

USAID/DOMINICAN REPUBLIC
SEMI-ANNUAL PORTFOLIO REPORT
MARCH 31, 1993

Table of Contents

Page No.

I.	<u>Mission Director's Overview</u>	I-1
A.	Portfolio Overview	I-2
B.	Mission Issues	I-5
C.	Financial Summary Table	I-5
D.	Pipeline Analysis	I-8
E.	Portfolio Matrix	I-10
II.	<u>Project Status Reports</u>	II-1
A.	Small Projects	II-2
B.	Major Projects	
1.	<u>Strategic Objective No. 1:</u>	II-3
	Increased and Diversified External Trade:	
a.	Agribusiness Promotion (517-0186)	II-4
b.	Development Training (517-0216)	II-6
c.	Debt Conversion (517-0237)	II-8
d.	University Agribusiness Partnership (517-0243)	II-11
e.	Industrial Linkages (517-0252)	II-14
f.	Economic Policy and Practice (517-0262)	II-17
g.	Economic Education (517-0264)	II-19
2.	<u>Strategic Objective No. 2:</u>	II-21
	Increased Socio-Economic Participation of the Lower-Income Groups:	
a.	Family Planning (517-0229)	II-22
b.	Child Survival (517-0239)	II-25
c.	PVO Co-Financing (517-0247)	II-28
d.	Private Initiatives in Primary Education (PIPE) (517-0251)	II-31
e.	Micro and Small Business Development (517-0254)	II-34
3.	<u>Strategic Objective No. 3:</u>	II-37
	Increased Availability of Water Needed for Sustained Economic Development:	
a.	On-Farm Water Management (517-0159)	II-38

u

- 4. Strategic Objective No. 4: II-41
 - Participatory Democratic Reform:
 - a. Democratic Initiatives (517-0265) II-42
- 5. Local Currency Project: II-45
 - a. Integrated Pest Management II-46

- III. Food Aid III-1
 - A. PL-480 Title II III-2

- IV. Centrally and Regionally Funded Projects IV-1
 - A. Category "A" Projects IV-2
 - B. Category "B" Projects IV-10
 - C. Category "C" Projects IV-10

I. MISSION DIRECTOR'S OVERVIEW

I. Mission Director's Overview

A. Portfolio Overview:

1. General

USAID/DR's efforts to improve program focus and reduce the number of program management units continued, as 4 "older generation" projects were terminated during the semester. The portfolio review has instituted the practice begun last semester of organizing the review by Strategic Objectives. The Mission just had its Action Plan review in AID/W. The Action Plan was approved, and the A/AA/LAC noted Mission achievements in several areas: the Mission had leveraged substantial other donor support for the critical energy sector with a relatively small A.I.D. investment; the Mission had streamlined the project portfolio, and the Mission had built an innovative relationship with the local PVO and NGO community to develop and implement projects which stimulated participatory democracy.

During the semester the grant component of the Agribusiness Project (517-0186) and the Debt Conversion Project (517-0237) ended December 31, 1992, the Industrial Linkages Project (517-0252) ended February 27, 1993, and the On-Farm Water Management Project (517-0159) ended March 31, 1993. In addition, a total of \$4,856,764 was deobligated from the Debt Conversion Project, the loan portion of the Commercial Farming Systems Project (517-0214), the Export & Investment Promotion Project (517-0190), the CDE Revenue Collection Project (517-0218), and the Sugar Diversification Project (517-0236).

As a result of these actions, the total portfolio authorized amount including terminated projects, diminished by \$7.0 million, from \$156.9 million to \$149.9 million during the semester. Similarly, cumulative obligations were \$9.6 million less, decreasing from \$130.9 million to \$121.3 million. USAID/DR began the semester with 14 active DA projects, one active ESF program, 6 active local currency activities, 7 local currency activities pending closeout, and PL-480 Section 108 and PL-480 Title II programs. (In addition we had 10 local currency activities which are counterpart components to DA projects). By the end of this semester the portfolio had been reduced to 10 active DA projects, one ESF program, 6 active local currency activities, 6 local currency activities pending closeout and the two PL-480 programs.

During the semester USAID/DR has continued developing the Family Planning and Health Project (517-0259), the Trade Practices and Productivity Improvement Project (517-0267), and initiated development of the Electoral Reform Project (517-0269)

PORTFOLIO TREND, DOLLAR AND LOCAL CURRENCY ACTIVITIES
September 30, 1989 - March 31, 1993

ACTIVE PROJECTS/PROGRAMS	1989 SEPT 30	1990 MAR 31	SEPT 30	1991 MAR 31	SEPT 30	1992 MAR 31	SEPT 30	1993 MAR 31
A. QUANTITY:								
DA PROJECTS	22	22	24	23	17	16	14	10
ESF PROGRAM	1	-	-	-	-	-	1	1
LC ACTIVITIES #	178	179	182	69	69	27	23	12
PL-480 @	2	2	2	2	2	2	2	2
TOTAL	203	203	208	94	88	45	40	25
B. TOTAL FUNDS:								
	(US\$000)							
DA AUTHORIZED	195,837	201,827	213,017	198,182	191,413	199,163	151,899	144,876
DA CUMULATIVE OBLIG.	169,400	177,790	178,759	165,960	168,003	167,753	125,905	116,314
ESF PROGRAM	13,835	-	-	-	-	-	5,000	5,000
	(RDS000)							
LC PROGRAMMED	1,258,648	1,258,648	1,258,648	877,748	878,348	487,548	484,748	352,648
LC APPROVED	984,000	984,000	999,500	761,200	830,300	442,700	446,700	328,160

Includes local currency unit support (DCR) and working capital advance activities.

* Does not include local currency financing for DA projects.

@ Includes PL-480 Title II and Section 108 programs.

I-3

2

USAID/DR amended three Projects during the semester, obligating nearly \$1.9 million of its FY93 OYB: \$900,000 to the Economic Policy and Practice Project (517-0262), \$361,758 to the Micro and Small Business Development Project (517-0254), and \$600,000 to the Democratic Initiatives Project (517-0265) under the Mission's Strategic Objectives 1, 2, and 4 respectively.

Although these new obligations decreased the over all mortgage by \$2.77 million, the mortgage as a percent of active project authorizations increased to 20.6% from 18.3% as of September 1992. Cumulative obligations of active projects, net of deobligations, fell by 24.7%, from \$106.8 million last semester to \$80.4 million this semester, and the pipeline decreased by \$8.77 million, i.e., from 32.2% of cumulative obligations last semester to 31.8% this semester.

During the semester, five more projects were added to the Centrally and Regionally Funded Projects list category "A" Projects:

S.O. 2. Increases Socio-Economic Participation of the Lower-Income Groups

- Title XII - Bean/Cowpea Collaborative Research Support Program, No. DAN-1310-G-SS-6008-00 (for the purpose of country clearances).
- Breast-feeding Maternal and Neonatal Health, No. 936-5966.05
- Learning Technology for Basic Education, No. 936-5818
- Cooperative Association of States for Scholarship, No. 598-0661

S.O. 3. Increase the Availability of Water Needed for Sustained Economic Development

- Floresta Outreach Grant Program, No. FAO-0158-A-00-2057-00

2. Notable Project

A notable project is Child Survival (517-0239). This project, which is implemented by a group of PVOs, was categorized a success at all levels by the evaluation team last February. The project has met or exceeded all Major Outputs as planned, with one exception: the Child Survival Service Delivery Model is not yet fully operational in 3 SESPAS regions, but should be before the PACD. Using an effective mass media campaign, the project produces significant changes in knowledge and behavior among the population, bringing about an increase in breast-feeding and oral rehydration therapy in the areas served by the Project.

B. Mission Issues

Given our recent Action Plan Review in AID/W USAID/DR has no issues at this time. USAID/DR requests LAC continued assistance to confirm that only category "A" centrally-funded projects are operating in the Dominican Republic and that new centrally funded projects which propose activities in the Dominican Republic are cleared with the Mission before being approved. Henceforth, only category "A" projects should be listed in our SAR.

C. Financial Summary Table

Please see table on the following page.

TABLE 1

FINANCIAL SUMMARY OF USAID/DOMINICAN REPUBLIC PORTFOLIO
SEPTEMBER 30, 1992 - MARCH 31, 1993

PROJ. NUM. (1)	PROJECT/PROGRAM TITLE (2)	CATEG (3)	DATE OF INITIAL OBLIGATION (4)	LAST REV. PACD (5)	%LOP ELAP (6)	%OBL EXP (7)	AUTH AMT (8)	CURRENT FY OBLIGATIONS (9)	CUM OBLIG (10)	MORTGAGE (11)	BEGINNING FY PIPELINE (12)	PLANNED SEMESTER EXPEND. (13)	ACTUAL SEMESTER EXPEND. (14)	ACTUAL AS % OF PLANNED (15)	CUMULATIVE EXPEND. (16)	ENDING PIPELINE (17)	PLANNED EXPENDITURES NEXT SEM. (18)
CATEGORY 1 ACTIVE PROJECTS																	
S.O.1. INCREASED AND DIVERSIFIED EXTERNAL TRADE																	
0186	Agribusiness Prom (G)	A	23-Aug-85	31-Dec-92	100%	98%	5,500,000	0	5,500,000	0	186,130	80,000	52,935	66%	5,366,804	133,196	0
0216	Development Training	B	05-Aug-86	24-Aug-95	74%	76%	15,000,000	0	15,000,000	0	4,447,424	250,200	812,026	325%	11,364,602	3,635,398	1,000,000
0237	Debt Conversion	C	26-Aug-88	31-Dec-92	100%	99%	3,500,000	(1,520,330)	1,379,670	0	1,584,610	75,000	56,280	75%	1,371,670	8,000	0
0243	Univ. Agrib. Part.	B	29-Jun-89	30-Jun-96	54%	83%	12,000,000	0	7,305,903	4,694,097	2,114,858	1,318,737	896,592	68%	6,087,637	1,218,266	884,139
0252	Industrial Linkages	B	25-Sep-89	27-Feb-93	100%	48%	5,000,000	0	5,000,000	0	3,035,744	60,401	417,166	691%	2,381,422	2,618,578	79,953
0262	Ec. Policy and Practice	B	29-May-92	17-May-97	17%	8%	6,000,000	900,000	1,750,000	4,250,000	850,000	300,000	139,085	46%	139,085	1,610,915	400,000
0264	Economic Education	B	30-Jul-91	30-Jul-93	83%	72%	300,000	0	300,000	0	190,420	81,300	107,849	133%	217,429	82,571	23,350
SUB-TOTAL						73%	47,300,000	900,000	36,855,903	8,944,097	12,409,186	2,165,638	2,481,933	115%	26,928,649	9,306,924	2,387,442
S.O.2 INCREASED SOCIO-ECONOMIC PARTICIPATION OF THE LOWER INCOME GROUPS																	
0229	Family Planning	A	19-Sep-86	31-Aug-93	94%	77%	6,950,000	0	6,950,000	0	2,125,151	1,230,416	504,147	41%	5,328,996	1,621,004	1,000,000
0239	Child Survival	A	29-Sep-87	30-Jun-93	96%	94%	5,652,000	0	5,652,000	0	1,004,706	754,117	651,218	86%	5,298,512	353,488	284,829
0247	PVO-Co-Financing	B	22-Sep-89	30-Aug-96	51%	16%	9,500,000	0	8,314,880	1,185,120	7,383,723	950,000	428,626	45%	1,359,783	6,955,097	1,140,000
0251	PIPE	B	29-Aug-90	30-Jul-97	37%	54%	5,500,000	0	2,903,957	2,596,043	1,942,715	232,463	621,073	267%	1,582,315	1,321,642	543,165
0254	Micro and Small Buss.	B	18-Jul-90	30-Jun-97	39%	47%	7,250,000	361,758	7,250,000	0	4,005,051	1,368,500	498,031	36%	3,381,222	3,868,778	1,059,729
SUB-TOTAL						55%	34,852,000	361,758	31,070,837	3,781,163	16,461,346	4,535,496	2,703,095	60%	16,950,828	14,120,009	4,027,723
S.O.3 INCREASED AVAILABILITY OF WATER NEEDED FOR SUSTAINED ECONOMIC DEVELOPMENT																	
0159	On-Farm Water Mgt. (G)	A	30-Sep-92	30-Mar-93	100%	23%	848,758	0	848,758	0	576,020	100,000	(73,390)	-73%	199,348	649,410	0
0159	On-Farm Water Mgt. (L)	A	30-Jun-83	30-Mar-93	100%	100%	11,151,242	0	10,029,927	1,121,315	0	0	0	*	10,029,927	0	0
SUB-TOTAL						95%	12,000,000	0	10,878,685	1,121,315	576,020	100,000	(73,390)	-73%	10,302,665	649,410	0
S.O.4 PARTICIPATORY DEMOCRATIC REFORM																	
0265	Democratic Initiatives	C	12-Mar-92	11-Mar-02	10%	4%	9,000,000	600,000	1,600,000	7,400,000	844,385	177,600	(84,382)	-48%	71,233	1,528,767	422,400
SUB-TOTAL ACTIVE PROJECTS						67%	103,152,000	1,861,758	80,405,425	21,246,575	30,290,937	6,978,734	5,027,256	72%	54,253,375	25,605,110	6,837,565

TABLE 1

FINANCIAL SUMMARY OF USAID/DOMINICAN REPUBLIC PORTFOLIO
SEPTEMBER 30, 1992 - MARCH 31, 1993

PROJ. NUM. (1)	PROJECT/PROGRAM TITLE (2)	CATEG (3)	DATE OF INITIAL OBLIGATION (4)	LAST REV. PACD (5)	%LOP ELAP (6)	%OBL EXP (7)	AUTH AMT (8)	CURRENT FY OBLIGATIONS (9)	CUM OBLIG (10)	MORTGAGE (11)	BEGINNING FY PIPELINE (12)	PLANNED SEMESTER EXPEND. (13)	ACTUAL SEMESTER EXPEND. (14)	ACTUAL AS % OF PLANNED (15)	CUMULATIVE EXPEND. (16)	ENDING PIPELINE (17)	PLANNED EXPENDITURES NEXT SEM. (18)
CATEGORY II TERMINATED PROJECTS																	
0214	Comm. Farming Syst. (L)	N/A	15-Jun-87	31-Jul-92	100%	100%	7,580,000	(945,837)	6,634,163	0	945,837	0	0	**	6,634,163	0	*
0214	Comm. Farming Syst.(G)	N/A	15-Jun-87	31-Jul-92	100%	82%	7,170,000	0	7,170,000	0	1,236,538	0	(76,297)	**	5,857,165	1,312,835	*
0190	Export & Inv. Prom.	N/A	28-Aug-85	15-May-92	100%	98%	10,600,000	(1,655,249)	8,136,485	0	1,898,200	0	102,663	**	7,996,197	140,288	*
0157	Grad. Mgt. Trng.	N/A	23-Jun-83	22-Jun-91	100%	99%	6,500,000	0	6,445,996	0	4,039	0	(31,054)	**	6,410,903	35,093	*
0218	CDE Rev. Coll. (L)	N/A	03-Mar-86	30-Sep-91	100%	100%	2,500,000	(584,279)	1,915,721	0	584,279	0	0	**	1,915,721	0	*
0218	CDE Rev. Coll. (G)	N/A	03-Mar-86	30-Sep-91	100%	93%	500,000	0	500,000	0	32,621	0	0	**	467,379	32,621	*
0236	Sugar Diversif.	N/A	29-Sep-87	05-Mar-91	100%	90%	3,500,000	(151,069)	1,741,468	0	332,942	0	15,172	**	1,574,767	166,701	*
0640	PTIIC ***	N/A	28-Apr-87	30-Sep-94	100%	88%	3,373,798	0	3,364,820	0	796,295	0	379,650	**	2,948,175	416,645	*
SUS-TOTAL TERMINATED PROJECTS							41,723,798	(3,336,434)	35,908,653	0	5,830,751	0	390,134	**	33,804,470	2,104,183	0
TOTAL DEOBLIGATIONS								(4,856,764)									
CATEGORY III ACTIVE PROGRAM																	
0263	Inv. & Trade Expansion		25-Sep-92	17-May-93	80%	0%	5,000,000	0	5,000,000	0	0	0	0	**	0	5,000,000	5,000,000
TOTAL (I+II+III)							149,875,798	1,861,758	121,314,078	21,246,575	36,121,688	6,978,734	5,417,390	**	88,057,845	32,709,293	11,837,565
SOURCE:																	
PSR	PSR	PSR	PSR	PSR	PSR (10/16)	MACS REPORT	PSR	MACS REPORT	(8-10)	MACS REPORT	Last Sem. SAR	Last & Act. Sem. SARs	(14/13)	MACS REPORT	(10-16)	PSR	

* Not Applicable.

** Planned Figures not available, Percentage can not be estimated, or are negative.

*** While the PACD for this Project has not been reached, it was technically terminated during the first semester of FY92.

D. Pipeline Analysis .

The Pipeline Analysis Table found on the following page shows a total pipeline of \$22.2 million, \$8.3 million less than as of September 30, 1992. It also indicates a fairly normal aging pattern. Three projects account for 65.1% of the pipeline. They are as follows:

-- PVO Co-Financing (517-0247)	31.3%
-- Micro and Small Bus. (517-0254)	17.4%
-- Development Training (517-0216)	16.4%

Only 7% of the pipeline monies (one project) are over 3 years old. This represents a significant reduction over last year's 16%, and the previous year's 18%. The project with obligated monies over 3 years old is the PVO Co-Financing Project (517-0247). This Project faced a nine-month delay due to problems in hiring the Project Manager and a four-month contractor mobilization delay experienced during the initial stage. While this project still confronts expenditure delays, three PVO subgrants have been approved to date, six more are likely to be approved during the next six months; and PVO demand for financing from the project is strong.

PIPELINE ANALYSIS
USAID/DOMINICAN REPUBLIC
March 31, 1993
(000)

TABLE 2

PROJECT NO (1)	PROJECT TITLE (2)	CUMULATIVE OBLIGATION (3)	FY OBLIGATIONS (4)									PIPELINE (5)	PIPELINE (6)							
			1986	1987	1988	1989	1990	1991	1992	1993	1986		1987	1988	1989	1990	1991	1992	1993	
S.O. 1. INCREASED AND DIVERSIFIED EXTERNAL TRADE																				
0216	Development Training	15,000	4,500	500	2,160	1,703	2,300	3,748	89	0	3,635	0	0	0	0	0	3,546	89	0	
0243	Univ. Agrib. Part.	7,306	0	0	0	3,600	2,125	781	800	0	1,218	0	0	0	0	0	418	800	0	
0262	Economic Pol and Pract	1,750	0	0	0	0	0	0	850	900	1,611	0	0	0	0	0	0	711	900	
0264	Economic Education	300	0	0	0	0	0	300	0	0	83	0	0	0	0	0	83	0	0	
S.O. 2. INCREASED SOCIO ECONOMIC PARTICIPATION																				
0229	Family Planning	6,950	2,300	1,800	900	0	450	0	1,500	0	1,621	0	0	0	0	121	0	1,500	0	
0239	Child Survival	5,652	0	2,700	1,310	642	0	745	255	0	353	0	0	0	0	0	98	255	0	
0247	PVO Cofinancing	8,315	0	0	0	3,000	1,683	1,532	2,100	0	6,955	0	0	0	1,640	1,683	1,532	2,100	0	
0251	PIPE	2,904	0	0	0	0	1,534	330	1,040	0	1,322	0	0	0	0	0	282	1,040	0	
0254	Micro & Small Bus.	7,251	0	0	0	0	3,514	2,481	894	362	3,870	0	0	0	0	133	2,481	894	362	
S.O. 4 PARTICIPATORY DEMOCRATIC REFORM																				
0265	Democratic Initiatives	1,600	0	0	0	0	0	0	1,000	600	1,529	0	0	0	0	0	0	929	600	
TOTAL		57,028	6,800	5,000	4,370	8,945	11,606	9,917	8,528	1,862	22,197	0	0	0	1,640	1,937	8,441	8,318	1,862	
PERCENTAGES (%)		100%	12%	9%	8%	16%	20%	17%	15%	3%	39%	0%	0%	0%	7%	9%	38%	37%	8%	

6-1

2

E. Portfolio Matrix

This is USAID/DR's initial submission of the Portfolio Matrix. It can be found on the following page. Notable indicators in the matrix include size of pipeline, accrued expenditures and EOPS-B (col.11) and audit. Six projects have pipelines as of the end of FY 92 that exceeded 2 years of estimated annual obligations. The mortgages of these projects were paid down early and expenditures have now, or are projected soon, to more closely match obligations. There are five projects whose accrued expenditures are less than 60% of the planned expenditures semester estimate. Recent USAID/DR discussions has pinpointed problems in developing our planned estimates and we will be implementing procedures to insure more accurate estimates next period. There are three projects whose EOPS are being achieved at a higher level or faster rate than originally planned. Finally, there are three projects that have not had a financial audit in the last 18 months. Two of these projects will be ending this next semester and all will be audited within the next four months.


