

PROAG

PROJECT AGREEMENT

BETWEEN THE DEPARTMENT OF STATE, AGENCY FOR INTERNATIONAL DEVELOPMENT (AID),
AN AGENCY OF THE GOVERNMENT OF THE UNITED STATES OF AMERICA, AND

DEPARTMENT OF TECHNICAL AND ECONOMIC COOPERATION

AN AGENCY OF THE GOVERNMENT OF THAILAND

4 p

DIST:

- DTEC 20
- AID/W 15
- AD/F 5
- AD/P 2
- AD/ID 20
- P/TR 2
- LOG 2
- Audit 1
- EA/SEA 1
- BOB 2
- ARPA 1
- M/CSO 1
- IA 1
- M/CR 1

The above-named parties hereby mutually agree to carry out a project in accordance with the terms set forth herein and the terms set forth in any annexes attached hereto, as checked below:

Project Description Annex A Foreign Currency Standard Provisions Annex

Standard Provisions Annex Special Loan Provisions Annex

This Project Agreement is further subject to the terms of the following agreement between the two governments, as modified and supplemented:

ECONOMIC & TECHNICAL COOPERATION AGREEMENT DATED SEPTEMBER 19, 1950

MEMORANDUM OF AGREEMENT AS TO CONDUCT OF COUNTERPART PROGRAMS, DATED JULY 24, 1955

(other)

8. AID FINANCING

Dollars Local Currency

Previous total (A)

Increase (B)

Decrease (C)

Total to Date (D)

(a) Total

71,432

71,432

(b) Contract Services

71,432

71,432

(c) Commodities

(d) Other Costs PIO/P

9. COOPERATING AGENCY FINANCING Dollar Equivalent

\$1.00 =

(a) Total

(b) Technical and Other Services

(c) Commodities

(d) Other Costs

10. Special Provisions (Use Additional Continuation Sheets, if Necessary)

11. Date of Original Agreement
June 30, 1971

12. Date of This Revision

13. Final Contribution Date
June 30, 1974

14. For the Cooperating Government of Agency

15. For the Agency for International Development

SIGNATURE: Piew Phusavat DATE: 6/30/71

SIGNATURE: Rey M. Hill DATE: 6/30/71

TITLE: Director-General, DTEC

TITLE: Director, USOM/Thailand

P/RE *[Signature]*
AD/ID *[Signature]*
AD/P *[Signature]*
AD/F *[Signature]*
DTEC/P *[Signature]*
NRC

PROAG
CONTINUATION
SHEET

"A"
ANNEX

PROJECT AGREEMENT
BETWEEN AID AND
DEPARTMENT OF TECHNICAL AND ECONOMIC
COOPERATION

AN AGENCY OF THE GOVERNMENT OF
THAILAND

1. Project/Activity No. 498-11-995-208	PAGE <u>2</u> OF <u>4</u> PAGES
2. Agreement No. 208-1037	3. <input checked="" type="checkbox"/> Original or Revision No. _____
3. Project/Activity Title Research Activities (East Asia)	

I. DESCRIPTION AND EXPLANATION

A. Background

The U.S. Agency for International Development (USAID) during FY 1971 made funds available under an East Asia Research Program for research projects which have relevance to foreign assistance programs in Southeast Asia. Selected applications for research in Thailand were submitted to the National Research Council (NRC) and DTEC for review prior to final approval to proceed with funding of the proposals.

B. Present Status

The four research proposals are the following:

1. "Changwat Development Administration", National Institute of Development Administration. \$20,000
2. "A Study of Institutional and Human Resources Development in the Chonburi Region", Chulalongkorn University, Social Science Research Institute, Faculty of Political Science. \$14,932
3. "Protocol to Study The Effect of Large Dose Oral Vitamin A on Prevention of Deficiency in Newborn Infants and Children", Faculty of Medicine, Chiang Mai University. \$18,500
4. "Production and Utilization of Selected Food Legumes and Oilseeds in Thailand", Department of Agricultural Economics, Kasetsart University. \$18,000

C. The Purpose of this Project Agreement is to provide funds to finance the costs of the research studies mentioned above.

For the Cooperating Government or Agency

For the Agency for International Development

SIGNATURE: _____ DATE: _____

SIGNATURE: _____ DATE: _____

TITLE: _____

TITLE: _____

PROJECT AGREEMENT
BETWEEN AID AND
DEPARTMENT OF TECHNICAL AND ECONOMIC
COOPERATION
AN AGENCY OF THE GOVERNMENT OF
THAILAND

1. Project/Activity No. 498-11-995-208	PAGE 3 OF 4 PAGES
2. Agreement No. 208-1037	3. <input checked="" type="checkbox"/> Original or Revision No. _____
3. Project/Activity Title Research Activities (East Asia)	

II. USG Contribution

The USG will provide up to \$71,096 to cover the costs of conducting the research, including salaries, in-country travel and per diem, secretarial service, supplies, et cetera. Following signature of this agreement, USOM will enter into "letters of agreement" with the recipients to undertake the research agreed upon.

III. RTG Contribution

- A. The RTG, through the NRC and DTEC, will make every effort to assure that the results of the research will be used to promote the economic and social development of Thailand; and
- B. NRC will coordinate research described in this agreement and make relevant information available to both DTEC and USOM.

IV. Funding

<u>Research Recipient</u>	<u>Amount</u>
1. National Institute of Development Admin.	\$20,000
2. Chulalongkorn University, Social Science Research Institute, Faculty of Political Science.	\$14,932
3. Faculty of Medicine, Chiang Mai University.	\$18,500
4. Department of Agricultural Economics, Kasetsart University.	\$18,000
Total	<u>\$71,432</u>

For the Cooperating Government or Agency

For the Agency for International Development

SIGNATURE: _____ DATE: _____
TITLE: _____

SIGNATURE: _____ DATE: _____
TITLE: _____

PROAC
CONTINUATION
SHEET

ANNEX "A"

PROJECT AGREEMENT
BETWEEN AID AND
DEPARTMENT OF TECHNICAL AND ECONOMIC
COOPERATION

AN AGENCY OF THE GOVERNMENT OF
THAILAND

1. Project/Activity No.
498-11-995-208

PAGE 4 OF 4 PAGES

2. Agreement No.
208-1037

3. Original or
Revision No. _____

3. Project/Activity Title
Research Activities (East Asia)

V. Special Provisions

A. Research will be conducted in a manner consistent with the policies of the RTG and the procedures of the NRC.

B. Periodic Reports as set forth under the "letters of agreement" will be submitted concurrently to the NRC and DTEC.

FOR THE RTG:

[Signature]
National Research Council

Date: _____

For the Cooperating Government or Agency

[Signature]
SIGNATURE: Piew Phusavat
TITLE: Director-General, DTEC

For the Agency for International Development

[Signature]
SIGNATURE: Rey M. Hill
TITLE: Director, USOM/Thailand

PROJECT AGREEMENT

4990208
PD-LAK-069

**BETWEEN THE DEPARTMENT OF STATE, AGENCY FOR INTERNATIONAL DEVELOPMENT (AID),
AN AGENCY OF THE GOVERNMENT OF THE UNITED STATES OF AMERICA, AND**

PROAG

DEPARTMENT OF TECHNICAL AND ECONOMIC COOPERATION

AN AGENCY OF THE GOVERNMENT OF THAILAND

10p

The above-named parties hereby mutually agree to carry out a project in accordance with the terms set forth herein and the terms set forth in any annexes attached hereto, as checked below:

- Project Description Annex A
- Foreign Currency Standard Provisions Annex
- Standard Provisions Annex
- Special Loan Provisions Annex

This Project Agreement is further subject to the terms of the following agreement between the two governments, as modified and supplemented:

ECONOMIC & TECHNICAL COOPERATION AGREEMENT DATED SEPTEMBER 19, 1950

MEMORANDUM OF AGREEMENT AS TO CONDUCT OF COUNTERPART PROGRAMS, DATED JULY 24, 1955

(other)

1. Project/Activity No.
498-11-995-208

PAGE 1 OF 10 PAGES

2. Agreement No.
208-3016

3. Original or
Revision No. 1

4. Project/Activity Title
Research Activities (East Asia)
(MACROECONOMETRIC MODEL OF THAILAND)

5. Project Description and Explanation
(See Annex A attached)

6. AID Appropriation Symbol
72-1131004

7. AID Allotment Symbol
354-60-493-00-69-31

8. AID FINANCING	Previous Total (A)	Increase (B)	Decrease (C)	Total to Date (D)
<input checked="" type="checkbox"/> Dollars <input checked="" type="checkbox"/> Local Currency				
(a) Total	7,120	23,500		30,620
(b) Contract Services	7,120	23,500		30,620
(c) Commodities				
(d) Other Costs				
9. COOPERATING AGENCY FINANCING Dollar Equivalent				
\$1.00 = ฿20.00				
(a) Total	\$ 2,880			\$ 2,880
(b) Technical and Other Services	฿57,600			฿57,600
(c) Commodities				
(d) Other Costs				
Regular	\$ 2,880			\$ 2,880
Budget	฿57,600			฿57,600

10. Special Provisions (Use Additional Continuation Sheets, if Necessary)

P/EC [Signature]
LA [Signature]
AD/M [Signature]
M/CSO [Signature]
P/RE [Signature]
AD/P [Signature]
AD/F [Signature]

DTEC/P [Signature]
NRO [Signature]

11. Date of Original Agreement
January 15, 1973

12. Date of This Revision
June 19, 1973

13. Final Contribution Date
January 15, 1976

14. For the Cooperating Government or Agency
SIGNATURE: [Signature]
Piew Phusavat
DATE: 6/19/73
TITLE: Director-General, DTEC

15. For the Agency for International Development
SIGNATURE: [Signature]
Rey M. Hill
DATE: 6/19/73
TITLE: Director, USOM/Thailand

PROJECT AGREEMENT
BETWEEN AID AND
DEPARTMENT OF TECHNICAL AND ECONOMIC
COOPERATION

AN AGENCY OF THE GOVERNMENT OF
THAILAND

1. Project/Activity No.
498-11-995-208

PAGE 2 OF 10 PAGES

2. Agreement No.
208-3016

3. Original or
Revision No. 1

3. Project/Activity Title
Research Activities (East Asia)
(MACROECONOMETRIC MODEL OF THAILAND)

I. Description and Explanation

A. Background

Macroeconometric models are useful analytical tools for policy decision-making and planning. Present models of the Thai economy are of a partial equilibrium nature and thus too crude for policy formulation. Recently, a more comprehensive, policy-oriented model -- the first general equilibrium model of the Thai economy -- has been developed by Dr. Virabongsa Ramangkura, Faculty of Economics, Chulalongkorn University. If refined, the model will provide better information about the interrelationships among significant economic variables and can serve various policy simulations and forecastings. It will have broad applicability to economic planning and be particularly beneficial to the linear programming model for Thai agriculture now being developed by the Ministry of Agriculture and Cooperatives in cooperation with USOM. The refinements needed can be completed in about 18 months.

Considering the value to the Royal Thai Government (RTG) economic planning of an improved Ramangkura model, the RTG will in support of its development make available, as unpaid discussants to guide the effort, representatives of the Ministries of Industry, Agriculture and Finance, of the Department of Labour, the National Economic and Social Development Board, and the Bank of Thailand (BOT). The BOT will also provide access to its clerical staff and computer facilities. Chulalongkorn University has agreed to reduce the teaching load of Dr. Ramangkura and those research assistants he selects to assist him to enable him to undertake the requirements of the model which will make it more beneficial for the RTG purposes. The National Research Committee will be responsible for coordinating the research described herein.

B. Purpose

The purpose of this Project Agreement is to set forth the agreement of the RTG and the USG to support the research effort to be led by Dr. Ramangkura to develop his policy-oriented model into a more useful analytical tool suitable for RTG economic planning purposes. See attached PIO/T No. 30108 and Annex B attached for details.

For the Cooperating Government or Agency

For the Agency for International Development

SIGNATURE: _____ DATE: _____
TITLE: _____

SIGNATURE: _____ DATE: _____
TITLE: _____

AID 1590-1A (8-69) PROAG CONTINUATION SHEET ANNEX <u>A</u>	PROJECT AGREEMENT BETWEEN AID AND DEPARTMENT OF TECHNICAL AND ECONOMIC COOPERATION AN AGENCY OF THE GOVERNMENT OF THAILAND	1. Project/Activity No. 498-11-995-208	PAGE <u>3</u> OF <u>10</u> PAGES
		2. Agreement No. 208-3011	3. <input type="checkbox"/> Original or Revision No. <u>1</u>
		3. Project/Activity Title Research Activities (East Asia) (MACROECONOMIC MODEL OF THAILAND)	

II. United States Government (USG) Participation

Pursuant to this Agreement the USG will provide up to \$23,500 to finance a research project to be undertaken by the Faculty of Economics, Chulalongkorn University under the direction of Dr. Ramangkura to re-specify and re-estimate the Ramangkura general equilibrium model of the Thai Economy in order to make it a more useful analytical tool for RTG policy decision making and planning.

III. Royal Thai Government (RTG) Participation

Pursuant to this Agreement the RTG will make available the services and personnel from the various RTG institutions described in I.A. above to serve as unpaid discussants in the research.

Chulalongkorn University has agreed to reduce the teaching load of Dr. Ramangkura (from 16 to 3 hours per week) and of the research assistants he selects to permit the necessary work to be done to permit completion of the task within 18 months.

IV. Funding

Research Recipients

The RTG and the USG agree that the research grant will be undertaken with Chulalongkorn University, Faculty of Economics: Total Grant \$23,500.

V. Special Provisions

A. Research will be conducted in a manner consistent with the policies of the RTG and the procedures of the National Research Committee (NRC).

B. Periodic reports as set forth under the Letter of Agreement will be submitted concurrently to the NRC and Department of Technical and Economic Cooperation.

FOR THE NATIONAL RESEARCH COUNCIL:

Pradit Chovanich

Title: Secretary General

Date: May 26, 1973

For the Cooperating Government or Agency <i>Piew Phusavat</i> SIGNATURE: <u>Piew Phusavat</u> DATE: <u>6/19/73</u> TITLE: <u>Director-General, DTEC</u>	For the Agency for International Development <i>Rey M. Hill</i> SIGNATURE: <u>Rey M. Hill</u> DATE: <u>6/19/73</u> TITLE: <u>Director, USOM/Thailand</u>
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A MACROECONOMIC MODEL OF THAILAND

Research Proposal Submitted

to

The U.S. Operation Mission

Bangkok, Thailand

by

The Economic Research Unit

Faculty of Economics

Chulalongkorn University

Bangkok, Thailand

Project Director: Virabongsa Ramangkura, Ph. D.

