

10-111-201

931-0060.034907

AGENCY FOR INTERNATIONAL DEVELOPMENT  
PROJECT AUTHORIZATION AND REQUEST  
FOR ALLOTMENT OF FUNDS PART I

1. TRANSACTION CODE

A ADD  
 C CHANGE  
 D DELETE

PAF

2. DOCUMENT CODE  
5

3. COUNTRY/ENTITY  
DS Bureau

4. DOCUMENT REVISION NUMBER

5

5. PROJECT NUMBER (7 digits)

[931-0060.03]

6. BUREAU/OFFICE

A. SYMBOL B. CODE  
DSB [10]

7. PROJECT TITLE (Maximum 40 characters)

[Agriculture Sector Planning and Support]

8. PROJECT APPROVAL DECISION

A APPROVED  
 D DISAPPROVED  
 DE DEAUTHORIZED

ACTION TAKEN

9. EST. PERIOD OF IMPLEMENTATION

YRS. [01] QTRS [0]

10. APPROVED BUDGET AID APPROPRIATED FUNDS (\$000)

A. APPROPRIATION	B. PRIMARY PURPOSE CODE	PRIMARY TECH. COOD		Cumulative 9/30/80		1st H. 2ND FY 81		2nd K. 3RD FY 82	
		C. GRANT	D. LOAN	F. GRANT	G. LOAN	I. GRANT	J. LOAN	L. GRANT	M. LOAN
(1) ARDN	790 I	053		1915		485		0	
(2)									
(3)									
(4)									
TOTALS				1915		485		0	

A. APPROPRIATION	N. 3RD FY 83		O. 4TH FY 84		LIFE OF PROJECT		1. PROJECT FUNDING AUTHORIZED		A. GRANT	B. LOAN
	C. GRANT	P. LOAN	R. GRANT	S. LOAN	T. GRANT	U. LOAN	ENTER APPROPRIATE CODE(S) 1 - LIFE OF PROJECT 2 - INCREMENTAL LIFE OF PROJECT		2	
(1) ARDN	0		0		2400					
(2)										
(3)										
(4)										
TOTALS	0		0		2400		C. PROJECT FUNDING AUTHORIZED THRU		FY [81] [2]	

12. INITIAL PROJECT FUNDING ALLOTMENT REQUESTED (\$000)

A. APPROPRIATION	B. ALLOTMENT REQUEST NO.	
	C. GRANT	D. LOAN
(1)		
(2)		
(3)		
(4)		
TOTALS		

13. FUNDS RESERVED FOR ALLOTMENT

TYPED NAME (C/NR, SER, FM, FSD)

SIGNATURE

DATE

14. SOURCE/ORIGIN OF GOODS AND SERVICES

000  341  LOCAL  OTHER

15. FOR AMENDMENTS, NATURE OF CHANGE PROPOSED

One year project extension (October 1, 1981 to September 30, 1982) requiring additional grant funds totaling \$485,000. This amendment is required to allow for the timely and orderly continuation of project activities.

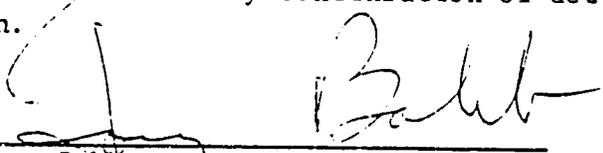
FOR RRC/PIAS USE ONLY	16. AUTHORIZING OFFICE SYMBOL	17. ACTION DATE	18. ACTION REFERENCE (Optional)	ACTION REFERENCE DATE
		MM   DD   YY		MM   DD   YY

PROJECT AUTHORIZATION AND REQUEST FOR ALLOTMENT OF FUNDS

PART II

ENTITY : Bureau for Development Support  
PROJECT : Agricultural Sector Planning and Support  
PROJECT NUMBER: 931-0060.03/USDA-RSSA 6-74 and 931-0060.04/USDA-RSSA 2-75

I hereby authorize \$485,000 in grant funds for a one year extension (from October 1, 1981 to September 30, 1982) of subject contracts with the United States Department of Agriculture. The total approved funding level for this project is hereby increased from \$1,915,000 to \$2,400,000. The extension of the project will allow for the orderly continuation of activities consistent with project design.

