



U.S. AGENCY FOR
INTERNATIONAL
DEVELOPMENT

PD-ABL-190
94883
ENTERED

SEP 30 1993

Section

AUG 31 1993

Ms. Catherine Thurman
Florida International University
Tamiami Campus
Miami, FL 33199

Subject: Grant No. LAG-0802-G-00-3026-00

Dear Ms. Thurman:

Pursuant to the authority contained in the Foreign Assistance Act of 1961 and the Federal Grant and Cooperative Agreement Act of 1982, as amended, the Agency for International Development (hereinafter referred to as "A.I.D.") hereby provides to Florida International University (hereinafter referred to as "FIU" or "Grantee") the sum set forth in Section 1C.2. of Attachment 1 of this Grant to provide financial support for the program described in Attachment 2 of this Grant entitled "Program Description."

This Grant is effective as of the date of this letter and funds obligated hereunder shall be used to reimburse the Grantee for allowable program expenditures for the period set forth in Section 1B. of Attachment 1 of this Grant.

The total estimated amount of this Grant is the amount set forth in Section 1C.1. of Attachment 1, of which the amount set forth in Section 1C.2. is hereby obligated. A.I.D. shall not be liable for reimbursing the Grantee for any costs in excess of the obligated amount. However, subject to Section 1C.4. of Attachment 1, additional funds may be obligated by A.I.D. until such time as the obligated amount may equal the total estimated amount of this Grant.

This Grant is made to the Grantee on the condition that the funds will be administered in accordance with the terms and conditions as set forth in the attachments listed under my signature below, which together constitute the entire Grant document and have been agreed to by your organization.

PC:GRANTFMT/VS:8100n
Rev:06/28/93(TM13:61+Lobbying/TM1B:87)
9765n

Please acknowledge receipt and acceptance of this Grant by signing all copies of this Cover Letter, retaining one copy for your files, and returning the remaining copies to the undersigned.

If you have any questions, please contact Kathie Frascella of my staff at (703) 875-1220.

Sincerely yours,



Jay M. Bergman
Grant Officer
Chief, LA Branch
Division B
Office of Procurement

Attachments:

1. Schedule
2. Program Description
- ~~3. Standard Provisions~~
4. Special Provision entitled "Restrictions on Lobbying"
5. A.I.D. Eligibility Rules

ACKNOWLEDGED:

FLORIDA INTERNATIONAL UNIVERSITY

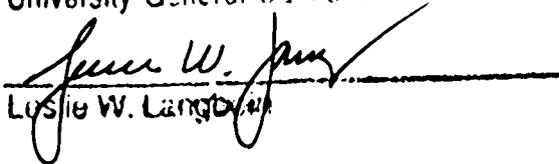
BY: 

TYPED NAME: Modesto A. Maidique

TITLE: President

DATE: 9-21-93

Approved as to Form and Legal Sufficiency
Valdes-Fauli, Cobb, Heckert, and Kriss, PA
University General Counsel


Leslie W. Langdon

FISCAL DATA

A. GENERAL

- A.1. Total Estimated A.I.D. Amount: \$4,790,000
- A.2. Total Obligated A.I.D. Amount: \$576,000
- A.3. Cost-Sharing Amount (Non-Federal): N/A
- A.4. Other Contributions (Federal): N/A
- A.5. Project No.: 598-0802
- A.6. A.I.D. Project Office: LAC/DI, Sharon Isralow
- A.7. Funding Source: A.I.D./W
- A.8. Tax I.D. No.: 59-6001874
- A.9. CEC No.: ~~07-129-8814~~ 07-220-8913
- A.10. LOC No.: 72-00-1528

B. SPECIFIC

- B.1.(a) PIO/T No.: 598-0802-3-3652033, A-1 & A-2
- B.1.(b) Appropriation: 72-1131021.1
- B.1.(c) Allotment: 341-65-598-00-69-31
- B.1.(d) BPC: LDVA-93-35598-KG12
- B.1.(e) Amount: \$406,000

- B.2.(a) PIO/T No.: 598-0802-3-3652033, A-3
- B.2.(b) Appropriation: 72-1131021.1
- B.2.(c) Allotment: 341-65-598-00-69-31
- B.2.(d) BPC: LDVA-93-35598-KG12
- B.2.(e) Amount: \$170,000

ATTACHMENT 1

SCHEDULE

1A. PURPOSE OF GRANT

The purpose of this Grant is to provide financial support for the program described in Attachment 2 of this Grant entitled "Program Description."

1B. PERIOD OF GRANT

1B.1. The effective date of this Grant is the date of the Cover Letter and the estimated completion date is March 31, 1997. Funds obligated hereunder (see Section 1C.2. below) shall be used to reimburse the Grantee for allowable program expenditures incurred by the Grantee in pursuit of program objectives during the period August 1, 1993 (see Section 1D.4. below) through the estimated completion date. Funds obligated hereunder are anticipated to be sufficient for completion by the Grantee of the program described in Attachment 2 of this Grant by the estimated completion date.

1B.2. However, because this Grant is incrementally funded (see Section 1C.4. below), funds obligated hereunder are only anticipated to be sufficient for program expenditures through December 31, 1993.

1C. AMOUNT OF GRANT AND PAYMENT

1C.1. The total estimated amount of this Grant for its full period, as set forth in Section 1B.1. above, is \$4,790,000.

1C.2. A.I.D. hereby obligates the amount of \$576,000 as partial funding of the total estimated amount set forth in Section 1C.1. above for program expenditures during the indicated period set forth in Section 1B. above. Notwithstanding said total estimated amount, A.I.D. shall not be liable for reimbursing the Grantee for any costs in excess of the obligated amount, except as specified in paragraph (f) of the Standard Provision of this Grant entitled "Revision of Grant Budget" (see also Section 1C.4. below).

1C.3. Payment shall be made to the Grantee in accordance with procedures set forth in the Standard Provision of this Grant entitled "Payment - Letter of Credit," as shown in Attachment 3.

1C.4. As indicated in Section 1C.2. above, this Grant is partially funded. Until such time as the obligated amount (see Section 1C.2. above) shall equal the total estimated amount (see Section 1C.1. above) of this Grant, additional increments of funds may be obligated by A.I.D. under this Grant (by a Grant modification), subject to availability of funds, possible evaluation of the program, program priorities at the time, and the requirements of the Standard Provisions of this Grant entitled "Revision of Grant Budget" and, if applicable (see Section 1K.2. for applicability) "Cost Sharing (Matching)," as set forth in Attachment 3.

1D. GRANT BUDGET

1D.1. The following is the Budget for the total estimated amount of this Grant (see Section 1C.1. above) for its full period (see Section 1B. above). The Grantee may not exceed the total estimated amount or the obligated amount of this Grant, whichever is less (see Sections 1C.1. and 1C.2., respectively, above). Except as specified in the Standard Provision of this Grant entitled "Revision of Grant Budget," as shown in Attachment 3, the Grantee may adjust line item amounts as may be reasonably necessary for the attainment of program objectives. Revisions to the budget shall be in accordance with Section 1C. above and the Standard Provisions of this Grant entitled "Revision of Grant Budget" and, if applicable, "Cost Sharing (Matching)."

1D.2. Budget

Cost Element

Personnel	\$1,762,308
Fringes	567,855
Travel and Transportation	89,800
Procurement	117,500
Pulso Magazine	161,000
Textbooks	50,000
Professional Seminars	306,500
Academic Programs	272,200
Media Directory	33,000
Publications	4,425
Advisory Committee	30,200
Training Center	325,000
Library	23,500
Research and Assessment	92,950
Evaluation Workshops	10,640
Indirect Costs	<u>943,122</u>
Total	\$4,790,000

<u>Costs By Year</u>	<u>Year 1</u>	<u>Year 2</u>	<u>Year 3</u>	<u>Year 4</u>
Personnel	\$ 617,351	\$ 648,218	\$ 327,109	\$ 169,630
Fringes	190,019	213,912	107,946	55,978
Travel/Trans.	27,000	27,000	22,850	12,950
Procurement	48,130	42,070	19,495	7,805
Pulso Magazine	80,000	81,000	0	0
Textbooks	50,000	0	0	0
Prof. Seminars	97,200	98,100	75,000	36,200
Academic Prog.	142,500	109,300	10,000	10,400
Media Directory	15,500	16,000	1,500	0
Publications	1,450	1,500	925	550
Advisory Comm.	12,000	13,000	0	5,200
Training Center	225,000	100,000	0	0
Library	11,650	3,500	5,050	3,300
Research/Assess.	75,000	17,950	0	0
Eval. Workshops	6,640	0	0	4,000
Indirects	<u>302,557</u>	<u>317,685</u>	<u>212,621</u>	<u>110,259</u>
Total	\$1,901,997	\$1,689,235	\$ 782,496	\$ 416,272

1D.3. Inclusion of any cost in the budget of this Grant does not obviate the requirement for prior approval by the Grant Officer of cost items designated as requiring prior approval by the applicable cost principles (see the Standard Provision of this Grant set forth in Attachment 3 entitled "Allowable Costs") and other terms and conditions of this Grant, unless specifically stated in Section 1I.2. below.

1E. REPORTING

1E.1. Financial Reporting

1E.1.(a) Financial reporting requirements shall be in accordance with the Standard Provision of this Grant entitled "Payment - Letter of Credit," as shown in Attachment 3. If a Standard Form 269 is required by the aforesaid Standard Provision, the "Long Form" of said form shall be used.

1E.1.(b) All financial reports shall be submitted to A.I.D., Office of Financial Management, FA/FM/CMPD/DCB, Room 700 SA-2, Washington, D.C. 20523-0209. In addition, three copies of all financial reports shall be submitted to the A.I.D. Project Office specified in the Cover Letter of this Grant, concurrently with submission of the Quarterly Technical Reports (See Section 1E.2. below).

1E.1.(c) The frequency of financial reporting and the due dates of reports shall be as specified in the Standard Provision of this Grant referred to in Section 1E.1.(a) above.

1E.2. Program Performance Planning and Reporting

1E.2.(a) Quarterly Reports

The Grantee shall submit five (5) copies of brief quarterly program performance reports, which coincide with the financial reporting periods described in Section 1E.1. above, to the A.I.D. Project Office specified in the Cover Letter of this Grant. In addition, two copies shall be submitted to A.I.D., POL/CDIE/DI, Washington, DC 20523-1802. These reports shall be submitted within 30 days following the end of the reporting period, and shall briefly present the following information:

1E.2.(a)(1) A comparison of actual accomplishments with the goals established for the period, the findings of the investigator, or both. If the output of programs can be readily quantified, such quantitative data should be related to cost data for computation of unit costs.

