

# 0001  
15N  
52595

PD-AAW-515

AGENCY FOR INTERNATIONAL DEVELOPMENT  <b>PROJECT PAPER FACESHEET</b>	1. TRANSACTION CODE <input type="checkbox"/> A ADD <input checked="" type="checkbox"/> C CHANGE <input type="checkbox"/> D DELETE	PP
	2. DOCUMENT CODE 3	

3. COUNTRY ENTITY Worldwide	4. DOCUMENT REVISION NUMBER 1
--------------------------------	----------------------------------

5. PROJECT NUMBER (7 digits) [ 936-5550 ]	6. BUREAU/OFFICE A SYMBOL S&T/FENR B. CODE [ ]	7. PROJECT TITLE (Maximum 40 characters) [ Biological Conservation in Development ]
--	--	--

8. ESTIMATED FY OF PROJECT COMPLETION FY [ 88 ]	9. ESTIMATED DATE OF OBLIGATION A. INITIAL FY [ 86 ] B. QUARTER [ 4 ] C. FINAL FY [ 87 ] (Enter 1, 2, 3, or 4)
--	--

10. ESTIMATED COSTS (\$000 OR EQUIVALENT \$) -

A. FUNDING SOURCE	FIRST FY			LIFE OF PROJECT		
	B. FX	C. L/C	D. TOTAL	E. FX	F. L/C	G. TOTAL
AID APPROPRIATED TOTAL						
GRANT	250					400
LOAN						
OTHER U.S.						
HOST COUNTRY						
OTHER DONOR(S)						
TOTALS						

11. PROPOSED BUDGET APPROPRIATED FUNDS (\$000)

A. APPROPRIATION	B. PRIMARY PURPOSE CODE	PRIMARY TECH. CODE		E. 1ST FY 86		H. 2ND FY 87		K. 3RD FY	
		C. GRANT	D. LOAN	F. GRANT	G. LOAN	I. GRANT	J. LOAN	L. GRANT	M. LOAN
(1) FN	149	090		250		150			
(2)									
(3)									
(4)									
TOTALS				250		150			

A. APPROPRIATION	N. 4TH FY		O. 5TH FY		LIFE OF PROJECT		12. IN-DEPTH EVAL. SCHEDULED
	C. GRANT	D. LOAN	R. GRANT	S. LOAN	T. GRANT	U. LOAN	
(1) FN					400		MM YY 09 88
(2)							
(3)							
(4)							
TOTALS							

13. DATA CHANGE INDICATOR. WERE CHANGES MADE IN THE PIO FACESHEET DATA, BLOCKS 12, 13, 14, OR 15 OR IN PRP FACESHEET DATA BLOCK 2? IF YES, ATTACH CHANGED PIO FACESHEET.

1 1 = NO  
2 = YES

14. ORIGINATING OFFICE CLEARANCE	15. DATE DOCUMENT RECEIVED IN AID/W OR FOR AID/W DOCUMENTS, DATE OF DISTRIBUTION
SIGNATURE John D. Sullivan	MM DD YY 16 2 87
TITLE Director Office of Forestry, Environment, and Natural Resources	

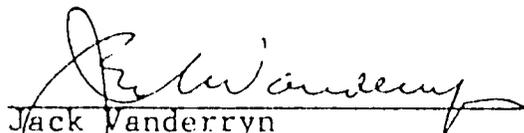
PROJECT AUTHORIZATION

NAME OF COUNTRY/ENTITY: Worldwide  
NAME OF PROJECT: Biological Diversity in Development  
NUMBER OF PROJECT: 936-5550

Pursuant to Sections 103 and 119 of the Foreign Assistance Act (FAA) of 1961, as amended, the Biological Conservation in Development Project which is centrally funded, was authorized on June 6, 1986. The authorization is hereby amended as follows:

- The authorized project assistance completion date is extended to December 30, 1988;
- The authorized final year of obligation is extended to FY 1987;
- Phase II of this project will permit the Office of Forestry, Environment and Natural Resources to be responsive to 1986 amendments to the FAA (Section 119) that earmark \$2.5 million for activities that promote the conservation of biological diversity in developing countries and to use a portion of the earmarked funds for a grant to the Rockefeller Brothers Fund to help establish a Consultative Group on Biological Diversity. In addition, this amendment will permit continuation and completion of the work of the Fish and Wildlife Service, Department of Interior, initiated in FY 1986.

