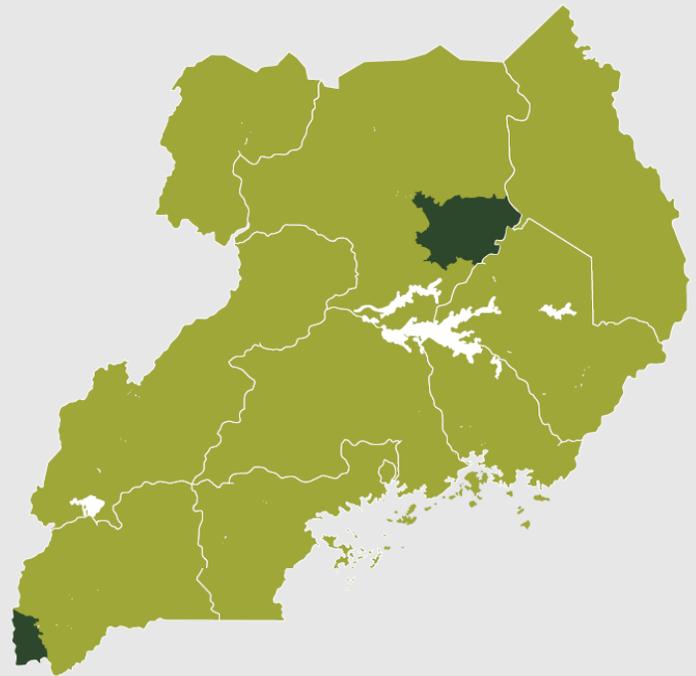


PATHWAYS TO BETTER NUTRITION

CASE STUDY EVIDENCE SERIES

District Technical Brief

Summary of **Findings on Nutrition Financing** in Kisoro and Lira Districts, **Uganda**



2013/14 and
2014/15 Financial Years

JANUARY 12, 2016



USAID
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SPRING
Strengthening Partnerships, Results,
and Innovations in Nutrition Globally

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SPRING

The Strengthening Partnerships, Results, and Innovations in Nutrition Globally (SPRING) project is a five-year USAID-funded Cooperative Agreement to strengthen global and country efforts to scale up high-impact nutrition practices and policies and improve maternal and child nutrition outcomes. The project is managed by the JSI Research & Training Institute, Inc., with partners Helen Keller International, The Manoff Group, Save the Children, and the International Food Policy Research Institute.

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RECOMMENDED CITATION

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Background

In 2011, the Government of Uganda (GOU) committed itself to the Scaling Up Nutrition (SUN) principles, which resulted in the development of the Uganda Nutrition Action Plan (UNAP), 2011-2016. The plan outlines how the GOU intends to fulfill its commitment to ensure food and nutrition security for all Ugandans (Government of Uganda 2011). The ultimate goal of the UNAP is to reduce levels of malnutrition among women of reproductive age, infants, and young children.

The Pathways to Better Nutrition (PBN) Case Study

The “Pathways to Better Nutrition” (PBN) study, led by the USAID-Funded Strengthening Partnerships, Results, and Innovations in Nutrition Globally (SPRING) project, explores how the GOU prioritizes nutrition interventions and supports the implementation of the UNAP to reach its chosen goal of reducing undernutrition. Within this larger work, SPRING, in collaboration with *Deutsche Stiftung Weltbevölkerung* (DSW), explored whether the GOU and its development partners made the necessary investments to enable the roll-out of UNAP activities in two selected districts – Lira and Kisoro. The study covered two financial years: 2013/14 and 2014/15.

As with the national PBN analysis, conducted from 2013-15, this district-level budget analysis followed the UNAP activity framework for defining nutrition activities and covered the four sectors named in UNAP—education; health; agriculture (or production); and gender, labor, and social development—as well as water, to derive figures on nutrition-specific and -sensitive allocations. Data were collected from publicly available district budgets, regional referral hospital budgets, and budgets from the nongovernmental organizations (NGOs) and development partners (DP) implementing nutrition-related programs.

This brief highlights work undertaken in Lira and Kisoro to estimate the amount of funding going toward nutrition-related activities across funders and sectors during the study period. The brief concludes with a description of the analysis, results, and key recommendations for stakeholders’ consideration.

Methodology

In collaboration with DSW, SPRING conducted qualitative and budget interviews between April-August, 2014, and again between February-March, 2015 in Lira and Kisoro districts. These districts were selected based on the active presence of nutrition partners, relative progress in rolling out the UNAP, and availability of district-representative data to inform study findings.

DSW conducted the district-level budget analyses in Lira and Kisoro through review of relevant budget documents. SPRING used the same methodology to conduct the national-level budget analysis . The following groups participated in the budget interviews:

- government bodies including the National Medical Stores (NMS), Lira Referral Hospital (LRH) , and district officers of Kisoro and Lira
- donor agencies with offices in the district
- all civil society organizations (CSOs) that operate nutrition-related projects in the district
- UN groups with offices in the district.

