

PD-444-611

BUREAU OF  
PROGRAM AND POLICY COORDINATION  
RESEARCH AND EVALUATION PORTFOLIO

AGENCY FOR INTERNATIONAL DEVELOPMENT

May 31, 1988

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SUMMARY  
PPC RESEARCH AND EVALUATION PORTFOLIO  
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(See Table of Contents below for complete Fiscal Year 1988 breakdown of the budget by projects.)

	PPC Budget FY88		
	Research & Evalu- ation ( \$000s )	Totals & Sub- Totals ( \$000s )	Page
I. INTRODUCTION AND SUMMARY.....			1
A. Research.....		\$2,214	
B. Evaluation.....		644	
C. PDPR.....		1,287	
D. CDIE.....		497	
E. EA.....		390	
F. WID.....		683	
II. RESEARCH AND EVALUATION.....		2,857	3
A. Macroeconomic Stabilization and Growth.....		789	3
1. Research	\$750		
2. Evaluation	39		
B. Financial Deepening.....		25	10
1. Research	25		
2. Evaluation	0		
C. Private Sector Development.....		331	11
1. Research	327		
2. Evaluation	4		
D. International Trade.....		60	13
1. Research	60		
2. Evaluation	0		
E. Agriculture and Rural Development.....		173	13
1. Research	173		
2. Evaluation	0		
F. Natural Resources and Environment.....		210	20
1. Research	210		
2. Evaluation	0		

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		<u>ation</u>	<u>Totals</u>	
		<u>(\$000s)</u>	<u>(\$000s)</u>	<u>Page</u>
G.	Energy.....		\$0	23
	1. Research	\$0		
	2. Evaluation	0		
H.	Human Resource Development.....		494	23
	1. Education and Training.....		275	23
	a. Research	0		
	b. Evaluation	275		
	2. Population.....		25	28
	a. Research	25		
	b. Evaluation	0		
	3. Child Survival.....		0	30
	a. Research	0		
	b. Evaluation	0		
	4. Food Assistance/Nutrition.....		0	30
	a. Research	0		
	b. Evaluation	0		
	5. Health (Not Covered Elsewhere).....		94	31
	a. Research	0		
	b. Evaluation	94		
	6. Special Issues.....		100	33
	a. AIDS.....		100	
	i. Research	100		
	ii. Evaluation	0		
	b. Aging.....		0	
	i. Research	0		
	ii. Evaluation	0		
	c. Other.....		0	
	i. Research	0		
	ii. Evaluation	0		

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		<u>(\$000s)</u>	<u>(\$000s)</u>	<u>Page</u>
I.	Other Topics.....	\$3,135		34
	1. Democratic Institution Building.....	0		34
	a. Research	\$0		
	b. Evaluation	0		
	2. Urbanization.....	0		35
	a. Research	0		
	b. Evaluation	0		
	3. Women-In-Development.....	683		35
	a. Research	606		
	b. Evaluation	77		
	4. Program Evaluation Techniques.....	91		40
	a. Research	0		
	b. Evaluation	91		
	5. Other.....	0		42
	a. Research	0		
	b. Evaluation	0		

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Research.....	\$2,213,835	
Evaluation.....	643,543	
PDPR.....	1,286,934	
CDIE.....	497,000	
EA.....	390,047	
WID.....	683,397	
II. RESEARCH AND EVALUATION.....	2,857,378	3
A. Macroeconomic Stabilization and Growth.....	788,589	3
1. Research.....	749,589	3
Conferences for Africans to Discuss Economic Liberalization.....	\$69,854	
Economic Growth: A Survey of Empirical Analyses and an Econometric Update.....	40,000	
Economic Growth Scenarios for the U.S. and the Developing Economies.....	52,000	
IMF Stabilization, Donor Assistance and Growth.....		
Including the Excluded: Extending the Benefits of Development.....	300,000	
The Political Economy of Policy Change: A Comparative Analysis.....		
Post-War LDC Experience with Fundamental Tax Reform.....	25,235	
The Problem of Development: Exploring Economic Development Through Free Enterprise.....	200,000	
Review and Analysis of the Policy Framework Paper Process.....	62,500	
Socioeconomic Impact of Macroeconomic Adjustment, Phase I.....		
The Ten-Fifteen Year Growth and Debt-Servicing, Prospects for the Philippines.....		

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2.	Evaluation.....		\$39,000	6
*	Approaches to Policy-Based Non-Project Assistance.....			
*	Community-Based Self-Help Action for Sustainable Production.....			
*	A Compendium of Donor Experiences with Program Sustainability.....			
	Editing Development Issues, 1988.....	\$7,000		
	An Evaluation of Policy-Based Assistance in Asia.....		32,000	
*	Guidelines for the Evaluation of Cash Transfer Programs.....			
	Issues in Planning and Implementing Policy Reform Programs.....			
	Policy-based Cash Transfer Programs, The Case of Costa Rica .....			
	Policy-Based Cash Transfer Programs, The Case of the Dominican Republic.....			
	Policy-Based Cash Transfer Programs, The Case of Honduras.....			
	Policy-based Cash Transfer Programs, The Case of Jamaica.....			
*	Technical Cooperation and Institution Building Reports.....			
*	The Transfer of Marketing Technology: A Synthesis of A.I.D. Experience with Three Marketing Technologies.....			
B.	Financial Deepening.....		25,000	10
	1. Research.....		25,000	10
	Program on International Financial Systems....	25,000		
	Revision of Financial Analysis Guidelines in Handbook #3.....			
	Tax Policy Reform and Financial Intermediaries in LDCs.....			

\* CDIE's program budget figures do not reflect studies being carried out by direct hire staff or in-house contractor staff. These topics have been marked with an asterisk in the Table of Contents to indicate that the study is being funded from these other sources.

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2.	Evaluation.....		\$0	10
*	A.I.D.'s Experience with Development Finance Institutions in Latin America.....			
*	Financial Intermediation and Equity Investment: Reflections on Recent Project Experience .....			
C.	Private Sector Development.....		331,434	11
1.	Research.....		326,934	11
	Agricultural Marketing Boards and Privatization.....	\$110,000		
	Designing a Field Reporting Format to Measure A.I.D.'s Support of Indigenous Private Sector Development.....			
	Policy Issues and Approaches in Privatizing State-Owned Financial Institutions.....		175,000	
	Political and Institutional Considerations in Equity Market Development.....		24,806	
	Political and Institutional Impediments to Privatization.....		17,128	
2.	Evaluation.....		4,500	12
	A.I.D.'s Experience with Small-Scale Enterprise Development Projects .....		4,500	
D.	International Trade.....		60,000	13
1.	Research.....		60,000	13
	Effects of Liberalizing Trade-Related Agricultural Policies .....		60,000	
2.	Evaluation.....		0	13
*	Trade and Investment Promotion: Reflections on Recent Project Experience.....			
E.	Agriculture and Rural Development.....		173,458	13
1.	Research.....		173,458	13
	Agrarian Structure.....		30,000	
	Consequences of Agricultural Trade Liberalization for Developing Economies Assisted by A.I.D.....		48,458	

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	Land Tenure, Rural Credit and Agricultural Productivity in Sub-Saharan Africa.....	\$20,000		
	Regenerative Agriculture.....			
	Sources of Rural Income.....	75,000		
2.	Evaluation.....		\$0	15
	Agricultural Policy Analysis: A Manual for A.I.D. Agricultural and Rural Development Officers.....			
	Agricultural Policy Analysis and Planning: A Summary of Two Recent Analyses.....			
*	Agroforestry Projects for Small Farmers.....			
*	A.I.D.'s Experience with Innovative Approaches to Agricultural Extension.....			
*	A.I.D.'s Experience with Rural Development: Project Specific Factors Affecting Performance.....			
	An Assessment of A.I.D. Activities to Promote Agricultural and Rural Development in Sub-Saharan Africa.....			
	Costa Rica--Soybean Production and Food-Mix Processing Project: Technology Transfer Series .....			
*	Crop Diversification and Export Promotion....			
	Djibouti Fisheries Development Project: Technology Transfer Series.....			
	Impacts of Agricultural Policy Reforms on Small Farmers.....			
	Negotiating and Programming Food Aid: Lessons from Experience.....			
	Northeast Brazil Agricultural Marketing Project: Technology Transfer Series....			
	Promoting Rural Incomes for Sustainable Economic Growth.....			
	Small Farmer Perspectives on Development.....			
	Small Farmer Perspectives on Development in Northeast Thailand.....			
*	Strengthening Agricultural Producer Organizations.....			
*	A Synthesis of A.I.D. Experience: Farming Systems Research and Extension.....			

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Natural Resources and Environment.....	\$210,000		20	100 24
. Research.....	210,000		20	
Demonstrating the Use of Economic Incentives for Conserving Biological Diversity in Thailand.....	\$105,000			
Economic Incentives and Disincentives for Preserving Biological Diversity ....				
Economic Policy Opportunities to Slow the Rate of Tropical Deforestation.....				
Methods to Monitor and Evaluate Biodiversity Activities.....				
Population Growth and Natural Resource Management in Africa.....				
Policy Reform and Natural Resource Management in SubSaharan Africa.....	25,000			
Preservation of an Endangered Marine Mammal in Mauritania.....	80,000			
. Evaluation.....	0		22	
Environmental Assessments of Development Projects: A.I.D.'s Experience.....				
Issues in Natural Resource Management and Sustainable Agriculture.....				
Review of the Environmental Assessment and Monitoring Process in A.I.D. ....				
Energy.....	0		23	
. Research.....	0		23	
. Evaluation.....	0		23	
Human Resource Development.....	494,200		23	
. Education and Training.....	275,000		23	000 28
. Research.....	0		23	
Sponsored and Nonsponsored Foreign Students..				

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a. Research.....	\$25,000	28
Balancing Policy Objectives in Family Planning.....	\$25,000	
Economic Impact of the Family Planning Program in Thailand .....		
Informed Choice.....		
Marketing Approach to Demand Assessment in Family Planning.....		
b. Evaluation.....	0	29
Egypt--Family of the Future Contraceptive Social Marketing: Technology Transfer Series.....		
Evaluation of the Communication for Young People Project.....		
3. Child Survival.....	0	30
a. Research.....	0	30
b. Evaluation.....	0	30
4. Food Assistance/Nutrition.....	0	30
a. Research.....	0	30
Contextual Analysis of Growth Monitoring.....		
b. Evaluation.....	0	31
5. Health (Not Covered Elsewhere).....	94,200	31
a. Research.....	0	31
Costs and Coverage of Immunization.....		