  
\_\_\_\_\_  
Tony Babbs  
Deputy Assistant Administrator  
for Food and Nutrition  
Bureau for Development Support

Date 2.7.81

Attachments:

DS/AGR Memo to DAA/FN/DSB

Clearances:

DS/AGR/EPP:REhrich	<u>RE</u>	Date	<u>1/27/81</u>
DS/AGR:MMozynski	<u>MM</u>	Date	<u>1/28/81</u>
DS/PO/FN:ASilver	<u>AS</u>	Date	<u>2/2/81</u>
DS/PO:BChapnick	<u>BC</u>	Date	<u>2/5/81</u>

ACTION MEMORANDUM FOR THE DEPUTY ASSISTANT ADMINISTRATOR FOR FOOD AND NUTRITION, BUREAU FOR DEVELOPMENT SUPPORT

FROM: DS/AGR/D, Donald Fiester *Donald Fiester*

THRU: DS/PO, Bernard Chapnick *BC*

SUBJECT: Project 931-0060.03, Agricultural Sector Planning and Support Services: USDA-RSSA 6-74; and Project 931-0060.04, Agricultural Sector Planning and Support; USDA-RSSA 2-75.

Problem: Your approval is required for an extension of subject RSSA projects for the twelve months from October 1, 1981 through September 30, 1982, with a total budget cost not to exceed \$485,000.

Discussion: In accordance with established procedure (reference Program Guidance Number 80, DS/PO), DS/AGR is required to submit for your approval an annual work plan for anticipated RSSA activities. Scopes of work outlining the proposed activities and the FY 82 budget are attached (Attachments A and B).

The Division of Economic and Policy Planning (EPP) within DS/AGR has utilized RSSA 6-74 primarily as means of securing capable agricultural economists not otherwise available to the Division for the design, implementation, and evaluation of its project portfolio. Four specialists, scheduled to work 48 person months will be responsible for providing analytical services to the Division in support of its project management activities. They will be called upon to provide similar services to the Agency's field missions. These specialists will be fully integrated into the Division's service delivery system.

Approximately six person months (6) of short term technical services will be provided to USAIDs and regional bureaus for assistance in project design and evaluation studies. A wide range of expertise will be made available to supply economic analysis applicable to agricultural development projects.

This EPP-RSSA project will also provide funding for the services of Dr. Dana Dalrymple to assist the DS/AGR Research Unit in work pertaining to the International Agricultural Research Centers. Dr. Dalrymple will have responsibility for the evaluation of the effects of international agricultural research, the preparation of program documents and budget estimates for the international agricultural research program funded by A.I.D., and other staff duties pertinent to that program.

The RSSA has proven an indispensable source of high quality expertise in meeting the Agency's needs for short term consultants in agricultural economics. The USDA has shown considerable flexibility with the identification and provision of short term technicians to meet mission requests. As a result the Regional Bureaus and field missions have continued to increase their demand for the technical support services available through this R.S.S.A. During FY 80 and the first quarter of FY 81

specialists provided through this project undertook design, assessment, and evaluation tasks in Latin America, Asia, and Africa. Provided services include: technical reports for an agricultural sector loan paper in Honduras; a review of a Philippine marketing program; a study outlining a new herbicide application technique in El Salvador and Costa Rica; an analysis of methods for Striga weed control in the Sudan; a report identifying and classifying the Agency's agricultural activities according to functional subject areas for the purpose of estimating additional technical assistance requirements in the Agency's agricultural program; a survey of A.I.D.'s future agricultural and rural development staffing requirements; and a technical review of all country development strategy statements according to functional areas to determine the nature of the major interventions in the Agency's country specific agricultural programs. Short-term services for the development of the Division's proposed Small Farmer Farming Systems project were provided. Also, field teams focusing on food policy analysis were sent to Tanzania and Senegal. Another team is scheduled to assist a Latin American country with an impact analysis of its national agricultural policy on food production and consumption.

State of the art studies and case studies will be commissioned under the project to assist in project development efforts. Areas of study include the benefit/cost incidence of small farm systems research, food policy, and the impact of mechanization on employment, draft power use, and production. Other studies will be commissioned as needs arise out of project development efforts.

The Division expects to maintain a balanced level of effort in field support which addresses the needs of the field missions and Regional Bureaus and, at the same time, fulfills its own programming needs. Furthermore, 1981-1982 will be an important year for EPP in that major implementation activities are anticipated. As previously stated, three major new projects will be developed during the next year which will require considerable assistance under this RSSA. Thus, as indicated in the attached scope of work and budget, the level of services to be provided under the RSSA are based upon projections of EPP's programming and project management needs as well as its mission support requirements.

