

United States Agency for  
International Development (AID)  
Quito, Ecuador



PD-ABF-312  
8100  
Agencia de los Estados Unidos para  
el Desarrollo Internacional (AID)  
Quito, Ecuador

August 30, 1989

Mr. William S. Reese  
President  
National Association of the  
Partners of the Americas  
1424 K. Street, NW  
Washington, D. C. 20005

Subject: Grant 512-0616-G-SS-9003-00

Dear Mr. Reese:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as "A.I.D." or "Grantor") hereby grants to the Partners of the Americas (hereby referred to as "NAPA" or "Grantee"), the sum of \$473,000 to provide support for a program related to cooperation in science and technology, as described in the Schedule of this Grant and the Attachment 2, entitled "Program Description."

This Grant is effective and obligation of \$473,000 is made as of the date of this letter and shall apply to commitments made by the Grantee in furtherance of program objectives during the period beginning with the effective date and ending September 30, 1990.

This Grant is made to NAPA on condition that the funds will be administered in accordance with the terms and conditions as set forth in Attachment 1, entitled the "Schedule", Attachment 2, entitled "Program Description," and Attachment 3 entitled "Standard Provisions," which have been agreed to by your organization.

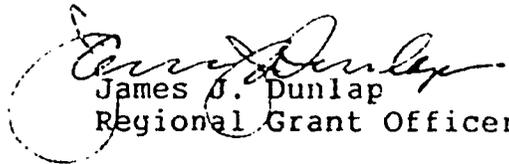
**DUPLICATE  
ORIGINAL**

INTERNATIONAL MAIL ADDRESS: U. S. AID Mission to Ecuador - c/o American Embassy - Quito, Ecuador  
U. S. MAIL ADDRESS: USAID Quito - APO Miami, FI 34039  
PHONE: 521 - 100

2

Please sign the original and each copy of this letter to acknowledge your receipt of the Grant. Keep one copy for NAPA, and send one to Mr. Helman in Brasilia. Return the original and all other copies to me in Quito, Ecuador.

Sincerely yours,

  
James J. Dunlap  
Regional Grant Officer

Attachments:

- 1. Schedule
- 2. Program Description
- 3. Standard Provisions

ACKNOWLEDGED:

NATIONAL ASSOCIATION OF THE PARTNERS OF THE AMERICAS

BY: Winfred B. Hill  
Signature

NAME: WINIFRED B. HILL

Title: DIRECTOR OF FINANCE + ADMINISTRATION

Date: September 1, 1989

FISCAL DATA

Appropriation:	72-1191021
Budget Plan Code:	(1) LDHA-89-25512-KG12
	(2) LDEA-89-25512-KG12
	(3) LDSA-89-25512-KG12
PIO/T No:	598-0616-512-03-90008
Project No:	598-0616.09
Total Estimated Amount:	\$473,000
Total Obligated Amount:	(1) \$93,000
	(2) \$250,000
	(3) \$130,000
Duns No.	040531808

SCHEDULE

A. Purpose of Grant

The purpose of this Grant is to provide support for a program related to cooperation in science and technology, as more specifically described in Attachment 2 to this Agreement entitled "Program Description."

B. Period of Grant

The effective date of this Grant is the signature date on the cover letter. The expiration date of this Grant is September 30, 1990.

C. Amount of Grant and Payment

1. The total estimated amount of this Grant for the period shown in B.1 above is \$623,000.
2. A.I.D. hereby obligates the amount of \$473,000 for program expenditures during the period set forth in B.2. above and as shown in the Grant Budget below.
3. Payment shall be made to the Recipient in accordance with procedures set forth in Attachment 3 Standard Provision No. 1, entitled "Payment - Letter of Credit."
4. Additional funds up to the total amount of the agreement shown in C.1 above may be obligated by A.I.D. subject to the availability of funds, and to the requirements of the Standard Provision of the Grant, entitled "Revision of Grant Budget."

