

AGENCY FOR INTERNATIONAL DEVELOPMENT
PROJECT DATA SHEET

1. TRANSACTION CODE A B C D
 A = Add
 B = Change
 C = Change
 D = Delete
 Amendment Number _____
 DOCUMENT CODE 3

2. COUNTRY/ENTITY
 WORLDWIDE

3. PROJECT NUMBER
 936-5053

4. BUREAU/OFFICE
 S&T/RUR

5. PROJECT TITLE (maximum 40 characters)
 HBCU Research Grants Program

6. PROJECT ASSISTANCE COMPLETION DATE (PACD)
 MM DD YY
 09 30 85

7. ESTIMATED DATE OF OBLIGATION
 (Under 'B' below, enter 1, 2, 3, or 4)
 A. Initial FY 84 B. Quarter C. Final FY 88

8. COSTS (\$000 OR EQUIVALENT \$1 =)

A. FUNDING SOURCE	FIRST FY 86			LIFE OF PROJECT		
	B. FX	C. L/C	D. Total	E. FX	F. L/C	G. Total
AID Appropriated Total						
(Grant)	(3,000)	()	(3,000)	(11,870)	()	(11,870)
(Loan)	()	()	()	()	()	()
Other L.S.						
Host Country						
Other Donor(s)						
TOTALS	3,000		3,000	11,870		11,870

9. SCHEDULE OF AID FUNDING (\$000)

A. APPROPRIATION	B. PRIMARY PURPOSE CODE	C. PRIMARY TECH. CODE		D. OBLIGATIONS TO DATE		E. AMOUNT APPROVED THIS ACTION		F. LIFE OF PROJECT	
		1. Grant	2. Loan	1. Grant	2. Loan	1. Grant	2. Loan	1. Grant	2. Loan
1) FN	100	000		1,870		1,250		5,870	
2) SDA	300	300				250		750	
3) HE	500	500		1,000		1,500		5,250	
TOTALS				2,870		3,000		11,870	

10. SECONDARY TECHNICAL CODES (maximum 6 codes of 3 positions each)
 11. SECONDARY PURPOSE CODES
 12. SPECIAL CONCERNS CODES (maximum 7 codes of 4 positions each)
 A. Code RGEN-963
 B. Amount 3,000

13. PROJECT PURPOSE (maximum 480 characters)
 To involve scientists from U.S. historically Black colleges and universities (HBCU's) in research to solve development problems in LDC's.

14. SCHEDULED EVALUATIONS
 Interim MM YY MM YY Final MM YY
 05 87 11 88
 15. SOURCE/ORIGIN OF GOODS AND SERVICES
 000 941 Local Other (Specify)

16. AMENDMENTS/NATURE OF CHANGE PROPOSED (This is page 1 of a _____ page PP Amendment.)

17. APPROVED BY
 Signature: *Handy W. Williams*
 Title: Director, Research & University Relations
 Date Signed: MM DD YY
 18. DATE DOCUMENT RECEIVED IN AID/W, OR FOR AID/W DOCUMENTS, DATE OF DISTRIBUTION
 MM DD YY

Project Authorization

Name of Country: Worldwide
Name of Project: HBCU Research Grants
Project Number: 936-5053

1. Pursuant to Sections 103 (Agriculture, Rural Development, and Nutrition), 104 (Health), and 106 (Selected Development Activities) of the Foreign Assistance Act of 1961, as amended, I hereby authorize a life of project total of \$11,870,000 (including \$2,870,000 previously authorized) for the centrally funded "Historically Black Colleges and Universities' (HBCUs) Research Grants" project which will involve planned obligations of \$9 million during the three year period from FY 1986 through FY 1988, subject to the availability of funds in accordance with the A.I.D. OYB/allotment process, and I hereby authorize a project assistance completion date of FY 1989.

The life of project funding will be distributed among the funding accounts as follows:

Agriculture, Rural Development and Nutrition:	\$5,870,000
Health:	\$5,250,000
Selected Development Activities:	<u>\$ 750,000</u>
Total:	\$11,870,000

2. Through this project, grants of up to \$100,000 will be provided to historically Black colleges and universities for each research proposal which is selected and approved in accordance with the guidelines for proposals under the A.I.D. research program for historically Black college and universities. The \$100,000 is considered an appropriate ceiling at this time and is specified in the guidelines to prospective grantees, but after experience is gained with this funding ceiling over the next year, S&T/RUR may recommend an increase for individual research activities. Within the guidelines, the HBCUs will prepare research proposals relevant to less developed country (LDC) development problems in the subjects of agriculture, nutrition, rural development, health, and other selected development activities.

The project has three purposes: (1) to increase the opportunity for participation of HBCUs in A.I.D.'s programs (pursuant to Executive Order No. 12320); (2) to enable HBCUs to conduct research on significant LDC development problems; and (3) to develop the expertise of HBCUs to provide international development assistance.

3. The HBCU competitive research grant agreements which will be negotiated and executed by the A.I.D. offices to whom such

authority is delegated (in accordance with A.I.D. regulations and delegations of authority) shall be subject to the following terms and conditions together with such other terms and conditions as A.I.D. may deem appropriate.

