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FINAL

PD-AAA-213-D1 REPORT U-446

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PROJECT APPRAISAL REPORT (PAR)

6150141 (15) 51

1. PROJECT NO <b>615-11-580-141.2</b>	2. PAR FOR PERIOD <b>April 1975 TO April 1977</b>	3. COUNTRY <b>KENYA</b>	4. PAR SERIAL NO. <b>77-1</b>
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INTERNATIONAL PROGRAM OF LABORATORIES FOR POPULATION STATISTICS (POPLABS)

6. PROJECT DURATION: Began FY <b>72</b> Ends FY <b>77</b>	7. DATE LATEST PROP <b>N/A</b>	8. DATE LATEST PIP <b>N/A</b>	9. DATE PRIOR PAR <b>May 31, 1974</b>
10. U.S. FUNDING	a. Cumulative Obligation Thru Prior FY: \$ <b>623,000*</b>	b. Current FY Estimated Budget: \$ <b>-0-</b>	c. Estimated Budget to completion After Current FY: \$ <b>-0-</b>

11. KEY ACTION AGENTS (Contractor, Participating Agency or Voluntary Agency)

a. NAME <b>University of North Carolina</b>	b. CONTRACT, PASA OR VOL. AG. NO. <b>AID/pha/c-1114</b>
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I. NEW ACTIONS PROPOSED AND REQUESTED AS A RESULT OF THIS EVALUATION

A. ACTION (X)			LIST OF ACTIONS	C. PROPOSED ACTION COMPLETION DATE
USAID	AID-W	HOST		
X			1. Project Manager: Draft PAR	June 30, 1977
X			2. Project Manager: Evaluation Memo in final	June 30, 1977
X		X	3. Discuss with CBS Training needs under African Manpower Development Project.	Mid-May
			4. End of Tour report from Contractor Resident Director	June 30, 1977
	X		5. Complete Contractor Disbursements - life of Project	July 31, 1977
		X	6. Submit final reimbursement voucher	Aug. 15, 1977
<p>Above actions one thru four have been completed.</p> <p>*USAID/Kenya bi-lateral funding only.</p>				

D. REVISIONS REQUIRED:  PROP  PIP  PRO AG  PIO-T  PIO/C  PIO/P

E. DATE OF MISSION REVIEW: **March 15, 1977**

PROJECT MANAGER: TYPED NAME, SIGNED INITIALS AND DATE: **Thomas C. Lyons, Jr. TL 7/7/77**

MISSION DIRECTOR: TYPED NAME, SIGNED INITIALS AND DATE: **Charles J. Nelson**

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**II. PERFORMANCE OF KEY INPUTS AND ACTION AGENTS**

A. INPUT OR ACTION AGENT CONTRACTOR, PARTICIPATING AGENCY OR VOLUNTARY AGENCY	B. PERFORMANCE AGAINST PLAN							C. IMPORTANCE FOR ACHIEVING PROJECT PURPOSE (X)				
	UNSATISFACTORY		SATISFACTORY			OUTSTANDING		LOW	MEDIUM		HIGH	
	1	2	3	4	5	6	7	1	2	3	4	5
1. <u>University of North Carolina</u>							X					X
2.												
3.												

Comment on key factors determining rating 1. There has been a full-time Resident Advisor assigned to the field activities continuously since the project began. 2. Two full-time University Overseas Population Interns (UOPI) were assigned to project. 3. UNC campus staff made a total of 11 visits to project site. 4. Contractor employed eight different special consultants to work at CBS on special problems. Contractor's resident staff, campus backstopping and use of consultants was adequate and available in a timely fashion. These personnel established and maintained excellent rapport with their Kenyan counterparts. Monthly reports from the Resident Advisor and Semi-Annual and Annual reports from UNC were on time.

4. PARTICIPANT TRAINING				X										X
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Comment on key factors determining rating During the project design phase virtually no consideration was given to participant training as either a necessary input or output for the project. Later in the project, and especially as a result of the April 1975 special evaluation, an accelerated participant training program began. The revised training targets were met, but it was obvious that (1) training was a key element in the project, but (2) ~~it began too late. Those trained, however, were excellent and have undoubtedly made a~~ ~~contribution~~ contribution to the DSU activities.

6. COOPERATING COUNTRY							X		X					
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Comment on key factors determining rating Commodities were not critical to the success of the goals of the project, although they were helpful. Small scale office equipment (e.g., typewriters, calculators, adding machines, etc.) and vehicles were utilized throughout. All commodities arrived in a timely fashion.

6. COOPERATING COUNTRY	a. PERSONNEL							X						X
	b. OTHER							X						X

a. Personnel: The Contractor reported (and USAID observed) there never was a serious shortage of personnel for the Project. This was observed especially during the mid-1976 GOK "financial crisis" when a freeze on personnel hiring was effected and at a time when enumerators being placed in the field were critical to the project's progress. Counterparts were assigned as required. Participants were always identified and nominated in sufficient time to process documentation and permit placement.

b. Other: Contractor personnel were given adequate office space and other assistance from the CBS as needed. Contractor personnel were given free access to CBS officers including the Director at all times. The Director, CBS, kept USAID apprised of project progress, and cooperated fully.