D. Grant Budget

1. The following is the Grant Budget, including local cost financing items (local cost financing is authorized). Revisions to this budget shall be made in accordance with Standard Provision of this Grant, entitled "Revision of Grant Budget." The Grantee may adjust direct line item amounts as deemed necessary but the total obligated amount may not be exceeded.

GRANT BUDGET

	Obl. Total	\$ (000 omitted)		
		EHR	PSEE	HE
<b>Partners</b>				
1. University linkages	120	110		10
2. Seminars				
a. AIDS (Ed. & Prevention)	39	14		25
b. health educators (CAPES)	30			30
c. participant train. drug awareness				*84
Conservation Foundation (sub-grant)	158	49	109	
Fulbright Commission (sub-grant)	---	---	---	*25
Sub total	347	173	109	174
Partners Management Costs	<u>47</u>	<u>34</u>	<u>-0-</u>	<u>*31</u>
Sub total	394	207	109	205
Partners Indirect Cost	<u>79</u>	<u>43</u>	<u>21</u>	<u>*38</u>
Total Grant to Partners	473	250	130	* 243

- NOTES: \* These funds and the prorata management and indirect cost related thereto are not included in the initial \$473,000 obligation.
1. Partners' Management and indirect costs have been rounded to facilitate computation
  2. Partners' Management costs are approximately 20 percent of projects cost managed by them (i.e. University linkages, Seminars and Participant training)
  3. Partners' indirect costs are approximately 20 percent of all project costs, including sub-grants to Conservation Foundation and the Fulbright Commission.
  4. The budget has been laid out in this fashion to assist grantee and grantor in accounting for allocation of project cost to the appropriate funding source.

5. No management costs are charged for Conservation Foundation, but actual costs of auditing accounts will be allowed (estimated to be \$4,000). The budget estimates above provide margin to cover this cost.

E. Reporting

1. Financial Reporting

- a. Financial reporting requirements shall be in accordance with the Standard Provision of this grant entitled "Payment-Letter of Credit as shown in Attachment 3.

- b. The original and two copies of all financial reports shall be submitted to AID, Office of Financial Management, Program Accounting and Finance Division (FM/PAFD), Washington, D. C. 20523-3230. In addition, three copies of all financial reports shall be submitted to the AID Representative, Brasilia.

- c. In addition to the quarterly submission of the SF-269 report to M/FM/PAFD and to the AID Rep in Brazil, the Grantee shall also submit copies of (1) a cumulative detailed report of disbursements by Budget line item quarterly and (2) a Summary, by budget line item, of its projected disbursements for the next reporting period.

2. Program Performance Reporting

- a. The Grantee shall submit quarterly program performance reports, and a final report, which briefly presents the following information:

- (1) A comparison of actual accomplishments with the goals established for the period, including types of trainees placed consistent with the listed priority areas specified in the grant, number of participants in training, rate of implementation of all components and estimated expenditures under the program.

- (2) Between the required performance reporting dates, events may occur that have significant impact upon the program. In such instances, the Grantee shall inform AID as soon as the following types of conditions become known:

Problems, delays, or adverse conditions that will materially affect the ability to attain program objectives, or prevent the meeting of time schedules and goals or preclude the placement of selected participants or organizing and completing

required seminars within scheduled time frames. This disclosure shall be accompanied by a statement of the action taken, or contemplated, and any AID assistance needed to resolve the situation.

Favorable developments or events which enable required linkages to be established.

If any performance review conducted by the Grantee discloses the need for change in the budget estimates in accordance with the criteria established in the Standard Provision of this grant entitled "Revision of Grant Budget", the Grantee shall submit a request for budget revision.

Three copies of each program performance report shall be submitted to the office of the AID Representative, U.S. Embassy, Brasilia.

### 3. Special Reports

The Grantee shall provide special reports as requested by the AID Representative. All reports shall include detailed, pertinent information related to activities of the Fulbright Commission and the Conservation Foundation.

### F. Indirect Cost Rates

Pursuant to the Standard Provision of this grant entitled "Negotiated Indirect Cost Rates - Provisional," a rate or rates shall be established for each of the Grantee's accounting periods which apply to this grant. Pending establishment of revised provisional or final indirect cost rates for each of the Grantee's accounting periods which apply to this grant, provisional payments on account of allowable indirect costs shall be made on the basis of the following negotiated provisional rate(s) applied to the base(s) which are set forth below.