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b.	Evaluation.....		\$94,200	31
	Honduras--Mass Media and Health Practices Project: Technology Transfer Series....			
	PVOs Reach Out: A Summary of Thirteen Primary Health Care Project Evaluations.....			
	The Sustainability of U.S.-Supported Health, Population and Nutrition Programs in Honduras.....			
	Sustainability of U.S. Supported Health Programs in Five African Countries.....	\$79,200		
	Sustainability of U.S. Supported Health Programs in Guatemala.....			
	Sustainability of U.S. Supported Health Programs: Synthesis of Findings and Lessons.....			
	A Synthesis Study of the Factors of Sustainability in A.I.D. Health Projects.....		15,000	
6.	Special Issues.....		100,000	33
a.	AIDS.....		100,000	33
i.	Research.....		100,000	33
	Socio-Economic and Demographic Impact of AIDS.....		100,000	
ii	Evaluation.....		0	34
b.	Aging.....		0	34
i.	Research.....		0	34
ii.	Evaluation.....		0	34
c.	Other.....		0	34
i.	Research.....		0	34

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	<u>(\$s)</u>	<u>(\$s)</u>	
ii. Evaluation.....	\$0		34
I. Other Topics.....	3,135,390		34
1. Democratic Institution Building.....	0		34
a. Research.....	0		34
Democracy in Developing Countries.....			
b. Evaluation.....	0		34
Effective Donor Support for Decentralization: Some Insights from USAID Experience in Peru.....			!
2. Urbanization.....	0		35
a. Research.....	0		35
b. Evaluation.....	0		35
Third World Urbanization: The Rural-Urban Dynamic and Its Place in a National Development Strategy .....			
3. Women-In-Development.....	683,397		35
a. Research.....	606,354		35
Basic Research and Implementation for Developing Educational Systems Project (BRIDGES).....			
Gender Issues in Agriculture Systems and Natural Resource Management.....	\$22,800		
Gender Resource Awareness in National Development (GRAND) Project.....			
Housing and Urban Development.....	9,927		
Income Generating Projects and Other Economic Activities Involving Refugee Women.....			
Nepal's Centre for Women in Development(CWD).....	13,350		
Private Enterprise Development.....	339,190		
Small Scale and Micro Enterprise.....	6,000		
Status of Women in Thailand.....	15,650		
Structural Adjustment.....	177,847		
Women and Structural Adjustment.....	21,590		

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b. Evaluation.....	\$77,043	38
Evaluation of the International Center for Research on Women Cooperative Agreement Program with A.I.D.....		
Malawi's Agricultural Research and Extension Project.....	\$20,000	
Rwanda's Private Enterprise Development Project.....	20,000	
USAID/Pakistan's Five-Year Program Review .....	17,043	
Women in Development: A.I.D.'s Experience, 1973-1985, Vol. 2, Ten Field Studies.....		
Zaire's Central Shaba Agricultural Development Project.....	20,000	
4. Program Evaluation Techniques.....	91,300	40
a. Research.....	0	40
A.I.D. Manual for Project Economic Analysis..		
b. Evaluation.....	91,300	40
Assessment of the CDIE Evaluation Studies Project.....		
* Conducting Key-Informant Interviews in Developing Countries.....	35,000	
* Donor Agency Experience with the Monitoring and Evaluation of Development Projects.....		
A Guide to Assessing and Reporting Program Performance and Impact.....		
* A Guide to Evaluation Practice in A.I.D.....		
Indicators for Measuring Changes in Income, Food Availability and Consumption, and the Natural Resource Base.....	36,800	
Methodologies for Assessing the Impact of Agricultural and Rural Development Assistance.....		
* Synthesis of A.I.D. Evaluation Reports FY87 and FY88.....	19,500	
5. Other.....	0	42
a. Research.....	0	42
b. Evaluation.....	0	42

LIST OF ANNEXES

A. Format for Obtaining Information on Future Research for the Bureau  
of Program and Policy Coordination

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## I. Introduction and Summary

The A.I.D. Handbook (p.17-1) states

The Bureau for Program and Policy Coordination (PPC) is responsible for the Agency's overall program and policy formulation, planning, coordination, resource allocation, and evaluation activities, and the program management information systems which support them. The Bureau develops economic assistance policies, provides guidance on long-range program planning, economic analysis, sector assistance strategies, and revision of the Agency's program to the Congress...It provides in-depth analysis of LDC economic policies and development problems and international economic issues which impact on the development process, including international trade, finance and related issues and formulates AID's position on major U.S. development and international policies affecting AID's assistance programs in the developing countries and their economic development.

In carrying out these and other functions, PPC carries out information gathering, research and evaluation activities in at least four offices--1) Policy Development and Program Review (PDPR), 2) Center for Development Information and Evaluation (CDIE), 3) Women in Development (WID) and 4) Economic Affairs (EA). A very brief description of each office and its funding levels for research and evaluations are as follows:

PDPR                      Research--\$1,286,934                      Evaluation--\$0

[The PDPR serves as the Agency's central source of microeconomic and resource allocation and utilization policy expertise, information and guidance in sectoral and cross-sectoral matters related to the economic development of less developed countries. According to the AID Handbook (p. 17-5), PPC

Develops and oversees performance and management of research in support of the areas of policy enumerated in 17Dlc performed within the Office or financially supported by the Office. Has principal AID responsibility for initiating and funding research with respect to overall socioeconomic soundness of AID program and policies. Coordinates with other relevant bureaus all centrally funded social science research focused on AID policy.

Its Resources Policy Division serves as the Agency's central source of microeconomic and resource allocation and utilization policy expertise, information and guidance in sectoral and cross-sectoral matters related to the economic development of Less Developed Countries (LDCs). Its Sector Policy division serves as the Agency's central source of policy expertise, information and guidance in sectoral and interdisciplinary matters in LDC development.]

CDIE                      Research--\$0    Evaluation--\$497,000

[The CDIE is AID's principal instrument for improving the quality of AID and developing country development programs. It is responsible for 1) the overall office policy and operations, b) the CDIE coordination and liaison function, and c) internal administrative and publications support services. Its Development Information Division is responsible for 1) management of AID Development Information Services, b) the automated development information service responsive to the needs of AID and other information users. The Program and Policy Evaluation Division is responsible for 1) program and policy evaluation, 2) evaluation systems and methods, and c) evaluation applications.]

WID                      Research--\$606,354    Evaluation--\$77,043

[The WID provides central policy and program guidance, formulates AID strategy, reviews AID progress, coordinates and supports research and disseminates information on the role of women in development.]

EA                      Research--\$320,547    Evaluation--\$69,500

[The EA provides the Administrator and Assistant Administrator of PPC with a central source of expertise, information and policy guidance on international economic policy issues, longer-term worldwide economic and social trends and structural change which affect the economic development of less developed countries and the prospects of success of AID programs.]

The remainder of this paper presents an annotated description of PPC's research focusing on Fiscal Year 1988. Project information includes a brief description of project, final delivery date if in progress, PPC project manager and telephone number, funding office and other relevant data. Summaries of the PPC research budget allocated by subject matter are presented in the Table of Contents and Summary Table of Contents.

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## II. Research and Evaluation

### A. Macroeconomic Stabilization and Growth

#### 1. Research

Conferences for Africans to Discuss Economic Liberalization, University of Michigan, Center for Research on Economic Development (CRED), FY 1988, Final publication of papers and proceedings: 1/89. Funds: FY 1988 \$69,854 (Total: \$139,708, joint FY 1988 funding with AFR/DP). Project manager: Alan Batchelder, PPC/EA, 647-8768. (In progress)

September 1988 conferences in Nairobi (in English) and in Abidjan (in French) will gather 40-60 senior African officials to discuss problems and progress in economic liberalization. A.I.D. missions will choose and finance African participants. Background papers deal with adjustment programs on four continents, implications for Africa of Uruguay Round; political questions of timing, sequencing and building support for economic liberalization will be circulated a week before each conference. Papers/summaries of proceedings by CRED rapporteurs will be published.

Economic Growth: A Survey of Empirical Analyses and an Econometric Update, George Mason University Center for the Study of Public Choice, FY 1988, final report, 12/88. Funds: FY 1988 \$40,000 (Total \$78,400, Joint FY 1988 funding with AA/AFR and PRE/PR). Project manager, Alan Batchelder, PPC/EA, 647-8768. (In progress)

Contractor will survey and critique models used in empirical growth analysis, collect a data set for 1960-1985 (or 86), use data for analysis identifying variables associated with more rapid economic growth and compare its results with those of earlier high quality studies. Will devote particular attention to effects on growth of various government activities.

Economic Growth Scenarios for the U.S. and the Developing Economies, Wharton Econometrics, FY 88, Final report: 7/88. Funds: FY88:\$52,000. Project manager: James Mudge, EA, 64-79747. (In progress)

Forecasts using alternate assumptions on growth, shocks to the system, etc.

IMF Stabilization, Donor Assistance, and Growth, Center for Latin American Development Studies, Boston University, FY 88, Final report: 4/88. Funds: FY88:\$0 (Total:\$83,225). Project manager: Alan Batchelder, EA, 64-78558. (In progress)

Analyzes effects on growth of Costa Rica's IMF assisted 1982-83 stabilization program and means for U.S. and other donors to improve character of their support when stabilization and development programs must be combined in a developing nation.

Including the Excluded: Extending the Benefits of Development, Sequoia Institute, FY 1988. Final Report: Nos. 1-4 available 4/87; Nos. 5-7 available 10/87; Nos. 8-10 available 2/88; Nos. 11-14 available 6/88. Funding: FY88 \$300,000. Project manager: Neal Zank, PDPR/RP, 64-77071. (In progress)

Key development experts (mostly economists) are preparing papers on specific topics of special interest to policy makers interested in development. Research papers already have been prepared on policy reform, the informal sector, and trade. Future topics will include financial markets and democratic institutions. Authors and titles include

1. Fiscal Reform and Equity by Charles McClure.
2. Impact of Stabilization and Structural Adjustment Measures and Reforms on Agriculture and Equity by Erik Thorbecke.
3. Trade Strategies and the Poor Adjusting to New Realities.
4. Privatization and Equity in the LDCs by Elliot Berg.
5. Constraints on People: The Origins of Underground Economies and Limits to their Growth by Hernando de Soto.
6. Underground Economies: Generic or Sui Generis? by Janet Landa.
7. The Informal Sector: Policy Reform and Structural Transformation by Harvard Institute for International Development.
8. Policy Options and Liberalizing Trade in Agricultural Products: Addressing the Interests of Developing Countries by D. Gale Johnson.
9. Increasing Access to Markets for Manufactured Goods: Opportunities in the Uruguay Round by Robert Baldwin.
10. Development, Trade, and International Organizations by David Finch and Constantine Michalopoulos.
11. Money and Capital in Economic Development: A Retrospective Assessment by Lawrence H. White.
12. Latin American Contrasts: Capital Markets and Development in Chile and Argentina by Rolf Luders.
13. Too Little, Too Much, and the Lessons in Between: The Development of Capital Markets and Countries in History by L.E. Birdzell, Jr.
14. Micro-lending in the Development of Capital Markets by Muhammad Yunus.

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The Political Economy of Policy Change: A Comparative Analysis, Yale Economic Growth Center, FY 1988. Final report: 6/88. Funds: FY88:\$0. Project manager: James Mudge, EA, 64-79747. (In progress)

Analyzes why economic performance of some LDCs has been better than others even with similar advice and assistance. Intended to contribute to examination of quantitative performance indicators and to establishment of causal links between policy changes and performance for various sub-periods.

Postwar LDC Experience with Fundamental Tax Reform, Center for International Development Research, Duke University, FY 1988. Final report: 6/88. Funds: FY88--\$25,235 (Total \$470,000). Project manager: Neal Riden, EA, 64-79747. (In progress)

Cross-country review and analysis of postwar LDC experience with fundamental tax reform. Determines types of tax reform programs associated with increases in economic growth and employment. Examines how tax reform fits into broad economic policy framework.

The Problem of Development: Exploring Economic Development Through Free Enterprise, Institute for the Study of Free Enterprise Systems, SUNY-Buffalo, FY 1988. Final report 6/88 (all eight papers). Funding: FY88-\$200,000 (Total: \$1 million). Project manager: Neal Zank, PDPR/RP, 64-77071. (In progress)

State University of New York at Buffalo's Institute for Study of Free Enterprise Systems and Sequoia Institute are helping lay intellectual foundations of AID policy-oriented research on sustainable economic growth. Research papers are being prepared by prominent economists

1. Technological Change and Endogenous Productivity Growth by Paul Romer, University of Rochester.
2. Endogenous Innovation, International Trade, and Growth by Paul Krugman, MIT.
3. Public Policy and Economic Growth: Theory and Evidence by Robert King and Sergio Rebelo, University of Rochester.
4. Tax Policy and U.S. Economic Growth by Dale Jorgenson and Kun-Young Yun, Harvard University.
5. The Persistence of Unemployment as an Indicator of Economic Performance by Robert Barro, Harvard University.
6. Success or Failure in Attempts to Achieve Economic Take-off by Developing Countries by S. C. Tsiang of the Chung-Hua Institution for Economic Research and Cornell University.
7. Population Growth and Human Capital Investments: Theory and Evidence by Mark Rosenzweig, University of Minnesota.
8. Economic Growth, Human Capital and Population by Gary Becker and Kevin Murphy, University of Chicago.

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Review and Analysis of the Policy Framework Paper Process, pending competitive bidding, FY 1988, final report in April 1989. Funds: FY 1988 \$62,500 (Total: \$100,000, joint FY 1988 funding with AFR/DP and ANE/DP). Project manager: Alan Batchelder, PPC/EA, 647-8768. (Proposed)

Review/analysis of two years experience with Policy Framework Paper process. Will review literature, interview World Bank, IMF, and A.I.D. officials, interview bilateral donor officials in Ottawa, Paris, Brussels, London and Tokyo, conduct five country case studies with visits to Bangladesh, Nepal, Senegal, Uganda, and Zaire, and report to A.I.D. on implications of PFP experience for policy dialogue, uses of conditionality, and interactions with other bilateral donors, World Bank, and IMF.

