

ACTION MEMORANDUM FOR THE ASSISTANT ADMINISTRATOR FOR AFRICA

THROUGH: AFR/DP, Mr. Robert G. Huesmann *RGH* JUN 13 1975
FROM: AFR/RA, E. Dennis Donroy *E. Dennis Donroy*
SUBJECT: Social Science Research Sub-Project - Ivory Coast

Problem: To approve a PROP revision for the Social Science Research Sub-Project to authorize a research grant to the Government of Ivory Coast/Université d'Abidjan for use by the Centre Ivoirien de Recherches Economiques et Sociales (C.I.R.E.S.).

Discussion: The Social Science Research Sub-Project is one of two elements under the Economic Development Research Organizations Project (the other being the African-American Scholars Council). Its goal is to assist African countries to strengthen their capacity to conduct policy-oriented social science research by helping African universities with close linkages to the Government to develop their competence to carry out such studies. The intention is (a) to enable African countries to become less dependent on expatriate research talent for their development needs, and (b) to encourage African universities and research institutions to become more responsive to development and national planning needs as well as to enrich their educational programs through use of the results of local research.

The PROP for this project was approved in May 1973, at which time the first grant under the project was authorized in the amount of \$60,000 to the University Institute of Technology at the University of Dakar to initiate a program of studies requested by the Government of Senegal. In June, 1974, the second grant (to the Government of Kenya/University of Nairobi) was approved.

The proposed grant to the Government of Ivory Coast, for the benefit of the Université d'Abidjan and its research institute, is the third grant under the project and has been under discussion and negotiation since it was originally presented to A.I.D. in April, 1973. During the ensuing 2 years the Ivoriens have developed their research institute, launched its program, provided for GOIC support and mandate of the program, and revised their proposal. In essence, C.I.R.E.S. plans that it will, in a period of three years of operation, complete five studies on development of rural areas of Ivory Coast, complete training (to Ph.D. degree level) of two Ivorien researchers in the fields of Social Science and Economics, and launch a program of research fellowships for in-country training of staff and possible recruits. All training is designed to assist in the Ivorization of

the program of C.I.R.E.S. As indicated in the PROP and the attached Ivorien proposal, the GOIC is continuing its emphasis upon development of the rural areas and plans to utilize the results of the five studies, as well as the research capabilities of C.I.R.E.S., in future Government programs.

The \$84,500 is the first of three years of funding. The remainder will be funded in following fiscal years contingent upon positive findings of annual evaluations and the availability of funds. All provisions of the attached PROP revision are in accordance with actions planned under the original PROP.

Recommendation:

That you approve and sign the Ivory Coast/Université d'Abidjan activity as set forth in "Revision 4" (attached) of the Social Science Research Sub-Project.

Enclosure: a/s


Drafted: AFR/RA:DCox:md:6/3/75

Clearance: AFR/RA, JKraus (draft)
AFR/DS, JBlumgart 
AFR/CWR, DShear 
AFR/DP, Meares 
DAA/AFR, DSBrown 

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I. PROJECT IDENTIFICATION

<p>1. PROJECT TITLE Economic Development Research Organizations Project Social Science Research Sub-Project</p>		<p>APPENDIX ATTACHED <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO</p>
<p>3. RECIPIENT (specify) <input type="checkbox"/> COUNTRY _____ <input checked="" type="checkbox"/> REGIONAL <u>Africa</u> <input type="checkbox"/> INTERREGIONAL _____</p>		<p>2. PROJECT NO. (M.O. 1025.2) 698-11-995-402/4</p> <p>4. LIFE OF PROJECT BEGINS FY <u>1973</u> ENDS FY <u>1977</u></p> <p>5. SUBMISSION <input type="checkbox"/> ORIGINAL _____ <input checked="" type="checkbox"/> REV. NO. <u>4</u> ^{DATE} <u>5/30/75</u> _{DATE} CONTRACT NO. <u>87698-400</u></p>

