

AGENCY FOR INTERNATIONAL DEVELOPMENT  
ANNUAL PERFORMANCE REPORT FOR  
HISTORICALLY BLACK COLLEGES AND UNIVERSITIES  
FISCAL YEAR 1986

United States International  
Development Cooperation Agency  
Agency for International Development  
Washington, DC 20523

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## INTRODUCTION AND SUMMARY

On September 15, 1981, President Reagan issued Executive Order 12320, establishing a federal program "designed to achieve increases in the participation of historically Black colleges and universities in federally sponsored programs." The Executive Order goes on to mandate an effort which is to be our primary focus, the stimulation of "initiatives by private sector businesses and institutions to strengthen historically Black colleges and universities."

Each year the Agency for International Development (A.I.D.) produces two documents required by the Executive Order. The Annual Federal Plan of Assistance to Historically Black Colleges and Universities (Annual Plan) outlines strategies the Agency intends to take in a given fiscal year to support HBCUs. The Annual Federal Performance Report on Actions to Assist Historically Black Colleges and Universities (Annual Report) indicates actual fiscal obligations to HBCUs in a given year and compares those obligations with the FY 1981 base year.

This fiscal year's 1986 A.I.D. Annual Report provides data on three areas: 1) Agency FY 1986 funding for Historically Black Colleges and Universities; 2) identification, reduction, and elimination of barriers which may have unfairly resulted in reduced participation and reduced benefit from federally sponsored programs; and 3) involvement of the private sector in strengthening the institutions.

### Summary of Accomplishments

Fiscal year 1986 was a year of continued achievement in furthering the objectives of Executive Order 12320 -- especially when perceived in the context of cumulative accomplishment over the years in which the Executive Order has been in effect. The table below shows the Agency's increasing obligations by fiscal year, since FY 1981, the base year reflecting operations before issuance of the Executive Order.

### FY 1981 - 1986

Millions

<u>FY 81</u>	<u>FY 82</u>	<u>FY 83</u>	<u>FY 84</u>	<u>FY 85</u>	<u>FY 86</u>
\$1.4	\$3.7	\$5.9	\$12.8	\$18.9	\$20.7

As we noted in last year's Report, these accomplishments are more remarkable in view of the decentralization of the Agency's decision-making process. A.I.D. projects and related activities are carried out in individual country missions by designing, developing and implementing local programs, in which the opinions and preferences of host countries have a very strong influence.

These accomplishments have been achieved by a variety of means, including:

1. Establishing new programs specifically for HBCUs;
2. Sensitizing our field missions and Washington offices to the goals outlined in the Executive Order and A.I.D. policies with respect to HBCUs;
3. And encouraging and establishing cooperative arrangements between HBCUs and other universities already engaged in A.I.D. programs.

The latter is expected to permit HBCUs to participate in ongoing project activities overseas, rather than relying only on new projects as a source of involvement. It also enables HBCUs to qualify for portions of larger programs for which they might not qualify by themselves. We are now moving with emphasis toward similarly improving collaboration in A.I.D. programs between the HBCUs and the U.S. private sector.

A.I.D. has continued to focus much of its attention on improving the infrastructure of those HBCUs which undertake functions on behalf of the Agency and on identifying, reducing, or eliminating barriers to HBCU participation in our programs.

The number and diversity of HBCU involvements in our programs discussed in the Annual Report are particularly noteworthy. They are highlighted below:

- Total obligations increased from \$18.91 million in FY 1985 to \$20.7 million in FY 1986.
- Total obligations for Institution Building, Technical Assistance, Research and Development decreased from \$15.21 million in FY 1985 to \$11.60 million in FY 1986.
- Total obligations for Participant Training in HBCUs \$3.71 million increased in FY 1985 to \$9.0 million in FY 1986. While the number of participants rose from 257 in FY 85 to 357 in FY 86, the magnitude of the change in obligation is mostly reflective of a significant difference in the methods used in calculating training costs.