Socioeconomic Impact of Macroeconomic Adjustment, Phase I, Center for Development Technology, International Science and Technology Institute. Final report: 1/88. Funds: FY88-\$0 (FY87:\$110,000). Project manager: Joan Atherton, PDPR/RP, 64-77034. (Completed)

Phase I found generally that the poor were not differentially adversely affected by structural adjustment policies. In some countries, reallocation of resources toward sustainable growth had begun.

Ten-Fifteen Year Growth and Debt-Servicing Prospects for the Philippines, National Bureau of Economic Research, FY 1988. Report available: 3/89. Funds: None FY88 (Total: \$40,000). Project manager: EA, Alan Batchelder, 64-78558. (In progress)

Analyzes growth and debt-servicing prospects for the Philippines over next 10-15 years. Evaluates alternative policies to maintain and promote appropriate capital flows.

## 2. Evaluation

Approaches to Policy-Based Non-Project Assistance, A.I.D. Evaluation Discussion Paper. Report: Initial-3/88; Final-7/88. Funds: In-house. Project manager: Joe Lieberson, CDIE/PPE, 875-4875. (In progress)

Reviews need for policy reform in many developing countries and provides theoretical basis for donor policy-based non-project or program lending. Examines specific conditionality approaches attached to program lending by World Bank, International Monetary Fund, and A.I.D. Addresses several operating characteristics of policy-based program lending, pros and cons of conditionality in A.I.D. programming, and importance and difficulties of measuring beneficiary impacts.

Community-Based Self-Help Action for Sustainable Production Reports:  
Initial-FY89, Final-FY89. Funds: In-house. Project manager: John  
Mason, CDIE/PPE, 875-4972. (Proposed)

Will examine how community-based, self-help activities improve production and economic growth. Will review A.I.D. experience in "participation" to increase productive capacity, stressing role of different types of sociocultural and institutional environments in managing local resources.

Compendium of Donor Experiences with Program Sustainability, Prepared by CDIE for DAC Expert Group on Aid Evaluation. Reports: Initial-4/88; Final-6/88. Funds: In-house. Project manager: W. Haven North, CDIE/PPE, 875-4964. (In progress)

To improve effectiveness of donor assistance, Development Assistance Committee's Expert Group on Aid Evaluation agreed to examine 1986 evaluations with respect to sustainability. DAC members found broad categories of factors that influenced sustainability: 1) management and organization, 2) host government policies, economic and financial, 3) technological and external environment (economic, political and socio-cultural) within which a project must operate.

Editing Development Issues, 1988, Under negotiation, FY 1988, Final product, 7/88. Funds: FY 1988 \$7,000. Project manager, James Mudge, PPC/EA, 647-8966.

The contractor will edit and prepare for publication Development Issues, 1988.

An Evaluation of Policy-Based Assistance in Asia Reports: FY89. Funds: FY88-\$32,000. Project manager: Joe Lieberson, 875-4875. (Proposed)

Field evaluations designed to obtain information about effectiveness and economic development impact of A.I.D. policy reform efforts in Asia. Will examine programs in Bangladesh and Indonesia. Will focus on policy reform to support financial and agricultural development.

Guidelines for the Evaluation of Cash Transfer Programs Reports: Initial-FY89, Final-FY89. Funds: In-house. Project manager: Joe Lieberson, CDIE/PPE, 875-4875. (Proposed)

Based on four recently completed country field evaluations of the impact of Cash Transfers on four Central American countries, CDIE proposes to prepare guidelines documenting evaluation issues, information needs and analytical/procedural approaches for evaluating cash transfer programs. Guidelines would outline basic information requirements for monitoring cash transfer programs and assist A.I.D. staff in writing sound scopes of work for mid-term and final program evaluations.

Issues in Planning and Implementing Policy Reform Programs Reports: FY89. Funds: FY89-\$20,000. Project manager: Joe Lieberman, CDIE/PPE, 875-4875. (Proposed)

Will review A.I.D. experience with policy reform. Will discuss issues and provide lessons for more effective planning and implementation processes. Will cover initial identification and development of policy reform package, design and negotiation of reform program, and management and information requirements for implementation.

Policy-Based Cash Transfer Programs, Case of Costa Rica. A.I.D. Evaluation Special Study. Reports: Initial-3/88; Final-8/88. Funds: FY88:\$0 (Previous years total: \$100,000 --includes case study of Jamaica with same contract). Project manager: Joe Lieberman, CDIE/PPE, 875-4875. (In progress)

Examines how A.I.D. applied conditionality to support Costa Rica's economic development during 1982-7 period. Examines A.I.D. reform measures to boost private sector development (i.e. privatization, export promotion, and foreign exchange rate reform) to determine effectiveness and economic development impact of A.I.D. program. Examines macroeconomic trends, A.I.D. contribution to stabilization and structural adjustment reform and program design, implementation and management.

Policy-Based Cash Transfer Programs, The Case of The Dominican Republic. A.I.D. Evaluation Special Study. Reports: Initial-3/88; Final-9/88. Funded by LAC. Project manager: Joe Lieberman, CDIE/PPC, 875-4875. (In progress)

Examines A.I.D. applied conditionality supporting Dominican Republic's economic development 1982-7. Examines A.I.D. reform measures to boost private sector development and lay basis for sustainable, long-term economic development. Focuses on effectiveness and economic development impact of Cash Transfer Program. Examines macroeconomic trends, A.I.D. contribution to stabilization, structural adjustment reform, program design, implementation and management.

Policy-Based Cash Transfer Programs, Case of Honduras. A.I.D. Evaluation Special Study. Reports: Initial-3/88; Final-9/88. Funded by LAC. Project manager: Joe Lieberman, CDIE/PPE, 875-4875. (In progress)

Examines A.I.D. applied conditionality to support Honduran economic development 1982-7. Examines A.I.D. reform measures to boost private sector development and lay basis for sustainable, long-term economic development. Focuses on effectiveness and economic development impact of Cash Transfer Program. Examines issues such as macroeconomic trends, A.I.D.'s contribution to stabilization, structural adjustment, program design, implementation and management.

Policy-Based Cash Transfer Programs, Case of Jamaica. A.I.D. Evaluation Special Study. Reports: Initial-3/88, Final-8/88. Funds: FY88:\$0. Project manager: Joe Lieberson, CDIE/PPE, 875-4875. (In progress)

Examines A.I.D. applied conditionality supporting Jamaica's economic development 1981-7. Examines A.I.D. reform measures to boost private sector development and lay basis for sustainable, long-term economic development. Reforms include 1) public sector divestment, 2) income tax reform, 3) market deregulation, 4) agricultural export promotion, 5) import liberalization, and 6) encouragement of private investment. Focuses on effectiveness and economic development impact of Cash Transfer Program. Examines macroeconomic trends, A.I.D. contribution to stabilization and structural adjustment reform, program design, implementation and management.

Technical Cooperation and Institution Building Reports: FY89. Funds: In-house. Project manager: Gary Hansen, CDIE/PPE, 875-4853. (Proposed)

A.I.D./UNDP study would examine effectiveness of alternate donor approaches to technical cooperation in building institutions. Using survey of donor experience with technical cooperation interventions aimed at developing institutional capacity, will provide insights for improved approaches for project identification, design, implementation and evaluation.

Transfer of Marketing Technology: Synthesis of A.I.D. Experience With Three Marketing Technologies, A.I.D. Evaluation Special Study. Report: Initial-8/88, Final-FY89. Funds: In-house. Project manager: Gerald Britan, CDIE/PPE, 875-4964. (In progress)

Technology transfer is fundamental to A.I.D. foreign assistance programs. Synthesis draws on series of field studies, workshops and extensive literature review to examine use and transfer of three marketing technologies: 1) commercial marketing systems analysis, 2) social marketing, and 3) development communication-education technology.

## B. Financial Deepening

### 1. Research

Harvard University, "Program on International Financial Systems.":  
FY 1988. Initial report: 7/88. Funds: FY88:\$25,000. Project  
manager: Neal Riden, EA, 64-70747. (In progress)

Systematic comparative analysis of how government policies and regulatory practices affect performance of financial systems and economic growth of developing countries.

Revision of Financial Analysis Guidelines in Handbook 3, Theodore H. Lustig and PPC/PDPR. Final report: 10/87. Funds: FY88-\$0 (FY87:\$9,800). Project manager: Jan van der Veen, PDPR/RP, 64-77068. (Completed)

Revises Financial Analysis Guidelines in Appendix 3D, Chapter 3 of A.I.D. Handbook 3 to provide clearer exposition of fundamentals and essential elements of financial analysis for Project Paper.

Tax Policy Reform and Financial Intermediaries in LDCs, Touche Ross and Co., FY 1988. Final report: 7/88. Funds: FY88:\$0 (Total: \$25,000). Project manager: Neal Zank, PDPR/RP, 64-77071. (In progress)

Reviews tax treatment of financial intermediaries in developing countries, its possible adverse effect on capital market development, and possible policy design of such taxation to improve efficiency of financial intermediaries. Study complements new A.I.D. financial assistance focus from credit provision to institutional development of financial intermediaries.

### 2. Evaluation

A.I.D.'s Experience with Development Finance Institutions in Latin America. A.I.D. Evaluation Special Study. Reports: Initial: 6/88; Final: 8/88. Funds: In-house. Project manager: Cressida McKean, CDIE/PPE, 875-4980. (In progress)

Reviews A.I.D. experience establishing and strengthening development financial institutions in Latin American. Summarizes impacts on private sector enterprises and financial and institutional viability. Assesses internal design, organization, management issues and policy concerns that influence performance of A.I.D.-supported institutions.

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Financial Intermediation and Equity Investment: Reflections on Recent Project Experience Reports: Initial-FY89, Final-FY89. Funds: In-house. Project manager: Cressida McKean, CDIE/PPE, 875-4980. (Proposed)

Would reviews A.I.D. experience establishing financial intermediaries that take equity investment in private enterprises. Will summarize strategic, institutional and management issues arising in course of recent project implementation.

### C. Private Sector Development

#### 1. Research

Agricultural Marketing Boards and Privatization, FY 1988. Reports: Not yet determined. Funds: FY88-\$110,000. Project manager: Thomas Dailey, PDPR/RP, 64-77109. (Proposed)

Studies privatization of commercial functions of agricultural marketing boards. Will build upon earlier research conducted by PPC/PDPR on agricultural pricing and political stability. Aims to assist mission efforts to open agricultural markets by removing from state control those commercial activities more efficiently placed in private sector. Will consider alternate ways to dismantle marketing boards.

Designing a Field Reporting Format to Measure A.I.D.'s Support of Indigenous Private Sector Development, KMA & Assoc., Final report: 12/87. FY88:\$0 (Total \$157,000). Project manager: Neal Zank, PDPR/RP, 64-77071. (Completed)

KMA developed methodology and reporting format to let A.I.D. determine proportion of its resources devoted to or used in manner that benefits private sector. Follow-on to analysis of FY 1985 expenditure data on "Categorizing A.I.D.'s Use of the Private Sector."

Policy Issues and Approaches in Privatizing State-owned Financial Institutions, FY 1988. (Proposed) Final Report: 11/88. FY88:\$175,000. Project manager: Neal Zank, PDPR/RP, 64-77071. (Proposed)

Would provide A.I.D. with information to increase understanding of and improve its policies and programs on privatization and financial institutions. Would 1) examine costs of extensive government interference in LDC banking systems; 2) describe benefits of privatizing parastatal banks; and 3) propose policy and related approaches to privatize parastatal banks. Study will be supported by case studies.

Political and Institutional Considerations in Equity Market Development, Arthur Young & Co., FY 1988. FY88:\$24,806. Project manager: Neal Zank, PDPR/RP, 64-77071. (Completed)

Examines structure and types of policies governing developing country equity markets so A.I.D. programs will be more relevant to changing needs of developing country financial systems. Develops significant policy options for encouraging equity markets in developing countries.

