

*disapproved
2/28/81
100-100-100*

931-1314
PD-AAG-850

9311314004903

Date APR 1 1981

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ACTION MEMORANDUM FOR THE DEPUTY ASSISTANT ADMINISTRATION FOR FOOD AND NUTRITION, BUREAU FOR DEVELOPMENT SUPPORT

FROM: DS/AGR, Donald Fiester *Donald P. Fiester*

Problem: Your approval is required for an eight (8) month extension requiring FY81 funds totaling \$320,000 for the field service project on "Aquaculture Technology Development" with Auburn University.

Discussion: In September 1978, DS/AGR initiated a new Field service project with Auburn University for Aquaculture Technology Development (Project No. 931-1314). This project was an outgrowth of a former DS/AGR 211(d) strengthening grant (project No. 931-0120) with Auburn which started in 1970 and continued for seven years with total A.I.D. funding of \$1.6 million. Under the 211(d) grant/project Auburn established the International Center for Aquaculture (ICA) which became the nation's foremost aquaculture facility for: training of LDC students; providing expertise to the LDC's; and, conducting research.* The new project with Auburn has been implemented through two (2) separate components which are now both scheduled to terminate on April 30, 1981. The first component is grant (AID/DSAN-G-0039) for \$882,000 which has provided core funding to Auburn's ICA for educational and outreach activities for the benefit of the LDCs including: basic graduate level training for LDC students; special training and graduate assistantships; short courses; and preparation of appropriate technical publications, manuals and newsletters. The second project component is a contract (AID/DSAN-C-0053) costing \$236,000 to provide technical assistance and short courses on aquaculture development to Missions and LDCs upon request. Although AID has committed a total of \$1,118,000 for both of these project components during the period from September 1978 through April 1981, the total operating budget of the International Center for Aquaculture (ICA) at Auburn has been augmented significantly by other sources including the Rockefeller and Kresge Foundations, USDA, the National Science Foundation (NSF), the State of Alabama, private industry and other non-AID sources. Currently AID is contributing only 25 per cent of the total operating budget.

DS/AGR conducted a team evaluation of the project in February 1980 and found that the two project components are "successfully achieving their approved purposes". The evaluation concluded that the project should be extended for 3 to 5 years but under a new cooperative agreement in lieu of the existing grant and contract. A Project Paper (PP) extension for five (5) years was then drafted and started through the DSB Review System in July 1980. Although this review process is now drawing to a satisfactory conclusion, it has taken much longer than initially anticipated. At present there is not sufficient time to complete the project review and approval process and implement a new cooperative agreement before April 30, 1981-the current termination date of the existing contract and grant with Auburn. Therefore it is proposed herein to extend the existing contract and grant for eight (8) months (through December 31, 1981) with additional funding of \$320,000. The existing scopes of

*NOTE: The research activities currently cost approx. \$1.3 million annually and are funded completely from non A.I.D. sources.