1E.2.(a)(2) Reasons why established goals were not met, if applicable.

1E.2.(a)(3) Other pertinent information including the status of finances and expenditures and, when appropriate, analysis and explanation of cost overruns or high unit costs. See also Section 1I.4. of this Grant.

1E.2.(b) Special Reports

Between the required program performance reporting dates, events may occur that have significant impact upon the program. In such instances, the Grantee shall inform the A.I.D. Project Officer as soon as the following types of conditions become known:

1E.2.(b)(1) Problems, delays, or adverse conditions that will materially affect the ability to attain program objectives, prevent the meeting of time schedules and goals, or preclude the attainment of work units by established time periods. This disclosure shall be accompanied by a statement of the action taken, or contemplated, and any A.I.D. assistance needed to resolve the situation.

1E.2.(b)(2) Favorable developments or events that enable time schedules to be met sooner than anticipated or more work units to be produced than originally projected.

1E.2.(b)(3) If any performance review conducted by the Grantee discloses the need for change in the budget estimates in accordance with the criteria established in the Standard Provision of this Grant entitled "Revision of Grant Budget," the Grantee shall submit a request for budget revision to the Grant Officer and the A.I.D. Project Officer specified in the Cover Letter of this Grant.

1E.2.(c) Environmental Impact

If it appears that outputs of this project will result in an adverse environmental impact, the Grantee shall notify the A.I.D. Project Officer prior to implementation, in order to allow for orderly preparation of an environmental impact statement. The Grantee shall assure that appropriate U.S. Government, A.I.D., and/or host country procedures are followed.

1E.2.(d) Training Reports

1E.2.(d)(1) If the Grantee conducts participant training under this Grant, (see the Standard Provision entitled "Participant Training" for the definition of participant training), the Grantee shall comply with reporting and information requirements of the Standard Provision of this Grant entitled "Participant Training," as well as Chapters 5 and 24 of A.I.D. Handbook 10.

1E.2.(d)(2) The Grantee shall also provide five (5) copies of quarterly training reports to the A.I.D. Project Officer, covering this Grant. The report shall include the following information:

- Total number of new trainees during the period; and
- The following information for each LDC trainee:
 - name
 - citizenship
 - gender
 - training site
 - beginning and ending dates of training
 - purpose of training
 - type of training activities
 - source of funding

1E.2.(d)(3) The Grantee shall provide ten (10) copies of all training manuals produced under this Grant to the A.I.D. Project Officer.

1E.2.(e) Final Report

Within 90 days following the estimated completion date of this Grant (see Section 1B. above), the Grantee shall submit five (5) copies of a final report to the A.I.D. Project Office specified in the cover letter of this Grant. In addition, two copies shall be submitted to A.I.D., POL/CDIE/DI, Washington, DC 20523-1802. It will cover the entire period of the Grant and include all information shown in this Section 1E.2.

1E.2. (f) Annual Workplans

1E.2. (f) (1) The Grantee shall submit annual workplans for this Grant. Each annual workplan shall contain the following:

1E.2. (f) (1) (A) An action-oriented workplan describing planned activities during the next year, delineated by calendar quarter, and linked to the project goals and objectives, which describes the individuals to be involved, the activities to be conducted, and where and when they will be conducted. Planned activities shall be grouped by subject category, and then related to project objectives;

1E.2. (f) (1) (B) A projected budget, utilizing the same budget line items as are set forth in the budget of this Grant, for each calendar quarter, corresponding to the workplan; and

1E.2. (f) (1) (C) Publications, reports, workshops, seminars, and other information dissemination activities planned, by calendar quarter.

1E.2. (f) (2) The Grantee may develop the annual workplans in consultation with the A.I.D. Project Officer for this Grant.

1E.2. (f) (3) Five (5) copies of each annual workplan will be submitted to the designated A.I.D. Project Officer for this Grant and one copy submitted to the Grant Officer. The first annual workplan covering the first year of this Grant shall be submitted by the Grantee not later than sixty (60) days from the effective date of this Grant (see Section 1B. above). Thereafter, the annual workplan for each successive year of this Grant shall be submitted by the Grantee not later than sixty (60) days prior to the beginning of each year.

1F. TITLE TO PROPERTY

Title to property acquired hereunder shall vest in the Grantee, subject to the requirements of the Standard Provision of this Grant entitled "Title To and Use of Property (Grantee Title)" regarding use, accountability, and disposition of such property, except to the extent that disposition of property may be specified in Section 1I. below.

1G. PROCUREMENT AND (SUB)CONTRACTING

1G.1. Applicability

This Section 1G. applies to the procurement of goods and services by the Grantee (i.e., contracts, purchase orders, etc.) from a supplier of goods and services (see the Standard Provisions of this Grant entitled "Air Travel and Transportation," "Ocean Shipment of Goods," "Procurement of Goods and Services," "AID

Eligibility Rules for Goods and Services," and "Local Cost Financing"), and not to assistance provided by the Grantee (i.e., a subgrant or [sub]agreement) to a subrecipient (see the Standard Provision of this Grant entitled "Subagreements").

1G.2. Requirements

In addition to other applicable provisions of this Grant, the Grantee shall comply with paragraph (b)(2) of the Standard Provision of this Grant entitled "AID Eligibility Rules for Goods and Services," concerning total procurement value of more than \$250,000 under this Grant. Further thereto, the following are the Authorized Geographic Codes for this Grant:

1G.2. (a) Source, Origin, and Componentry of Goods and Commodities/Nationality of Suppliers of Goods or Services/Eligibility of Commodity-Related Services

1G.2. (a) (1) Source, Origin, and Componentry of Goods and Commodities

Except as specified in Section 1G.2.(b) below, all goods/commodities shall have their source and origin in Special Free World (Geographic Code 935), and shall meet A.I.D.'s componentry requirements, except as the Grant Officer may otherwise agree in writing.

1G.2. (a) (2) Nationality of Suppliers

1G.2. (a) (2) (A) Suppliers of Goods and Commodities

Except as specified in Section 1G.2.(b) below, the suppliers of goods and commodities shall have their nationality in Special Free World (Geographic Code 935), except as the Grant Officer may otherwise agree in writing.

1G.2. (a) (2) (B) Suppliers of Services (Other Than Commodity-Related Services)

Except as specified in Section 1G.2.(b) below, the suppliers of services (other than commodity-related services, as described in Section 1G.2.[a][3] below) shall have their nationality in Special Free World (Geographic Code 935), except as the Grant Officer may otherwise agree in writing.

1G.2. (a) (2) (C) Government-Owned Organizations

Notwithstanding any other provision of this Grant, a Government-Owned Organization, i.e., a firm operated as a commercial company or other organizations (including nonprofit organizations other than public educational institutions) which

are wholly or partially owned by governments or agencies thereof, are not eligible as suppliers of goods or services, except as the Grant Officer may otherwise agree in writing.

1G.2. (a) (3) Eligibility of Commodity-Related Services

The eligibility of the various types of commodity-related services is described in Attachment 5 of this Grant. Further thereto:

1G.2. (a) (3) (A) Ocean Transportation

Notwithstanding the Standard Provision of this Grant entitled "Ocean Shipment of Goods," ocean shipping financed hereunder shall, except as the Grant Officer may otherwise agree in writing, be financed only on flag vessels of the United States (A.I.D. Geographic Code 000). If the Grant Officer approves the use of non-U.S. flag vessels, the Standard Provision of this Grant entitled "Ocean Shipment of Goods" will apply. See also paragraphs A.4. and B.1. of Attachment 5 of this Grant.

1G.2. (a) (3) (B) Marine Insurance

The Authorized Geographic Code for marine insurance is the same as is set forth in Section 1G.2.(a)(2)(B) above. Paragraph (c) of the Standard Provision of this Grant entitled "AID Eligibility Rules for Goods and Services" applies. See also paragraph B.5. of Attachment 5 of this Grant.

1G.2. (b) Exceptions

1G.2. (b) (1) Construction and Engineering Services

Notwithstanding Section 1G.2.(a)(2)(B) above, unless otherwise approved in advance and in writing by the Grant Officer:

1G.2. (b) (1) (A) Construction services estimated to be in excess of \$5,000,000 shall be limited to firms whose nationality is in the United States (Geographic Code 000), except as specified in Section 1G.2.(b)(5)(C) below;

1G.2. (b) (1) (B) Construction implemented by U.S. firms, regardless of dollar value, will require that at least 50% of the supervisors and other specified key personnel working at the project site must be U.S. citizens or non-U.S. citizens lawfully admitted for permanent residence in the United States; and

1G.2. (b) (1) (C) Engineering services, regardless of dollar value, shall be limited to the United States (Geographic Code 000).

1G.2.(b)(2) Purchase/Procurement Transactions not Exceeding \$5,000

If the proposed purchase/procurement transaction does not exceed \$5,000 excluding transportation costs, paragraph (b)(1) of the Standard Provision of this Grant entitled "AID Eligibility Rules for Goods and Services" shall apply in lieu of Sections 1G.2.(a)(1) and 1G.2.(a)(2) above, except as specified in Section 1G.2.(b)(3) below.

1G.2.(b)(3) Restricted Goods

Notwithstanding Sections 1G.2.(a)(1), 1G.2.(b)(2), and 1G.2.(b)(2) above, the restricted goods listed in paragraph (a)(3) of the Standard Provision of this Grant entitled "AID Eligibility Rules for Goods and Services" must be specifically approved by the Grant Officer regardless of dollar value and source, origin, and componentry, except to the extent that such approval may be provided in Section 1I.3. below.

1G.2.(b)(4) Development Fund for Africa (DFA)

Notwithstanding Sections 1G.2.(a)(1) and 1G.2.(a)(2) above, and unless otherwise specified in a Project Agreement between A.I.D. and the host government, commodities and services financed under the Development Fund for Africa (DFA) shall be in accordance with paragraph (b)(1) of the Standard Provision of this Grant entitled "AID Eligibility Rules for Goods and Services," except that if the total amount of non-U.S. procurement exceeds \$5,000,000, such procurement must be approved in advance and in writing by the Grant Officer. However, U.S. procurement is still to be maximized to the maximum extent practicable, and, except in emergencies, timing shall not be deemed a factor to justify non-U.S. procurement.