Political and Institutional Impediments to Privatization, L. Gray Cowan, FY 1988. Initial report: 7/88, Final: 3/89. Funds: FY88-\$17,128 (FY87: \$133,000). Project manager: Neal Zank, PDPR/RP, 64-77071. (In progress)

Provides information on political and institutional obstacles to privatization, and ways to overcome them; employee displacement problems caused by privatization; and techniques for utilizing A.I.D. resources to support privatization.

## 2. Evaluation

A.I.D.'s Experience with Small-Scale Enterprise Development Projects Reports: FY89. Funds: FY88-\$4,500 (Note: Estimated \$490,000 non-CDIE for FY88), FY89 funds. Project manager: Joe Lieberman, CDIE/PPE, 875-4875. (Proposed)

Would assess A.I.D. experience in microenterprise development. Considers differing approaches and techniques to assist microenterprises including alternative institutional delivery mechanisms. Identifies patterns of A.I.D. project elements, linkages, and interventions that generate success (the programs, institutions, and delivery techniques that work best, and under which condition. Will examine different types of microenterprises, their problems and different types of A.I.D. project approaches to determine which work best, under which conditions. Will be divided into three phases: 1) study of project evaluation documents, 2) field study of A.I.D. projects and 3) final synthesis.

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D. International Trade

1. Research

Effects of Liberalizing Trade-Related Agricultural Policies, SRI International, FY 1988, final report, 1/89. Funds: FY 1988 \$60,000. Project manager, James Mudge, PPC/EA, 647-8966. (Proposed)

Would examine domestic effects of current trade-related agricultural policies in developing nations, prepare six country case studies of nature and effects of such policies, and draw conclusions and make recommendations on possible appropriate policy reforms and their interaction with forthcoming trade negotiations.

2. Evaluation

Trade and Investment Promotion: Reflections on Recent Project Experience Reports: Initial-FY89, Final-FY89. Funds: In-house. Project manager: Cressida McKean, CDIE/PPE, 875-4980. (Proposed)

Would review A.I.D. experience promoting trade and investment and focus on Asia-Near East projects. Will involve field study of "what works and what doesn't." Would address design, strategy, and practical implementation issues of direct interventions to stimulate trade and investment activities including joint ventures and export promotion. Examines policy implications.

E. Agriculture and Rural Development

1. Research

Agrarian Structure, Land Tenure Center, University of Wisconsin, FY 1988. Final Report: 6/88. FY88:\$30,000. Project manager: Joan Atherton, PDPR/RP, 64-77034. (In progress)

Develops interactive computer software to model agrarian structure (i.e., distribution of land, labor and capital) in Latin American countries. To be used in policy dialogue to describe trends using previously unanalyzed agricultural census data from 1980s. Will serve as basis for testing and perfecting computer model. Will support Bipartisan Commission on Central America.

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Wharton Econometrics, "Consequences of Agricultural Trade Liberalization for Developing Economies Assisted by A.I.D.": FY 1988. Final report: 4/88. Funds: FY88:\$48,458. Project manager: James Mudge, EA, 64-79747. (Completed)

Reviews/critiques analyses of economic effects of agricultural trade liberalization. Assumes liberalization of trade in seven commodities and estimates foreign exchange effects and producer/consumer gains/losses for 52 A.I.D. assisted countries.

Land Tenure, Rural Credit and Agricultural Productivity in Sub-Saharan Africa, World Bank, 1986-1988. Final report: 12/88. Funds: FY88-\$20,000 (Total: \$280,000). Project manager: Joan Atherton, 64-77034. (In progress)

Uses PDPR/S&T/LAC/AFR research program on land tenure security and land markets and complementary World Bank study to support three case studies on Ghana, Kenya and Rwanda. World Bank provides all management and expatriate research staff. Supports comparative descriptive and econometric analysis done elsewhere. Outcome: 1) a series of policy seminars with African decisionmakers on linkage between improved tenure security and improved productivity, 2) improved internal guidance on linkage between agricultural development activities and land tenure security, 3) thorough review of opportunities and constraints to development of active land markets in Sub-Saharan Africa and 4) set of policy relevant studies. PPC/AFR.

Regenerative Agriculture, Rodale Research Center and Rodale Institute, 1987-1992. All reports were funded and available in prior fiscal years. Funds: FY88 none (Total: \$85,105). Project manager: Edward Lijewski, PDPR/SI, 64-77041. (Completed)

In 1987 with collaboration of AFR/TR and USAID/Kenya began first year (beginning October 1987) of five-year effort by Rodale International to establish Kenya Regional Information Exchange Network (KRIEN) for regenerative agriculture designed to adapt, test and promote regenerative low-input sustainable agriculture in Kenya and surrounding countries. USAID/Kenya assumed responsibility for carrying program forward. S&T/AGR is supporting continued research on legumes and vegetables.

Sources of Rural Income, International Food Policy Research Institute (IFPRI), FY 1988. Report: FY89. FY88:\$75,000. Project manager: Joan Atherton, PDPR/RP, 64-77034 (In progress)

Identifies rural employment and income sources at household level. Examines distribution of households above and below poverty line using alternate socioeconomic characteristics. Assesses policy priorities for more effective integration of poor into productive growth and development processes. Analyzes primary data in eight countries to assess A.I.D.'s allocations in agriculture account, to guide the development of employment and income-generating activities, and to provide information for policy dialogue in host countries regarding constraints to increasing growth of rural economy.

## 2. Evaluation

Agricultural Policy Analysis: A Manual for A.I.D. Agricultural and Rural Development Officers, A.I.D. Evaluation Special Study. Reports: Initial-5/88; Final-8/88. Funded by S&T bureau. Project manager: Gerald Britan, CDIE/PPE, 875-4964. (In progress)

Uses S&T/AG evaluation synthesis of experience with agricultural policy analysis projects to develop practical operational guidelines for A.I.D. field officers. Covers both project and program modes for achieving agricultural policy reform objectives.

Agricultural Policy Analysis and Planning: A Summary of Two Recent Analyses, A.I.D. Evaluation Special Study. Reports: Initial-4/88; Final-7/88. Funded by S&T bureau. Project manager: Gerald Britan, CDIE/PPE, 875-4964. (In progress)

Reviews A.I.D. experience in supporting agricultural policy and planning projects. Presents preliminary findings of two studies that reviewed 129 A.I.D. agricultural policy and planning projects. Concluded that A.I.D. has considerable success in building capacity to analyze policy issues in host country governments, but has had less success with these projects in fostering policy reform. Concludes with lessons learned from experience and recommendations for future project design to enhance impact on policy decisions.

Agroforestry Projects for Small Farmers: A Project Manager's Reference, A.I.D. Evaluation Special Study. Reports: Initial-3/88; Final-6/88. Funds: In-house. Project manager: Sieu Tuan Chew, CDIE/PPE, 875-4829. (In progress)

Summarizes major issues identified in general literature and on-going A.I.D. sponsored agroforestry projects. Encourages farmers to grow trees using species and techniques that sustain or contribute to crop/livestock production and provide fuelwood/lumber products. Provides overview of A.I.D. support for agroforestry activities. Describes state-of-the-art agroforestry techniques. Discusses key technical, policy, institutional, economic issues in designing and implementing agroforestry projects.

A.I.D.'s Experience with Innovative Approaches to Agricultural Extension, A.I.D. Evaluation Occasional Paper. Final report: 9/88. Funds: In-house. Project manager: Gerald Britan, CDIE/PPE, 875-4964. (In progress)

Reviews A.I.D. experience with innovative approaches to agricultural extension relative to experience of other donors, American extension, and larger extension literature. Provides descriptive analysis and selected case studies of recent A.I.D. innovative extension projects. Concludes most A.I.D. extension activities over past decade attempted to strengthen existing extension systems or create parallel extension organizations through training and technical assistance. Recent project design papers indicate some innovative extension approaches are now being implemented.

A.I.D.'s Experience with Rural Development: Project-Specific Factors Affecting Performance. A.I.D. Evaluation Occasional Paper. Reports: Initial-1/88; Final-5/88. Funds: In-house. Project manager: Annette Binnendijk, CDIE/PPE, 875-4846. (In progress)

Prepared for World Bank seminar on donor experience with rural development. Assesses A.I.D. experience. Discusses project-related factors that influenced rural development project performance including choices concerning management strategies and organizational structures, appropriate technologies and other design, monitoring and evaluation issues.

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Assessment of A.I.D. Activities to Promote Agricultural and Rural Development in Sub-Saharan Africa. A.I.D. Evaluation Special Study. Reports: Initial-2/88; Final-5/88. Funded by AFR bureau. Project manager: W. Haven North, AAA/PPC/CDIE, 875-4810. (In progress)

Assesses A.I.D. agricultural development in Sub-Saharan Africa over past 25 years. Part of broader effort by eight donors under World Bank leadership to reexamine and compare assistance to African agricultural development. Focuses on 1) relationship between domestic policies and agricultural development, 2) politics of agricultural policy, and 3) effectiveness of donor assistance. Examines experience in six countries representing different development philosophies, ecological zones and geographic regions: Kenya, Malawi, and Tanzania in East Africa, and Cameroon, Nigeria, and Senegal in West Africa.

Costa Rica--Soybean Production and Food-mix Processing Project: Technology Transfer Series. A.I.D. Evaluation Special Study. Final report: 9/88. Funds: FY88-\$0 (Previous years total-\$20,700). Project manager: Gerald Britan, CDIE/PPE, 875-4964. (In progress)

Evaluated Costa Rican experience with low-cost extrusion cooking (LEC). Part of field study for technology transfer series. Grant to CARE financed development of LEC plant to substitute for imported P.L. 480 products used in public school feeding and maternal child health nutrition programs. Project successfully established local soybean production and developed range of soy-products. Represents example of commercial and social marketing technology. Stronger overall management needed in transfer effort. Continued operation of the LEC plant is jeopardized by failure to resolve responsibilities among the Government, CARE, and A.I.D. for plant management and supply of raw commodities.

Crop Diversification and Export Promotion Reports: FY89. Funds: In-house. Project manager: Kerry Byrnes, CDIE/PPE, 875-4961. (Proposed)

Reviews A.I.D. experience in designing, implementing, and evaluating projects to strengthen capability of developing countries to produce non-traditional crops for agricultural markets.

Djibouti Fisheries Development Project: Technology Transfer Series, A.I.D. Evaluation Special Study. Final report: 9/88. Funds: FY88-\$0 (Previous years total: \$12,000). Project manager: Gerald Britan, CDIE/PPE, 875-4964. (In progress)

Analyzes transfer of hard and soft technologies for fisheries development to assist government ministry and fisheries cooperative in setting up and improving handling, storage, and marketing of fish. Project impacted artisanal fishermen and poor consumers, including refugees, mothers and children. Examined social marketing and nutrition education efforts by Catholic Relief Services.

Impacts of Agricultural Policy Reforms on Small Farmers Reports: Initial-FY89; Final-FY89. Funds: FY89-\$50,000. Project manager: Ray Solem, CDIE/PPE, 875-4841. (Proposed)

Examines in a selected African country perspectives of small farmers regarding impacts of policy reform measures on their productivity and welfare. Utilizes various rapid, low cost techniques for gathering information from farmer groups.

Negotiating and Programming Food Aid: Lessons from Experience. A.I.D. Evaluation Special Study. Reports: Initial-3/88; Final-5/88. Funds: FVA Bureau. Project manager: Joe Lieberson, CDIE/PPE, 875-4875. (In progress)

Prepared by A.I.D. Bureau for Food for Peace and Voluntary Assistance and to be published by CDIE. Summarizes conclusions and recommendations of five case studies of PL 480 food aid in Haiti, Mali, Pakistan, Tunisia, and Zambia. Focuses on identifying, negotiating, implementing and reporting on "self-help" provisions (i.e. policy reform conditions) and on programming and monitoring local currency use.

Northeast Brazil Agricultural Marketing Project: Technology Transfer Series, A.I.D. Evaluation Special Study. Final report: 9/88. Funds: FY88-\$0 (Previous years total \$36,000). Project manager: Gerald Britain, CDIE/PPE, 875-4964. (In progress)

Evaluated Northeast Brazil Agricultural Marketing Project begun in 1971 as part of a \$100 million nation-wide program. Laid groundwork for \$15 million A.I.D. loan on commercial market systems analysis carried out by Michigan State University. Technology transfer effort included 1) planning and construction of marketing network from wholesalers to producers and rural assembly markets; 2) technical assistance for grades and standards and a market news service; and 3) training in market management, sanitary procedures and produce handling.