- Not counted in the above FY 1986 obligation totals. A.I.D. has placed and managed, under the Reimbursable Training Programs 203 participants in nine HBCUs, for a total of \$1.379.
- Two programs were continued in FY 1986 to assist HBCUs develop an increased capacity to become involved in A.I.D. programs.
  - o Twelve Joint Memoranda of Understanding were negotiated and signed with 1890 land grant HBCUs and 1862 land grant universities; and
  - o Four joint Memoranda of Understanding were signed with HBCU medical school and major School of Public Health;
  - o Fifteen small research grants under a special HBCU program were made to 10 institutions.
- A Cooperative Agreement with the National Association for Equal Opportunity in Higher Education (NAFEO) continued for a third year to be a very effective force in improving A.I.D./HBCU mutual understanding and liaison.
- Ten HBCUs participated in A.I.D.'s HBCU research and development program under 15 distinct contracts and/or grants.

A.I.D. has developed many strategies, mechanisms, and policy adjustments to enhance the aggregate levels of involvement by HBCUs in A.I.D.'s programs. A partial listing of these initiatives, which are described in more detail later in this report, are provided below:

- mechanisms established for Joint Memoranda of Understanding between 1890 land grant HBCUs and 1862 land grant universities and HBCU medical schools and major medical schools of Public Health.
- elimination of the A.I.D. overseas experience as a factor in selecting a contractor for A.I.D. contracts;
- the maintenance of an internal A.I.D./HBCU Committee to coordinate the entire HBCU initiative.
- the modification of Participant Training Placement procedures to encourage the use of HBCUs by A.I.D. contractors.
- recommending modifications to the (FAR) to enable A.I.D. to limit some competitions to HBCUs.

FINAL PERFORMANCE REPORT FOR FISCAL YEAR 1986

WHITE HOUSE INITIATIVE ON HISTORICALLY BLACK COLLEGES AND UNIVERSITIES  
SUMMARY OF AGENCY PROJECTIONS FOR FUNDING IN GENERAL  
PROGRAM AREAS FOR FISCAL YEAR 1986

(ACTUAL DOLLAR AMOUNTS)

Agency Profile Data:

1. Name of Federal Agency U.S. Agency for International Development M. Peter McPherson  
Administrator

2. Name and Title of Agency Representative Curtis R. Jackson  
Director, Research and University Relations

3. Total Universe of Funds Available for Higher Education Institutions (HEIs) in FY 1985 \$155,000,000 (awarded)

A. Categories

Amounts Awarded in Fiscal Year 1986

(General Program Areas for Involvement by Historically Black Colleges and Universities)	1. Awards to HEIS	2. Awards to HBCUS	3. Awards to HBCUS as % of Total Awards to HEIs
1. Research and Development	\$100,000,000	\$ 11,702,000	11.7%
2. Program Evaluation			
3. Training			
4. Facilities and Equipment			
5. Fellowships, Traineeships, Recruitment and IPAs			
6. Student Tuition Assistance, Scholarships and Aid	55,000,000	\$9,000,000	16.3%
<b>TOTAL</b>	<b>\$155,000,000</b>	<b>\$20,702,000</b>	<b>13.3%</b>

Obligations FY 1986 (thousands)  
 Grants/Contracts to HBCUs and other Black Non Profits  
 Awarded during October 1, 1985 - September 30, 1986

<u>Institution</u>	<u>Institution Building (SG)<sup>1/</sup> (\$000)</u>	<u>Tech. Ass't, Research &amp; Dev. (\$000)</u>	<u>Participant Training (\$000)</u>	<u>Reimbursable Training (\$000)</u>
<u>Alabama A&amp;M</u>				
JMOU Agriculture <sup>2/</sup> CRSP <sup>3/</sup> Tropical Mixed Farming Subcontract with SECID	104	258  10	(22) 471	
<u>Arkansas Pine Bluff</u>				
JMOU Agriculture	104			
<u>Atlanta University</u>				
HBCU <sup>4/</sup> Research HBCU Research		100 7.2	(17) 415	
<u>Drew Medical School</u>				
JMOU Health Kenya Health Planning Swaziland Health Subcontract	130 29 429			
<u>Florida A&amp;M</u>				
JMOU Agriculture	104		(21) 418	
Program Development and (Support Cameroon)	250			(8) 100.3
<u>Port Valley State</u>				
JMOU Agriculture HBCU Research	104	64.9	(1) 1.7	
<u>Grambling</u>				
				(8) 9.0
<u>Howard University</u>				
JMOU Health HBCU Research Malawi Health Inst. Development	130  2,500	99.9	(48) 1,599	
			(5)	