1G.2.(b)(5) Local Procurement

Notwithstanding Sections 1G.2.(a)(1) and 1G.2.(a)(2) above, local procurement of goods and services, as described in paragraph (b) of the Standard Provision of this Grant entitled "Local Cost Financing" is authorized. However, if required by the Standard Provision of this Grant entitled "Revision of Grant Budget" or the applicable federal cost principles as described in the Standard Provision of this Grant entitled "Allowable Costs," the Grantee must still obtain the approval of the A.I.D. Grant Officer for procurement/(sub)contracts and subagreements, regardless of dollar value or the source, origin, componentry, or supplier nationality.

1G.2. (c) Definitions

1G.2. (c) (1) Source, Origin, Componentry, and Nationality of Supplier

Source, origin, componentry requirements, and supplier nationality are defined in Chapter 5 of A.I.D. Handbook 1, Supplement B, which, as may be amended from time to time, is incorporated herein as a part of this Grant by reference (see also Attachment 5 of this Grant which reflects the substance of Chapter 5 of A.I.D. Handbook 1, Supplement B as of the effective date of this Grant).

1G.2. (c) (2) A.I.D. Geographic Codes

A.I.D. Geographic Codes are defined in Appendix D of A.I.D. Handbook 18, which, as may be amended from time to time, is incorporated herein as a part of this Grant by reference (see also Attachment 5 of this Grant which reflects the substance of Appendix D of A.I.D. Handbook 18 as of the effective date of this Grant).

1G.3. Approvals

Inclusion of costs in the budget of this Grant for the purchase of nonexpendable equipment obviates neither the requirement of Section J.13. of OMB Circular A-21 (for educational institutions) or Section 13 of Attachment B of OMB Circular A-122 (for nonprofit organizations other than educational institutions) for prior approval of such purchases by the Grant Officer, nor any other terms and conditions of this Grant, unless specifically stated in Section 1I.2. below.

1G.4. Title to Property

See Section 1F. above.

1H. INDIRECT COST RATES

1H.1. Pursuant to the Standard Provisions of this Grant entitled "Negotiated Indirect Cost Rates - Predetermined" and "Negotiated Indirect Cost Rates - Provisional (Nonprofits)," a predetermined indirect cost rate or rates shall be established for each of the Grantee's accounting periods which apply to this Grant. Payments on account of allowable indirect costs shall be made on the basis of such predetermined rates. The rate(s) for the initial period and the base(s) to which it is (they are) applied is (are) as follows:

<u>Type</u>	<u>Rate</u>	<u>Base</u>	<u>Period</u>
On-Campus	46.0%	1/	07/01/92 - 06/30/94
Off-Campus	25.0%	1/	07/01/92 - 06/30/94

1/ Base of Application: Direct salaries and wages including all fringe benefits.

1H.2. Rates for subsequent periods shall be established in accordance with the Standard Provision of this Grant entitled "Negotiated Indirect Cost Rates - Predetermined."

1I. SPECIAL PROVISIONS

1I.1. Limitations on Reimbursement of Costs of Compensation for Personal Services and Professional Service Costs

1I.1.(a) Employee Salaries

Except as the Grant Officer may otherwise agree in writing, A.I.D. shall not be liable for reimbursing the Grantee for any costs allocable to the salary portion of direct compensation paid by the Grantee to its employees for personal services which exceed the highest salary level for a Foreign Service Officer, Class 1 (FS-1), as periodically amended.

1I.1.(b) Consultant Fees

Compensation for consultants retained by the Grantee hereunder shall not exceed, without specific approval of the rate by the Grant Officer: either the highest rate of annual compensation received by the consultant during any full year of the immediately preceding three years; or the maximum rate of a Foreign Service Officer, Class 1 (FS-1) (as periodically amended), whichever is less. A daily rate is derived by dividing the annual compensation by 2,087 and multiplying the result by 8.

1I.2. Equipment and Other Capital Expenditures

1I.2.(a) Requirement for Prior Approval

Pursuant to Sections 1D.3. and 1G.3. above and the Standard Provisions of this Grant entitled "Allowable Costs" and "Revision of Grant Budget," and by extension, Section J.13. of OMB Circular A-21, the Grantee must obtain A.I.D. Grant Officer approval for the following:

1I.2.(a)(1) Purchase of General Purpose Equipment, which is defined as an article of nonexpendable tangible personal property, the use of which is not limited only to research, medical, scientific, or other activities [e.g., office equipment and furnishings, air conditioning equipment, reproduction and other equipment, motor vehicles, and automatic data processing equipment, having a useful life of more than two years and an acquisition cost of \$500 or more per unit];

1I.2.(a)(2) Purchase of Special Purpose Equipment, which is defined as an article of nonexpendable tangible personal property, which is used only for research, medical, scientific, or other technical activities, and which has a useful life of more than two years and an acquisition cost of \$1,000 or more per unit); and

1I.2.(a)(3) Other Capital Expenditures, which is defined as the cost of the asset, including the cost to put it in place).

1I.2.(b) Approvals

In furtherance of the foregoing, the Grant Officer does hereby provide approval for the following purchases, which shall not be construed as authorization to exceed the total estimated amount or the obligated amount of this Grant, whichever is less (see Section 1C. above):

N/A

1I.2.(c) Exception for Automation Equipment

Any approval for the purchase of automation equipment which may be provided in Section 1I.2.(b) above or subsequently provided by the Grant Officer is not valid if the total cost of purchases of automation equipment (e.g., computers, word processors, etc.), software, or related services made hereunder will exceed \$100,000. The Grantee must, under such circumstances, obtain the approval of the Grant Officer for the total planned system of any automation equipment, software, or related services.

1I.2.(d) Compliance with A.I.D. Eligibility Rules

Any approvals provided in Section 1I.2.(b) above or subsequently provided by the Grant Officer shall not serve to waive the A.I.D. eligibility rules described in Section 1G. of this Grant, unless specifically stated.

1I.3. Restricted Goods

Pursuant to Section 1G. above and paragraph (a)(3) of the Standard Provision of this Grant entitled "AID Eligibility Rules for Goods and Services," the Grant Officer's approval is required for purchase of the restricted goods described therein. In furtherance thereof, the Grant Officer does hereby provide such approval to the extent set forth below. The Grant Officer's approval is required for purchases of such restricted goods if all of the conditions set forth below are not met by the Grantee. Any approval provided below or subsequently provided by the Grant Officer shall not serve to waive any terms and conditions of this Grant unless specifically stated.

1I.3.(a) Agricultural Commodities

agricultural commodities may be purchased provided that they are of U.S. source (generally, the country from which the commodities are shipped) and origin (generally, the country in which the commodities are mined, grown, or produced) and purchased from a U.S. supplier, except that wheat, rice, corn, soybeans, sorghums, flour, meal, beans, peas, tobacco, hides and skins, cotton, vegetable oils, and animal fats and oils cannot be purchased under any circumstances without the prior written approval of the Grant Officer. However, if this Grant is funded under the Development Fund for Africa (DFA) (see Section 1G.2.[b][4] above), procurement of agricultural commodities from Special Free World countries (Geographic Code 935) is authorized, except that procurement of agricultural commodities outside the United States must have the advance written approval of the Grant Officer when the domestic price of the commodity is less than parity, unless the commodity cannot reasonably be procured in the U.S. in order to meet the needs of the project

1I.3.(b) Motor Vehicles

Motor vehicles, if approved for purchase under Section 1I.2.(b) above or subsequently approved by the Grant Officer, must be of U.S. manufacture and must be of at least 51% U.S. componentry. The source of the motor vehicles, and the nationality of the supplier of the vehicles, must be in accordance with Section 1G.2. above. Motor vehicles are defined as self-propelled vehicles with passenger carriage capacity, such as highway trucks, passenger cars and busses, motorcycles, scooters, motorized bicycles, and utility vehicles. Excluded from this definition are industrial vehicles for materials handling and earthmoving, such as lift trucks, tractors, graders, scrapers, and off-the-highway trucks. However, if this Grant is funded under the Development Fund for Africa (DFA) (see Section 1G.2.[b][4] above), procurement of motor vehicles from Special Free World countries (Geographic Code 935) is authorized; provided, however, that procurement of non-U.S. vehicles shall be held to an absolute minimum.

1I.3.(c) Pharmaceuticals

Pharmaceuticals may be purchased provided that all of the following conditions are met: (1) the pharmaceuticals must be safe and efficacious; (2) the pharmaceuticals must be of U.S. source and origin (see Section 1G. above); (3) the pharmaceuticals must be of at least 51% U.S. componentry (see Section 1G. above); (4) the pharmaceuticals must be purchased from a supplier whose nationality is in the U.S. (see Section 1G. above); (5) the pharmaceuticals must be in compliance with U.S. Food and Drug Administration (FDA) (or other controlling

U.S. authority) regulations governing United States interstate shipment of pharmaceuticals; (6) the manufacturer of the pharmaceuticals must not infringe on U.S. patents; and (7) the pharmaceuticals must be competitively procured in accordance with the procurement policies and procedures of the Grantee and the Standard Provision of this Grant entitled "Procurement of Goods and Services."

1I.3.(d) Pesticides

Pesticides may only be purchased if the purchase and/or use of such pesticides is for research or limited field evaluation by or under the supervision of project personnel. Pesticides are defined as substances or mixtures of substances: intended for preventing destroying, repelling, or mitigating any unwanted insects, rodents, nematodes, fungi, weeds, and other forms of plant or animal life or viruses, bacteria, or other micro-organisms (except viruses, bacteria, or other micro-organisms on or living in man or other living animals); or intended for use as a plant regulator, defoliant, or dessicant.

1I.3.(e) Rubber Compounding Chemicals and Plasticizers

Rubber compounding chemicals and plasticizers may only be purchased with the prior written approval of the Grant Officer.

1I.3.(f) Used Equipment

Used equipment may only be purchased with the prior written approval of the Grant Officer.

1I.3.(g) Fertilizer

Fertilizer may be purchased if it is either purchased in the U.S. and used in the U.S., or if it is purchased in the cooperating country with local currency for use in the cooperating country. Any fertilizer purchases which do not comply with these limitations must be approved in advance by the Grant Officer. However, if this Grant is funded under the Development Fund for Africa (DFA) (see Section 1G.2.[b][4] above), procurement of fertilizer from Special Free World countries (Geographic Code 935) is authorized; provided, however, that procurement of more than 5,000 tons of non-U.S. fertilizer must have the advance written approval of the Grant Officer.

1I.4. Limitation on Use of Funds

1I.4.(a) The Grantee shall not utilize funds provided by A.I.D. for any testing or breeding feasibility study, variety improvement or introduction, consultancy, publication, conference or training in connection with the growth or production in countries other than the United States of an agricultural commodity for export which would compete with a similar commodity grown or produced in the United States.