March 1973

PROJECT AGREEMENT
BETWEEN AID AND
DEPARTMENT OF TECHNICAL AND ECONOMIC
COOPERATION

AN AGENCY OF THE GOVERNMENT OF
THAILAND

1. Project/Activity No.
498-11-995-208

PAGE 5 OF 10 PAGES

2. Agreement No.
208- 3016

3. Original or
Revision No. 1

3. Project/Activity Title
Research Activities (East Asia)
(MACROECONOMETRIC MODEL OF THAILAND)

Introduction

Macroeconometric models are essential for obtaining a comprehensive picture of the economy. However, in spite of their importance in helping planners and decision makers to grip the functioning of the economy, few extensive models have been developed as far as Thailand is concerned. The existing macroeconomic models of Thailand are built for rough projection purposes rather than for tracing relationships among macroeconomic variables.^{1/} Moreover, in most of the cases, they are partial rather than general equilibrium type. These models, therefore, are too crude to be useful for policy formulation.

Recently, a relatively more comprehensive policy-oriented model, with econometric simulations incorporated, has been developed by Virabongsa Ramangkura of Chulalongkorn University.^{2/} Ramangkura's model can be improved through revisions, respecifications and re-estimations. Ramangkura's basic model has been examined by Dr. Chang, PPC/PDA, and Dr. Rex Daly, USOM, Office of Agriculture. They are in agreement that this is an excellent model on which a macroeconomic framework of the Thai economy, particularly for policy simulations can be built.

^{1/} Some of the more relevant studies on Thailand's macroeconomic models can be found in Warin Wonghanchao, et. al., "Macroeconometric Models for Projecting Thai National Income," The Thai Economic Journal, Vol. 6, No. 1 (June 1972), pp. 14-70; United Nations, ECAFE, Review of Long-Term Economic Projection for Selected Countries in the ECAFE Region, Bangkok, 1964; Thailand, NEDB, "A Projection Model for Economic Planning (?)", mimeo., Bangkok, 1972; and Chinnawoot Soonthornsima, A Macroeconomic Model for Economic Development of Thailand, Bangkok: Thammasat University Press, 1964.

^{2/} Virabongsa Ramangkura, "A Policy Simulation Model for the Development of the Economy of Thailand, " (An unpublished Ph.D. dissertation at the University of Pennsylvania, Philadelphia, 1972).

For the Cooperating Government or Agency

For the Agency for International Development

SIGNATURE: _____ DATE: _____

SIGNATURE: _____ DATE: _____

TITLE: _____

TITLE: _____

PROJECT AGREEMENT
BETWEEN AID AND
DEPARTMENT OF TECHNICAL AND ECONOMIC
COOPERATION

AN AGENCY OF THE GOVERNMENT OF
THAILAND

1. Project/Activity No.
498-11-995-208

PAGE 6 OF 10 PAGES

2. Agreement No.
208-3016

3. Original or
Revision No. 1

3. Project/Activity Title
Research Activities (East Asia)
(MACROECONOMETRIC MODEL OF THAILAND)

Objectives and the Nature of the Model

The basic objective of the research is to refine the Ramangkura model to provide better information about the interrelationship among significant economic variables so that it can serve various policy simulations and forecastings. The model will be disaggregated geographically in terms of urban and rural areas and functionally in terms of real, foreign, government and monetary sectors. The model will be constructed to incorporate the impacts of various policies and changes of price structure on income distribution and employment. With respect to real sector, emphasis will be placed on the production aspects of the economy. This sector will be divided into economic subsectors. The model will be specified so that employment and factor income can be determined. Attention will be paid to the agricultural sector and its relationship to other sectors of the economy. This sector will include as many policy instruments as data will permit. These particular policy instruments are industrial promotion strategies, tax and government investment policies. Hence, the model will be particularly beneficial to the linear programming model for the Thai agriculture now being developed by the Ministry of Agriculture in cooperation with the USOM. Solutions for special areas and regions of that programming model can be inserted into the proposed model to examine how they will reverberate throughout the national economy. At the same time, the impact of simulated national plans in the model being proposed can be traced through the agricultural sector down to regions and areas through the Ministry of Agriculture's programming. The two research attempts will, therefore, supplement one another in meeting the requirement of government policies on agricultural production and employment. Equal attention will also be paid to the activities in non-agricultural subsectors. Attempts will be made to determine employment and factor prices so that factor payments can be determined.

The foreign sector is the most crucial sector in the Thai economy. Unlike most projection models the proposed research will treat exports endogenously instead of exogenously. The level of disaggregation will be as much as statistical data permit. An effort will be made to link the level of exports with internal economic activities. Policy instruments relating to international trade and finance, such as exchange rates, import-export taxes, foreign reserves and foreign aid will be incorporated into the model to determine the level and composition of the nation's trade activities.

For the Cooperating Government or Agency

For the Agency for International Development

SIGNATURE: _____ DATE: _____
TITLE: _____

SIGNATURE: _____ DATE: _____
TITLE: _____

PROJECT AGREEMENT
BETWEEN AID AND
DEPARTMENT OF TECHNICAL AND ECONOMIC
COOPERATION

AN AGENCY OF THE GOVERNMENT OF
THAILAND

1. Project/Activity No.
498-11-995-208

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2. Agreement No.
208-3016

3. Original or
Revision No. 1

3. Project/Activity Title
Research Activities (East Asia)
(MACROECONOMETRIC MODEL OF THAILAND)

On the government account, government revenue and expenditures will be subdivided into various categories, such as government general consumption, defence expenditures and government investment so that the effects of various fiscal policies on these activities in the economy can be traced.

Equal attention will also be put on the monetary side of the model since the monetary aspect of the model is essential for price determination and is critical for determining private investment decisions. Money supply, demand for money and the demand for other forms of financial assets will be explained in great detail. Appropriate theories underlying each equation of price determination will also be examined and tested. The demand for money and other forms will be disaggregated geographically. This research will be used as an intensive investigation of various monetary - fiscal policy instruments.

Policy Implication of the Research

After the model has been constructed, the model can be used for several purposes. The major uses of the model are for forecasting purposes, and for simulating the whole economy under some interesting hypotheses as well as for calculating the dynamic properties of various policy instruments. Furthermore, the simulated paths of all endogenous variables in the system both within and without the sample period can be generated under alternative assumptions. This will provide different growth paths of the economy, if a certain policy or a certain package of policies is adopted. The information on structural relationships among economic variables, which the model will provide, and the derived simulations, will be particularly helpful to planners and decision makers in getting useful policy alternatives.

Specifically, the model will facilitate the formulation of policies and measures on income distribution, employment, migration, investment strategies, trade promotion, balance of payments, price stabilization, and resource development.

For the Cooperating Government or Agency

For the Agency for International Development

SIGNATURE: _____ DATE: _____
TITLE: _____

SIGNATURE: _____ DATE: _____
TITLE: _____

AID 1990-1A (8-83) PROAG CONTINUATION SHEET ANNEX <u>B</u>	PROJECT AGREEMENT BETWEEN AID AND DEPARTMENT OF TECHNICAL AND ECONOMIC COOPERATION AN AGENCY OF THE GOVERNMENT OF THAILAND	1. Project/Activity No. 498-11-995-208	PAGE <u>8</u> OF <u>10</u> PAGES
		2. Agreement No. 208-3016	3. <input type="checkbox"/> Original or Revision No. <u>1</u>
		3. Project/Activity Title Research Activities (East Asia) (MACROECONOMETRIC MODEL OF THAILAND)	

Methodology

After the evaluation of the overall hypotheses, the model will be specified, estimated and tested statistically. Prior to this stage, published statistical series will have to be reclassified and improved to suit the research framework. Appropriate statistical procedures will be applied to ensure consistent estimates. For example, due to the disaggregativeness of the model being proposed, the number of predetermined variables may exceed the total observations in the sample period, and a technique of principal components will be used to handle the problem.

The predictive power of the model will be tested by the so called ex post simulation method. In fact, the ex post simulation will be carried on through out the specification and estimation of the model since this test provides useful information about the behavioral relationship among the economic variables. For this purpose, the model will be solved dynamically by the Gauss-Seidel iterative procedure.

Finally, hypothetical dynamic simulations and impacts of changes in various policy instruments will be computed and analyzed. Ex ante simulation or forecasts of the whole economy will be calculated and discussed.

Phasing of the Research

This research project will be phased as follows:

Phase I (4 months) : Collection, examination, adjustment and reconstruction of statistical data.

Phase II (12 months): Formulation, specification and testing of the model.

Phase III (2 months) : Presentation of the final findings.

Works to Be Submitted within First Two Phases

1. Progress reports (to be submitted quarterly).
2. A Short Paper on the theoretical framework of the research.
3. Primary report on the simulation results of income-distribution pattern.

For the Cooperating Government or Agency SIGNATURE: _____ DATE: _____ TITLE: _____	For the Agency for International Development SIGNATURE: _____ DATE: _____ TITLE: _____
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PROJECT AGREEMENT
BETWEEN AID AND
DEPARTMENT OF TECHNICAL AND ECONOMIC
COOPERATION

AN AGENCY OF THE GOVERNMENT OF
THAILAND

1. Project/Activity No.
498-11-995-208

PAGE 9 OF 10 PAGES

2. Agreement No.
208-3016

3. Original or
Revision No. 1

3. Project/Activity Title
Research Activities (East Asia)
(MACROECONOMETRIC MODEL OF THAILAND)

4. Primary report on income projections.
5. Tables on trade statistics.
6. Tables on income statistics.
7. Tables on expenditure statistics.
8. Tables on labor statistics.
9. Tables on monetary variables.
10. Tables on prices.

Personnel

Project Director: Virabongsa Ramangkura, Ph.D.

Associate Project Director: Warin Wonghanchao, Ph. D.

Research Assistants: 1. (to be designated.)
2. (to be designated.)

External Discussants:

Bank of Thailand: Dr. Olarn Chaiprawat

NEDB: Mr. Somjit Nopphakun

Dept. of Labor, Ministry of Interior: Mr. Vichit Saengthong

Ministry of Industry: Dr. Vichitvong na Bhomphetchara

Ministry of Agriculture: Dr. Somnuek Sriprang

Ministry of Finance: Dr. Kovit Phosayananda

For the Cooperating Government or Agency

For the Agency for International Development

SIGNATURE: _____ DATE: _____

SIGNATURE: _____ DATE: _____

TITLE: _____

TITLE: _____

PROJECT AGREEMENT
BETWEEN AID AND
DEPARTMENT OF TECHNICAL AND ECONOMIC
COOPERATION

AN AGENCY OF THE GOVERNMENT OF
THAILAND

1. Project/Activity No.
498-11-995-208

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2. Agreement No.
208-3016

3. Original or
Revision No. 1

3. Project/Activity Title
Research Activities (East Asia)
(MACROECONOMETRIC MODEL OF THAILAND)

Estimated Costs

1. Project Director	18 months (20/hrs/wk), @ \$4,000/year	\$ 6,000
2. Associate Project Director	18 months (10 hrs/wk), @ \$150/month	2,700
3. Research Assistant I	18 months (10 hrs/wk), @ \$50/month	900
4. Research Assistant II	18 months (20 hrs/wk), @ \$100/month	1,800
5. Computer Use	Computer time, 100 hrs, @\$90/hr	9,000
	Data preparation and programming	1,800
6. Supplies and secretarial services		1,300
	Total	\$23,500

For the Cooperating Government or Agency

For the Agency for International Development

SIGNATURE: _____ DATE: _____
TITLE: _____

SIGNATURE: _____ DATE: _____
TITLE: _____

4980080
 PD-AAF-069

AID 1330-1
 (2-64)

PRO AG

PROJECT AGREEMENT
 BETWEEN THE DEPARTMENT OF STATE, AGENCY FOR INTERNATIONAL DEVELOPMENT (AID),
 AN AGENCY OF THE GOVERNMENT OF THE UNITED STATES OF AMERICA, AND
 (NAME OF THE GOVERNMENT OF THE REPUBLIC OF INDONESIA)
 AN AGENCY OF THE GOVERNMENT OF THE REPUBLIC OF INDONESIA 1p

The above named parties hereto mutually agree to carry out a project in accordance with the terms set forth herein and the terms set forth in any annexes attached hereto, as checked below:

- PROJECT DESCRIPTION ANNEX A
- FOREIGN CURRENCY STANDARD PROVISIONS ANNEX
- STANDARD PROVISIONS OTHER
- SPECIAL LOAN PROVISIONS ANNEX

This Project Agreement is further subject to the terms of the following agreement between the two governments, as modified and supplemented:

- GENERAL AGREEMENT FOR TECHNICAL COOPERATION DATE
- ECONOMIC COOPERATION AGREEMENT DATE: Oct. 16, 1958
- (Other) DATE

1. PROJECT/ACTIVITY NO. 193-11-995-208 PAGE 1 OF 1 PAGES

2. AGREEMENT NO. OBL 10266 3. ORIGINAL OR REVISION NO. 1

4. PROJECT/ACTIVITY TITLE

Southeast Asia Development Advisory Group
 Special Research Grants

5. PROJECT DESCRIPTIVE AND EXPLANATION
 (See Annex A attached)

6. AID AGREEMENT SYMBOL 72-1111004 7. AID ELEMENT SYMBOL 174-60-197-00-69-23

8. AID FINANCING	9. AID FINANCING SYMBOL	COMMITMENT SYMBOL (A)	INCREMENT (B)	INCREMENT (C)	TOTAL TO DATE (D)
(a) Total		54,165			54,165
(b) Contract Services/Grant		54,165			54,165
(c) Commodities					
(d) Other Costs					
9. COOPERATING AGENCY FINANCING SYMBOL EQUIVALENT					
(a) Total					
(b) Technical and other Services					
(c) Commodities					
(d) Other Costs					

The purpose of this revision is to change the project title and number of this Proj. (See Block 1 and 4), as requested by AID/A.

All other provisions in the original Proj. remain the same.

Ref.: memo from Overick to Burton, August 26, 1971.

11. DATE OF ORIGINAL AGREEMENT: June 21, 1971 12. DATE OF THIS REVISION: September 20, 1971 13. ESTIMATED FINAL CONTRIBUTION DATE: June 30, 1972

14. FOR THE COOPERATING GOVERNMENT OR AGENCY: (CI) Signature not required

15. FOR THE AGENCY FOR INTERNATIONAL DEVELOPMENT: Richard M. Lashin
 Richard M. Lashin 9/20/71
 SIGNATURE: DATE: TITLE: Director, USAID/Djakarta

HC, ID
 Clearance:
 HED: AB
 S. B. Kelle
 700
 COM: D. J. X
 DSC: J. J.