All other conditions and terms of the project remain the same.

  
\_\_\_\_\_  
Jack Vanderryn  
Agency Director for Energy and  
Natural Resources  
Bureau for Science and Technology

6-8-87  
\_\_\_\_\_  
Date

AGENCY FOR INTERNATIONAL DEVELOPMENT  
WASHINGTON, D C 20523

JUN 2 1987

ACTION MEMORANDUM FOR THE AGENCY DIRECTOR FOR ENERGY AND  
NATURAL RESOURCES

FROM: S&T/FENR, John D. Sullivan *J. D. Sullivan*  
SUBJECT: Request for Extension and Increased Funding of the  
Biological Conservation in Development Project  
(No. 936-5550)

Problem: Your approval is required of the attached PAF to extend the LOP of the subject project from September 30, 1987, to December 30, 1988 and to increase the project authorization from \$250,000.00 to \$400,000.00. This is necessary to (1) permit the Fish and Wildlife Service (FWS) to complete the activities authorized under RSSA No. DHR-5550-R-ID-6032-00; and (2) to provide a \$150,000 grant to Rockefeller Brothers Fund to help establish a collaborative private/public sector consultative group on biological diversity.

Background: The current RSSA with FWS was funded in FY 1986 to provide S&T/FENR with a biological diversity advisor and to initiate three sub-activities on training, data and information on biological diversity and a prototype activity in Africa. Progress has been made in identifying specific activities and potential contractors, but additional time is required for FWS to enter into sub-contracts and/or cooperative agreement or grants as well as to provide time for completion of the sub-activities and for continued FWS oversight and management.

In addition, \$150,000.00 has been allocated to S&T/FENR for support of the Consultative Group on Biological Diversity as part of the FY 1987 \$2.5 million earmark for conservation activities required by the 1986 amendment (new Section 119) to the Foreign Assistance Act. In order to obligate these funds, it is necessary to amend the Biological Conservation in Development Project's authorization to incorporate this component. As indicated in the attached justification it has been determined that the Rockefeller Brothers Fund is uniquely qualified to receive such a grant on a non-competitive basis. We anticipate that the grant will be awarded in the next few months and will be in effect for approximately 14 months.

Recommendation: That you sign the attached PAF to extend the PACD of Project No. 936-5550 to December 30, 1988 and authorize the use of \$150,000.00 of S&T/FENR's FY 1987 budget to increase the project ceiling to \$400,000.00.

Attachments:

1. Project Authorization and Allotment of Funds
2. Justification for Non-competitive Selection of Grantee
3. Project Paper Face Sheet

MAY 29 1987

ACTION MEMORANDUM FOR THE AGENCY DIRECTOR FOR ENERGY AND  
NATURAL RESOURCES

FROM: S&T/FENR, John D. Sullivan

SUBJECT: Justification for Non-competitive Selection of the  
Rockefeller Brothers Fund for a Grant to Develop a New  
Program for a Consultative Group on Biological Diversity

Problem: Your approval is required of the following justification for non-competitive selection of the Rockefeller Brothers Fund for a grant to assist them to develop a new program for a Consultative Group on Biological Diversity.

Background: On May 13, 1987, A.I.D. Administrator, M. Peter McPherson and National Academy of Sciences' President, Frank Press hosted a meeting of private foundation executives to obtain their interest and concurrence in establishing a new consultative group on biological diversity conservation. Foundations participating in the meeting<sup>1/</sup> represent the major private organizations that provide funds for international conservation programs and particularly for work in developing countries. Two decisions were reached at the meeting: (1) that loss of biological diversity was a critical global issue and the establishment of a consultative group was urgently needed to provide for improved collaboration and better funding decisions; and (2) that Mr. William Dietel, President of the Rockefeller Brothers Fund, should be requested to become chairman of the group and take charge of getting this new collaborative effort started. Senior Assistant Administrator for Science and Technology, Dr. Nyle C. Brady, offered to provide support for a secretariat to work with the chairman of the group in establishing the operations of this new program. The report of the May 13th meeting is attached as background.