BEST AVAILABLE DOCUMENT

AGENCY FOR INTERNATIONAL DEVELOPMENT PROJECT AUTHORIZATION AND REQUEST FOR ALLOTMENT OF FUNDS PART I	1. TRANSACTION CODE <input type="checkbox"/> A <input type="checkbox"/> B ADD <input type="checkbox"/> C CHANGE <input type="checkbox"/> D DELETE	PAF 2. DOCUMENT CODE 5
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3. COUNTRY/ENTITY DS/AGR/RNR Type C. Field Service	4. DOCUMENT REVISION NUMBER <input style="width:50px; text-align: center;" type="text" value="1"/>
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5. PROJECT NUMBER (7 digits) <input style="width:100px; text-align: center;" type="text" value="931-1314"/>	6. BUREAU/OFFICE A. SYMBOL BSB B. CODE <input style="width:50px; text-align: center;" type="text" value="10"/>	7. PROJECT TITLE (Maximum 40 characters) <input style="width:100%; text-align: center;" type="text" value="Aquaculture Technology Development"/>
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8. PROJECT APPROVAL DECISION ACTION TAKEN <input style="width:50px; text-align: center;" type="text" value="A"/> <small>A = APPROVED B = DISAPPROVED C = DEAUTHORIZED</small>	9. EST. PERIOD OF IMPLEMENTATION (For eight (8) month extension) YRS. <input style="width:50px; text-align: center;" type="text" value="1"/> - <input style="width:50px; text-align: center;" type="text" value="1"/> MTHS. <input style="width:50px; text-align: center;" type="text" value="3"/>
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10. APPROVED BUDGET AND APPROPRIATED FUNDS (\$000)									
A. APPROPRIATION	B. PRIMARY PURPOSE CODE	PRIMARY TECH. CODE		D. TRM 9/30/80		E. 1st FY 81		F. 2nd FY 82	
		C. GRANT	D. LOAN	F. GRANT	G. LOAN	I. GRANT	J. LOAN	L. GRANT	M. LOAN
(1) ARDN	149I	077	-	1,139	-	320	-	0	-
(2)									
(3)									
(4)									
TOTALS				1,139	-	320	-	0	-

A. APPROPRIATION	G. 3rd FY 83		H. 4th FY 84		LIFE OF PROJECT		I. PROJECT FUNDS AUTHORIZED		J. STAND. LOAN		
	O. GRANT	P. LOAN	R. GRANT	S. LOAN	T. GRANT	U. LOAN	K. ENTER APPROPRIATE CODE(S): 1. LIFE OF PROJECT 2. INCREMENTAL LIFE OF PROJECT		V.	W.	
(1) ARDN	0	-	0	-	1,459	-			2	-	
(2)											
(3)											
(4)											
TOTALS		0	-	0	-	1,459	-	C. PROJECT FUNDING AUTHORIZED THRU		8	11

12. INITIAL PROJECT FUNDING ALLOTMENT REQUESTED (\$000)			13. FUNDS RESERVED FOR ALLOTMENT		
A. APPROPRIATION	B. ALLOTMENT REQUEST NO.		NA		
	C. GRANT	D. LOAN	TYPED NAME (GRANT, SERVICE/EMPLOY)		
(1)					
(2)			SIGNATURE		
(3)					
(4)			DATE		
TOTALS					

14. SOURCE/ORIGIN OF GOODS AND SERVICES
 900 941 LOCAL OTHER _____

15. FOR AMENDMENTS, NATURE OF CHANGE PROPOSED

This amendment extends the life-of-project by eight (8) months (from May 1, 1981 to December 31, 1981) and requires additional funds totaling \$320,000. The currently approved scope of work and all other conditions of this project remain unchanged by this extension. Of the \$320,000 approved by this amendment, \$99,700 will be used to extend contract AID/DSAN-C-0053 and \$220,160 to extend grant AID/DSAN-G-0039, both obligating documents which are implementing approved activities under the project.

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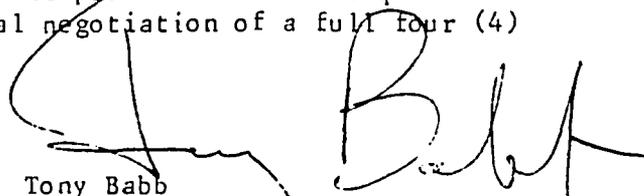
FOR PAC/PAS USE ONLY	16. AUTHORIZING OFFICE SYMBOL	17. ACTION DATE	18. ACTION REFERENCE (OPTIONAL)	ACTION REFERENCE DATE
		MM DD YY		MM DD YY

PROJECT AUTHORIZATION AND REQUEST FOR ALLOTMENT OF FUNDS

PART II

ENTITY: Bureaus for Development Support
PROJECT TITLE: Aquaculture Technology Development
PROJECT NUMBER: 931-1314

I hereby authorize grant funds totaling \$320,000 for an eight (8) month project extension (from May 1, 1981 to December 31, 1981) of "Aquaculture Technology Development" with Auburn University. The currently approved scope-of-work and all other conditions of this project remain unchanged by this extension. Of the total \$320,000 approved by this amendment, \$220,160 will be used to extend grant AID/DSAN-C-0039 and \$99,700 to extend contract AID/DSAN-C-0053. This extension will provide the time required for appropriate review, approval and contractual negotiation of a full four (4) year extension of this project.