1I.4.(b) The reports described in Section 1E.2. shall contain a statement indicating the projects or activities to which United States funds have been attributed, together with a brief description of the activities adequate to show that United States funds have not been used for the purpose in Section 1I.4.(a) above.

1I.4.(c) The Grantee agrees to refund to A.I.D. upon request an amount equal to any United States funds used for the purposes prohibited by Section 1I.4.(a) above.

1I.4.(d) No funds provided by A.I.D. under this Grant shall be used to provide assistance, either directly or indirectly, to any country ineligible to receive assistance pursuant to the Foreign Assistance Act as amended, related appropriations acts, or other statutes and Executive Orders of the United States (also see the Standard Provision of this Grant entitled "Ineligible Countries").

1I.5. Compliance With Federal Guidelines and Regulatory Procedures Pertaining to Recombinant DNA

1I.5.(a) The Grantee shall implement any research activities under this Grant which involve recombinant DNA in accordance with:

1I.5.(a)(1) The National Institutes of Health Guidelines for Research Involving Recombinant DNA Molecules;

1I.5.(a)(2) Procedures issued by the U.S. Department of Agriculture (USDA), the Environmental Protection Agency (EPA), or other appropriate Federal agency;

1I.5.(a)(3) A.I.D.'s environmental procedures; and

1I.5.(a)(4) Such other Federal guidelines and procedures as may apply during the course of research.

1I.5.(b) The Grantee cannot commence testing in any foreign location until written approval for such testing is obtained from the A.I.D. Project Officer and the government of the country where testing is planned. Testing shall be conducted in accordance with all applicable regulations of that country.

1I.5.(c) In addition, and prior to commencement of any such testing, the Grantee shall make a judgement and communicate same to the A.I.D. Project Officer as to whether the regulations, procedures, or facilities of the country in question are adequate to ensure testing in an environmentally sound manner. In the event such judgement is that they are not, the Grantee and the A.I.D. Project Officer will consult and agree on the conditions to be applied to the testing which will have such environmental effect.

1I.5.(d) Reports submitted to A.I.D. under this Grant will address regulatory issues as noted above related to the activity.

1J. RESOLUTION OF CONFLICTS

Conflicts between any of the Attachments of this Grant shall be resolved by applying the following descending order of precedence:

- Attachment 1 - Schedule
- Attachment 3 - Standard Provisions
- Attachment 4 - Special Provision entitled "Restrictions on Lobbying"
- Attachment 5 - A.I.D. Eligibility Rules
- Attachment 2 - Program Description

ATTACHMENT 2

PROGRAM DESCRIPTION

The Grantee's proposal entitled "Programa de Periodismo Latinoamericano (formerly Central American Journalism Project)" is attached hereto as the Program Description (Attachment 2) and is made a part of this Grant.

Latin American Journalism Program

I. Purpose

The purpose is to support a four-year commitment of funds to allow the Latin American Journalism Program (formerly known as the Central American Journalism Program) of the School of Journalism and Mass Communication at Florida International University to complete its obligations to journalists, media owners and journalism educators in Central America and to include the nations of Nicaragua, Venezuela, Colombia, Peru, Ecuador and Bolivia in its scope of operations.

This commitment will allow the orderly and professional development of a self-sufficient regional journalism training center, supported financially by the owners of news media. It will allow FIU to conduct an assessment of the needs of journalism education, research and training in the Andean nations and, if the assessment indicates the need and if funds are available, provide professional development activities in those countries. Operations in the Andean nations will give the regional training center a broader base from which to draw participants, thereby helping insure the self-sufficiency of the center after the program ends.

This training will continue to be based on the norms established with the FIU project proposal *Strengthening Mass Communication Education, Training and Research in Central America* and the subsequent Cooperative Agreement LAC 0031-A-00-8017-00 effective April 1, 1988. The training will be based on the standards of the free press operating in the democracies of the modern world.

The program earned widespread respect among journalists in Latin America because of its integrity and professionalism. One of the reasons for this was the selection process used to determine who would participate in training activities. All selection of participants shall remain the responsibility of the program's selection committee. Eligibility will be determined by the selection committee.

The eventual self-sufficiency of journalism training in Latin America remains the goal of this program. For that reason, budgeted spending decreases in the third and fourth years of the plan as the planned International Center for Professional Journalism matures.

II. Background

In August of 1987 J. Arthur Heise, Ph.D., dean of the FIU School of Journalism and Mass Communication, and Charles H. Green, a member of the journalism faculty, submitted the project proposal "*Strengthening Mass Communication Education, Training and Research in Central America*" to the U.S. Agency for International Development. This proposal for a seven-year project funded at \$12,280,000 was accepted and became the Central American Journalism Program

under Cooperative Agreement No. LAC-0031-A-00-8017-00. This Cooperative Agreement was for five years, covering the period April 1, 1988 through March 31, 1993, extended through July 31, 1993. Funding of \$9,195,674 has been provided. An additional \$500,000 was added to the Cooperative Agreement through modification No. 6 to finance a special country-specific journalism training program in Panamá through funds from the Dire Emergency Supplemental Appropriations Act (PL. 101-302).

This four-year grant will be financed with a budget of \$4,790,000. This \$4,790,000 is part of the \$12,280,000 obligated in 1988 to fund Cooperative Agreement LAC-0031-A00-8017-00. The four-year budget is item VI.

III. Program Description

It is vital that the program be able to continue to support the drive toward ethical solutions to the problems facing Latin American journalism. The program's emphasis on ethics has been very successful.

- The ethics learned in CAJP seminars played -- according to the journalists themselves -- an important part in the role that Guatemala's press had in rejecting an attempt by President Jorge Serrano to overthrow the constitution.
- Media owners and journalists from throughout the region, working together under program sponsorship, wrote a voluntary Code of Ethics for news organizations in 1993. This is the first regional Code of Ethics ever written for Latin American journalists. Several news organizations have already publicly adopted the Code and made the provisions of the Code public.
- The managing editor of the Honduran newspaper *Tiempo* published a series of stories on journalistic corruption in her country. The editor, who attended six CAJP training seminars, put the investigative skills she learned at those seminars into play to uncover a document showing the National Election Tribunal had made under-the-table payments to journalists. Publication of the names in that document brought death threats to her and bomb threats to her newspaper.
- After *Pulso del periodismo* published a long article on press corruption in Mexico the president of that country announced it was eliminating expense payments for journalists. Mexican journalists say the *Pulso del Periodismo* story influenced the president's decision. These are major advances in the area of journalism ethics directly attributable to work done through this project. This work will continue.

The program will continue to offer all of its original components -- professional development seminars, graduate studies, publications and workshops. The original project called for 22 seminars or workshops a year but the program often doubled that number. In the coming four years we will use an innovative training method

that sends seasoned instructors directly into the newsrooms to work one-on-one with journalists as they go about their daily tasks. This has proven to be highly effective and very cost efficient. Other seminar activity will be modified under the new grant to include special intensive training for editors and senior managers. Training efforts will focus on crucial issues for strengthening democracy, such as higher standards for news coverage of the judiciary, legislative systems, elections and of the military's changing role.

The grant will give project managers an opportunity to establish the physical location of the training center in Panamá. A financial feasibility study by KPMG Peat Marwick indicates that a training center can be self-sufficient if our assumptions about potential income are correct. Owners have indicated they are willing to contribute a minimum of \$1.5 million toward a permanent endowment for the center.

Program managers will create and start delivery of new types of profit-making activities at the center. These include seminars and workshops on increasing advertising revenue, personnel management, newsroom management and general media management training. The center will also generate revenue by offering media research services such as readership surveys, market penetration studies, audience analysis and programming studios.

Prototype seminars will have a principal instructor and experts who will contribute in special areas. A writing seminar in a print newsroom will include design and photo experts to provide a team approach to the in-house training. There will be prototypes for print and broadcast with broadcast split into television and radio. We can insure that all media owners who want to participate can do so.

There is a market for this type of activity large enough to provide the additional income the center will need in order to operate at a modest profit. The center, as Ambassador Jim Michel suggests, will not be limited to training only those in the Central American news media. It could also offer profit-making training activities for journalists and media managers from the Andean nations, the Caribbean or from other regions of the hemisphere. The program will include professional development seminars on such topics as:

1. **Covering politics, with emphasis on election coverage**
2. **Covering the economy and covering business**
3. **Covering regional integration**
4. **Covering the legislature**
5. **Covering the changing role of the military**
6. **Covering the judiciary**
7. **The role of the press in developing democracies**
8. **Ethics**
9. **Writing and editing**
10. **Investigative reporting**

We will continue to offer an academic certificate program in professional Spanish language journalism.

Program activities decline in years three and four because it is our belief that the training center will become self-sufficient and that Central Americans will be able to undertake management of the center and produce enough revenue-generating activities so that outside income will supplement interest from the endowment to assure the long-term operations of the center.

IV. Evaluation Plan

The impact of the project will be judged through seminar evaluations completed by participants, by interview-type surveys conducted in the newsrooms of Central America to determine the success level of those who have participated in project activities, through the collection of anecdotal data regarding job promotions, career improvement, professional achievements, through sampling of public opinion of the news media.

V. Cost Sharing

It is expected that media owners in Central America will contribute \$1.5 million toward the training center endowment during the project's first year. Media owners in Panamá have pledged an additional \$252,000 toward rental for a training center facility over the life of the project. This pledge will allow the training center to operate at a profit before the project ends. We also expect contributions of equipment from computer and television equipment manufacturers in the equivalent of about \$150,000. We will increase efforts to obtain non-governmental grants from private foundations in the United States and Latin America. All of this would be from non-federal sources.

VI. Special Provisions

The principal personnel of the project shall be:

**J. Arthur Heise, Ph. D, Director General
and
Charles H. Green, Director**

All of the regular and special provisions incorporated in the Cooperative Agreement LAC-0031-A-00-8017-00 shall be included. These include:

1. While in the field, the Recipient will keep the A.I.D. field missions generally appraised of their work, but will not request administrative support except for the usual in-country introductions as may be appropriate. The Recipient shall abide by Mission and host government regulations and customs as they apply to A.I.D. supported in-country activities.

2. Project Officer approval required by paragraph (a) of Optional Standard Provision 3 entitled "Air Travel and Transportation" is hereby granted for travel between the United States and all other countries described in the project description.
3. It is the goal of this program that it become financially self-sufficient. To this end, it is intended to obtain revenue from revenue-generating center activities, other donor grants and the establishment of an endowment fund. The endowment fund will obtain funding from two principal sources: project income and non-governmental grants and contributions. Funds generated in whole or in part by this agreement will be treated as program income in accordance with the Standard Provision of this Agreement titled "Program Income" and shall be added to the endowment fund to be used to further eligible program activities.