7. OTHER DONORS				X							X			
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(See Next Page for Comments on Other Donors.)

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II. 7. Continued: Comment on key factors determining rating of Other Donors

Of the 13 persons trained during this project, and currently assigned to the Demographic Studies Unit, four were trained under the sponsorship of other donors: two by the Ford Foundation and two by the United Nations. While this training was not envisaged in the beginning as part of the PopLabs Project, the Director, CBS, took advantage of this other donor training and assigned all four persons to the PopLabs/DSU upon completion of training, thereby giving additional manpower and importance to the DSU activities.

### III. KEY OUTPUT INDICATORS AND TARGETS

QUANTITATIVE INDICATORS FOR MAJOR OUTPUTS		TARGETS (Percentage/Rate/Amount)					
		CUMULATIVE PRIOR FY	CURRENT FY 77		FY ____	FY ____	END OF PROJECT
			TO DATE	TO END			
Institutionalize the Demographic Studies Unit (DSU)	PLANNED	90%	100%	100%	N/A	N/A	100%
	ACTUAL PERFORMANCE	100%	100%				
	REPLANNED			None required			
Participants (U.S. only)	PLANNED	8	8	8	N/A	N/A	8
	ACTUAL PERFORMANCE	9	9				
	REPLANNED			6 Additional Beyond End of Project			
Survey Technique(s) Experimentation (Types and Costs)	PLANNED	Various	6	6	N/A	N/A	6
	ACTUAL PERFORMANCE	6	6				
	REPLANNED			None Required			
Continuous Measurement System	PLANNED	100%	100%	100%	N/A	N/A	100%
	ACTUAL PERFORMANCE	100%	100%				
	REPLANNED			None Required			
II. QUALITATIVE INDICATORS FOR MAJOR OUTPUTS		COMMENT: DSU is fully functioning, fully integrated (into CBS), administratively sound, and staffed with adequate numbers of personnel. All five Unit chiefs trained to Masters Degree level, three of whom trained under USAID auspices. 17 professionals; 24 Technicians assigned to DSU.					
1. Operational DSU would be accepted as feasible by GOK/CBS							
2. Trained personnel would be assigned to DSU work		COMMENT: All personnel trained under the Project, plus four others trained by other donors have been assigned full-time to DSU.					
3. National Demographic Survey would produce meaningful results.		COMMENT: As noted on page 4, the NDS is field operational. The quantity and quality of the data was not verified at the time of the evaluation, but should be so verified when analysis begins in mid-September, 1977.					

1/ Proposed to be financed under AID/W project, African Manpower Development.

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IV. PROJECT PURPOSE

A. 1. Statement of purpose as currently envisaged. 2. Same as in PROP?  YES  NO

The project is concerned with both research and institution building. With the achievement of its research activities and the institutionalization of the Demographic Studies Unit, the project would be characterized by three objectives: (1) testing and developing new demographic measurement methodologies; (2) collection and analysis of demographic data and dissemination of results; (3) final selection of a "best technique" for utilization by the DSU for national surveys.

B. 1. Conditions which will exist when above purpose is achieved. 2. Evidence to date of progress toward these conditions.

a. Acceptance by GOK/CBS of a Kenya-specific measurement technique.

b. National Demographic Survey would be operational in the field.

c. Publications program would begin and have an on-going status of its own.

a. A dual-record system consisting of six different methodological techniques was tested in the DSU Study Area. Intensive testing and analysis of data reliability and costs. Records kept. Report in draft.

b. Survey began field work in January 1977 with 160 full-time, trained enumerators. Survey area includes 200 clusters covering intensively 20,000 households. Key punching of data began March 1977.

c. The DSU/PopLabs efforts resulted in 8 publications produced in Kenya (i.e., excluding PopLabs publications from UNC). Widely disseminated in Kenya and abroad. The most comprehensive report, Demographic Data Collection Experiments in Kenya, is in draft; will be released late 1977. Publications in GOK reports and scientific journals is on-going activity.

V. PROGRAMMING GOAL

A. Statement of Programming Goal  
The broad goals of this activity are first, to bring about an increasing awareness on the part of the Government of Kenya policy makers of the importance of accurate demographic data, and second, to create the capacity for increased use of these data in development planning.

B. Will the achievement of the project purpose make a significant contribution to the programming goal, given the magnitude of the national problem? Cite evidence.

Without qualification, yes. The project purpose, as noted previously, has been achieved. The DSU's activities have put measurement of Kenya's vital statistics on a continuing basis, is producing accurate data, and is providing key demographic data (essentially births and deaths) in a form useful to key Government officials, as well as others.

(See continuation sheet)

## PAR CONTINUATION SHEET

This sheet is to be used for any Narrative Sections for which sufficient space has not been provided on the form. Identify each narrative by its Part and Section Designation.

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Section V.B Cont'd

The project was foremost an institution-building one, but concurrently the project produced high-quality research. The National Demographic Survey, when completed and analyzed will (1) give the GOK its most accurate measurement to date of the country's natural rate of increase (nri), and (2) will put this measurement on a continuing basis.

The National Integrated Sample Survey Program (NISST), developed during the project, has been stamped as "official" by the GOK in that it is now a requirement that all surveys in Kenya must use the sample survey techniques.