitated by a Technical Assistance Provider, funded through an appropriate grant or contract instrument. The provision of technical assistance will be managed by the USAID/Zimbabwe NRM Project Officer until such time as regional project management responsibilities have been transferred to the USAID Regional Center.

Finally, to allow sufficient time for these modifications to be implemented, the current Project Assistance Completion Date (i.e., 8/31/95) is being extended by twelve months to August 31, 1996.

Please indicate your concurrence with these modifications by signing both originals and returning one to this office for our records.

Sincerely,

Carole S. Palma
Carole S. Palma
Acting Director

Concur:

Title:

Date: 21 Nov 1994

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c. Technical Assistance Provider

Regional communications and research activities will be facilitated by a Technical Assistance Provider, funded through an appropriate grant or contract instrument. The provision of technical assistance will be managed by the USAID/Zimbabwe NEM Project Officer until such time as regional project management responsibilities have been transferred to the USAID Regional Center.

Finally, to allow sufficient time for these modifications to be implemented, the current Project Assistance Completion Date (i.e., 8/31/95) is being extended by twelve months to August 31, 1996.

Please indicate your concurrence with these modifications by signing both originals and returning one to this office for our records.

Sincerely,

Carol S. Palma
Carol S. Palma
Acting Director

Concur

Title:

Date:

JP
.....
Chief Parks & Wildlife Office
.....
21 Nov 1994
.....

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Analysis of Policy Determination 20

Policy Determination 20, issued January 3, 1994 provides guidelines to assure USAID programs do not result in the loss of jobs in the United States. These guidelines call for a five step process of analysis to determine if proposed programs could result in the loss of U.S. jobs.

Step One defines two baseline tests which are to be applied at the project design/authorization stage, and which are intended to eliminate broad categories of projects which do not present problems under these guidelines.

Question One: Is the project, or are components or activities under the project, directed at promoting either foreign or local investment in the recipient country?

No. The regional coordination component of the Natural Resources Management Project is not directed at promoting investment in Malawi. The objective of activities carried out under this component of the project are to support regional liaison, research, evaluation activities and building institutional capacity of the SADC Technical Coordination Unit. This is purely a governmental function. While coordination efforts will reach out to non-governmental players in the area of natural resource management, it is not anticipated that activities under this component (as distinct from the country-based activities under the other components of the project) will result in investment, either local or foreign.

Question Two: Could the project reasonably be foreseen to involve the relocation of any U.S. business that would result in an reduction in the number of employees of the business in the U.S.?

No. As noted above, if no investment is anticipated as a result of interventions under this component, then it is extremely unlikely that any U.S. business would relocate from the U.S. to Zimbabwe due to interventions of the Malawi component of the Natural Resources Management Project.

From the discussion above, it is clear that the Natural Resources Management Project - Malawi Component (690-0251.12) will not violate PD-20. It should be noted that all agreements will include language that specifies limitations and prohibited activities.

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