In addition, these Special Provisions shall apply:

4. Publications produced by this project, especially the magazine *Pulso del periodismo*, the series of textbooks and the media guide, shall be included under the waiver from pre-publication review granted to the Central American Journalism Program.
5. The philosophical and professional standards spelled out in the August, 1987 document, *Central American Journalism Project Proposal: Strengthening Mass Communication, Education, Training and Research in Central America. Volume I*, shall be incorporated in this grant.
6. Equipment, furniture and supplies purchased as part of the Central American Journalism Program will be automatically transferred to the Latin American Journalism Program.

000 UNITED STATES

899 FREE WORLD

Any area or country in the Free World* excluding the cooperating country itself.

935 SPECIAL FREE WORLD

Any area or country in the Free World*, including the cooperating country itself.

941 SELECTED FREE WORLD

Any independent country in the Free World*, excluding the cooperating country itself and the following:

Europe		Other	
Albania	Lithuania	Angola	Kyrgyzstan
Andorra	Luxembourg	Australia	Mongolia
Armenia	Malta	Bahamas	New Zealand
Austria	Moldova	Bahrain	Qatar
Azerbaijan	Monaco	Canada	Saudi Arabia
Belgium	Netherlands	Cyprus	Singapore
Bulgaria	Norway	Gabon	South Africa
Cyprus	Poland	Greece	Taiwan
Czechoslovakia	Portugal	Hong Kong	Tajikistan
Denmark	Romania	Iceland	Turkmenistan
Estonia	Russia	Japan	United Arab
Finland	San Marino	Kazakhstan	Emirates
France	Spain	Kuwait	Uzbekistan
Georgia	Sweden		
Germany	Switzerland		
Hungary	Ukraine		
Ireland	United Kingdom		
Italy	Vatican City		
Latvia	Yugoslavia		
Liechtenstein			

*"Free World" excludes the following areas or countries:

Afghanistan, Cambodia, Cuba, Iran, Iraq, Laos, Libya, North Korea, People's Republic of China, Syria, and Viet Nam.

AGENCY FOR INTERNATIONAL DEVELOPMENT
PROJECT IMPLEMENTATION ORDER/TECHNICAL SERVICES (PIO/T)*

Page 1 of 1 Pages

1. Cooperating Country LAC Regional	2. PIO/T No. 598-0802-3-3652033	3. PIO/T Amend No. 3
4. Project/Activity No. and Title Central American Journalism Project (Project No. 598-0802)	5. Appropriation Symbol(s) 72-1131021.1 (341-65-598-00-69-31)	
	6. Budget Plan Code(s) LDVA93-35598-KG12	
7. Pro Ag No. or Project Authorization Date 01/04/88	8. Obligation Status <input checked="" type="checkbox"/> Administrative Reservation <input type="checkbox"/> Implementing Document	
9. Project Assistance Completion Date (Month, Day, Year) 3/31/97	10. Authorized Agent AID/W FA/OP/B/LA	
11. Type of Action and Governing A.I.D. Handbook [B] A. A.I.D. Contract (HB14) C. PASA/RSSA (HB 12) B. A.I.D. Grant or Cooperative Agreement (HB 13) D. Other	12. Contract/Grant/Cooperative Agreement/ Reference Number (if this PIO/T is for an order or a modification to an award) LAC-0031-A-00-8017-00	

13. A.I.D. Funding (Attach a detailed budget in support of column (2) as Attachment A.

	(1) Previous Total	(2) Increase	(3) Decrease	(4) Total to Date
A. Dollars	406000	170000	0	576,000
B. U.S.-Owned Local Currency	0	0	0	0

14. Mission References

15. Instructions to Authorized Agent **FA/OP/B/LA** is requested to amend Cooperative Agreement No. **LAC-0031-A-00-8017-00** to Florida International University to provide incremental funding in the amount of \$170,000.

OFFICE OF FINANCIAL MANAGEMENT

ACTION: Reserved

DATE: 6/4/93 INITIALED sh

16. Address of Voucher Paying Office **AID/W FM/CMP/LC, Washington, DC**

17. Clearances - Include typed name, office symbol, and date for all clearances

A. The Project Officer certifies (1) that the specifications in the statement of work or program description are technically adequate, and (2) that (for contract actions only) all program personnel who are defined as procurement officials under 41 U.S.C 423 have signed the Procurement Integrity Certification (OF-333).

Signature <i>Sharon Isalow</i> Signature Sharon Isalow, LAC/DI	Date: 6/1/93	Phone No: x73098
B. The statement of work or program description lies within the purview of the initiating office and approved agency programs. Signature: <i>Walter Sellar</i> Signature: Walter Sellar, LAC/DR	Date: 6-1-93	C. Signature: <i>Stradford</i> Signature: CStradford/RMeehan, LAC/DPP
D. Funds for the services requested are available Signature: _____ Date: _____	E. Signature: <i>Susan Bugg</i> Signature: Susan Bugg, LAC/DR	Date: 6-1-93

18. For the Cooperating Country: The terms and conditions set forth herein are hereby agreed to:

Signature: _____ Title: _____ Date: _____

19. For the Agency for International Development:

Signature: *Kenneth G. Schofield*
Signature: Kenneth G. Schofield

Title: **LAC/DI, Director**

Date: **6/2/93**

FOR CONTRACT ACTIONS ONLY: SOURCE SELECTION INFORMATION--SEE FAR 3.104. THIS DOCUMENT, OR PORTIONS THEREOF, CONTAINS PROPRIETARY OR SOURCE SELECTION INFORMATION RELATED TO THE CONDUCT OF A FEDERAL AGENCY ACQUISITION, THE DISCLOSURE OF WHICH IS RESTRICTED BY LAW (41 U.S.C. 423). UNAUTHORIZED DISCLOSURE OF THIS INFORMATION MAY SUBJECT BOTH THE DISCLOSER AND RECIPIENT TO CONTRACTUAL, CIVIL, AND/OR CRIMINAL PENALTIES AS PROVIDED BY LAW.

FOR OTHER ACTIONS: UNAUTHORIZED DISCLOSURE OF PROPRIETARY OR SOURCE SELECTION INFORMATION MAY SUBJECT AN EMPLOYEE TO DISCIPLINARY ACTION.

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AGENCY FOR INTERNATIONAL DEVELOPMENT
PROJECT IMPLEMENTATION ORDER/TECHNICAL SERVICES (PIO/T) *

Page 1 of 1 Pages

1. Cooperating Country LAC Regional	2. PIO/T No. 598-0802-3-3652033	3. PIO/T Amend No. 2
4. Project/Activity No. and Title Central American Journalism Project (Project No. 598-0802)	5. Appropriation Symbol(s) 72-1131021.1 (341-65-598-00-69-31)	
	6. Budget Plan Code(s) LDVA93-35598-KG12	
7. Pro Ag No. or Project Authorization Date 01/04/88	8. Obligation Status <input checked="" type="checkbox"/> Administrative Reservation <input type="checkbox"/> Implementing Document	
9. Project Assistance Completion Date (Month, Day, Year) 3/31/97	10. Authorized Agent AID/W FA/OP/B/LA	
11. Type of Action and Governing A.I.D. Handbook [B]	12. Contract/Grant/Cooperative Agreement/ Reference Number (if this PIO/T is for an order or a modification to an award) LAC-0031-A-00-8017-00	
A. A.I.O. Contract (HB14) C. PASA/RSSA (HB 12) B. A.I.D. Grant or Cooperative Agreement (HB 13) D. Other		

13. A.I.D. Funding (Attach a detailed budget in support of column (2) as Attachment A.				
	(1) Previous Total	(2) Increase	(3) Decrease	(4) Total to Date
A. Dollars	406000	0	0	406,000
B. U.S.-Owned Local Currency	0	0	0	0

14. Mission References

15. Instructions to Authorized Agent **FA/OP/B/LA** is requested to amend Cooperative Agreement No. **LAC-0031-A-00-8017-00** to Florida International University to extend the PACD from July 31, 1993 to March 31, 1997, per the attached authorization amendment. This is a no-cost extension. This PIO/T supercedes item (1) in PIO/T No. 598-0802-3-3652033 (original), which was sent to FA/OP/B/LA on March 3, 1993, requesting a PACD extension from March 31, 1993 to March 31, 1995. Other requests in that PIO/T remain in force, i.e., an increase in the authorized LOP from \$9,822,849 to \$12,780,000 and incremental funding in the amount of \$406,000.

16. Address of Voucher Paying Office **AID/W FM/CMP/LC, Washington, DC**

17. Clearances - Include typed name, office symbol, and date for all clearances

A. The Project Officer certifies (1) that the specifications in the statement of work or program description are technically adequate, and (2) that (for contract actions only) all program personnel who are defined as procurement officials under 41 U.S.C 423 have signed the Procurement Integrity Certification (OF-333).

Signature: <i>[Signature]</i> Sharon Tsafow, LAC/DI	Date: 5/4/93	Phone No: x73096
B. The statement of work or program description lies within the purview of the existing policy and approved agency programs. Signature: <i>[Signature]</i> Peter Sellar, LAC/DI	Date:	C. Signature: <i>[Signature]</i> CStradford/RMeehan, LAC/DPP
D. Funds for the services requested are available Signature: Date:	E. Signature: <i>[Signature]</i> Sue Dugg, LAC/DR	Date: 5-5-93

18. For the Cooperating Country: The terms and conditions set forth herein are hereby agreed to:

Signature: Title: Date:

19. For the Agency for International Development
 Signature: *[Signature]*
Kenneth G. Schofield Title: **LAC/DI, Director** Date: **5/8/93**

FOR CONTRACT ACTIONS ONLY: SOURCE SELECTION INFORMATION--SEE FAR 3.104. THIS DOCUMENT, OR PORTIONS THEREOF, CONTAINS PROPRIETARY OR SOURCE SELECTION INFORMATION RELATED TO THE CONDUCT OF A FEDERAL AGENCY ACQUISITION, THE DISCLOSURE OF WHICH IS RESTRICTED BY LAW (41 U.S.C. 423). UNAUTHORIZED DISCLOSURE OF THIS INFORMATION MAY SUBJECT BOTH THE DISCLOSURER AND RECIPIENT TO CONTRACTUAL, CIVIL, AND/OR CRIMINAL PENALTIES AS PROVIDED BY LAW.
FOR OTHER ACTIONS: UNAUTHORIZED DISCLOSURE OF PROPRIETARY OR SOURCE SELECTION INFORMATION MAY SUBJECT AN EMPLOYEE TO DISCIPLINARY ACTION.