In view of the unanimous selection of Mr. Dietel as Chairman of the new consultative group and the lead role being assigned to the Rockefeller Brothers Fund by all the major U.S. foundations operating in this field, this office concludes that this represents a unique situation in which the most qualified organizations have selected from among themselves the most appropriate organization to undertake leadership in this effort and therefore that solicitation of other grant proposals from the

---

<sup>1/</sup> Ford Foundation, Rockefeller Foundation, Rockefeller Brothers Fund, Alton V. Jones Foundation, John D. and Catherine T. MacArthur Foundation, Geraldine R. Dodge Foundation, Tinker Foundation, C. S. Fund, Jessie Smith Noyes Foundation

other highly qualified foundations participating in this decision would be inappropriate, result in delays that would be detrimental to the formation of a consultative group, require increased paper work and waste staff time both in A.I.D. and in the private sector organizations.

Recommendation: That you approve this justification for non-competitive selection of the Rockefeller Brothers Fund to receive a grant of \$150,000 to develop a new program for a Consultative Group on Biological Diversity.

Approved: James Olson (for)

Disapproved: \_\_\_\_\_

Date: 5/29/87

Attachments:

1. Summary of May 13 Meeting and Agenda
2. April 16, 1987 letter from Rockefeller Brothers Fund to M. Peter McPherson containing a brief description of the Fund's activities in biological diversity.

AGENCY FOR INTERNATIONAL DEVELOPMENT  <b>PROJECT PAPER FACESHEET</b>	1. TRANSACTION CODE <input type="checkbox"/> A ADD <input checked="" type="checkbox"/> C CHANGE <input type="checkbox"/> D DELETE	PP  2. DOCUMENT CODE 3
--	--	---------------------------------

3. COUNTRY/ENTITY <b>Worldwide</b>	4. DOCUMENT REVISION NUMBER <input type="text" value="1"/>
------------------------------------	--

5. PROJECT NUMBER (7 digits) <input type="text" value="936-5550"/>	6. BUREAU/OFFICE A. SYMBOL <b>S&amp;T/FENR</b> B. CODE <input type="text"/>	7. PROJECT TITLE (Maximum 40 characters) <input type="text" value="Biological Conservation in Development"/>
---	---	---

8. ESTIMATED FY OF PROJECT COMPLETION FY <input type="text" value="88"/>	9. ESTIMATED DATE OF OBLIGATION A. INITIAL FY <input type="text" value="86"/> B. QUARTER <input type="text" value="4"/> C. FINAL FY <input type="text" value="87"/> (Enter 1, 2, 3, or 4)
---	--

10. ESTIMATED COSTS (\$000 OR EQUIVALENT \$) -						
A. FUNDING SOURCE	FIRST FY			LIFE OF PROJECT		
	B. FX	C. L/C	D. TOTAL	E. FX	F. L/C	G. TOTAL
AID APPROPRIATED TOTAL						
(GRANT)	250					400
(LOAN)						
OTHER U.S.						
HOST COUNTRY						
OTHER DONOR(S)						
TOTALS						

11. PROPOSED BUDGET APPROPRIATED FUNCS. (\$000)									
A. APPROPRIATION	B. PRIMARY PURPOSE CODE	PRIMARY TECH. CODE		E. 1ST FY <u>86</u>		H. 2ND FY <u>87</u>		K. 3RD FY	
		C. GRANT	D. LOAN	F. GRANT	G. LOAN	I. GRANT	J. LOAN	L. GRANT	M. LOAN
(1) FN	149	090		250		150			
(2)									
(3)									
(4)									
TOTALS				250		150			

A. APPROPRIATION	N. 4TH FY		O. 5TH FY		LIFE OF PROJECT		12. IN-DEPTH EVALUATION SCHEDULED
	P. GRANT	Q. LOAN	R. GRANT	S. LOAN	T. GRANT	U. LOAN	
(1) FN					400		MM YY <input type="text" value="09"/> <input type="text" value="8"/>
(2)							
(3)							
(4)							
TOTALS							

13. DATA CHANGE INDICATOR. WERE CHANGES MADE IN THE PID FACESHEET DATA, BLOCKS 12, 13, 14, OR 15 OR IN PRP FACESHEET DATA, BLOCK 12? IF YES, ATTACH CHANGED PID FACESHEET.

1 = NO  
 2 = YES

14. ORIGINATING OFFICE CLEARANCE SIGNATURE: <i>John D. Sullivan</i> TITLE: Director Office of Forestry, Environment, and Natural Resources	15. DATE DOCUMENT RECEIVED IN AID/W, OR FOR AID/W DOCUMENTS, DATE OF DISTRIBUTION DATE SIGNED: MM DD YY <input type="text" value="06"/> <input type="text" value="28"/> <input type="text" value="87"/>
---	---