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Djibouti Fisheries Development Project: Technology Transfer Series, A.I.D. Evaluation Special Study. Final report: 9/88.  
Funds: FY88-\$0 (Previous years total: \$12,000). Project manager: Gerald Britan, CDIE/PPE, 875-4964. (In progress)

Analyzes transfer of hard and soft technologies for fisheries development to assist government ministry and fisheries cooperative in setting up and improving handling, storage, and marketing of fish. Project impacted artisanal fishermen and poor consumers, including refugees, mothers and children. Examined social marketing and nutrition education efforts by Catholic Relief Services.

Impacts of Agricultural Policy Reforms on Small Farmers  
Report : Initial-FY89; Final-FY89. Funds: FY89-\$50,000.  
Project manager: Ray Solem, CDIE/PPE, 875-4841. (Proposed)

Examines in a selected African country perspectives of small farmers regarding impacts of policy reform measures on their productivity and welfare. Utilizes various rapid, low cost techniques for gathering information from farmer groups.

Negotiating and Programming Food Aid: Lessons from Experience. A.I.D. Evaluation Special Study. Reports: Initial-3/88; Final-5/88. Funds: FVA Bureau. Project manager: Joe Lieberson, CDIE/PPE, 875-4875. (In progress)

Prepared by A.I.D. Bureau for Food for Peace and Voluntary Assistance and to be published by CDIE. Summarizes conclusions and recommendations of five case studies of PL 480 food aid in Haiti, Mali, Pakistan, Tunisia, and Zambia. Focuses on identifying, negotiating, implementing and reporting on "self-help" provisions (i.e. policy reform conditions) and on programming and monitoring local currency use.

Northeast Brazil Agricultural Marketing Project: Technology Transfer Series, A.I.D. Evaluation Special Study. Final report: 9/88. Funds: FY88-\$0 (Previous years total \$36,000). Project manager: Gerald Britain, CDIE/PPE, 875-4964. (In progress)

Evaluated Northeast Brazil Agricultural Marketing Project begun in 1971 as part of a \$100 million nation-wide program. Laid groundwork for \$15 million A.I.D. loan on commercial market systems analysis carried out by Michigan State University. Technology transfer effort included 1) planning and construction of marketing network from wholesalers to producers and rural assembly markets; 2) technical assistance for grades and standards and a market news service; and 3) training in market management, sanitary procedures and produce handling.

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Promoting Rural Incomes for Sustainable Economic Growth

Reports: FY89. Funds: FY89-\$100,000. Project manager: Annette Binnendijk, CDIE/PPE, 875-4846. (Proposed)

Reviews literature and selected A.I.D. documents on rural economic growth and correlates of increased rural income. Examines rural/urban linkages and reciprocal nature of rural/urban economic growth. Accords special importance to urban diffusion of economic functions from intermediate sized regional centers and small market towns which facilitate rural income growth. Researches distribution systems for agricultural products, urban goods and services, conditions for rural industrialization, and urban jobs for rural migrants. Examines legal, political, and economic infrastructure.

Small Farmer Perspectives on Development, A.I.D. Evaluation Discussion Paper. Reports: Initial-3/88; Final-6/88. Funds: FY88-\$0 (Previous years total \$13,700). Project manager: Ray Solem, CDIE/PPE, 875-4814. (In progress)

Reviews primary research, mostly Ph.D. dissertations, of long-term observations of small farm families in development impacted environments. Builds framework to categorize diversified economic enterprises of small farm households with development priorities that guide farmer decision-making. Presents their perspectives and behavior in rapidly changing environment and examines implications for development practitioners.

Small Farmer Perspectives on Development in Northeast Thailand, A.I.D. Evaluation Special Study. Reports: Initial-3/88; Final-6/88. Funds: FY88-\$0 (Previous years total: \$50,000). Project manager: Ray Solem, CDIE/PPE, 875-4814. (In progress)

Addresses current problem that A.I.D. evaluation system generates relatively little information on project effectiveness from perspective of intended beneficiaries. Examines Northeast Thailand's small farmer assessments of various donor assisted agriculture development projects for increasing productivity and improving standards of living. Examines effectiveness in providing inputs and long-term impact on welfare. Tested innovative, low cost, rapid appraisal techniques for gathering information from farmers. Offered applied research methodology to augment present A.I.D. monitoring and evaluation approaches.

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Strengthening Agricultural Producer Organizations Reports:  
Initial-FY89, Final-FY89. Funds: In-house. Project manager:  
Kerry Byrnes, CDIE/PPE, 875-4961. (Proposed)

Reviews A.I.D. experience in projects strengthening agricultural producer organizations that provide inputs including land, water, research, extension, inputs, capital, labor, market information, transport and policy to improve farmer productivity and income. Identifies key constraints to project implementation; lessons learned for project design, implementation, and evaluation; and resources to manage design, implementation, and evaluation.

Synthesis of A.I.D. Experience: Farming Systems Research and Extension. A.I.D. Evaluation Special Study. Reports: Initial-6/88; Final-8/88. Funds: In-house. Project manager: Kerry Byrnes, CDIE/PPE, 875-4961. (In progress)

Reviews A.I.D. experience with farming systems research and extension (FSR/E). Provides information to improve design, implementation, and evaluation of projects involving FSR/E.

## F. Natural Resources and Environment

### 1. Research

Demonstrating the Use of Economic Incentives for Conserving Biological Diversity in Thailand, International Union for the Conservation of Nature and Natural Resources, FY 1988-1989. Report: 8/89. Funds: FY88-\$105,000. Project manager: Jeff Schweitzer, PDPR/RP, 64-77052. (In progress)

Allows on-the-ground implementation of recommendations contained in Guidelines resulting from current IUCN project described above. Allows senior government officials to discuss implementing cross-sectoral policies to promote biodiversity.

Policy Reform and Natural Resource Management in Sub-Saharan Africa, FY 1988. Report: Planned for FY89. Funds: FY88-\$25,000. Project manager: Patricia Kcshel, PDPR/RP, 64-77059. (Proposed)

Examines how existing policy reforms affect natural resource use. Examines policy options for policy reform packages to better assure protection and improved management of natural resources. PPC/AFR.

Preservation of an Endangered Marine Mammal in Mauritania: Policy Implications for Preserving Biological Diversity in Developing Countries, Tethys Research Institute, FY 1988-89. Final Report: 8/89. Funds: FY88-\$80,000. Project manager: Jeff Schweitzer, PDPR/RP, 64-77052. (In progress)

Examines how combination of policies and social, economic, and political conditions affect, in one case, the preservation, and in another case, the near extinction of endangered species. Extracts general principals to better understand policies and approaches to preserve biodiversity in a manner consistent with promoting economic growth.

## 2. Evaluation

Environmental Assessments of Development Projects: A Preliminary Review of A.I.D.'s Experience, A.I.D. Evaluation Occasional Paper. Reports: Initial 3/88, Final: 6/88. Funds: In-house. Project manager: Sien Tuan Chew, CDIE/PPE, 875-4829. (In progress)

Describes key features of A.I.D. procedures screening projects for factors mitigating foreseeable negative environmental effects. Discusses preliminary lessons and issues drawn from A.I.D.'s experience in implementing them.

Issues in Natural Resource Management and Sustainable Agriculture Reports: Initial-FY89, Final-FY89. Funds: In-house. Project manager: Sien Tuan Chew, CDIE/PPE, 875-4829. (Proposed)

Draws on experience of A.I.D. projects currently being implemented to review natural resource management issues relative to A.I.D. commitment to protect tropical forests and fragile lands and to promote sustainable agriculture.

A Review of the Environmental Assessment and Monitoring Process in A.I.D. Reports: FY89. Funds: FY88-\$0 (Previous years total-\$10,000) FY89:\$50,000. Project manager: Annette Binnendijk, CDIE/PPE, 875-4846. (Proposed)

Examines environmental impact assessment process of A.I.D. agricultural and rural development projects. Cases trace process from project identification to design. Examines how determination for environmental assessments were made. Examines mechanisms to monitor and evaluate actual project impacts on environment.

#### G. Energy

##### 1. Research

No Entry

##### 2. Evaluation

No Entry

#### H. Human Resource Development

##### 1. Education and Training

###### a. Research

Sponsored and Nonsponsored Foreign Students, Institute for International Education (IIE) and SUNY/Albany, FY 1987-1988. Final report: 6/88. Funds: FY88-\$0 (total:\$63,135). Project manager: Francis Method, PDPR/SP, 64-77001. (In progress)

Compares foreign students in U.S. universities by type and level of financial sponsorship, socio-economic characteristics, field of study and university, etc. Completed research expected for circulation Summer 1988. No FY88 funds used.

b. Evaluation

A.I.D. Experience with Management Training Institutions

Reports: FY89. Funds: FY89-\$120,000. Project manager: Gary Hansen, CDIE/PPE, 875-4853. (Proposed)

Reviews A.I.D. project experience with developing management training institutions. Assesses relevance of training to host country needs, management staff in public and private sectors, and trained managers in terms of organizational performance and efficiency. Provides practical lessons for designing more relevant effective management training institutions in future.

Building National Capacity in the Social Sciences: Insights from Experience in Asia, A.I.D. Evaluation Special Study.

Reports: Initial-6/88, Final: 12/88. Funds: FY88-\$0 (Total: \$100,000). Project manager: Ray Cohen, CDIE/PPE, 875-4988. (In progress)

Examines effects of overseas graduate training in U.S. on careers of Asian social scientists, particularly in terms of impact on building host country capacity to apply social science methods in research, teaching, and policy and planning areas. Examines social science training programs in Asian countries of Ford and Rockefeller foundations, Agricultural Development Council, International Development Research Centre of Canada, and A.I.D. A.I.D. component focuses on programs in India and Indonesia.

Enhancing the Role of the Agricultural University: A Synthesis of Findings. Reports: Initial-1/89, Final-6/89. Funds: FY88-\$100,000 (Conference costs). Project manager: Gary Hansen, CDIE/PPE, 875-4853. (Proposed)

Summarizes findings of comparative study of agricultural universities in ten Third World countries. Discusses factors associated with university impact on rural development including concept of agricultural university as agent of change in rural sector; university strategies to enhance rural development; kinds of educational, research, and extension programs which mobilize student/faculty learning and involvement in rural change; management structures and institutional mechanisms allowing university to engage in effective program implementation; and agenda priorities so donor investments can yield sizeable returns.

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Ethiopia: Alemaya Agricultural University. A.I.D. Project Impact Evaluation. Reports: Initial-6/88, Final-8/88. Funds: FY88-\$25,000. Project manager: Gary Hansen, CDIE/PPE, 875-4853. (In progress)

Evaluates Alemaya University--one of first agricultural universities A.I.D. assisted with projects beginning in 1952 and ending in 1968.

Evaluating the Impact of International Training for Development in Zaire: A Case Study of the Studies and Planning Service. A.I.D. Evaluation Special Study. Reports: Initial-4/88, Final-9/88. Funds: FY88-\$0 (Previous years total: \$15,000). Project manager: Ray Cohen, CDIE/PPE, 875-4988. (In progress)

Evaluates impact of A.I.D. training project designed to improve professional staff capabilities in agricultural economics and statistics within planning and studies unit of Zaire Department of Agriculture. Training program is evaluated at several levels, including individual trainee's performance on job and utilization of training skills, organization's benefits from training program, and ultimate beneficiaries impacted by outputs/services of organization.

Impact of A.I.D. Participant Training Programs: Synthesis of Findings and Lessons Reports: FY89. Funds: FY89-\$25,000. Project manager: Ray Cohen, CDIE/PPE, 875-4988. (Proposed)

Summarizes analysis, findings and lessons concerning developmental impacts of A.I.D. participant training programs using three case studies in Nepal, Indonesia and Kenya. Analyzes training programs by impact on returned participants' careers, organizational performance/accomplishments, and broad development institutions, sectors and goals. Examines key factors associated with capacity of returned participants to positively influence their careers, organizations, and broader development goals. Extracts lessons learned for designing future participant training programs. Assesses methodological impacts of participant training programs including use of surveys, organizational case studies, key informant, and in-depth interview techniques.