II. FUNDING (\$000) AND MAN MONTHS (MM) REQUIREMENTS

A. FUNDING BY FISCAL YEAR	B. TOTAL \$	C. PERSONNEL		D. PARTICIPANTS		E. COMMOD- ITIES \$	F. OTHER COSTS \$	G. PERSONNEL Grantee		H. LOCAL EXCHANGE CURRENCY RATE: \$ US _____ (U.S. OWNED)		
		(1) \$	(2) MM	(1) \$	(2) MM			(1) \$	(2) MM	(1) U.S. GRANT LOAN	(2) CCOP COUNTRY	
										(A) JOINT	(B) BUDGET	
1. PRIOR THRU ACTUAL FY	346.0	140.7	701	89.0	216	17.9	98.4	140.7	701			493.0
2. OPRN FY <u>75</u>	232.5	54.0	318	80.5	168	17.0	81.0	54.0	318			494.7
3. BUDGET FY <u>76</u>	129.5	30.0	270	19.5	24	13.0	67.0	30.0	270			116.0
4. BUDGET +1 FY <u>77</u>	83.5	-	-	19.5	24	13.0	51.0	-	-			75.0
5. BUDGET +2 FY												
6. BUDGET +3 FY												
7. ALL SUBQ. FY												
8. GRAND TOTAL	791.5	224.7	1289	208.5	432	60.9	297.4	224.7	1289			1178.7

9. OTHER DONOR CONTRIBUTIONS

(A) NAME OF DONOR	(B) KIND OF GOODS SERVICES	(C) AMOUNT
-	-	-

III. ORIGINATING OFFICE CLEARANCE

1. DRAFTER	TITLE	DATE
-	-	-
2. CLEARANCE OFFICER	TITLE	DATE
-	-	-

IV. PROJECT AUTHORIZATION

1. CONDITIONS OF APPROVAL

Summary Sheet: No signature or clearance required.

2. CLEARANCES

BUR OFF.	SIGNATURE	DATE	BUR OFF.	SIGNATURE	DATE
	-			-	
	-			-	
	-			-	

3. APPROVAL AAS OR OFFICE DIRECTORS

SIGNATURE	DATE
-	-
TITLE	
-	

4. APPROVAL AID (See M.O. 1025.1 VI C)

SIGNATURE	DATE
-	-
ADMINISTRATOR, AGENCY FOR INTERNATIONAL DEVELOPMENT	

I. PROJECT IDENTIFICATION

<p>1. PROJECT TITLE</p> <p>Economic Development Research Organizations Project Social Science Research Sub-Project</p>		<p>APPENDIX ATTACHED</p> <p><input checked="" type="checkbox"/> YES <input type="checkbox"/> NO</p> <p>2. PROJECT NO. (M.O. 1095.2) 698-11-995-404</p>
<p>3. RECIPIENT (specify)</p> <p><input checked="" type="checkbox"/> COUNTRY <u>Ivory Coast (CIRES)</u></p> <p><input checked="" type="checkbox"/> REGIONAL <u>Africa</u> <input type="checkbox"/> INTERREGIONAL _____</p>	<p>4. LIFE OF PROJECT</p> <p>BEGINS FY <u>1975</u></p> <p>ENDS FY <u>1977</u></p>	<p>5. SUBMISSION</p> <p><input type="checkbox"/> ORIGINAL</p> <p><input checked="" type="checkbox"/> REV. NO. <u>4</u> DATE <u>5/30/75</u></p> <p>CONTR. NO. <u>698-400</u></p>

II. FUNDING (\$000) AND MAN MONTHS (MM) REQUIREMENTS

A. FUNDING BY FISCAL YEAR	B. TOTAL \$	C. PERSONNEL		D. PARTICIPANTS		E. COMMOD- ITIES \$	F. OTHER COSTS \$	G. PASSIVE OFF. Grantee		H. LOCAL EXCHANGE CURRENCY RATE: \$ US _____ (U.S. OWNED)			
		(1) \$	(2) MM	(1) \$	(2) MM			(1) \$	(2) MM	(1) U.S. GRANT LOAN		(2) COOP COUNTRY	
										(A) JOINT	(B) BUDGET		
1. PRIOR THRU ACTUAL FY	-	-	-	-	-	-	-	-	-	-	-	-	-
2. OPBN FY '75	84.5			19.5	24	14.0	51.0						66.0
3. BUDGET FY '76	83.5			19.5	24	13.0	51.0						73.0
4. BUDGET +1 FY '77	83.5			19.5	24	13.0	51.0						75.0
5. BUDGET +2 FY													
6. BUDGET +3 FY													
7. ALL SUBQ. FY													
8. GRAND TOTAL	251.5	-	-	58.5	72	40.0	153.0	-	-	-	-	-	214.0

