

PD-ABN-255

U.S.A.I.D. Project No. 532-0149

AMENDMENT NO. 11
TO THE
PROJECT GRANT AGREEMENT
BETWEEN
THE GOVERNMENT OF JAMAICA
AND THE
UNITED STATES OF AMERICA
FOR THE
TECHNICAL SUPPORT FOR SHELTER AND URBAN SERVICES PROJECT

DATE: SEP 27 1995

Appropriations: 725/61021.1

BPC: LDV5-95-25532-KG13

Amount: \$800,000

P950013
FUNDS AVAILABLE

[Signature] 9/20/95

SIGNATURE DATE

PROJECT GRANT AGREEMENT

AMENDMENT NO.11

Amendment Number 11 between the UNITED STATES OF AMERICA, acting through the United States Agency for International Development ("U.S.A.I.D.") and the Government of Jamaica.

The Government of Jamaica and U.S.A.I.D. entered into a Project Grant Agreement dated June 30, 1988 ("Agreement"), which was amended on June 12, 1990; July 1, 1991; September 10, 1991; January 9, 1992; September 18, 1992; January 31, 1993; July 22, 1993; March 30, 1994; December 28, 1994; and June 28, 1995.

The Government of Jamaica and U.S.A.I.D. now desire to amend the Agreement to add a new component to the project, obligate an additional US\$800,000 in grant funds, increase the Life of Project authorized level from \$3,000,000 to \$3,800,000 and extend the Project Assistance Completion Date by eight months - from January 31, 1997 to September 30, 1997.

The parties have further amended the Agreement as follows:

Section 1. "Section 3.1 The Grant" is deleted in its entirety and replaced by the following:

"Section 3.1 The Grant. To assist the Grantee to meet the costs of carrying out the Project, USAID, pursuant to the Foreign Assistance Act of 1961, as amended, agrees to provide to the Grantee under the terms of the Agreement an amount not to exceed Three Million Two Hundred Forty-Four Thousand and One United States Dollars (US\$3,244,001) ("Grant")."

The Grant may be used to finance foreign exchange costs, as defined in Section 6.1., and local currency costs, as defined in Section 6.2., of goods and services required for the Project."

Section 2. "Section 3.2(b) Grantee Resources for the Project" is amended by deleting the number "US\$200,000" and inserting "US\$1,370,000."

Section 3. Section 3.3 Project Assistance Completion Date, is amended by deleting the date "January 31, 1997" and inserting "September 30, 1997."

Section 4. "Article 4: Conditions Precedent to Disbursement" is amended by inserting the following new Sections:

"Section 4.4. First Disbursement for Environmental Program Component for NWC. Prior to the first disbursement under the Grant, or to the issuance by U.S.A.I.D. of documentation pursuant to which disbursement will be made, for this new element, the Grantee will, except as the Parties may otherwise agree in writing, furnish to U.S.A.I.D. in form and substance satisfactory to U.S.A.I.D.:

(a) A statement of the names of the authorized representatives in the Ministry of Public Utilities, Transport and Energy and in the National Water Commission, together with a specimen signature of each person specified in such statement.

(b) A statement from the authorized representative of NWC appointed per 4.4 (a) above that the NWC will commit the Northern Region Office and its three district offices to full cooperation and participation in the project, that it will establish an environmental division in the Northern Region Office and appoint a well qualified person or persons to that division."

"Section 4.5.: Terminal Dates for Conditions Precedent. If the conditions specified in Section 4.4 have not been met within 120 days from the date of this Agreement, or such later date as U.S.A.I.D. may agree in writing, U.S.A.I.D., at its option, may terminate the additional funds provided by this Amendment by written notice to the Grantee."

Section 5. "Article 5: Special Covenants" is amended by inserting the following new Section 5.2:

"Section 5.2. The Grantee and NWC covenant that they will make their best efforts to carry out the actions called for in Section 4.4(b) within a reasonable time and to sustain the activities started in the implementation of this new element."

Section 6. Annex 1. "The Amplified Project Description" is hereby amended by (a) addition of the attached new Section III.D. "NWC Environmental Program Component" to the Amplified Project Description; and (2) the addition of the revised "Illustrative Budget" appended thereto as Attachment 1.

Except as amended herein, the Agreement remains in effect.