Tony Babb
Deputy Assistant Administrator
for Food and Nutrition
Bureau for Development Support
Date 4.9.81

Attachments:

- Action Memo: Fiester to Eabb
- Auburn's Letter (and Budget) requesting this extension

Clearances:

DS/AGR/RNR, N. Pease	<u>[Signature]</u>	Date	_____
DS/AGR/RNR, C. Simkins	<u>[Signature]</u>	Date	_____
DS/AGR, M. Mozynski	<u>MCM</u>	Date	<u>3/24/81</u>
DS/AGR, K. McDermott	<u>RJM for</u>	Date	<u>3/25/81</u>
DS/AGR, D. Fiester	<u>DF</u>	Date	<u>3/31/81</u>
DS/PO, A. Silver	<u>AS</u>	Date	<u>4/6/81</u>
DS/PO, B. Chapnick	<u>BC</u>	Date	<u>4/8/81</u>

AUBURN UNIVERSITY



AUBURN UNIVERSITY, ALABAMA 36849

School of Agriculture and
Agricultural Experiment Station System

Department of Fisheries
and Allied Aquacultures
Telephone (205) 826-4786
ACTS Number 923-4786

March 19, 1981

International Center
for Aquaculture
Telephone (205) 826-4786
ACTS Number 923-4786

Mr. Norman Pease
Office of Agriculture/Fisheries
Development Support Bureau
(SA-18, room 406)
Agency for International Development
Washington, D. C. 20523

REFERENCE: Contracts AID/DSAN-G-0039 and AID/DSAN-C-0053

Dear Mr. Pease:

You are aware that our Aquaculture Technology Development Grant (AID/DSAN-G-0039) and University Advisory Services Contract (AID/DSAN-C-0053) presently carry termination dates of April 30, 1981. I realize and appreciate the fact that you and others in Development Support Bureau are diligently working to get the new Cooperative Agreement mechanism in place by May 1, 1981, in order that activities carried out under the above referenced DSB Contract and Grant can be continued without interruption.

However, I am becoming more and more concerned, in view of the limited time remaining, that it may not be possible to actually complete the contracting process by May 1. In that event, I would like to request that both the Grant (G-0039) and Services Contract (C-0053) be extended through December 31, 1981.

The attached budgets (Grant - \$220,160; Contract \$99,700), therefore, are judged to be adequate to permit activities under the Grant and Contract to go forward at approximately the same level of effort as for the past year. It should be emphasized, however, that the travel item under the Services Contract has been decreased substantially with the hope that USAIDs will pick up costs for international travel in the future.

If you require additional information or details on the budget, do not hesitate to contact me (205) 826-4786. Norm, your efforts in processing the extension and the new cooperative agreement are greatly appreciated.

Sincerely,

A handwritten signature in cursive script that reads "E. W. Shell".

E. W. Shell
Head and Director

EWS:amj

cc

enclosures: budgets

A LAND-GRANT UNIVERSITY

BUDGET FOR DSAN-G-0039
May 1-December 31, 1981

ITEM	AMOUNT
Salaries	
Professional	\$90,000
Non-professional	20,000
Fringe benefits	27,500
Equipment and supplies	14,500
Travel	13,800
Library and acquisition	2,200
Publication and printing	3,800
Overhead	<u>48,360</u>
TOTAL	\$220,160

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BUDGET FOR DSAN-C-0053
May 1-December 31, 1981

ITEM	AMOUNT
Salaries	\$ 32,200
Overhead	21,868
Fringe benefits	8,050
Travel and transportation	32,250
Other direct costs	<u>2,332</u>
TOTAL	\$ 99,700

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