<u>Institution</u>	<u>Institution Building (SG)</u> (S000)	<u>Tech. Ass't, Research &amp; Dev.</u> (S000)	<u>Participant Training</u> (S000)	<u>Reimbursable Training</u> (S000)
<u>Lincoln University MO</u>				
JMOU Agriculture	104		(3) 6.5	
				(19) 130.
<u>Univ. of Maryland ES</u>				
JMOU Agriculture	104		(13) 388.5	
Cameroon Tropical Roots/Tubers Research		3,795		
<u>Meharry Medical College</u>				
JMOU Health	130			
HBCU Research		100		
HBCU Research		98.3		
HBCU Research		88		
<u>Morehouse College</u>				
HBCU Research		89.5	(2) 76.0	
HBCU Research		96.5		
<u>Morehouse Sch/Medicine</u>				
JMOU Health	130			
<u>Morgan State</u>				
			(14) 483.0	
HBCU Research		99.48		
<u>Norfolk State Univ</u>				
			(1) 51.7	(66) 487.!
<u>North Carolina A&amp;T</u>				
JMOU Agriculture	104		(22) 583.4	
				(57) 301.:
<u>Prairie View A&amp;M</u>				
JMOU Agriculture	104			(37) 663.]
HBCU Research		90		
HBCU Research		10		
				(2) 16.£
<u>Philander Smith College</u>				
HBCU Research		82.7		
			(6)	

<u>Institution</u>	<u>Institution Building (SG) (\$000)</u>	<u>Tech. Ass't, Research &amp; Dev. (\$000)</u>	<u>Participant Training (\$000)</u>	<u>Reimbursable Training (\$000)</u>
<u>Southern University BR</u>				
JMOU Agriculture Jamaica Proj.	104		(7) 432.0	
<u>Tennessee A&amp;T</u>				
JMOU Agriculture	104		(9) 271.0	
Swaziland Cropping Systems Res. and Ext.		86.7		(4) 4.2
<u>Texas Southern</u>				
HBCU Research		404.1	(8) 351.0	
HBCU Research S&T/Education Bridges		76.9		
<u>Tuskegee</u>				
JMOU Agriculture	104		(58) 1,016	
HBCU Research		99.7		
HBCU Research		91.5		(26) 201.6
<u>Virginia State</u>				
JMOU Agriculture Research Gambia Subcontract with UW/BIPAD	-0-  24.7	  136	(4) 65.0	(13) 127.9
<u>NAFEO<sup>5</sup>/ Adm Support</u>				
	360			
<u>Int'l Science/Tech. Inst.</u>				
Adm Support	239			
Adm Support	163			
<u>Florida Memorial Univ.</u>				
South Af Alt. and Nonformal Education	550			

<u>Institution</u>	<u>Institution Building (SG)</u> (S000)	<u>Tech. Ass't, Research &amp; Dev.</u> (S000)	<u>Participant Training</u> (S000)	<u>Reimbursable Training</u> (S000)
(Only Participant Training Universities)				
Alcorn A&M University			(2) 71.0	
Benedict College			(1) 39.0	
Cheyney State College			(2) 84.0	
Clark College			(6) 197.0	
Delaware State College			(13) 137.0	
Dillard University			(2) 63.0	
Fisk University			(2) 68.0	
Hampton Institute			(2) 115.0	
Kentucky State University			(1) 62.0	
Livingston College			(1) 110.0	
Langston University			(1) 2.0	
Lemoyne Owen College			(1) 2.0	
Morris Brown College			(2) 44.0	
N. C. Central University			(3) 42.0	
Oakwood College			(4) 210.0	
Paul Quinn College			(2) 8.0	
Roxbury Comm. College			(1) 19.0	
Rust College			(1) 2.0	
Savannah State College			(2) 2.0	
Spelman College			(3) 91.0	
St. Augustine State College			(2) 84.0	
St. Paul's College			(2) 8.0	
University of Dist. Columbia			(10) 209.0	
Xavier University			(4) 68.0	
<u>SUBTOTALS</u>	<u>6,208.7</u>	<u>5,493.58</u>	<u>(357) 9,027.0</u>	<u>(203) 1,378.7</u>

TOTAL \$20,730.0 million

\*Not included in Agency total.

