



UNITED STATES INTERNATIONAL DEVELOPMENT COOPERATION AGENCY

AGENCY FOR INTERNATIONAL DEVELOPMENT

MISSION TO HAITI

30 MAR 1983

PA-AAT-557
45437

For U.S. MAIL:

USAID / HAITI

Department of State

Washington, D.C. 20520

For INTERNATIONAL MAIL:

USAID / HAITI

P.O. Box 1634

Port-au-Prince, Haiti, W.I.

CARE-Haiti
21 Avenue Marie Jeanne
B.P. 773
Port-au-Prince, Haiti

Attention : Larry C. Holzman, Director

Subject : Cooperative Agreement No. 521-0169 (8)-A-00-3030-00

Dear Mr. Holzman:

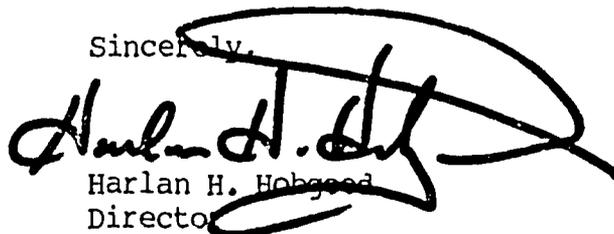
pursuant to Section 531 of the Foreign Assistance Act, as amended, and Chapter VI of the Supplemental Appropriations Act of 1982 (P.L. 97-257), I hereby authorize a Cooperative Agreement to "The Cooperative for American Relief Everywhere" (hereinafter referred to as "CARE" or "Recipient") for the sum of four hundred seventy five thousand dollars (\$475,000) to provide financial assistance for the purpose of constructing community water systems in rural areas of Haiti, as more fully described in Attachment 2, entitled "Program Description". This program is being funded under the Caribbean Basin Initiative.

This Cooperative Agreement is effective and obligation is made as of the date of this letter and shall apply to commitments made by the Recipient in furtherance of program objectives through the estimated completion date of December 31, 1984.

This Cooperative Agreement is made with Recipient on condition that the funds will be administered in accordance with the terms and conditions as set forth in Attachment 1, Schedule; Attachment 2, entitled "Program Description" and Attachment 3, the Standard Provisions, as amended, which have been agreed to by your organization.

Please sign the original and seven (7) copies of this letter to acknowledge your acceptance of the Cooperative Agreement, and return the original and six (6) copies to this office.

Sincerely,



Harlan H. Hobgood
Director

Attachments:

1. Schedule
2. Program Description
3. Standard Provisions (AID 1420-54-2-82)
4. Assurance of Compliance

ACKNOWLEDGE

CARE-HAITI

By: Larry Holzman

Title Director

Date 30 MAR 1993

FISCAL DATA:

Appropriation: 72-112/31037

Budget Plan Code: LES2-83-25521-KG13

PIO/T No.: 521-0169-3-30043

Total Estimated Amount: \$475,000

IRS Employer ID NO: N/A

Funding Source: USAID/Port-au-Prince, Haiti.

SCHEDULE

A. Period of Agreement

1. The effective date of this Cooperative Agreement (CA) is the signature date by the Grant Office as shown on the cover letter, and the estimated completion date is December 31, 1984.
2. Funds obligated hereunder are available for program expenditures for the estimated periods of April 1, 1983 to December 31, 1984 as show in the financial plan below.

B. Amount of Agreement and Payment

1. AID hereby obligates the amount of \$475,000 for purposes of this CA.
2. As the final determination of the capacity of the water sources has yet to be made, the proposed systems may change. Other mutually agreed upon sites and cost estimates may be substituted and the value of this Cooperative Agreement adjusted accordingly, but in no event to exceed \$475,000.
3. Payment will be made to the Recipient in accordance with the procedures set forth in Attachment 3- Standard Provision Entitled "Payment-Federal Reserve Letter of Credit."

C. Financial Plan

1. Attached as Appendix I to Attachment 2 is the Financial Plan for this CA. Revision to this plan shall be made in accordance with Standard Provision entitled "Revision of Financial Plans".
2. Partially funded AID Programs
 - (a) The funds provided by this CA represent partial support of the program/project as described in the Financial Plan.
 - (b) The restrictions on the use of AID funds hereunder set forth in the Standard Provisions of this CA are applicable to expenditures incurred with AID funds provided under this CA. The Standard Provisions are not applicable to expenditures incurred with funds provided from non-Federal sources. The Recipient will account for the AID funds in accordance with the Standard Provisions of this grant entitled "Accounting, Audit and Record".
3. The Recipient may not exceed the obligated amount set forth, nor may the Recipient adjust the costs for any individual line item by more than 15% of such line item, without the prior approval of AID.