Indonesia: Bogor Institute of Agriculture. A.I.D. Project Impact Evaluation. Reports: Initial-6/88, Final-8/88. Funds: FY88-\$0 (Previous years total: \$34,300). Project manager: Gary Hansen, CDIE/PPE, 875-4853. (In progress)

Study of Bogor Institute of Agriculture, Indonesia's premier national agricultural university.

Kasetsart University in Thailand: An Analysis of Institutional Evolution and Development Impact. A.I.D. Project Impact Evaluation. Reports: Initial-3/88, Final-6/88. Funds: FY88-\$60,000. Project manager: Gary Hansen, CDIE/PPE, 875-4853. (In progress)

Impact study of Kasetsart University. Kasetsart is one of Thailand's major agricultural education and research universities.

Participant Training Impact Evaluation in Indonesia, A.I.D. Project Impact Evaluation Report. Reports: FY89. Funds: FY88-\$0 (Previous years total: \$40,000), FY89-\$40,000. Project manager: Ray Cohen, CDIE/PPE, 875-4988. (In progress)

One of series of participant training impact evaluations. Assesses impact of training on careers of returned participants; impacts of returned trainees on performance and accomplishments of their organizations; impacts on larger socio-economic institutions; and impacts on achievement of wider development goals. Draws lessons based on Indonesian experience relevant to future design of participant training programs to enhance development impact.

Participant Training Impact Evaluation in Kenya, A.I.D. Project Impact Evaluation Report. Reports: FY89. Funds: FY88-\$1,500 (Previous years total-\$40,000), FY89-\$40,000. Project manager: Ray Cohen, CDIE/PPE, 875-4988. (In progress)

One of three impact evaluations of A.I.D. participant training programs. Examines participant training programs in terms of trainees' career performance and utilization of training skills, impacts on organizational performance/accomplishments and effects on broader development institutions, sectors and goals. Examines key factors associated with capacity of returned participants to positively influence careers, organizations and broader development goals. Provides lessons learned for future training programs.

Participant Training Impact Evaluation in Nepal, A.I.D. Project Impact Evaluation Report. Reports: Initial: FY89, Final: FY89. Funds: FY88-\$18,000 (Previous years total: \$80,500). Project manager: Ray Cohen, CDIE/PPE, 875-4988. (In progress)

One of series of impact evaluations of A.I.D. participant training programs. Focuses on Nepal. Assesses how long-term, A.I.D.-sponsored training has affected careers of returned participants and how participants have in turn affected performance of organizations and achievement of wider development goals. Provides reliable information to guide future decisions on how participant training programs can best be designed to support A.I.D.'s larger institution building and development initiatives.

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Past Accomplishments and Future Prospects: A Study of Agricultural Universities in Brazil. A.I.D. Project Impact Evaluation. Reports: Initial-8/88, Final-9/88. Funds: FY88-\$15,000 (Previous years total: \$29,500). Project manager: Gary Hansen, PDPR/PPE, 875-4853. (In progress)

Evaluates impact of agricultural universities in Brazil on rural sector. Initiated in November 1986.

The Post-graduate Agricultural College at Chapingo: Institutional Innovation for Strategic Impact. A.I.D. Special Study. Reports: Initial-8/88, Final-9/88. Funds: FY88-\$10,000 (Total: \$9,200). Project manager: Gary Hansen, CDIE/PPE, 875-4853. (In progress)

Examines unique way in which post-graduate agricultural college in Chapingo, Mexico has defined its role in rural development and organized its faculty in research and extension to exercise a major leadership role in Mexico's rural development effort.

A Review of the Management Training of A.I.D.-Sponsored Participants, A.I.D. Evaluation Occasional Paper. Reports: Initial-4/88, Final-8/88. Funds: FY88-\$0 (Previous years total: \$10,000). Project manager: Ray Cohen, CDIE/PPE, 875-4988. (In progress)

Examines nature and extent management training is provided to academic and technical participants sponsored by A.I.D. Attempts to determine whether more and better management training is needed and how it can be provided.

Universities for Development: A Joint Indo-U.S. Impact Evaluation of Indian Agricultural Universities. A.I.D. Project Impact Evaluation. Advance Copy distributed February 1988. (5) Final report: 9/88. Funds: FY88-\$45,500 (Previous years total: \$222,300). Project manager: Gary Hansen, PDPR/PPE, 875-4853. (In progress)

Focuses on impact of universities. Analyzes problems and issues needed to be addressed in enhancing future contribution of universities.

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## 2. Population

### a. Research

Balancing Policy Objectives in Family Planning, Association of Voluntary Surgical Contraception, FY 1988. Final report: FY89. Funds: \$25,000 FY:88. Project manager: Connie Carrino, PDPR/SP, 64-77026. (Proposed)

Investigates how population policy objectives of improving access to family planning, in this case Voluntary Surgical Contraception (VSC), can be coupled with sustainability. Addresses potential role of private sector provision of VSC. Assists A.I.D. program designers attempting to balance sector-specific goals with general financial/institutional objectives.

Economic Impact of the Family Planning Program in Thailand, Family Health International, FY 1986-1988. Final report: . Funds: FY88-\$0 (FY87-\$10,000). Project manager: Anna Quandt, PDPR/RP, 64-77057. (In progress)

Determines influence of successful family planning on economic growth in Thailand. Over past decade, Thailand has undergone profound change in fertility behavior with substantial economic growth positively impacting average family. Investigates whether fertility changes have contributed to economic improvements for family/nation. Supports Thailand Development Research Institute in Bangkok in developing econometric model to determine national/household benefits of reduced fertility. Simultaneously, sociologist/demographer John Knodel of University of Michigan is using survey and qualitative household interviews to look at same variables.

Informed Choice, Family Health International, FY 1987-1988. Final report: I/89. Funds: FY88-\$0, FY87-\$280,000. Project manager: Alene Gelbard, PDPR/SP, 64-77033. (In progress)

Develops and tests approaches to improve client access and information concerning contraceptive choices. A fundamental principle of U.S. population assistance is informed choice--the right of couples to information about and access to a range of contraceptive services. Funded in 1987.

Marketing Approach to Demand Assessment in Family Planning, Olivia Holmes, Ltd., 1988. Final report: 7/88. Funds: FY88-\$0 (Total: \$10,000). Project manager: Connie Carrino, PDPR/SP, 64-77026. (In progress)

assesses A.I.D. reliance on private-sector marketing techniques. Utilizes experience of private sector market researcher to share private sector experience making program decisions using market research techniques. Currently A.I.D. evaluation and monitoring of contraceptive marketing relies on private-sector market research (e.g. focus groups, intercept surveys) and traditional social science research (e.g. contraceptive prevalence surveys.)

b. Evaluation

Egypt--Family of the Future Contraceptive Social Marketing: Technology Transfer Series, A.I.D. Evaluation Special Study. Reports: Initial: 4/87, Final-9/88. Funds: FY88-\$0 (Previous years total: \$22,500). Project manager: Gerald Britan, CDIE/PPE, 875-4964. (In progress)

Examines Family of the Future (FOF) program and compares it with National Control of Diarrheal Diseases Project (NCDDP), which created semi-private organization to use social marketing technology to distribute oral rehydration therapy throughout Egypt. Projects succeeded in achieving widespread and targeted impact. Social marketing technology was successfully transferred and adapted, overcoming many obstacles. Director, trained in commercial marketing in U.S., set entrepreneurial tone that addressed consumer preferences, developed in-house wholesale distribution system, and exploited fully short-term technical assistance, especially in marketing research. However, A.I.D. supplied contraceptives provide continuing subsidy to FOF. FOF is parastatal created by Egyptian Government to employ contraceptive social marketing technology.

Evaluation of the Communication for Young People Project, A.I.D. Evaluation Special Study. Reports: Initial-3/88, Final-6/88. Funds: FY88-\$0 (Previous years total: \$25,000). Project manager: Nena Vreeland, CDIE/PPE, 875-4852. (In progress)

Assesses impacts of regional mass media campaign in 1986 to promote sexual responsibility among young adults in Latin America. Media campaign developed around two songs featured in music videos, television commercials, radio spots, records, and other approaches. Songs stayed at top of popular charts most of 1986. Assesses if social objectives of reaching intended target audience (12 to 18 year olds) with messages of encouraging sexual responsibility, postponement of sex, and seeking counseling services had been achieved. Uses combination of sample surveys, focus group interviews, content analysis of fan letters, and key informant interviews to conclude that target audience properly interpreted messages of greater sexual responsibility and postponement of sex, although it was not possible to definitely attribute changes in attitudes and behavior to campaign.

3. Child Survival

a. Research

No Entry

b. Evaluation

No Entry

4. Food Assistance/Nutrition

a. Research

Contextual Analysis of Growth Monitoring, Community Systems Foundation (CSF), FY 1988. Report: 7/88. Funds: FY88-\$0 (Total: \$42,327). Project manager: Nancy Pielemeier, PDPR/SP, 64-77027. (In progress)

Analyzes contextual factors which influence potential effectiveness of growth monitoring as an organizing principle or catalyst in nutrition/health/family planning programs. Will be completed in June 1988. Results will influence policy and strategy development on growth monitoring for child survival.

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b. Evaluation

No Entry

5. Health (Not Covered Elsewhere)

a. Research

Costs and Coverage of Immunization, John Snow, Inc., Final report: 6/88. Funds: FY88-\$0 (Total: \$100,000). Project manager: Nancy Pielemeier, PDPR/SP, 64-77027. (In progress)

Develops policy issues framework to examine relationship among immunization program strategies, financing, and coverage levels. Provides information to balance benefits of A.I.D. policies encouraging increased immunization coverage and recurrent costs. Provides better understanding of cost-effectiveness of different immunization strategies and suggestions for appropriate country-specific strategy mixes.

b. Evaluation

Honduras--Mass Media and Health Practices Project: Technology Transfer Series, A.I.D. Evaluation Special Study. Reports: Initial-4/87, Final-9/88. Funds: FY88-\$0 (Previous years total: \$21,200). Project manager: Gerald Britan, CDIE/PPE, 875-4964. (In progress)

Mass Media and Health Practices Project developed and demonstrated public health communications methodology, derived from technology of development communication and education and elements of social marketing. Develops, tests, and demonstrates marketing technology. Uses technology to spread proper use of oral rehydration therapy (ORT) to prevent dehydration from diarrhea.

PVOs Reach Out: A Summary of Thirteen Primary Health Care Project Evaluations, A.I.D. Evaluation Special Study. Reports: Initial-3/88, Final-9/88. Funds: FVA Bureau. Project manager: Lois Godiksen, CDIE/PPE, 875-4845. (In progress)

Synthesizes findings of 13 PVO health and nutrition project evaluations that received matching grant funds from A.I.D. Projects assessed in terms of results, design/management, building local capacity, and various special issues. Provides conclusions and recommendations to improve PVO health project design and implement efforts. Prepared for FVA/PPM and published by CDIE.

The Sustainability of U.S.-Supported Health, Population, and Nutrition Programs in Honduras: 1942-1986. A.I.D. Evaluation Special Study. Reports: Initial-3/88, Final-9/88. Funds: FY88-\$0 (Previous years total: \$107,000). Project manager: Lois Godiksen, CDIE/PPE, 875-4845. (In progress)

Assesses health program sustainability. A retrospective historical field review of U.S.-supported health projects in Honduras since 1940s. Examined hypotheses on ability of Honduran Government to sustain programs after A.I.D. assistance terminated. Eighteen A.I.D. projects provided data for case studies, including malaria eradication, water and sanitation systems, family planning, nutrition improvement, primary health care and other programs.

Sustainability of U.S. Supported Health Programs in Five African Countries. A.I.D. Evaluation Special Study. Reports: FY89. Funds: FY88-\$79,200 planned. Project manager: Lois Godiksen, CDIE/PPE, 875-4845. (In progress)

Provides historical analyses of health program sustainability in five African countries: Ghana, Zaire, Tanzania, Botswana and Senegal. Focuses on A.I.D. health programs. Examines hypotheses concerning importance of key project characteristics and contextual factors in environment that appear to affect sustainability after donor funding ends.