1/Strengthening Grant

2/Joint Memorandum of Understanding

3/Collaborative Research Support Project

4/Historically Black Colleges and Universities

5/National Association of Equal Opportunity for Education

The Title XII Strengthening Grant Program (SGP), started in 1979, was phased out during the report year, and Joint Memoranda of Understanding were negotiated with twelve 1890 Land Grant Colleges and Universities. These grants totaled \$1.144 million in FY 1986. They are designed to help these institutions become more involved in the Agency's development programs subsequent to having been enhanced by the SGP. A careful analysis of the experiences of these universities reveals a high positive correlation between a university having had a strengthening grant a 122d grant or JMOU and the number of projects approved and under implementation. The Joint Memorandum of Understanding (JMOU) initiative is described later in this report.

Another initiative, the HBCUs Research program is designed to take advantage of the strong interest of HBCUs in development assistance activities overseas and to involve top scientists from these institutions in the study of development problems. Forty-eight small research projects were active in FY 1986, fifteen of which were approved, funded, and implemented in FY 1986. Such grants allow HBCUs to participate in A.I.D.'s program without committing large personnel or financial resources to international work. We are very proud of this program which is summarized in the following table:

RESEARCH PROJECTS FUNDED  
 At Historically Black Colleges and Universities  
 By Science & Technology/Research & University Relations  
 FY 1986 BUDGET  
 (936-5053)

<u>TITLE</u>	<u>UNIVERSITY/Researcher</u>	<u>FUNDED</u>
"Problems and Solutions in Developing a Medical Knowledge Database Diagnostic System for Childhood Diseases in Rural Egypt" (First Step)	Atlanta University Sam Sidky	\$ 99,950
"Determinants of the Adoption of Nutrition Education Programs by the Malnourished in Low Income Countries"	Atlanta University A. Prempong	\$ 7,150
"Studies on the Incidence and Field Management of Infertility in Cattle and Buffaloes in Rural Haryana (India)"	Fort Valley State College K. L. Arora	\$ 64,871
Cyanide- Malnutrition Synergism-Effect on Thyroid Function"	Howard University O. L. Oke	\$ 99,926
"Replication of Trypanosome Kinetoplast DNA"	Meharry Medical College M. Valenzuela	\$ 88,206
" <u>Trypanosoma cruzi</u> Membrane Components Involved in the First Steps of Parasite-Host Cell Association"	Meharry Medical College F. Villalta	\$ 98,291
"Trypanosome RNA Transcription"	Meharry Medical College F. Hatcher	\$100,000
"Preparation of Monoclonal Antibodies to Detect and Isolate Entamoeba histolytica Membrane Antigens Essential for Attack Upon Human Target Cells"	Morehouse School of Medicine G. Bailey	\$ 89,489
"Role of Host Nutrition in the Pathogenesis of Intestinal Amebiasis"	Morehouse School of Medicine G. Leitch	\$ 96,604

<u>TITLE</u>	<u>UNIVERSITY/Researcher</u>	<u>FUNDED</u>
"From Demonstration to General Program: The Companiganj Health Care Delivery Experiment in rural Bangladesh"	Morgan State Univ. R. Amin	\$99,475
"The Solar Box Cooker and Food Quality: A Quantitative Analysis"	Philander Smith College G. Hammons	\$82,661
"Toxic Trace Metals in Acid Soils of the Humid Tropics: Adulteration of the indigenous Food Chain"	Prairie View A&M University E. Brams	\$90,000 \$10,000
"Kinetic Characteristics of the Enzymes of the Purino Salvage Pathways of <i>S. Mansoni</i> "	Texas Southern University A. Jadhav	\$61,600 \$15,611
"Potential Nutritional Benefits of High Quality Dried Mangoes in the Diets of Malnourished Weaning Age Children in Senegal"	Tuskegee University J. Rankins	\$99,697
"Nitrogen Efficiency and Associative N <sub>2</sub> -Fixation of Sweet Potato Cultivars Development in Africa"	Tuskegee University W. Hill	\$91,479
	<b>TOTALS</b>	<b>\$1,295,010</b>