SPRING and DSW collected and reviewed district-approved budgets, sector work plans, budget performance reports, CSO budgets and work plans, hospital budgets, and work plans from both districts. The full methodology for budget analysis at the national and district levels in Uganda can be accessed at: <http://www.spring-nutrition.org/pbn>.

The following steps were taken to identify, validate, and analyze nutrition-related allocations in the two districts:

- Collect work plans and budgets from the district, LRH, NGOs, and DPs for financial years 2012/13 and 2014/15 (the NMS only provided spending information).
- Enter data into an Excel-based data extraction tool for each of the five sectors.
- Review and verify initial sector findings (completed by district officials from the five key sectors, LRH, donors, and NGO officials in the two districts).
- Meet with the sector heads in both districts and the administrator of LRH to review the tool and validate allocations for nutrition-relevant activities.
- Conduct final analysis of revised data.

These nutrition budget analyses include a margin of error due to incomplete information from some sources and the inexperience of district-level officials with nutrition budget analysis. However, the estimated results provide a credible indication of how Lira and Kisoro districts, and other implementing agencies, determine budget allocations for nutrition-related activities.

Summary of Findings

Lira District

SPRING's analysis of Lira district revealed that the **total budget allocations for nutrition in the district fell from Shs 5.3 billion in 2013/14 to Shs 4 billion in 2014/15**. This reduction can be attributed to reductions associated with the suspension of the National Agricultural Advisory Services (NAADS) funding, as well as measurement error due to limited budget information provided by NMS and by donors for off-budget funding in 2014/15.

Off-budget information provided by six NGOs (World Vision, PLAN Uganda, Concerned Parents Association, Volunteer Efforts for Development, Development Training and Research Centre, and Send a Cow) implementing projects in Lira district shows that, in 2013/14 and 2014/15, Shs 2.23 and Shs 1.38 billion respectively were budgeted for nutrition-related activities. In 2013/14, NGO funding made up 42 percent of the overall district nutrition allocation. This number fell to 34 percent in 2014/15, largely due to sharp reductions in funding by two of the NGOs that had previously contributed 64 percent of the 2013/14 external funding for nutrition. Three other NGOs reported slight increases or no change to their funding levels, while one additional NGO only reported funding for 2014/15.

We found that **on-budget** nutrition allocations for the four UNAP sectors and water were Shs 1.38 billion in FY 2013/14 and Shs 1.02 billion in FY 2014/15, representing 7.7 percent and 5.0 percent, respectively, of the total district budget allocations for the five sectors. During 2013/14, the GOU funded over 78 percent of on-budget nutrition-related allocations. This dropped only slightly to 70 percent in 2014/15. Nutrition-related allocations under the education, water, and community-based services sectors were entirely funded by the GOU in both years, as were on-budget allocations under UNAP Strategic Areas 1.2 (Addressing gender and socio-cultural issues that affect maternal, infant, and young child nutrition), 3.2 (Promoting social protection interventions for improved nutrition), and 4.1 (Strengthening the policy and legal frameworks for coordinating, planning, and monitoring nutrition activities).

Similarly, there were significant nutrition-related on-budget funds for essential nutrition supplies provided by the NMS and LRH in both years. **LRH** reported Shs 1.58 billion in 2013/14, and a modest increase to Shs 1.64 billion in 2014/15. **NMS** reported Shs 81.2 million in essential nutrition supplies in 2013/14, nearly three-quarters of which was directed to LRH. NMS subsequently failed to report its nutrition-related budget for 2014/15.

We also looked at allocations in Lira by **UNAP strategic areas**. These allocations fell mainly under Strategic Areas 1.1 (Promotion of access to and utilization of nutrition and health services to all women of reproductive age, infants, and young children), 2.1 (Increasing access to and use of diverse nutritious foods at the household level) and 4.1. On average during the two FYs, 40.2 percent of nutrition-related allocations fell under Strategy 1.1, 29.7 percent fell under Strategy 4.1, and 29.2 percent fell under UNAP Strategy 2.1.

Kisoro District

According to available data, **total nutrition-related allocations in Kisoro District also fell, from Shs 2.6 billion in 2013/14 to Shs 1.6 billion in 2014/15**. As in Lira district, the apparent reduction in total nutrition-related allocations in Kisoro can be attributed to the suspension of NAADS funding and lack of budget information from NGOs and NMS in 2014/15. In 2013/14, nearly half (43 percent) of the nutrition allocation was budgeted by NGOs, none of which provided information for 2014/15.