Sustainability of U.S. Supported Health Programs in Guatemala, A.I.D. Evaluation Special Study. Reports: Initial-3/88, Final-9/88. Funds: FY88-\$0 (Previous years total: \$84,000). Project manager: Lois Godiksen, CDIE/PPE, 875-4845. (In progress)

Studies sustainability of U.S. funded health projects in Guatemala since 1940s. Identifies characteristics of projects and conditions for likely continuation of project activities and benefits after A.I.D. funding stops. Examines importance of 1) contextual factors as political regime, the social, cultural and economic context, national commitment to project goals, and donor coordination; and 2) project characteristics as project negotiation process, institutional/managerial characteristics, financing, technical requirements, community participation/acceptance, and project effectiveness. Examines 19 U.S. supported health project initiatives in Guatemala since 1942, including health services, water/sanitation, malaria eradication, family planning, and nutrition.

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Sustainability of U.S. Supported Health Programs: Synthesis of Findings and Lessons Reports: FY 89. Funds: FY89-\$20,000. Project manager: Lois Godiksen, CDIE/PPE, 875-4845. (Proposed)

Synthesizes and analyzes findings/lessons concerning sustainability of A.I.D. health programs. Uses series of in-depth, historical country case studies. Reviews findings on hypotheses about sustainability of external contextual factors as political regime, social, cultural, and economic context, national commitment/policies, donor coordination, and of internal project characteristics as project negotiation, institutional/managerial factors, financing, technical requirements, community participation, and project effectiveness. Makes recommendations concerning design/implementation of future health programs/strategies to achieve greater sustainability.

Synthesis Study of the Factors of Sustainability in A.I.D. Health Projects, A.I.D. Evaluation Occasional Paper. Reports: Initial-1/89, Final-FY89. Funds: FY88-\$15,000. Project manager: Joe Lieberson, CDIE/PPE, 875-4875. (In progress)

Analyzes factors affecting project sustainability from review of evaluation documents of 62 completed A.I.D. health projects. Factors identified as important to sustainability include economic and financial factors; technical, design and implementation factors; management and institutional factors; and external policy, bureaucratic, and social/cultural context factors. Lessons from review of health project experiences and recommendations for project designers provided in study as checklist for improving project sustainability.

## 6. Special Issues

### a. AIDS

Research--Socio-economic and Demographic Impact of AIDS, Population Council, FY 1988. Final report: 12/89. Funds: FY88-\$100,000. Project manager: Connie Carrino, PDPR/SP, 64-77026. (In progress)

Partial support to design computerized model to study impact of AIDS. Assists A.I.D. to assess impact of AIDS on country's political, economic and social structure and to adequately review and develop A.I.D. policies and sector interventions.

Evaluation--No Entry

b. Aging

Research--No Entry

Evaluation--No Entry

c. Other

Research--No Entry

Evaluation--No Entry

### I. Other Topics

#### 1. Democratic Institution Building

a. Research

Democracy in Developing Countries, Hoover Institute, FY 1987-1988. Final report: 1/89. Funds: FY88-\$0 (FY87: \$100,405). Project manager: Travis Horel, PDPR/SP, 64-77066. (In progress)

Second part of three year project to focus on steps to enhance democratic infrastructure with obstacles and constraints facing democratic nations. Examines inter-relationships and linkages between economic growth and democratic stability or freedom.

b. Evaluation

Effective Donor Support for Decentralization: Some Insights from USAID Experience in Peru, A.I.D. Evaluation Special Study. Reports: Initial-3/88; Final-7/88. FY88:\$0 (Previous years total-\$10,000). Project manager: Ray Solem, CDIE/PPE, 875-4814. (In progress)

Evaluation and comparative analysis of recent Peruvian decentralization initiatives supported by A.I.D. Part 1 of study analyzes historical evolution of government decentralization in Peru, and how several A.I.D. projects of the past decade have contributed to such efforts. Draws on the Peruvian example. Part 2 critically examines key government decentralization issues, and advances a series of hypotheses to shed light on the decentralization process in a broader context.

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## 2. Urbanization

### a. Research

No Entry

### b. Evaluation

Third World Urbanization: The Rural/Urban Dynamic and its Place in a National Development Strategy: A.I.D. Evaluation Occasional Paper. Reports: Initial-6/88, Final-9/88. Funds: In-house. Project manager: John Mason, CDIE/PPE, 875-4972. (In progress)

Addresses general theories/issues of urbanization, especially those of importance to Agency. Examines special character of developing world cities; population/urbanization trends; informality as way of life in Third World cities; urban planning, finance and administration; and implications of issues for A.I.D. policy, programs and practice. Critical issues in report include rural/urban migration, urban "bias," regional differences, supercities, city and "informality," secondary cities, regional planning/market towns, and urban finance.

## 3. Women-In-Development

### a. Research

Basic Research and Implementation for Developing Educational Systems Project (BRIDGES). Report: Final-9/88. Funds: FY88-\$0 (FY87: \$94,000. Buy-in to S&T/Ed.) Project managers: Paul Carlson, WID, 64-73995 and Laura Raney, WID, 64-73992. (In progress)

Facilitate greater improvements in girls' retention, transition, and dropout rates. Provides statistical data base and use of microcomputer modeling to explore alternative strategies to more effectively reach girls in developing countries.

Gender Issues in Agriculture Systems and Natural Resource Management: FY 1988, Report: 6/88. FY88-\$22,800. Project managers: Laura Raney, WID, 64-73992 and Ron Grosz, 64-73994. (In progress)

Develops sector-specific guidebook on gender issues in development activities to familiarize personnel with design strategies which include women's concerns in all A.I.D. projects and programs. Sector-specific guidebooks have been published on gender issues in basic education/training and small-scale enterprise.

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Gender Resource Awareness in National Development (GRAND)  
Project. Models: 3/88, 6/88, 9/88, 6/89. Funds: FY88-\$0  
(FY86-\$1,169,302). Project manager: Kay Davies, WID,  
64-73992. (In progress)

Micro-computer simulation model that demonstrates the economic impact that effective participation of women and girls can have in different economic sectors. Models for gender resource analysis have been developed for PAKISTAN and JORDAN. Work is nearing completion in LESOTHO and SENEGAL. Country-specific programs incorporate agriculture, small/micro enterprises, education, and water/sanitation/health. Microcomputer policy-dialogue project will assist Agency personnel and host-country policy and decision-makers in gaining better understanding of direct/indirect benefits of women's contributions to economic development. In long term, GRAND project objective is to institutionalize process of gender-relevant analysis to maximize efficiency of development planning.

Housing and Urban Development: FY 1988. Reports: Final-5/88.  
Funds: FY88-\$9,927. Project manager: Ron Grosz, WID,  
64-73994. (completed)

Preparation of technical paper for submission to the Eleventh Conference on Housing in Sub-Saharan Africa in Malawi. Facilitated small working groups in consideration of gender implications of urbanization initiatives.

Income-Generating Projects and Other Economic Activities  
Involving Refugee Women, Refugee Policy Group (RPG), FY 86.  
Final report: 6/88. Funds: FY88-\$0 (Total: \$56,881). Project  
manager: Travis Horel, PDPR/SP, 64-77066. (In progress)

RPG, an independent center for policy analysis and research on international and domestic refugee issues, undertook five-week field study in Sudan's eastern region focusing on Eritrean and Ethiopian refugee women. RPG staff also visited refugee settlements and met with international refugee assistance specialists in Central America, Pakistan and Southeast Asia.

Continuation of earlier PPC grant which analyzed issues facing refugee women. Identified policy and program initiatives to help ensure equitable and appropriate access by women to refugee assistance and the kinds of research efforts needed to further these objectives.

NEPAL's Centre for Women in Development (CWD): FY 1988.  
Interim report: 7/88. Funds: FY88-\$13,350. Project manager:  
Paul Carlson, WID, 647-3995. (In progress)

Supports repository of information on women in development. Is ongoing effort to provide informational system on WID to USAID, other donor agencies and non-governmental organizations. Current objectives include systematic acquisition, storage and dissemination of large data base on economic development of Nepalese women and establishment of network with other regional and international information/research centers on women in development.

Private Enterprise Development, Report: Interim-9/88;  
Final-12/88. Funding: FY88-\$339,190. Project manager: Joan Wolfe, WID, 64-73992/ Kay Davies, WID, 64-73992. (Proposed)

Part of major A.I.D. contract with Arthur Young Incorporated/SRI International held by Bureau for Private Enterprise to provide PPC/WID with wide range of expertise to support Missions on market-based economic growth strategies, to provide technical and policy assistance to Missions, to consolidate and institutionalize private sector programs, and to promote policy dialogue with foreign governments to make public policy reforms to encourage investment and jobs-creation in domestic economy. Developing system where all evaluation scopes of work include WID selection which addresses involvement of women in private enterprise development

Small Scale and Micro Enterprise. Final report: 8/88. Funds: FY88-\$6,000 (Total FY85-87-\$1,053,335). Project manager: Joan Wolfe, WID, 64-73992. (In progress)

Co-funded with USAID/ECUADOR compilation of conference papers presented at PPC/WID co-funded seminar in Quito, Ecuador. Recently completed book, The Informal Sector, Micro-enterprise and Women's Work in Latin America, by ICRW, focuses on low-income women's access to credit and includes chapters on technical assistance, training, role of lending institutions, case studies of selected micro-enterprise credit programs, and impact of credit programs on the poor. A Spanish version will be published by the Instituto Latinoamericano de Investigaciones Sociales in Ecuador in Summer 1988.

Status of Women in Thailand: FY 1988. Reports: Interim-7/88,  
Final-9/88. Funds: FY88-\$15,650. Project manager: Paul Carlson, WID, 647-3995. (In progress)

Analysis of secondary research data on status of women in Thai economy. Expected to provide prototype "snapshot" of women in local economy for emulation by other Missions.

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Structural Adjustment, Final report: 9/88. Funds: FY88-\$177,847 (buy-in with S&G/Ag). Project manager: Joan Wolfe, WID, 64-73992. (Proposed)

Diagnostic inventory within four countries of gender-differential impacts of structural adjustment policies. Tool is being refined to include appropriate consideration of gender in assessing impacts at the policy level, linking macroeconomic and microeconomic considerations.

Women and Structural Adjustment, FY 1988, Interim report: 5/88; Final report: 9/88. Funds: FY88-\$21,590. Project manager: Joan Wolfe, WID, 64-73992. (In progress)

Preliminary report by the International Center for Research on Women (ICRW) provides overview of structural adjustment programs and their impacts, discusses strategies for ameliorating the negative effects of adjustment for women, and suggests the need for additional gender disaggregated research on the topic. The Organization for Economic Cooperation and Development/Donor Assistance Committee (OECD/DAC) Expert Group on Women in Development (Paris) will discuss the macro issues highlighted by paper and develop an OECD/DAC strategy for action.

b. Evaluation

Evaluation of the International Center for Research on Women Cooperative Agreement Program with A.I.D. PPC/WID. Reports: Final report-12/31/87. Funds: FY88-\$0 (Total: \$113,946). Project manager: Joan Wolfe, WID, 64-73992.

Evaluates technical assistance provided to USAID under cooperative agreement with International Center for Research on Women and Implementation of Agency's Women in Development Policy.

Malawi's Agricultural Research and Extension Project Report: No date determined; Funding: FY:88-\$20,000. Project manager: Ron Grosz, WID, 64-73994. (Proposed)

Mid-term evaluation assesses institutional capacity to develop, test, and transfer improved technology to smallholders, evaluate linkages of farmer/adaptive research teams and commodity research teams, and assess Ministry of Agriculture's planning and training. PPC/WID team member will focus on socio-economic assessment and evaluation of project vis-a-vis gender issues.

Rwanda's Private Enterprise Development Project. Report: No date determined. Funding: FY88-\$20,000. Project manager: Ron Grosz, WID 64-73994. (Proposed)

Formative evaluation to assess project implementation, targetting of advisory services, and training impact. PPC/WID supports addition of team member to assess effects on women and future impact of project.

USAID/Pakistan's five-year program review: FY 1988. Report: Final-3/88. Funds: FY88-\$17,043. Project manager: Paul Carlson, WID, 64-73995. (Completed)

Includes WID as cross-cutting issue. Assesses social and equity impact of program, particularly on lower income groups and very poor.