S&T/RUR:EGS:sls:2508Z

During FY 85, A.I.D.'s 122(d) Strengthening Grants for four HBCU medical schools continued. These institutions have demonstrated a high level of interest and commitment in working in A.I.D. programs. This program was developed into a Joint Memorandum of Understanding initiative, whereby HBCU medical schools joined forces with major Public Health schools which have extensive and proven A.I.D. experience abroad. The effort will be described later in this report.

We have noted an increased awareness and interest on the part of HBCUs to become involved in international development. Part of their commitment and success is a result of concerted efforts which are detailed in the last four sections of this report.

Program Evaluation -- not applicable

Training -- not applicable

Facilities and Equipment -- not applicable

Fellowships, Traineeships and IPAs

The Joint Career Corps was initiated to secure a stable source of current technical expertise for A.I.D. Under this program, A.I.D. and universities enter into bilateral agreements whereby university faculty are to spend alternate periods of approximately two years working for A.I.D. and approximately four years back on home campuses. Most A.I.D. assignments will be with overseas missions.

During FY 86, one Joint Career Corps participant from Virginia State continued working with the BIFAD (Board for International Food and Agricultural Development) staff in A.I.D./Washington. In this position, he assists BIFAD and A.I.D. in matching university resources with A.I.D. project needs, giving particular attention to the unique capabilities of the HBCUs.

Due to program and funding constraints the Joint Career Corps has not grown as earlier anticipated. The Agency has received an increased level of inquiry from HBCU faculty members regarding the JCC program. Biodata have been collected on several viable candidates and preliminary inquiry has been initiated with key USAID Missions.

STUDENT TUITION ASSISTANCE, SCHOLARSHIPS AND OTHER AID

a. Obligations

<u>Total Institution</u>	<u>Total Pers. Part. Trng.</u>	<u>Total Mos. Trng.</u>	<u>Training Cost (\$000)</u>
Alabama A&M Univ.	22	272.5	470.5
Alcorn A&M University	2	44.0	71.0
Atlanta Univ.	17	256.0	415.0
Benedict College	1	24.0	39.0
Cheyney State College	2	52.0	84.0
Clark College	6	122.0	197.0
Delaware State College	13	44.5	137.0
Dillard University	2	39.0	63.0
Fisk University	2	42.0	68.0
Fla. Agr. & Mech. Univ.	21	226.0	418.0
Fort Valley State	1	.5	2.0
Hampton Institute	2	71.5	115.0
Howard University	48	990.0	1,599.0
Kentucky State University	1	38.5	62.0
Lincoln Univ.	3	3.5	7.0
Livingston College	1	68.0	110.0
Langston University	1	1.0	2.0
Lemoyne Owen College	1	.5	2.0
Morgan State Univ.	14	299.0	483.0
Morehouse College	2	47.0	76.0
Morris Brown College	2	27.0	44.0
Norfolk State Univ.	1	32.0	52.0
N. C. A&T Univ.	22	336.5	583.0
N. C. Central University	3	13.5	42.0
Oakwood College	4	130.0	210.0
Paul Quinn College	2	5.0	8.0
Prairie View A&M College	37	329.0	663.0
Roxbury Comm. College	1	12.0	19.0
Rust College	1	.5	2.0
Savannah State College	2	1.5	2.0
Southern University	7	267.5	432.0
Spelman College	3	56.5	91.0
St. Augustine State College	2	52.0	84.0
St. Paul's College	2	5.0	8.0
Tennessee A&T State Univ.	8	114.5	271.0
Texas Southern Univ.	8	162.5	351.0
Tuskegee Institute	58	583.5	1,016.0
University of Maryland, ES	13	239.5	388.0
University of Dist. Columbia	10	129.0	209.0
Virginia State University	4	40.0	64.0
Xavier University	4	42.0	68.0
<u>TOTALS</u>	<u>357</u>	<u>5,220.5</u>	<u>9,028.0</u>

STUDENT TUITION ASSISTANCE, SCHOLARSHIPS AND OTHER AID

d. Narrative

The Agency has a placement goal of 750 trainees at HBCU facilities.

