

ISN 37745

PD-AAQ-461

DEPARTMENT OF STATE
AGENCY FOR INTERNATIONAL DEVELOPMENT
WASHINGTON, D.C. 20523

7-10-992

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Mr. Philip Handler, President
National Academy of Sciences
2101 Constitution Avenue
Washington, D.C. 20418

Subject: Grant No. AID/ta-G-1306

Dear Mr. Handler:

*PLCIT 316 8972
999-003*

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as "A.I.D." or "Grantor") hereby grants to the National Academy of Sciences (hereinafter referred to as "NAS" or Grantee") the sum of \$5,000 in support of the Bicentennial Symposium as more fully described in the attachment to this Grant entitled "Purpose and Implementation of Grant" and your prospectus entitled Bicentennial Symposium - "Science: A Resource for Humankind" and dated November 14, 1975.

This Grant is effective as of the date of this letter and is applicable to commitments made by the Grantee in furtherance of program objectives during the period April 30, 1976 through October 16, 1976.

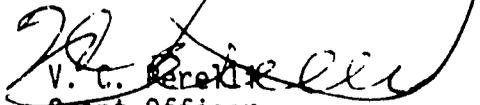
The Grant is made to the National Academy of Sciences, on condition that the funds will be administered essentially as described in your proposal and in accordance with the terms and conditions as set forth in Attachment A entitled "Purpose and Implementation of Grant," Attachment B entitled "Standard Provisions," and Attachment C entitled "Payment Provisions," all of which have been agreed to by your organization.

Please sign the original and eight (8) copies of this letter to acknowledge your acceptance of the conditions under which these funds have been granted. Please return the original and seven (7) copies of

CERTIFIED A TRUE COPY, THIS
24 DAY OF May 1976
BY *R. C. H. [Signature]*

this Grant to the Office of Contract Management.

Sincerely yours,



V. C. Perelli
Grant Officer
Technical Assistance Branch
Central Operations Division
Office of Contract Management

Attachments:

- A. Purpose and Implementation of Grant
- B. Standard Provisions
- C. Payment Provisions

ACCEPTED:

NATIONAL ACADEMY OF SCIENCES

BY David R. Goddard

David R. Goddard

TITLE Home Secretary

DATE APR 27 1976

PURPOSE AND IMPLEMENTATION OF GRANT

A. The purpose of this grant is to provide a contribution to the Bicentennial Symposium to be conducted during October, 1976 by the National Academy of Sciences. Such symposium to be conducted as a three part program to illustrate the pervasive interaction of science and technology with our society as well as the consequences to the increasing interdependence of the nations of the world, as further defined in the Prospect titled "Science: A Resource for Humankind" dated November 14, 1975.

B. The symposium will reach a broad audience; the formal delegates representing major scientific bodies and institutions of at least fifty countries at the General Assembly of the International Council of Scientific Unions (ICSU), especially invited decision makers from the public and private sectors, and selected promising young individuals from developing countries. Interested general citizenry and molders of public opinion constitute a very broad audience that is expected to be reached through media such as Scientific American, Saturday Review/World, and public television, which have already expressed interest in the Symposium.

The theme of the Symposium: "Science: A Resource for Humankind" will be developed in three topics. The first will examine, retrospectively, the role of science and technology in the social and economic development of six selected countries with the objective of providing new insights for decision makers concerned with this complex interaction. The second topic will assess the adequacy of our knowledge base for the successful worldwide management of the quality of the human environment and of our natural resources. The third topic will focus on innovations in science

and technology, desirable or otherwise, that, over the balance of this century might affect such interrelated problems as world food supply, the nutrition, health, and size of human populations, utilization of natural resources and adequacy of energy supplies so that we may realize the attractive opportunities and avoid the hazards which appear to lie ahead. The objective would be a strategy sufficiently flexible to foster needed science and related technology, to accommodate the appearance of new, unanticipated technology, to assess irreversible side effects, to cope with institutional barriers, and to recognize forthrightly the limitations as well as the potential of science and technology.

The three topics will be dealt with by panels of internationally recognized individuals from the natural sciences, the social sciences, engineering and the humanities. We are persuaded that these deliberations will make a significant contribution to understanding and management of the array of critical issues now confronting society.