Recommendation: That you approve the extension of subject RSSA project for twelve months with a total budget cost not to exceed \$485,000 by signing the attached PAF.

Attachments:

PAF, I, II

1982 Scope of Work, A and B

Clearances:

DS/AGR/EPP:REhrich	<u>RE</u>	Date	<u>1/28/81</u>
DS/AGR:MMozynski	<u>MC</u>	Date	<u>1/28/81</u>
DS/PO/FN:ASilver	<u>ad</u>	Date	<u>2/2/81</u>

DS/AGR/EPP:TO'Hare:mbb:1/27/81

USDA-RSSA 6-74 (931-0060.03)  
Agricultural Sector Planning and Support  
Scope of Work FY 82

I. Objectives

To make available to the Economic Policy and Planning Division (EPP) and other divisions in the Office of Agriculture an easily accessible source of agricultural specialists from the extensive pool available at the USDA. Individuals provided under the RSSA will also be available for short-term assistance to all bureaus of the Agency and to missions. Four economists or other specialists will be assigned on a long-term basis to EPP in support of its activities in project planning, design and evaluation. The RSSA will continue to be an important source of agricultural expertise supplementing the resources available through the Intergovernmental Personnel Act and from direct-hire A.I.D. employees.

II. Duties and Responsibilities

The economists and other specialists working with the Economic Policy and Planning Division will undertake both applied research and management tasks directed to the resolution of persistent economic problems in the agricultural sectors of selected LDCs. They will concentrate their effort on the areas of small farmer market access, small farmer production systems, and food policy planning and analysis. These subjects have been identified as addressing key constraints in the development process and will be emphasized by EPP during this fiscal year. They will also provide specialized assistance in the areas of farm management and resource economics to the Production and Natural Resources Division of DS/AGR.

All four specialists will have the following specific responsibilities:

-- preparation of project documentation relating to A.I.D.'s agricultural development activities, particularly in the areas of small farmer market access, small farmer production systems, food policy, planning and analysis, farm management and resource economics.

-- supervision and monitoring of specific A.I.D. agricultural development projects, providing needed professional back-up support and arranging for corrective action.

-- providing assistance to AID/Washington and overseas staff in the design and evaluation of agricultural sector assessments, country development strategy statements and agricultural projects.

-- undertaking specialized analyses of development problems in selected developing countries. As mentioned previously, these analyses will focus on small farmer market access, small farm production, and food policy.

Short-term technical services will be made available, as required and as resources will permit, to meet requests from the Agency's field

missions and their respective regional bureaus. In the past these requests have been generated by project design, evaluation, and analysis in Latin America, Asia, the Near East, and Africa. Activities supported by this project have included: farm management assistance for the small farm systems program in the Philippines; feasibility and design input for an agricultural sector planning project in Thailand; an intensive survey of secondary food crops in Indonesia; an economic analysis of the costs and benefits of Tsetse fly control methods in Tanzania; project design work for a marketing project in Ghana; follow on reviews of the Agency's activities in agriculture according to functional areas for the purpose of determining Agency-wide technical assistance requirements; food policy studies in Tanzania and Senegal; and a case study of the nutrition implications of small farm systems research.

According to established procedures, the EPP Division Chief will notify USDA/OICD (Office of International Cooperation and Development) as to the specifications of any proposed TDY activities and provide that Office with appropriate scopes of work. The concurrence of the DS/AGR Office Director will be obtained prior to the initiation of any project supported TDY activities.

### III. Supervision and Guidance

1) The economists and other specialists assigned to EPP will receive guidance from and consult with the Division Chief regarding work assignments and office priorities. They will also consult on a regular basis with the Office of Agriculture's professional staff with respect to Agency policy directives outlining development goals.

The work of these individuals will be reviewed in terms of: a) fulfillment of program objectives and national foreign assistance goals; b) the achievement of a high quality research/assistance program; and c) contributions to the development process in meeting new goals and producing viable solutions to arising problems in a changing national and international environment.

2) The specialists will apply their technical expertise to the solutions of A.I.D. identified LDC development problems. USDA will provide authoritative expertise and other specialized assistance as required for particular design, implementation, and evaluation efforts.