9. OTHER DONOR CONTRIBUTIONS

(A) NAME OF DONOR	(B) KIND OF GOODS/SERVICES	(C) AMOUNT
France (Educator National)	3 Full-time Research Associates	\$ 150,000. -
Ford Foundation	Reference materials - research studies, U.S. and in-country training	\$ 100,000. -

III. ORIGINATING OFFICE CLEARANCE

1. DRAFTER AFR/RA, D. Cox <i>D. Cox</i>	TITLE Project Manager	DATE 6/5/75
2. CLEARANCE OFFICER AFR/RA, E. D. Conroy <i>E. D. Conroy</i>	TITLE Director	DATE 6/9/75

IV. PROJECT AUTHORIZATION

1. CONDITIONS OF APPROVAL

2. CLEARANCES

BUR' OFF.	SIGNATURE	DATE	BUR' OFF.	SIGNATURE	DATE
			AFR/DS	J. Blumgart <i>J. Blumgart</i>	6/5/75
AFR/RA	J. Kraus <i>J. Kraus</i>	6/4/75	AFR/DP	R. Huesmann <i>R. Huesmann</i>	6/18/75
			AFR/CWR	D. Shear <i>D. Shear</i>	6/3/75

3. APPROVAL AAS OR OFFICE DIRECTORS

SIGNATURE <i>S. C. Adams, Jr.</i>	DATE 6/16/75	SIGNATURE	DATE
TITLE AA/AFR	S. C. Adams, Jr.	ADMINISTRATOR, AGENCY FOR INTERNATIONAL DEVELOPMENT	

NONCAPITAL PROJECT PAPER (PROP)
ECONOMIC DEVELOPMENT RESEARCH ORGANIZATIONS PROJECT
SOCIAL SCIENCE RESEARCH SUB-PROJECT

Activity: Centre Ivoirien de Recherches Economiques et Sociales (C.I.R.E.S.), Faculty of Law and Economics, Université d'Abidjan, Ivory Coast (multi-donor).

I. INTRODUCTION

This revision requests approval of an activity which supports achievement of sub-project goals set forth under "Original," dated April 4, 1973.

Ever since its inception, C.I.R.E.S. has been restricted in implementation of research activities related to economic and social development because of the lack of qualified Ivorien research personnel and sufficient funds. By 1974 C.I.R.E.S. managed to commence three research studies by supplementing their indigenous personnel and financial resources with external donor contributions. But, if C.I.R.E.S. is to meet Ivorien needs it must develop its internal capability to carry out policy oriented research without outside assistance.

To assist the Institute to strengthen local research capabilities and to provide the Government of the Ivory Coast (GOIC) with practical policy oriented social science research, A.I.D. will provide a grant to pay for research activities and staff development as set forth below:

II. THE PURPOSE OF THE ACTIVITY

A. Statement of Purpose: To strengthen and expand research capabilities at the C.I.R.E.S., Faculty of Law and Economics, Université d'Abidjan, Ivory Coast, and to provide the GOIC with policy oriented social science research findings on various development related problems.

B. Conditions Expected at the End of the Activity: Additional personnel will have been trained in applied research techniques at C.I.R.E.S., Université d'Abidjan. The results of five policy oriented social science research projects will have been made available to the GOIC and the University will have an increased capability to train needed researchers.

C. Means of Verification: A progress report describing status of all aspects of the program will be produced annually, and will be followed by meetings between representatives from A.I.D., the Université d'Abidjan, and the GOIC to assess results and chart appropriate future courses of action.