4980208 (8)
 PD-AAF-069

AID 1330-1
 (8-6-72)

PRO AG

PROJECT AGREEMENT
BETWEEN THE DEPARTMENT OF STATE, AGENCY FOR INTERNATIONAL DEVELOPMENT (AID),
AN AGENCY OF THE GOVERNMENT OF THE UNITED STATES OF AMERICA, AND
BALAN PERENCANA DAN PEMBANGUNAN NASIONAL (BAPPENAS)
AN AGENCY OF THE GOVERNMENT OF THE REPUBLIC OF INDONESIA 5p

The above-named parties hereby mutually agree to carry out a project in accordance with the terms set forth herein and the terms set forth in any annexes attached hereto, as checked below:

- PROJECT DESCRIPTION ANNEX A FOREIGN CURRENCY STANDARD PROVISIONS ANNEX
 STANDARD PROVISIONS ANNEX SPECIAL LOAN PROVISIONS ANNEX

This Project Agreement is further subject to the terms of the following agreements between the governments, as modified and supplemented:

- GENERAL AGREEMENT FOR TECHNICAL COOPERATION DATE _____
 ECONOMIC COOPERATION AGREEMENT DATE **Oct. 16, 1950**
 _____ DATE _____

1. PROJECT/ACTIVITY NO. **498-11-995-208** PAGE 1 OF 3 PAGES
 2. AGREEMENT NO. **FY 1972 - 8 Obl.20090** 3. ORIGINAL OR REVISION NO. _____
 4. PROJECT/ACTIVITY TITLE
SOUTHEAST ASIA DEVELOPMENT ADVISORY GROUP

Special Research Grants

5. PROJECT DESCRIPTION AND EXPLANATION
 (See Annex A attached)

6. AID APPROPRIATION SYMBOL **72-112100h** 7. AID ACCOUNT SYMBOL **254-60-497 10-69-21**

8. AID FINANCING	9. CURRENCY SYMBOL	10. AMOUNT (\$)	11. AMOUNT (R)	12. AMOUNT (C)	13. TOTAL YTD DATE (E)
<input checked="" type="checkbox"/> GRANTS <input type="checkbox"/> LOCAL CURRENCY					
(a) Total			8,150		8,150
(b) Contract Services/Grants			8,150		8,150
(c) Commodities					
(d) Other Costs					
9. COOPERATING AGENCY SPECIAL PROVISIONS					
(a) Total			None		
(b) Technical and other Services					
(c) Commodities					
(d) Other Costs					

USAID
 Clearances:

SWD: *[Signature]*
 PRO: *[Signature]*
 CONT: *[Signature]*
 FFP: *[Signature]*

As authorized by AID Circular A - 1087 dated May 20, 1971, activities under this Agreement may be implemented by use of Letters of Agreement (Grant Agreements) between A.I.D. and the grant recipients, in lieu of P.O.s and contracts.

PRO: RHerr:ds
 1/18/72

11. DATE OF ORIGINAL AGREEMENT: **January 31, 1972** 12. DATE OF THIS LETTER: _____
 13. ESTIMATED FINAL CONTRIBUTION DATE: **June 30, 1973**

14. FOR THE COOPERATING GOVERNMENT OR AGENCY
 Dr. Emil S. Gani
 SIGNATURE: *[Signature]* DATE: **1/31/72**
 TITLE: **Vice Chairman, BAPPENAS**

15. FOR THE AGENCY FOR INTERNATIONAL DEVELOPMENT
 Richard M. Cashin
 SIGNATURE: *[Signature]* DATE: **1/31/72**
 TITLE: **Director, USAID/L, Jakarta**

AID 1880-1A (8-0-8) PROAG CONTINUATION SHEET ANNEX <u>A</u>	PROJECT AGREEMENT BETWEEN AID AND BADAI. PERRENTJANA DAN PEMBANGUNAN NASIONAL (BAPPENAS)	1. Project/Activity No. 197-11-995-208	PAGE <u>2</u> OF <u>3</u> PAGES
		2. Agreement No. Ob1.20090 FY 1972 - 8	3. <input checked="" type="checkbox"/> Original or Revision No. _____
	AN AGENCY OF THE GOVERNMENT OF THE REPUBLIC OF INDONESIA	3. Project/Activity Title Southeast Asia Development Advisory Group Special Research Grants	

I. Purpose

This Project Agreement provides funds for the support of small-scale but significant research activities to be conducted in Indonesia on topics related to Indonesian development priorities. In addition to the production of the research findings themselves, activities financed under this Agreement are intended to develop the research competence of public and private Indonesian institutions, and to foster cooperative work and interchange of information between Indonesian and foreign scholars and research organizations.

II. Research Projects

The first research project to be financed with U.S. fiscal year 1972 funds is outlined in paragraph "A" below. Additional research projects may be financed during fiscal year 1972 as agreed between the parties by amendment of this Agreement.

A. Impact of Government Subsidy on Villages in Java and Bali (\$8,150)

For the past three years the central government has provided a subsidy of 100,000 rupiahs annually to each Indonesian village to encourage self-help (gotong royong) projects of importance to village development. The objective of this research project is to evaluate the results of this program to date in Java and Bali. Research may be extended nation-wide in a second phase of this project in the future. It is intended that data collection and evaluation will address four primary aspects of the village subsidy program: (a) Economic impact of the subsidized projects in the village; (b) Employment effects of the encouragement of gotong royong labor on the projects, including relationships to other Government supported development activities providing cash wages to participants; (c) Project selection and implementation practices; and (d) Village attitude effects of the program. The research project is estimated to require eight months to completion (Java/Bali phase), with a preliminary report of findings to be available approximately five months after initiation.

III. Responsibilities and Procedures

A. Pursuant to the purposes of this Agreement stated in Section I above, USAID will execute grant agreements (or contracts) with Indonesian institutions, organizations, or firms for each of the research projects

For the Cooperating Government or Agency

For the Agency for International Development

SIGNATURE: _____ DATE: _____

SIGNATURE: _____ DATE: _____

TITLE: _____

TITLE: _____

**PROJECT AGREEMENT
BETWEEN AID AND
BADAN PERENCANAAN DAN PEMBANGUNAN
NASIONAL (BAPPENAS)**

**AN AGENCY OF THE GOVERNMENT OF
THE REPUBLIC OF INDONESIA**

1. Project/Activity No.
497-11-995-208

3 of 3 PAGES

2. Agreement No. **Obl.20090**
FY 1972-8

3. Original or
Revision No. _____

3. Project/Activity Title
**Southeast Asia Development Advisory Group
Special Research Grants**

described in Section II. Prior to signature of the grant agreement (or contract), USAID will transmit a copy of the document to BAPPENAS for comment. If no reply is received by USAID within ten (10) calendar days of transmittal, it will be assumed that BAPPENAS has no comment or objection to execution of the grant agreement (or contract).

B. The grant agreements (or contracts) will specify that a comprehensive final report will be submitted for each research project, sufficiently documented to support the results of the research. At least ten (10) copies of the final report in the Bahasa Indonesian language and two (2) copies in English will be transmitted to BAPPENAS for distribution within GOI as BAPPENAS believes appropriate. USAID will retain three (3) copies in English. Additional reproduction and distribution of the final report will be specified in the individual grant agreements (or contracts).

C. All disbursements of funds provided under this Agreement will be made by USAID directly to the recipients of the grants (or contractors) in accordance with terms and conditions specified in the grant agreements (or contracts). All local costs will be paid in Indonesian rupiah, converted by USAID from the U.S. dollars provided in this Agreement through standard procedures.

For the Cooperating Government or Agency

For the Agency for International Development

SIGNATURE: _____ DATE: _____
TITLE: _____

SIGNATURE: _____ DATE: _____
TITLE: _____

PRO AG

PROJECT AGREEMENT
BETWEEN THE DEPARTMENT OF STATE, AGENCY FOR INTERNATIONAL DEVELOPMENT (AID),
AN AGENCY OF THE GOVERNMENT OF THE UNITED STATES OF AMERICA, AND
BADAN PERENCANA PEMBANGUNAN NASIONAL (BAPPENAS)

AN AGENCY OF THE GOVERNMENT OF THE REPUBLIC OF INDONESIA

The above-named parties hereby mutually agree to carry out a project in accordance with the terms set forth herein and the terms set forth in any annexes attached hereto, as checked below:

- PROJECT DESCRIPTION ANNEX A FOREIGN CURRENCY STANDARD PROVISIONS ANNEX B
 STANDARD PROVISIONS ANNEX C SPECIAL LOAN PROVISIONS ANNEX D

This Project Agreement is further subject to the terms of the following agreement between the two governments, as modified and supplemented:

- GENERAL AGREEMENT FOR TECHNICAL COOPERATION DATE: _____
 ECONOMIC COOPERATION AGREEMENT DATE: Oct. 16, 1950
 General DATE: _____

1. PROJECT/ACTIVITY NO. 498-11-925-203	PAGE 1 OF 2 PAGES
2. AGREEMENT NO. Ob1.20090 FY 1972 - 8	3. <input type="checkbox"/> ORIGINAL OR REPLICA EXP. 1
3. PROJECT/ACTIVITY TITLE	

SOUTH EAST ASIA DEVELOPMENT ASSISTANCE GROUP
Special research Grants

4. DETAILED DESCRIPTION AND EXPLANATION:
(See Annex A attached)

5. AID CREDITATION SYMBOL 72-1021.004	7. AID ALLOCATION SYMBOL 294-60-497-00-69-21
--	---

6. AID REIMBURSEMENT	COMMENCEMENT YEAR (A)	INDICATOR (B)	DECREASE (C)	TOTAL TO DATE (D)
<input checked="" type="checkbox"/> DOLLARS <input type="checkbox"/> LOCAL CURRENCY				
(a) Total	8,150	3,880		12,030
(b) Contract Services	8,150	3,880		12,030
(c) Commodities				
(d) Other Costs				
8. UNRECOVERABLE AMOUNTS (REIMBURSEMENT-EXCLUDED EQUIPMENT)				
(a) Total		None		
(b) Unrecovered other				
(c) Commodity				
(d) Other Costs				

10. Special Provisions (See Attachment Construction Study, B Appendix)

11. DATE OF ORIGINAL AGREEMENT January 31, 1972	12. DATE OF THIS REVISION April 14, 1972	13. ESTIMATED FINAL CONTRIBUTION DATE June 30, 1973
--	---	--

14. FOR THE COOPERATING GOVERNMENT OR AGENCY Dr. Emil Salim <i>Emil Salim</i> 4/9/72 SIGNATURE: _____ DATE: 4/9/72 TITLE: Vice Chairman, BAPPENAS	15. FOR THE AGENCY FOR INTERNATIONAL DEVELOPMENT Richard M. Cashin <i>L. L. Ramey</i> 4/14/72 SIGNATURE: _____ DATE: 4/14/72 TITLE: Director, USAID/Djakarta
---	--

USAID
Clearance
RFP: 773
CMD: [Signature]
CJMT: [Signature]
PRO: [Signature]
3/21/72
[Signature]

PROAG
CONTINUATION
SHEET

ANNEX A

PROJECT AGREEMENT
BETWEEN AID AND
BADAN PENELITIAN DAN PEMBANGUNAN
NASIONAL (BAPPENAS)

AN AGENCY OF THE GOVERNMENT OF
THE REPUBLIC OF INDONESIA

1. Project/Activity No.
498-11-995-208

2. Agreement No. Obl.20090
FY 1972 - 8

3. Original or
Revision No. 1

3. Project/Activity Title
SOUTH EAST ASIA DEVELOPMENT ADVISORY GROUP
Special Research Grants

This amendment to Project Agreement No. FY 1972 - 8 is made to include in Section II the project outlined in paragraph "B" below as the second special research project to be financed with US fiscal year 1972 funds. All other provisions of the original project agreement remain intact.

B. The Drug Problem Among the Student Population of Djakarta (\$3,800).

It is known that Djakarta, like other major cities around the world, is experiencing an increase in the incidence of drug-abuse, and that this phenomena is occurring more frequently among the youth of Djakarta, more particularly the student population. Beyond these basic premises, information about the drug problem in Djakarta is, at best, scanty and fragmented. The objective of this research project is to determine the pattern of drug use among students of the Junior and Senior High Schools in Djakarta as a necessary first step towards a more sophisticated and scientifically coordinated program in this area. It is intended that data collection and analysis will also aim at searching into the various physical, social, and psychological characteristics of the young people involved, at determining those factors which play an influential role in the etiology of the drug problem, and at an examination of community attitudes toward the drug problem, particularly among teachers and parents. The research project is estimated to require six months, with a preliminary report of findings to be available approximately four months after initiation.

For the Cooperating Government or Agency
SIGNATURE: _____ DATE: _____
TITLE: _____

For the Agency for International Development
SIGNATURE: _____ DATE: _____
TITLE: _____

4980289
 PD-110-089

PRO 10

PROJECT AGREEMENT
 BETWEEN THE DEPARTMENT OF STATE FOR INTERNATIONAL DEVELOPMENT AND
 AN AGENCY OF THE GOVERNMENT OF THE UNITED STATES OF AMERICA AND
BADAN PERENCANA DAN PEMBANGUNAN NASIONAL (BAPPENAS)
 AN AGENCY OF THE GOVERNMENT OF THE REPUBLIC OF INDONESIA

Page 1

Under the terms of Economic Cooperation Agreement signed October 16, 1953, as amended, and the agreements and provisions noted below, it is agreed to carry out a project in accordance with the terms set forth herein:

Agreement (Specify) _____
 Standard Provisions Annex Other (Specify) _____

1. Project No. **498-11-995-208** 2. Agreement No. **FY 1973 - 6 30053** 3. Original or Revision No. _____

4. Project/Activity Title **South East Asia Dev. Advisory Group Special Research Grants** 5. Project Description (Annex A) _____

6. App. or Proj. No. **72-1131004** 7. Allotment **354-60-497-00-69-31**

8. AID DOLLAR FINANCING (Net Component)	PREVIOUS TOTAL (A)	INCREASE (B)	DECREASE (C)	TOTAL TO DATE (D)
9. FEDERAL COSTS				
(1) DASA				
Contract				
(2) O&M AND TCM DASA				
Contract/Grants		49,530		49,530
10. OTHER COSTS				
(A) Direct				
(B) Contract				
(C) Other				
Approved				
(D) Contract				
(E) Other				
(F) Contract				
(G) Other				
11. TOTAL (ALL COSTS)		49,530		49,530
12. TO AGENCY FINANCING				
(A) U.S. GOVERNMENT FUNDS				
(B) U.S. GOVERNMENT FUNDS - AID A/CIA				
(C) U.S. GOVERNMENT FUNDS - OTHER				

13. REFERENCES AND REMARKS: As authorized by AIDEO Circular A-1087 dated May 20, 1971, activities under this Agreement may be implemented by use of Letters of Agreement (Grant Agreements) between A.I.D. and the grant recipients, in lieu of FPOs and contracts.

11. DATE OF ORIGINAL AGREEMENT: **December 7, 1972** 12. DATE OF THIS REVISION: **December 31, 1971**

14. FOR THE COOPERATING GOVERNMENT OF AGENCY: **Dr. Emil Salim**
 SIGNATURE: _____
 TITLE: **Vice Chairman, BAPPENAS** DATE: **11/30/72**

15. FOR THE AGENCY FOR INTERNATIONAL DEVELOPMENT: **Richard M. Cashin**
 SIGNATURE: _____
 TITLE: **Director, USAID/ Jakarta - DAK** DATE: **12/7/72**

PRO: *[Signature]*
 JNorris
 11/8/72

AID 120-1A (6-3-1) PROAG CONTINUATION SHEET ANNEX <u>A</u>	REPUBLIC OF INDONESIA BETWEEN AID AND BADAN PERENCANAAN DAN PEMBANGUNAN NASIONAL (BAPPENAS)	1. Project Agency No. 198-11-773-200	2. Date of Agreement 1973
	AN AGENCY OF THE GOVERNMENT OF THE REPUBLIC OF INDONESIA	2. Agreement No. 30053 FY 1973 - 6	3. <input checked="" type="checkbox"/> Original or Revision No. _____
		3. Project/Activity Title Southeast Asia Development Advisory Group Special Research Grants	

PROJECT DESCRIPTION

I. PURPOSE

This Project Agreement provides funds for the support of small-scale but significant research activities to be conducted in Indonesia on topics related to Indonesian development priorities. In addition to the production of the research findings themselves, activities financed under this Agreement are intended to develop the research competence of public and private Indonesian institutions, and to foster cooperative work and interchange of information between Indonesian and foreign scholars and research organizations.