Raymond F. Rifenburg
Director

Country: DOMINICAN REPUBLIC
 Date as of: 03/30/93
 Date Completed: 05/21/93

1 PROJECT NO. AND NAME	2 DATE OF INITIAL OBLIGATION	3 PROJECT DESIGNATION (A,B,C)	4 STATUS OF CPs (Cite only if there are as yet unmet CP's older than 18 months)	5 SIZE OF PIPELINE (Cite if pipeline exceeds 2 years estimated average annual obligations)	6 AGE OF PIPELINE (Cite if any obliga- tion remains more than 50% unexpended 4 years after initial obligation)	7 ACCRUED EXPENDITURES (Cite if accrued expenditures are less than 50% of planned)	8 UNCOMMITTED BALAN (Cite if balance exceeds 50% of obligation 18 months after the obligation)	9 EOPS A. (Cite if mission believes there is little chance of achieving EOPS before current PACD)	10 EOPS B. (Cite if EOPS are being achieved at higher level or faster rate than planned)	11 AUDIT A. (Cite if financial audit has not been done in last 18 months)	12 AUDIT B. (Cite if there are unresolved IG recommendations older than six months)	13 EVALUATION A. (Cite if project has not been evaluated in last three years)	14 EVALUATION B. (Cite if activity has PES recommendations opened 6 or more months past PES target date for closure)
INCREASED AND DIVERSIFIED EXTERNAL TRADE:													
0216 Development Training	05-Aug-86	B		XX			X		X				
0243 Univ. Agrib. Part.	29-Jun-89	B		XX								X	
0252 Ec. Policy & Practice	29-May-92	B				X							
0254 Economic Education	30-Jul-91	B								X			
2.07/B (local currency) Integrated Pest Mgmt.	26-Jul-90	B											
0263 Inv. & Trade Expansion	25-Sept-92	N/A											
INCREASED SOCIO-ECONOMIC PARTICIPATION:													
0229 Family Planning	19-Sept-86	A		XX		X			Y	X			
0239 Child Survival	29-Sept-87	A		XX					X	X			
0247 PVO-Co-Financing	22-Sept-89	B		XX	X	X	X					X	
0251 PIPE	29-Aug-90	B											
0254 Micro and Small Buss.	18-Jul-90	B		XX		X	X						
PL-480 Title II	N/A	B											
PARTICIPATORY DEMOCRATIC REFORM:													
0265 Democratic Initiatives	12-Mar-92	C				X							

II. PROJECT STATUS REPORTS

A. Small Projects

USAID/DR currently has only one active DA project under \$500,000. It is the Economic Education Project (517-0264), a two-year, \$300,000 activity. Although not required, a full individual report has been written on this project and can be found on page II-19.

B. Major Projects

Following are the individual project reports of USAID/Dominican Republic's portfolio presented by Strategic Objective.

STRATEGIC OBJECTIVE NO. 1
Increased and Diversified
External Trade

C. Major Outputs

	<u>Planned</u>						<u>Accomplished</u>					
	<u>LOP</u>	<u>Period</u>		<u>Cum.</u>		<u>Next Period</u>		<u>Period</u>		<u>Cum.</u>	<u>% of LOP</u>	
		M	F	M	F	M	F	M	F			
1. Service Menu:												
TA to Members	400	75	25	575	75	na	na	60	10	1696	424	
Pre-Inspection (containers/yr)	2000		1500		3000		na		613		2207	110
Commercial Info (members/month)	100		100		100		na		155		155	155
Estab. Ag Lab	1		0		1		na		0		1	100
2. Commodity Committees	11		0		15		na		0		15	136
3. Mgt. Info/Cost Acct System	1		0		1		na		0		1	100
4. Revised By-laws and Fee Structure	1		0		1		na		0		1	100
5. JAD Staff (persons)	32		0		25		na		0		32	100
6. Staff Training (persons)	15		4		22		na		4		27	180

D. Other Accomplishments and Overall Status

All vehicles and lab equipment have been received. All planned EOPs were accomplished by the PACD. A Trust Fund was established by JAD, with an autonomous Board of Advisors; the fund was established with an initial donation of RD\$10 million in Local Currency, which will be augmented by JAD member donations of RD\$3.33 million over the next 3 years.

The Project Assistance Completion Report was issued in January 1993.

E. Problems and Delays

No significant problems or delays were encountered.

F. Major Activities or Corrective Actions During the Next Six Months

None

15

B. Major Outputs

	<u>Planned</u>								<u>Accomplished</u>					
	LOP		Period		Cum.		Next Period		Period		Cum.		% of LOP	
	M	F	M	F	M	F	M	F	M	F	M	F	M	F
1. Training	M	F	M	F	M	F	M	F	M	F	M	F	M	F
2. Masters Long-Term*	103	35	1	0	108	54	1	0	1	0	108	54	105	154
3. PhDs	3	0	0	0	3	0	0	0	0	0	3	0	100	N/A
4. Short-Term**	M	F	M	F	M	F	M	F	M	F	M	F	M	F
	517	173	48	17	584	164	46	28	12	7	548	154	106	89
5. In-Country	90		12		63		14		13		64		71	
6. (Trainees)	M	F	M	F	M	F	M	F	M	F	M	F	M	F
	1687	563	225	75	1056	581	210	90	303	145	1134	651	67	116

*Graduate Training numbers indicate participants who have been selected and have begun training.

**Short-term and in-country numbers indicate completed training.

C. Other Accomplishments and Overall Status

1. The institutional capabilities of both CNHE and FUNDAPEC have continued to grow. Both entities submitted annual budgets which were approved without problems.

The impact evaluation report found the "training has resulted in important positive impacts on employment opportunities of individual participants, on their employer institutions and on overall project objectives. This is the major finding of the field survey and interviews with expert informants". This report was shared with CNHE and FUNDAPEC, who provided us with their comments.

A Non-Federal audit of CNHE started on March 29, 1993 by KPMG Peat Marwick covering the period January-December 1992.

2. Follow-on activities:

FUNDAPEC held several meetings with a group of 18 university professors who attended short-term training at the University of New Mexico in October-November 1992. Based on the training received, these associates are developing an applied voc/tech administration program in several Dominican universities.

FUNDAPEC has awarded a contract to conduct a survey of its returned participants to evaluate selection and implementation procedures.

3. Women in Development:

During this reporting period a total of 152 women have been trained: ST= 7 and in-country= 145. Mission continues to meet WID goals.

D. Problems and Delays

Differences in the interpretation of one clause in the STP/CNHE agreement for use of host country owned counterpart funds caused a review of the STP agreement for in-country training with the two implementing institutions under the project. A meeting was held on 2/22/93 with STP, CNHE and FUNDAPEC representatives to discuss the matter. An amendment to the STP/CNHE and STP/FUNDAPEC contract is being issued. This clarification will not affect implementation of scheduled activities under this component.

FUNDAPEC has issued a RFP for in-country Civil Service training programs for the second time. No acceptable proposal was received the first time the request was advertised.

E. Major Activities or Corrective Actions During the Next Six Months

FUNDAPEC will contract for an annual audit under the Guidelines for Financial Audits Contracted by Foreign Recipients, covering the period July 1, 1992 through June 30, 1993. Results from the CNHE annual audit, which started on 3/29/93 being performed by Peat Marwick, are expected in the near future. The Mission will monitor compliance with audit recommendations.

PROJECT STATUS REPORT
October 1, 1992 - March 31, 1993 A ___ B ___ C X

IA. BACKGROUND DATA

Project Title: Debt Conversion
 Project Number: 517-0237
 Date of Authorization: original 08/24/88 amendment 00/00/00
 Date of Obligation: original 08/26/88 amendment 00/00/00
 PACD: original 12/31/92 amendment 00/00/00
 Implementing Agencies: Central Bank
 Major Contractors: Ernst & Young
 AID Project Manager: Joseph M. Carroll
 Status of CPs/Covenants: Initial CPs met Nov. 1989
 CP relating to Disbursement to the Investment
 Promotion Council (IPC) for Promotional
 Activities never satisfied
 Date of Last Evaluation: 06/30/91 Next Evaluation: 00/00/00
 Planned Number of Non-Federal Audits during Fiscal Year: 0
 The Number of such Audits Contracted for/Completed: 0
 Date of Last Audit: 00/00/00 Next Audit: 00/00/00

1B. FINANCIAL DATA

Amount Authorized: DA Grant: original	\$ 3,500,000
Amount Obligated: DA Grant: original	\$ 1,379,670*
Amount Committed: Period:	\$ 0
	Cumulative:
	\$ 1,379,670
Accrued Expenditures: Period - Projected:	\$ 75,000
	Period - Actual:
	\$ 56,280
	Cumulative:
	\$ 1,371,670*
	Period - Next:
	\$ 0
Counterpart	
Contribution: Planned:	\$100,000,000
	Actual:
	\$ 69,945,000
% LOP Elapsed:	100%
% of Total Auth. Oblig.	39% *Balance de-obligated in March
% of Total Oblig. Exp.	99% 1993.
% of Total Auth. Exp.	39%

IIA. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES

Specific Linkage to Strategic Objectives:

The project posited that a well functioning Debt Conversion Unit in the Central Bank would afford opportunities to domestic and foreign entities to make investments in the Dominican productive sector, thereby directly impacting on Strategic Objective No. 1, Increased and Diversified External Trade.

Percent of LOP Funds Relating to Strategic Objective I: 100%

IIB. PROJECT PURPOSE

To establish a well functioning debt conversion mechanism in the Central Bank which can function as a vehicle for increasing foreign and domestic investment.

III. PROJECT DESCRIPTION

The Project financed technical assistance, training and commodities needed to strengthen the capacity of the Central Bank to implement a Debt Conversion Program and to establish a Debt Conversion Unit (DCU) within the Bank. Funds were also made available to finance promotional activities to be undertaken by appropriate organizations to familiarize potential investors and their bankers with opportunities in the DR and the procedures employed under the Debt Conversion Program.

IV. PROJECT STATUS

A. Planned EOPS

B. Progress To Date

- | | |
|--|---|
| <p>1. DCU efficiently processing some 10-20 debt conversions per year, with face value of converted debt being between US\$50 - \$100 million.</p> | <p>By the Project Assistance Completion Date, the Central Bank had approved 18 projects totalling \$69,945,000 in face value of commercial bank and trade arrearage debt, of which approximately \$49,000,000 in investments were actually made. This debt conversion amounted to approximately 4.9% of overall commercial bank debt and 1.7% of total external debt. The debt was used principally for suppliers' commercial arrearage and broker purchased bank debt. Although the virtual curtailment of the project occurred in October 1991, pre-approved transactions were disbursed for RD\$306,878,000 with originally planned schedules. Minimal project monitoring of pre-approvals was provided by the TA team for the last year of the Project.</p> |
| <p>2. Approximately the equivalent of US\$30 to US\$40 million of useful investments being made each year with proceeds of debt conversion.</p> | <p>Since the program's inception, the Central Bank processed a total of 18 conversion projects with total face value of debt totalling US\$69,945,000.</p> |

3. Debt Conversion process being implemented in such a fashion as to have only minimal impact on inflation. Inflation was reduced to near zero over the period. Hence, the effects on peso disbursements were minimal.

C. Major Outputs

	<u>Planned</u>				<u>Accomplished</u>		
	LOP	Period	Cum.	Next Period	Period	Cum.	% of LOP
1. A Debt Conversion Unit within the Directorate of Foreign Investment: a) established, b) staffed, c) equipped.							
a. established	1	0	1	0	0	1	100
b. staffed	12	0	12	0	0	12	100
c. equipped (%)	100	0	90	0	0	90	90
2. Staff of DCU trained and fully capable of carrying out all their functions.	12	0	12	0	0	12	100
3. Staff of related Central Bank Units trained and fully capable of carrying out all debt conversion-related functions.	10	0	10	0	0	15	150
4. New and more useful regulations governing operation of the Debt Conversion program drawn up, reviewed and formally adopted and put into practice by the Central Bank. The regulations should cover treatment of debt from all sources, treatment of "Debt for Development" projects, privatization projects, as well straightforward conversions.	1	0	1	0	0	0	0
5. Specific GODR-owned assets identified as "privatization targets" under the Debt Conversion Program, and plans drawn up for effecting their sale.	1	0	0	0	0	0	0
6. Debt Conversion Projects completed.	30	0	18	0	0	18	60

D. Other Accomplishments and Overall Status

The project ended on December 31, 1992.

E. Problems and Delays

Although debt conversion projects in the amounts herein mentioned were approved and the peso counterpart funds were disbursed or are in the process of disbursement, and are in excess of the originally projected volume objectives, the Central Bank failed to submit the TA team's formal recommendations to the Monetary Board which, if implemented, would have ensured a more professional and transparent debt conversion process. The TA team's recommendations were derived from a series of successful methodologies implemented in other countries, such as an auction system to determine conversion factors (discounts) on a free market basis to ensure transparency and public credibility in the conversion process. The Central Bank resisted this approach and preferred to apply discounts on an ad-hoc basis which, while certainly less time consuming, represents nonetheless less institutional development and transaction transparency.

Because the Central Bank did not choose to implement recommendations of the Project TA Team, the project arrived at its completion date without any significant progress in institutionalizing the debt conversion processes put forth. In October 1991, sensing that the Central Bank was not prepared to budge on formal recommendations made under the auspices of the

19'

project, the USAID held further implementation of the project in abeyance. This curtailment of the project limited additional support to regular, short-term visits by TA team experts, but led to termination of the on-site personal services contractor responsible for overall project management. On a recent note, negotiations with the commercial banks are perfunctory and are not leading to closure. The rationale for the Central Bank's posture is not easily understandable. The non-commercial bank portion of the GODR's total of \$4 Billion in debt amounts to 78% of the total; this portion is considered the most "difficult" to deal with (compare to the relative straightforwardness of the commercial bank debt, about which the central Bank had been continually balking) but has been successfully restructured under the auspices of the IMF economic program and is considered a major accomplishment. By the time the project ended, deliberations with commercial bankers were still open and it was anticipated that a consensus would be reached in spite of a lack of success for such a long period of time.

In effect, the project was only partially "completed" due to the aforementioned factors. Far more was accomplished in the overall debt strategy preparation, education of GODR representatives, informal contacts with creditors to determine the realm of negotiation possibilities, sensitivity analysis of options to approach creditors, and an accumulation of information on status of debt restructuring with peer countries. However, sorely missing from the equation was the settlement with the commercial banks. It is believed that this would have been successfully completed had management of the Central Bank not developed its own technical and strategic approach to dealing with creditors with proposals almost diametrically opposed to those recommended under the technical advice of the project's debt conversion mechanism.

All standard close out activities have been completed except for a final financial settlement which awaits receipt of a final contractor invoice to be approved by the Central Bank. This is not considered to be an issue.

F. Major Activities or Corrective Actions During the Next Six Months

None.

PROJECT STATUS REPORT
October 1, 1992 - March 31, 1993

A B X C

IA. BACKGROUND DATA

Project Title: University Agribusiness Partnership
 Project Number: 517-0243
 Date of Authorization: original 11/07/89 amendment 00/00/00
 Date of Obligation: original 12/29/89 amendment 09/29/92
 PACD: original 06/30/96 amendment 00/00/00
 Implementing Agencies: Instituto Superior de Agricultura (ISA)
 Major Contractors: Midwest Universities Consortium (MUCIA)
 AID Project Manager: Kenneth B. Wiegand
 Status of CP's/Covenants: All CP's Met
 Date of Last Evaluation: 00/00/00 Next Evaluation: 05/01/93
 Planned Number of Non-Federal Audits during Fiscal Year: 1
 The Number of such Audits Contracted for/Completed: 0
 Date of Last Audit: 08/92 Next Audit: 04/93

IB. FINANCIAL DATA

Amount Authorized: DA Grant: original	\$12,000,000	
Amount Obligated: DA Grant: original	\$ 3,600,000	Amended to \$7,305,903
Amount Committed: Period:	\$ - 0 -	
	Cumulative:	\$ 6,745,805
Accrued Expenditures: Period - Projected:	\$ 1,318,737	
	Period - Actual:	\$ 896,593
	Cumulative:	\$ 6,087,637
	Period - Next:	\$ 884,139
Counterpart		
Contribution:(Endwmt) Planned:	RD\$25,000,000 (STP)	RD\$5,000,000 (ISA)
	Actual:	RD\$15,000,000
(ISA) Planned:	RD\$11,737,500	
	Actual:	RD\$ 4,769,760
(MUCIA) Planned:	RD\$43,812,500	
	Actual:	RD\$ 8,017,210
% LOP Elapsed: (45/84 mos)	52%	
% of Total Authorization Obligated	51%	
% of Total Obligation Expended	83%	
% of Total Authorization Expended	51%	

IIA. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES

Specific Linkage to Strategic Objectives:

The Project directs itself to the Mission's Strategic Objective No. 1 (increased and diversified external trade); and, S.O. No. 3 (increased availability of water needed for sustained economic development), by establishing forestry and horticulture disciplines and forestry student exchange programs.

Percent of LOP Funds Relating to Strategic Objectives: (I) 70%; (III) 30%

IIB. PROJECT PURPOSE

To provide the expanding agribusiness and agro-industrial community with increased trained manpower by institutionally strengthening ISA.

III. PROJECT DESCRIPTION

The Project will establish an institutional Endowment Fund, create a Development Office for ISA, provide long-term faculty and administrative technical assistance, provide short-term technical assistance and faculty exchange, and provide ISA with long-term faculty exchange opportunities in the U.S. in research, teaching and university administration.

IV. PROJECT STATUS

A. Planned EOPS

B. Progress To Date

1. Increased and improved preparation of mid-level agribusiness and agro-industrial applications to non-traditional commodities.

National Water Authority (INDRHI) requested ISA submit proposal to conduct research, extension, and training for water user groups in three major irrigation districts, to be financed by the World Bank. Agribusinesses with ISA upper-class interns are registering high rates of satisfaction and ISA requested to expand to livestock and dairy producers. ISA/CADER seminars included Air/Maritime Transport of Perishables, Export and Agribusiness Management, Credit Management for Non-Traditional Exports, Production of Papaya & Mango, and Commercial Propagation of Fruits/Ornamentals.