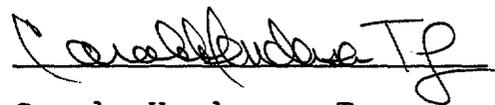
IN WITNESS THEREOF, the GOVERNMENT OF JAMAICA and the UNITED STATES OF AMERICA, each acting through the respective duly authorized representative, have caused this Amendment to be signed in their names and delivered as of the day and date below written:

GOVERNMENT OF JAMAICA

UNITED STATES OF AMERICA



Shirley Tyndall
Financial Secretary
Ministry of Finance



Carole Henderson Tyson
Director
USAID/Jamaica

Date: 26/9/95

Date: 27 Sept. 95

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ANNEX 1

Addition to the
Amplified Project Description
for
Section III. Project Elements

III.D. NWC Environmental Program

1. Introduction

This supplement adds a new component to the Project called the "Environmental Initiatives of America (EIA) National Water Commission (NWC) Environmental Program". The goal of this EIA component is to improve environmental quality and natural resource protection. The purpose is to create and test a replicable model of a regional environmental division and program.

The end-of-project status that indicates the purpose has been achieved includes:

1. The NWC Northern Region Office has a capacity to identify and mitigate negative environmental impacts related to improper watershed and wastewater management.
2. Greater coordination on environmental protection is institutionalized between the NWC Northern Region and citizens groups.
3. NWC has institutionalized the regional environmental program and has begun replication in other regions.

This component's output is an environmental division and program established in the NWC Northern Region Office. The indicators that the output has achieved include:

1. Environmental division is established, staffed and operational in NWC Northern Region.
2. Environmental program for the NWC Northern Region is prepared.
3. NWC internal environmental standards and procedures adopted and integrated into regional operations.
4. Environmental monitoring program expanded to incorporate sources of water pollution in the Northern Region.
5. Citizen environmental advisory committee established and functioning in the Northern Region.
6. Environmental public awareness campaign initiated and functioning in the Northern Region.

2. Description of Project Activities

The five activities are: (1) establish and operate an environmental division in the NWC Northern Region Office; (2) prepare an environmental program for the NWC Northern Region Office; (3) incorporate the environmental program into the operations and procedures of the Northern Region; (4) establish citizens environmental advisory committee(s) in the Northern Region; and (5) conduct a public awareness campaign.

a. Establish and operate an environmental division in the NWC Northern Region Office: The regional office will establish an environmental division consisting of a regional environmental manager, who is to report to the regional general manager, and such other personnel, if any, that might be needed. The major functions of the division, after it is established and functioning, shall include: (a) being focal point for environmental matters of concern to NWC's operations; (b) planning; (c) establishing internal environmental procedures; (d) coordinating environmental monitoring in the region; and (e) handling public environmental matters with local communities.

Project inputs for this activity include the assistance of the long and short term advisors, training, and a limited amount of commodities.

b. Prepare an environmental program for the NWC Northern Region Office: The environmental division, with the assistance of the long and short term technical advisors, will prepare a program for improvements in operations of the NWC Northern Region as they affect the environment in both the coastal waters and the watershed areas. To prepare the program, the division and the advisors will have to conduct several analyses and studies, such as:

- assessment of regional and district office staff and functions;
- study of expansion of water quality monitoring procedures to encompass pollution point identification and monitoring. This would be done in close coordination with the NWC laboratories in Kingston and Montego Bay;
- study of NWC internal environmental standards and procedures as they apply to the Northern Region.
- review of inter-agency coordination procedures to enhance environmental regulatory enforcement and protection in the Northern Region.

The project inputs for this activity include the services of the long and short term advisors.

c. Incorporate the environmental program into the Regional Office's operations and procedures: After the environmental program is prepared and approved at the appropriate levels within NWC, the operational offices and the environmental division would work together to include the program in the day-by-day operations and procedures of the Northern Region Office. The environmental division would monitor the implementation of the new program and make adjustments, as appropriate, based on operational experience.

The project inputs for this activity include the assistance of the long and short term advisors and training.

d. Establish a citizens environmental advisory committee: The environmental division is to investigate whether a single citizens environmental advisory committee at the regional level or separate committees for each parish is the more feasible approach. The division would then work with the interested local organizations to establish the committee or committees. The committee is to be proactive, with the mandate to work with the NWC to promote appropriate waste water solutions and reduce underground, riverine and coastal water pollution. The citizens committee will include representatives from local community and NGO groups such as the Neighborhood Watch, Chamber of Commerce, environmental advocacy groups, hotel and tourism associations, parent/teacher associations, and girl/boy scout organizations. The committee will assist the NWC to establish a public awareness campaign and set up citizen action programs to reduce environmental pollution. Over time, once the NWC becomes accustomed to the citizen advisory function, the committee may expand its functions to advise the NWC on broad consumer water and sanitation problems.