D. Basic Assumptions: That the Université d'Abidjan and the GOIC recognize the importance of improving the quality and volume of social science oriented research for use in government planning and will devote additional resources toward these ends.

III. ACTIVITY OUTPUTS

A. General Statement of the Outputs: Activity purposes will be achieved through a mix of interrelated outputs; The planning, implementation, and completion of five individual studies in policy oriented fields which have been selected jointly by the University and the GOIC -- a. Diffusion of Agricultural Technology, b. Economic Consequences of Drought in Guinean Sudan, c. Integrated Development in Central Ivory Coast (Kossou), d. Integrated Development in Northern Ivory Coast and e. Agricultural Marketing in Northern Ivory Coast.

Other outputs include the training of indigenous graduate researchers who will be available for employment after graduation at the Université d'Abidjan or in the GOIC as a part of the Ivorien "Africanization" process; the improvement of research capability of 18 Ivorien staff, the University, and the Institute.

B. Tabulation of Planned Outputs:

1. Studies

	<u>Name of Study</u>	<u>Target</u>		<u>FY Number</u>
		<u>Begin FY</u>	<u>Complete FY</u>	
a.	Integrated Development in the Center (Kossou)	76	77	1
b.	Diffusion of Agricultural Technology	76	78	1
c.	Economic Consequences of Drought in Guinean Sudan	76	78	1
d.	Agricultural Marketing in the North	77	78	1
e.	Integrated Development in the North	77	78	1
	Total			5

2. Personnel Trained

	Target		Number
	Begin FY	Complete FY	
a. New Researchers trained through participant grants in the U.S.	76	78	2 Graduate students
b. New Researchers trained through Fellowships (in research procedures, methodology, etc.) *	76	78	9 Graduate students
c. Ivorien Staff trained in research methodology and procedures *			
- 4 research assistants for three years each	76	78	4 Graduate students
- 3 fieldwork aides for three years each	76	78	3 Students
d. In-service and on-the-job training of C.I.R.E.S. staff in research			
- 20 full-time staff and			20
- 70 part-time staff	76	78	70

3. Means of Verification: An annual review of the research work plan will be conducted with the Université d'Abidjan and the GOIC officials to determine whether the research is on schedule and whether findings and recommendations have been made available to the GOIC.

4. Basic Assumptions: That sufficient numbers of qualified personnel will be available to train and to conduct the research.

IV. ACTIVITY INPUTS

A. General Statement of the Inputs: Inputs will be provided by both A.I.D. and the GOIC as follows:

A.I.D. - two participant grants to American institutions, sixteen research fellowships for new and existing staff, in-service and on-the-job training for full and part-time staff of C.I.R.E.S., in-country travel, data processing, some equipment and supplies, and library acquisitions.

* In-country

GOIC and the Université d'Abidjan - provision for teaching staff, libraries and library acquisitions, research report reproduction, office space, furniture and equipment, vehicles, and faculty travel within Africa.

B. Tabulation of Planned Inputs:

1. A.I.D. FUNDED

<u>Kind of Input</u>	<u>Unit</u>	<u>Year in which used</u>		
		<u>FY 76</u>	<u>FY 77</u>	<u>FY 78</u>
Participant Grants	Student Yrs.	2	2	2
Research Fellowships *	Student Yrs.	9	9	9
Research Fellowships for Institute Staff *	Student Yrs.	7	7	7
In-Service and On-the-job Training of Institute Staff (180 man-days/yr.)	Man-Days	180	180	180
Library Acquisitions, Re- search Materials	Various	All years as needed.		

2. IVORY COAST FUNDED

<u>Kind of Input</u>	<u>Unit</u>	<u>Year in which used</u>
Four Study Directors	Supervision	As needed in all years in support of training and research activities.
Seventeen Research Associates, Assistants and Aides	Support	As needed in gathering and treating of data.
Staff Travel	Pan African	All years as needed.
Library Acquisitions	Res. Mtrls.	All years as needed.
Facilities	Offices, etc.	All years as needed.
Transportation	Commercial	As needed.
Transportation	Vehicles	Presently owned vehicles will be utilized as needed.

