

PD-AAA-274  
10/1/88

LIMITED SCOPE GRANT PROJECT AGREEMENT

Between  
THE UNITED STATES OF AMERICA  
Acting Through

THE AGENCY FOR INTERNATIONAL DEVELOPMENT  
And  
ST. LUCIA NATIONAL TRUST

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| 1. <u>PROJECT TITLE:</u><br><br>St. Lucia Systems Plan for Parks<br>and Protected Areas (SPPPA) | 2. <u>AID PROJECT NUMBER:</u><br><br>598-0605.17 |
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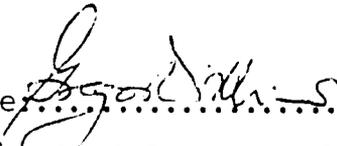
The above-named parties hereby mutually agree to carry out the Project described in this Agreement which is a subactivity of the centrally funded LA Regional Development of Environmental Management Systems Project (598-0605), in accordance with (i) the terms of this Agreement, including any Attachments hereto, and (ii) any general agreement between the two parties regarding economic or technical cooperation.

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| 3. <u>AMOUNT OF AID GRANT:</u><br><br>\$75,000 | 4. <u>GRANTEE CONTRIBUTION TO PROJECT:</u><br><br>\$59,200 | 5. <u>PROJECT ASSISTANCE COMPLETION DATE:</u><br><br>September 30, 1990 |
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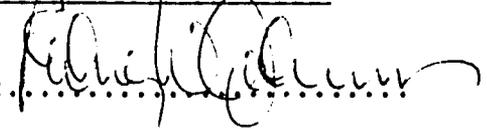
6. THIS AGREEMENT CONSISTS OF THIS TITLE PAGE AND ATTACHMENTS:

1. Project Description
2. Project Budget
3. Technical Description
4. Standard Provisions

7. FOR THE GRANTEE

Signature:   
 Typed Name: GREGOR WILLIAMS  
 Title: ACTING DIRECTOR  
 Date: AUGUST 17, 1983

8. FOR THE AGENCY FOR INTERNATIONAL DEVELOPMENT:

Signature:   
 Typed Name: Rodney W. Johnson  
 Title: Acting Director  
 Date: August 19, 1988

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PROJECT DESCRIPTION

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1. Project Title St. Lucia Systems Plan for Parks and Protected Areas (SPPPA)
  - 2: AID Project Number 598-0605.17
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3. Grant Definition

This Project consists of a Grant to the St. Lucia National Trust (SLNT or, in the case of Attachment A, the Grantee) to formulate a comprehensive systems plan for the establishment, management and development of parks and protected areas on St. Lucia. Specific activities will include conducting inventories, preparing maps and evaluations of existing and proposed parks; reviewing the legal and institutional framework for the parks system; and developing high quality interpretation programs with linkages to education and tourism.

Funding for this Project will come from the St. Lucia National Trust (SLNT: \$59,200), in collaboration with the Government of St. Lucia (GOSL: \$2,900), the International Union for the Conservation of Nature and Natural Resources (IUCN: \$3,000), the World Wildlife Fund-US (WWF-US: \$7,000) and USAID (\$75,000), all of which will be involved in the implementation of this Project. The IUCN and WWF-US funds will be coordinated through the Eastern Caribbean Natural Area Management Programme (ECNAMP). ECNAMP will also play an instrumental role in the institution building and planning aspects of the Project.

The SLNT is one of the most active and effective indigenous environmental bodies in the Caribbean region. As a statutory body of the GOSL, the mandate specifically charges the SLNT with the conservation and interpretation of the natural and cultural heritage of St. Lucia. The SLNT raises funds from a variety of national and international sources for its endowment, from which it will commit a near one-to-one match with A.I.D. for this Project, which has total funding of \$147,100.

4. Period of the Grant

The effective date of this Grant is the signing date. The period of the Grant will be from the date of execution until September 30, 1990.