<u>Type</u>	<u>Rate</u>	<u>Base</u>	<u>Period</u>
1. G and A	19%	(A)	1/1/88 until
2. Fringe Benefits	19%	(B)	amended
(A) Total Direct Costs			
(B) Total Salaries			

8

G. Special Provisions

For purposes of this Grant the Grant Officer is understood to be either the Regional Contracting (Grant) Officer, currently in Quito, Ecuador, or the AID Representative for Brasilia.

H. Title to Property

Title to items purchased under this Grant shall vest in the Grantee.

Program Description

NAPA will conduct activities to foster the AID priority themes outlined above, including but not limited to:

- a. A health education seminar co-sponsored with CAPES in Brazil;
- b. A seminar on AIDS prevention and education in Brazil; some short term training of Brazilians in the U.S. related to AIDS;
- c. A seminar on Agro-forestry for 5-8 professionals in the U.S.;
- d. Continuation of the university linkages program;
- e. training for 2 participants in drug/narcotics awareness education in the U.S.; and
- f. a multi-faceted program of seminars, site visits, information dissemination and training related to agro-forestry environmental concern such as:
  - (1) stimulation and dissemination of agro-forestry policy recommendations to decisionmakers;
  - (2) encouragement of multiple use forest management in Acre state;
  - (3) education of leaders working on agro-forestry problems;
  - (4) promoting interchange about interaction of agro-forestry with other land uses, including agriculture, industry and urbanization.
- g. Other appropriate priority activities if the opportunity arises.

The proposed program, as currently envisioned, would have seven major components.

- 1) A seminar focused on AIDS prevention and education. The seminar would take place in the U.S. and have between eight and ten Brazilian health professionals participate for a period of from two to three weeks. The seminar would be jointly funded with A.I.D. funding the U.S. dollar costs of seminar while Partners would find a private source to finance the international travel costs of the participants.

- 2) A follow up seminar for health educators. The participants of the seminar would be pro-rectors (deans) of planning and/or international programs. The seminar would involve visits to at least two major U.S. universities, education associations in Washington and individual visits to other universities in Partners participant states. The seminar will focus on broad health issues but a portion will be on AIDS. The Ministry of Education's Coordinating Office for Higher Education (CAPES) will co-sponsor this seminar. A.I.D. will cover the U.S. dollars costs of the seminar and Partners will arrange for other financial assistance.
- 3) The University Linkages Program primarily supports faculty exchanges between U.S. and Brazilian universities. The priority technical areas in this grant program, are public health (AIDS education/prevention, child survival and maternal care), agro-forestry and environment. Some faculty exchanges will be in the secondary fields of education, management and science/technology. Additionally a few university administrators will travel to the U.S. to develop new linkage between universities not yet participating in the program. A.I.D. will fund the cost of air transportation while Partners, host institutions or other private sources provide lodging, board and local transportation. The number of exchange visits will be approximate 50.
- \* 4) Participant training in drug awareness education is a high priority for A.I.D. and Brazil. An estimated 5 to 8 participants will attend training in drug awareness education in the U.S. for periods varying from 3 to 6 months. The USAID contribution will be the U.S. dollar associated with travel and tuition while Partners arranges to cover the U.S. in-country costs (lodging, etc).
- \* 5) A further effort in addressing one of A.I.D. priorities will be a sub-grant from Partners to the Fulbright Commission to arrange for training of two professionals and/or scholars for approximately four months in the U.S. in drug awareness education. Under this sub-grant, A.I.D. funds will cover the cost of the training and housing while the Fulbright Commission will arrange to cover transportation costs and participant selection costs.
- \* Not funded in the initial obligation.