These individuals should possess a composite of the following attributes, qualifications or experiences:

a. Professional and scientific stature to command respect and to work at peer levels with eminent scientists in all areas of responsibility;

b. Experience as a project, program or staff scientist serving in organizations responsible for planning and managing broad programs of economic and social development;

c. Experience in applying new knowledge to the problems of developing countries as a means of fostering sustained developmental growth;

d. Demonstrated ability to assess the social soundness and economic feasibility of proposals for economic advancement in relation to conditions existing in specific countries;

e. Experience in integrating and coordinating the research efforts of other scientists in technical agricultural and social science fields;

f. Knowledge of the economic social and technological basis essential to national agricultural development, and the ability to identify points where progress has been constrained; and

g. Ability to deal successfully with senior government officials of recipient countries, as well as with representatives of other sponsoring agencies, on policy issues of major importance to economic development. The economists will be supplemented by A.I.D. staff, OICD economists, social scientists and other USDA agricultural specialists as required.

#### IV. Technicians

<u>Personnel</u>	<u>Grade</u>	<u>FY 82</u> PM
1 Agricultural Economist	GS-14/01	12
1 Agricultural Economist	GS-12/06	12
1 Social Scientist	GS-11/01	12
1 Social Scientist	GS-11/01	12
Various TDY Support		6
1 Secretary	GS-4/5/6	12

#### V. Evaluation

Two aspects of review are involved in the RSSA evaluation process. In the case of the four analysts to be provided to EPP, frequent face-to-face contact allows a continuous review of their performance and permits rapid adjustment of scopes of work to meet the needs of Office operations. On the other hand, TDY assistance to regional bureaus and missions will be evaluated periodically and/or upon completion of TDY assignments in terms of results produced compared to the implementing instructions involved.

A management review was held in January 1980, in accordance with A.I.D. requirements for an annual internal evaluation of the 6-74 RSSA project. The review recommended that the project be continued and funding documentation for extension be prepared.

VI. Budget

<u>Staff Requirements</u>	<u>Grade</u>	<u>No. of Months</u>
<u>Professionals</u>		
1 Economist	GS 14/1	12
1 Economist	GS 12/6	12
1 Social Scientist	GS 11/1	12
1 Economist or development specialist	GS 11/1	12
Various TDY Support		6
<u>Administrative Support</u>		
1 Secretarial/clerical	GS 4/5/6	12

BUDGET ITEMS

Personnel Costs	212,000
Personnel Benefits	21,000
International Travel	63,000
Domestic Travel	12,000
Supplies and Equipment	2,000
Printing, Materials, Reproduction, Misc. <u>1/</u>	10,000
Overhead - 25 percent	80,000
Total	<u>\$400,000</u>

1/ Includes authority to sub-contract in the amount of \$2,500 for non-personal services typing associated with the preparation for printing and reproduction in support of USDA professional employees.

USDA - RSSA 2-75 (931-0060.04)

Agricultural Sector Planning and Support

Scope of Work - FY 82

I. Objectives

The purpose of this RSSA amendment between A.I.D. and the U.S. Department of Agriculture is to provide funding for FY 82, from October 1, 1981 through September 30, 1982, for the services of the Agricultural Economist, Dr. Dana Dalrymple, to assist the DS/AGR/Research Unit in work pertaining to the International Agricultural Research Centers.

Dr. Dalrymple will have particular responsibility for (a) evaluation of the effects of international agricultural research, (b) the preparation of documentation and budgetary estimates for the international agricultural research program funded by A.I.D., and (c) other staff duties concerning this program. International agricultural research refers to the centers and programs sponsored by the Consultative Group on International Agricultural Research (CGIAR) and the Asian Vegetable Research and Development Center (AVRDC).

II. Duties and Responsibilities

It is expected that about one quarter of the incumbent's time would be spent on evaluation research (item 6) and three quarters on administrative work (budget and staff assignments)

(1) International Center Activities

- Monitor program and budget activities at international centers sponsored by A.I.D.;
- Review center publications and bring important items to the attention of A.I.D. personnel; and
- Attend board meetings as a participating observer; review documents related to specific board meetings; identify significant issues and prepare brief papers as appropriate; and prepare brief reports on major issues discussed and decisions taken.

