

QUARTERLY REPORT
ON
THE ROOTS OF DEVELOPMENT PROGRAM

March 15 - June 19, 1994

Submitted to the
U.S. AGENC FOR INTERNATIONAL DEVELOPMENT

July 14, 1994

by the
INTERNATIONAL INSTITUTE OF RURAL RECONSTRUCTION

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Quarterly Report
March 15, 1994 - June 19, 1994
"Roots of Development" Program
Cooperative Ageement No. PDC-0230-G-00-1060-00

A. SUMMARY

The International Institute of Rural Reconstruction (IIRR) herein submits to the Agency for International Development (AID) this quarterly report describing the progress in the "Roots of Development" program during the period March 15, 1994 to June 19, 1994.

The highlight of this quarter was the First International Conference of the Science and Technology Advisory Councils (STAC), which IIRR co-hosted with STAC-New York on June 17-19, 1994 at the Asia Society and Fordham University's Lincoln Center campus in New York City. The conference, entitled "UGNAYAN 2000: Linking Filipinos Overseas with Philippine Sustainable Development," attracted over 120 people from across the U.S. and Canada.

In terms of materials production, a resource guide that will document "success stories" is being developed to serve as a culturally appropriate tool for educating Filipino Americans about Philippine and international development issues. An outline for the resource guide has been completed and research will be conducted over the summer.

The Institute, in collaboration with other government and nongovernment organizations in the Philippines, has produced two more videos on the environment.

B. PROGRAM ACCOMPLISHMENTS

GOALS:

The program's overall goal this quarter was "to educate Filipino-Americans about critical development issues in the Philippines and other third world countries." The workplan outlined the following major activities for the quarter:

1. a national conference in May, 1994;
3. production of a study kit module on environment and population by January, 1994;
4. synthesis of study kits by July
5. study kit for other Asian American group by July

ACCOMPLISHMENTS:(a) Workshops

Instead of having a Roots of Development national conference, IIRR co-hosted the First International Conference of the Science and Technology Advisory Councils (STAC) with STAC-New York. This was held on June 17-19, 1994 at the Asia Society and at Fordham University's Lincoln Center campus in New York City. (See Appendix I for the conference program.)

The STACs are not-for-profit organizations of Filipino expatriate professionals and scientists concerned about supporting development efforts in the Philippines.

The June conference, entitled "UGNAYAN' 2000: Linking Filipinos Overseas with Philippine Sustainable Development," was attended by over 120 people from across the United States and from Canada. There were representatives from the STAC organizations in Houston, Los Angeles, New York, Ohio, Ottawa, San Francisco, Vancouver and Washington, D.C.

The three-day conference featured high level Philippine government officials and prominent Americans including Ambassador Nicholas Platt, President of the Asia Society and Fr. Joseph O'Hare, President of Fordham University. Ambassador Platt spoke about U.S.-Philippine relations and Asia Society's "Focus on the Philippines " program, which is intended, among other things, to encourage Filipino Americans to become more actively involved in U.S. foreign policy.

In terms of development education, the main accomplishment was having "Sustainable Development"--which is the dominant theme in international development organizations--as one of the major themes of the conference. The audience was visibly enthused by the panel on Sustainable Development with its high caliber of speakers who spoke about the topic in philosophical and practical terms. The highlight of the panel--and of the three-day conference--was the presentation of Larry Asera, President of Solarize Energy Technology Company, which is based in San Francisco.

Asera spoke of his heritage and his service to both the community here in the U.S. and in the Philippines. The grandson of Filipino immigrants who came to the U.S. in 1906, Asera has held high public offices in California.

'Pilipino term meaning "linking."

After attending the Roots of Development forum in San Francisco last November, he was inspired to apply his expertise in solar technology to provide rural communities in the Philippines with electrical power in a way that is compatible with sustainable development, which Asera defined as "improving the quality of human life while living within the carrying capacity and the supporting ecosystem." With funding from the Energy Technology Export Program of the California Energy Commission, he is currently piloting an Eco-village project in Palawan, Philippines which can serve as a model for other developing countries.

The workshop on "Education and Policy Advocacy" resulted in many practical suggestions for educating Filipino Americans about development issues and realizing the potential of Filipino Americans to influence development policies. Participants from the Philippine Development Assistance Program of Canada shared approaches which had been effective in Canada. Workshop participants agreed on recommendations for ongoing collaboration among different Filipino American community groups and on effective approaches for impact on media and elected representatives.