- | | | |
|----|---|---|
| 2. | Improved management of ISA sufficient to meet institutional development goals through year 2010. | Strategic Plan adopted by Faculty; annual workplans now being written within common strategy. Computer accounting and budget system installed; peripheral systems will be operative by May. Personnel, and Purchasing Offices created and staffed. Irrigation equipment released from Customs; will be operational by mid-93, together with new potable water system. Student work-study program expanded, dorm reps elected, property management system instituted; new library-computer center plans completed for presentation to interested donor. Modification of ISA statutes presented to Board to expand nationally and limit term in office. |
| 3. | Fully functioning Endowment Fund with increased value of no less than RD\$20 million and established mechanisms to ensure its growth sufficient to meet future core cost needs. | ISA Foundation incorporated and Board appointed to manage ISA Endowment. Endowment (including CADER's RD\$2 million now totals RD\$22.5 million; additional RD\$10 million TSP donation in final approval; new Foundation target is RD\$50 million. |
| 4. | Self-sustaining Development Office established implementing fund raising activities, promoting alumni and community relations, and donor coordination. | Search for new Director of Development Office re-initiated following declination of three top candidates. Alumni association sponsored book publishing event in Santo Domingo to launch collection of studies/analyses of higher ag education seminar held last summer. |
| 5. | Establishment of BS Degrees in Horticulture and Forestry and a technical level degree in Forestry. | B.S. degree in Horticulture approved; to be implemented Fall Semester, 1993. Feasibility of Forestry B.S. program to be reviewed in 1993. First two students of Forestry Tech (3-year) degree program graduated last September; six new students enrolled in program. |
| 6. | Faculty/staff salaries and supplementary income opportunities will equal or exceed equivalent private sector levels. | ISA is only university in DR in which entire faculty is full-time. Faculty evaluation system adopted; will be implemented mid-1993 to determine merit increases. About 90% of Endowment Fund earnings are programmed to meet Faculty costs. Several Faculty have completed local/international research and consulting contracts through ISA. |
| 7. | Production units (e.g dairy, poultry, cannery) placed under modern private management, while conserving their research and teaching opportunities for ISA faculty and students. | Uneconomical bakery and slaughter house have been eliminated. Analyses of remaining units underway, including CENDA soils laboratory, which has shown only modest improvement following intensive campaign to market its services. |

C. Major Outputs

	Planned						Accomplished					
	LOP	Period		Cum.		Next Period		Period		% of LOP		
		M	F	M	F	M	F	M	F			
1. Long-term ISA Faculty Exchange: (Persons)	8	1	0	2	0	2	0	2	0	25		
2. Short-Term ISA Faculty Exchange: (Per Wks)	342	40	4	167	25	26	2	28	2	202	32	68
3. Policy Workshops	15	3		11		2		5		29		193
4. Policy Seminars	60	8		37		3		2		37		62
5. Agrib. Training Weeks	50	8		40		10		14		91		181
Farm Leaders	3000	100		500		350		477		3584		119
(Male)	na	85		85		295		402		2892		80
(Female)	na	15		15		55		75		692		20
6. Research Monographs	250	10		58		26		26		128		51
7. Endowment Fund Contributions (RD\$ 000)	5000	350		1950		350		54		1599		32

22

C. Other Accomplishments and Overall Status

1. ISA's Fall 1992 enrollment of 103 new students represented 85% of the nationwide university-level enrollment in agriculture, livestock, and natural resource management/forestry; ISA was the only University to register increased enrollments in the ag disciplines. Registrar is targeting 110 new enrollments for Fall, 1993. 2. Monthly seminar/workshops with representatives from leading political parties continue quietly (at their request) to establish common ag sector policy platform for 1994 elections. 3. Initial project start-up team of four long-term resident advisors will complete third and final year on campus in July. Projected follow-on requirements, based upon ISA's recently completed strategic plan and USAID evaluation, should be available by end of May. 4. Informal USAID survey among ag sector businessmen indicates possible need for ISA to more aggressively market its product; Board members have recognized need to expand nationwide and have introduced modifications to ISA's governing statutes. 5. ISA has now become single largest recipient of Ag Development Foundation and other international donor research grants. 6. The University Rector and the Director of ISA's Management Training Center (CADER) are both scheduled to begin their 18-24 month faculty exchange programs in the U.S. during the next semester. 7. ISA's accounting and voucher systems were inspected by USAID's Controller, and all outstanding USAID advances have been liquidated; the project is now fully operating on a cost reimbursement basis, as per implementation procedures established last Fall. 8. A market-based salary structure has been fully implemented, in addition to other faculty incentives (retirement and medical benefits), thus allowing CADER to retain its highly competitive staff and ISA to recruit experienced administrative personnel for its financial department. 9. Student living conditions and classroom facilities have been substantially upgraded as a result of tuition increases, work-study programs, and the designation of Endowment Fund earnings to these purposes. 10. The recently completed 18 month strategic planning exercise is now being utilized to establish medium and long range work plans within each of the University's operational units and by the Board of Directors. It remains to be seen how extensive and successful this effort will be in practice. 11. Almost all of the conditions necessary for ISA's transition to a truly national agricultural university have been or will soon be completed; the subsequent management of these conditions will determine whether they are sufficient to meet the institutional and project goals.

Women in Development

1. ISA continues to actively expand its recruitment of women students and promotion of women faculty. Over 30 percent of recent freshman classes have been women, and the incoming Fall 1993 class is expected to be at least 40 percent women. 2. Women now chair one department and head the Comptroller, Accounting, Personnel Purchasing and Student Credit offices. 3. Women faculty represented 7 and 14 percent of the short-term professional exchange during the semester and life of project, respectively. 4. More than 15 percent of the farmers and agribusiness leaders trained this semester through ISA's outreach program were women; for the life of project, 19 percent have been women.

D. Problems and Delays

A Director for ISA's Development Office has still not been contracted in spite of a nine-month search process

E. Major Activities or Corrective Actions During the Next Six Months

1. A Mid-Term Project Evaluation will be completed during QTR 3 FY 93;
2. A routine non-federal audit will be completed during the same interim;
3. A determination of long and short term technical assistance requirements (MUCIA) will be made, based upon the mid-term evaluation and ISA's long-term work plan.

IA. BACKGROUND DATA

Project Title: Industrial Linkages
 Project Number: 517-0252
 Date of Authorization: original 09/25/89 amendment 00/00/00
 Date of Obligation: original 09/25/89 amendment 06/30/92
 PACD: original 12/31/94 amendment 02/27/93
 Implementing Agencies: Association of Industries (AIRD) and Dominican Association of Industrial Free Zones (ADOZONA)
 Major Contractors: Louis Berger International, Inc.
 AID Project Manager: Joseph M. Carroll
 Status of CPs/Covenants: All Met
 Date of Last Evaluation: 00/00/00 Next Evaluation: 00/00/00
 Planned Number of Non-Federal Audits during Fiscal Year: 1
 The Number of such Audits Contracted for/Completed: 1
 Date of Last Audit: 12/31/92 Next Audit: 00/00/00
 (Recipient Contract Audit)

1B. FINANCIAL DATA

Amount Authorized: DA Grant: original	\$ 5,000,000	
Amount Obligated: DA Grant: original	\$ 3,000,000	Amended to \$5,000,000
Amount Committed: Period:	\$ -0-	
	Cumulative:	\$ 2,397,319
Accrued Expenditures: Period - Projected:	\$ 60,101	
	Period - Actual:	\$ 417,166
	Cumulative:	\$ 2,381,422
	Period - Next:	\$ -0-
Counterpart Contribution: Planned:	\$ 1,000,000	
	Actual:	\$ 422,213
% LOP Elapsed:	<u>65%</u>	
% of Total Auth. Oblig.	<u>100%</u>	
% of Total Oblig. Exp.	<u>48%</u>	
% of Total Auth. Exp.	<u>48%</u>	

IIA. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES

Specific Linkage to Strategic Objectives:

The Project contributed to the USAID specific objective of increasing export production by Dominican firms. This specific objective contributed to Strategic Objective No. 1, Increased and Diversified External Trade.

Percent of LOP Funds Relating to Strategic Objective I: 100%

IIB. PROJECT PURPOSE:

To increase sales of goods and services from firms located throughout the DR to firms located in the country's Industrial Free Trade Zones (IFTZs), and to off-shore markets.

III. PROJECT DESCRIPTION

The Project provided financial, technical and training support to the AIRD and its subgrantees to: a) improve the legal and regulatory environment for the integration of the local economy with IFTZs; b) improve the product quality, production capacity, and delivery capability of local firms and industries; and c) establish an integrated system of gathering, processing and disseminating information to the domestic industrial/service sector and IFTZs in the DR.

IV. PROJECT STATUS: This project was terminated on February 20, 1993.

A. Planned EOPS

B. Progress To Date

- | | |
|--|---|
| <p>1. The number of IFTZ firms purchasing inputs (other than labor) from non-IFTZ firms will have increased from approximately 60 to about 150.</p> <p>2. The kinds of products/services procured from local firms by IFTZ firms will increase. IFTZ firms will regularly buy significant quantities (i.e. value greater than \$10,000) of at least 30 different products from local vendors.</p> <p>3. Total annual value of sales of goods and services from local firms to IFTZ firms will have increased from US\$60,000,000 to US\$80,000,000 (in 1989 dollars).</p> <p>4. Total number of local firms regularly selling significant levels of goods and services to IFTZ firms will have increased from 40 to 100 (those selling goods will have increased from 15 to 40).</p> | <p>The most recent survey indicates that, through 1992, an estimated 350 IFTZ firms purchased from the local market.</p> <p>IFTZ firms procuring 92 products in six sub-sectors (more than \$2 million annually) and 5 new sub-sectors were in process.</p> <p>By the end of 1992, sales of an estimated US\$34,000,000 worth of goods & services were projected to occur from local sources: \$4 million to IFTZs, and \$30 million in direct export sales.</p> <p>Surveys indicate that only one dozen local firms were selling products into IFTZs in 1992 rather than the 40 originally estimated. Beyond that, the project assisted eleven new companies in making linkages. An additional 34 marketing linkages were performed.</p> |
|--|---|

C. Major Outputs

	<u>Planned</u>				<u>Accomplished</u>		
	LOP	Period	Cum.	Next Period	Period	Cum.	% of LOP
<u>1. Communication and Information</u>							
a. Surveys designed, executed and analyzed.	2	0	2	0	0	2	100
b. Data bases on potential supplier and consumer firms established.	3	0	3	0	1	3	100
c. Fully documented database user manuals.	2 ¹	0	2	0	2	2	100
d. Semi-annual publicity campaign designed.	7	1	3	0	0	3	43
e. Annual buyer-seller directories printed.	4	1	4	0	0	0	0
f. Monthly bulletin established.	1	0	2	0	0	1	100
g. Annual reports published.	4	1	5	0	0	1	25
h. Ad-hoc series of publications printed.	10	2	5	0	2	5	50
i. Promotion seminars:							
1) Held inside free zones	8	1	6	0	0	5	63
2) Held outside free zones.	8	5	10	0	0	5	63
j. Trade exhibitions:							
1) Held inside free zones	3	1	2	0	0	3	100
2) Held outside free zones	3	1	3	0	0	5	167
k. IFTZs holding field days	15	3	6	0	0	0	0
<u>Policy Modification</u>							
a. Produce annual survey of policy constraints.	4	1	2	0	0	1	25
b. Complete policy analyses.	10	2	6	0	6	8	80
c. Initiate campaigns to modify policies.	10	1	3	0	0	3	30
<u>3. Production Improvement</u>							
a. Set criteria for TA recipients	1	0	1	0	0	1	100
b. Complete analyses of target sector companies.	200	20	85	0	0	109	55
c. TA to firms							
1) In-plant manufacturing	45	8	12	0	1	11	24
2) Tailored marketing	170	30	81	0	2	34	20
d. Workshops	25	4	7	0	1	6	25
<u>4. General / Administrative</u>							
a. Produce annual work plan.	4	1	3	0	0	2	50
b. Complete final report.	1	0	0	0	1	1	100

¹ Originally, a separate manual was contemplated for each database. However, all three databases are documented with the two completed manuals.

26

D. Other Accomplishments and Overall Status

Project terminated on February 22, 1993.
PACR has been written and, actually, is in the clearance process.

E. Problems and Delays

Due to language in the "Appropriation Act" (Section 599 of the FY 1993 FAA), the project was terminated for the convenience of the U.S. Government.

As of the termination date, the project had assisted Dominican private sector firms to compete in export markets, either through IFZs or by direct export to Europe or North America, and to address major policy constraints to Dominican preparedness for international competition.

All standard close out activities have been completed except for a final financial settlement which awaits receipt of final AI claims. This is not considered to be an issue.

E. Major Activities or Corrective Actions During the Next Six Months

None.

IA. BACKGROUND DATA

Project Title: Economic Policy and Practice
Project Number: 517-0262
Date of Authorization: original 5/29/92 amendment 00/00/00
Date of Obligation: original 6/22/92 amendment 03/31/93
PACD: original 5/31/97 amendment 00/00/00
Implementing Agencies: SRI International
Major Contractors: None
AID Project Manager: T. Miller/C. Varley
Status of CPs/Covenants: None
Date of Last Evaluation: 00/00/00 Next Evaluation: Dec. 1994
Planned Number of Non-Federal Audits during Fiscal Year: 1
The Number of such Audits Contracted for/Completed: 0
Date of Last Audit: 00/00/00 Next Audit: FA/OP responsible for A-133
periodic audits

1B. FINANCIAL DATA

Amount Authorized: DA/Grant: original \$ 6,000,000 amended to \$N/A
Amount Obligated: DA/Grant: original \$ 850,000 amended to \$1,750,000
Amount Committed: Period: \$ 900,000
Cumulative: \$ 1,750,000
Accrued Expenditures: Period - Projected: \$ 300,000
Period - Actual: \$ 139,084
Cumulative: \$ 139,084
Period - Next: \$ 400,000
Counterpart
Contribution: Planned LOP: \$ 1,998,000
Actual: \$ 51,908
% LOP Elapsed: 16%
% of Total Auth. Oblig. 29%
% of Total Oblig. Exp. 8%
% of Total Auth. Exp. 2%

IIA. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES

Specific Linkage to Strategic Objectives:

The project promotes sustained implementation of a governmental program of economic stabilization and structural reform (Strategic Objective No.1) by encouraging and supporting NGO involvement in the design, promotion, and implementation of such activities.

Percent of LOP Funds Relating to Strategic Objectives: 100%

IIB. PROJECT PURPOSE

To strengthen, deepen, enhance, and make more dynamic the participation of NGOs in economic policy design and sustained implementation.

III. PROJECT DESCRIPTION

The project supports Dominican initiatives undertaken by NGOs that serve to promote sustained implementation of sound economic policies. A Consultative Council (CC), a group of influential Dominicans identifies areas of interest for the project. SRI International, working with USAID, oversees the process and selects proposals for sub-grants with A.I.D. approval. The project will finance up to 50% of the costs associated with selected activities.

IV. PROJECT STATUS

	<u>Planned EOPS</u>	<u>Progress To Date</u>
1.	Economic policy improvements in areas identified by the CC.	
2.	More/strengthened channels for public opinion to reach the GODR policy-makers, on economic issues.	
3.	Better-informed populace on economic issues, as measured by surveys of participants of project seminars and workshops.	
4.	Enhance stature and reputation of NGOs concerned with economic policy, size of dues-paying membership of the 15 most active of these NGOs increases by at least 5% per year.	
5.	At least 16 NGOs are developing position papers and working to bring about sound and feasible GODR policy modifications each year.	

B. Major Outputs

		Planned			Accomplished			
		LOP	Period	Cum.	Next Period	Period	Cum.	% of LOP
1.	No. of NGOs funded	16	0	0	4	0	0	0
2.	No. NGOs audited	8	0	0	4	0	0	0
3.	Newspaper articles	200	0	0	0	0	0	0
4.	TV or radio spots	100	0	0	0	0	0	0
5.	Short-Term Training	1,000	0	0	0	0	0	0

C. Other Accomplishments and Overall Status

1) Consultative Council (CC), formed Oct. 19, 1992, met weekly first quarter to define focal areas for Project. Project formally launched in the Dominican community Jan. 14, 1993, via ceremony and publicity campaign. NGO response has been excellent, with 33 concept papers submitted from 17 NGOs, of which 14 have been approved for further development as formal proposals, four are undergoing further evaluation, and some 12 others are being re-written or developed. Participation Manual and Guidelines as well as subgrant application forms were developed. Amendment to the Cooperative Agreement on March 31, 1993, obligated FY 1993 funds as well as replacing the function of the Activity Selection Committee (ASC) by vesting in SRI the authority to select proposals for funding with A.I.D. approval. This was done to avoid potential for conflicts of interest among NGOs who would have served as ASC members and to avoid delays in the selection process. SRI moved to permanent quarters in November 1992, and completed all local hiring by January 1993. Office furnishings were procured, and RFP was published for IQCs with two local audit firms. CC has met twice to plan an economic policy seminar for July 1993, a two-day event for current and potential NGO participants in the EPP Project. A.I.D. hired a temporary PSC to bridge a gap between USDH Project Managers.

Women in Development:

2) The project will promote sound economic policy. Households and microenterprises in which women play a strong entrepreneurial/managerial role are the main victims of bad economic policies. Good economic policy inherently favors a stronger role for women, and works toward correction of economic discrimination against them.

D. Problems and Delays

None

E. Major Activities or Corrective Actions During the Next Six Months

- | | | |
|-----|--|--------------------|
| 1. | SRI Project Mgr. continues to work with NGOs to develop concept papers and proposals. | April - continuous |
| 2. | SRI Project Manager informs NGOs of concept paper approval, and NGOs develop applications (proposals). | April - continuous |
| 3. | SRI receives, reviews, and recommends approval of applications to A.I.D. or denies applications. | April - continuous |
| 4. | Audit IQC awarded to two Dominican firms by SRI. | April 1993 |
| 5. | SRI Project Mgr informs NGOs of approval of applications. | April - continuous |
| 6. | Pre-award evaluations performed by SRI or IQC | May - as needed |
| 7. | Subgrant agreements between Grantee and NGOs are drafted and signed by SRI. | May - as needed |
| 8. | Sub-grant Activities begin. | May - continuous |
| 9. | CC and SRI finalize plans for Economic Policy Seminar | May 1993 |
| 10. | Economic Policy Seminar held. | July 1993 |
| 11. | Baseline data survey executed by SRI. | September 1993 |

PROJECT STATUS REPORT
October 1, 1992 - March 31, 1993

A ___ B X C ___

IA. BACKGROUND DATA

Project Title: Economic Education
 Project Number: 517-0264
 Date of Authorization: original 07/25/91 amendment 00/00/00
 Date of Obligation: original 07/30/91 amendment 00/00/00
 PACD: original 07/30/93 amendment 00/00/00
 Implementing Agencies: Fundación Economía y Desarrollo, Inc. (FED)
 Major Contractors: None
 AID Project Manager: Piedad I. González/Thomas Miller
 Status of CPs/Covenants: N/A
 Date of Last Evaluation: 02/28/93 Next Evaluation: 00/00/00
 Planned Number of Non-Federal Audits during Fiscal Year: July 1993
 The Number of such Audits Contracted for/Completed: 0
 Date of Last Audit: 00/00/00 Next Audit: 07/30/93
 (Recipient Contract Audit)

1B. FINANCIAL DATA

Amount Authorized: DA/Grant: original	\$ 300,000	amended to \$N/A
Amount Obligated: DA/Grant: original	\$ 300,000	amended to \$N/A
Amount Committed: Period:	\$ 300,000	
	Cumulative:	\$ 300,000
Accrued Expenditures: Period - Projected:	\$ 81,300	
	Period - Actual:	\$ 107,849
	Cumulative:	\$ 217,429
	Period - Next:	\$ 23,350
Counterpart Contribution: Planned:	\$ 693,000	
	Actual:	\$ 404,250
% LOP Elapsed:	83%	
% of Total Auth. Oblig.	100%	
% of Total Oblig. Exp.	72%	
% of Total Auth. Exp.	72%	

IIA. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES

Specific Linkage to Strategic Objectives: The project will contribute to Mission strategic objective No. 1 by encouraging informed debates on economic issues in public fora and the press.