Off-budget information provided by six organizations (Compassion, United Organization for Batwa Development in Uganda, SPRING, Harvest Plus/Africa 2000 Network, RECO, and FHI 360) implementing projects in Kisoro district shows that in 2013/14 and 2014/15, Shs 1.1 billion and Shs 58 million, respectively, was budgeted for nutrition-related activities. Again, this apparent drop in funding is mostly attributed to poor reporting by implementing partners in 2014/15. To complicate matters, the only NGOs and DPs that reported allocations for 2014/15 did not provide information for 2013/14, preventing a comparative analysis even among donors during the two years.

The total **on-budget** nutrition allocations for the four sectors named in UNAP and water increased from Shs 1.44 billion in 2013/14 to Shs 1.54 billion in 2014/15, representing 8.0 percent and 6.1 percent, respectively, of total nutrition allocations within the five sectors. During 2013/14, the GOU funded over 65 percent of the on-budget nutrition-related allocation. This dropped to just over half (56 percent) in 2014/15. Nutrition-related allocations under education, water, and community based services sectors were entirely funded by the GOU, as were on-budget allocations under UNAP Strategic Areas 1.2, 3.2 and 4.1.

NMS reported 32.2 million in 2013/14, nearly half of which was designated for essential nutrition supplies for Health Centre (HC) III's (subcounty-level health facilities), but failed to report its 2014/15 nutrition-related allocations.

As in Lira District, Kisoro district nutrition-related allocations fell primarily under **UNAP Strategic Areas** 1.1 (averaging 64.0 percent of total allocations over the two years), 2.1 (18.0 percent), and 4.1 (16.7 percent).

Conclusions

Budgeting for nutrition was new to most officials in Lira and Kisoro districts. Most officials found it difficult to identify nutrition-related activities and ascertain what percentage of their district's budget was allocated to these activities (specific and sensitive), since this study was their first introduction to the process.

While nutrition was generally associated with the health sector, this study documented a high percentage of nutrition-related spending in the agriculture sector, in particular as related to food production. In both districts, the production sector reported the highest proportion of nutrition-related allocations, followed by the health, water, community-based services, and education sectors. The majority of budget allocations were for nutrition-sensitive interventions or programs, with few sources reporting significant amounts of nutrition-specific funding.

While the UNAP mandates that all government agencies and lower local governments (LLG) mainstream nutrition activities in their work plans and budgets, this has not yet happened. As a result, most district officials interviewed were not familiar with the UNAP and thought of nutrition only as a health sector issue. This was an important finding given that most nutrition

funding in Lira and Kisoro districts is allocated from sectors other than health. Low levels of awareness at the district level about the importance of nutrition activities has led to poor prioritization of these interventions, and insufficient investment in the financial and human resources required to deliver meaningful nutrition-related services. When thinking about district-level difficulties in identifying nutrition programs and associated funding, the absence of an enabling legal framework to support the scaling up of nutrition activities in LLGs should be considered. Currently, government agencies are not legally obligated to prioritize nutrition in their work plans and budgets. Some respondents in this study suggested the need for expeditious passage of the Food and Nutrition law.

Recommendations

Based on study findings and analysis, SPRING offers the following recommendations to improve nutrition planning and budgeting at local government levels:

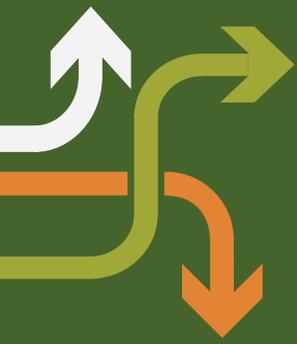
- a. Local governments should identify feasible mechanisms at the district and sub-county-levels to provide adequate funding for Nutrition Coordination Committee operations. For example, recommendations from other PNB studies have suggested the inclusion of a UNAP line item in district-level budgets (Pomeroy-Stevens et al. 2015; Adero et al. Forthcoming). Donor involvement may also help address the funding gap. However, interviews with district officials suggest that overreliance on unpredictable donor funds can make committee operations less effective.
- b. Local government should mainstream nutrition into development plans and budgets by—
 - sensitizing stakeholders, including sector heads, on the UNAP
 - modifying planning structures to ensure prioritization of nutrition (for example, include nutrition in the Output Budget Tool (OBT)
 - building knowledge and capacity of LLG officials around the planning, implementation, and budgeting of nutrition-related activities.
- c. Increase coordination among donors, CSOs, and District Nutrition Coordination Committees (DNCC) to share annual funding levels for nutrition-related activities in a regular and transparent manner.
- d. The Ministry of Finance Planning and Economic Development (MoFPED) should include food security and nutrition in its local government allocations formula.
- e. Continue to advocate for central government prioritization of nutrition. District officials require instructions from central government to integrate nutrition into their work plans and budgets. This could be accomplished in various ways, including UNAP introductory or sensitization workshops, budget analysis training for nutrition and budget staff, and dissemination of research briefs and key documents on nutrition.

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ADDITIONAL PBN DOCUMENTS

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ABOUT SPRING

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