In fiscal year 1986 the Agency funded and placed 357 participants, 282 of which were in academic programs and 75, (351 person-months) in short-term technical programs. The total constitutes 5,220 person-months of training at 41 HBCU facilities. In sum, FY 86 showed an increase over FY 85 by 100 placements and by 2,902 person-months of training.

Obligations increased to \$9,027,897 in FY 86 from \$3,708,800 in FY 85. The foregoing do not include administrative and contractor costs. While the increase in obligations is reflective of increased placements and person-months of training, it should be noted that it is mostly reflective of a difference in the calculation of training costs. In FY 85 the figure of \$1,615 per person-month of training was applied to both academic and technical training. In actuality, the cost of academic training per person-month is \$1,615; the cost of technical training per person-month is \$3,315. To better reflect actual obligations, these figures were used to determine training costs for FY 86.

The Agency has continued to place emphasis on placement of trainees at HBCU facilities. To increase this emphasis, number goals for placements have been established for each of the relevant Agency bureaus. The overall goal figure for placement of trainees at HBCU facilities remains 750.

A.I.D. also manages training programs for developing countries which have the resources to pay for such training. Under the Reimbursable Training Programs, participants were placed and managed by A.I.D. in HBCUs. The training cost of this program is not counted as an A.I.D. obligation to HBCUs; however, it is being reported because of its importance to HBCU involvement.

HBCU ADMINISTRATIVE INFRASTRUCTURE IMPROVEMENT

The Agency has continued its stress on improving HBCU administrative infrastructure. Some of our initiatives in this area include: Memoranda of Understanding for 1890 land grant universities, Memoranda of Understanding for HBCU Medical schools, the HBCU Competitive Research program, and the A.I.D./National Association for Equal Opportunity in Higher Education (NAPEO) Cooperative Agreement.

Congress passed legislation in 1975 that has become known as Title XII. The Congress described how various participants working together could make the difference in preventing famine and establishing freedom from hunger for all the world's people. Utilizing the authority under Title XII, to strengthen U.S. institutions for work on agricultural problems, A.I.D. has obligated \$5.64 million to eleven 1890 land grant HBCUs. Most of these grants to HBCUs, unlike those made to other agricultural universities, did not impose any financial matching requirement upon the individual HBCUs. However the recently initiated JMOU/PSGs do require a fifty cents per dollar match. The program is designed to build the grantee university's infrastructure in order to assist in carrying out A.I.D.'s programs and at same time garner a sense of commitment by the university.

The Title XII Strengthening Grant Program for 1890 schools has been phased into the Agency's Memorandum of Understanding/Program Support Grant (MOU/PSG) initiative. MOUs are mutually agreed upon, long-term commitments by A.I.D. and the university about specified numbers of professional university staff which will be engaged under A.I.D. projects. This stabilizing arrangement permits long-range staffing plans by the university. The agreement provides universities with program development support monies that make possible their more effective allocation of resources to A.I.D. contracts. The purpose of this joint MOU is to stimulate a long-term mutual working relationship by combining the resources of the two institutions. Program Support Grants, which accompany the MOUs, can be used to directly support the improvement of HBCUs capacity to carry out A.I.D. contracts.

Twelve Memoranda of Understanding with accompanying program support grants (PSGs) are underway, ten of which were formally initiated in FY 86. The table below illustrates the 24 university relationships.