Percent of LOP Funds Relating to Strategic Objective I: 100 %

IIB. PROJECT PURPOSE

To increase public awareness of basic economic principles.

III. PROJECT DESCRIPTION

The project will partially finance the FED's ongoing economic education activities as well as permit the development of more original and varied material for existing programs, add a daily chart on interest rates and exchange rates to its successful newspaper program, allow development, implementation and expansion of a new radio program. It will also strengthen existing publishing activities, increase the number and variety of conferences and seminars sponsored by the FED, and establish a modest budget for new program development.

IV. PROJECT STATUS

<u>Planned EOPS</u>	<u>Progress To Date</u>
1. Basic principles of economic wide-spread.	Increase in quantity and quality of TV and print media discussion of economic issues; in part attributable to project activities and their catalytic influences.
2. Standard of economic discussion at the national level elevated.	During last period the overall debate on economic reforms, commercial opening, and trade related issues has been significantly improved, due, by far, to the contribution of the project to promote an informed debate on economic issues, in the country.
3. Fostered principles, mechanisms and advantages of an economy based on competitive markets and enterprises.	There has been a direct link between the adoption of market oriented policies which promote competition and the evolution of the project. Several key policies promoting competition were adopted shortly after key project activities were undertaken (i.e. after a Sabado Económico article on milk situation the GODR took a decision of lowering import tariff on imported milk products from 30% to 20%).

4. Promoted facilitative government economic policies which support a strategy of economic development.

The project has served as a key economic "advisor" to the GODR on economic policies. The DR is resuming economic growth after the perverse depression of 1990; current outlooks estimate that 1989 GDP levels will be regained by the end of 1993, due to the adherence of the kind of policies promoted by the project.

B. Major Outputs

	Planned				Accomplished		
	LOP	Period	Cum.	Next Period	Period	Cum.	% of LOP
1. Newspaper programs	1376	344	1146	104	263	972	71%
2. TV programs	1352	338	1127	114	122	731	54%
3. Publishing Books	10	2	7	2	2	7	70%

C. Other Accomplishments and Overall Status

During this period programs with great impact were produced in the press by FED such as The CELAM letter, the health and tobacco code, an interview with Myles Frechette, and others.

An article in Sábado Económico about "Piracy in Software and Intellectual Property Rights" was another program of great impact. The FED has maintained through its programs the idea that the D.R. need modern legislation to protect the intellectual property rights to attract foreign investment and permit the inclusion of the D.R. in free market agreements. Recently, the Government of the Dominican Republic enacted legislation that applies to video movie companies and cable TV.

Issue number I of "Economía para Todos" and issue number III of "Sábado Económico" were launched during this period.

In late 1992, Ing. José Isarel Cuello retired from TRIALOGO and has been replaced by Ing. Pedro Malagón. TRIALOGO is expected to continue with the same level of quality as before. Further, FED is working to add to the transparency of the message they want to get to the public.

The first project evaluation which covered the first year of the project, finished in February, 1993, indicated that the implementation of the project has been highly favorable to the promotion of market oriented economic policies, which are in line with the international economic debate. Further, the efforts of the grantee in promoting sound economic policies which promote competition and sustained economic development in the D.R. are seen as having a critical influence in the economic policy decision environment of the country. The evaluation considered the project to be a success based on its positive and multiplier impacts on the Dominican society.

2) Women in Development:

The project will include mass media programming to increase women's awareness of economic principles and issues, thereby advancing their ability to influence economic decisions and take greater advantage of economic opportunities.

D. Problems and Delays

No problems and delays.

E. Major Activities or Corrective Actions During the Next Six Months

An amendment to the Financial Plan for TV and Newspaper programs is near completion. This amendment will extend the Project by two years and provide additional funding in the amount of US\$200,000.

STRATEGIC OBJECTIVE NO. 2
Increased Socio-Economic Participation
of the Lower-Income Groups

IA. BACKGROUND DATA

Project Title: Family Planning Services Expansion
 Project Number: 517-0229
 Date of Authorization: original 09/09/86 amendment 06/29/92
 Date of Obligation: original 09/19/86 amendment 06/29/92
 PACD: original 09/19/91 amendment 08/31/93
 Implementing Agencies: Dominican Association for Family Welfare (PROFAMILIA); and National Planning Office's Population and Employment Division (ONAPLAN); Maternity Hospital "N.S. de la Altagracia" (MNSA); and Dominican Association of Family Planning (ADOPLAFAM).
 Major Contractors: Development Associates, Inc.
 AID Project Manager: Lisa Usher and John Thomas
 Status of CPs/Covenants: All met
 Date of Last Evaluation: 02/28/93 Next Evaluation:
 Planned Number of Non-Federal Audits during Fiscal Year: 1
 The Number of such Audits Contracted for/Completed: 0
 Date of Last Audit: 04/19/90 Next Audit: 5/30/93
 (Non-Federal Audit)

1B. FINANCIAL DATA

Amount Authorized: DA/ Grant: original	\$ 5,000,000	amended to \$ 6,950,000
Amount Obligated: DA/ Grant: original	\$ 2,300,000	amended to \$ 6,950,000
Amount Committed: Period:	\$ 549,627	
	Cumulative:	\$ 6,629,172
Accrued Expenditures: Period - Projected:	\$ 1,230,416	
	Period - Actual:	\$ 504,148
	Cumulative:	\$ 5,328,997
	Period - Next:	\$ 1,000,000
Counterpart		
Contribution: Planned:	RD	\$12,239,165
	Actual:	RD \$11,307,551
% LOP Elapsed:		<u>94%</u>
% of Total Auth. Oblig.		<u>100%</u>
% of Total Oblig. Exp.		<u>77%</u>
% of Total Auth. Exp.		<u>77%</u>

I IA. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES

Specific Linkage to Strategic Objectives:

The project supports the Mission's Strategic Objective to "increase socio-economic participation of the lower-income groups" (Strategic Objectives No. 2) by expanding family planning service delivery outlets to meet the demand for voluntary family planning by low-income persons and couples.

Percent of LOP Funds Relating to Strategic Objective II: 100%

I IB. PROJECT PURPOSE

To improve and expand a public/private network of family planning service delivery outlets over the next seven years (1986-1993) to meet the demand for voluntary family planning services by low-income persons and couples.

I III. PROJECT DESCRIPTION

The project, as amended in July 1990 and June 1992, has three components:
 (1) Expansion of the voluntary family planning services of PROFAMILIA, ADOPLAFAM and the MNSA hospital, utilizing clinic-based, community-based and contraceptive social marketing delivery systems; 2) Institutional strengthening of PROFAMILIA, ADOPLAFAM and ONAPLAN's Population and Employment Division, including improvement of their administrative, financial and management information systems; and (3) Population policy promotion by means of applied research and demographic projections and analyses conducted by ONAPLAN's Population and Employment Division and PROFAMILIA's Institute for the Study of Population and Development (IEPD). The amended project will assist the implementing agencies to reduce the recurrent expenses of their programs and introduce alternative cost-recovery mechanisms to sustain services once financial assistance from A.I.D. is terminated.

IV. PROJECT STATUS

A. <u>Planned EOPS</u>	<u>Progress to Date</u>
1. 806,555 Couple-Years of Protection (CYP)	673,249 CYP.
2. 132 PROFAMILIA and ADOPLAFAM urban clinics and/or physicians providing voluntary family planning services to low-income persons and couples.	155 clinics and/or physicians, (102 PROFAMILIA and 53 ADOPLAFAM)
3. MNSA Voluntary Surgical Contraception program reactivated, Family planning in academic training for ob/gyn residents as well as medical students.	MNSA VSC program started in September 1991. Training is being provided for hospital staff as well as ob/gyn residents and medical students.
4. PROFAMILIA's Community-based Distribution network of 750 promoters operating nationwide; ADOPLAFAM CBD network of 450 promoters and 300 beauty and barber shops.	903 PROFAMILIA CBD promoters; 486 ADOPLAFAM CBD promoters and 461 beauty and barber shops.

- | | |
|---|---|
| 5. Expanded PROFAMILIA Contraceptive Social Marketing program. | Sales through over 1,600 pharmacies and convenience stores. |
| 6. Nationwide radio and TV Information and Education Campaign. | Focus group research and in-depth interviews about FP knowledge and attitudes completed and mass media campaign design contracted and begun. |
| 7. A nationwide Family Planning Unmet Needs Study conducted in 1989; DHS II conducted in 1991. | Unmet needs study completed in 1989. DHS II conducted in 1991 and results released in Sept. 1992. |
| 8. Demographic data and analyses produced by IEPD and ONAPLAN made available to the GODR's socio-economic planning process. | Nine IEPD major reports completed. 2 ONAPLAN demographic projections and 5 newsletters published. |
| 9. Institutional strengthening of participating implementing agencies under the project. | Installation of computerized MIS for PROFAMILIA, ADOPLAFAM, and ONAPLAN; TA provided in accounting and finance, evaluation and administration, cost studies, clinic patient flow and intercept analyses; procurement of lab and office equipment & vehicles; various courses and workshops offered. |
| 10. FP services providers recovering between 20% and 50% of their operating costs. | PROFAMILIA recovering 42% of its operating costs; ADOPLAFAM 35%. |

B. Major Outputs	Planned				Accomplished			
	LOP	Period	Cum. 2/	Next Period	Period	Cum.	% of LOP	
1. Service Delivery:								
A. CYP:								
- Reversible	336,706	34,000	246,382	32,000	38,193	277,751	4/	82
- Non-Reversible	469,849	47,000	424,593	24,000	29,943	395,498	4/	84
- Total	806,555	81,000	670,975	56,000	68,163	673,249	4/	83
B. Service Sites:								
- Clinics/Doctors	132	130	NA	150	152	152		115
- Volunteers	1,200	1,300	NA	1,300	1,389	1,389		116
- Pharmacies/ Convenient Stores	1,200	1,200	NA	1,600	1,663	1,663		139
- Other Sites (Barber Shops, Beauty Parlors)	300	370	NA	400	461	461		154
C. Short-Term Trq.: (Staff and Volunteers)								
	M	F	M	F	M	F	M	F
	600	675	50	200	391	1279	50	200
							236	450
							577	1529
							96	227
D. Cost Recovery (%) 3/								
- PROFAMILIA	50	40	50	42	42	42		84
- ADOPLAFAM	20	28	28	35	35	35		175
2. Population Policy Promotion:								
A. IEPD's Major Reports/ Bulletin/Other								
- Research Outputs	22	0	25	0	0	25		114
B. ONAPLAN's Publications								
6	1	6	1	0	5	83		
C. Courses/Workshops for ONAPLAN staff								
9	0	12	1	0	12	100		
D. ONAPLAN's Demographic and Socio-Economic Data Bank								
1	0	0	1	0	0	0		0
E. Family Planning Unmet Needs Study								
1	0	1	0	0	1	100		
F. Demographic and Health Survey II								
1	0	1	0	0	1	100		
G. Other Studies (Demographic Projections Bateyes Study).								
6	2	7	3	1	6	100		

C. Other Accomplishments and Overall Status

- Proposals for PROFAMILIA male clinic and social marketing buy-ins completed and PIO/Ts sent to AID/W.
- Preparations for inauguration of ONAPLAN Socio-economic databank completed.
- Final report on in-depth interviews with health workers released and distributed.
- Work begun on planning and design of mass media campaign aimed at clarifying misunderstandings and biases about contraceptives.

33

The final evaluation of the project was completed during February, 1993. The evaluation team consisted of two family planning experts with extensive international experience.

The evaluation was designed as a strategic impact evaluation, whose objective was to provide useful insights about the project strategy, design and implementation, in the context of project objectives, for use in the design of the new Family Planning and Health Project. The evaluation was designed to cover all three components of the project: I) expansion of voluntary family planning services, II) institutional strengthening of the Dominican family planning organizations, and III) policy promotion.

I. With respect to the expansion of voluntary family planning services, the team stated that, "As can be appreciated in general terms, almost all the goals are expected to be accomplished and in some cases surpassed."

II. With regard to the institutional strengthening of the implementing agencies, the evaluators commented: "PROFAMILIA and ADOPLAFAM greatly improved their institutional capacity to manage family planning programs" and "Thanks to the actions of the project, which financed not only services but also training, equipment, and studies, the institutions involved have grown in their administrative capacities, which have included the ability to plan, organize, develop, and evaluate results."

III. With respect to the project's contribution to FP policy promotion they commented: "ONAPLAN's Division of Population and Employment (DPE), thanks to the support of the project in equipment, programs, training of personnel, and technical assistance from Development Associates, Inc., has grown considerably. The DPE has acquired a level that allows it to be invited, listened to, and taken into account in official circles where decisions are made and where the Population factor is an important element." The evaluators also commented that "It can be concluded that the activities undertaken by PROFAMILIA's IEPD, ONAPLAN's DPE and others have developed a basic platform for the future formulation of a National Population and Development Plan received important support from the project. In general terms the activities planned under the project related to this theme were completely executed."

IV. With regard to the technical assistance provided by Development Associates, Inc (DAI), the team noted that: "DAI was instrumental in helping the participant organizations to strengthen their financial and administrative capacity, and effective in carrying out its procurement functions. The technical assistance benefitted all the entities that participated in the project..." and "DAI was an important partner in the growth these organizations experienced, organizationally, operationally, and programmatically."

V. The evaluators also found it noteworthy to mention some findings from the 1991 DHS II for the Dominican Republic that indicate changes that occurred during the life of the project, and to which the project contributed: "Between 1986 and 1991, contraceptive prevalence improved in women in the fertile age group from 31 to 37 percent. For those women who were married or in union, contraceptive prevalence increased from 50 to 56 percent during the same period." They also mentioned that "During the life of the project, contraceptive supply increased through the private sector, which became the main service provider in the country."

In addition to many positive comments about the project, the evaluators noted the following weaknesses, which they recommended strengthening under the follow-on Family Planning and Health Project: 1) The contraceptive method mix has not changed, and female sterilization continues to be the primary FP method; 2) Incomplete FP information and services exist outside of Santo Domingo in some regions and especially in rural areas and among some young and illiterate women; 3) adolescent contraceptive programs are weak; and 4) male programs are almost non-existent.

D. Women in Development

Women are the primary beneficiaries under this project, both as clients and as managers of the various programs. Through increased access to contraceptive services, the project seeks to increase Dominican women's control of their reproduction as well as other aspects of their lives, thus allowing for improved health and increased economic participation of these women.

E. Problems and Delays

-Delay in development of proposals for buy-ins for social marketing (Futures Group-CSM II) and for male clinic (AVSC), due to intensive involvement of implementing agencies in the design of Mission's follow-on Family Planning and Health Project. PIO/Ts were sent to AID/W in Feb/March 1993, and are awaiting approval by AID/W.

F. Major Activities or Corrective Actions During the Next Six Months

- Financial Audit of PROFAMILIA contracted by USAID/Dom. Rep. and completed.
- Financial Audit of ADOPLAFAM contracted by Institutional Contractor and completed.
- Buy-Ins for CSM and for PROFAMILIA Male Program (AVSC) approved by AID/W and begun.
- Final report of PROFAMILIA's extended household survey published and distributed.
- Release of 3 research monographs containing further analysis of data from the extended household survey.
- ONAPLAN socio-economic data bank inaugurated, publicized and marketed.
- Mass media campaign begun.

- 1/ Cumulative Planned outputs reflect earlier CYP factors which resulted in higher CYP levels. The factors have been revised downward based on current AID/W guidelines.
- 2/ Calculated as income received divided by direct costs incurred, by activity.
- 3/ Revised based on results of Project's final evaluation.

PROJECT STATUS REPORT
October 1, 1992 - March 31, 1993

A X B ___ C ___

IA. BACKGROUND DATA

Project Title: Child Survival
 Project Number: 517-0239
 Date of Authorization: original 09/24/87 amendment 02/21/91
 Date of Obligation: original 09/29/87 amendment 07/25/91
 PACD: original 09/30/91 amendment 06/30/93
 Implementing Agencies: Technical Secretariat to the Presidency (TSP)
 Major Contractors: University Research Corporation (URC)
 AID Project Manager: John Thomas and Tim Truitt
 Status of CPs/Covenants: All met
 Date of Last Evaluation: 03/29/93 Next Evaluation: None
 Planned Number of Non-Federal Audits during Fiscal Year: -0-
 The Number of such Audits Contracted for/Completed: -0-
 Date of Last Audit: 09/30/90 Next Audit: None*
 * U.S. Contract to be audited by IG/A/FA

IB. FINANCIAL DATA

Amount Authorized: DA Grant: original	\$ 4,652,000	amended to \$ 5,652,000
Amount Obligated: DA Grant: original	\$ 2,700,000	amended to \$ 5,652,000
Amount Committed:	Period:	\$ 14,532
	Cumulative:	\$ 5,541,838
Accrued Expenditures:	Period - Projected:	\$ 754,117
	Period - Actual:	\$ 651,218
	Cumulative:	\$ 5,298,512
	Period - Next:	\$ 284,829
Counterpart Contribution:	Planned:	RD \$11,970,000
	Actual:	RD \$97,438,902

Includes GODR, private sector and PVO contributions.

% LOP Elapsed:	96%
% of Total Auth. Oblig.	100%
% of Total Oblig. Exp.	94%
% of Total Auth. Exp.	94%

IIA. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES

Specific Linkage to Strategic Objectives:

The project supports the Mission's second Strategic Objective, Increase Socio-Economic Participation of Lower-Income groups, through improving access to selected primary health care services.

Percent of LOP Funds Relating to Strategic Objective II: 100%

IIB. PROJECT PURPOSE

To create in three Health Regions an integrated public/private child survival health services delivery system which can be expanded to national coverage.

III. PROJECT DESCRIPTION

The project assists local Private Voluntary Organizations (PVOs), and State Secretariat for Public Health and Social Assistance (SESPAS) to the extent circumstances permit, in the provision of improved child survival services for women 15-49 and children under 5 years. The interventions supported under this project include: maternal education for control of diarrheal diseases and oral rehydration therapy (CDD/ORT); promotion of birth spacing (BS) and breastfeeding (BF); and support for the Expanded Program on Immunization (EPI) in project areas.

IV. PROJECT STATUS

	<u>Planned EOPS</u>	<u>Progress To Date</u>
1.	Child Survival Service Delivery Model fully operational in 12 PVOs and in 3 SESPAS regions.	PVOs: 14 SESPAS: 0*
		*SESPAS Health workers are currently being trained in project service delivery methodology.
2.	Receiving Services under the Project.	
	<u>LOP TARGET.</u>	
	Children: 48,000	68,442
	Women: 36,000	59,394

25

Plan EOPSProject to Date

3. 60% of mothers in project areas aware of value of: EPI 88%
 BS 88%
 BF 99%
 ORT 78%
4. 60% of mothers in project areas able to properly prepare oral rehydration salts. 61%

B. Major Outputs

	Planned				Accomplished			
	LOP	Period	Cum.	Next Period	Period	Cum.	% of LOP	
1. C.S. Health Interventions Standardized:	5	0	5	0	0	5	100	
2. C.S. Training Models Developed:	6	0	6	0	0	6	100	
3. Mass Communication Modules developed and aired:	3	2	3	0	2	3	100	
4. Training								
4a. Long-Term	N/A							
4b. Short-Term								
PVO Promoters Trained:Female:	1,750	1,450	2,875	0	1,661	3,086	177	
Male:	50	36	179	0	163	306	612	

C. Other Accomplishments and Overall Status

The final evaluation of the project was completed during the month of March 1993. The team comprised a prominent management and health systems expert with extensive international development experience as the team leader; a health communication specialist from the Health Services Division of the Research and Development Bureau of A.I.D. Washington; a former Dominican Minister of Health with considerable international experience as the public health specialist; and an institutional development expert with ample experience with PVOs and grass-roots development. The team stated in the Executive Summary of their report that: "The Project has been a success at all levels."