BEST AVAILABLE COPY

April 9, 1993

Ms. Sharon Isralow
Agency for International Development
LAC/ODI
Room 20523 N.S.
Washington, D.C. 20523

Dear Sharon:

We request an extension of the Central American Journalism Project from April 1, 1995, through March 31, 1997. This project is authorized through Cooperative Agreement No. LAC-0031-A-00-8017-00.

Prudent management of A.I.D. funds indicates we can accomplish the work necessary in this additional two-year period with a no-cost extension.

In years 6 and 7 of the project we will institutionalize the program in Central America. This means the establishment of a not-for-profit educational foundation. The training center will become the foundation's operational arm. Our original project proposal referred to the establishment of non-profit associations for journalists and for media owners. The proposal put the eventual management of the training center under auspices of the journalists' association. The Advisory Committee to the project said an "association" carries too much political baggage among Central American journalists and recommended instead an educational foundation operate the training center.

We expect to have the foundation chartered and the center in operation during the 1994-1995 period. However, we feel it will take an additional two years before the operation can be turned over to the Central America journalists and media owners. Thus we request a two-year, no-cost extension for the period April 1, 1995, through March 31, 1997, to methodically phase out our involvement and to insure the self-sufficiency of the training center.

The foundation will be established by the end of calendar 1993. We are examining the possibility of establishing the foundation as a not-for-profit corporation in the United States with 501c status so that U.S. donors may make tax-deductible contributions to the permanent endowment. Media owners in Central America will contribute the core of the original permanent endowment under a \$1.5 million fund-raising campaign they

Ms. Sharon Isralow
April 9, 1993
Page - 2

themselves are leading. The leaders of the this campaign already have obtained written pledges of \$500,000 toward that \$1.5 million.

The center will not be opened until that \$1.5 million endowment has been raised. We plan to have the money in a foundation trust fund account by the end of calendar 1993. This would mean the center would open during the first quarter of calendar 1994.

Once the center is opened it will gradually assume more of a role in the operation of the regional project. After March 31, 1997, all of the project's administrative and training activities will be conducted from the center.

As long as FTU has a fiduciary responsibility to A.I.D. the foundation -- and thus the center -- will be governed by a board of directors composed of the project's general director, the executive director and the associate executive director. When fiduciary responsibility ends, after March 31, 1997, the center will be operated by a board of directors composed of three media owners, three working journalists and the dean of the FTU School of Journalism and Mass Communication. The foundation bylaws will be crafted after consultation with the media owners who contribute to the permanent endowment.

While the center is still under the guidance of CAJP managers it will develop and test-market seminars, research projects and other activities. These activities, combined with interest from the permanent endowment, will produce the income necessary to offset the center's operating and training expenses. During 1996, project management will conduct an international campaign to increase the center's financial soundness through contracts and grants.

Beginning in year 8 -- calendar 1996 -- the administration of the project will begin to shift from Miami to the center. By late 1996 or early 1997 all project activities will be managed from the center.

By April 1, 1997, the program will be concentrated in the center with its capability to deliver a self-sufficient training program already fully developed and proven.

I enclose a budget showing how we would phase out CAJP during years 8 and 9.

Sincerely,



Charles H. Green

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Cooperative Agreement No. LAC-0031-A-00-0017-00
Expenditures from 4/80 (inception) through 3/97
(Actual, encumbrance and planned)

Item	Actual & Encumbrance Through 3/93	Plan Year 6	Plan Year 7	Phase Out Plan Year 8	Phase out Plan Year 9	Total Nine years
Personal Services	2,084,285	575,000	605,000	350,000	200,000	3,814,285
Travel & Transport	324,590	92,000	99,151	20,000	15,000	550,741
Procurement	690,459	83,000	80,000	2,000	1,000	856,459
Overhead (Indirect+benefits)	1,541,921	350,000	290,000	146,504	60,000	2,388,425
Establish hqtrs/fild office	18,589	0	0	0	0	18,589
Local round table	11,743	0	0	0	0	11,743
Regional round table	13,654	0	0	0	0	13,654
Pulso Magazine	270,461	90,000	85,000	60,000	39,000	544,461
Write text books	467,861	0	0	0	0	467,861
Professional Seminars	1,278,660	298,000	280,000	48,000	15,000	1,919,660
Academic programs	347,454	150,000	120,000	20,000	10,000	647,454
Rural Radio Exch.	0	40,000	35,000	0	0	75,000
Media Directory	13,733	14,000	14,000	0	0	41,733
Publications	1,961	15,000	15,000	0	0	31,961
Advisory committee	55,603	20,000	15,000	5,000	5,000	100,603
Library	13,964	15,000	15,000	0	0	43,964
Research & surveys	36,155	30,000	27,000	0	0	93,155
Evaluation workshop	0	5,000	7,000	0	0	12,000
Internal evaluation	28,868	10,000	10,000	0	0	48,868
Regional association	20,000	10,000	10,000	0	0	40,000
Owners association	10,000	0	0	0	0	10,000
Equipping center	549,384	0	0	0	0	549,384
Total	\$7,779,345	1,797,000	1,707,151	651,504	345,000	\$12,280,000

Note: These figures do not include \$500,000 of the Panamá specific program which is already obligated by USAID and spent/encumbered by FIU as of this date.

FIU - Central American Journalism Program (CAJP)

ACTION MEMORANDUM TO THE DIRECTOR, LAC/DR

FROM: Kenneth G. Schofield, LAC/DI

SUBJECT: PACD Extension for the Central America Regional
Journalism Project (598-0802)

Action Requested: Your approval is requested to extend the PACD of the Regional Central American Journalism Project from March 31, 1995 to March 31, 1997. This is a no-cost extension.

Background: The PACD for the five-year Cooperative Agreement for the Central American Journalism Project (CAJP) expired on March 31, 1993. The project is authorized for 7 years, which would allow an extension through March 1995. LAC/DI has received a request from Florida International University, the implementing agency, to extend the project for additional two years (through March 1997) to ensure that the program is institutionalized and self-sustaining prior to turning control of the project over to Central American journalists and media owners. LAC/DI concurs with FIU's request. After discussing the situation with the Contracts Office, we think it would make more sense to extend the Cooperative Agreement for 4 years now, instead of 2 years per the current authorization, so that LAC/DI, FIU, and the Contracts Office can work with a realistic program description and budget. Contracts concurs in this request and has extended the current Cooperative Agreement until May 31, 1993.

Discussion: The next two years of the project (1994 - 1995) are to be devoted to institutionalizing the CAJP in Central America. This will be done through the establishment of a foundation, whose operational arm will be an International Journalism Training Center. While FIU expects to have the foundation chartered and the Center in operation during 1994-95, they feel that it will take an additional two years (1996-97) of involvement on their part before its operation can be completely turned over to Central American journalists and media owners. FIU has requested a 2-year, no-cost extension for the period April 1, 1996 through March 30, 1997 to methodically phase out the project.

The Foundation will be established by the end of this calendar year. FIU is examining the possibility of establishing it as a non-profit corporation in the United States with 501C status so that U.S. donors may make tax-deductible contributions to an endowment fund. Media owners in Central America will contribute to the core of the permanent endowment under a \$1.5 million fund-

raising campaign they are undertaking. The leaders of this campaign already have obtained written pledges of \$500,000 toward the \$1.5 million.

The Foundation will operate the Center. The Center will not be established until \$1.5 million has been raised for the endowment. The target for raising those funds is December 1993, which would mean the Center would open the first quarter of 1994. Once the Center is opened, it will gradually assume more of a role in the operation of the regional project. After March 31, 1997, all of the project's administrative and training activities will be conducted from the Center.

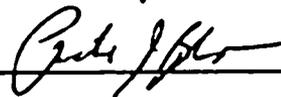
The foundation and, through it, the Center, will be operated by a Board of Directors. The principals of the project will serve as the Board through March 1997. At that point, the Center will be operated by a Board of Directors composed of three media owners, three working journalists and the dean of the FIU School of Journalism and Mass Communication. The foundation by-laws will be crafted after consultation with the media owners who contribute to the permanent endowment.

While the Center is still under the guidance of CAJP managers, it will develop and test-market seminars, research projects and other activities. These activities, combined with interest from the permanent endowment, will produce the income necessary to offset the Center's operating and training expenses. During 1996, project management will conduct an international campaign to increase the Center's financial soundness through contracts and grants.

Beginning in year 8 of the project -- calendar year 1996 -- the FIU administration of the project will begin to shift from Miami to the Center. By late 1996 or early 1997, all project activities will be managed from the Center. By April 1, 1997, the program will be concentrated in the Center with its capability to deliver a self-sufficient training program already fully developed and proven.

Authority: Delegation of Authority No. 751, signed September 14, 1992, empowers the Director of the Office of Development Resources, Bureau for Latin America and the Caribbean, to approve PACD extensions up to a total life of not more than 10 years.

Recommendation: That you approve extending the PACD of the Central American Journalism Project to March 31, 1997.

APPROVED: 

NOT APPROVED: _____

DATE: 4/28/93

clearances:

LAC/DR S Bugg DRAFT Date 4/16/93
GC/LAC R Meighan DRAFT Date 4/26/93
LAC/CEN TC-Wagner DRAFT Date 4/23/93

Drafted: Sisralow: LAC/DI: 4/13/93: x73096: doc# CAJPAUTH. SEI

MAR 5 1993

ACTION MEMORANDUM TO THE ACTING ASSISTANT ADMINISTRATOR, LAC

FROM: Kenneth G. Schofield, LAC/DI

SUBJECT: Central America Regional Journalism Project (598-0802):
Amendment to Cooperative Agreement with Florida
International University

Action Requested: Your approval is requested to amend the Cooperative Agreement with Florida International University (FIU) supporting the Central American Journalism Project to include Bolivia, Colombia, Ecuador, Peru, Venezuela and Nicaragua as participating countries.

Background: Last April, Florida International University (FIU), in coordination with the Universidad de los Andes, had submitted a proposal to LAC/DI to fund a program to strengthen journalism education, research and training in the Andean nations. The program as initially described was to be similar to the Central American Journalism Program (CAJP). Funding constraints and the absence of journalism strengthening as a high priority in Mission action plans make it unlikely in the near term that LAC would support a program of similar scope for the Andes. However, we would like to take advantage of the infrastructure, expertise and experience developed through the Central America Journalism Project and apply them to the Andes in useful ways with existing funds. We also recognize that there is much to be gained by making it possible for practicing journalists from different countries to share experiences, issues and concerns.