(2) International Centers Week

- Review (a) draft center program and budget documents; (b) CGIAR/TAC commentaries on these documents; and (c) more general CGIAR Secretariat materials;
- Identify issues to be addressed by U.S. representative at Centers Week; prepare necessary commentary and organize other needed briefing materials;

- Assist in preparation of Action Memoranda for the Administrator; and
- Attend Center week as needed and prepare summary materials for Information Memorandum for the Administrator and for other use.

(3) A.I.D. Funding

- Develop, as needed, estimates of needed funding for the CGIAR as a whole and for individual centers;
- Develop financial and related descriptive materials needed for A.I.D.'s Congressional Presentation;
- Participate in the process of allocating appropriate funds to obligating documents to assure that grants to centers are made expeditiously.

(4) CGIAR Liaison

- Maintain liaison with the CGIAR Secretariat on current activities and inform DS/AGR of significant developments and activities; and
- Follow, at a somewhat greater distance, activities of the TAC Secretariat (Rome) and attend TAC meetings as A.I.D. observer as requested.

(5) CGIAR Review

- Participates as a resource person in the review of the CGIAR system to be conducted during FY 82 and prepare, as requested, background paper and other documents.

(6) Special Studies

- Prepare as agreed, research and technical papers on selected aspects of the international centers or of the CGIAR system (some of this may tie in with item 5 above); and
- Initiate a study of the effects of international agricultural research on the development and adoption of improved varieties of corn in the developing nations.

(7) Other

- Respond to special requests for information on the centers, the CGIAR, and/or A.I.D.'s involvement in this system, and review materials prepared by others; and
- Follow the technical literature on evaluation of agricultural research and report major items of interest.

### III. Supervision and Guidance

1. The RSSA employee works directly under the supervision of the Associate Director (Research) of the Office of Agriculture, Development Support Bureau, A.I.D. The incumbent is expected to exercise considerable independence in the conduct of evaluative research and should be able to carry out his other tasks without daily supervision.

#### 2. Desired Qualifications

##### (1) Academic/Knowledge:

- A Ph.D in agricultural economics with B.S. and M.S. degrees in some field in agriculture; and
- Broad knowledge of agricultural technology, especially its development and assessment.

##### (2) Experience/Knowledge:

- Several years experience with the international agricultural research system in Washington or in a developing nation in a research or administrative capacity;
- Several years experience with (i) A.I.D. and/or (ii) USDA or a state university on assignment to A.I.D., with emphasis on agricultural technology; and
- Familiarity with A.I.D. policies and procedures.

##### (3) Personal Qualities:

- Must be flexible enough to cover a rather wide range of responsibilities from actually carrying out research to doing the budget and staff work necessary to provide A.I.D. support for international agricultural research. Must also be able to effectively deal with a wide range of individuals from researchers to administrators to accountants. Must be able to accept considerable responsibility. Ability to communicate effectively in both written and oral form is highly desirable.

All other provisions as outlined in the original and subsequent amendments remain unchanged.

### IV. Personnel

One Economist GS-14/10  
One Secretary GS-4/05

V. Evaluation

At a date no later than September 1, 1982 the performance of the RSSA employee will be evaluated by the management of the Development Support Bureau's Office of Agriculture or their representatives. The evaluation will assess employee performance in light of the above mentioned criteria.

VI. Budget

-- RSSA - USDA

A. Personal Services

(1) Staff Salary

Agricultural Economist	
GS-14/10 @ \$49,229	\$ 49,229
Secretary (6 PM)	
GS-4/05 @ \$13,902	6,951
(2) Personnel Benefits @ 10.1% of salary	\$ 5,618
Sub-total for personal services	\$ 61,798

B. Travel and Transportation

(1) Airline Transportation

Domestic - two trips @ \$300	\$ 600
International - two trips @ \$2000	\$ 4,000
Sub-total airline travel	\$ 4,600

(2) Per Diem and Other Reimbursable Expenses

Domestic @ four days per trips x (2 x \$60) daily average @ \$60	\$ 480
International @ five days per trip x (2 x \$65) daily average @ \$65	\$ 650
Sub-total	\$ 1,130
Sub-total for Travel and Transportation	\$ 5,730

C. Miscellaneous Acquisition of Publication;  
Preparation, Publication, and Duplication  
of Reports

\$ 472

D. USDA Overhead on Direct Costs (25% of \$68,000)

\$ 17,000

E. Estimated Total Cost FY 82

\$ 85,000