The following is the Summary of Conclusions from the Final Evaluation Report:

The evaluation team approached the task of evaluating the Child Survival Project with four broad questions. First, had a credible child survival program been implemented under the amended Project? The answer to this question was an unqualified yes. Despite an unpromising start to the Project, services are now available to a population in clear need of those services. Further, the general quality of those services is good and while their scope is limited, additional health interventions are planned for the future.

Second in importance, will the organizations providing these services be able to sustain their operations and expand? Here the answer is less clear and it is around this question that there is disagreement. While some of the PVOs are weak in several areas; beyond the areas of program evaluation and personnel management there is little commonality across PVOs in terms of their needs for technical assistance. This absence of commonality makes it difficult to prescribe a few simple interventions to shore up deficiencies.

The third question was whether the contractor had fulfilled the terms of the contract. The evidence is unambiguous and positive; the contractor met all or exceeded nearly all of the requirements of the contract.

The fourth question addresses the future of child survival in the Dominican Republic. Here reasonable men and women can, and do, disagree. Our interpretation of the evidence is that there is a continued role for A.I.D. support of child survival. The program has been effective and efficient; however, its coverage is limited and its sustainability is open to question. A modest continued investment should consolidate the child survival efforts; a larger investment could extend coverage of cost-effective services to women and children in manifest need.

Additional comments directly from the evaluation document:

- Anecdotal, impressionistic, and survey data all point to the same conclusion: breastfeeding and ORS use increased in Project served areas. An increase in the use of contraceptives cannot be documented. This is not surprising as that intervention is only three months old at this writing.
- Through a state-of-the-art mass media campaign, the project produced significant changes in knowledge and to a lesser degree behavior.
- Consistency between the mass media messages and the messages provided by service delivery providers, the promoters, documents the excellent work that was accomplished in both areas.
- PVO coordination is now a source of self-congratulation among the participating organizations. The team felt that the contractor and the PVOs are justified in celebrating their achievements in this area.

Although many of the observations provided by the evaluation team were of a positive nature, there are areas that require improvement. The team suggested bolstering the use of field supervision check lists and promoting the use of continual proficiency checks to determine when health promoters are ready for new interventions. The evaluation team also suggested that more be done to ensure the institutionalization of social marketing expertise within the PVO group. The new consortium of PVOs working in child survival has agreed that both of these suggestions are important. The PVOs took the initiative to include these aspects in their proposal for funding through the Mission PVO Co-Financing Project.

In addition, the evaluation team provided constructive suggestions regarding changes to the birth spacing flip-chart in order to ensure a more balanced presentation of the use of oral contraceptives. The technical assistance contractor has agreed to implement the suggested changes to the Birth Spacing flip-chart.

1. Other Accomplishments

The project has now met or exceeded all Major Outputs as planned. The only EOPS that has not been met or exceeded (Child Survival Service Delivery Model fully operational in 3 SESPAS regions) should be completed before the project PACD.

The mass media campaigns for Birth Spacing and Breastfeeding were launched in January and February 1993. The launching ceremony was widely attended (e.g., Minister of Public Health/SESPAS, UNICEF, PAHO, etc.). With continued support from USIS, the project has received approximately US\$1,350,000 in donated television broadcasting of child survival messages since June 1992.

The participating PVOs have presented their proposal to the PVO Co-Financing Project for continued funding of their child survival activities.

2. Training

During this reporting period the project formally trained 1,661 females and 163 males in project interventions.

The PVOs have been able to expand coverage faster than expected by recruiting and training additional volunteer community field health promoters. Therefore, the number of overall beneficiaries and health workers trained exceed original expectations.

3. Women in Development

This project directly benefits women in development in the Dominican Republic, particularly those with low incomes. The Child Survival Project directly targets women 15 to 49 years in rural and marginal urban areas as recipients of education and service delivery to enhance their own health as well as that of their children.

D. Problems and Delays

None.

E. Major Activities or Corrective Actions During the Next Six Months

1. The project Evaluation Summary will be completed.
2. The PACR will be completed.
3. The participating PVOs will begin receiving funding through the PVO Co-Financing Project to continue their child survival activities.

PROJECT STATUS REPORT
October 1, 1992 - March 31, 1993

A B X C

IA. BACKGROUND DATA

Project Title: PVO Co-Financing
 Project Number: 517-0247
 Date of Authorization: original 09/22/89 amendment 00/00/00
 Date of Obligation: original 09/22/89 amendment 08/25/92
 PACD: original 08/30/96 amendment 00/00/00
 Implementing Agencies: PADF, FDD, FUDECO.
 Major Contractors: ENTRENA, S.A.; Banco de Desarrollo Dominicano
 AID Project Manager: Richard Mangrich and Paul Struharik
 Status of CPs/Covenants: All met.
 Date of Last Evaluation: 00/00/00 Next Evaluation: 04/01/94
 Planned Number of Non-Federal Audits during Fiscal Year: 4 Pre-Award Audits
 The Number of such Audits Contracted for/Completed: 4 Pre-Award Audits
 Date of Last Audit: 03/18/93 Next Audit: 04/19/94

1B. FINANCIAL DATA

Amount Authorized: DA Grant: original \$ 9,500,000 amended to NA
 Amount Obligated: DA Grant: original \$ 4,000,000 amended to \$ 8,314,880
 Amount Committed: Period: \$ 1,544,552
 Cumulative: \$ 4,548,873
 Accrued Expenditures: Period - Projected: \$ 950,000
 Period - Actual: \$ 428,626
 Cumulative: \$ 1,359,783
 Period - Next: \$ 1,140,000
 Counterpart
 Contribution: Planned: RD\$37,680,000
 Actual: RD\$21,954,812
 % LOP Elapsed: 51%
 % of Total Auth. Oblig. 88%
 % of Total Oblig. Exp. 16%
 % of Total Auth. Exp. 14%

I IA. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES

Specific Linkage to Strategic Objectives: The PVO Co-Financing Project contributes directly toward achieving the following three Mission strategic objectives through private organizations that deal at the grassroots level.

- a. S.O. No. 2, Increase Socio-Economic Participation of the Historically Disadvantaged, the PVO project expects to finance about 18 subprojects with PVOs in preventative health: child survival, AIDS, water/sanitation and delivery systems); community development (youth development, rural electrification), and; employment generation (rural microenterprise, handicrafts, and job training).
- b. S.O. No. 3, Increase the Availability of Water Needed for Sustained Economic Development, the PVO Project expects to finance about 12 subprojects with PVOs in watershed management (soil conservation, reforestation, sustainable hillside agriculture); parks management; and, costal zone management. The project will also provide guidelines, technical assistance and training to help PVOs develop and implement environmentally sound projects for all project proposals dealing with water management.
- c. S.O. No. 4, Participatory Democratic Reform, the PVO Project will actively encourage community participation in all subprojects and provide funding for subprojects dealing specifically with civic education and promotion of democratic values within NGOs.

Percent of LOP Funds Relating to Strategic Objectives: II - 45%, III - 44%, IV - 11%

I IB. PROJECT PURPOSE

The project purpose is to increase the capability of PVOs and NGOs to deliver selected services and other resources to the poor.

I IC. PROJECT DESCRIPTION

The project will provide funding for about 35 subprojects to be implemented by PVOs over a seven-year period. It will establish a mechanism which will improve and streamline the budgeting, project development, design and approval process and the administrative, technical and financial management of USAID/DR's portfolio of U.S. and Dominican PVO projects.

I IV. PROJECT STATUS

<u>Planned EOPS</u>	<u>Progress To Date</u>
1. 300,000 rural and urban poor benefiting from PVO projects in the areas of hillside agriculture, health, water and sanitation.	Male: 1059 Female: 909
2. Approximately 20 local PVOs institutionally strengthened.	T.A. provided to 105 PVOs in proposal preparation, economic analyses, engineering and environmental guidelines of which 46 are still receiving help. Training provided to 25 PVOs in design, environment, accounting, financial sustainability, economic analyses, and latrine design. Pre-Award Surveys of 13 PVOs completed with recommendations that are strengthening them.

3. Actual and perceived increase of USAID's links with the DR's low income groups and the PVO community.

There has been an increase in perceived USAID commitment to low income groups and NGOs, especially among small NGOs who may be able to qualify for assistance in consortia or through intermediaries.

B. Major Outputs

	Planned				Accomplished				
	LOP	Period	Cum.	Next Period	Period	Cum.	% of LOP		
1. System established for subgrant selection, finan. mgt., monitoring and PVO strengthening.	1	0	1	0	0	1	100		
2. Subgrants to PVOs.	35	3	4	5	2	3	9		
3. TA to PVOs (person/months)	NA	9	22	15	20	43	NA		
4. Training (persons)		M	F	M	F	M	F	M	F
Long-Term	NA	0	0	0	0	0	0	0	0
Short-Term	NA	50	50	473	212	50	50	86	33
						509	195		

Other Accomplishments and Overall Status

1. General Accomplishments:

- a. The PVO Support Unit of ENTRENA has widely advertized the project among the Dominican PVO/NGO community and distributed over 700 participation manuals and environmental guidelines to interested institutions.
- b. Environmental Assessment for Pesticide Use approved and recommendations being implemented.
- c. Data bank on PVOs and Projects established.
- d. Two more newsletters published.
- e. Received 19 Concept Papers for a total of 105. 9 Concept Papers were approved, raising the total to 24. 6 were rejected bringing the total to 27 rejected. The remainder are being either reviewed or revised. ENTRENA is not actively promoting the Project until further notice.
- f. Two more grants awarded bringing the total to three (PADF, FDD, FUDECO).
- g. Eight Grant Applications are under review, for a total of 4,905,000, with the following institutions: IDDI, EDUDELIC, LDCC, CES, FUNDEJUR, APEDI, SODIN, CRS, and PVOs Consortium
- h. Five more PVOs passed Pre-Award Surveys.
- i. Four more PVO training courses provided, Environmental Guidelines, Sustainability, Economic Analysis, and Funds Accounting.
- j. Two ENTRENA personnel participated in a two week IPM course provided by the Zamorano Agricultural School in Honduras.
- k. Disbursements are being made to grantees through the established mechanism with the fiduciary agent, BDD.
- l. A standard format for PVO budget submissions was developed by ENTRENA.
- m. ENTRENA updated the PVO survey.

SUMMARY OF APPROVED CONCEPT PAPERS AND GRANT APPLICATIONS

Institution	Amount Requested (\$000)	Concept Paper Approved	Pre Award Survey	A.I.D. Registration	Grant (\$000)	
					Approved	Signed
PADF/ADSJO	1,000	4/91	Passed	Yes	999(2/92)	5/92
IDDI	900	7/91	Passed	Yes	729(10/92)	
FUDECO	796	7/91	Passed	Yes	706(10/92)	3/93
FDD	952	10/91	Passed	Yes	826(6/92)	12/92
AAVI	573	2/92	Passed	In Process		
CES	146	2/92	Passed	In Process		
FUNDEJUR	740	3/92	Passed	Yes		
APEDI	156	3/92	Passed	Yes		
LDCC	677	4/92	Passed	In Process		
ASPIRE	65	4/92	Underway	In Process		
APEDI	200	6/92	Passed	Yes		
EDUDELIC	325	4/92	Passed	Yes		
SODIN	682	7/92	Passed	Yes		
MUDE	659	9/92	Underway	Yes		
HERMANDAD	210	9/92	Underway	Yes		
CEDOIS	901	10/92	Postponed	Yes		
CARITAS	184	11/92	Underway	No		
CS CONSORTIUM	2,000	12/92	Passed	Yes		
ADEPE/APRODESA	633	12/92	Underway	Yes		
CMC/CEBSE	514	12/92	AID/W	Yes		
CRS/FUSABI	501	12/92	AID/W	Yes		
FUNDESER	702	2/93	Pending	No		
FUND. SAN JUAN	150	2/93	Pending	No		
CONACADO	124	2/93	Pending	No		
TOTAL					3,260	



2. Subproject Accomplishments:

a. FIRENA II: Due to numerous start-up problems the project has accomplished less than 10% of planned outputs during the first 11 months of a 3 year LOP. The grantees have taken steps to overcome obstacles and still expect to meet all project targets with the exception of reforestation for protection. However, A.I.D. will withhold future disbursements until there is more evidence of positive progress, especially in the area of recuperation of investments that are required to finance 26% of the total project cost.

b. FDD Microenterprise Training Project: Initial disbursement received in 2/93. FDD has begun purchasing equipment and remodeling training center in start up-phase.

3. Women in Development:

Rural and urban women in the Dominican Republic are expected to benefit directly from subprojects in health delivery, water and sanitation, community development, agriculture and employment generation. Subproject design and selection criteria will focus on activities affecting women to assure that they will adequately benefit from the project. Grantees will be expected to report progress on their subproject by gender.

D. Problems and Delays

The awarding of grants continues to run behind schedule. Although the administrative, technical and financial mechanisms are in place, project management has not yet been able to completely make-up the initial nine-month delay in hiring the Project Manager and four-month contractor mobilization delay.

Despite the delays, project implementation is gaining momentum. Twenty-five Concept Papers and six Grant Applications have been approved and the PVO Support Unit is actively working with about 46 PVOs to present acceptable proposals. Three grants have been awarded and implementation is underway. Given the high level of interest by PVOs coupled with intensive T.A. and training now being provided, the delays should not impact on achieving the project's overall outputs and purpose. The rate of expenditure should begin increasing considerably next semester as six more grants are awarded and begin implementation.

The amount of funds available for PVO grants (US\$7.7 million) will be totally committed after fourteen grant awards projected to happen by 12/93. This is due to the relatively large amounts of the first three grant awards totalling US\$2.5 million and the decision to fund some of The Mission Child Survival activities under the PVO Co-Financing Project which will cost about US\$2.0 million for two years. The remaining funds (US\$3.2 million) will be used to finance ten other grants that are being processed. Nine (9) approved Concept Papers can not be funded unless additional funds are obligated to the Project. ENTRENA has been actively working with 13 other PVOs who will soon submit Concept Papers. The CEDOIS selection process has been postponed until CEDOIS responds to an IAF Evaluation and Audit recommendations.

The late surge of Grant Applications will also make it necessary to extend the PACD date to accommodate projects longer than two and three years.

The increasing volume of Concept Papers and Grant Applications has been demanding more time from Mission staff for proposal review and subsequent PIO/T and Grant Agreement clearances. This has resulted in a longer A.I.D. subproject selection process which may be somewhat mitigated by adjustments in selection procedures. The Mission has begun exploring the possibilities of amending the project to allow the institutional contractor to award grants directly, thereby alleviating some of the Mission burden and expediting the approval process.

E. Major Activities or Corrective Actions During the Next Six Months

- Six more grants awarded raising amount committed for PVOs to about US\$6,775,000.
- Six more concept papers approved.
- Subcontract with Junta Agroempresarial Dominicana (JAD) to provide Integrated Pest Management (IPM) training to PVOs and develop an IPM manual. The ENTRENA contract will be amended to add funds for this subcontract.
- Two more issues of newsletter.
- PVO training sessions in information systems, environmental guidelines and implementation monitoring.
- Initiate regular monthly advance liquidations and reimbursement requests by BDD.
- Initiate implementation of projects with IDDI, LDCC, EDUDELC, FUNDEJUR, and CES.
- Eight more Pre-Award Surveys completed.
- Fully fund the ENTRENA contract.
- Distribute results of the updated PVO survey.
- Baseline surveys completed for FIRENA II, FDD and FUDECO.
- FIRENA II- Issue a formal letter to the PADF Director, with cc to ADESJO Director, making them aware of our concern with the slow progress in some critical aspects of the project. This letter will be followed-up with a site visit by A.I.D. management to observe progress in problem areas. ENTRENA personnel and the Project Manager have met on various occasions with PADF/ADESJO management to discuss our concerns with implementation delays and possible resolutions.
- The Mission will begin the process to amend the Project in order to extend the PACD, obligate additional funds and allow the institutional contractor to award grants directly. Approximately \$10.0 million of new funds will be required to finance all of the PVO proposals in process and the PACD should be extended by 3 years to 8/99.

PROJECT STATUS REPORT
October 1, 1992 - March 31, 1993

A B X C

IA. BACKGROUND DATA

Project Title: Private Initiative in Primary Education
Project Number: 517-0251
Date of Authorization: original 06/13/90 amendment 00/00/00
Date of Obligation: original 09/05/90 amendment 08/28/92
PACD: original 08/26/97 amendment 00/00/00
Implementing Agencies: Acción para la Educación Básica (EDUCA)
Major Contractors: Academy for Educational Development (AED)
AID Project Manager: Thelma Camarena and Paul Struharik
Status of CPs/Convenants: EDUCA successfully met CPs for Phase II of the Project.
Date of Last Evaluation: 00/00/00 Next Evaluation: 10/01/93
Planned Number of Non-Federal Audits during Fiscal Year: 1
The Number of such Audits Contracted for/Completed: 0
Date of Last Audit: 06/30/92 Next Audit: 08/01/93
(Recipient Contract Audit)

1B. FINANCIAL DATA

Amount Authorized:	DA Grant: original \$ 5,500,000 amended to \$ NA
Amount Obligated:	DA Grant: original \$ 1,534,000 amended to \$ 2,903,957
Amount Committed:	Period: \$ 0
	Cumulative: \$ 2,844,958
Accrued Expenditures:	Period - Projected: \$ 223,463
	Period - Actual: \$ 621,073
	Cumulative: \$ 1,582,315
	Period - Next: \$ 543,165
Counterpart	
Contribution:	Planned: RD \$11,905,400
	Actual: \$ 1,007,207
% LOP Elapsed:	<u>39%</u>
% of Total Auth. Oblig.	<u>53%</u>
% of Total Oblig. Exp.	<u>54%</u>
% of Total Auth. Exp.	<u>29%</u>

IIA. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES

Specific Linkage to Strategic Objectives:

The project is directly linked to the Mission's second strategic objective, Increased Socio-Economic Participation of the Lower Income Groups, through improving the quality of primary education services, and the fourth, Participatory Democratic Reform, by introducing democratic themes into primary level textbooks.

Percent of LOP Funds Relating to Strategic Objectives: II - 70%
IV - 30%

IIB. PROJECT PURPOSE

The purpose of the project is to establish an administrative capacity within a local private organization, EDUCA, to organize and oversee the implementation of special studies and training programs, as well as the production of instructional material, which are vital to primary education.

III. PROJECT DESCRIPTION

The principal objective of the project is to support EDUCA in its role as an advocate for the improvement of primary education. In addition to strengthening EDUCA as an institution, the project raises the credibility of EDUCA by supporting its activities designed to improve primary education. These activities include: (1) in-service training for public and private primary school teachers and directors; (2) textbook and instructional material development; (3) the development of achievement testing for grades 4 and 8 in Mathematics and Spanish; and (4) the development of a management information system for EDUCA.