II. RESEARCH PROJECTS

The first research project to be financed with U.S. fiscal year 1973 funds is outlined in paragraph "A" below. Additional research projects may be financed during fiscal year 1973 as agreed between the parties by amendment of this Agreement.

A. A Study of Migration in Indonesia

Two of the most serious problem areas in Indonesia are unemployment and urbanization. In addition, questions of balance in development among the many regions of the country are becoming more and more important. It is of great importance that the policies adopted to cope with these problems be such as to encourage the most productive utilization of resources by channeling them towards productive uses.

An understanding of the magnitude and causes of migration from rural to urban areas, from rural to rural areas in Java, and between Java and the outer islands is requisite for the design of policies needed for effective labor utilization. It is essential to understand the ways in which population movements will reinforce or negate particular programs and actions intended to mobilize manpower resources.

The basic questions to be investigated in this study are:
 What are the factors that determine migration in Indonesia? What is the

For the Cooperating Government or Agency

For the Agency for International Development

SIGNATURE: _____ DATE: _____

SIGNATURE: _____ DATE: _____

TITLE: _____

TITLE: _____

PROAC
CONTINUATION
SHEET
ANNEX A

PROJECT AGREEMENT BETWEEN AID AND BADAN PERENCANA DAN PEMBANGUNAN NASIONAL (BAPPENAS) AN AGENCY OF THE GOVERNMENT OF THE REPUBLIC OF INDONESIA	1. Project/Activity No. 197-11-915-203	PAGE 3 OF 3 PAGES
	2. Agreement No. FY 1973 - 6 30053	3. <input checked="" type="checkbox"/> Original or Revision No. _____
	3. Project/Activity Title Southeast Asia Development Advisory Group Special Research Grants	

relative importance of these factors? What is the distribution of place of origin for migrants in the major urban centers of Indonesia? What are the social characteristics of immigrants, particularly education, skills and work experience? How does the importance of certain factors and social characteristics vary among population groups classified according to education and income levels, place of origin and urban center?

The research project is estimated to require one year for completion. A preliminary report of the findings will be available approximately eight months after initiation.

III. RESPONSIBILITIES AND PROCEDURES

A. Pursuant to the purposes of this Agreement stated in Section I above, USAID will execute grant agreements (or contracts) with Indonesian institutions, organizations, or firms for each of the research projects described in Section II. Prior to signature of the grant agreement (or contract), USAID will transmit a copy of the document to BAPPENAS for comment. If no reply is received by USAID within ten (10) calendar days of transmittal, it will be assumed that BAPPENAS has no comment or objection to execution of the grant agreement (or contract).

B. The grant agreements (or contracts) will specify that a comprehensive final report will be submitted for each research project, sufficiently documented to support the results of the research. At least ten (10) copies of the final report in Bahasa Indonesia and five (5) copies in English will be transmitted to BAPPENAS for distribution within GOI as BAPPENAS believes appropriate. USAID will retain five (5) copies in English. Additional reproduction and distribution of the final report will be specified in the individual grant agreements (or contracts).

C. All disbursements of funds provided under this Agreement will be made by USAID directly to the recipients of the grants (or contractors) in accordance with terms and conditions specified in the grant agreements (or contracts). All local costs will be paid in Indonesian rupiah, converted by USAID from the U.S. dollars provided in this Agreement through standard procedures.

For the Cooperating Government or Agency SIGNATURE: _____ DATE: _____ TITLE: _____	For the Agency for International Development SIGNATURE: _____ DATE: _____ TITLE: _____
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PROAC
CONTINUATION
SHEET

ANNEX A

<p>PROJECT AGREEMENT BETWEEN AID AND BA AL. PERENTJANAAN DAN PEMBANGUNAN NASIONAL (BAPPENAS)</p>	<p>1. Report No. 497-11-995-208</p>	<p>Number of Pages</p>
<p>AN AGENCY OF THE GOVERNMENT OF THE REPUBLIC OF INDONESIA</p>	<p>2. Agreement No. FY 1973 - 6 30052</p>	<p>3. <input checked="" type="checkbox"/> Original or Revision No. _____</p>
<p>3. Project/Activity Title Southeast Asia Development Advisory Group Special/Grants /Research</p>		

D. Representatives of BAPPENAS, USAID, and other concerned agencies (as mutually agreed) will meet annually to evaluate the results of this program of special research grants, and make recommendations as necessary to improve grant procedures, research conduct, utilization of findings, and any other matters as disclosed by the evaluation.

<p>For the Cooperating Government or Agency</p> <p>SIGNATURE: _____ DATE: _____</p> <p>TITLE: _____</p>	<p>For the Agency for International Development</p> <p>SIGNATURE: _____ DATE: _____</p> <p>TITLE: _____</p>
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4980000 (10)
 PD-AAF-069

PRO AC

PROJECT AGREEMENT
 BETWEEN THE DEPARTMENT OF STATE, AGENCY FOR INTERNATIONAL DEVELOPMENT (AID),
 AN AGENCY OF THE GOVERNMENT OF THE UNITED STATES OF AMERICA, AND
 BADAN PERENCANA DAN PEMBANGUNAN NASIONAL (BAPPENAS)
 AN AGENCY OF THE GOVERNMENT OF THE REPUBLIC OF INDONESIA Page

Under the terms of Economic Cooperation Agreement signed October 16, 1950, as amended, and the agreements and provisions noted below, it is agreed to carry out a project in accordance with the terms set forth herein:

Agreement (Specify) _____
 Grant (Specify) Proj. FY 71-70; Grant Agreement #71-3 as amended.

1. Project No. 198-01-955-208 2. Agreement No. FY 1974-70; Obj. 40149 3. Original or Revision No. _____
 4. Project/Agency The Southeast Asia Dev. Advisory Group 5. Project Description (Attach A) Special Research Grants
 6. Appropriate 73-1111027 7. Allotment 427-60-497-00-69-41

1. AID DOLLAR FINANCING (or Equivalent)	2. PREVIOUS TOTAL (A)	3. INCREASE (B)	4. DECREASE (C)	5. TOTAL TO DATE (D)
(a) FEDERAL FUNDS				
<u>USA</u>				
<u>Other</u>				
(b) LOCAL CURRENCY FINANCING				
<u>USA</u>				
<u>Grants/Grants</u>		2,700		2,700
<u>Other</u>				
(c) OTHER FUNDS				
<u>Grants/Grants</u>				
<u>Other</u>				
(d) PASA/Contract				
TOTAL (A+B+C+D)		2,700		2,700
6. LOCAL CURRENCY FINANCING (Specify)				
7. OTHER FUNDS (Specify)				

11. DATE OF ORIGINAL AGREEMENT: April 22, 1974 12. DATE OF THIS REVISION: _____ 13. ESTIMATED FINAL CONTRIBUTION DATE: April 22, 1977
 14. FOR THE COOPERATING GOVERNMENT OR AGENCY: Dr. J. B. Sumartini 15. FOR THE AGENCY FOR INTERNATIONAL DEVELOPMENT: Richard H. Cashin
 SIGNATURE: _____ SIGNATURE: _____
 TITLE: Chairman, BAPPENAS DATE: 4/19/74 TITLE: Director, USAID/Jakarta DATE: 4/22/74

Handwritten notes and signatures on the left margin.

PROYAS CONTRIBUTION SHEET PART A	PROJECT AGREEMENT BETWEEN AID AND BADAN PERENCANAAN DAN PEMBANGUNAN NASIONAL (BAPPENAS)	1. Project/Activity No. 498-11-995-208	2. Agreement No. FY 1971 - 10
	AN AGENCY OF THE GOVERNMENT OF THE REPUBLIC OF INDONESIA	3. Project/Activity Title Southeast Asia Development Advisory Group .. Special Research Grants	
	This Project Agreement provides additional funds to cover the costs in updating, completing and conforming data previously collected in five cities - Medan, Surabaya, Semarang, Ujung Pandang and Padang - in order to carry out the compilation and analysis required of such data in completion and publication of the Indonesian Middle Cities Administration and Services Study.		

Funds obligated under this Project Agreement will cover the following costs in the estimated amounts indicated:

IEB Research Costs

IEB Travel	\$1,000
IEB per diem	600
Computer	350
Cartography	350
Contingency	400
Total	\$2,700

Previous funding for this activity in the amount of \$24,000 was provided in Project Agreement FY 1971-10, dated June 24, 1971, and implemented through Grant Agreement #71-3, dated September 26, 1971 as amended March 20, 1972.

For the Contributing Government or Agency

For the Agency for International Development

RECEIVED WITH
 TOTAL:

TOTAL:

AID 1860-1
 (7-71)

DEPARTMENT OF STATE
 AGENCY FOR
 INTERNATIONAL DEVELOPMENT

PIO/T

PROJECT IMPLEMENTATION
 ORDER/TECHNICAL
 SERVICES

1. Cooperating Country
Philippines

2. PIO/T No.
498-208-3-50043

3. Original or
 Amendment No. _____

4. Project/Activity No. and Title
(Plaridel Study)
498-11-995-208 Southeast Asia Development
Advisory Group (East Asia Research Program)

DISTRIBUTION

5. Appropriation Symbol
72-11X1027

6.A. Allotment Symbol and Charge
427-52-490-00-69-51

6.B. Funds Allotted to:
 A.I.D./W Mission

7. Obligation Status
 Administrative Reservation
 Obligating and
 Implementing Document

8. A. Services to Start (Mo., Day, Yr.)
 Between **1/1/75** and _____

8. B. Funding Period (Mo., Day, Yr.)
 From **1/1/75** To **3/30/75**

8. C. Completion date of Services
 (Mo., Day, Yr.)

10.A. Type of Action
 A.I.D. Contract Cooperating
 Country Contract Participating Agency
 Service Agreement Other

10.B. Authorized Agent
USAID/Manila

(in draft)
 Dr. Tomas Flores
 Director, Agrarian
 Reform Institute

Estimated Financing		(1)	(2)	(3)	(4)
		Previous Total	Increase	Decrease	Total to Date
11.	A. Dollars		\$3,000		3,000
	B. U.S.-Owned Local Currency				
12.	A. Co-sponsor				
	B. Other				

13. Mission
 References

14. Instructions to Authorized Agent

This PIO/T provides funds for the negotiation of a contract preferably with the Institute of Philippine Culture, Ateneo de Manila University, to perform the scope of work described in Block 19.

15. Clearances - Show Office Symbol, Signature and Date for all Necessary Clearances.

A. The specifications in his scope of work are technically adequate
 (in draft) *K. Sheper*
Keith W. Sheper, Agrarian Reform Officer

B. Funds for the services requested are available
 (in draft) *G. Robinson*
Gregor S. Robinson, Controller

C. The scope of work fits within the purview of the initiating and approved Agency Program
 (in draft) *D. Mitchell*
Donald Mitchell, AD for Agricultural Development

E. (in draft) *S. Gatertag*
Samuel Gatertag, Executive Officer

16. For the cooperating country: The terms and conditions set forth herein are hereby agreed to

17. For the Agency for International Development
 Signatures: *R. Cohen*
Raymond Cohen
 Title: **Program Officer**

18. Date of Signature
1/2/75

Signature and date: **UNILATERAL ISSUANCE**

Title:

PIO/T

Project/Activity No. and Title 498-11-995-208 Southeast Asia Development
Advisory Group (East Asia Research Program)

SCOPE OF WORK

II. Scope of Technical Services

A. Objective for which the Technical Services are to be Used

See continuation.

B. Description

See continuation.

C. Technicians

(1) (a) Number	(a) Specialized Field	(c) Grade and/or Salary	(d) Duration of Assignment (Man-Months)
1	Project Director	₱1200/mo.	2
1	Field Supervisor	900/mo.	3
6	Coders	600/mo.	12
2	Interviewers	700/mo.	1
	Per Diem	25/day	20 days

These estimates are subject to revision pending submission of proposal by the contractor.

(2) Duty Post and Duration of Technical Services

Manila, Philippines with travel to study area in Bulacan.

(3) Language requirements

English and Tagalog

(4) Access to Classified Information

None

(5) Dependents

Will

Will Not

Be Permitted to Accompany Technician

D. Financing of Technical Services

(1) By AID - \$ 3,000

(2) By Cooperating Country --

AID 1380-1 (2-78)	Cooperating Country Philippines	PIO/T No. 4980208-3-50043	Page 3 of 10 Pages
PIO/T	Project/Activity No. and Title: 498-11-995-208 Southeast Asia Development Advisory Group (East Asia Research Program)		

20. Equipment and Supplies (Related to the services described in Block 19 and to be procured outside the Cooperating Country by the supplier of these services)

A. (1) Quantity (2) Description	(3) Estimated Cost	(4) Special Instructions
N/A		

B. Financing of Equipment and Supplies **N/A**
 (1) By AID - \$ _____ (2) By Cooperating Country - _____

21. Special Provisions:

- A. This PIO/T is subject to AID (contracting) ~~PHILIPPINE~~ regulations.
- B. Except as specifically authorized by AID, or when local hire is authorized under the terms of a contract with a U.S. Supplier, services authorized under this PIO/T must be obtained from U.S. sources.
- C. Except as specifically authorized by AID/W, the purchase of commodities authorized under this PIO/T will be limited to the U.S. under Geographic Code 000.
- D. Other (specify): A non-competitive single source contract with the Institute of Philippine Culture, Ateneo de Manila, is requested to further advance its institutional capability for research in this area and for other reasons as cited in a memorandum to USAID/CSD dated October 15, 1974 subject: Request of Waiver for Non-Competitive Procurement of Services - Agrarian Reform.

AID 1300-1 (9-76)	Cooperating Country Philippines	PIO/T No. 498-208-3-50043	Page 4 of 10 Pages
PIO/T	Project/Activity No. and Title 498-11-995-208 Southeast Asia Development Advisory Group (East Asia Research Program)		

22. Reports by Contractor or Participating Agency (Indicate type, content and format of reports required, including language to be used if other than English, frequency or timing of reports, and any special requirements)

1. Upon completion of coding, submission of a brief report stating that coding is completed and including the number of "usable" 1964 questionnaire.
2. Submission of the survey instrument to USAID for review and approval 10 weeks after execution of the contract.
3. Submission of a research plan for the re-survey and methods of analysis 12 weeks after contract execution.

23. Background Information (Additional information useful to Authorized Agent and Prospective Contractors or Participating Agency; if necessary cross reference Block 19.C(4) above.)

