



AGENCIA PARA
EL DESARROLLO
INTERNACIONAL

PD-ABN-282
"THIS IS A PRIMARY OBLIGATING DOCUMENT THAT IS NOT
PURSUANT TO A HANDBOOK 3 PROJECT AGREEMENT"

91682

September 13, 1996

Dr. Francisco Sagasti
President
FORO Nacional/Internacional
Juan de Arona 204
Magdalena del Mar

Subject: Grant 527-0356-G-00-6402-00

Dear Dr. Sagasti:

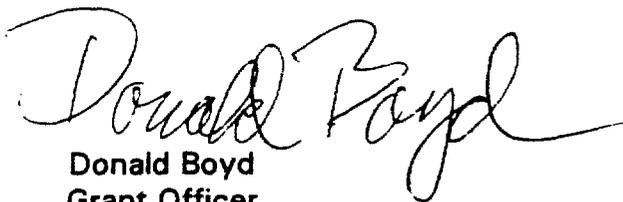
Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the United States Agency for International Development (hereinafter referred to as "USAID" or "Grantor") hereby grants to the FORO Nacional/Internacional (hereinafter referred to as "FORO" or "Grantee"), the sum of \$62,700 to support the activity "University Youth and the Future of Peru", as described in the Schedule of this Grant and the Attachment 2, entitled "Program Description."

This Grant is effective and obligation is made as of the date of this letter and shall apply to commitments made by the Grantee in furtherance of program objectives during the period beginning with the effective date and ending August 31, 1997.

This Grant is made to FORO, on condition that the funds will be administered in accordance with the terms and conditions as set forth in Attachment 1, entitled the "Schedule," Attachment 2, entitled "Program Description," and Attachment 3, entitled "Standard Provisions," which have been agreed to by your organization.

Please sign all six copies of this letter to acknowledge your receipt of the Grant and return five copies to the Grant Officer.

Sincerely yours,



Donald Boyd
Grant Officer

Attachments:

1. Schedule
2. Program Description
3. Standard Provisions
4. Special Provision entitled "Rights in Data"
5. Special Provision "Relocation of U.S. Businesses..."

ACKNOWLEDGED:

FORO Nacional/Internacional

By:



Dr. Francisco Sagasti

Title: President

Date: 13 de setiembre de 1996

FISCAL DATA

Allocation:

Appropriation: 726/71021
Budget Plan Code: LDV6-96-25527-KG13
PIO/T No.: 527-0356-3-60036
Project No.: 527-0356
Total Estimated Amount: \$62,700
Total Obligated Amount: \$62,700

Funding Source: USAID/Peru



SCHEDULE

A. Purpose of the Grant

The purpose of this Grant is to provide support for the activity entitled "University Youth and the Future of Peru", as more specifically described in Attachment 2 to this Grant entitled "Program Description."

B. Period of Grant

1. The effective date of this Grant is the date of the Cover Letter. The estimated completion date of this Grant is August 31, 1997.

C. Amount of Grant and Payment

1. USAID hereby obligates the amount of \$62,700 for purposes of this Grant.

2. Payment shall be made to the Grantee in accordance with procedures set forth in Attachment 3 -Optional Standard Provision No. 1, entitled "Payment-Periodic Advance."

D. Financial Plan

The following is the Grant Budget, including local cost financing items, as authorized. Revisions to this budget shall be made in accordance with the Standard Provision of this Grant entitled "Revision of Grant Budget."