6) The sixth component to this program is a sub-grant to the Conservation Foundation (CF). The continued interest by AID in environmental issues has stimulated an increase in funding from the previous two years in activities implemented by the Conservation Foundation through a sub-grant from Partners. The elements of the program to be accomplished under that sub-grant are outlined below. These elements may be modified, or other elements introduced or substituted; however, prior approval from the AID Office of General Counsel will be necessary as regards compliance with Section 123(e) of the FAA as regards activities by Partners of the Americas in Brazil.

A. Stimulate and disseminate agro-forestry policy recommendations to decisionmakers.

Project Goal: Support the Pro-Nature Foundation in encouraging interchange of views among all the dissemination of policy recommendations to decisionmakers.

Activities: Organize a seminar following the presidential election. The seminar will afford discussion of environmental problems of tropical forest areas, the economic consequences of these problems and the potential for accomplishment of economically productive activities without environmental destruction. The seminar will be accompanied by a training program for selected leaders in techniques for innovative dispute resolution.

B. Encouragement of multiple-use forest management in Acre State

(1) Project Goal: Help the Acre Technical Foundation (FUNTAC) to implement an International Tropical Timber Organization funded project to develop a multiple use state forest in Acre as regards the formation of a "Consultative Group" for interchange among affected interest groups.

(1) Activity: Provide technical assistance to and facilitate meetings by representations of the logging industry, rubber tappers union, governmental agencies and no-governmental organizations so that they may discuss through the "Consultative Group" how plans, implementation and results will address local needs and incorporate local concerns. Core financing for the "Consultative Group" will not be provided.

Limitations on scope of USAID-funded activity: Support will be limited to meetings, workshops, information processing and dissemination.

(2) Project Goal: Promote the further development of extractive reserves for both rubber and timber.

(2) Activity: Organize training and workshops and provide short term technical expertise, to produce and disseminate information relevant to decisionmakers.

Limitations on scope of USAID-funded activity: Technical assistance will not exceed two months per consultant and will not be strung along by sequential contracts. Meetings, workshops and information exchange and dissemination will be the dominant activity.

(3) Project Goal: Further efforts by the Acre Environmental Institute (IMAC) to improve environmental legislation and to provide a legal framework for agroecological zoning and development planning for the state.

(3) Activity: Facilitate organization by IMAC of meetings with local populations and representative groups on proposed legislative initiatives. This will be complemented by site visits and exchanges and short term technical assistance to IMAC personnel.

Limitations on scope of USAID-funded activity: No money will be used to support the drafting of legislation, the promotion of specific promotion of specific legislation or the development of plans.

C. Conduct workshops and training on technical aspects of agro-forestry environment.

(1) Project Goal: To improve the quality of agro-forestry professionals education in Brazil, promote networking among agro-forestry experts and improve the transfer of information between scientists and decisionmakers.

(1) Activity: Support site visits, workshops and short courses. When appropriate, this work will be carried out in collaboration with the Brazilian agro-forestry network (REBRAF).

Limitations on scope of work of USAID-funded activity: Meetings, workshops and information exchange and dissemination will serve as the dominant activities. Technical assistance will not exceed two months per consultant and will not be strung along by sequential contracts. No money will be used to support the drafting of legislation, the promotion of specific legislation or the development of plans.

(2) Project Goal: Improve the capacity of Brazilian personnel and institutions to manage forest protected areas.

(2) Activity: Provide technical expertise and training in the management of promoted areas; design and implement environmental education programs which prepare persons to better manage forest protected areas.

Limitations on scope of USAID-funded activity: Meetings, workshops and information exchange and dissemination will serve as the dominant activities. Technical assistance will not exceed two months per consultant and will not be strung along by sequential contracts. No money will be used to support the drafting of legislation, the promotion of specific legislation or the development of plans.

(3) Project Goal: Improve the ability of technical experts and decision makers to identify land patterns of deforestation and to follow the process of land use transition of forested areas.

(3) Activity: Site visits, workshops and information exchange regarding the application of local, field-level remote sensing technology in forest management.

Limitations of scope of USAID-funded activity: Meetings, workshops and information exchange and dissemination will serve as the dominant activity. Technical assistance will not exceed two months per consultant and will not be strung along by sequential contracts. No money will be used to support the drafting of legislation, the promotion of specific legislation or the development of plans.