1964 Land Authority survey of Plaridel, Bulacan.
Relevant agrarian reform laws, administrative rules and instructions.

24. Relationship of Contractor or Participating Agency to Cooperating Country and to AID

A. Relationships and Responsibilities Institute of Philippine Culture (IPC) is a research body within Ateneo de Manila, a private university. The Director of IPC will serve as contract manager.

B. Cooperating Country Liaison Official

Benjamin R. Labayan, Assistant Secretary for Administrative Affairs,
Department of Agrarian Reform.

C. AID Liaison Officials

Keith Sharper, Agrarian Reform Project Manager
Duncan Harkin, Agrarian Reform Research Advisor

LOGISTIC SUPPORT

2B. Provisions for Logistic Support

A. Specific Items (Insert "X" in applicable column at right. If entry needs qualification, insert asterisk and explain below in "Comments")	IN KIND SUPPLIED BY		FROM LOCAL CURRENCY SUPPLIED BY		TO BE PROVIDED OR ARRANGED BY SUPPLIER
	AID	COOPERATING COUNTRY	AID	COOPERATING COUNTRY	
(1) Office Space					
(2) Office Equipment					
(3) Housing and Utilities					
(4) Furniture					
(5) Household Equipment (Stoves, Refrig., etc.)					
(6) Transportation in Cooperating Country					
(7) Transportation To and From Country					
(8) Interpreter Services/Secretarial					
(9) Medical Facilities					
(10) Vehicles (officed)					
(11) Travel Arrangements/Tickets					
Other: (12)					
(Specify) (13)					
(14)					
(15)					

B. Additional Facilities Available From Other Sources

APO

PX

COMMISSARY

OTHER (specify, e.g., duty free entry, tax exemption)

C. Comments

All logistic support including office space, equipment and supplies, transportation and secretarial services will be supplied by the contractor.

CONTINUATION SHEET

DEPARTMENT OF STATE
AGENCY FOR
INTERNATIONAL DEVELOPMENT

Worksheet

Issuance

PAGE 6 OF 10 PAGES

FORM SYMBOL

TITLE OF FORM
PIO/T

1. Covering Country
Philippines

2a. Code No.
498-208-3 - 50043

2b. Effective Date

2c. Amendment
 Original OR No.

3. Project/Activity No. and Title

498-11-995-208 Southeast Asia Development
Advisory Group (East Asia Research Program)

Indicate block numbers.

Use this form to complete the information required in any block of a PIO or PA/PR form.

19-A Objective

The contract is intended to design a research plan to measure changes in welfare and to determine how the various aspects of the agrarian reform program have been applied to the individual farmer, resulting in conclusions as to the impact of the reform concerning incentives to produce, effects on tenant-landlord relations, and farmer welfare.

19-B Background

As an approach to the solution of the problem of agrarian unrest, which dates at least to the early 1900's, enactment of Republic Act 3864 in 1963 during the Macapagal administration marked the first major step since the resettlement program under Magway. Although the potential impact of the Agricultural Land Reform code was greatly reduced by failure to appropriate funds for its administration, it stands as landmark legislation. It provided for conversion of share tenancy to leasehold, an expanded program of expropriation of private lands for sale to its tenants, and established the courts of agrarian relations.

Although the nationwide impact of the legislation was not great, several pilot municipalities were selected for special emphasis in application of the land reform code. Plaridel, Bulacan was the first such municipality. In order to establish base-line data for the land reform program, the Land Authority conducted an intensive economic survey of all farmers in the municipality in 1964. The data from that survey were never tabulated and analyzed. The study proposed here would re-survey the Plaridel farmers after ten years of agrarian reform begun under RA 3864, and modified by RA 6389 in 1971 and Presidential Decree 27 in 1972.

19-B Description

Study Outputs

1. The contract provided by this PIO/T would provide for coding of data from the 1964 questionnaire, evaluation of the validity of the 1964 survey, and the elimination of any unusable questionnaires.
2. The contract will provide for the design of a re-survey and its analysis which would, insofar as possible, accomplish the following five objectives:
 - a. Measure present status and ten year change in farmer welfare as reflected in (a) current income, and (b) net worth.

CONTINUATION
SHEET

FORM SYMBOL

DEPARTMENT OF STATE
AGENCY FOR
INTERNATIONAL DEVELOPMENT

TITLE OF FORM **PIO/T**

Worksheet

Issuance

PAGE 7 OF 10 PAGES

1. Covering Country
Philippines

2a. Code No.
498-208-3-50043

2b. Effective Date

2c. Original Amendment
OR No.

3. Project/Activity No. and Title

**498-11-995-208 Southeast Asia Development
Advisory Group (East Asia Research Program)**

Indicate block
numbers.

Use this form to complete the information required in any block of a PIO or PA/PR form.

- b. Relate change in welfare to tenure status, farm size, farm practices, credit practices, non-farm income.
- c. Determine how many farmers have left the land and how many new farmers have come into the community.
- d. Describe and quantify the agrarian reform program:
- conversion from share to leasehold tenancy.
 - conversion from tenancy to amortizing owner.
 - area of lands expropriated and number of tenants affected.
 - institutional credit sources, amount of loans and repayments.
 - farm management extension.
 - role of the Court of Agrarian Relations and other courts in resolution of agrarian conflict.
- e. Describe concurrent exigent factors in the community:
- general description of Marikina economy, 1964 & 1974
population
income
education, export of technicians and professionals to urban areas
 - farm supply stores and volumes of business.
 - alternative employment opportunities, number employed in services and manufacturing.

Procedures

1. Evaluate the 1964 questionnaires and the validity of data entered on the questionnaires.
2. Interview Department of Agrarian Reform personnel who were involved in the 1964 survey and a small sample of Marikina farmers to determine how the data was gathered, its degree of accuracy, and what problems would be encountered in a re-survey.
3. Code data from the 1964 questionnaires in preparation for tabulation, eliminating any unusable questionnaires.

CONTINUATION SHEET

FORM SYMBOL

DEPARTMENT OF STATE
AGENCY FOR
INTERNATIONAL DEVELOPMENT

TITLE OF FORM **PIO/T**

<input type="checkbox"/> Worksheet	<input checked="" type="checkbox"/> Issuance	PAGE <u>8</u> OF <u>10</u> PAGES
1. Component Country Philippines		2. Code No. 498-208-3-50043
2b. Effective Date		<input checked="" type="checkbox"/> Original OR <input type="checkbox"/> Amendment No.
3. Project/Activity No. and Title 498-11-995-208 Southeast Asia Development Advisory Group (East Asia Research Program)		

Indicate block numbers.

Use this form to complete the information required in any block of a PIO or PA/PR form.

4. Design a plan of research for the re-survey and analysis.

Preliminary concept of the resurvey contemplates a study of three parts: (1) a farm-level survey; (2) a description of the agrarian reform program based on interviews with local officials; and (3) a description of exogenous community influence based on data gathered from this study and from secondary sources.

Farm-level Survey

1. The 1964 questionnaire would be examined to determine whether it can be condensed without losing valuable information. A new format may be developed which will permit transfer of the 1964 data to the new survey form so that this information will be available to the interviewee at the time of the survey interview. This will facilitate probing for complete answers. The questionnaire should include additional questions to determine:
 - a. whether and how the farmer was directly affected by conversion to leasehold or amortizing owner, or by expropriation of landed estates;
 - b. whether and how he was directly affected by institutional credit programs;
 - c. whether and how he was affected by cooperatives;
 - d. the farmers' opinions as to how their economic situation has changed and the causes of the change;
 - e. the farmers' use of the various forums for resolution of agrarian conflicts, the nature of the conflicts, and the nature of solutions achieved.
2. The survey may include:
 - a. those farmers who were interviewed in 1964 and who are still farming, or their heirs who are still farming;
 - b. those who have left farming, in order to determine present occupation, economic status and reasons for leaving farming;
 - c. the original farm parcel, to determine whether it has been subject to fragmentation or consolidation.

CONTINUATION SHEET

FORM SYMBOL

DEPARTMENT OF STATE
AGENCY FOR
INTERNATIONAL DEVELOPMENT

TITLE OF FORM PIO/T

Worksheet Issuance

PAGE 9 OF 10 PAGES

1. Cooperating Country
Philippines

2a. Code No.
498-208-3-50043

2b. Effective Date

2c. Initial A Final

3. Project/Activity No. and Title 498-11-995-208
Southeast Asia Development Advisory Group
(East Asia Research Program)

Indicate block numbers.

Use this form to complete the information required in any block of a PIO or PA/PR form.

Description of Agrarian Reform Program & Description of Exogenous Factors in the Community

A. Description of the agrarian reform program:

It is exceedingly fortunate that the present team leader of the Agrarian Reform Team in Plaridel has been in the community the whole ten years, beginning as a farm management technician with the Land Authority. He recognizes the value of the data existing in the 1964 survey and the potential value of the survey and has indicated his support for the study.

The description of the agrarian reform program might be pursued through interviews with officials of the following agencies and from data contained in their files.

1. Department of Agrarian Reform
2. Agricultural Credit Administration
3. Bureau of Agricultural Extension
4. Rural Bank
5. Court of Agrarian Relations
6. All operating agricultural cooperatives and former officers of any defunct cooperatives.

B. Description of concurrent exogenous factors in the community:

1. General description of the Plaridel economy

Information on population growth, alternative employment, and number employed in agriculture will assist in interpreting the causes of farm consolidation and/or fragmentation as elicited from farmer interviews. The level of education, and particularly the possible export of laborers, technicians and professionals to the Manila metropolitan area may prove to be an important factor in the Plaridel economy and useful in interpreting the survey results.

Fragmentation or consolidation of farms is influenced by agricultural technology and population pressures. Population pressures are viewed in this study plan as a resultant of natural population growth, alternative employment opportunities within the local community, and employment outside the community which is strongly influenced by technical capability. Technical capability is developed by, and reflected in, the extension of higher education.

CONTINUATION SHEET FORM SYMBOL	DEPARTMENT OF STATE AGENCY FOR INTERNATIONAL DEVELOPMENT	<input type="checkbox"/> Worksheet <input checked="" type="checkbox"/> Invoice	PAGE <u>10</u> OF <u>10</u> PAGES
	TITLE OF FORM PIO/T 6	1. Cooperating Country Philippines	2a. Code No. 498-208-3-50043
		2b. Effective Date	2c. <input type="checkbox"/> Original OR <input type="checkbox"/> Amendment No.
		2. Project/Activity No. and Title 498-11-995-208 Southeast Asia Development Advisory Group (East Asia Research Program)	

Indicate block numbers.

Use this form to complete the information required in any block of a PIO or PA/PR form.

Analysis:

Farmer welfare, as measured by current income and net worth, may be related to a number of explanatory factors in a multiple regression analysis. The explanatory variables suggested are:

1. land tenure
2. farm practices
 - (a) use of high yield varieties
 - (b) use of fertilizers
 - (c) use of pesticides
 - (d) planting technique
 - (e) amount of labor
3. availability and use of irrigation water
4. area cultivated
5. use of credit
6. value of land

A separate correlation may be made between the amount of non-farm income and the level of farm income. Two alternative hypotheses can be postulated. One would predict that high non-farm income would be associated with low farm income because off farm work would detract from farm operations. The other hypothesis is that the entrepreneurial talent and drive which results in high non-farm income will also be reflected in the success of farm operations. The Nueva Ecija Land Reform Study of the Institute of Philippine Culture found significantly higher non-farm income among owner cultivators than in other tenure classes, a result that suggests differing entrepreneurial ability among tenurial groups.

We expect the studies of the concurrent economic and social forces operating in the community to suggest further hypotheses. Some of these may be subject to statistical analysis of the survey data. Some may be subject to less formal analysis but contribute detail and perspective to the description of the ten years of change in Floridel.

**U.S. AGENCY FOR INTERNATIONAL DEVELOPMENT
Manila, Philippines**

**REQUEST FOR PROPOSALS, PROPOSAL AND ACCEPTANCE
NEGOTIATED FIXED-PRICE CONTRACT**

1. Contract No.:	Effective Date:
2. Request No.:	Date Issued:
3. Requisition No.:	4. Date of Proposal:

5. ISSUED BY:

**Contracting Officer
USAID/Philippines
1680 Roxas Boulevard
Manila**

FOR INFORMATION, CALL:

**Mr. R. L. Reid
Tel. : 50-14-26 or 59-80-11 Ext. 491/430**

6. SEND PROPOSAL TO:

Same as Block 5

Please submit proposals on or before close of business on: (Date)

Unless otherwise noted by Offeror, any supplies or equipment included in the cost of this Proposal are of local or U.S. origin. This Proposal is a valid offer which will remain firm for acceptance by USAID/Philippines within 30 days after receipt. If you cannot submit a Proposal in response to this Request, please so indicate on this form and return it.

This Request does not commit USAID to pay for any costs incurred in preparation of the Proposal, or to accept any Proposal submitted.

8. SCHEDULE

ITEM NO.	SUPPLIES/SERVICES	QUANTITY	UNIT	UNIT PRICE	AMOUNT
	<p>Conduct a research survey in accordance with the attached continuation sheets.</p> <p align="center">(SCHEDULE continued on page -3-)</p> <p>NOTE: This Request for Proposal must be signed (page 2.), with blanks filled, and returned to USAID together with any attachments Contractor may wish to prepare for outlining its proposed procedure.</p>				

O F F E R

The undersigned proposes, offers and agrees, if the above scheduled Supplies/Services are accepted within calendar days (60 days unless a different period is inserted by Offeror) from the date requested for submission of Proposals (Block No. 7 above), to furnish any or all items upon which prices are offered, at the price set opposite each item, delivered at the designated point(s) within the time specified in the Schedule.

9. Name of Offeror:

Address:

10. Name and Title of Person Authorized to Sign Offer: (Type or Print)

11. Signature:

.....

ACCEPTANCE AND AWARD

12. Accepted as to Items Numbered:

13. Amount:

14. Accounting and Appropriation Data:

72-11X1027

127-52-490-00-69-51

15. *Negotiated pursuant to the Foreign Assistance Act of 1961, as amended, and Executive Order 11223, and 41 U.S.C. 252(c)(b).*

16. **Submit Invoices (Original and three copies) to Address shown in Block No. 6.**

17. Administered By: (If other than the Contracting Officer)

18. Payment will be made by:

19. Name of the Contracting Officer: (Please type)

20. For the United States of America:

By:.....
(Signature)

21. Award Date:

S C H E D U L E

ARTICLE I - SCOPE OF WORK

A. Objective

The survey is intended to measure changes in welfare and to determine how the various aspects of the agrarian reform program have been applied to the individual farmer, resulting in conclusions as to the impact of the reform concerning incentives to produce, effects on tenant-landlord relations, and farmer welfare.

B. Background

As an approach to the solution of the problem of agrarian unrest which dates at least to the early 1900's, enactment of Republic Act 3844 in 1963 during the Macapagal administration marks the first major step since the resettlement program under Magsaysay. Although the potential impact of the Agricultural Land Reform Code was greatly reduced by failure to appropriate funds for its administration, it stands as landmark legislation. It provided for conversion of share tenancy to leasehold, an expanded program of expropriation of private lands for sale to its tenants, and established the courts of agrarian relations.