5. Amount of Funding and Payment Procedures

- A. A.I.D. hereby obligates the amount of US \$75,000 for the purpose of enhancing the biological diversity of St. Lucia, as described herein.
  - B. Disbursements to the St. Lucia National Trust shall be made in accordance with standard A.I.D. procedures on a quarterly reimbursement basis.
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## 6. Reporting

The following reports will be provided to the A.I.D. during the course of this Project:

- A. A detailed, initial work plan for the Project, within 60 days of the signing of the Grant and prior to disbursement of funds under the Project. For year I activities, the work plan will describe the who, what, where, when and how for each activity, establishing the priority order of accomplishing them and the estimated annual budget. A general plan for the second year will also be included.
- B. Semiannual progress reports within 30 days of the end of each period, detailing both financial and technical progress of all parties, the adherence to the work plan, identifying problems, solutions, and whether the Project is on-time, delayed, or ahead of schedule. Minutes from the Advisory Committee meetings will also be attached.
- C. A work plan for year II, within 30 days of the end of year I, describing the work, in detail as outlined above (Sec. 6.A.).
- D. Three copies of published articles, training guides, brochures, maps, biological surveys, inventories and other materials generated for site-specific activities using Project funds.
- E. A Scope of Work for a final evaluation, prior to the last trimester of the Project, acceptable to A.I.D. The final evaluation will be conducted during the last three months of the Project, by individuals mutually acceptable to SLNT and A.I.D.
- F. A final evaluation report detailing all activities and accomplishments of the Project including a section analyzing the degree of Project success in promoting conservation of biodiversity in the region.
- G. Five copies of the system plan for parks and protected areas in St. Lucia to be developed under this Project.

## 7. Evaluation

Progress of the Project shall be the subject of a final evaluation which will be carried out cooperatively between A.I.D., SLNT and outside consultants, as deemed necessary, sometime during the last three months of this Grant. It will assess the effectiveness of the Project in reaching the objectives and in attaining the targets set forth in the annual work plans.

## 8. Special Provisions

Conditions to Disbursement Prior to any disbursement of funds under this Grant, the Grantee will, except as the parties may otherwise agree in writing, furnish to A.I.D. for approval, documentation with the following substance:

Personnel: Identification of all personnel to work on the Project, including the Project Coordinator and other significant advisors and a detailed description of their terms of reference, tasks, time devoted to the Project and authority to conduct their work.

Work Plan: A detailed work plan for the year I activities, describing the who, what, where, when and how for each activity, indicating the priority order of accomplishing them and the estimated annual budget. A general plan for the second year will also be included.

Establishment of Advisory Committee: Evidence that the Advisory Committee has been established, describing its make up, meeting schedule and mandated authority levels.

Memorandum of Understanding: An executed Memorandum of Understanding between the SLNT and ECNAMP describing the institutional strengthening and planning expertise to be provided by ECNAMP to benefit the SLNT and GOSL, including the specifics of what responsibilities will be handled by each party.

Terminal Dates for Conditions to Disbursement: If all the conditions specified above have not been met within 90 days from the date of this Agreement, or such later date as A.I.D. may agree to in writing, A.I.D., at its option, may terminate this Agreement by written notice to SLNT.

PROJECT BUDGET  
(US Dollars)

	<u>USAID</u>	<u>SLNT</u>	<u>OTHER</u>	<u>TOTAL</u>
1) <u>Project Direction</u> <u>(Liaison with SLNT)</u>				
SLNT Director		3,000		3,000
Secretary/Accountant		6,000		6,000
Local transportation		4,000		4,000
Supplies		3,000		3,000
Communications		1,000		1,000
2) <u>Project Coordinator</u> (24 months @ 1,200)	16,400	13,000		29,400
3) <u>Systems Plan</u>				
Consultants (3 mos. @ 3,000)	6,000		3,000 (IUCN)	9,000
Mapping	500	1,000		1,500
Publication of report (500 @ 1.75)	875			875
4) <u>Parks and Protected Areas Activities</u>				
Maria Islands:				
brochure	2,000		2,000 (WWF-US)	4,000
promotion	3,000	2,000	1,000 (WWF-US)	6,000
case study	2,000	1,000		3,000
Marigot Bay:				
land acquisition		3,700		3,700
management plan		1,000		1,000
trail	3,000			3,000
boat		5,000		5,000
booklet	1,000			1,000
boardwalk	5,000	2,500		7,500
Dennery Knob:				
surveys	7,500			7,500

5

PROJECT BUDGET  
(US Dollars)