FINANCIAL PLAN
 (US\$)

(Grant Budget)

Element	USAID	FORO's Contribution	Total Budget
1. <u>Salaries</u>			37,400
. Project Director	24,000		24,000
. Assistant Researcher	8,400		8,400
. Consultants (3 @ 1,500 each)	4,500		4,500
. Research Assistants	500		500
2. <u>Travel, Workshops, Seminars</u>			28,800
. Research travel (to 9 cities: Piura, Trujillo, Chiclayo, Huancayo, Ayacucho, Arequipa, Tacna, Cusco, Puno)	6,000		6,000
. Focus groups (6 @ 800 each)	4,800		4,800
. Workshops with students (3 @ 3,500 each)	10,500		10,500
. Workshop with university authorities and student leaders	4,000		4,000
. Dissemination seminars (in 5 cities: Piura, Trujillo, Arequipa, Puno and Cusco)		3,500	3,500
3. <u>Dissemination Materials</u>			5,000
Preparation of Materials		5,000	5,000
4. <u>Administrative Support</u>			19,200
. Secretary		4,800	4,800
. Administrative and Financial Support		6,000	6,000
. Office space, communications, others		8,400	8,400
TOTAL	62,700	27,700	90,400

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E. Reporting Requirements

1. Financial Reporting

a. Financial reporting requirements shall be in accordance with the Optional Standard Provision of this Grant entitled "Payment-Periodic Advance" as shown in Attachment 3.

b. FORO is required to maintain books and records in accordance with general accepted accounting principles.

c. The original and two copies of all financial reports shall be submitted to USAID/Peru, Office of the Controller, Larrabure y Unanue 110, Lima 1, Peru.

2. Work Plan

Within 15 working days of signing of the Grant Agreement, FORO will submit to USAID/Peru a detailed work plan which will cover the entire period of the Grant and, within an overall strategy, will address specific tasks to be implemented and expected outputs.

3. Progress Reports

a. FORO, within five days after the end of each three-month period, will provide USAID/Peru with quarterly progress reports in English and Spanish during the life of the Grant, describing, in detail, achievements, problems encountered, and changes made or recommended during the reporting period. These reports shall not exceed five typed pages. In addition, USAID will be fully informed through meetings and/or written reports of any unanticipated developments in the execution of the project.

b. FORO will submit to CDIE/DI, Washington, DC 20523-1802 one copy of above reports in English.

4. Final Report

FORO will submit, for USAID review and recommendations: two (2) copies of a draft final report not later than two weeks prior to the expiration date of the Grant. This report should include a summary of the development of each of the activities described in Section IV above, including the methodology and criteria used for selecting the student participants, as well as the results and analysis of the research, including comments and recommendations of the seminars, workshops and

conferences.

Five (5) copies of a final report, in English and Spanish, incorporating USAID comments, will be submitted fifteen days after the expiration date of the activity. Additionally FORO will submit to CDIE/DI, Washington, DC 20523-1802 one copy in English of the final report.

5. Financial Reviews

In lieu of an audit, Financial Analysts from USAID/Peru Controller's Office will conduct periodic financial reviews of the Grant.

6. Special Reports

Between the required program performance reporting dates, events may occur that have significant impact on the program. In such instances, FORO shall inform the appropriate USAID/Peru Project Officer.

7. Closeout Procedures

This section prescribes uniform closeout procedures for this Grant.

a. The following definitions shall apply for the purpose of this section:

1) Closeout: The closeout of a Grant is the process by which USAID determines that all applicable administrative actions and all required work of the Grant have been completed by the Grantee and USAID.

2) Date of Completion: The date of completion is the date on which all work under the Grant is completed or the date of the award document, or any supplement or amendment thereto, on which sponsorship ends.

3) Disallowed Costs: Disallowed costs are those charges to a Grant that USAID or its representative determines to be unallowable in accordance with the applicable Federal cost principles or other conditions contained in the Grant.

b. USAID closeout procedures include the following requirements:

1) Upon request, USAID shall make prompt payments to a Grantee for allowable reimbursable costs under the Agreement being closed out.

2) The Grantee shall immediately fund any balance or unobligated

(unencumbered) cash that USAID has advanced or paid and that is not authorized to be retained by the Grantee for use in other Agreements.

3) In the event a final report has not been performed prior to the closeout of the Grant, USAID shall retain the right to recover an appropriate amount after fully considering the recommendations on questioned costs resulting from the financial reviews.