D. Promote interchange about interaction of agro-forestry with other land users, including agriculture, industry and urbanization.

(1) Project Goal: Ensure that regional planning in the Pantanal considers agriculture and industrial expansion in the headwater region of the Pantanal river basin and their relation to conservation of tropical forest resources and sustainable development.

(1) Activity: Follow up to the First International Congress on the Protection of the Pantanal: meetings and publications which communicate the Congress findings to decisionmakers and translate the recommendations to policy statements and legislation.

Limitations on scope of USAID-funded activity: No money will be used to support the drafting of legislation, the promotion of specific legislation or the development of plans.

(2) Project Goal: AS follow up to a case study on deforestation in Petropolis, promote policy changes to slow deforestation and encourage reforestation (Atlantic Coast forest zone).

(2) Activity: Carry out meetings and seminars in collaboration with the Environmental Movement for Petropolis and Environs (MAPAS) which communicates to decisionmakers the relationship between soils, forest vegetation and land use policies. In complement, training may be provided in land capability assessment technique.

Limitation on scope of USAID-funded activity: No money will be used to support original research, the drafting of legislation, the promotion of specific legislation or the development of plans.

A critical aspect of the legal acceptability of the activities outlined above is the integration and conformance with NAPA operations and methodology. A historic view of U.S. assistance to NAPA shows early and strong interest in environment, with Partners working more with educators, Government leaders potential trainees and industrial leaders than with activities reaching with the tropical forest regions. Note: NAPA does not have a sister state relationship involving Acre (although the idea has been discussed). Conservation Foundation provides the complementary reach, but also is working toward stronger links and direct

collaboration between NAPA and CF and its sister organization, World Wild Life Fund. NAPA affirms in its proposal that this will occur primarily in training, seminars site visits and exchange of technical personnel in both directions. An element, to be expanded, is closer linkage with NAPA's sister state partnerships. Growth of collaboration will be a benchmark for measuring performance of the grant as well as results/impact of individual activities.

**MANDATORY AND OPTIONAL STANDARD PROVISIONS**  
(Appendix 4C, AID Handbook 13)

The following Mandatory and Optional Standard Provisions for U.S., Non-Governmental Grantees in effect as of the effective date of this Grant are incorporated herein by reference with full force and effect as though fully set forth herein:

**A. Mandatory Standard Provisions**

- |  |                 |
|--|-----------------|
| 1. Allowable Costs   | (November 1985) |
| 2. Accounting, Audit, and Records                            | (January 1988)  |
| 3. Refunds   | (January 1988)  |
| 4. Revision of Grant Budget                                  | (November 1985) |
| 5. Termination and Suspension                                | (May 1986)      |
| 6. Disputes  | (March 1987)    |
| 7. Ineligible Countries                                      | (May 1986)      |
| 8. Debarment, Suspension and other<br>Responsibility Matters | (March 1989)    |
| 9. Nondiscrimination   | (May 1986)      |
| 10. U.S. Officials Not to Benefit                            | (November 1985) |
| 11. Nonliability   | (November 1985) |
| 12. Amendment  | (November 1985) |
| 13. Notices  | (November 1985) |

**B. Optional Standard Provisions**

- |   |                 |
|---|-----------------|
| 1. Payment - Letter of Credit                         | (November 1985) |
| 4. Air Travel and Transportation                      | (November 1985) |
| 6. Procurement of Goods and Services                  | (November 1985) |
| 7. A.I.D. Eligibility Rules for Goods<br>and Services | (November 1985) |
| 8. Subagreements                                      | (November 1985) |
| 9. Local Cost Financing                               | (November 1988) |
| 11. Publications                                      | (November 1985) |
| 13. Negotiated Indirect Cost Rates-<br>Provisional    | (May 1986)      |
| 15. Participant Training                              | (May 1986)      |
| 20. Title to and Use of Property<br>(Grantee Title)   | (November 1985) |
| 25. Conversion of U.S Dollars to Local<br>Currency    | (November 1985) |

18