Other suggestions were to take advantage of the presence of Fulbright scholars, other visiting scholars and representatives of nongovernmental organizations as well as participants in youth exposure programs and have them talk to the community about their experience with development projects. These suggestions, as well as those of the seven other workshops (trade and investment; database and clearinghouse; professional exchanges, community contributions to Philippine projects; youth program, Chairs' workshop), will be considered by the STACs worldwide for adoption as possible joint or local projects.

(b) Materials Production

1. Instead of putting together a guidebook on a youth exposure program as was suggested in the last annual report, a resource guide for a broader audience is being developed to serve as a culturally appropriate tool for educating Filipino Americans about Philippine and international development issues (see Appendix II for an outline of the guide). The guide will consist of three parts. The first will document "success stories;" the second will discuss the stakes involved in supporting international development; and the last part will identify resources and provide options for people who would like to become more actively involved in supporting international development in general and Philippine development in particular. This last section will also discuss how these resources can be used for

development education purposes.

Research for this guide will be conducted over the summer with the assistance of a summer intern, Patricia Flanagan, a graduate of Columbia University's School of Public Affairs.

A no-cost extension to December, 1994 has been requested to provide the needed time for research and writing of this guide. If the extension is approved, the guide can be expected to be published by November.

As indicated in the last annual report, instead of producing a general study kit for other Asian American group as called for in the workplan, consultations will be held with key leaders in the Asian Indian community to discuss developing a resource guide for the Asian Indian community similar to the one that IIRR is developing for the Filipino American community.

2. Two videos on the environment have been produced by IIRR in consultation with other leading government and nongovernment organizations in the Philippines: (1) Understanding Ecosystems and (2) Global Environmental Concerns. These are in addition to four others that had earlier been produced: (1) Biodiversity and Wildlife Conservation; (2) Marine Ecosystems and Resources; (3) Freshwater Ecosystems; and (4) Urban Ecosystems

C. Networking

1. As a result of the First International Conference of the Science and Technology Councils, IIRR has deepened its ties with the STAC organizations it has previously worked with: STAC-NY, Washington, D.C., San Francisco and Los Angeles. It has established ties with other STACs such as those based in Houston, in Chicago, in Ottawa and in Vancouver.

IIRR is being asked to consider co-hosting the Second STAC International Conference in San Francisco with STAC-San Francisco.

2. Aside from the STACs, the STAC Conference helped strengthen IIRR's ties with the Asia Society, which was one of the major sponsors of the conference. Discussions have been held with a representative of the Asia Society about possible collaboration in Asia Society's "Focus on the Philippines" program--a public education program on the Philippines that will reach out to the Filipino American community.

3. Among the suggestions that came out of the Youth Workshop at the STAC Conference was for STAC and IIRR to participate in the Filipino Intercollegiate Network Dialogue's (FIND) annual conference. FIND is a network of Filipino American college students in the East Coast.

D. Financial Report

The financial report for the quarter is presented in the following page.

FINANCIAL STATUS REPORT

(Short Form)

(Follow instructions on the back)

1. Federal Agency and Organizational Element to Which Report is Submitted AID-Office of Financial Management PFM/FM/CMPD/DCB Room 700 SA-2 Washington, D.C. 20523-0209	2. Federal Grant or Other Identifying Number Assigned By Federal Agency PDC-02030-G-00-1060-00	OMB Approval No. 0348-0039	Page 1	of 1	pages
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3. Recipient Organization (Name and complete address, including ZIP code)
International Institute of Rural Reconstruction
475 Riverside Drive Room 1270
New York, NY 10115

4. Employer Identification Number 13-6175722	5. Recipient Account Number or Identifying Number Project Number 938-0230	6. Final Report <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7. Basis <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual
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8. Funding/Grant Period (See Instructions) From: (Month, Day, Year) 09/15/91	To: (Month, Day, Year) 09/14/94	9. Period Covered by this Report From: (Month, Day, Year) 09/15/91	To: (Month, Day, Year) 06/14/94
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10. Transactions:	I Previously Reported	II This Period	III Cumulative
a. Total outlays	310,353	89,490	399,843
b. Recipient share of outlays	148,453	73,878	222,331
c. Federal share of outlays	161,900	15,612	177,512
d. Total unliquidated obligations			128,157
e. Recipient share of unliquidated obligations			88,453
f. Federal share of unliquidated obligations			39,704
g. Total Federal share (Sum of lines c and f)			217,216
h. Total Federal funds authorized for this funding period			177,512
i. Unobligated balance of Federal funds (Line h minus line g)			39,704

11. Indirect Expense	a. Type of Rate (Place "X" in appropriate box) <input checked="" type="checkbox"/> Provisional <input type="checkbox"/> Predetermined <input type="checkbox"/> Final <input type="checkbox"/> Fixed			
	b. Rate 21.64%	c. Base 328,714	d. Total Amount 71,129	e. Federal Share 30,511

12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation.