C. Following the conclusion of the Symposium one (1) copy of the Symposium proceedings shall be delivered to the Office of Science and Technology, AID, Washington, D.C. 20523.

D. Following the conclusion of the Symposium the Grantee shall provide the Grant Officer with a fiscal report which indicates total funds received for and total expenditures of the Symposium and a report of expenditures by category for the amount of this grant.

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STANDARD PROVISIONS

a. Allowable Costs (Oct. 1974)

Only those costs shall be attributed to this Grant which are reasonable, allocable, and allowable in accordance with the terms of this Grant and Subpart 15.2 of the Federal Procurement Regulations (FPR) (Principles and Procedures for use in Cost Reimbursement Type Supply and Research Contracts with Commercial Organizations) in effect on the date of this Grant.*

*If this Grant is made to a university, the applicable cost principles are contained in Federal Management Circular 73-8 (Cost Principles for Educational Institutions).

b. Accounting, Audit, and Records (Oct. 1974)

The Grantee shall submit to the Grant Officer an annual financial statement certified by an independent public accountant. Financial records covering all phases of the Grantee's program, including documentation to support entries on the account records and to substantiate expenditures, shall be maintained in accordance with accounting principles generally accepted in the U.S. and consistently applied, and shall be available for examination by personnel authorized by AID. All such financial records shall be maintained for at least three years after final disbursement of funds under this Grant. The AID Auditor General and the Comptroller General or their duly authorized representatives reserve the right to conduct an audit of the Grantee's books and records to determine whether the Grantee organization has expended its funds in accordance with the terms and conditions of this Grant. The Grantee agrees to make available any further information requested by AID with respect to any questions arising as a result of the audit.

c. Refunds (Oct. 1974)

(1) If use of the Grant funds results in accrual of interest to the Grantee or to any other person to whom grantee makes such funds available in carrying out the purposes of this Grant, Grantee shall refund to AID an amount equivalent to the amount of interest accrued.

(2) Funds obligated hereunder, but not disbursed to the Grantee at the time the Grant expires or is terminated, shall revert to AID except for funds encumbered by the Grantee by a legally binding transaction applicable to this Grant. Any funds disbursed to but not expended by the Grantee and in the custody of the Grantee at the time of expiration or termination of the Grant shall be refunded to AID.

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(3) If, at the end of the period supported by this Grant, and the end of each subsequent period if the Grant is extended, AID determines that the Grantee has not expended an amount equal to the amount provided and received under this Grant during such period for costs properly attributable to this Grant in accordance with its terms and conditions, then the Grantee will refund to AID the difference between such amount of funds provided and received and such amount expended for costs properly attributable to this Grant.

d. Ineligible Countries (Oct. 1974)

Unless otherwise approved by the Grant Officer, no Grant funds will be attributed to costs incurred in countries ineligible for assistance under the Foreign Assistance Act of 1961, as amended, or under acts appropriating funds for foreign assistance.

e. Equal Opportunity (Oct. 1974)

With regard to the employment of persons in the U.S. under this Grant, Grantee agrees to take all reasonable steps to ensure equality of opportunity in its employment practices without regard to race, religion, sex, color, or national origin of such persons; and that, in accordance with Title VI of the Civil Rights Act of 1964, when work is performed in the U.S. no person shall, on the grounds of race, religion, sex, color, or national origin, be excluded from participation, be denied benefits, or be subjected to discrimination. In addition, the Grantee agrees to comply, in accordance with its written assurance of compliance, with the provisions of Part 209 of Chapter II, Title 22 of the Code of Federal Regulations, entitled "Non-Discrimination in Federally Assisted Programs of the Agency for International Development - Effectuation of Title VI of the Civil Rights Act of 1964."

f. Termination (Oct. 1974)