Women in Development: A.I.D.'s Experience, 1973-1985, Vol. 2, Ten Field Studies, A.I.D. Program Evaluation Report. Final report: FY89. Funding: FY88-\$0 (Previous years-\$91,100). Project manager: Paula Goddard, CDIE/PPE, 875-4919. (In progress)

Companion volume to synthesis report. Documents findings of ten field studies done for CDIE women in development series. Field studies include Botswana Agricultural College Expansion Project; Accelerated Mahaweli Program in Sri Lanka; Northeast Rainfed Agricultural Development Project in Thailand; Association for the Development of Micro-Enterprises in Dominican Republic, Alcosa Agribusiness Project in Guatemala; Arid and Semi-arid Lands Project in Kenya; Resource Conservation and Utilization Project in Nepal; Appropriate Technology for Rural Women Project in Bolivia and Ecuador; Industrial and Commercial Job Training for Women Project in Morocco; and Agricultural Extension Project in Eastern Caribbean.

Zaire's Central Shaba Agricultural Development Project. Report: No date determined; Funding: FY:88-\$20,000. Project manager: Ron Grosz, WID, 64-73994. (Proposed)

Evaluates project impact on rural farmers. Project goal is to achieve self-sufficiency in staple food crops in region. Team members focus on socio-economic aspects of women in production, extension, and marketing. PPC/WID supports addition of member to team evaluation.

#### 4. Program Evaluation Techniques

##### a. Research

A.I.D. Manual for Project Economic Analysis, Georgia Institute of Technology and PPC/PDPR. Final report: 10/87. Funds: FY88-\$0 (FY87:\$1,650). Project manager: Jan van der Veen, PDPR/RP, 64-77068. (Completed)

207-page manual discusses technical fundamentals of project economic analysis. Describes in detail techniques of cost/benefit analysis and contains applications of techniques in agriculture, education, health, population, and intermediate financial institution projects. Is a reference manual for economists in AID/W and the field, and for A.I.D. consultants.

##### b. Evaluation

Assessment of the CDIE Evaluation Studies Project.  
Reports: Interim-FY89, Final-FY89. Funding: FY88-\$35,000.  
Project manager: Nena Vreeland, CDIE/PPE, 875-4852. (Proposed)

This evaluation will assess the CDIE evaluation studies project (0085) examining in particular issues utilization and relevance to the Agency.

Conducting Key-informant Interviews in Developing Countries  
Reports: FY89. Funds: In-house. Project manager: Krishna Kumar, CDIE/PPE, 875-4959. (Proposed)

Outlines steps in conducting key informant interviews to gather information, ideas and recommendations for project/program design, monitoring, and evaluations. Examines advantages/limitations of key informant interviews and suggest uses in rapid surveys, informal reconnaissance methods and group interviews.

Donor Agency Experience with the Monitoring and Evaluation of Development Projects, A.I.D. Evaluation Occasional Paper. Report available. Funds: In-house. Project manager: Annette Binnendijk, CDIE/PPE, 875-4846. (Completed)

Reviews experience of major development assistance donor agencies with monitoring and evaluation (M&E) of development projects. Traces early efforts of donors to develop methodologies and procedures for M&E in 1970s. Reviews problems that occurred and lessons that were learned from early experiences. Discusses emerging trends in 1980s and growing consensus among donors concerning more promising and realistic approaches to M&E.

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A Guide To Assessing and Reporting Program Performance and Impact. Reports: FY89. Funds: In-house. Project manager: Nena Vreeland, CDIE/PPE, 875-4852. (Proposed)

Summarizes efforts and provides alternatives for measuring performance and impacts of project and non-project assistance relative to program foci. Covers use of intermediate, "leading," and proxy indicators for reporting on program performance through standard A.I.D. reporting formats.

Guide to Evaluation Practice in A.I.D., A.I.D. Program Design and Evaluation Methodology Report. Reports: Initial-3/88, Final-8/88. Funds: In-house. Project manager: Nena Vreeland, CDIE/PPE, 875-4852. (In progress)

Elaborates on official A.I.D. Evaluation Handbook (April 1987), reflecting on several changes and lessons learned during period since A.I.D. evaluation system was introduced in 1970. Covers 1) uses, priorities, and staff roles and responsibilities for A.I.D. evaluation studies; 2) development and use of project and program information systems for collection/analysis of monitoring and evaluation data; 3) stages of evaluation process including design and feedback/utilization considerations; and 4) key evaluation issues for coming years.

Indicators for Measuring Changes in Income, Food Availability and Consumption, and the Natural Resource Base. Reports: Initial-8/88, Final-12/88. Funds: FY88-\$36,800. Project manager: Krishna Kumar, CDIE/PPE, 875-4959. (Proposed)

Will present core indicators measuring changes in income, food availability, consumption and natural resources. Examines their strengths and limitations with reference to 1) ease of data collection, 2) cost and time, 3) possible uses for CDSSs, Action Plans, monitoring and evaluation of projects and programs, and designing new interventions. Will also outline appropriate data collection strategies for these indicators.

Methodologies for Assessing the Impact of Agricultural and Rural Development Assistance Reports: Initial-8/88; Final-10/88. Funding: FY88-\$19,500. Project manager: Krishna Kumar, CDIE/PPE, 875-4959. (Proposed)

Summarizes workshop discussions on methodologies for impact evaluations of agricultural and rural development projects. Organized in 6 sections: 1) general conceptual and methodological issues in impact evaluations, 2) assessing impact on agricultural production, 3) assessing impact on household income, 4) assessing impact on food consumption, 5) assessing impact on natural resource base, and 6) organizational issues in impact evaluations. Includes background paper prepared by PPC/CDIE for workshop.

Synthesis of A.I.D. Evaluation Reports FY87 and FY88 Reports: Initial-10/88, Final-1/89. Funds: In-house. Project manager: Nena Vreeland, CDIE/PPE, 875-4852. (Proposed)

Following prior successful efforts of this type, analyzes and synthesizes key issues, patterns, and factors affecting project performance emerging from a review of A.I.D. evaluation reports completed during FY 87 and 88.

5. Other

a. Research

No Entry

b. Evaluation

No Entry

ANNEX A

FORMAT  
FOR  
OBTAINING INFORMATION  
ON FUTURE RESEARCH  
FOR THE  
BUREAU OF  
PROGRAM AND POLICY COORDINATION

ANNEX A provides a first draft format toward developing a computer software program to monitor the research funding portfolio of the Bureau of Program and Policy Coordination, Agency for International Development. The program might eventually be tied into an agency wide program for monitoring the overall A.I.D. research portfolio.



PPC PROJECT DESCRIPTION

1. Check one item on the attached Table of Contents to indicate project classification. (For example, a research project dealing with AIDS would be labeled H.6.a.i.)--\_\_\_\_\_.

2. Project Title--\_\_\_\_\_.

3. a. Principal Author, Agency and/or Firm--\_\_\_\_\_.

b. Phone--\_\_\_\_\_.

4. Deliverables(Reports, Conferences, etc.)--

	<u>Description</u>	<u>Due Date</u>
Final	_____	_____.
Other FY 89	_____	_____.
(if appli- able)	_____	_____.
Other FY 88	_____	_____.
(if appli- able)	_____	_____.
First	_____	_____.

5. Estimated Budget By Fiscal Year

	<u>PPC Program Funding Level</u>	<u>Other Financial Inputs</u>	<u>PPC's Share of Total Project Cost</u>	<u>Estimated PPC Person Weeks</u>
FY 88	_____	_____	_____	_____.
FY 89	_____	_____	_____	_____.
FY 90	_____	_____	_____	_____.
FY 91	_____	_____	_____	_____.
FY 92	_____	_____	_____	_____.

6. PPC Project Officer--\_\_\_\_\_.

Phone Number--\_\_\_\_\_.

Office (PDPR, EA, WID or CDIE)--\_\_\_\_\_.

7. Project Description (in 50 words or less)--

\_\_\_\_\_  
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Ethiopia: Alemaya Agricultural University. A.I.D. Project Impact Evaluation. Reports: Initial-6/88, Final-8/88. Funds: FY88-\$25,000. Project manager: Gary Hansen, CDIE/PPE, 875-4853. (In progress)

Evaluates Alemaya University--one of first agricultural universities A.I.D assisted with projects beginning in 1952 and ending in 1968.

Evaluating the Impact of International Training for Development in Zaire: A Case Study of the Studies and Planning Service. A.I.D. Evaluation Special Study. Reports: Initial-4/88, Final-9/88. Funds: FY88-\$0 (Previous years total: \$15,000). Project manager: Ray Cohen, CDIE/PPE, 875-4988. (In progress)

Evaluates impact of A.I.D. training project designed to improve professional staff capabilities in agricultural economics and statistics within planning and studies unit of Zaire Department of Agriculture. Training program is evaluated at several levels, including individual trainee's performance on job and utilization of training skills, organization's benefits from training program, and ultimate beneficiaries impacted by outputs/services of organization.

Impact of A.I.D. Participant Training Programs: Synthesis of Findings and Lessons Reports: FY89. Funds: FY89-\$25,000. Project manager: Ray Cohen, CDIE/PPE, 875-4988. (Proposed)

Summarizes analysis, findings and lessons concerning developmental impacts of A.I.D. participant training programs using three case studies in Nepal, Indonesia and Kenya. Analyzes training programs by impact on returned participants' careers, organizational performance/accomplishments, and broad development institutions, sectors and goals. Examines key factors associated with capacity of returned participants to positively influence their careers, organizations, and broader development goals. Extracts lessons learned for designing future participant training programs. Assesses methodological impacts of participant training programs including use of surveys, organizational case studies, key informant, and in-depth interview techniques.

Indonesia: Bogor Institute of Agriculture. A.I.D. Project Impact Evaluation. Reports: Initial-6/88, Final-8/88. Funds: FY88-\$0 (Previous years total: \$34,300). Project manager: Gary Hansen, CDIE/PPE, 875-4853. (In progress)

Study of Bogor Institute of Agriculture, Indonesia's premier national agricultural university.

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Kasetsart University in Thailand: An Analysis of Institutional Evolution and Development Impact. A.I.D. Project Impact Evaluation. Reports: Initial-3/88, Final-6/88. Funds: FY88-\$60,000. Project manager: Gary Hansen, CDIE/PPE, 875-4853. (In progress)

Impact study of Kasetsart University. Kasetsart is one of Thailand's major agricultural education and research universities.

Participant Training Impact Evaluation in Indonesia, A.I.D. Project Impact Evaluation Report. Reports: FY89. Funds: FY88-\$0 (Previous years total: \$40,000), FY89-\$40,000. Project manager: Ray Cohen, CDIE/PPE, 875-4988. (In progress)

One of series of participant training impact evaluations. Assesses impact of training on careers of returned participants; impacts of returned trainees on performance and accomplishments of their organizations; impacts on larger socio-economic institutions; and impacts on achievement of wider development goals. Draws lessons based on Indonesian experience relevant to future design of participant training programs to enhance development impact.

Participant Training Impact Evaluation in Kenya, A.I.D. Project Impact Evaluation Report. Reports: FY89. Funds: FY88-\$1,500 (Previous years total-\$40,000), FY89-\$40,000. Project manager: Ray Cohen, CDIE/PPE, 875-4988. (In progress)

One of three impact evaluations of A.I.D. participant training programs. Examines participant training programs in terms of trainees' career performance and utilization of training skills, impacts on organizational performance/accomplishments and effects on broader development institutions, sectors and goals. Examines key factors associated with capacity of returned participants to positively influence careers, organizations and broader development goals. Provides lessons learned for future training programs.

Participant Training Impact Evaluation in Nepal, A.I.D. Project Impact Evaluation Report. Reports: Initial: FY89, Final: FY89. Funds: FY88-\$18,000 (Previous years total: \$80,500). Project manager: Ray Cohen, CDIE/PPE, 875-4988. (In progress)

One of series of impact evaluations of A.I.D. participant training programs. Focuses on Nepal. Assesses how long-term, A.I.D.-sponsored training has affected careers of returned participants and how participants have in turn affected performance of organizations and achievement of wider development goals. Provides reliable information to guide future decisions on how participant training programs can best be designed to support A.I.D.'s larger institution building and development initiatives.

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