



United States Foreign Assistance

Foreign Assistance Reform

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August 19, 2009

Presentation Objectives

- Overview of Education Resources
- Review of Foreign Assistance Reform to date
 - Strategic Planning
 - Standardized Program Structure & Definitions
 - Integrated Budgeting
 - Operational Plans
 - Performance Management & Reporting
with Common Indicators



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Education Resources in Context

FY 2010 Request	\$ Billions
050: Defense Department and Related Programs	663.6
150: Int'l Affairs (incl food aid), <i>of which:</i>	53.9
<i>Foreign Assistance, of which:</i>	36.7
<i>Education (Basic + Higher):</i>	1.2
<i>State Operations and Other Programs</i>	16.3



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Education Resources in Recent Years

<i>Includes all Accounts, US& 1000's</i>	FY 2006 Actual Total	FY 2007 Actual Total	FY 2008 Total	FY 2009 Total	FY 2010 Request Base
TOTAL	689,784	754,475	928,866	1,060,156	1,189,376
3.2.1 Basic Education	520,804	601,894	764,649	829,680	1,001,332
3.2.2 Higher Education	168,980	152,581	164,217	230,476	188,044



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Director of U.S. Foreign Assistance (DFA)

- **Strengthens strategic coherence among:**
 - overall foreign policy objectives and
 - development requirements
- **Established 2006:**
 - reports directly to the Secretary of State
 - strengthens Secretary's ability to oversee/coordinate all U.S. foreign assistance
 - previously, dual-hatted as:
 - Deputy Secretary equivalent and
 - USAID Administrator
- **Responsibilities:**
 - Focus on five priority objectives:
 - Develop/defend integrated foreign assistance budgets
 - Strengthen planning, oversight, accountability and performance of foreign assistance funds
 - Address unbudgeted priorities during the year
 - Provide guidance for foreign assistance delivered through other agencies/entities of USG
- **Accomplishments:**
 - Increased transparency and coordination in allocation of foreign assistance resources
 - Created a Foreign Assistance Standardized Program Structure
 - More effective prioritization of resources to ensure that U.S. policy objectives are met
 - Improved access to information



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Strategic Planning

National Security Strategy

Quadrennial Diplomacy and Development Review

Development Strategy

Country Assistance Strategy



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Foreign Assistance Framework: Functional Objectives/Areas/Elements

- Peace and Security
- Governing Justly and Democratically
- Investing In People
 - Health
 - Education
 - Social & Economic Services & Protection for Vulnerable Populations
- Economic Growth
- Humanitarian Assistance



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Standardized Program Structure and Definitions

- Common language
 - established structure
 - precise, mutually exclusive definitions
- Examples:
 - Objective: Investing In People
 - Area: Education
 - Element: Basic Education
 - Sub-Element: Learning for Out-of-School Youth
 - Objective: Economic Growth
 - Area: Private Sector Competitiveness
 - Element: Workforce Development
 - Sub-Element: Technical/Vocational Training for Employment



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Planning / Budgeting / Reporting Cycle

At any point in time, the USG is reporting, implementing, justifying and planning on 4 different Fiscal Years.



If we are here, then we are....

Reporting on
FY2008 results

Implementing
FY2009 budget

Justifying
FY2010 budget
to Congress

Planning FY2011
budget



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Operational Plan (OP)

- An Operating Unit's (OU's) proposal for use of new resources for a single FY.
- Once DFA approves,
 - OP is agreement between F and the OU on use of the resources
- NOT an accounting system or strategy document
- All State and USAID Operating Units that implement Foreign Assistance programs must prepare
 - 193 OUs – 155 countries, 25 regional platforms, 13 State and USAID headquarters bureaus/offices



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Performance Management

- Annual Performance Plan and Report
 - Performance information is collected from Posts and Bureaus, using standard indicators, at the end of each fiscal year
- Purposes
 - Strengthen accountability
 - Fulfill Government Performance and Results Act requirements for budget and performance integration
 - Justify use of current year appropriations
 - Communicate with stakeholders about key achievements from foreign assistance funds at an aggregate level
 - Understand range and results of USG programs, by region, objective, etc.



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Standard Indicators

- Objective and Program Area Level
 - Outcome/Impact Indicators
 - F collects from external sources (e.g., World Bank, Freedom House)
 - Not directly attributable to the USG
- Program Element Level
 - Output Indicators
 - Operating Units collect



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Foreign Assistance Coordination and Tracking System (FACTS)

- An internet-based data system through which Operating Units submit:
 - Budget Allocations
 - Operational Plans
 - Performance Plans and Reports
- FACTS Info is an internet-based system that produces reports from FACTS data



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Foreign Assistance Reform in the Coming Months

Areas of Consensus:

- in the U.S. national interest
- Change is needed in delivery of FA, and the time is right
- USG needs a larger, better-trained workforce to deliver Foreign Assistance

Challenges:

- Long-term strategic vision for Foreign Assistance
- Coordinating USG actors and decision-makers
- Aligning Foreign Assistance with foreign policy goals
- Balance between
 - shorter-term security sector assistance and
 - longer-term development assistance
- Balance between tools of international engagement: civil & military
- Reforming the legislative framework for foreign assistance



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