13. Certification: I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purposes set forth in the award documents.

Typed or Printed Name and Title Rowena C. Poblete, Finance Officer	Telephone (Area code, number and extension) (212) 870-2992
Signature of Authorized Certifying Official 	Date Report Submitted July 13, 1994

FINANCIAL STATUS REPORT

(Short Form)

Please type or print legibly. The following general instructions explain how to use the form itself. You may need additional information to complete certain items correctly, or to decide whether a specific item is applicable to this award. Usually, such information will be found in the Federal agency's grant regulations or in the terms and conditions of the award. You may also contact the Federal agency directly.

Item	Entry	Item	Entry
1, 2 and 3.	Self-explanatory.		
4.	Enter the employer identification number assigned by the U.S. Internal Revenue Service.		contributions applied, and the net increase or decrease in the amounts owed by the recipient for goods and other property received, for services performed by employees, contractors, subgrantees and other payees, and other amounts becoming owed under programs for which no current services or performances are required, such as annuities, insurance claims, and other benefit payments.
5.	Space reserved for an account number or other identifying number assigned by the recipient.		
6.	Check <i>yes</i> only if this is the last report for the period shown in item 8.		
7.	Self-explanatory.		
8.	Unless you have received other instructions from the awarding agency, enter the beginning and ending dates of the current funding period. If this is a multi-year program, the Federal agency might require cumulative reporting through consecutive funding periods. In that case, enter the beginning and ending dates of the grant period, and in the rest of these instructions, substitute the term "grant period" for "funding period."	10b.	Self-explanatory.
9.	Self-explanatory.	10c.	Self-explanatory.
10.	The purpose of columns, I, II and III is to show the effect of this reporting period's transactions on cumulative financial status. The amounts entered in column I will normally be the same as those in column III of the previous report in <i>the same funding period</i> . If this is the first or only report of the funding period, leave columns I and II blank. If you need to adjust amounts entered on previous reports, footnote the column I entry on this report and attach an explanation.	10d.	Enter the amount of unliquidated obligations, including unliquidated obligations to subgrantees and contractors. Unliquidated obligations on a cash basis are obligations incurred, but not yet paid. On an accrual basis, they are obligations incurred, but for which an outlay has not yet been recorded. Do not include any amounts on line 10d that have been included on lines 10a, b or c. On the final report, line 10d must be zero.
10a.	Enter total program outlays less any rebates, refunds, or other credits. For reports prepared on a cash basis, outlays are the sum of actual cash disbursements for direct costs for goods and services, the amount of indirect expense charged, the value of in-kind contributions applied, and the amount of cash advances and payments made to sub-recipients. For reports prepared on an accrual basis, outlays are the sum of actual cash disbursements for direct charges for goods and services, the amount of indirect expense incurred, the value of in-kind contributions	10e, f, g, h and i.	Self-explanatory.
		11a.	Self-explanatory.
		11b.	Enter the indirect cost rate in effect during the reporting period.
		11c.	Enter the amount of the base against which the rate was applied.
		11d.	Enter the total amount of indirect costs charged during the report period.
		11e.	Enter the Federal share of the amount in 11d.
		Note:	If more than one rate was in effect during the period shown in item 8, attach a schedule showing the bases against which the different rates were applied, the respective rates, the calendar periods they were in effect, amounts of indirect expense charged to the project, and the Federal share of indirect expense charged to the project to date.



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July 14, 1994

Mr. David Watson
Acting Development Education Coordinator
A.I.D., FHA/PVC - Room 709
1515 Wilson Blvd.
Rosslyn, VA 22209

Dear David,

We are pleased to submit the enclosed quarterly report for the "Roots of Development" Project.

The highlight of the report is the STAC International Conference, which IIRR co-hosted with STAC-NY. We believe it is a fitting climax to the workshops that IIRR has held in the past two-and-a-half years. It has solidified and broadened the partnerships that we have developed within the Filipino American community. The relationship that we have cultivated will help make the community very receptive to the resource guide that we are working on as the final major output for the project. It has also, we feel, laid the basis for the community to launch a sustained education and policy advocacy program.

As you know, I will be in the Philippines this July to do the research for the resource guide. If you have any questions or comments about this report or any other matter, please feel free to call Eric Blitz.

Thank you very much for your support.

Sincerely,

Josefina Samson-Atienza
Project Director
Roots of Development

Enclosure