Although the nationwide impact of the legislation was not great, several pilot municipalities were selected for special emphasis in application of the land reform code. Plaridel, Bulacan, was the first of such municipalities. In order to establish base line data for the land

reform program, the Land Authority conducted an intensive economic survey of all farmers in the municipality in 1964. The study proposed here would resurvey the Plaridel farmers after ten years of agrarian reform begun under RA 3844, and modified by RA 6389 in 1971 and Presidential Decree 27 in 1972.

C. Scope of Work

The Contractor shall:

1. Measure present status and ten year change in farmer welfare as reflected in (a) current income, and (b) net worth.
2. Relate change in welfare to tenure status, farm size, farm practices, credit practices, non-farm income.
3. Determine how many farmers have left the land and how many new farmers have come into the community.
4. Describe and quantify the agrarian reform program:
 - a. Conversion from share to leasehold tenancy.
 - b. Conversion from tenancy to amortizing owner.
 - c. Area of lands expropriated and number of tenants affected.
 - d. Institutional credit sources, amount of loans and repayments.
 - e. Cooperative marketing, purchasing, retailing.
 - f. Farm management extension.
 - g. Role of the Court of Agrarian Relations and other

courts in resolution of agrarian conflict.

5. Describe concurrent exogenous factors in the community.

a. General description of Plaridel economy, 1964 & 1974

- population

- income

- education, export of technicians and professionals
to urban areas.

b. Number of rice mills and volume of business.

c. Farm supply stores and volume of business.

d. Alternative employment opportunities, number employed
in services and manufacturing.

D. Procedures

The study will consist of three parts: (1) a farm level survey; (2) a description of the agrarian reform program based on interviews with local officials; and (3) a description of exogenous community influence based on data gathered from this study and from secondary sources.

Performance will be in two phases under a basic contract for the first phase, followed by an amendment authorizing and funding the second phase. Phase 1 includes the farm level survey; Phase 2 includes the description of the agrarian reform program, description of exogenous community influences. (Phase 2 will not be funded in the basic contract

and work on Phase 2 must not begin until the contract is amended to authorize and fund that second phase of performance).

1. Phase 1 - Farm Level Survey

a. The 1964 questionnaire will be examined to determine whether it can be condensed without losing valuable information. A new format will be developed which will permit transfer of the 1964 data to the survey form so that this information will be available to the interviewer at the time of the survey interview. This will facilitate probing for complete answers. The questionnaire will include additional questions to determine:

(1) Whether and how the farmer was directly affected by conversion to leasehold or amortizing owner, or by expropriation of landed estates;

(2) Whether and how he was directly affected by institutional credit programs;

(3) Whether and how he was affected by cooperatives;

(4) The farmer's opinions as to how their economic situation has changed and the causes of the change;

(5) The farmers' use of the various forums for resolution of agrarian conflicts, the nature of the conflicts, and the nature of solutions achieved.

b. The survey will include:

- (1) Those farmers who were interviewed in 19⁶54 and who are still farming, or their heirs who are still farming;
- (2) Those who have left farming, in order to determine present occupation, economic status and reasons for leaving farming;
- (3) The original farm parcel, to determine whether it has been subject to fragmentation or consolidation.

2. Phase 2 - Description of Agrarian Reform Program, and Description of Exogenous Factors in the Community.

a. Description of the agrarian reform program:

The description of the agrarian reform program will be pursued through interviews with officials of the following agencies and from data contained in their files.

- (1) Department of Agrarian Reform.
- (2) Agricultural Credit Administration.
- (3) Bureau of Agricultural Extension.
- (4) Rural Bank
- (5) Court of Agrarian Relations
- (6) All operating agricultural cooperatives and former officers of any defunct cooperatives.

b. Description of concurrent exogenous factors in the community:

- (1) General description of the Plaridel economy.
Information on population growth, alternative

employment, and number employed in agriculture will assist in interpreting the causes of farm consolidation and/or fragmentation as elicited from farmer interviews. The level of education, and particularly the possible export of laborers, technicians and professionals to the Manila metropolitan area may prove to be an important factor in the Plaridel economy and useful in interpreting the survey results.

Fragmentation or consolidation of farms is influenced by agricultural technology and population pressures. Population pressures are viewed in this study plan as a resultant of natural population growth, alternative employment opportunities within the local community, and employment outside the community which is strongly influenced by technical capability. Technical capability is developed by, and reflected in, the extension of higher education.

E. Analysis:

Farmer welfare, as measured by current income and net worth will be related to a number of explanatory factors in a multiple regression analysis. The explanatory variables will be:

1. Land tenure
2. Farm practices
 - a. use of high yield varieties
 - b. use of fertilizers

- c. Use of pesticides
 - d. Planting technique
 - e. Amount of labor
3. Availability and use of irrigation water
 4. Area cultivated
 5. Use of credit
 6. Value of land

A separate correlation will be made between the amount of non-farm income and the level of farm income. Two alternative hypotheses can be postulated. One would predict that high non-farm income would be associated with low farm income because off farm work would detract from farm operations. The other hypothesis is that the entrepreneurial talent and drive which results in high non-farm income will also be reflected in the success of farm operations. The Nueva Ecija Land Reform Study of the Institute of Philippine Culture found significantly higher non-farm income among owner cultivators than in other tenure classes, a result that suggests differing entrepreneurial ability among tenurial groups.

We expect the studies of the concurrent economic and social forces operating in the community to suggest further hypothesis. Some of these may be subject to statistical analysis of the survey data. Some may be subject to less formal analysis but contribute detail and perspective to the description of the ten years of change in Plaridel.

ARTICLE II - PERIOD OF CONTRACT

Work for the Phase 1 of this contract shall begin on the date of signature by the Contracting Officer and shall be completed within four (4) months therefrom. Work under the Phase 2 of the survey will commence on the date the Amendment (to this contract) is signed by the Contracting Officer and is expected to be completed within 3 months therefrom.

ARTICLE III - AUTHORIZED REPRESENTATIVE OF THE CONTRACTING OFFICER

For purposes of technical directions, liaison with the Contractor, and approval of reports, the Authorized Representative of the Contracting Officer shall be the USAID Assistant Director for Agricultural Development (AD/AD) or his designee.

ARTICLE IV - REPORTS

A. Phase 1

1. Submission of the survey instrument to USAID for review and approval shall be within 8 weeks after execution of the contract.
2. Submission of a progress report shall be at the end of the first three months.
3. Submission of a status report shall be at the end of Phase 1.

B. Phase 2

One hundred copies
A

A final study report to include sections on research (design

(USAID may distribute this report)

design and methodology; quantitative description of tenure change and credit and extension programs; quantitative description on changes in welfare and farm practices; and analysis of relative importance of tenure change and farm level practices, credit use, and other factors to change welfare of farmers as measured by current income and asset position, shall be submitted to USAID on or before _____.

ARTICLE V - FIXED PRICE AND METHOD OF PAYMENT

A. Fixed Price

For the completion of all services required hereunder, USAID shall pay and the Contractor shall accept a fixed price of _____ Philippine Pesos (P_____) for Phase I of the survey, and _____ Philippine Pesos (P_____) for Phase 2 of the survey.

B. Method of Payment

Billing on the Contractor's regular invoice or letterhead, in five copies, shall be submitted to the USAID Controller. Upon certification and approval of these invoices by the AR/CO, payment shall be made by the USAID Controller, in accordance with the following schedule:

1. _____ upon submission and
2. approval of the survey instrument required by Article IV, A.1.
3. _____ upon submission of
4. a status report at the end of the survey required by Article IV, A.3.

ARTICLE VI - GENERAL PROVISIONS

The attached General Provisions (Supply/Services Contract),
consisting of three pages, are made an integral part of this Contract.

Clearance: AD/AD *TRW*

Clearance:
Dept. of Agrarian Reform *11/26/74*
Approved for Mgmt.
1/10

FD-AF-069

4980208 (2)

AID 1980-1X (8-69)

PIO/T

DEPARTMENT OF STATE
AGENCY FOR
INTERNATIONAL DEVELOPMENT

PROJECT IMPLEMENTATION
ORDER/TECHNICAL
SERVICES

Worksheet Issuance

PAGE 1 OF 4 PAGES

1. Cooperating Country
E. A. Regional

2. PIO/T No.
498-0-6403005

3. Project/Activity No. and Title
(11-990-208)
S.E. Asia Development Advisory Services

EA/TECH:
D.Steinberg
(draft)

4. Appropriation Symbol
72-1101004

5. a. Allotment Symbol & Charge
054-64-498-00-69-01

5. b. Funds Allotted to:
 AID/W Mission 4P

6. Obligation Status
 Administrative Reservation Obligation Sub-Obligation

7. Original or
Amendment No. 1

EA/RD:
L.Cohen(draft)

8. No. of Technicians
See contract

9. Services to Start (Mo., Day, Yr.)
Between: And:

10. Duration (Months)
a. Of Services #36 b. Of Financing 12

EA/DP: (subs)
C.Breecher

11. a. Type of Action
 AID Contract Cooperating Country Contract Participating Agency Service Agreement Other

11. b. Authorized Agent
AID/W

EA/PROC:
A.Boyd(draft)

Financing	A. Previous Total	B. Increase	C. Decrease	D. Total to Date
\$1.00 =				
12. AID Financing a. Dollars		625,000		625,000
b. U.S.-Owned Local Currency				
13. Cooperating Country Contributions a. Counterpart				
b. Other				

EA/TECH:
D.Starr
(draft)

14. Mission References

15. Objective for which the Technical Services are to be used (Describe)

To provide funds for continuation of contract AID/fe-258 and amendments as previously funded under PIO/Ts 498-8-6440006 and 498-9-6493003, and is issued in conjunction with Vietnam PIO/T 730-322-3-06305.

16. Mission Clearances	Date	Mission Clearances	Date

17. Date of Original Issuance
January 9, 1970

18. Date of this Issuance
January 9, 1970

19. For the Cooperating Country
The terms and conditions set forth herein are hereby agreed to:

20. For the Agency for International Development

SIGNATURE _____ DATE _____
TITLE _____

Robert R. Johnson

SIGNATURE
Robert R. Johnson, Director
Office of Technical Services, EA

DEPARTMENT OF STATE
AGENCY FOR
INTERNATIONAL DEVELOPMENT

PIO/T

PROJECT IMPLEMENTATION
ORDER/TECHNICAL
SERVICES

Worksheet Issuance

PAGE 2 OF 4 PAGES

Cooperating Country
E.A. Regional

PIO/T No.
498-0-6403005

Project/Activity No. and Title

S.E. Asia Development Advisory Services

SCOPE OF WORK

21. Scope of Technical Services

A. Description

The purpose of SEADAG is to promote development in Southeast Asia through sound analysis and advisory discussion of problems by the A.I.D. administrators and operating personnel with the academic community. Membership and participation is non-partisan and interdisciplinary. A broadened and deepened research program in the natural and social sciences and effective collaboration between officials and scholars, both American and Asian, strengthens understanding and competence in dealing with the complexities of the development process in Southeast Asia.

The need has been recognized to increase the dialogue between the government and the university and non-profit institution staffs and the private sector in order to increase their understanding of each other's views and problems and to make available to U.S. programs for development in Southeast Asia the knowledge and experience of these hitherto little utilized resources.

(See continuation sheet)

B. Technicians

(1) (a) Number (b) Specialized Field

(c) Grade and/or Salary

(d) Duration
of Assignment
(Mon - Months)

See contract

(2) Duty Post and Duration of Technicians' Services

Far East Region and US, as required.

(3) Access to Classified Information

None

(4) Dependents Will Will Not Be Permitted to Accompany Technician

C. Financing Costs of Technical Services

(1) By AID - \$ 625,000

(2) By Cooperating Country -

CONTINUATION
SHEET

FORM SYMBOL

DEPARTMENT OF STATE
AGENCY FOR
INTERNATIONAL DEVELOPMENT

TITLE OF FORM

 Worksheet Issuance

PAGE 1 OF 1 PAGES

1. Cooperating Country
E.A. Regional2. Code No.
498-0-6403005

2.b. Effective Date

2.c. Amendment
 Original OR No.:

3. Project/Activity No. and Title

S.E. Asia Development Advisory Services

Indicate block
numbers.

Use this form to complete the information required in any block of a PIO or "AA form.

Block 21A.

The advisory function of SEADAG has been directed towards meeting the needs of A.I.D. projects and programs. This function is undertaken by holding gatherings of members in specific seminars on regional sectors, single countries, or general programs. Each seminar has representatives of several academic disciplines, government officials, and representatives from research enterprises of foundations, non-profit organizations and the private sector. Through this mechanism and the resulting meetings, papers, and publications SEADAG has developed extensive communication between scholars and officials to produce advisory opinions quickly, responsibly, and authoritatively.

SEADAG undertakes to stimulate and correlate information gathering on the program activities of A.I.D. and the research publication activities of its members, of other scholars and organizations dealing with Southeast Asia, and of the Asian scholars active in related fields. Inventories of scholars, data and research in progress are quickly disseminated to the large community of interested professionals here and abroad.

SEADAG is made up of members who have an abiding interest and professional competence in Southeast Asia development affairs. The membership is a three part, multi-disciplinary group of natural and social scientists and development program executives from 66 colleges and universities; private sector representatives; and officials of A.I.D. and other government agencies. Private participation is on an individual, rather than an institutional basis.

As a separate grant, funds are provided for a program of research administered by SEADAG. This research program is designed to further the work of the seminars in advising A.I.D. and other institutions on development of Southeast Asia. Eligible applicants for research grants from SEADAG are: senior scholars of any nationality and specializing in Southeast Asian development; Southeast Asian scholars whose projects concern the region and who wish to utilize research facilities available in the U.S. or in another Southeast Asian country; competent individuals and groups from business, professions and foundations; and graduate students working with senior scholars in the Southeast Asian area.

70-208-069 9730208 (15)

AID 1350-1
5-701

DEPARTMENT OF STATE
AGENCY FOR
INTERNATIONAL DEVELOPMENT

6. Cooperating Country
Philippines

7. PIG/T No.
498-208-3-10143

3. Original or
Amendment No. _____

4. Project/Activity No. and Title
CORRECTED COPY
498-11-995-208 Southeast Asia Development
Advisory Group (SEALAG)

PIO/T

PROJECT IMPLEMENTATION
ORDER/TECHNICAL
SERVICES

DISTRIBUTION

5. Appropriation Symbol
72-1111004

6.A. Allotment Symbol and Charge
154-60-492-00-69-11

6.B. Funds Allotted to:
 A.I.D. w/ Mission

7. Obligation Status
 Administrative Reservation ~~Administrative~~ Obligation

8. Funding Period (Mo., Day, Yr.)
From 6/15/71 to 6/15/72

9.A. Service to Start (Mo., Day, Yr.) on June 15, 1971
Between _____ and _____

9.B. Completion date of Services
(Mo., Day, Yr.)