* In-country

3. BUDGET

In thousands of dollars

	<u>FY 75</u>	<u>FY 76</u>	<u>FY 77</u>	<u>FY 78</u>	<u>Total</u>
<u>a. A.I.D. FUNDED</u>					
- 2 participants, U.S.	19.5	19.5	19.5	-	58.5
- 9 new fellowships *	22.5	22.5	22.5	-	67.5
- 7 Institute staff * fellowships	17.5	17.5	17.5	-	52.5
- In-service and on-the-job training of staff	6.0	6.0	6.0	-	18.0
- Library acquisitions	10.0	10.0	10.0	-	30.0
- Research materials, supplies, equipment	4.0	3.0	3.0	-	10.0
- Data processing	5.0	5.0	5.0	-	15.0
- In-country travel <u>1/</u>					
Total	<u>84.5</u>	<u>83.5</u>	<u>83.5</u>		<u>251.5</u>
<u>b. GOIC FUNDED</u>					
- University budget(CIRES) teaching staff, libraries/acquisitions, furniture/equipment.	22.0	25.0	25.0	-	72.0
- Ministry of Scientific Research, printing/reproduction, office space, vehicles/operation, staff travel/Africa.	44.0	48.0	50.0	-	142.0
Total	<u>66.0</u>	<u>73.0</u>	<u>75.0</u>		<u>214.0</u>
<u>c. SUMMARY OF CONTRIBUTIONS TO FUNDING</u>					
	<u>Funding</u>	<u>%</u>			
- The GOIC	\$214,000	29%			
- A.I.D.	251,500	36%			
- Other Donors	250,000	35%			
Total	<u>\$715,500</u>	<u>100%</u>			

1/ This item is included in sums for the line items above it.

* In-country

V. RATIONALE

A. The Institutional Foundation of the Project: In March, 1971, the Government of the Ivory Coast created, by Presidential decree 71-133, Article II, a research institute in the Faculty of Economics of the Université d'Abidjan to "complement instruction in the Faculty of Economics with activities in social and economics research" and "... establish close relationships with maximum number of private and public organizations in the fields of economic and social science research". In 1972 the GOIC mandated to C.I.R.E.S. the social and economic research which is shown under the "Outputs" section of this document and commenced providing financial support for it. These conditions provided the basis for the Ford Foundation grant of \$100,000 to C.I.R.E.S. in FY 1974 to pay for two basic studies in economic development and the related staff training. The GOIC now considers existing development and conditions to warrant a more intensive development effort at an early date and has requested formally that A.I.D. provide such assistance in FY 1975.

B. The Need for Development of the Research Institute: The greatest constraint to carrying out policy-oriented social science and economic development research in the Ivory Coast is the lack of sufficient funds and the lack of sufficient numbers of adequately trained indigenous personnel to finance and staff the research institute. Historically, the GOIC has been unable to provide funds for development of the Institute and the Institute has, at the same time, been unable to respond to needs for research in development-related areas because of the lack of resources.

Currently C.I.R.E.S. receives its administrative budget support from the Université d'Abidjan and the Ministry of Scientific Research. Its Project contracts provide the bulk of its operating funds. In addition, it is partially staffed by expatriate personnel who are provided by the French "Education National", and the United States "Fulbright Exchange programs and the 211(d) grant to the University of Michigan." Its administrative budget, which also includes training expenses, has averaged \$47,000 annually. Its project budget has averaged \$35,000 annually, and has produced to date 5 completed studies for the Ministry of Plan, Ministry of Finance, Ministry of Animal Production, World Bank, and the National Office of Rural Promotion.

The GOIC anticipates that the requested A.I.D. input of \$251,500 will enable C.I.R.E.S. to achieve its intermediate goal of fully indigenous operation plus completion of the five studies listed herein by July, 1978. Continued Ivorian development on that base is already planned and should permit C.I.R.E.S. to double its present capability and output by July, 1981.

The major characteristics of the quantitative development described above include the diversification and improved quality of the Institute staff as well as increasing the numbers of personnel on the staff, making it possible for the institute to be responsive to the need for good quality research on policy problems of the GOIC.