This grant may be terminated in whole or from time to time in part, by the Grant Officer upon written notice to the Grantee whenever for any reason he shall determine that such termination is in the best interest of the Government. Upon receipt of and in accordance with such notice, the Grantee shall forthwith take appropriate action to minimize all expenditures and obligations financed by this Grant and shall cancel such obligations whenever possible. Except as provided below, no further reimbursement shall be made after the effective date of termination, and the Grantee shall, within thirty (30) calendar days after the effective date of such termination, repay to the Grantor all unexpended portions of funds theretofore paid by the Grantor to the Grantee which are not otherwise obligated by a legally binding transaction applicable to this Grant. Should the funds paid by the Grantor to the Grantee prior to the effective date of the termination of this Grant be insufficient to cover

the Grantee's obligations pursuant to the aforementioned legally binding transaction, the Grantee may submit to the Grantor within ninety (90) calendar days after the effective date of such termination a written claim covering such obligations and, subject to the limitations contained in this Grant, the Grantor shall determine the amount or amounts to be paid by the Grantor to the Grantee under such claim.

g. Officials Not To Benefit (Oct. 1974)

No member of or delegate to Congress or resident commissioner shall be admitted to any share or part of this Grant or to any benefit that may arise therefrom; but this provision shall not be construed to extend to this Grant if made with a corporation for its general benefit.

h. Covenant Against Contingent Fees (Oct. 1974)

The Grantee warrants that no person or selling agency has been employed or retained to solicit or secure this Grant upon an agreement or understanding for a commission, percentage, brokerage, or contingent fee, except bona fide employees or bona fide established commercial or selling agencies maintained by the Grantee for the purpose of securing business. For breach or violation of this warranty, AID shall have the right to cancel this Grant without liability or in its discretion to deduct from the Grant amount, or otherwise recover, the full amount of each commission, percentage, brokerage, or contingent fee.

i. Amendment (Oct. 1974)

The Grant Agreement may be amended by formal modifications to the basic grant document or by means of an exchange of letters between the AID Grant Officer and an appropriate official of the Grantee.

j. Grant Agreement (Oct. 1974)

The letter to the Grantee signed by the Grant Officer, the Purpose and Implementation of Grant, the Standard Provisions, and the Payment Provisions, all of which have been reviewed and agreed to by the Grantee, constitute the Grant Agreement.

k. Notices (Oct. 1974)

Any notice given by any of the parties hereunder, shall be sufficient only if in writing and delivered in person or sent by telegraph, cable, registered or regular mail as follows:

TO THE: Cognizant AID Grant Officer

TO GRANTEE: At Grantee's address shown in this Grant, or to such other address as either of such parties shall designate by notice given as herein required. Notices hereunder, shall be effective when delivered in accordance with this clause or on the effective date of the notice, whichever is later.

Salaries (Oct. 1974)

1. All salaries, wages, fees, and stipends, the costs of which will be attributed to this Grant, shall be paid in accordance with the Grantee's usual policy and practice as reviewed and approved in writing by the Grant Officer. In the absence of an approved policy, costs of salaries, wages, fees, and stipends shall be attributed to this Grant in accordance with Subpart 7-15.205-6 of the Agency for International Development Procurement Regulations (AIDPR).

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International Travel and Allowances (Oct. 1974)

m. International travel and allowances, the costs of which are to be attributed to this Grant, shall be undertaken in accordance with the policy and practice of the Grantee, as reviewed and approved by the Grant Officer. In the absence of an approved policy, the Grantee shall follow the regulations contained in the Federal Travel Regulations (FTR).

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Excess or Near-Excess Foreign Currency (Oct. 1974)

o. All expenditures of the Grantee in excess or near excess currency countries, including per diem (subsistence) expenses, which will be attributed to this Grant, shall be funded from U.S.-owned foreign currency, unless otherwise authorized in writing by the Grant Officer. The conversion of U.S. dollars for foreign currencies shall be made by the Grantee either through the U.S. Treasury Department in Washington, D.C., the U.S. Disbursing Officer, U.S. Embassy, or the USAID Mission Controller, unless otherwise authorized in writing by the Grant Officer.

ATTACHMENT C
Grant AID/ta-G-1306

PAYMENT PROVISION

Upon submission of Voucher Form SF 1034 (original) and SF-1034A (three copies) to the Office of Financial Management, A.I.D., Washington, D.C. 20523, the Grantee shall receive the lump sum of this Grant in the amount of \$5,000. Such amount shall be deemed liquidated upon submission of the fiscal report required under Paragraph "D" of Attachment A entitled "Purpose and Implementation of Grant" and in accordance with the "Allowable Costs" provision of this Grant.