	USAID	SLNT	OTHER	TOTAL
<b>Frigate Islands:</b>				
land acquisition		2,000		2,000
trail engineering			2,900 (GOSL)	2,900
equipment		2,000		2,000
labor	2,000			2,000
materials	2,000			2,000
interpretive signs and facilities	4,500			4,500
booklet	1,000			1,000
<b>Savannes Bay:</b>				
management plan	1,000		1,500 (WWF-US)	2,500
surveys	3,725		2,500 (WWF-US)	6,225
interpretive facilities	1,000			1,000
<b>Moule a Chique:</b>				
design lookout		1,500		1,500
infrastructure		7,500		7,500
interpretive panel	2,500			2,500
5) <u>Evaluation/Contingency</u>	10,000			10,000
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<b><u>TOTALS</u></b>	<b><u>\$75,000</u></b>	<b><u>\$59,200</u></b>	<b><u>\$12,900</u></b>	<b><u>\$147,100</u></b>

6-

## TECHNICAL DESCRIPTION

### 1. OBJECTIVE

The primary objective of this Project is to contribute to the conservation of St. Lucian biological diversity by development and initial implementation of a systems plan to establish and manage parks and protected areas. This Project will promote sustainable utilization of natural resources for socio-economic development, especially through nature tourism. Activities under this Project directly address the Congressional mandate to protect biological diversity and tropical forests in developing countries.

Specific objectives of this Project are to:

- A. formulate a comprehensive park and protected area systems plan for establishment, management and development of these areas;
- B. strengthen the institutional capability of the GOSL and the SLNT to plan and manage parks and protected areas;
- C. improve existing parks and implement management plans; and
- D. develop programs to increase public awareness of conservation issues in St. Lucia.

### 2. SCOPE OF WORK

- A. The life of this Project is approximately two years. The Grantee will conduct the following activities:

Preparation of Work Plans Immediately following the grant award, the St. Lucia National Trust will prepare a detailed work plan and seek final approval from the Project Officer of USAID/Bridgetown. The work plan for the year I of activities will describe the who, what, where, when and how for each activity, indicating the priority order of accomplishing them and the estimated annual budget. A general plan for the second year will also be included. The work plan for year II will be submitted in complete detail within 30 days of the end of the first year of activity.

Preparation of Systems Plan An Advisory Committee composed of representatives from the Central Planning Unit, the private sector, the Forestry Division, the Fisheries Management Unit, the Tourism Board, the SLNT, the Parks and Beaches Commission and the Eastern Caribbean Natural Area Management Programmes (ECNAMP) will be formed to advise the SLNT on the planning process, to review proposals and to oversee the preparation of the systems plan. Consultants will be hired to study and advise on the legal and institutional frameworks for conservation activities, selection criteria for protected areas and definition of opportunities in the tourism sector. A Project Coordinator will be attached to the SLNT, and with advice from the ECNAMP, execute activities and liaise with the Advisory Committee, SLNT, USAID, other donors and consultants.

- B. The following tasks will be conducted by the SLNT, with counsel of ECNAMP, the Advisory Committee and consultants, to contribute to preparation of the parks and protected areas system plan:
- i. Inventory, precise mapping and evaluation of existing and proposed parks and protect areas (including Parrot Sanctuary, Pigeon Island National Park, Maria Islands Nature Reserve, and proposed marine reserves).
  - ii. Examination of selection criteria for parks and protected areas based on representativeness of St. Lucian and Caribbean Regional ecosystems; the occurrence of endemic, endangered or locally important species; potential for habitat protection, water conservation and tourism use; and educational and scientific value.
  - iii. Description of resources, identification of ownership and management status for each park or protected area, and definition of broad conservation and development objectives for that area.
  - iv. Review of legal and institutional frameworks, and formulation of recommendations for establishing and managing parks and protected areas system.
  - v. At the end of the Project, the results of these activities will be submitted to the GOSL for their consideration and endorsement.
- C. The following site-specific activities will be undertaken to improve and develop existing protected areas:
- i. Maria Islands Nature Reserve The SLNT will expand the uses of the Reserve for tourism and local use under a strict management system, so as to maximize socio-economic benefits of the Reserve. This will involve:
    - a. publication of a small advertising and informative brochure on the reserve and its Nature Center;
    - b. promotional activities with tour operators, hotels and other tourism professionals; and
    - c. documentation of this experience, with a costs and benefits analysis, so as to inform the planning and implementation of other similar projects.