Project inputs include assistance from long and short term advisors.

e. Conduct a public awareness campaign: The environmental division, with the assistance of the advisors, will prepare an environmental public awareness campaign to provide information to the public on appropriate on-plot waste water solutions, prevention of pollution, watershed protection and NWC service concerns. Public campaign materials and implementation techniques will be drawn from a number of sources; e.g., environmental successes under USAID's DEMO project and USAID's squatter up-grading projects. The division will acquire, adapt and disseminate public awareness information on a pilot basis. The division, with the contractor's assistance, will conduct studies and assessments on the feasibility of introducing in the Northern Region such activities as eco-watch groups in neighborhoods and schools, school educational programs and field trips, promotional campaigns to emphasize and re-enforce positive public actions and a lecture series for NGOs, churches and other community groups. The campaign will be linked with the citizens environmental advisory committee(s).

The project inputs include the assistance of long and short term advisors, public awareness materials, and perhaps some training.

3. Implementation

The EIA component activities will be executed through the following agents:

a. Overall responsibility for project implementation will reside with the U.S. contractor. Two long-term advisors are to be provided for about 18 months each, one of whom is to be the contractor's chief-of-party. It is anticipated that one will be an American and the other a Jamaican. About five person months of other short-term expatriate advisors and about 12 person months of short term local advisors will also provide technical assistance.

b. The NWC Corporate Office will have primary responsibility for NWC participation and the NWC Northern Region and its district office staffs will perform key implementation functions. The NWC will establish the position(s) and staff the Environmental Division of the Northern Region Office with qualified personnel, either from its present staff or by a newly hired person.

c. The USAID Mission will oversee the EIA component activities in the same manner as the other component of this project. USAID/Jamaica will designate a part-time project officer for day-to-day monitoring.

4. Component Inputs

The EIA component is expected to finance, in addition to the long and short term advisors described above, observation travel and twinning technical assistance, GIS training, a limited amount of computer equipment for the new environmental division, and part of the project's overall evaluation and audits.

The Jamaican in-kind contributions include the salary and time of the appointed regional environmental manager, time of NWC staff at the regional and district offices as well as the corporate office, office space for such uses as the contractor's personnel and the new environmental division, office supplies and equipment for the new environmental division in the Northern Region, and the time of the citizens who participate in the citizen's advisory committee.

Attachment 1

ILLUSTRATIVE FINANCIAL PLAN FOR THE TECHNICAL SUPPORT FOR SHELTER AND URBAN SERVICES PROJECT (532-0149)

AMENDMENT NO. 11

(US\$000)

Line Item	Prior Obligations		This Obligation		Total Obligations To Date		Total Planned* Subsequent Obligations		Total Planned Obligations	
	AID	GOJ	AID	GOJ	AID	GOJ	AID	GOJ	AID	GOJ
1. Monitoring & Mgmt. Support	561	0	0	0	561	0	39	0	600	0
2. Field Projects & Studies	885	0	0	0	885	0	65	0	950	0
3. Tech. Support for Imp. Inst.	366	0	0	0	366	0	84	0	450	0
4. Tech personnel for NWC	109	0	0	0	109	0	66	0	175	0
5. Support for CBO & NGO Network	325	0	0	0	325	0	0	0	325	0
6. Training	48	0	0	0	48	0	114	0	162	0
7. Evaluation/Audit	80	0	0	0	80	0	45	0	125	0
8. Commodities	70	0	0	0	70	0	0	0	70	0
9. Personnel, Ofc. Space, Transp. and other GOJ Support	0	570	0	0	0	570	0	380	0	950
10. EIA NWC Environmental Program	0	0	800	370	800	370	0	0	800	370
11. Contingency	0	30	0	0	0	30	143	20	143	50
TOTAL	2,444	600	800	370	3,244	970	556	400	3,800	1,370

FUNDING CITATIONS

Appropriation: 72-561021.1
 BPC: LDV5-95-25532-KG13
 Amount: \$800,000

* Subject to the availability of funds.

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