10.A. Type of Action
 A.I.D. Contract Country Contract Service Agreement
Cooperating Participating Agency Other:

10.B. Authorized Agent
USAID/Philippines

Estimated Financing		(1)	(2)	(3)	(4)
		Previous Total	Increase	Decrease	Total to Date
11. Maximum A.I.D. Financing	A. Dollars		11,145		11,145
	B. U.S.-Owned Local Currency				
12. Cooperating Country Contributions	A. Commodity				
	B. Other				

13. Mission References
STATE 091384

14. Instructions to Authorized Agent
Execute a personal services contract for services described in Block 19.

15. Clearances - Show Office Symbol, Signature and Date for all Necessary Clearances.

A. The specifications in the scope of work are technically adequate
Rural Dev. - Frank W. Sheppard, Jr. *for J. Brady*

B. Funds for the services requested are available
Controller - Grover S. Robinson *Sam S. Robinson*

C. The scope of work lies within the purview of the initiating office and approved Agency Programs
Program Office - Roy L. Wagner *Roy L. Wagner*

D. Executive Office - Leo A. Flynn *Leo A. Flynn*

E. Logistics *WAG* 6/14/71 R. A. Duchesne

F.

16. For the cooperating country: The terms and conditions set forth herein are hereby agreed to

Signature and date:
Title:

17. For the Agency for International Development
Signature: *Thomas C. Niblock*
Title: Thomas C. Niblock, Director

18. Date of Issuance
June 17, 1971

AID 1350-1 (9-70)	Cooperating Country Philippines	PIO/T No. 498-208-3-10143	Page 2 of 6 Pages
PIO/T	Project/Activity No. and Title 498-11-995-208 Southeast Asia Development Advisory Group (SEADAG)		

SCOPE OF WORK

19. Scope of Technical Services

A. Objective for which the Technical Services are to be used: on return to the contracting parties
 To conduct research into the effects of contractual arrangements in agriculture, the influence of land reform policy on productivity and welfare in general and of the Philippine situation in particular.

B. Description

Subject study will deal with the following major questions:

1. Do landlords monopolize the supply of loanable funds to tenants by virtue of their dominant wealth position?
2. Will land productivity be greater under owner-operated farms than in tenanted farms?
3. Are there economies of scale in owner cultivation that are denied tenanted farms?
4. Do owner-operated farms innovate less slowly than tenanted farms?

C. Technicians

(1) (a) Number	(b) Specialized Field	(c) Grade and/or Salary	(d) Duration of Assignment (Month/Year)
1	Researcher	(See Estimated Budget)	12

(2) Duty Post and Duration of Technicians' Services

Nueva Ecija Province - 7/1/71 - 8/1/71	Honolulu - 9/1/71 - 12/25/71
Manila - 8/1/71 - 9/1/71	Greater Manila - 12/26/71 - 1/25/72

(3) Language requirements

Honolulu - 1/26/72 - 5/15/72

(4) Access to Classified Information

(5) Dependents Will Will Not Be Permitted to Accompany Technician

D. Financing of Technical Services

(1) By AID - \$ (See Estimated Budget) (2) By Cooperating Country -

AID 1350-1 (P-70)	Cooperating Country Philippines	PIO/T No. 498-208-3-10143	Page 3 of 6 Pages
PIO/T	Project/Activity No. and Title 498-11-995.208 Southeast Asia Development Advisory Group (SEADAG)		

20. Equipment and Supplies (Related to the services described in Block 19 and to be procured outside the Cooperating Country by the supplier of these services)

A. (1) Quantity (2) Description (3) Estimated Cost (4) Special Instructions

Purchase or rental of non-durable supplies and equipment.

Rental of durable equipment.

B. Financing of Equipment and Supplies

(1) By AID — \$ Estimated Budget

(2) By Cooperating Country —

21. Special Provisions

- A. This PIO/T is subject to AID (contracting) (PASA implementation) regulations.
- B. Except as specifically authorized by AID, or when local hire is authorized under the terms of a contract with a U.S. Supplier, services authorized under this PIO/T must be obtained from U.S. sources.
- C. Except as specifically authorized by AID/W, the purchase of commodities authorized under this PIO/T will be limited to the U.S. under Geographic Code 009.
- D. Other (specify):

This research will entail the employment of research assistants and other services like typing and manuscript preparation and computer programmer and keypunch services. (See Estimated Budget)

AID 1380-1 (9-70)	Cooperating Country Philippines	Project No. 441-205-3-10163	Page 4 of 6 Pages
PIO/T	Project/Activity Name and Title 498-11-995-04 Southeast Asian Development Advisory Group (SADAG)		

22. Reports by Contractor or Participating Agency in separate type, letter, or form of reports required, including language in which reported (other than English, frequency or timing of reports, and special requirements)

Final reports must be completed and submitted to SKADAG by September

15, 1972

23. Background information (Additional information useful to Authorized Agency and Participating Contractor in Pre-Reporting Activity; if necessary cross-reference Block 11.C.(4) above)

24. Relationship of Contractor or Participating Agency to Cooperating Country and to AID

A. Relationships and Responsibilities

Responsible to the Manager, ORD, USAID/Philippines

B. Cooperating Country Liaison Official

Director, Bureau of Agricultural Extension

Chairman, Philippine Land Reform Council

Director, Bureau of Agricultural Economics

Chairman, Philippine Land Reform Council

C. AID Liaison Officials

L. E. Gleack, Consultant, ORD

AID 1350-1
(9-70)

Cooperating Country

PIOT No.

Philippines

493-103-3-10143

Page 5 of 5 Pages

PIO/T

Project/Activity No. and Title

498-11-995-208 Southeast Asia Development Advisory Group (SEADAG)

LOGISTIC SUPPORT

25. Provisions for Logistic Support

A. Specific Items (Insert "X" in applicable column or right. If entry needs qualification, insert details and explain below in C. "Comments")

	In Kind Supplied By		Free Local Currency Supplied By	
	AID	Cooperating Country	AID	Cooperating Country
(1) Office Space				
(2) Office Equipment				
(3) Housing and Utilities				
(4) Furniture				
(5) Household Equipment (Stove, Refrigerator, etc.)				
(6) Transportation in Cooperating Country		U.S.A.		
(7) Interpreter Services				
Other: (Specify)				
(8)				
(9)				
(10)				
(11)				
(12)				
(13)				
(14)				
(15)				

B. Additional Facilities Available From Other Sources

C. Comments

Local travel and maintenance for the researcher and his assistants is provided for by this PIO/T (See Estimated Budget).

ESTIMATED BUDGET

1. International Travel	
a. Applicant	\$ 1,500
b. Research Assistants	
2. Local Travel	
a. Applicant	
b. Research Assistants	150
3. Local Maintenance	
a. Applicant	1,600
b. Research Assistants	420
4. Payment for Services (Typing and manuscript preparation; computer equipment and key punch services)	450
5. Purchase or Rental of Non-Durable Supplies and equipment	300
6. Rental of Durable Equipment	225
7. Salary Reimbursement (commensurate w/individual's rate)	
a. Applicant	6,000
b. Research Assistants	200
	<u>\$11,145</u>

DD-AAF-009

498 0209 (14)

AID 1980-1 (0-78)	DEPARTMENT OF STATE AGENCY FOR INTERNATIONAL DEVELOPMENT	1. Cooperating Country Thailand (East Asia)	Page 1 of 2 Pages
		2. PIO/T No. 498-208-3- 10199	3. <input checked="" type="checkbox"/> Original or Amendment No. _____
		4. Project/Activity No. and Title 498-11-995-208 Research Activities (East Asia) 2p	
		5. Appropriation Symbol 72-1111004	

DISTRIBUTION	5. Appropriation Symbol 72-1111004		6.A. Allotment Symbol and Charge 154-60-493-00-69-12		6.B. Funds Allotted to: <input type="checkbox"/> A.I.D./w <input checked="" type="checkbox"/> Mission	
	7. Obligation Status ACTION REQUEST				8. Funding Period (Mo., Day, Yr.)	
	<input type="checkbox"/> Administrative Reservation		<input type="checkbox"/> Subobligation		From <u>6/30/71</u> to <u>11/30/72</u>	
	9.A. Service to Start (Mo., Day, Yr.) Between <u>6/30/71</u> and <u>11/30/71</u>				9.B. Completion date of Services (Mo., Day, Yr.) <u>11/30/72</u>	
	10.A. Type of Action					
	<input checked="" type="checkbox"/> A.I.D. Contract		<input type="checkbox"/> Country Contract		<input type="checkbox"/> Participating Agency Other:	
	<input type="checkbox"/> Cooperating		<input type="checkbox"/> Service Agreement			
	10.B. Authorized Agent USOM/Thailand					
	11. Estimated Financing					
	\$1.00 =		(1) Previous Total	(2) Increase	(3) Decrease	(4) Total to Date
11. Maximum A.I.D. Financing	A. Dollars		71,432		71,432	
	B. U.S.-Owned Local Currency					
12. Cooperating Country Contributions	A. Counterpart					
	B. Other					

13. Mission Reference 208-1037	14. Instructions to Authorized Agent			
	<p>Contract through "letters of agreement" with four Thai Universities to conduct research, as set forth below:</p> <ol style="list-style-type: none"> "Changwat Development Administration", National Institute of Development Administration. "A Study of Institutional and Human Resources Development in the Chonburi Region", Chulalongkorn University, Social Science Research Institute, Faculty of Political Science. <p style="text-align: right;">(Continued)</p>			

15. Clearances - Show Office Symbol, Signature and Date for all Necessary Clearances.			
A. The specifications in the scope of work are technically adequate		B. Funds for the services requested are available	
AD/ID		AD/FB	<i>[Signature]</i> 4/28/71
C. The scope of work lies within the purview of the initiating office and approved Agency Programs		D.	
P/REE	<i>[Signature]</i>	IASB	<i>[Signature]</i> M/CSO
E.		F.	
P/P	<i>[Signature]</i> DTEC/P		

16. For the cooperating country: The terms and conditions set forth herein are hereby agreed to		17. For the Agency for International Development		18. Date of Issuance	
<i>[Signature]</i> Signature and date: <u>Apilas Osatananda</u>		<i>[Signature]</i> Signature: <u>Carl R. Fritz</u>		June 30, 1971	
Title: Deputy Director-General, DTEC		Title: Asst. Director for Program, USOM/Thailand			

AID 1880-1 (8-88) CONTINUATION SHEET FORM SYMBOL PIO/T	DEPARTMENT OF STATE AGENCY FOR INTERNATIONAL DEVELOPMENT TITLE OF FORM PROJECT IMPLEMENTATION ORDER/TECHNICAL SERVICES	<input type="checkbox"/> Worksheet <input checked="" type="checkbox"/> Issuance 1. Cooperating Country Thailand (East Asia) 2.b. Effective Date 3. Project/Activity No. and Title 498-11-995-208 Research Activities (East Asia)	PAGE 2 OF 12 PAGES 2.c. Code No. 498-208-3-10199 2.c. Amendment <input checked="" type="checkbox"/> Original OR No:
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Use this form to complete the information required in any block of a PIO or PAA form. Indicate block numbers.

Block 14 - Continued:

3. "Protocol to Study The Effect of Large Dose Oral Vitamin A on Prevention of Deficiency in Newborn Infants and Children", Faculty of Medicine, Chiang Mai University.
4. "Production and Utilization of Selected Food Legumes and Oilseeds in Thailand", Department of Agricultural Economics, Kasetsart University.

77-AAF-069

498020815

PIO/T	INTERNATIONAL DEVELOPMENT		PROJECT IMPLEMENTATION ORDER/TECHNICAL SERVICES		1. FIO/T No. 498-208-3-40048	3. <input type="checkbox"/> Original or Amendment No. <u>1</u>
	5. Appropriation Symbol 72-1RX1027		6.A. Allotment Symbol and Charge 627-50-492-00-65-41		6.B. Funds Allotted to: <input type="checkbox"/> A.I.D./W <input checked="" type="checkbox"/> Mission	
DISTRIBUTION	7. Obligation Status Obligating & Implementing Document				8. Funding Period (Mo., Day, Yr.) From April to June 1974	
	9.A. Services to Start (Mo., Day, Yr.) Between 15 APRIL and 15 April 1974		9.B. Completion date of Services (Mo., Day, Yr.) June/July/1974		9.C. Completion date of Services (Mo., Day, Yr.) June/July/1974	
	10.A. Type of Action <input checked="" type="checkbox"/> A.I.D. Contract <input type="checkbox"/> Country Contract <input type="checkbox"/> Cooperative <input type="checkbox"/> Partnership Agency Service Agreement		10.B. Authorized Agent USAID/Philippines			
	11. Estimated Financing		(1) Previous Total	(2) Increase	(3) Decrease	(4) Total to Date
11. A. Dollars		15,400	2,500		17,500	
11. B. Local Currency						
11. C. Other						
12. Mission Reference	13. Instructions to Authorized Agent This amendment provides funding for the completion of the small landowner research survey, being carried out under AID Contract No. 492-627.					
14. The approving agency: The terms and conditions set forth herein are hereby agreed to		15. For the Agency for International Development		16. Date of execution Signature June 19, 1974		
Signature and Date: B. G. VILLAVICENCE		Signature: John P. Hammon		Title: John P. Hammon, Acting Director		
Title: Director, External Assistance Staff		Title: John P. Hammon, Acting Director				

STATE 096783

AD/AD *[Signature]*

[Signature]
Controller

Project Manager *[Signature]*

[Signature]
Executive Officer

[Signature]
Contracting Division

[Signature]
Program Officer

Signature and Date: **B. G. VILLAVICENCE**

[Signature]
Signature

June 19, 1974

Title: **Director, External Assistance Staff** Title: **John P. Hammon, Acting Director**

[Handwritten initials]

4980208(16)
PO-AAF-069

12p

SER/COM/ROD/ASIA, Mr. Peter Howley

January 28, 1977

ASIA/DP, Robert S. Meehan

Contract with the Asia Society for a Program of
Three Seminars

498-208

As stated in the attached Action Memorandum approved by AA/ASIA on December 10, 1976, the Asia Bureau would like to contract with the Asia Society for a program of three seminars between now and November 30, 1977. Up to \$75,000 in FY 1977 funds together with the balance of funds unexpended under contract AID/ea-C-1077 as of December 1, 1976 have been approved for these seminars. The Asia Society estimates that balance as \$15,486. The costs of Asia Society staff support for managing a program of would be seminars covered under the recently signed grant AID/Asia-C-1219.

We would like to contract to be negotiated in the basis of funding the initial two seminars on Nepal and Pakistan. The third seminar on Bangladesh is still very tentative.

Attached is an advance copy of PIO/T 498-11-995-249 and of the Nepal and Pakistan seminar budgets.

Attachments: a/s

ASIA/DP:RSMeehan:1/28/77:vty
ext. 29057

DEC 13 9 15 AM '76

December 10, 1976

ACTION MEMORANDUM FOR THE ACTING ASSISTANT ADMINISTRATOR,
BUREAU FOR ASIA

FROM: ASIA/DP, William S. Lefes

Problem: To approve up to \$115,000 for support of Asia Society Activities.