Because EDUCA was a new organization, it was decided to implement the project in two phases. The first phase, which lasted twenty-six months, was devoted to the institutional strengthening of EDUCA and to the implementation of initial project activities, i.e.: establishing linkages with organizations concerned with primary education, including the Ministry of Education (SEEBAC), private school associations, institutions of higher learning and the private sector; conducting a census of schools within Metropolitan Santo Domingo, the project area; revising existing and contracting for the development of new textbooks and instructional materials; and establishing an MIS within EDUCA. EDUCA and the Academy for Educational Development (AED), the institutional contractor, have been successful in implementing Phase I activities and in building the institutional capacity of EDUCA. A pre-award audit of EDUCA conducted in June 1992 determined that EDUCA was qualified to receive the Phase II grant directly from USAID. In Phase II EDUCA is implementing project activities and the Cooperative Agreement between USAID and AED has been continued to provide technical assistance to EDUCA.

IV. PROJECT STATUS

Planned EOPS

Progress To Date

- | | |
|---|--|
| 1. EDUCA is fully staffed with trained personnel. | All members of EDUCA's staff have been contracted and are being trained. |
|---|--|

- | | | |
|----|--|--|
| 2. | EDUCA is overseeing at least five special studies/training programs annually. | EDUCA is currently organizing and overseeing five special studies/training programs. By EOP EDUCA will oversee at least eight special studies/training programs per annum. |
| 3. | 100% of EDUCA's Operating Expenses will be funded from non-A.I.D. sources. | At present EDUCA is funding office space and some salaries. The amount of EDUCA contributions is designed to increase as the project progresses. |
| 4. | EDUCA is recognized in the DR and by other international donors as an implementing vehicle in primary education. | In addition to EDUCA/PIPE activities, EDUCA is implementing a PTA type program and has helped SEEBAC develop a ten year plan for educational development. |

B. Major Outputs

	Planned				Accomplished									
	LOP		Period		Cum.		Next Period		Period		Cum.		% of LOP	
1. Financial management, procurement and personnel systems in place	3		0		3		0		0		3		100	
2. Training Short-term (staff)	M	F	M	F	M	F	M	F	M	F	M	F	M	F
	7	20	4	4	4	20	0	5	4	3	4	19	57	95
900 School administrators	M	F	M	F	M	F	M	F	M	F	M	F	M	F
	280	620	3	9	63	163	10	140	3	24	63	178	23	29
3,000 Non-certified teachers	M	F*	M	F	F	M	M	F	M	F	M	F	M	F
	0	0	0	0	0	0	40	160	0	0	0	0	0	0
5,400 Certified teachers	M	F*	M	F	M	F	M	F	M	F	M	F	M	F
	0	0	0	0	0	0	40	160	0	0	0	0	0	0
3. Assessment instrument devised and field-tested by project year 3	1		0		0		0		0		0		0	
4. Materials produced and distributed	1		0		0		0		0		0		0	
5. MIS in place and being utilized	1		0		1		0		1		1		100	

* Unable to be determined by second stage of school census. Will be determined by follow-up survey in 1993

C. Other Accomplishments and Overall Status

- (a) Forty-eight school directors have received two cycles of training and 160 have received the first cycle.
- (b) Three instructional modules for training teachers completed and printed.
- (c) Two subcontracts negotiated with local Dominican institutions to expand the school director training program.
- (d) Math and Spanish texts for grades 1 to 4 have been revised.
- (e) Subcontractors completed and/or revised Math and Spanish textbooks, teachers guides and student workbooks for 5th and 7th grades.
- (f) Subcontract awarded to a local university for development of Math and Spanish textbooks for 8th grade.
- (g) RFP advertised to develop Math and Spanish textbook for 6th grade.
- (h) 20,353 Math and Spanish texts and workbooks were distributed among public and private schools for students.
- (i) Twenty-seven potential trainers participated in a workshop to prepare them to teach in the non-certified teacher training program.
- (j) SEEBAC has decided to use IDB loan funds to print and distribute nationally 3,000,000 textbooks developed jointly under the project by EDUCA and SEEBAC.

42

(k) Installation of computerized accounting system completed

(l) Work was begun by SEEBAC, EDUCA, LEARNTECH, and USAID personnel on a plan to use radio learning technology provided by SEEBAC's distance education office (RADECO) to supplement education in EDUCA schools on a pilot basis.

Women in Development:

The recently completed school census identified 1,104 private and public sector primary schools in the PIPE project area. There are approximately 8,414 teachers in the target schools of whom approximately 80% are women. The exact number will be determined later in this year.

D. Problems and Delays

We anticipate problems with the retention of EDUCA professional staff due to salary levels and will ask EDUCA to review its salary structure.

E. Major Activities or Corrective Actions During the Next Six Months

- Select sub-contractor (s) and design Training Program for the next cycle of school director training.
- Select sub-contractor (s) and design a 24 month Training Program for up to 200 non-certified primary teachers and a 12 month program for certified teachers.
- Continue work on 8th grade math and Spanish books, with 50% of the math books to be delivered in August 1993, and 50% of the language books in November 1993. Total work will be completed by February 1994.
- Proof printing of 5th and 7th grade texts, guides and workbooks in Math and Spanish.
- Selection of 2nd. group of 25-30 candidates for Second Math and Spanish Language "Training of Trainers" program. From this group 10-12 trainers will be selected and contracted by educational institutions to implement short-term in-service training programs.
- Writing and printing of 3 teaching/learning modules to be used in the training of non-certified teachers.
- Contracting and printing of approximately 10,000 copies of 5th and 7th grade Math and Language textbooks for introduction and evaluation in up to 300 participating schools.
- Continue training EDUCA and SEEBAC personnel.
- Update school census information for 1992-93 school year. Enter into MIS.
- Draft scope of work for mid-term evaluation.
- Schedule annual recipient contract audit.

PROJECT STATUS REPORT
October 1, 1992 - March 31, 1993

A B X C

IA. BACKGROUND DATA

Project Title: Micro and Small Business Development
 Project Number: 517-0254
 Date of Authorization: original 07/11/90 amendment 00/00/00
 Date of Obligation: original 07/18/90 amendment 03/19/93
 PACD: original 06/30/97 amendment 00/00/00
 Implementing Agencies: Fund for the Financing of Microenterprise
 (FONDOMICRO)
 Major Contractors: Development Alternatives Inc.
 AID Project Manager: Joseph M. Carroll
 Status of CPs/Covenants: CPs to First Disbursement - All met
 CPs to Disbursement after 180 days - All met
 CPs for credit support program - All met
 CPs for 1992 and beyond - Annual Implementation Plan - Met
 Date of Last Evaluation: 00/00/00 Next Evaluation: 11/10/93
 Planned Number of Non-Federal Audits during Fiscal Year: 1
 The Number of such Audits Contracted for/Completed: 3
 Date of Last Audit: 12/31/92 Next Audit: 12/31/93
 (Recipient Contract Audit)

1B. FINANCIAL DATA

Amount Authorized:	DA Grant: original	\$ 7,250,000	
Amount Obligated:	DA Grant: original	\$ 500,000	amended to \$7,250,000
Amount Committed:	Period:	\$ 1,533,223	
	Cumulative:	\$ 4,995,793	
Accrued Expenditures:	Period - Projected:	\$ 1,368,500	
	Period - Actual:	\$ 498,031	
	Cumulative:	\$ 3,381,222	
	Period - Next:	\$ 1,059,729	
Counterpart			
Contribution:	Planned:	RD\$19,048,975	
	Actual:	RD\$10,910,000	
% LOP Elapsed:		39%	
% of Total Auth. Oblig.		100%	
% of Total Oblig. Exp.		47%	
% of Total Auth. Exp.		47%	

IIA. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES

Specific Linkage to Strategic Objectives:

This project provides direct support for achieving one of the three specific results of our Strategic Objective No. 2, Increasing Socio-Economic Participation of Dominican Lower-Income Groups in the Development Process. This linkage is borne out by measurable improvements in income generation and employment resulting from the availability of credit to the micro- and small-business community.

Percent of LOP Funds Relating to Strategic Objective II: 100%

IIB. PROJECT PURPOSE

To develop FONDOMICRO into a viable financially self-sustaining organization which can efficiently provide the financing and technical assistance needed by NGOs operating credit programs which directly service the informal small and microenterprise sector.

III. PROJECT DESCRIPTION

FONDOMICRO is a second level lending organization which will wholesale funds and provide management assistance to NGOs providing credit to micro and small enterprises in the informal sector. FONDOMICRO will also access funds for on-lending from other donors and from the local commercial market. Major project components include strengthening FONDOMICRO institutionally and helping it to develop its credit and management assistance programs.

IV. PROJECT STATUS

A. Planned EOPS

B. Progress To Date

- | | |
|--|---|
| <p>1. FONDOMICRO will be a well functioning institution with a permanent staff of appropriately trained individuals, an active and involved Board of Directors, and adequately equipped office facilities.</p> <p>2. FONDOMICRO will have an established client base of between 6-12 NGOs, each operating a credit program reaching between 2,000-10,000 borrowers, and each operating their program in such a manner as to assure full and prompt repayment to FONDOMICRO of all funds they borrow.</p> <p>3. FONDOMICRO's total outstanding portfolio (including funds for which it may be providing guarantees) will have reached approximately the equivalent of US\$30,000,000.</p> | <p>All key FONDOMICRO staff are on board. FONDOMICRO is fully installed in adequate office space. The Board of Director meets on a regular basis.</p> <p>Five credit clients, reaching a total of 19,000 clients, have received US\$2.75 million in credit. Seven NGOs are receiving Credit Program Management assistance.</p> <p>FONDOMICRO's outstanding portfolio is now US\$2.23 million. Interest payments are prompt from all three credit clients.</p> |
|--|---|

- | | |
|---|--|
| 4. FONDOMICRO will be generating sufficient revenue from its lending program to allow it to meet all costs it incurs in the operation of its program. | FONDOMICRO is able to cover 100% of its operating expenses from revenues generated from its lending operations. Because it benefits from its USAID grant, it is able to capitalize the difference. |
| 5. FONDOMICRO will be meeting all its obligations in full and on schedule. | FONDOMICRO is meeting all its obligations on schedule. |
| 6. The number of Informal Small and Microenterprise (ISME) operators having access to reliably available, reasonably priced credit will have increased from about 10,000 to about 36,000. | Approximately 17,000 ISME businesses currently have access to credit. |
| 7. FONDOMICRO will have developed appropriate business relationships with, and be able to obtain and/or access lending capital from at least two commercial banks on appropriate terms. | FONDOMICRO has a RD\$2.0 million line of credit with the Banco Popular. |

C. Major Outputs

	Planned			Accomplished			
	LOP	Period	Cum.	Next Period	Period	Cum.	% of LOP
<u>Component 1 - Strengthening FONDOMICRO</u>							
a. FONDOMICRO offices fully established equipped and functioning.	1	0	1	0	0	1	100%
b. Development and adoption of all necessary administration systems and procedures.	1	0	2	0	0	1	100%
c. Development of appropriate staff capability.	8	1	10	0	2	8	100%
d. MIS* fully developed, installed, and functioning.	1	0	2	0	0	1	100%
e. Staff receiving short-term training.	5	1	3	0	1	3	60%
<u>Component 2 - Financial Support Program</u>							
a. Development and adoption of credit guidelines.	1	0	1	0	0	1	100%
b. Loans made to NGOs.							
1. No. clients	10	2	11	2	2	5	50%
2. Amount (Million)	30	1.8	4.65	1.90	.42	2.75	9.2%
c. Proportion of Female Final Beneficiaries	50%	40%	40%	40%	40%	40%	80%
<u>Component 3 - CPM** Assistance to NGOs</u>							
a. "Diagnostics" of NGO CPM capability conducted.	21	2	7	3	2	10	48%
b. CPM assistance program initiated.	12	3	10	2	2	8	67%
d. NGOs becoming eligible for FONDOMICRO loans.	10	3	7	2	2	5	50%
e. Training Workshops.	7	3	8	6	3	10	143%

C. Other Accomplishments and Overall Status

FONDOMICRO made additional disbursements of RD\$1.3 million (US\$83 thousand) during the period from its RD\$19,048,975 facility with the Secretariado Técnico de la Presidencia (STP), as a counterpart contribution. Disbursements from STP now total RD\$10,910,000, all fully disbursed to NGOs.

FONDOMICRO drew down RD\$13,285,600 from the USAID (US\$1.06 million) including RD\$9.9 million received in late March, of which RD\$4,100,000 (US\$328,000) were disbursed to NGOs. FONDOMICRO plans disbursing remainder as soon as new credit facilities are approved.

FONDOMICRO fully disbursed the additional RD\$3.4 million (US\$272,000) which was pending to complete the line of credit to ADEMI of RD\$30 million (US\$2.4 million).

ADOPEM's line of credit was increased to RD\$2 million (US\$160,000) from a previous RD\$1 million and has now been fully disbursed. A letter of credit guarantee from ADOPEM, was dropped as a requirement since financial strength was deemed appropriate.

A new line of credit was provided to COOPERATIVE NUESTRA SEÑORA DE LA CANDELARRIA, of Sabana Grande de Boya. The line was granted for RD\$600,000 (US\$48,000) of which the Cooperative requested and FONDOMICRO disbursed RD\$200,000 (US\$16,000). A new facility was approved for FONDESA for RD\$1,500,000 (US\$120,000), with RD\$1,000,000 (US\$80,000) already disbursed.

Three workshops were held during the period: Macroeconomics for Lending Officers (two courses), and Credit (one course), with 95 participants from ADEMI and FDD. A seminar was jointly held among participants from ADEMI, ADEPE and FONDOMICRO, with ADEPE Board Members attending as visitors to ADEMI under provisions of an agreement signed between ADEMI and FONDOMICRO.

FONDOMICRO's Executive Director attended an International Seminar in Mexico on Micro, Small and Medium Size Businesses in the Process of Globalization of the World Economy. The seminar was organized by ALIDE (Organización Latinoamericana de Instituciones Financieras de Desarrollo).

FONDOMICRO continued to be heavily involved in installing programs in four NGOs (ADOPEM, FONDESA, ASPIRE, and FDD). Software installed handles accounting and portfolio management. Systems are either performing (ASPIRE and FONDESA) or ready to perform (FDD and ADOPEM) as soon as computer hardware can be provided under terms of Cooperative Agreement from GEMINI.

FONDOMICRO reviewed and evaluated a credit transactions of the FDD with its clients for the last three years to come up with a clear picture of the status of its portfolio. This review was necessary to install portfolio management software in NGO.

As an activity organized by USAID/Jamaica, FONDOMICRO received a group from Jamaica and provided participants a briefing on the role and purposes of the institution.

D. Problems and Delays

FONDOMICRO has delayed credit to ASPIRE and ADEPE while reviewing and cooperating with those NGOs to assist them to bring existing arrears of their clients under control.

Technical assistance provided to NGOs, off-shore training, and computer procurement came to a standstill as extension of Phase I of the GEMINI buy-in expired at the end of February. New ways of delivering technical assistance and other services both to FONDOMICRO and NGOs are being explored because of current impasse in continuing buy-in mechanism. This impasse results from a technicality in the use of AID/W buy-ins by HB 13 grantees; with assistance from USAID, FONDOMICRO will contract for new technical assistance services under authorities and procurement guidelines established by its own organization, but in keeping within A.I.D. rules.

E. Major Activities or Corrective Actions During the Next Six Months

FONDOMICRO expects to increase credit facilities to ADEMI (up to RD\$50 million [US\$4 million] from a current RD\$30 million), and ADOPEM (up to RD\$4 million [US\$320,000] from RD\$2 million). Also new credit facilities should be provided to ASPIRE for RD\$600,000 (US\$48,000) and probably another cooperative, (Cooperativa Amor y Paz, Cotui). The facilities to FONDESA should be increased to at least RD\$3 million (US\$240,000), and ADEPE should be presented for approval for an initial facility of about RD\$800,000 (US\$64,000).

According to its self-imposed training schedule, FONDOMICRO should be delivering at least one course every month. April is already programmed for a three day course in Santiago for NGOs in the Northern region. At least seven courses are scheduled: Guarantees and Collateral (2), Microeconomics for Lending Officers (3), and Lotus 123 (2), and should also take place over the next six months.

Technical assistance during the next period depends on the future of the GEMINI buy-in, or an equivalent mechanism, since FONDOMICRO does not currently have a local budget for continued technical assistance. The original program called for intensive work both in credit judgment and procedures and in installing computer hardware in NGOs.

During the upcoming period, FONDOMICRO would like to train two of its staff members, through the resources of the GEMINI buy-in or its new equivalent. Also programmed for that period is a training visit for 10 or 15 Board Members of NGOs receiving credit from FONDOMICRO. FONDOMICRO would use off-shore training resources available under the Cooperative Agreement in order to expose these Board Members to other successful programs elsewhere.

The second phase of the National Microenterprise and Small Business Study is being carried out and results will be ready and published in May. This is being funded directly by FONDOMICRO, since the GEMINI buy-in has not been approved. Results will quantify changes in the marketplace, and will provide more in depth investigations into costs, sales, wages, and credit.

The third phase National Microenterprise and Small Business Study due in September 1993. During the next six months, FONDOMICRO plans to publish a book on WOMEN IN MICRO AND SMALL BUSINESS IN THE DOMINICAN REPUBLIC.

*Management Information System.

**CPM: Credit Program Management.

STRATEGIC OBJECTIVE NO. 3
Increased Availability of Water Needed
for Sustained Economic Development

IA. BACKGROUND DATA

Project Title: On-Farm Water Management
 Project Number: 517-0159
 Date of Authorization: original 06/30/83 amendment 00/00/00
 Date of Obligation: original 06/30/83 amendment 00/00/00
 PACD: original 09/30/88 amendment 03/30/93
 Implementing Agencies: National Institute of Hydraulic Resources (INDRHI)
 Major Contractors: Utah State University
 AID Project Managers: Kenneth B. Wiegand and Odalis Pérez
 Status of Cps/Covenants: All met
 Date of Last Evaluation: 03/30/93 Next Evaluation: 00/00/00
 Planned Number of Non-Federal Audits during Fiscal Year: 0
 The Number of such Audits Contracted for/Completed: 0
 Date of Last Audit: 12/00/91 Next Audit: 00/00/00
 (Non Federal Audit)

IB. FINANCIAL DATA (Note: Data reflect Sep. '92 DEOB of FY 1985 and prior M Account Loan balances and REOB as a HB-3 Grant)

Amount Authorized:	DA Loan:	original	\$ 12,000,000	amended to \$ 11,151,242
	DA Grant:	original	\$ 848,758	amended to \$
Amount Obligated:	DA Loan:	original	\$ 12,000,000	amended to \$ 10,029,927
	DA Grant:	original	\$ 848,758	amended to \$
Amount Committed:	Period:	(L)	\$ 0	(G) \$ (595,252)
	Cumulative:	(L)	\$ 10,729,927	(G) \$ 253,247
Accrued Expenditures:	Period - Projected:	(L)	\$ 0	(G) \$ 100,000
	Period - Actual:	(L)	\$ 0	(G) \$ (73,390)
	Cumulative:	(L)	\$ 10,029,927	(G) \$ 199,348
	Period - Next:	(L)	\$ 0	(G) \$ 0
Counterpart Contribution:	Planned:	(L)	RD\$ 29,600,700	(G) \$ 0
	Actual:	(L)	RD\$ 21,038,646	(G) \$ 0
% LOP Elapsed:	(L)	100%		(G) 100%
% of Total Auth. Oblig.	(L)	90%		(G) 100%
% of Total Oblig. Exp.	(L)	100%		(G) 23%
% of Total Auth. Exp.	(L)	90%		(G) 23%

To be verified by CON: MACS-reported Grant cumulative expenditures as of 9/30/92 were Loan accruals only (to account for DEOB of FY 1985 and prior M Account Loan accruals)

IIA. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES

Specific Linkage to Strategic Objectives:

The OFWM Project contributes to achieving the Mission Strategic Objective No.3 by: (1) applying and promoting environmentally sound water management practices thus improving water quality, streamflow pattern and volume of useable water; and (2) increasing participation of NGOs in promoting law/institutional reforms for a more rational management of the water/natural resources of the DR.