8

- ii. **Frigate Islands** In order to protect a large nesting area of Frigate Birds (Fregata Mignificens) and to allow visitor viewing without disturbance of this colony, the following activities will be undertaken:
  - a. complete negotiations for land acquisition;
  - b. construct a nature trail (1128 feet) following appropriate environmental criteria;
  - c. erect signs designating this area as a bird sanctuary; and
  - d. construct a small interpretation facility at the observation point and publish a small interpretive booklet.
- iii. **Marigot Bay Nature Reserve** In order to enhance and protect this area and the adjacent mangrove, the SLNT will undertake the following activities:
  - a. complete procedures for land acquisition;
  - b. establish an interpretive trail and creation of a boardwalk with an interpretive blind;
  - c. publish an information booklet;
  - d. purchase a boat to be used for monitoring and access to Reserve areas; and
  - e. manage the Reserve.
- iv. **Dennery Knob, La Sorciere and Grand Anse Areas** These areas harbour unique associations of ecosystems which are important habitat for a number of indigenous wildlife species. To protect and enhance these areas, the SLNT will undertake the following activities:
  - a. synthesize available information on wildlife and map current species distributions;
  - b. review land ownership status;
  - c. complete a botanical survey; and
  - d. formulate preliminary recommendations for management and protective status.

- v. **Savannes Bay** Three major coastal ecosystems are represented in this area (coral reefs, seagrass beds and mangrove) which require management and increased public awareness to ensure that these area are protected. The SLNT will undertake the following activities to this end:
- a. survey of avifauna in the area (because this is an important bird sanctuary);
  - b. survey and map marine systems; and
  - c. construct a small interpretive facility.
- vi. **Moule a Chique** This area, containing xerophytic forest, is an important landmark in St. Lucia. To enhance and increase the interpretive benefits that this site has to offer, the SLNT will:
- a. improve the existing infrastructure, with a communications facility on top of the hill to serve as a lookout;
  - b. design and erect a large and attractive interpretive panel which will point out geological, ecological and historical features of the area and place them within a broad environmental and developmental context.

PROJECT AGREEMENT STANDARD PROVISIONS ATTACHMENT

A. Reference to 'this Agreement' means the original Project Agreement as modified by any revisions which have entered into effect. Reference to 'cooperating country' means the country or territory of the Grantee.

B. (1) AID will make available the amount specified in Block 3 of this Agreement, as necessary for the Project, as may be further described in Attachments 1, 2 and 3.

(2) The Grantee will make available the amount specified in Block 4 of this Agreement, as necessary for the Project, as may further be described in Attachments 1, 2 and 3. The Grantee will also make, or arrange to have made, additional contributions of property, services, facilities and funds required for carrying out the Project as specified in Attachments 1, 2 and 3.

C. AID and the Grantee may obtain the assistance of other public and private agencies in carrying out their respective obligations under this Agreement. The two parties may agree to accept contributions of property, services, facilities and funds for purposes of this Agreement from other public and private agencies, and may agree upon the participation of any such third party in carrying out activities under this Agreement.

D. Except as otherwise specified herein or subsequently agreed by the parties, all contributions of the parties pursuant to this Agreement shall be made on or before the Project Assistance Completion Date, or amended date. A contribution of goods or services shall be considered to have been made when the services have been performed and the goods furnished as contemplated in this Agreement. Disbursement of funds may take place after final contribution have been made, but AID shall not be required to disburse funds hereunder after the expiration of nine months following the estimated Project Assistance Completion Date (Block 5 of this Agreement) or any amended Project Assistance Completion Date specified.

E. The procurement of commodities and services to be financed in whole or part by AID may (where so required by AID procedures) be undertaken only pursuant to Project Implementation Orders (PIOs) issued by AID.

F. Unless otherwise specified in the applicable PIO or Project Implementation Letter (PIL), the procurement of commodities imported specifically for the Project and financed with the AID contribution referred to in Block 3 of this Agreement shall be subject to the provisions of AID Regulation 1.

G. Unless otherwise agreed by the parties or otherwise specified in the applicable PIO, title to all property procured through financing by AID pursuant to Block 3 of this Agreement shall be in the Grantee, or such public or private agency as it may authorize.