F. Special Provisions

1. As set forth in Attachment 3, all Mandatory Standard Provisions for Non-U.S. Non-governmental Grantees apply to this Grant. Applicable Optional Standard Provisions are indicated on the Checklist.

2. To assist in the implementation of the project, USAID from time to time will issue implementation letters furnishing additional information on requirements regarding matters stated in this Grant. Such letters shall not modify the terms of the Grant.

3. The USAID Project Officer is authorized to issue all approval documents which can clearly and reasonably be considered within the terms of this Grant as written.

4. The "Rights in Data" provision, attached, forms part of this Grant.

G. Title to Property

Title to property acquired hereunder shall vest in the Grantee, subject to the requirements of Standard Provision of this Grant entitled "Title to and Use of Property (Grantee Title)." Disposition of property shall be in accordance with said optional provision.

H. Authorized Geographic Code

The authorized geographic code under this Grant shall be the United States (000) and Peru (subject to Local Cost Financing Provision).

PROGRAM DESCRIPTION

I. BACKGROUND

Under its democracy strategic objective, USAID/Peru aims to support activities that will contribute to the democratic development of the country through participatory means. To achieve a truly representative democracy, it is important to take into consideration the opinions and concerns of the vast number of youth enrolled in universities throughout Peru. The local non-governmental organization, FORO Nacional/Internacional, was established on November 30, 1992, as a civil non-profit organization. FORO's general objectives are to develop, in a participatory and consensual manner, a series of proposals to address the crisis of governance in Peru and to disseminate these proposals widely to influence public opinion and decision makers. FORO has collaborated with leading research centers, advocacy groups, professional associations and non-governmental associations, in general, seeking to discuss critical democratical development issues.

In May 1994 FORO terminated activities for a project "Improving Democratic Governance in Peru", which supported the preparation of the assessment and diagnosis of governance problems in Peru. FORO has also developed several studies on governance, namely "Crisis and Perspectives of Political Parties", "Democratic Governance, Public Spaces and Gender", "Cultural Identity and Political Behavior", "Development Strategies", "Reform of the State", "Emergence and Implications of the Fractured Global Order", "The Future of Development Assistance", and others, which will be used to complement and enrich the activities to be carried out in the proposed project.

II. PROJECT DESCRIPTION

FORO's proposal "University Youth and the Future of Peru" calls for the preparation of a diagnosis of the attitudes and perceptions of Peruvian university youth -- which includes the collection, documentation, analysis and interpretation of the feelings and opinion of young people seeking higher education, particularly those attending universities -- regarding Peru's future development. The main subject to be addressed will be the attitudes of university students toward their responsibilities and participation in the development of Peru's democratic future, emphasizing the relationship between their expectations and experiences acquired through their university experiences, both socially and academically.

This project places emphasis on students from the provinces and will focus on their viewpoints regarding the national reality and democratic values. It takes into consideration the values and ideals of youth, and the criteria transmitted and shared by university students, both inside and outside the classroom. The project intends to use different research techniques, including field work for data collection, in-depth interviews, questionnaires, focus groups, direct work with students in reflection and design workshops, and seminars and conferences to disseminate the results obtained.

FORO believes that this proposal should be considered a pilot project that will allow the application of the lessons learned in a future larger activity. (There is, however, no commitment by USAID to fund or otherwise support a second project.) Following the assessment of the project's impact, FORO will prepare a report including the results obtained from the questionnaires distributed to the students on their opinion regarding topics discussed during the workshops in relation to their role as students preparing themselves to make a contribution to society. The results will be presented at seminars and conferences to be held in Piura, Arequipa, Trujillo, Puno and Cusco, as well as in Lima, with the participation of the general public. The final document will also contain recommendations for continuing these activities in the future in a sustainable fashion.

III. PROJECT OBJECTIVES

The activity outlined in the proposal aims to conduct a study about the attitudes and perceptions of Peruvian university students in relation to Peru's democratic future. Furthermore, this study intends to stimulate the interest of university youth towards national concerns by providing them with information about democratic development issues, and encouraging their participation through discussions and debates. The debates will be oriented towards creating a consensus on democratic governance and development, with the goal of fostering greater participation of young people in activities at the national and local level.