D. Reporting and Evaluation

In addition to the reports required by the AID Controller in Standard Provision Entitled "Payment-FRLC Advance", the Recipient shall submit to USAID/Haiti a bi-monthly report in English (in 6 copies) and in French (in 6 copies). The report shall include a general status of the project elements and short summary of actions taken. This report should identify the major activities undertaken during the month; accomplishments; identification of problems and recommended actions to be taken specifically by the GOH, the Grantor, and/or Recipient.

E. Establishment of Overhead Rates

Pursuant to the applicable Standard Provision of this CA on Negotiated Overhead Rates, a General and Administration rate or rates shall be established for the period beginning July 1, 1982 through the estimated completion date of December 31, 1984 until amended. Pending the establishment of final overhead rates for the initial period, provisional payments on account of allowable indirect costs shall be made on the following negotiated rates applied to the base(s) which are set forth below

<u>Rate</u>	<u>Base</u>
7.42%	Total AID Cost Exclusive of Overhead

F. Special Provision

1. In Attachment 3, Standard Provisions delete the words "Grant" and "Grantee" wherever they appear and substitute in lieu thereof the words "Cooperative Agreement" and "Recipient".
2. Delete the following Standard Provisions; (see Standard Provisions-select provision and delete other where noted).
 - a. SP No. 5A-Negotiated Overhead Rates-Predetermined
 - b. SP No. 7B-Payment-Periodic Advances
 - c. SP No. 7C-Payment-Reimbursement
 - d. SP No.10A-Procurement of Goods and Services under \$250,000.
 - e. SP No.13B-Title to and CARE of Property (U.S. Government Title)
 - f. SP No.13C-Title to and CARE of Property (Cooperating Country Title)
3. Recipient is hereby authorized to procure two (2) motorcycles with an aggregate estimated cost of approximately \$3,000 from countries included in AID Geographic Code 935 in accordance with guidelines contained in the Standard Provisions attached.

4

4. CARE-Haiti has standardized their heavy duty vehicles on Ford Diesel trucks and Jeep Diesel CJ-7's for rough field use and for monitoring and supervision. There are U.S. authorized dealerships for these vehicles in Haiti who provide spare parts, and CARE mechanics are completely familiar with maintenance and repair procedures. In view of this, CARE is authorized to procure one Ford Diesel F-800 dump truck (estimated cost \$33,000) and one Jeep Diesel CJ-7 (estimated cost \$13,000).

ATTACHMENT 2

PROGRAM DESCRIPTION

The CARE Community Water System Project will construct and assure the long-term maintenance of two water systems in communities with up to 12,000 inhabitants each located in the North and Northeast of Haiti.

A. Goals of the Grant

The final goal of the project is to improve the quality of life of approximately 18,000 inhabitants of rural towns in Haiti. The intermediate goals of the project are:

1. to establish an adequate and dependable supply of water accessible to 18,000 residents of 2 rural towns in North/Northeast Haiti by the end of 1984, and

2. to establish a system for the effective maintenance of the two water systems performed by the communities by the end of 1984.

The community water systems constructed under the project will be gravity flow systems that will require little maintenance. Communities will be required to collect locally available materials such as rock, sand and gravel for construction and to provide land for reservoirs and fountains prior to the commencement of construction. CARE will enter into food-for-work contracts with participating water committees to compensate workers employed for the construction of systems. Repair cost must eventually be borne almost entirely by the community though the National Potable Water Service (SNEP) will be requested to provide the necessary technical assistance. Considerable effort will be devoted by CARE to preparing the communities for this critical function. CARE will provide on-site training to two local residents selected by the water committee. In addition, before site completion, the committee will be obliged to establish a bank account for maintenance by assessing each user family \$0.50. A community development organizer will assist each committee in creating a decision making apparatus to resolve such issues as water charges, revenue use and maintenance of the system. CARE technical assistance will cease upon completion of each system although project personnel will continue to advise the water committee until they achieve an efficient standard of operations.

B. Implementation

CARE will implement the project according to the following plan:

	<u>Start</u>	<u>End</u>
1. Spring and topographical survey for Grande Riviere du Nord & Ste. Philomene	4/83	5/83

2. Structural plan designed & approved by SNEP and AID for both sites	4/83	5/83
3. Place US orders for vehicles and materials	4/83	6/83
4. Water committee elected and organized for both sites.	4/83	6/83
5. Construction of Grande Riviere du Nord Water System	7/83	6/84
6. Construction of Ste. Philomene water system	10/83	9/84

The project represents a substantial jump in technical sophistication over previous CARE water activities in that the individual water system will serve larger populations and involve more numerous distribution points. However, having a qualified engineer on the CARE/Haiti International staff and competent supervisory personnel with considerable field experience will enable CARE to manage the technical aspects of the project. CARE will collaborate on technical matters with both SNEP and the Engineering Division of the USAID Mission.