Percent of LOP Funds Relating to Strategic Objective III: 100%

IIB. PROJECT PURPOSE

To strengthen GODR capability to: (a) effectively plan the development of its water resources for irrigation, (b) plan and operate irrigation systems, (c) support increased agricultural productivity under irrigation and (d) prevent and/or correct the deterioration of land resources already under irrigation.

III. PROJECT DESCRIPTION

Water users in the YSURA and PRYN irrigation districts will be organized into associations which elect a Board of Directors. These Boards will contract private firms to operate and maintain their irrigation systems. The Boards will establish water fee structures and collect fees to pay their contractors. The project will assist INDRHI to organize this task and will fund rehabilitation and cleaning of the YSURA and PRYN systems. The project will develop specifications for operating and maintaining rehabilitated parts of the systems. The project will also fund drainage improvement efforts and on-farm water management activities.

IV. PROJECT STATUS: The Project ended on March 30, 1993.

A. Planned EOPS

B. Progress To Date

- | | |
|---|--|
| 1. Improved capability for management of the irrigation water systems in the DR through strengthening of human and institutional resources. | 12 water users associations (WUAs) already are into their sixth year of experience managing the two targeted irrigation systems. |
|---|--|

B. Major Outputs

	<u>Planned</u>				<u>Accomplished</u>		
	LOP	Period	Cum.	Next Period	Period	Cum.	% of LOP
1. Establish 2 Irr. Systems: The PRYN System (5,220 Has.- 2,000 Farmers) and YSURA (6,981 Has.- 3,800 Farmers).							
WUAs organized	10	0	10	0	0	12	120
No. of Water users paying water fees to WUAs:							
1987/88	5,382	1,000	1,000	2,000	2,663	2,663	49
1988/89	5,382	2,000	3,000	1,000	1,010	3,673	68
1989/90	5,382	1,000	4,000	1,000	1,547	5,220	97
1990/91	5,382	1,000	5,000	382	853 ²	6,073	113
1991/92	5,382	1,000	5,382	0	828 ²	6,901	128
1992/93	5,382	0	5,382	0	0	6,901	128
Kms. rehabilitated							
a. Irrigation	306.5	0	179.0	0	0	158.3	52
b. Drainage	210.1	2	222.1	0	0	388.5	185
2. On-Farm Water Mgt. for 2 Pilot Areas: PRYN (750 Has.- 150 Farmers); and YSURA (400 Has.- 210 Farmers).							
On-Farm irrigation efficiency increased to 50% (hectares).	1,150	0	200	0	300	500	43 ¹
Hectares leveled.	1,150	0	1,150	0	0	1,184.4	103
Farm hectares benefitting with new:							
Drainage (Has)	1,150	200	1,233	0	604	2,764	240
Drains (Km)	100	4	105	0	19	127.3	127.3
Irrigation (Has)	1,150	0	1,150	0	0	1,492.5	130
Canals (Km)	N/A	0	13	0	21	35.0	N/A
3. Training							
No. of Farmers:	5,000	40	5,400	0	165	6,415	128
No. of Off-Shore:	40	0	40	0	0	75	188
Degree Training.	26	0	26	0	0	25	97

¹ On-farm irrigation efficiency increased by 300% (now at 45%-<50%) in 650 hectares of the pilot areas with respect to initial conditions. Irrigation efficiency is dramatically increased by leveling the land with laser controlled equipment.

² Corrections made as per end-of-project evaluation.

49

C. Other Accomplishments and Overall Status

The Mission completed the following major activities planned for the semester: amendment of the bilateral agreement with the GODR to reflect reobligation of project funds as a HB-3 Grant; amendment of the technical assistance contract with USU which allowed for only one long-term advisor until PACD; issuance of a bill for collection to INDRHI (amount deducted from last INDRHI's reimbursement request) and closure of RIG audit recommendation; and completion of a standard end-of-project evaluation.

The evaluator concluded that both the project purpose and the project goal "to develop the human resources and the institutional conditions necessary for increased productivity in irrigated agriculture" have been achieved. In his opinion, because of a weak marketing structure for agricultural commodities and a deficient production credit, the goal "to increase the income and standard of living of Dominican farmers by increasing their productivity" is outside the project's influence. However, he recognizes that farmers directly associated with the project and those who will be reached as the project concept is replicated nationwide will be more productive.

With the assistance of the USU drainage advisor and project personnel, INDRHI continued efforts to replicate the project throughout the nation. INDRHI 1993 budget of nearly US\$1 million is considered a significant support for the project after PACD, and will allow the turn over of 29 irrigation systems (417,439 areas = 26,300 hectares) to 7,261 farmers organized in water user associations. To support these plans, INDRHI recently inaugurated the National Center for Training in Irrigation and Drainage at Bani, which will be an excellent means for disseminating the lessons learned under the project to larger audiences.

The Water User Associations, in their role of representing farmer interests to attain their objectives and goals, have grown in maturity and initiatives. They are recognized by national organizations, PVOs and donor organizations as the natural means for channeling resources and services to associated farmers. Examples: the Agricultural Bank extended emergency credit through the YSURA; the Peace Corps Public Health units make community contacts through the Associations; and TA donors from Germany and Taiwan discovered that the Associations make it easier for them to extend their services to farmers who can make the best use of their assistance.

Women in Development

Many of the water users are women who directly manage their own farming operations. Nearly all the women in the project areas participate in the production cycle, especially planting and harvesting. Women also dominate informal production and marketing activities which provide an important source of income to farming families.

E. Problems and Delays

No significant problems and delays occurred during the period.

F. Major Activities or Corrective Actions During the Next Six Months

The Mission will complete standard close out of all project activities, including the PACR.

STRATEGIC OBJECTIVE NO. 4
Participatory Democratic Reform

IA. BACKGROUND DATA

Project Title: Democratic Initiatives
 Project Number: 517-0265
 Date of Authorization: original 03/11/92 amendment 00/00/00
 Date of Obligation: original 03/12/92 amendment 03/31/93
 PACD: original 03/11/2002 amendment 00/00/00
 Implementing Agencies: Pontificia Universidad Católica Madre Y Maestra (PUCMM)
 Major Contractors: None
 AID Project Manager: Manuel Ortega/Thomas F. Miller
 Status of CPs/Covenants: None
 Date of Last Evaluation: 00/00/00 Next Evaluation: June/Dec. 1994
 Planned Number of Non-Federal Audits during Fiscal Year: 1
 The Number of such Audits Contracted for/Completed: 0
 Date of Last Audit: 00/00/00 Next Audit: August 1993
 (Recipient Contract Audit)

1B. FINANCIAL DATA

Amount Authorized:	DA/Grant: original	\$ 9,000,000	amended to \$N/A
Amount Obligated:	DA/Grant: original	\$ 1,000,000	amended to \$1,600,000
Amount Committed:	Period:	\$ 600,000	
	Cumulative:	\$ 1,600,000	
Accrued Expenditures:	Period - Projected:	\$ 177,600	
	Period - Actual:	\$ (84,381)	
	Cumulative:	\$ 71,233	
	Period - Next:	\$ 422,400	
Counterpart			
Contribution:	Planned:	\$ 3,000,000	
	Actual:	\$ 0	
% LOP Elapsed:		10%	
% of Total Auth. Oblig.		18%	
% of Total Oblig. Exp.		5%	
% of Total Auth. Exp.		1%	

IIA. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES

Specific Linkage to Strategic Objectives: This project will directly contribute to Mission Strategic Objective No. 4 "Participatory Democratic Reform" by helping strengthen and expand citizen participation in the political process as well as their involvement in the promotion of democratic reforms.

Percent of LOP Funds Relating to Strategic Objective IV: 100%

IIB. PROJECT PURPOSE

To strengthen the democratic process in the Dominican Republic by promoting a more dynamic democratic culture; facilitating and encouraging involvement by citizens in the political process; and enhancing governmental efficiency and impartiality.

III. PROJECT DESCRIPTION

The project will support initiatives presented by Dominican NGOs and other private sector institutions to strengthen the democratic system. It will have a flexible design enabling it to adapt to Dominican priorities as the national political situation evolves during the decade. The project was designed through the collaboration of a widely representative group of Dominicans and will be administered by PUCMM, a private Dominican University. The project will focus on two priority areas: an ongoing program of education about democracy at all levels of the Dominican society to promote wider citizen participation in the political process; and a series of initiatives in support of reform and modernization of the political system in such priority areas as the electoral system, the civil service, and the judiciary.

IV. PROJECT STATUS

	<u>Planned EOPS</u>	<u>Progress To Date</u>
1.	Wide acceptance by citizens, intermediate groups, parties and leaders of basic democratic values and attitudes.	
2.	Increased percentage of citizens well informed about the political system, confident of their roles in it, and actively participating in shaping their daily lives.	
3.	A more fair and effective civil service system in operation.	
4.	Improved public services at national and local levels.	
5.	A government more open to people's expressed needs.	

52

6. Effective mechanism in place providing opportunities to influence the political decision making process.
7. Greater participation of women in the political process.

NOTE: Between Nov. 1992 and March 1993, a research program to assess project impact was designed by PUCMM and USAID. The program consists mainly of one baseline survey (May-Dec. 1993) and two periodic surveys (1997 and 2001). Through these mechanisms, data will be available to measure progress toward the achievement of the above-mentioned Planned EOPS and of Major Outputs, numbers 1,4 and 5, below.

B. <u>Major Outputs</u>	<u>Planned</u>				<u>Accomplished</u>		
	LOP	Period	Cum.	Next Period	Period	Cum.	% of LOP
1. A core group of NGOs and other public interest organizations as a major force in shaping changes in public opinion and the political process.							
2. Institutional development:							
a. Operational Unit (OU) established	1	1	1	0	1	1	100
b. Consultative Council (CC) appointed	1	1	1	0	1	1	100
c. Selection Committee appointed	1	1	1	0	1	1	100
3. Core instrumental activities:							
a. Inventory of social and political institutions*	1	1	1	0	0	0	0
b. Research program surveys conducted**	5	1	1	0	0	0	0
c. Democratic forums for consensus building ***	3	0	0	0	0	0	0
4. Improved citizens knowledge, attitudes and participation in the democratic political process through a program of education on democracy at all levels of Dominican society.							
5. State reforms, for illustrative purposes only, such as a more open and fair electoral system and process, a stronger and more independent judiciary, and the implementation of the Civil Service Law.							
6. Sub-grants awarded	75	3	3	5	0	0	0

53

C. Other Accomplishments and Overall Status

1. PUCMM's OU and the CC promoted the project among NGOs and the larger society through frequent meetings with NGOs, a press conference in Nov. 1992, and 8 press releases.
2. For financial reasons, instead of contracting for the compilation of an inventory of Dominican institutions with interest in the DI field, the project has under consideration obtaining this type of information from NGOs and other institutions submitting proposals for sub-grants.
3. As stated above, the design of the research program to assess project impact was finalized between Nov. 1992 and March 1993. For financial reasons, the number of surveys under this program is being reduced from five to three. A competition was convened among Dominican research institutions. Best and final proposals are being evaluated at the present time.
4. During this period, PUCMM's OU reviewed 30 "concept papers" submitted to the project by NGOs. So far, six of them have progressed to the stage of "definitive proposals". None of them, however, has yet fully complied with the requirements to be approved by the CC and USAID
5. During a half-day retreat, the CC evaluated the project's performance during its first year of existence. Lessons were drawn and recommendations made to PUCMM and USAID. CC members recommended the following priority areas for 1993: democratic education, the electoral process, and institutional reforms.
- 2) Women in development: The project seeks to open the political process to greater and more equitable involvement from all sectors of society. Women, who, as a group, have not been fully included in that process, should benefit disproportionately. Consequently, gender-specific considerations are required in sub-grant proposals, including beneficiary reporting by gender.

D. Problems and Delays

So far, all Dominican institutions, large and small alike, that applied for support from the project have experienced serious difficulties in designing proposals that are both technically and financially sound. In spite of OU's best efforts, this circumstance has delayed, beyond expectations, a speedy development of their "concept papers" into "definitive proposals" ready to be submitted by the OU, with a favorable recommendation of the Selection Committee, to the CC and USAID for approval.

E. Major Activities or Corrective Actions During the Next Six Months

1. Corrective measures will be taken by PUCMM to expedite the design of technically and financial sound "definitive proposals" by Dominican institutions. The OU will be strengthened in order to provide hands-on TA to the proposing institutions, as needed.
2. Five initiatives in democratic education, the electoral process, and/or institutional reform will be approved and initiated.
3. The baseline survey to better understand Dominican democracy and to assess the impact of the project will be initiated.
4. A public media campaign will be designed and initiated to promote the project and to disseminate a public education message on participatory democracy.
5. According to its internal regulations, one-third of the CC membership will rotate to ensure wider representativeness.
6. The first project audit, originally scheduled for March 1993, will be conducted in August 1993 in order to coincide with PUCMM's regular annual audit.

*See C. Other Accomplishments and Overall Status, number 2, below.

**See C. Other Accomplishment and Overall Statues, number 3, below.

***The "democratic forums", instead of being a "core instrumental activity" under the responsibility of the OU, will be financed as sub-grants under the project.

LOCAL CURRENCY PROJECT

IA. BACKGROUND DATA

Project Title:	Integrated Pest Management		
Project Number:	2.07/B		
Date of Authorization:	original 07/26/90	amendment	
Date of Obligation:	original	amendment	
PACD:	original 06/30/95	amendment	
Implementing Agencies:	Dominican Agribusiness Council (JAD) Fundación de Desarrollo Agropecuario (FDA) Secretaría de Estado de Agricultura (SEA)		
AID Project Manager:	Kenneth B. Wiegand		
Status of CPs/Covenants:	N/A		
Date of Last Evaluation:	00/00/00	Next Evaluation:	00/00/00
Planned Number of Non-Federal Audits during Fiscal Year:	2		
The Number of such Audits Contracted for/Completed:	1		
Date of Last Audit:	03/02/93	Next Audit:	07/01/93

IB. FINANCIAL DATA

Amount Programmed:	LC Grant: original	RD\$7,500,000	Amended to: \$
Amount Approved:	LC Grant: original	RD\$7,500,000	Amended to: \$
Amount Released:	Period:	RD\$ - 0 -	
	Cumulative:	RD\$4,354,529	
Accrued Expenditures:	Period - Projected:	RD\$1,300,000	
	Period - Actual:	RD\$1,001,414	
	Cumulative:	RD\$4,374,367	
	Period - Next:	RD\$1,200,000	
Counterpart Contribution			
(SEA, FDA, JAD) Planned:		RD\$8,352,448	
	Actual:	RD\$2,456,595	
% LOP Elapsed: (38/60 mos)	63%		
% of Total Authorization Obligated	100%		
% of Total Obligation Expended	58%		
% of Total Released: (RD\$4,354,429)	58%		

IIA. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES

Specific Linkage to Strategic Objectives:

The Project directs itself to the Mission's objective of increased and diversified external trade.

Percent of LOP Funds Relating to Strategic Objectives: 100%

IIB. PROJECT PURPOSE

To establish a self-sustaining, integrated pest management (IPM) program nationwide, with an emphasis on applied research and extension activities, to enable increases in agricultural production and productivity.

III. PROJECT DESCRIPTION

The five year program will establish four regional IPM Centers to undertake laboratory and field research, farm trials and demonstrations, and training activities among technicians, producers and farm workers, concentrating upon insect pests and related plant diseases which are of immediate concern to producers. Each Center will establish its own research facility (or coordinate with existing facilities, e.g., USAID, ISA). Initial research will focus upon (a) analyses of regional IPM status and needs, (b) the production and release of existing beneficial insects and increased protection for natural pest controls, (c) analysis and introduction of biological control agents useful in reducing white fly, thrips and other pest infestations, and (d) determination and dissemination of safer and less environmentally degrading chemical pesticides. Training will concentrate upon safe utilization of pest reduction agents and the benefits of protecting natural pest predators. The program is funded via Local Currency grants of RD\$2,054,758 (from ESF/517-0255) and RD\$5,445,242 (from Section 416-FY87), and contributions from the following entities: SEA RD\$2,750,000; ADF RD\$3,500,000; JAD Members RD\$2,282,548.

IV. PROJECT STATUS

A. Planned EOPS

B. Progress To Date

- | | |
|--|--|
| <p>1. Four regional IPM Centers operating under a locally organized board of directors composed of agricultural producers, businessmen and concerned citizens, working under the overall coordination of a national council. The national council, charged with coordinating the program nationwide, will include representatives of SEA, ADF, and JAD.</p> <p>2. The program shall be administered by JAD.</p> <p>3. All research activities shall be coordinated through ADF.</p> <p>4. Regulatory, and available technical and material resources shall be provided by SEA.</p> | <p>The fourth regional center has been established in Hato Mayor. Validation plots have been installed in Azua, San José de Ocoa, Constanza, Navarrete and Cerro Gordo.</p> <p>Administration fully integrated into JAD.</p> <p>All research proposals are reviewed and many partially funded by the ADF. Research plots have been established in San Juan, Azua, La Vega and Moca.</p> <p>Regulations promulgated, and technicians and vehicles have been assigned.</p> |
|--|--|

C. Major Outputs

		<u>Planned</u>				<u>Accomplished</u>		
		<u>LOP</u>	<u>Period</u>	<u>Cum.</u>	<u>Next Period</u>	<u>Period</u>	<u>Cum.</u>	<u>% of LOP</u>
1.	Regional IPM Centers	4	1	4	0	1	4	100
2.	Training/Tech Assistance for:							
	Technicians	800	150	750	50	53	1239	155
	Producers	500	50	250	50	69	2069	414
	Farm Workers	3,000	500	2200	500	244	1733	87
3.	Research - Number of Projects	200	10	110	10	10	108	54

D. Other Accomplishments and Overall Status

The project continues on schedule. Special recognition of the MIP program efforts to reduce insect damage on the Cibao's sweet potato crop was provided by the International Potato Center's General Director. The program is based on the attraction of pest insects to pheromones; pesticide applications on participating farms have been totally eliminated. In those areas affected by white fly and tomato virus where the program has been vigorously adopted, production levels are trending upwards again, after an 18 month hiatus in production of industrial tomatoes. The Eastern Regional Center is now in operation in Hato Mayor.

E. Problems and Delays

No significant problems or delays have been experienced.

F. Major Activities or Corrective Actions During the Next Six Months

The program will continue to be expanded throughout the Regional Centers, and additional facilities will be prepared to breed pest insect predators.

III. FOOD AID

IA. BACKGROUND DATA

Project Title: PL-480 Title II
 Project Number: N/A
 Date of Authorization: original N/A amendment N/A
 Date of Obligation: original N/A amendment N/A
 PACD: original N/A amendment N/A
 Implementing Agencies: Secretariat of State for Public Health and Social Assistance (SESPAS) and Secretariat of State for Education (SEEBAC)
 Major Contractors: CARE Dominicana (Cooperating Sponsor)
 AID Project Manager: Sarah George and John H. Thomas
 Status of CPs/Convenants: NA
 Date of Last Evaluation: 1983 Next Evaluation: 4/94
 Planned Number of Non-Federal Audits during Fiscal Year: 0
 The Number of such Audits Contracted for/Completed: 0
 Date of Last Audit: 1983 Next Audit: 5/93

1B. FINANCIAL DATA

Total Program Value:
 Commodities: Regular Program \$1.9 million
 Monetization 1/
 Transportation \$0.6 million
 Counterpart Contribution^{2/} Planned RD\$275,825
 Actual RD\$137,912

Tonnage Amount Authorized: 4,689 MT
 Balance in stock at the beginning of period: 2,241 MT
 Call Forward Status:
 1st. Q: 1,010 MT Date of Arrival: 2/93
 2nd. Q: 950 MT " " " 3/93 3/
 3rd. Q: MT " " "
 4th. Q: MT
 Amount Received (MT) :
 Period : 1,573 4/
 Cummulative (FY) : 1,573
 Tonnage Distributed :
 Period : 1,548
 Cummulative (FY) : 1,548
 Balance in stock by the end of period : 2,266
 Project amount to be called forward next period : 1,824

- 1/ FY 92 monetization proceeds are covering FY 93 operational costs. No need for FY 93 monetization was identified.
2/ MOH and MOE contributions. No local currency was programmed for FY 93.
3/ 800 MTS still at Haina Port.
4/ 463 MTS correspond to FY 93 4th. call forward which arrived in-country in early October.