11

H. (1) Any property furnished to either party through financing by the other party pursuant to this Agreement shall, unless otherwise agreed by the party which financed the procurement, be used effectively for the purposes of the Project in accordance with this Agreement, and upon completion of the Project, will be used so as to further the objectives sought in carrying out the Project. Either party shall offer to return to the other, or to reimburse the other for, any property which it obtains through financing by the other party pursuant to this Agreement which is not used in accordance with the preceding sentence.

(2) Any funds provided to either party pursuant to this Agreement which are not used in accordance with this Agreement, shall be refunded to the party providing the funds.

(3) Any interest or other earnings on funds provided by AID to the Grantee under this Agreement will be returned to AID by the Grantee.

I. (1) If AID and any public or private organization furnishing commodities through AID financing for operations hereunder in the cooperating country, is, under the laws, regulations or administrative procedures of the cooperating country, liable for customs, duties and import taxes on commodities imported into the cooperating country for purposes of carrying out this Agreement, the Grantee will pay such duties and taxes unless exemption is otherwise provided by any applicable international agreement.

(2) If any person (other than citizens and residents of the cooperating country), whether United States Government employees, or employees of public or private organizations under contract with, or individuals under contract with AID, the Grantee or any agency authorized by the Grantee, who are present in the cooperating country to provide services which AID has agreed to furnish or finance under this Agreement, are under the laws, regulation of administrative procedures of the cooperative country, liable for income and social security taxes with respect to income which they are obligated to pay income or social security taxes to the Government of the United States of America, for property taxes on personal property intended for their own use, or for the payment of any tariff or duty upon personal or household goods brought into the cooperating country for the personal use of themselves and members of their families (not including such personal or household goods as may be sold by any such personnel in the cooperating country) or if any firm, not normally resident in the cooperating country, is liable for income, receipts, or other taxes on work finance by AID hereunder, the Grantee will pay such taxes, tariff, or duty unless exemption is otherwise provided by any applicable international agreement.

J. If funds provided by AID are introduced into the cooperating country by AID or any public or private agency for purposes of carrying out obligations of AID hereunder, the Grantee will make such arrangements as may be necessary so that such funds shall be convertible into currency of the cooperating country at the highest rate which, at the time the conversion is made, is not unlawful in the cooperating country.

12

K. AID shall expend funds and carry on operations pursuant to this Agreement only in accordance with the applicable laws and regulations of the United States Government.

L. The two parties shall have the right at any time to observe operation carried out under this Agreement. Either party during the term of the Project and for three years after the completion of the Project shall further have the right (1) to examine any property procured through financing by that party under this Agreement, wherever such property is located, and (2) to inspect and audit any records and accounts with respect to funds provided by, or any properties and contract services procured through financing by, that party under this Agreement, wherever such records may be located and maintained. Each party, in arranging for any disposition of any property procured through financing by the other party under this Agreement, shall assure that the right of examination, inspection and audit described in the preceding sentence are reserved to the party which did the financing.

M. AID and the Grantee shall each furnish the other with such information as may be needed to determine the nature and scope of operations under this Agreement and to evaluate the effectiveness of such operations.

N. The present Agreement shall enter into force when signed. Either party may terminate this Agreement by giving the other party thirty (30) days written notice of intention to terminate it. Termination of this Agreement shall terminate any obligations of the two parties to make contributions pursuant to Block 3 and 4 of this Agreement, except for payment which they are committed to make pursuant to noncancellable commitment entered into with third parties prior to the termination of the Agreement. It is expressly understood that the obligations under paragraph H relating to the use of property or funds shall remain in force after such termination. In addition, upon such termination AID may, at AID's expense, direct that title to goods financed under the grant be transferred to AID if the goods are from a source outside the Grantee's country, are in a deliverable state and have not been offloaded in ports of entry of the Grantee's country.

O. To assist in the implementation of the Project, AID, from time to time, may issue PILs that will furnish additional information about matters stated in this Agreement. The parties may also use jointly agreed-upon PILs to confirm and record their mutual understanding on aspects of the implementation of this Agreement.

P. The Grantee agrees, upon request, to execute an assignment to AID of any cause of action which may accrue to the Grantee in connection with or arising out of the contractual performance or breach of performance by a party to a direct U.S Dollar contract with AID financed in whole or in part out of funds granted by AID under this Agreement.

Q. All air travel financed for AID funds must be on US flag air carriers unless AID gives it prior agreement otherwise.

13