Nicaragua was ineligible for assistance when the project was authorized in 1988. It is now eligible for assistance. FIU has requested that it be included in the program. The post and LAC/DI concur.

Discussion: FIU was advised in a November 24, 1992 meeting with the AA/LAC that if funds could be found within the CAJP budget, then we would consider approving the use of those funds for limited activities in the Andes.

In addition to those activities already authorized, FIU has proposed to expand the project to the Andes for activities such as a needs assessment of journalism education, training and research in the region, and assistance to the Universidad de los Andes in its efforts to develop short-term training and graduate level programs. LAC/DI concurs with this agenda.

These activities dovetail with the Central American journalism project. Resources and materials available under the Central American Journalism Project such as the corps of instructors, PULSO magazine, and the textbook series, would be made available and incorporated in the Universidad de los Andes program. The needs assessment would add to the body of knowledge available to educators, researchers, journalists and public and private institutions.

In addition, the assessment would provide more knowledge on the status of journalism in the Andes, which in turn would help to more accurately predict the draw of the Journalism Training Center beyond Central America's borders and provide information on the types of programs that would be most useful. (It was determined in the November 24 meeting with Ambassador Michel that the regional journalism training center, authorized and partially funded through the CAJP, should not be available solely to Central Americans and should actively seek a larger market for its services.)

LAC/DI has received concurrence to the proposed Andean assessment from Bolivia, Peru, Ecuador and Venezuela. Colombia has been unable to fully consider the proposal at the present time because of current events in Colombia. We do not anticipate a negative response, but no activities would occur in Colombia without prior Mission concurrence.

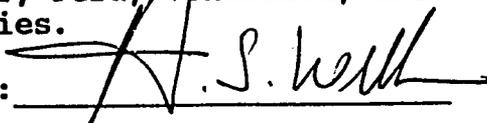
After two years' exclusion of Nicaraguan journalists in the CAJP, the DI Committee at the Embassy in Nicaragua has agreed to Nicaraguan participation in the program. Following meetings in Nicaragua in early February, it was decided that Nicaraguans would be incorporated in regional seminars and that limited Nicaragua-specific activities would be developed, pending availability of funds. The inclusion of Nicaraguans will provide them with exposure to other journalists and training in specific skills that are being enhanced in other countries.

For your information, we are also requesting the Contracts Office amend the current Cooperative Agreement to extend the PACD from March 31, 1993 to March 31, 1995 and to increase the life of project funding from \$9,822,849 to \$12,780,000, in accordance with the current authorization. For contracting reasons, the Cooperative Agreement was for a shorter period than that authorized. We are asking the Contracts Office to consolidate in one amendment the inclusion of Andes and Nicaragua, extension of the PACD and increase in LOP.

We have reviewed the status of the project to date, both in the SAR held December 4, 1992 and at the last semi-annual briefing by the Grantee on November 23, 1992, and have found it exceeded its objectives to date and is worth extending. The principle findings, conclusions and recommendations of a mid-term evaluation, completed in February 1991, were favorable and supported continuation of the project.

Recommendation: That you authorize an amendment to the Central American Regional Journalism Strengthening Project (598-0802) to include Bolivia, Colombia, Ecuador, Peru, Venezuela, and Nicaragua as participating countries.

APPROVED: _____



DISAPPROVED: _____

DATE: _____

3/8/93

Clearances:

LAC/DI:DMcFarland Safrr Date _____
LAC/SAM:NParker subs PDI Date rec'd 2/22/93 can budget be reduced
~~W~~LAC/CEN:TC-Wagner Wagner Date 2/23 if Columbia unit participate?
LAC/DR:PBloom Phone Date 2/23/93
GC/LAC:RMeighan Ry Date 2/23
ARA/AND:APatterson (info)
ARA/CEN:JHamilton (info)
DAA:SRhodes W Date 2/23/93

Drafted:LAC/DI: ¹Sisralow:2/1/93:x7-3096

AGENCY FOR INTERNATIONAL DEVELOPMENT
PROJECT IMPLEMENTATION ORDER/TECHNICAL SERVICES (PIO/T)*

1. Cooperating Country LAC Regional	2. PIO/T No. 598-0802-3-3652033	3. PIO/T Amend No. Original
4. Project/Activity No. and Title Central American Journalism Project (Project No. 598-0802)	5. Appropriation Symbol(s) 72-1131021.1 (341-65-598-00-69-31)	
	6. Budget Plan Code(s) -LDVA93-35598-KG12	
7. Pro Ag No. or Project Authorization Date 01/04/88	8. Obligation Status <input checked="" type="checkbox"/> Administrative Reservation <input type="checkbox"/> Implementing Document	
9. Project Assistance Completion Date (Month, Day, Year) 3/31/93	10. Authorized Agent AID/W FA/OP/B/LA	
11. Type of Action and Governing A.I.D. Handbook [B]		12. Contract/Grant/Cooperative Agreement/ Reference Number (if this PIO/T is for an order or a modification to an award) LAC-0031-A-00-8017-00
A. A.I.D. Contract (HB14)		C. PASA/RSSA (HB 12)
B. A.I.D. Grant or Cooperative Agreement (HB 13)		D. Other

13. A.I.D. Funding (Attach a detailed budget in support of column (2) as Attachment A.)

	(1) Previous Total	(2) Increase	(3) Decrease	(4) Total to Date
A. Dollars	0	406,000	0	406,000
B. U.S.-Owned Local Currency	0	0	0	0

14. Mission References

15. Instructions to Authorized Agent **FA/OP/B/LA** is requested to amend Cooperative Agreement No. **LAC-0031-A-00-8017-00** to Florida International University to: 1) extend the PACD from March 31, 1993 to March 31, 1995; 2) increase the authorized ~~LAP~~ **LAP** from ~~\$9,822,649~~ to **\$12,780,000** per the attached project authorization; and 3) add **incremental funding in the amount of \$406,000.**

FA/FM/A/NPA & PA

OFFICE OF FINANCIAL MANAGEMENT

Obligations may not be incurred until TN **ACTION:** Reserve expires: _____

16. Address of Voucher Paying Office **AID/W FM/CMP/LC, Washington DC** DATE DC 3/4/93 INITIALED RA

17. Clearances - Include typed name, office symbol, and date for all clearances

A. The Project Officer certifies (1) that the specifications in the statement of work or program description are technically adequate, and (2) that (for contract actions only) all program personnel who are defined as procurement officials under 41 U.S.C. 423 have signed the Procurement Integrity Certification (OF-333).

Signature Sharon Isralow, LAC/DI Date: 3/1/93 Phone No: 7-3096

B. The statement of work or program description lies within the purview of the initiating office and approved agency programs.
 Signature: Peter Sellar, LAC/DI POS Date: 3-1-93 C. C Stonford/RMeehan 3/3/93
 Signature: _____ Date: 3/3/93

D. Funds for the services requested are available
 Signature: _____ Date: _____ E. SB
 Signature: SBugg, LAC/DR Date: 3-1-93

18. For the Cooperating Country: The terms and conditions set forth herein are hereby agreed to:
 Signatures: _____ Title: _____ Date: _____

19. For the Agency for International Development:
 Signature: Kenneth G. Schorfield Title: Director, LAC/DI Date: 3/3/93

FOR CONTRACT ACTIONS ONLY: SOURCE SELECTION INFORMATION--SEE FAR 3.104. THIS DOCUMENT, OR PORTIONS THEREOF, CONTAINS PROPRIETARY OR SOURCE SELECTION INFORMATION RELATED TO THE CONDUCT OF A FEDERAL AGENCY ACQUISITION, THE DISCLOSURE OF WHICH IS RESTRICTED BY LAW (41 U.S.C. 423). UNAUTHORIZED DISCLOSURE OF THIS INFORMATION MAY SUBJECT BOTH THE DISCLOSER AND RECIPIENT TO CONTRACTUAL, CIVIL, AND/OR CRIMINAL PENALTIES AS PROVIDED BY LAW.
FOR OTHER ACTIONS: UNAUTHORIZED DISCLOSURE OF PROPRIETARY OR SOURCE SELECTION INFORMATION MAY SUBJECT AN EMPLOYEE TO DISCIPLINARY ACTION.

40

AGENCY FOR INTERNATIONAL DEVELOPMENT
WASHINGTON, D.C. 20523

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ACTION MEMORANDUM FOR THE ASSISTANT ADMINISTRATOR (LAC) 12/22/87

FROM : LAC/DR, Terrence Brown

SUBJECT: Central American Regional Journalism Strengthening Project (597-0031) - Cooperative Agreement with Florida International University

Action Requested: Your approval is requested to authorize \$12,280,000 in ESF grant funds for the Central American Regional Journalism Strengthening Project under a cooperative agreement with Florida International University (FIU). The 7-year project will be incrementally funded with an initial obligation of \$1,107,000 in FY 88.

Discussion: The proposed project is the outgrowth of work performed during 1986-87 by FIU's Department of Communications, funded by an A.I.D. grant of \$428,000, to determine journalism training needs in five Central American countries and to recommend a strategy for building a training capacity in the region. FIU carried out extensive research in each country, analyzed these findings through a workshop attended by Central American journalists and leading U.S. academic authorities, and designed and conducted a pilot training seminar for mid-level media professionals.

The purpose of the proposed project is to strengthen journalistic professionalism in the Central American countries of Costa Rica, El Salvador, Honduras and Guatemala, through training, research, information exchange and other relevant activities, and to institutionalize a capacity within the journalistic community to continue these activities after termination of A.I.D.'s assistance. Its objectives include improving professional skills in writing, reporting, editing, production, research and management; raising awareness of journalistic ethics; strengthening journalism education programs in universities, including development of instructional materials; and facilitating continuing dialogue among journalists, owners and educators in the region.

Specific activities to be financed include establishment of a regional association of journalists, a media and journalism research library, a Central America media directory, professional seminars, long-term graduate training, a quarterly Central America Journalism Review, the development and production of journalism textbooks, development of rural radio news exchanges, and the establishment of a self-sustaining Central American journalism training center.

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ESF grant funds in the amount of \$12,280,000 are proposed to be provided to FIU in support of project activities over a seven-year period. SDA funds would be used in the event ESF funds are not available in the future. The project will be incrementally funded. \$5,500,000 is proposed to fund the first two phases of FIU activities over a three-year period with an initial FY88 obligation of \$1,107,000 from ESF carry over funds from FY87. Funding for the third and fourth phases will be subject to independent A.I.D. evaluation of satisfactory progress and availability of funds.