4. Source and Origin of Commodities, Nationality of Services

a. Commodities (if any) that may be financed by A.I.D. under this project shall have their source and origin in the United States or the cooperating country* unless A.I.D. otherwise agrees in writing. Except for ocean shipping, the suppliers of commodities or services shall have the United States or the cooperating country (when applicable) as their place of nationality, except as A.I.D. may otherwise agree in writing.

b. The aggregate funding for each research grant to an HBCU shall not exceed \$100,000 (under current guidelines) unless special circumstances and conditions make it appropriate, in A.I.D.'s judgment, to exceed this limitation, in which latter case, A.I.D. must agree in writing to any exception to the \$100,000 limitation for an individual research grant.

c. Ocean shipping financed by A.I.D. under this project, shall, except as A.I.D. may otherwise agree in writing, be financed only on flag vessels of United States registry.



Nyle C. Brady
Senior Assistant Administrator
Bureau for Science and Technology
3/17/86

Date

* Any country in A.I.D. geographic Code 935 in which a research grant is implemented is deemed to be a cooperating country for purposes of such research grant.

Clearances:

S&T/PO:GTEaton	/s/ KM	for	Date	2/21/86
DAA/S&T, DBrennan			Date	3/11/86
GC/CP, CWTStephenson	(Draft)		Date	3/3/86

S&T/PO:GStandrod:2/6/86:0961d
Revised S&T/RUR/GC:CS:egs:3/4/86

AGENCY FOR INTERNATIONAL DEVELOPMENT
WASHINGTON, D C 20523

FEB 20 1986

ACTION MEMORANDUM FOR THE SENIOR ASSISTANT ADMINISTRATOR
BUREAU FOR SCIENCE AND TECHNOLOGY

FROM: S&T/RUR, Handy Williamson *Handy Williamson*

SUBJECT: Research Grant Program for the Historically Black
Colleges and Universities (HBCUs), Project 936-5053
(Document No. 0013)

Problem: Your approval is requested to amend the HBCU Research project (936-5053) by extending it from October 1, 1985 through September 30, 1989 and by increasing the authorized life of project cost to \$11,870,000, of which \$9,000,000 is the planned obligation between now and September 30, 1988.

Discussion: The HBCU research program has progressed well. The Office of Research and University Relations believes that multiple year authorization would facilitate the management of this program. Twenty-six research grants were awarded during FY 1985. We expect an increase in requests for research grants over the coming two years.

This program has three purposes: (1) to increase the opportunity for participation by HBCUs in A.I.D.'s programs (pursuant to Executive Order No. 12320); (2) to enable HBCUs to conduct research on significant LDC development problems; and (3) to develop the expertise of HBCUs to provide international development assistance evolving from completed research activities. This project is also an important element in the strategy through which the Agency responds to Executive Order No. 12326. (See attachment no. 5.)

During FY 1984, eleven research grants were executed with eight HBCUs for a total of \$392,897. During the budget negotiations for FY 1985, an OYB of \$500,000 was established for the program. The OYB was amended three times for a total FY 1985 OYB of \$2,477,000, and 26 research projects, along with seven project amendments, were approved by you and processed during FY 1985. S&T/RUR currently has about 40 research proposals for consideration for funding in FY 1986.

Under this project, grants of up to \$100,000 will be provided to historically Black colleges and universities for each research

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proposal which is selected and approved. The \$100,000 is considered an appropriate ceiling at this time and is specified in the guidelines to prospective grantees. After more experience is gained with this funding limitation over the next year, S&T/RUR may recommend an increase for individual research activities. Using the guidelines given by S&T/RUR to HBCUs, the HBCUs prepare research proposals relevant to less developed country problems. Each research proposal is subject to an internal and external review before it is sent to you for your approval. Review and approval procedures for the selection of grantees remain unchanged.

In summary, the actual and proposed funding for the project is as follows, subject to the availability of funds:

FY 1984	\$ 392,897 (obligated)
FY 1985	\$ 2,477,000 (obligated)
FY 1986	\$ 3,000,000 (proposed funding)
FY 1987	\$ 3,000,000 (proposed funding)
FY 1988	\$ 3,000,000 (proposed funding)
Life of project Total	<u>\$11,869,897</u>

We estimate that the life of project funding will be distributed among the funding accounts as follows, subject to the availability of funds:

Agriculture, Rural Development, and Nutrition:	\$ 5,870,000
Health:	\$ 5,250,000
Selected Development Activities:	\$ 750,000
Total:	<u>\$11,870,000</u>

Up until now, the external review of research proposals has been conducted by the National Science Foundation (NSF), but NSF has decided not to perform this function for us any longer. We are currently exploring alternative ways to conduct the external scientific review panels.

The project will be evaluated at the end of FY 1986 or early in FY 1987. By that time, we should have the final research reports from at least ten HBCU research projects. An evaluation team will be formed to examine the review and approval procedure and the quality of the research projects and their results. We will also use the results of the evaluation to determine if the current guidelines need to be modified. Further evaluations will be conducted in FY 1988 to help S&T/RUR determine the future course of this project.

An advice of program change is being prepared for this project.

Recommendation: That you sign the attached PAF that raises the total life of project cost of this project to \$11,870,000, of which \$9,000,000 is the planned obligation during FY 1986 through FY 1988, and also extends the project through FY 1989.

Attachments

1. Project Data Sheet
2. PAF
3. Selection and Approval Procedures
4. Program Guidelines for HBCUs
5. Information Memorandum for the Administrator

Clearance:

S&T/PO, GTEaton K. Eaton Date 2/21/86 DAA/S&T, DBrennan DB Date 3/3/86
S&T/RJR:FEO:ao:11/6/85:235-8929:W0961d GC/CP, CWFStephenson (DRAFT)
S&T/PO:GS:2/12/86 Date 3/4/86