Joint Programs

1890 Land Grant  
University Partner

Alabama A&M Univ.  
Univ. of Arkansas PB  
Florida A&M Univ.  
Port Valley State Coll.  
Lincoln Univ. (MO)  
Univ. of Maryland, Eastern Shore  
North Carolina A&T Univ.  
Prairie View A&M Univ.  
Southern Univ. (Baton Rouge)  
Tennessee State Univ.  
Tuskegee Univ.  
Virginia State Univ.

1862 Land Grant  
University Partner

Kansas State Univ.  
Auburn Univ.  
North Carolina State Univ.  
Ohio State Univ.  
Univ. of Minnesota  
Univ. of Illinois  
Michigan State Univ.  
Texas A&M Univ.  
Louisiana State Univ.  
New Mexico State Univ.  
Oregon State Univ.  
Univ. of Wisconsin (Madison)

A.I.D. also has developed four similar joint Memoranda of Understanding programs under Title 122d of the Foreign Assistance Act. In this case HBCU medical schools were matched with major medical schools of Public Health with extensive overseas and A.I.D. experiences. The eight university relationships are outlined below:

Joint Programs

HBCU Medical School

Charles R. Drew Post Graduate  
Howard  
Meharry  
Morehouse

Major School of Public Health

John Hopkins  
North Carolina  
Columbia  
Tulane

The HBCU Small Research program is also developing research capabilities in many of the targeted entities. It is providing the opportunity for HBCUs to become involved in relevant scientific research in the international development arena.

NAFEO

During fiscal year 1986 the Cooperative Agreement was continued with the National Association for Equal Opportunity in Higher Education (NAFEO) to facilitate and enhance its capacity to assist historically Black colleges and universities to participate in programs administered by A.I.D.

The purpose of this Agreement is to support NAFEO in bringing about increased participation of HBCUs in A.I.D.'s programs. NAFEO's activities under this Agreement include:

- advising A.I.D.'s internal committee on HBCUs on general strengths and capabilities of HBCUs and on international development resources available; and
- communicating with the HBCUs regarding opportunities for participating in A.I.D.'s assistance programs.

Major accomplishments in FY 1986 include:

- maintaining a receptive climate of trust, mutual respect and candor between the NAPEO clientele and their A.I.D. collaborators and colleagues,
- conducting four regional workshops with a total attendance of 106 liaison officers for 1986,
- continuing the distribution of the Institutional Resources Inventory (IRI) and its supplement - distribution to date: 100 (65 institutions completing inventory),
- collecting individual profiles for computer data bank from faculty, administrators, researchers on file: 704 completed from 65 institutions,
- conducting seven international activities involving participants in two geographical bureaus: Africa and Latin American/Caribbean,
- publishing four issues of NAPEO/A.I.D. UPDATE--Agreement newsletter; routine distribution 600 copies per issue,
- conducting two seminar sessions during the annual NAPEO conference in Washington. Total attendance: 48, and
- promoting a steadily improved awareness of, and objectivity toward HBCUs as international development resources by A.I.D. contractors and grantees.

As the above summary illustrates, NAPEO during FY 86 continued to be an integral part of A.I.D.'s efforts to communicate with and improve the administrative structures of HBCUs.

## ELIMINATION OF BARRIERS TO INCREASE HBCU INVOLVEMENT

The Agency has initiated important actions to eliminate barriers to increased HBCU involvement. These include such areas as: modification of the overseas experience requirement, internal A.I.D. controls to monitor HBCUs progress, decisions to proceed by direct A.I.D. contracting mechanism as distinct from host country contracting, the A.I.D. NAPEO Cooperative Agreement, HBCU overseas project development option, and condensed information on project opportunities for HBCUs.

### Overseas Experience Requirement

In order to provide HBCUs and other minority controlled groups with greater contracting opportunities, the Agency will limit the importance of prior experience in the procurement process. A.I.D. procurement guidelines have been modified to say:

"Generally, prior overseas/regional and/or country experience should have no more weight than 10 percent (e.g., 10 points in a 100 point matrix) for technical evaluation purposes. This general rule applies to the combination of organizational experience and an individual contractor employees' experience. While it is recognized that overseas experience is desirable in terms of demonstrating the ability of an organization to function effectively in a foreign setting, such experience should not be a controlling factor in qualifying for an A.I.D.-financed contract. In some cases, experience derived only domestically may be applied with success overseas. Contracting officers are directed to contribute to the advancement of this general rule."