Two DAEC meetings have been held to review the FIU project proposal. The first was held on May 29, 1987, which resulted in an A.I.D. request for certain clarifications and additional information in the proposal. FIU submitted a revised proposal on August 21, 1987, and a second DAEC meeting was held on September 14, 1987 to review the revised proposal. This latter meeting resulted in a recommendation for approval of the project subject to consideration of the following in the cooperative agreement.

1. Project Phases. The entire LOP amount of \$12,280,000 for the four phases is proposed to be authorized, but only \$5,500,000 will be initially made available for Phases I and II, including \$80,000 for A.I.D. external evaluations. These two phases primarily include project organization and start-up, training and education activities, publication of a Central American media directory and a journalism review, and facilitating creation of a regional journalists association. Obligation of funds for subsequent phases will be contingent on A.I.D. approval of progress on completed work. If approved by the Assistant Administrator/LAC, phases III and IV would consist of development of a media and journalism research library, production of journalism textbooks and rural radio news exchanges, provision of training professional seminars, and establishment of a Central America Journalism Center.

2. Structural and Technical Constraints. FIU's revised proposal responded to issues raised in the DAEC meeting as to how constraints, such as owner/employee relations, wage scales for journalists, effectively binding ethics codes, underlying societal and attitudinal problems, etc., will be dealt with under the project. FIU proposes to deal with those problems through education, professional development seminars and other activities which are expected to promote self-examination and changes that improve existing operational systems and business practice. The project monitoring and evaluation activities include analysis of constraints and changes over time to assure goals are being met.

3. Budget. As A.I.D. requested, a detailed project budget is provided in the revised proposal. The budget has been subsequently revised as a result of LAC/DR/EST observations in the training budget. The revised budget includes \$200,000 for external evaluations to be controlled and managed by A.I.D.; \$80,000 for Phases I and II, and \$120,000 for Phase III. The total proposed funding by A.I.D. for the project is \$5,500,000 for Phases I and II, \$3,880,000 for Phase III, and \$2,900,000 for Phase IV.

4. Procurement Plan. Subject to review and approval by the Contracts office (SER/OP/OS/LAC), FIU will utilize its normal procurement policies under the project.

The following are the proposed project procurement requirements.

- The mandatory standard A.I.D. rules for travel, transportation, and shipping will apply.
- Vehicle procurement will be U.S. source and origin, with the exception of the procurement of a small number of scooters or motorcycles. The requirement for procurement of U.S. source/origin motorcycles under the project is proposed to be waived to allow procurement of Japanese motorcycles. The total procurement will not exceed \$25,000. The justification for a waiver is nonavailability of U.S. source for the type and size required (less than 160 cc), including parts and service.
- The authorized geographic code for procurement of goods and services under the project is proposed to be waived to permit procurement of services from countries in geographic code 941 and Central America. The nature of the project requires the procurement and use of materials and services from Central America and other Spanish speaking countries, such as speakers for seminars and training courses, periodicals and textbooks, etc.

5. Payment Provisions and Audit Plan. A.I.D. payments to FIU for project activities will be disbursed via a Federal Reserve Letter of Credit. The cognizant FIU audit agency is the Department of Health and Human Services (HHS), and FIU will request HHS to include this project under their annual scope of audit of accounts.

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6. Implementation and Evaluation Plans. The FIU proposed implementation and evaluation plans are acceptable subject to the addition of the following.

- An amount of \$200,000 will be added to the project budget for A.I.D. external evaluations. Two external evaluations are tentatively proposed at the end of Phases II and III.
- FIU will be required to prepare annual workplans with financial budgets for A.I.D. review and approval. In addition, LAC/DI staff, and other offices as required, will review the project at 6-month intervals with FIU to identify and resolve implementation problems. The preparation of annual plans and semi-annual reviews are considered adequate for proper A.I.D. monitoring and management purposes.

7. Environmental Aspects. The LAC Environmental Officer has approved a recommendation for categorical exclusion of the project under A.I.D. environmental requirements (H.B. 3, Appendix 2D, Section 216.2 (C) VII)).

8. A.I.D. Communications Review Board Requirements. The Executive Director of the Communications Review Board (CRB) looked over the project proposal to determine the need for CRB review of publications or other information-producing activities to be funded under the project. She determined that the following portions of the project are exempt from CRB approval:

- Conferences and seminars with educators, journalists and media owners
- Research and informational materials-necessary for inclusion in a library for journalists
- Computerized media directory
- Instructional material and textbooks
- Magazine-type radio programs

The Central American Journalism Review (proposed quarterly publication) will be subject to CRB approval of the use of A.I.D. funds for its production. Although publication of the Review is not planned until January, 1989, LAC/ODI will present

the required documentation to the CRB for approval well in advance of that date.

9. Rationale for Use of Cooperative Agreement. The channeling of assistance through a cooperative agreement instead of a contract arrangement is authorized by the 1977 Federal Grants and Cooperative Agreements Act (31 U.S.C. 6301 et. seq., A.I.D.'s Handbook 1B, Chapter 25 and Handbook 13, Chapters 1 and 6). A cooperative agreement is an assistance instrument in which substantial involvement is anticipated between A.I.D. and the recipient during the performance of the activity, and the principal purpose of the relationship is the transfer of money, property, services or anything of value to the recipient in order to accomplish a public purpose of support authorized by Federal Statute.

In this case, a cooperative agreement is the appropriate instrument since A.I.D. will build on FIU's unique capability, knowledge, expertise, and organizational capacity to carry out the project. FIU's institutional capacity will be strengthened with the proposed A.I.D. support. Secondly, A.I.D. will be substantially involved in the implementation of the project through semi-annual reviews, reviews of annual work plans and budgets, and through incremental funding of activities based on reviews of accomplishment of goals. Acceptance of the FIU proposal without inviting grant applications from other sources is based upon FIU's linkages to Latin American journalism through the activities of its Communication Department and Latin American and Caribbean Center, the quality and comprehensiveness of data and analyses contained in the project proposal, and the credibility it has gained with journalists and media organizations in Central America through its work. FIU's Communication Department has demonstrated that it is capable of and firmly committed to carrying out the program. We are aware of no other institution that possesses as deep an understanding of the problems which the project will address. Competition is not an issue under the terms of HB 13, Chapter 2B2.

10. Field Mission Comments. The five Central American A.I.D. Missions have reviewed FIU's proposal and concur in funding the project. The consensus is that the project is well thought-out, and if successful, will be beneficial to the region and the U.S.

Recommendation: That you authorize obligation of the Central American Regional Journalism Strengthening Project (597-0031) by signing below.

APPROVED: *[Signature]*
DISAPPROVED: _____
DATE: 10/1 - 7 1990

AGENCY FOR INTERNATIONAL DEVELOPMENT
WASHINGTON, D.C. 20523

OCT 25 1990

ACTION MEMORANDUM FOR THE ASSISTANT ADMINISTRATOR, LAC

FROM: LAC/DI, William B. Schoux, Director

SUBJECT: Central America Regional Journalism Strengthening
Project (597-0031): Amendment to Cooperative
Agreement with Florida International University

Action Requested: Your approval is requested to amend the cooperative agreement with Florida International University (FIU) supporting the Central American Journalism Strengthening project to increase life-of-project funding by \$500,000 for special activities in Panama.

Background: Although the original project design included Panama as one of the five countries participating in the Central American Journalism Program (CAJP), Panama had become ineligible for assistance by the time the project was authorized in FY 1988. The Dire Emergency Supplemental Appropriations Act, P.L. 101-302, signed by President Bush on May 25, 1990, eliminated the prohibition against the use of certain A.I.D. funds and waived Brooke Amendment restrictions for prior year funds used in Panama during FY 1990, as well as for monies appropriated under the Act.

On July 6, 1990, after removal of the legislative restrictions, you authorized an amendment to include Panama in the CAJP. Panamanian journalists have since been fully integrated into the regional project, but there is need for country specific activities to help accelerate the recovery of the Panamanian media after years of restrictions and official harassment.

Discussion: In March 1990, a preliminary needs assessment of print and broadcast media in Panama was conducted by CAJP project staff. The survey found newsrooms staffed by very young and inexperienced reporters, sharp divisions between younger, university-trained journalists and their older colleagues, and a significant level of distrust of journalists by some media owners. It also found that the first real press freedom in 21 years had resulted in cases of libertinaje, or freedom of the press gone wild, with unsupported accusations printed or aired without regard for facts or objective

verification. According to the assessment, members of the media recognize the need for assistance to overcome these deficiencies if journalism in Panama is to become a credible and effective contributor to a functioning democratic society.

USAID/Panama proposes to invest \$500,000 of funds appropriated under P.L. 101-302 in an amendment to the CAJP to support Panama-specific activities. In March 1990, FIU/CAJP submitted to the mission a proposal for an ambitious 12-month program of training and seminars on such topics as the role of the press in a developing democracy, journalistic ethics, technical and economic reporting and specialized seminars for media owners. Following LAC/W approval of the concept and budget, conveyed in a memorandum from LAC/DR dated June 11, 1990, the mission's project review committee reviewed and approved FIU's revised proposal on September 17, 1990.

The A.I.D. mission in Panama will forward a PIO/T for AID/W action as soon as they are notified of AA/LAC approval. A CN was sent to the Hill on October 12, 1990, and obligation may be made on or after October 26, 1990.

Recommendation: That you authorize an amendment to the cooperative agreement with FIU supporting the Central America Regional Journalism Strengthening Project to add \$500,000 in ESF funding for special activities in Panama. This will increase LOP funding of the seven-year project to \$12,780,000.

APPROVED: _____

JMM

DISAPPROVED: _____

DATE: _____

October 28, 1990

Clearances:

LAC/DI:MMamlouk	<i>MM</i>	Date	<i>10-23-90</i>
PPC/PDPR:THorel	<i>TH</i>	Date	<i>10/23/90</i>
LAC/CEN:RAsselin	<i>RA</i>	Date	<i>10/24/90</i>
LAC/DR:PBloom	<i>PB</i>	Date	<i>10/25/90</i>
LAC/DP:BSchouten	<i>BS</i>	Date	<i>10/25/90</i>
ARA/CEN:BCowell (info)			
ARA/PPC:MOpticon (info)			
AA/LAC/SA:KHarbert	<i>KH</i>	Date	<i>10/26</i>
DAA/LAC:FWSchieck	<i>FW</i>	Date	<i>10/26/90</i>

nu
Drafted:LAC/DI:RKnee:10/16/90:x7-4385:#3751A