Because the two systems will rely on gravity flow feeds, maintenance problems ought to be minimal and within the capability of the communities with assistance from SNEP.

CARE will procure the following vehicles from the United States to fulfill the project's transport requirements:

1. Two motorcycles (for the two foremen);
2. CJ-7 Jeep (for Haitian engineer who will be inspecting sites daily);
3. A Ford-800 dump truck (to transport the large quantities of construction materials to the sites). Until these vehicles are available, the project will utilize vehicles procured under the on-going water project

Construction materials such as pipes, valves, and fittings for project will be purchased by CARE from the U.S. The rest of the materials such as cement, steel bars and lumber will be purchased locally.

Much of the personnel required to execute the project are already involved in the Phase III Water Project. These include:

1. International Engineer/Project Manager
2. Local National Engineer (2)
3. Foreman - Animators (2)

4. Chauffeurs (3)
5. Community Development Organizer (1)
6. Boss Mason (1)
7. Plumber (2)
8. Secretary (1)

Administrative and logistical backstopping will be provided by CARE/Haiti's central office in Port-au-Prince and its field offices in Gonaives and Cap Haitian.

C. Substantial Involvement Understandings

- a. Recipient agrees to collaborate with Grantor on site selection and system design criteria. If agreement between AID and CARE cannot be reached, this Agreement will be subject to termination in accordance with the Standard Provisions.
- b. Recipient agrees to submit site design and specifications to Grantor for review and approval.
- c. Recipient agrees to use the Joint Project Implementation Plan System or other mutually agreed-upon method to monitor the project systematically.

To the extent of any inconsistency between the Recipient's proposal and the Covering Letter and Attachments 1,2,3 of this Agreement, the Covering Letter and Attachments 1,2, and 3 shall control.

<u>Project Budget/Financial Plan</u>	<u>AID</u>	<u>CARE</u>	<u>OTHERS</u>	<u>TOTAL</u>
<u>1. T.A. and Personnel Costs</u>				
a. International Personnel (18 months salary + allowances, travel expenses & benefits)				
-Project Manager/Engineer	\$68,800			\$68,800
b. Local Personnel (18 months salaries, per diem, & benefits)				
-Two Engineers		24,000		24,000
-One Community development orga- nizer	13,500			13,500
-Two Foremen animators	10,300			10,300
-Three drivers	14,800			14,800
-One boss mason	5,150			5,150
-One plumber	5,150			5,150
-One plumber assistant	3,100			3,100
-One Secretary	8,000			8,000
Subtotal	<u>128,800</u>	<u>24,000</u>		<u>152,800</u>
2. <u>Training Cost</u>	3,000			3,000
3. <u>Commodity Costs</u>				
a. Locally purchased				
-75 MT cement & masonry on site	8,500			8,500
-10 Mt steel rod & preparation on site	7,000			7,000
-8 M3 lumber & carpentry on site	3,000			3,000
-Miscellaneous (tools, fit- tings, valves, buckets, wheel- barrows, etc.)	5,000			5,000
b. U.S. Purchases				
-35,000 m conduit	175,000			175,000
-CJ-7 diesel	13,000			13,000
-F-800 dump truck	32,000			32,000
-Two motorcycles	<u>3,000</u>			<u>3,000</u>
Subtotal	246,500			246,500
4. <u>Other Costs</u>				
a. Fuel	25,000			25,000
b. Maintenance	10,000			10,000
c. Office & Warehousing rent, vehicles, utilities and supplies		20,000		20,000
d. Other supporting staff costs	15,000	20,000		35,000
e. Systems maintenance costs (SNEP)			20,000	20,000

9

	<u>AID</u>	<u>CARE</u>	<u>OTHERS</u>	<u>TOTAL</u>
f. Studies and extension services (SNEP)			10,000	10,000
g. Land value (105,000m2 at \$0.50/m2)			52,500	52,500
h. Community cash contributions (\$1.00/family)			3,000	
i. Community in kind contribution (sand, gravel, and rock)			6,000	6,000
j. CARE N.Y. overhead 7.42%	31,700			31,700
k. Contingency	<u>15,000</u>			
Subtotal	<u>96,700</u>	<u>40,000</u>	<u>91,500</u>	<u>228,200</u>
GRAND TOTAL	<u>\$475,000</u>	<u>\$64,000</u>	<u>\$91,500</u>	<u>\$630,500</u>
l. PL-480 commodities (in kind)	(20,000)			