### Internal A.I.D. Controls to Monitor HBCUs Progress

A.I.D. guidelines have been implemented so that under the direction of the Deputy Administrator each Assistant Administrator and each A.I.D. Mission Director or Representative will be responsible for monitoring the activities and progress of the bureau/mission in achieving the Agency's objectives. Each bureau, for itself, (and in the case of regional bureaus, for each mission) shall report quarterly on the extent that funds available to HBCUs are being utilized.

### Decisions to Proceed by Direct as Distinct from Host Country Contracting

Under a revision in Supplement B, Chapter 3, A.I.D. Handbook 1, the previous preference for host country contracting was eliminated. These provisions will facilitate use of the direct

contracting mode by Mission Directors and A.I.D./Washington which will in turn facilitate minority involvement if appropriate. At the project development stage and under the Early Alert System (see Other Strategies), this provision provides an opportunity for the Agency to enhance minority involvement.

#### HBCU Overseas Project Development Option

As A.I.D.'s involvement with HBCUs progressed we found that very often they were not able to compete fairly with other major universities in the procurement arena. The larger schools generally have the resources to field teams of faculty members overseas, at the expense of the university, and become involved early in the project development process. This early access many times has led to successful contract awards to the major schools. The majority of HBCUs, which are under already stringent budgets, do not have the financial resources to carry out such activities.

To address this constraint the NAFEO Cooperative Agreement was amended to include funds for travel and per diem of HBCU faculty members to assist A.I.D. missions and bureaus develop programs and projects. The universities involved demonstrate their true commitment by continuing the professors salary while overseas. There have been several activities completed and about six more short term trips are planned for two or three participants each.

PRIVATE SECTOR INVOLVEMENT STRATEGY

A.I.D. also desires to involve other minority groups, i.e. firms and private voluntary organizations controlled by minorities, women, etc., in its affairs. The A.I.D. Office of Small Disadvantaged Business Utilization (OSDBU) is working closely with these groups and has included HBCUs as an integral part of its program. For example, three regional outreach conferences by A.I.D.'s OSDBU for minority organizations and HBCUs were held in FY 1985 and again in FY 86. During FY 85 six HBCU Private Enterprise relationships were established and in FY 86 a seventh was added involving Charles Drew University Medical School and Management Sciences for Health Inc.

The Agency increased its efforts to expand non-minority private sector involvement with HBCUs.

OTHER STRATEGIES

The A.I.D. HBCU Committee

A.I.D. established an internal HBCU Committee to coordinate its HBCU Initiative. During FY 86, members of the Committee continued to serve as liaisons between their respective geographic and central bureaus and offices, and the Committee as a whole to advance the involvement of HBCUs. This Committee continued to play a key role in our FY 1986 program.

The Agency HBCU Committee, is responsible for:

- advocating activities of the bureaus and missions relating to the participation of HBCUs;
- reviewing and advising A.I.D. management about possible regulatory or other barriers to the participation of such organizations;
- engaging in liaison and conference activities with such organizations;
- utilizing the services of the Department of Commerce, (including the Minority Business Development Centers), the Small Business Administration, the Overseas Private Investment Corporation and other federal resources;
- furthering the provision of technical assistance to HBCUs and strengthening the capacity of HBCUs to provide quality education and overcome the effects of discriminatory treatment;
- supplying information to bureaus and missions about HBCUs and their capabilities related to specific A.I.D. needs; and
- using all appropriate means through its members in each bureau, advancing the consideration of such organizations.

HBCUs may be involved independently, jointly with other institutions or organizations, and/or as subcontractors in furthering involvement under proposed direct and host country contracts, grants and cooperative agreements.

The Agency has ruled that prior to the authorization of a new project with respect to proposed both Host Country and direct contracts, grants and cooperative agreements, the bureau (and in the case of mission awards, the mission) will certify that full consideration has been given to the potential involvement of HBCUs and will identify any specific steps to be taken to effect such involvement.