IIA. RELATIONSHIP TO MISSION STRATEGIC OBJECTIVES

Specific Linkage to Strategic Objectives:
 The project supports the Mission's Strategic Objective No. 2 "to increase socio-economic participation of lower-income groups" by promoting health education activities to lower income mothers to empower them to prevent the causes of their children's poor health and by increasing primary school enrollment and attendance and improving academic performance of rural poor children.

Percent of LOP Funds Relating to Strategic Objectives II: 100%

IIB. PROJECT PURPOSE

- 2.1 Maternal Child Health (PROMI) To empower mothers to understand and prevent the causes of their children's poor health in order to improve the health status of children under three years old.
 2.2 Nutrition Recovery Center Project (PROCERN) To recuperate acutely malnourished children on a permanent and timely basis.
 2.3 Pre-School Program (CREZCA) To teach mothers of pre-school children basic health issues in order to reduce morbidity in children between three and six years old.
 2.4 School and Other Institutional Feeding Program (DEI) To increase the number of children who attend school regularly and complete their primary school studies, and to enhance learning capability.

III. PROJECT DESCRIPTION

PROMI is a health education project, concentrating on the areas of intrafamily food distribution, low birth weight, breastfeeding and weaning practices and the prevalence of infectious diseases. The project operates out of several clinics and also contains a food supplementation component for the most needy cases.

PROCERN is seen as complementary to PROMI. This project functions through government nutritional recuperation centers.

CREZCA operates through the non-formal government pre-schools providing a daily cooked meal to children aged between 3 and 6. Children attending and their mothers receive health education.

DEI targets the 10 westernmost provinces of the DR. A cooked meal is served on a daily basis to all students, to improve attendance rates and academic performance.

CREZCA and DEI have been merged to contain same operational objectives. Both projects are to be phased-out in February of 1994.

IV. PROJECT STATUS

A/B EOPS/Outputs

1. Beneficiaries	Targets for FY-93	Planned this Period	Actual this Period	Average for FY-93	% of FY-93 Targets	Planned next Period
1.1 PROMI/PROCERN	25,600	20,347	16,815	16,815	66%	18,000
1.2 CREZCA	20,000	12,052	9,332	9,332	47%	7,500
1.3 DEI	80,000	79,673	63,119	63,119	79%	67,500

2. Commodities Importation	Approved for FY-93	Projected this Period	Actual/Cumulative this Period	Average for FY-93	% of FY-93 Targets	Planned next Period
2.1 Cornmeal	-0-	-0-	-0-	-0-	-0-	-0-
2.2 Bulgur	1,378	1,110	810	810	59%	283
2.3 Beans	1,523	700	887	887	58%	523
2.4 Vegoil	310	50	150	150	48%	110
2.5 Rice	1,478	100	150	150	10%	908
2.6 Soybean Meal	-0-					0

1/Still at Port 100 MTS bulgur; 400 MTS beans; 150 MTS rice and 150 MTS vegoil.

C. Other Accomplishments and Overall Status

The Mission and CARE have jointly identified alternatives for utilizing the Title II resources made available by the joint decision to terminate the School Feeding Program. Beginning in FY 94 the program will consist of four activities: maternal-child health, including family planning activities; urban development through a food-for-work project; a school sanitation and hygiene project; and, a disaster response planning project. A new MYOP for the period FY 94-96 has been submitted by CARE Dominicana and is being reviewed by the Mission.

PROMI is now functioning in 136 rural clinics and in 15 communities where clinics are distant. During this period training was the major activity within PROMI. CARE's field extensionists participated in a refresher course and a skills transfer workshop on family planning. In addition, 20 MOH nurses received an update course on family planning technology. One thousand and seven hundred participant mothers were trained in PROMI norms, growth monitoring, and food distribution, to instigate more active participation as well as to take on simple administrative responsibilities within the program. Approximately 2,000 one-to-one nutritional counselling sessions with mothers of undernourished children were conducted.

An agreement was signed between CARE and PROFAMILIA for the provision of technical assistance and training in family planning. Full-scale implementation of FP activities is scheduled for May, 1993.

D. Women in Development

The Title II program benefits low income women. The Maternal Child-Health project aims to empower mothers to prevent the causes of their children's poor health. Moreover, roughly 50% of beneficiaries of supplementary food are pregnant and lactating women. Likewise, approximately 50% of the students receiving supplementary food under the School Meal Program are female.

E. Problems and Delays

The signing of an agreement between CARE and the MOH Family Planning Council, CONAPOFA, for the implementation of the FP activities in the rural clinics was planned for early in this period. Although several meetings have been held, the contract has yet to be signed.

The MOE has requested that the Mission extend the termination date for the DEI project until they start receiving support for a similar project from the World Food Program. The Mission is considering an eight month extension which depends on the availability of FY 93 commodities for the extension. This may delay the start of the proposed new activities.

Due to long-standing problems related to the GODR's inability to adequately staff nutrition recuperation centers, the decision was taken to close out all these centers. By January, 1993 distribution to these centers was discontinued.

Activities related to transferring the School Feeding Program to MOE continued as planned during this period.

F. Major activities during the Next Six Months

1. Approval of the MYOP for the period FY 94-96
2. Audit to the CARE Title II program
3. Decision on the requested extension of the termination date for the School Feeding Program.

**IV. CENTRALLY AND REGIONALLY
FUNDED PROJECTS**

IV. CENTRALLY AND REGIONALLY FUNDED PROJECT

A. Category "A" Projects: Support USAID/DR Strategic Objectives and Can Be Managed by Mission

Strategic Objective No.1 : Increased and Diversified External Trade

- I) 1. **Project Title and Number:** Private Sector Energy Development, No. 936-5738
2. **Funding level:** USAID/DR -- \$250,000 FY 93
3. **PACD:** 9/30/96
4. **Project Purpose:** To develop and implement an optimal USAID strategy on electrical energy supply and distribution in the DR.
5. **Nature and Extent of Mission Management:** Short-term technical assistance work performed under the Buy-in will be managed by TIO.
6. **Project Rating:** "A"

Strategic Objective No.2: Increased Socio Economic Participation of the Lower Income Group

- I) 1. **Project Title and Number:** Association for Voluntary Surgical Contraception, No. 936-3049
2. **Funding level:** USAID/DR -- Buy-in FY 92 \$100,000
3. **PACD:** 8/93
4. **Project Purpose:** To Assist PROFAMILIA and the Secretariat of Health in establishing a national training center for female sterilization and other clinical family planning.
5. **Nature and Extent of Mission Management:** Population PSC visits site once every several months; progress is reported at regular meetings with PROFAMILIA; PSC -- one-half day per month; USDH -- one-half day per year.
6. **Project Rating:** "A"
- II) 1. **Project Title and Number:** Family Planning Logistics Management, No. 936-3038
2. **Funding level:** Core -- \$15,000 per year

3. **PACD:** 8/96
 4. **Project Purpose:** To assist the USAID/DR in planning and ordering contraceptive supplies for all projects, including Family Planning, Child Survival, and AIDS Prevention.
 5. **Nature and Extent of Mission Management:** Arrange itineraries for each semi-annual trip and meeting with Population PSC and Deputy GDO. Population PSC prepares annual contraceptive order cable. PSC -- one day per semi-annual visit.
 6. **Project Rating:** "A"
- III)
1. **Project Title and Number:** Education and Human Resources Technical Support, 598-0659
 2. **Funding level:** Core -- FY 92 \$35,000
 3. **PACD:** 8/17/94
 4. **Project Purpose:** Promote Cross-Fertilization of information and support LAC Missions that are involved in basic education, management education, vocational education, and participant training.
 5. **Nature and Extent of Mission Management:** Mission purchases TA as required. Current Buy-in is for Impact Evaluation of Development Training Project. USDH -- two days per year.
 6. **Project Rating:** "A"
- IV)
1. **Project Title and Number:** AIDS Control and Prevention AIDSCAP, 936-5972,
 2. **Funding level:** USAID/DR -- OYB transfer \$850,000
 3. **PACD:** 9/96
 4. **Project Purpose:** To reduce the rate of sexually transmitted HIV infection.
 5. **Nature and Extent of Mission Management:** Currently, USAID/DR is treating AIDS activities as a separate project, with the Senior FSN in health as a project manager, 2 1/2 days per week. An AIDSCAP country advisor is responsible for day-to-day management. USDH -- 2 1/2 days per month.
 6. **Project Rating:** "A"

- V) 1. **Project Title and Number:** Contraceptive Social Marketing II, 936-3051.
2. **Funding level:** USAID/DR -- Buy-in FY 92 \$100,000
3. **PACD:** 9/93
4. **Project Purpose:** To Assist PROFAMILIA in adding new contraceptive product line, to give consumers more choices and to enhance PROFAMILIA sustainability.
5. **Nature and Extent of Mission Management:** Regular visits to Social Marketing Manager at PROFAMILIA and to pharmacies (sales point); PSC -- one-half day per month; USDH -- one-half day per month.
6. **Project Rating:** "A"
- VI) 1. **Project Title and Number:** LAC Accelerated Immunization Phase II, No. 598-0786
2. **Funding level:** USAID/DR -- OYB transfer \$400,000
3. **PACD:** 7/31/96
4. **Project Purpose:** To increase the percentage of immunizations among children up to age 5 in order to reduce infant and child mortality.
5. **Nature and Extent of Mission Management:** The participation of the USAID/ Dominican Mission in this activity is limited to participation in the Inter-Agency Coordination Committee (ICC) consisting of international donors and the Dominican Secretariat of Public Health (SESPAS). The ICC meets once monthly to review progress reports, approve annual action plans, effect annual program reviews, and advise on necessary program improvements and strategies. Involvement also includes occasionally mediating problem resolution among donor representatives and host-country representatives. PSC -- 2 days per month; USDH -- 2 days per month. All financial reporting will be managed and monitored by LAC/DR/HPN.
6. **Project Rating:** "B"
- VII) 1. **Project Title and Number:** Learning Technology for Basic Education, No. 936-5818.
2. **Funding level:** Various annually (Core) LOP funding is \$6.373 million

3. **PACD:** 9/28/94
4. **Project Purpose:** Support Mission PIPE Project by providing radios to selected schools participating in the PIPE Project and by broadcasting daily math lessons to the schools. (general purpose: Supports Ministries of Education and other organizations in developing countries in the appropriate use of technologies to address critical needs in primary school teacher training and out-of-school learning for youth and adults.)
5. **Nature and Extent of Mission Management:** Debriefing and oversight.
6. **Project Rating:** "A"

VIII) 1. **Project Title and Number:** Cooperative Association of States for Scholarships, No. 598-0661

2. **Funding Level:** Various annually (Core)
3. **PACD:** 9/30/98
4. **Project Purpose:** Provide long-term training in basic academic and work related areas for socially and economically disadvantaged young people of Central American and the Caribbean with high academic scores and leadership potential.
5. **Nature and extent of Mission Management:** Assist in Selection process and orientation. Obtain J-1 visas.
6. **Project Rating:**

IX) 1. **Project Title and Number:** Breastfeeding Maternal and Neonatal Health, No. 936-5966.05

2. **Funding Level:** N.A.
3. **PACD:** 9/30/98
4. **Project Purpose:** Assist in Strategy formulation, policy dialogue, IEC, monitoring and evaluation of breastfeeding promotion to implementing agencies.
5. **Nature and extent of Mission Management:** Short-term technical assistance will be managed by GDO.
6. **Project Rating:** "A"

- X) 1. **Project Title and Number:** Title XII Bean/Cowpea Collaborative Research support Program (CRSP) No. DAN - 1310-G-SS-6008-00. [This project has been included as a category "A" project only for the purpose of providing country clearance.
2. **Funding Level:** US\$1,082,800 (LOP)
3. **PACD:** 4/26/97
4. **Project Purpose:** Assist identified countries to address problems of famine on bean/cowpea production and utilization as relates to the identified needs of small farmers. This goal was in support of U.S. Government commitment to famine prevention and freedom from hunger under the foreign assistance act.
5. **Nature and extent of Mission Management:** Occasional Mission clearance of CRSP/USA administrative and/or technical TDY's. No other Mission assistance required.
6. **Project Rating:** "A"
- XI) 1. **Project Title and Number:** Caribbean Disaster Mitigation Project
2. **Funding Level:** RHUDO/CAR US\$5.575 million (CORE)
3. **PACD:** FY98
4. **Project Purpose:** To establish sustainable Private/Public mechanism for disaster mitigation which measurably lessen the loss of life and physical and economic damage and reduce the length of disaster recovery.
5. **Nature and extent of Mission Management:** Project will be managed by RHUDO regional office from Jamaica under a Cooperative Agreement with OAS. Mission management is limited to country clearance granted to visitors and coordination of activities with the Mission disaster officer.
6. **Project Rating:** "B"

Strategic Objective No.3 : Increased Availability of Water Needed for Sustained Economic Development

- I) 1. **Project Title and Number:** Parks in Peril Project
No. 598-0782
2. **Funding level:** Core -- \$50,000 per year
3. **PACD:** 1996
4. **Project Purpose:** Develop adequate on-site protection for 40 critically threatened Latin American and Caribbean parks and reserves that have global biological significance.
5. **Nature and extent of Mission Management:** Mission clearance of occasional TDY (PIP maintains a local Dominican coordinator through the Nature Conservancy). FSN -- one day per quarter; USDH -- one day per quarter.
6. **Project Rating:** "A"
- II) 1. **Project Title and Number:** Environmental and Natural Resources Policy and Training Project (EPAT)
No. 936-5555.
2. **Funding level:** Core -- \$40,000 (est.); USAID/DR -- Buy-In \$40,000 (est.)
3. **PACD:** 2001
4. **Project Purpose:** Advance recognition by developing country policy makers of the linkages between economic policy and environmentally sustainable development, and to assure that they have the technical resources to develop policies which remedy pollution, achieve sustainable use of natural resources, and ensure equitable economic development.
5. **Nature and Extent of Mission Management:** Technical assistance on-call to USAID/DR as needed. To be used in FY 93.
6. **Project Rating:** "A"
- III) 1. **Project Title and Number:** Environmental Pollution Prevention Program (EP3) No. 936-5559.
2. **Funding level:** Core -- to be determined; USAID/DR -- Buy-in amount to be determined
3. **PACD:** 1997

4. **Project Purpose:** Provide technical field support in industrial pollution, prevention and control.
5. **Nature and Extent of Mission Management:** Technical assistance on-call to USAID/DR as needed. To be used in FY 93.
6. **Project Rating:** "A"

- IV) 1. **Project Title and Number:** Floresta FY 1992 Outreach Program; Cooperative Agreement No. FAO-0158-A-00-2057-00
2. **Funding level:** US\$85,500
 3. **PACD:** September 29, 1994
 4. **Project Purpose:** The goal of the program is to strengthen Floresta's ability to implement and evaluate programs by designing, implementing, and evaluating a complete marketing program for Floresta farmers' agroforestry products.
 5. **Nature and Extent of Mission Management:** Occasional Mission clearance of Floresta USA administrative and/or technical TDY's. No other Mission assistance required.
 6. **Project Rating:** "A"

Strategic Objective No. 4: Participatory Democratic Reform

- I) 1. **Project Title and Number:** Regional Administration of Justice, 598-0642
2. **Funding level:** Core -- Data not available; USAID/DR -- no contribution
 3. **PACD (best estimate, exact date unknown):** At least FY 97.
 4. **Project purpose (in-country portion of project only):**
Current in-country activities are:
 - a) Establishment of a computerized Criminal Justice Statistics for Court Management System.
 - b) Short-term, in-country and regional, training of judges and prosecutors.
 - c) Pilot Public Defenders Project

68

5. **Nature and Extent of Mission Management:** Technical supervision of in-country activities which requires approximately 5% of one PSC's time, one hour per month by USAID/DR Front Office, and minimal clerical time. No financial management is performed.
 6. **Project Rating:** "A"
- II)
1. **Project Title and Number:** Regional Legislative Management, 598-0770
 2. **Funding level:** Core Data NA; USAID/DR: No contribution
 3. **PACD:** FY 93
 4. **Project purpose (in-country portion of project only):** In Country activity: Short-term TA by FIU one consultant, to design a plan for the modernization of the Dominican Congress. Activity completed in September 1992.
 5. **Nature and Extent of Mission Management:** Technical supervision of in-country activities, including serving as liaison between FIU and the Dominican Congress, which requires approximately 5% of one PSC's time, one hour per month by USAID/DR Front Office, and minimal clerical time. No financial management.
 6. **Project Rating:** "A"
- III)
1. **Project Title and Number:** American Institute for Free Labor Development (AIFLD) Project No. 598-0790
 2. **Funding level:** Core -- \$250,000 yearly (est.)
 3. **PACD:** 1993
 4. **Project purpose (in-country portion of project only):** Assisting in the organized movement of independent, democratic labor unions which can effectively defend workers interests.
 5. **Nature and Extent of Mission Management:** USAID meets periodically with AIFLD personnel and coordinates project activities with other units of the Embassy. In conjunction with Embassy Mission team, provides country clearances, as appropriate. Requires roughly 1/2 day of A.I.D. USDH time. Embassy labor attache is more heavily involved and devotes some two or more days per month.
 6. **Project Rating:** "A"

B. Category "B" Projects: Support Strategic Objectives and are beyond USAID/DR capacity to manage

Project Titles and Numbers:

- Operations Research and Technical Assistance, 936-3030
- Expansion and Improvement of Family Planning Services in Latin America and the Caribbean, 936-3043
- Vitamin "A" Field Support Program, 936-5116
- Systems Approach to Regional Income and Sustainable Resources, 936-5452
- Forestry Support Program No. BST-5519.
- Mothercare, 936-5939
- World Vision Centrally Funded Grant, 936-5951.01
- Child Survival Action Program, 936-5951.13
- Caribbean and Latin American Scholarship Program, 597-0044
- ICITAP, 598-0644. Please note that ICITAP is managed by State/DOJ.
- Advance Training in Economics, 598-0774
- AID/Cooperative Agreement-Pan American Development Foundation, OTR-0158-0087-00
- Volunteers in Overseas Cooperative Assistance, Farmer to Farmer Program, PDC-000-CGS-6204
- University Development Linkages

C. Category "C" Projects: Do not support Strategic Objectives

- HBCU Research Grant (Texas A&M-Prairie View) Sustainable Agricultural Technologies (Title XII), No. 936-5053
- REACH, No. 936-5968
- PRITECH, No. 936-5969
- Latin America and Caribbean Agricultural and Rural Development Technical Services Project No. 598-0654
- RTAC II, No. 598-0791
- USAID/FVA Cooperative Agreement with ACD (APTLINK), No. OTR-0192-A-00-9052
- National Forest (USDA-FARSSA BST), No. R-AG-2188