The two principal political parties of this century, "Accion Popular" and "APRA", as well as the terrorist movement "Sendero Luminoso" (Shining Path), have their roots and have obtained most of their support from universities. This direct commitment with Peruvian politics is complemented with a long tradition of university student organizations that functioned not only as a mechanism to articulate student demands, but also as a discussion and opinion-forming space, and as a channel to express ideas regarding national interest issues. For different reasons, including the presence of terrorist followers among university leaders, student organizations began to neglect larger national realities and began to concentrate on purely academic interests. They demonstrated lack of interest towards discussions regarding national development and adopted an indifferent attitude. Parallel to this, political parties lost influence and impact on university students. Lately, however, discussions have started in some universities which indicates a new interest towards current issues, thus indicating a growing interest on the part of university students regarding their role in Peru's future democratic development.

With respect to the selection criteria to determine the participants, the proposal outlines four criteria that aim towards guaranteeing the greatest possible diversity: 1) FORO will work closely with university students from all over the country; 2) these students will be chosen from both public and private universities; 3) they will be different in terms of socio-economic situation, participation in extra-curricular activities, and academic performance; and 4) gender and ethnicity considerations will also be taken into consideration, since there is a significant difference of opinion between men and women regarding their participation in the development of the country. It is important to point out that students who are leaders, decision makers, and who have high grades will be given greater consideration.

FORO estimates that this project could lead to other activities that would influence the participatory process of youth in the future. Their approach involves listening and taking into consideration what students have to say, rather than simply providing information to them. FORO also considers that its intervention will stimulate the students participating in the project events and those that are influenced by them, to take a more active role in national development issues. In addition, the material that will be shared with the students in workshops and seminars will provide them with the information and tools to think, discern, debate and generate consensus to stimulate their involvement and participation to strengthen democratic development in Peru.

In relation to indicators to measure progress toward achieving project goals, FORO will take into consideration: 1) the number of participants reached and involved in the events organized; 2) the number of people reached indirectly through the participants by means of their own contacts; and 3) the number of people contacted through their mass media activities. FORO will also explore whether it is possible to measure the number of events and meetings organized by the students before and after their participation in project activities.

IV. PROJECT ACTIVITIES

FORO has outlined the following steps in order to implement this activity:

- A bibliographic review which will facilitate the collection and analysis of information. In addition, the guides for the interviews will be prepared, as well as the information material to be used in the workshops and seminars;
- Field work to gather the necessary information in order to prepare a diagnosis of the attitudes and opinions of the Peruvian university youth. This will be done through personal interviews and focus groups in 9 cities throughout the country, as well as in Lima;
- Three workshops with university students (entitled "University Youth: Attitudes and Participation in the Development and Future of Peru"), to stimulate debate and share ideas;
- Workshop in Lima with university authorities during 2 1/2 days, in order to gather the different points of view of the professors, deans and directors of the universities about the same subjects presented to the students, and then present these in an open dialogue with university student leaders;
- One-day dissemination seminars in the principal cities of the provinces, and in Lima, to present the results of the initial investigation and the workshops;
- Preparation of the final document, which will include: observations gathered throughout the 12 months of the project, results of the questionnaires, comments from the seminars, conferences and workshops, and recommendations to strengthen the participation of students in the context of

Peru's democratic development.

FORO will have primary responsibility for implementation of project activities. The Project will have a Project Director, one (1) Researcher, three (3) Consultants and one (1) Secretary. The Project Director will supervise project implementation and will be responsible for execution of the Agreement and management of the Project.

V. USAID RESPONSIBILITIES

The Office of Democratic Initiatives and Training will be the liaison for all the matters related to the Grant and will be responsible to ensure that project activities conform to USAID regulations and interest and purpose of the Grant.

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