Discussion: Last summer, the Asia Bureau indicated a willingness to initiate on a one year trial basis a new relationship with the Asia Society after the end of SEADAG. It was understood that this relationship would be funded as follows:

- a small grant on the order of \$40-45,000 to permit the Asia Society to retain a professional staff capability to undertake seminars and other activities related to problems of development in Asia. These funds would be provided in the form of a general support grant and this capability would be available to AID and other organizations concerned with development in Asia; and
- a contract funded incrementally for specific seminars requested by the Asia Bureau. The contract would fund all direct and indirect costs related to the seminars except for the professional staff provided under the general support grant.

We are now ready to initiate the new relationship. The Asia Society has submitted its request for a general support grant of \$40,000 (Tab A). In addition, we have indicated an interest in the Asia Society undertaking a seminar in Nepal on Small-Scale Hydroelectric Power and Fertilizer Production (Tab B), a seminar in the Washington area on a general review of the development perspective in Pakistan prior to the next Consultative Group meeting (Tab C) and a possible seminar in the Washington area on Bangladesh. We estimate these three seminars to cost about \$90,000 of which we expect to use \$15,000 remaining in the SEADAG contract to defray part of the Nepal seminar costs. Funds will be obligated initially only for the Nepal and Pakistan seminars.

If the general support grant is approved, PPC and NENA propose to take advantage of the Asia Society's capability and contract separately for two additional seminars as follows:

- PPC funded seminar on Progress Criteria for Economic Development projected for spring 1977 in Singapore.
- NENA funded seminar on Afghanistan in Kabul

Recommendation: That you approve \$115,000 for support of Asia Society activities under the research fund in the Program Development and Support Project.

Attachment: a/s

Approve _____

Disapprove _____

Date 17 DEC 1978

ASIA/DP:RSMcehan:12/10/76:xC9056:vty

AID 1350-1X (7-71)	DEPARTMENT OF STATE AGENCY FOR INTERNATIONAL DEVELOPMENT	1. Cooperating Country Asia Regional	Page 1 of 6 Pages
		2. PIO/T No. 498-249-3-6478314	3. <input checked="" type="checkbox"/> Original or Amendment No. _____
		4. Project/Activity No. and Title 498-11-995-249 Program Development Support (Asia Society Seminars)	
		PROJECT IMPLEMENTATION ORDER/TECHNICAL SERVICES	

DISTRIBUTION	5. Appropriation Symbol 72-11X1026	6.A. Allotment Symbol and Charge 426-64-498-00-69-71	6.B. Funds Allotted for: <input checked="" type="checkbox"/> A.I.D./W <input type="checkbox"/> Mission
	7. Obligation Status <input checked="" type="checkbox"/> Administrative Reservation <input type="checkbox"/> Implementing Document		8. Funding Period (Mo., Day, Yr.) From <u>2/1</u> To <u>6/30/77</u>
	9.A. Services to Start (Mo., Day, Yr.) Between <u>February 1</u> and <u>February 15, 1976</u>		9.B. Completion date of Services (Mo., Day, Yr.) <u>11/30/77</u>
	10.A. Type of Action <input checked="" type="checkbox"/> A.I.D. Contract <input type="checkbox"/> Cooperating Country Contract <input type="checkbox"/> Participating Agency Service Agreement <input type="checkbox"/> Other		

10.B. Authorized Agent AID/W					
Estimated Financing		(1)	(2)	(3)	(4)
\$1.00=		Previous Total	Increase	Decrease	Total to Date
11. Maximum A.I.D. Financing	A. Dollars		50,655		50,655
	B. U.S.-Owned Local Currency				
12. Cooperating Country Contributions	A. Counterpart				
	B. Other				

13. Mission References

Action Memo approved by AA/ASIA 12/76 Grant AID/ASIA-G-1219.

Ltr. from Asia Society to ASIA/DP-1/7/77

14. Instructions to Authorized Agent

Request that your office negotiate a contract with the Asia Society to organize a program of three seminars as described in Block 19. This FIO/T, together with funds available under Asia Society Contract AID/ea-C-1077, cover the costs of the initial two seminars. Secretariat costs are covered by Grant AID/ASIA-G-1219.

15. Clearances - Show Office Symbol, Signature and Date for all Necessary Clearances.

A. The specifications in the scope of work are technically adequate ASIA/TR, T.C. Clark <i>T.C. Clark</i>	B. Funds for the services requested are available SER/FM, Lenora Watlington
C. The scope of work lies within the purview of the initiating and approved Agency Programs ASIA/DP, Alver R. Roan <i>Alver R. Roan</i>	D. ASIA/PD, Feliciano Morrow <i>F. Morrow</i>
E. ASIA/DP, Robert S. Meehan <i>Robert S. Meehan</i>	F.

16. For the cooperating country: The terms and conditions set forth herein are hereby agreed to	17. For the Agency for International Development	18. Date of Signature
Signature and date:	Signature: Alexander Love	
Title:	Title: Director, ASIA/PD	

AID 1980-1X (6-70) PIO/T	Cooperating Country Asia Regional	PIO/T No. 498-249-3-6478314	Page 2 of 6 Pages
	Project/Activity No. and Title 498-11-995-249 - Program Development Support (Asia Society Seminars)		

SCOPE OF WORK

19. Scope of Technical Services

A. Objective for which the Technical Services are to be Used .

To organize a program of three seminars on development problems in Asia.

B. Description

The contractor will sponsor three seminars as follows:

- Development of Small-Scale Hydroelectric Power and Fertilizer Production to be held in Nepal in March 1977.
- Pakistan Development - U.S. Response to be held in Washington, D.C. area in April 1977.
- Possible seminar on Bangladesh; specifics are still under discussion.

Contractor responsibilities for carrying out the seminar include, but are not necessarily limited to,

C. Technicians

(1) (a) <u>Number</u>	(b) <u>Specialized Field</u>	(c) <u>Grade and/or Salary</u>	(d) <u>Duration of Assignment (Man-Months)</u>
-----------------------	------------------------------	--------------------------------	--

N.A.

(2) Duty Post and Duration of Technicians' Services

(3) Language requirements

(4) Access to Classified Information

(5) Dependents Will Will Not Be Permitted to Accompany Technician

D. Financing of Technical Services

(1) By AID - \$ 50,655

(2) By Cooperating Country -

AID 1350-1X (B-701)	Cooperating Country • Asia Regional	PIO/T No. 498-249-3-6478314	Page 3 of 6 Pages
PIO/T	Project/Activity No. and Title 498-11-995-249 - Program Development Support (Asia Society Seminars)		

20. Equipment and Supplies (Related to the services described in Block 19 and to be procured outside the Cooperating Country by the supplier of these services)

A. (1) Quantity	(2) Description	(3) Estimated Cost	(4) Special Instructions
-----------------	-----------------	-----------------------	--------------------------

B. Financing of Equipment and Supplies

(1) By AID - \$

(2) By Cooperating Country -

21. Special Provisions

A. This PIO/T is subject to AID (contracting) (PASA implementation) regulations.

B. Except as specifically authorized by AID, or when local hire is authorized under the terms of a contract with a U.S. Supplier, services authorized under this PIO/T must be obtained from U.S. sources.

C. Except as specifically authorized by AID/W, the purchase of commodities authorized under this PIO/T will be limited to the U.S. under Geographic Code 000.

D. Other (specify):

PIO/T

Project/Activity No. and Title

498-11-995-249 - Program Development Support (Asia Society Seminars)

22. Reports by Contractor or Participating Agency (Indicate type, content and format of reports required, including language to be used if other than English, frequency or timing of reports, and any special requirements)

After each seminar, contractor should submit, in three copies, a narrative report assessing the quality, significance and outcome of the seminars from its perspective of trying to promote a better understanding of Asean development issues. This report is separate from the requirement of the seminar's chairpersons to prepare reports on the discussion and conclusions of their respective seminars. No final overall narrative report is required at the end of the contract period.

23. Background Information (Additional information useful to Authorized Agent and Prospective Contractors or Participating Agency; if necessary cross reference Block 19.C(4) above.)

AID Contract AID/ea-C-1077
AID Grant AID/ASIA-G-1219

24. Relationship of Contractor or Participating Agency to Cooperating Country and to AID

A. Relationships and Responsibilities

B. Cooperating Country Liaison Official

C. AID Liaison Officials

Robert S. Meehan, AID - ASIA/DP

LOGISTIC SUPPORT

26. Provisions for Logistic Support A. Specific Items (Insert "X" in applicable column at right. If entry needs qualification, insert asterisk and explain below in C. "Comments")	IN KIND SUPPLIED BY		FROM LOCAL CURRENCY SUPPLIED BY		TO BE PROVIDED OR ARRANGED BY SUPPLIER
	AID	COOPERATING COUNTRY	AID	COOPERATING COUNTRY	
(1) Office Space					
(2) Office Equipment					
(3) Housing and Utilities					
(4) Furniture					
(5) Household Equipment (Stoves, Refrig., etc.)					
(6) Transportation in Cooperating Country		N.A.			
(7) Transportation To and From Country					
(8) Interpreter Services/Secretarial					
(9) Medical Facilities					
(10) Vehicles (official)					
(11) Travel Arrangements/Tickets					
Other: (12) (specify)					
(13)					
(14)					
(15)					

B. Additional Facilities Available From Other Sources

- APO PX COMMISSARY

 OTHER (specify, e.g., duty free entry, tax exemption)

N.A.

C. Comments

CONTINUATION SHEET

FORM SYMBOL

DEPARTMENT OF STATE
AGENCY FOR
INTERNATIONAL DEVELOPMENT

TITLE OF FORM

Worksheet Issuance

PAGE 6 OF 6 PAGES

1. Cooperating Country
Asia Regional

2.a. Code No.

2.b. Effective Date

2.c. Original OR Amendment
No.:

3. Project/Activity No. and Title 498-11-995-249
Program Development Support
(Asia Society Seminars)

Indicate block numbers.

Use this form to complete the information required in any block of a PIO or PAA form.

Block 19

- Recruitment of seminar chairpersons with AID concurrence;
- Handling of all administrative and logistical functions, including travel, invitations, associated with the seminars and with any planning meetings that may precede the seminars, with the exception of the travel of U.S. Government employees;
- Distribution of seminar papers on a timely basis before the seminars;
- Preparation and distribution of seminar reports on a timely basis after the seminars;
- Keeping the AID liaison officer adequately informed of seminar preparations.

This contract will fund all direct and indirect costs related to the seminars except for the professional staff and related costs funded under Grant AID/ASIA-G-1219. Estimated contract costs are:

Nepal Seminar: \$39,066 of which \$23,500 is from this contract and \$15,486 is from AID/ea-C-1077.

Pakistan Seminar: \$27,075

Possible Bangladesh Seminar: \$24,335 (not to be funded until seminar details firm)

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January 7, 1977



Mr. Robert Meehan
ASIA/DP, Room 3208
Agency for International Development
Washington, D.C. 20523

Dear Bob:

Enclosed are the two estimated budgets for the Nepal and Pakistan seminars which you requested. In contracting them, I have attempted to adhere as closely as possible to actual anticipated costs. Where such estimates have not been possible at this stage of our planning, I have so indicated in explanatory footnotes. Please note that line items 4 and 5 on the Pakistan budget may be somewhat lower if the travel and living costs of donor agency participants (e.g., World Bank) are financed by their respective organizations. In addition, I have included the usual 10 percent contingency factor to cover any unforeseen costs.

Planning for these two first seminars is proceeding. We now anticipate that the Nepal seminar would be held during the first week of March. The Pakistan meeting has been scheduled for April 1-2.

I look forward to hearing from you concerning the finalization of The Asia Society's new arrangement with the Asia Bureau with respect to this program.

Sincerely,

Robert Bordonaro
Program Director
Asian Development Seminar Program

RB:dz
Enclosures: 2
cc: Ayres
Spivack

**SEMINAR ON "DEVELOPMENT OF SMALL SCALE HYDROELECTRIC
POWER AND FERTILIZER PRODUCTION IN NEPAL"**

Chairman: Roger Revelle
Estimated Budget

1. International Airfares (6)		12,000.
2. Domestic Airfares (16) (Kathmandu-Pokhara @ \$50.)		800.
3. Per Diem Expenses:*		
International Experts: 6 x 6 days x \$45.	1,620.	
Nepalis: 10 x 5 days x \$45.	2,250.	3,870.
4. Additional Costs (local transportation, visas, inoculations): 16 people x \$50.		800.
5. Stopovers: 6 x \$45. x 2		540.
6. Meeting Costs (rentals, conference facilities, breaks, gratuities, xeroxing, recording system)**		500.
7. Administrative Travel (2)	4,000.	
Administrative Per Diem (2 x 10 days x \$45.)	900.	4,900.
8. Honoraria		
6 papers @ \$300.	1,800.	
1 report @ \$500.	500.	2,300.
9. Publications: 1 report (limited distribution)		100.
10. Communications: (cable, telephone, postage)		1,000.
11. Chairman's Support		<u>1,000.</u>
Total Direct Costs		27,810.
12. Overhead: SEADAG Funds (\$9,200. @ 25%)	2,300.	7,723
New Funds (\$18,610. @ 30%)	5,583	7,883.
Total Direct and Indirect Costs	12,389 15,421	3,087 4,626
13. Contingency Factor (10%)		<u>35,603.</u> 533
GRAND TOTAL	SEADAG 15,486 New Funds 23,580	<u>39,268.</u> 866

* Estimate based on 4 day seminar. Agreement has not yet been reached on the precise number of Nepali participants. Therefore, this figure of ten is subject to revision pending further consultation with co-Chairman Shrestha.

** Does not include any similar expenses which might be incurred by the local organizers, National Council for Science and Technology. An estimate of such expenses is not yet available pending further consultation with co-Chairman Shrestha.

SEMINAR ON PAKISTANI DEVELOPMENT*

Chairman: Gustav Papanek
Estimated Budget

1. Administrative Travel (Pre-seminar)		300.
2. Chairman's Trip to Asia (airfare and expenses) (Domestic travel at \$300 in lieu of Asian trip)		3,000.
3. International Airfares (2)		4,000.
4. U.S. Domestic Airfares (16)		3,750.
5. Seminar expenses:		
18 people x 2 days x \$64. per diem**		2,304.
Additional costs (local transportation, inoculations, visas, xeroxing):		1,000.
Stopovers: 2 people x \$90.		180.
6. Miscellaneous meeting costs (rentals, breaks, gratuities)		200.
7. Administrative Travel (2 staff)		400.
8. Panel Chairman Support		300.
9. Honoraria		
8 papers @ \$300.	2,400.	
1 report @ \$500.	500.	2,900.
10. Publications: 1 Report		100.
11. Communications (cable, telephone, postage)		500.
Total Direct Costs		18,934.
12. Overhead (30%)		5,680.
Total Direct and Indirect Costs		24,614.
13. Contingency Factor (10%)		2,461.
GRAND TOTAL		<u>\$27,075.</u>

* Exact title not yet determined.
** Includes cost of conference room.

for 3rd seminar 24,345