



The FISHERIES IMPROVED FOR SUSTAINABLE HARVEST Project

1ST QUARTER PERFORMANCE REPORT

January 1, 2004 to March 31, 2004

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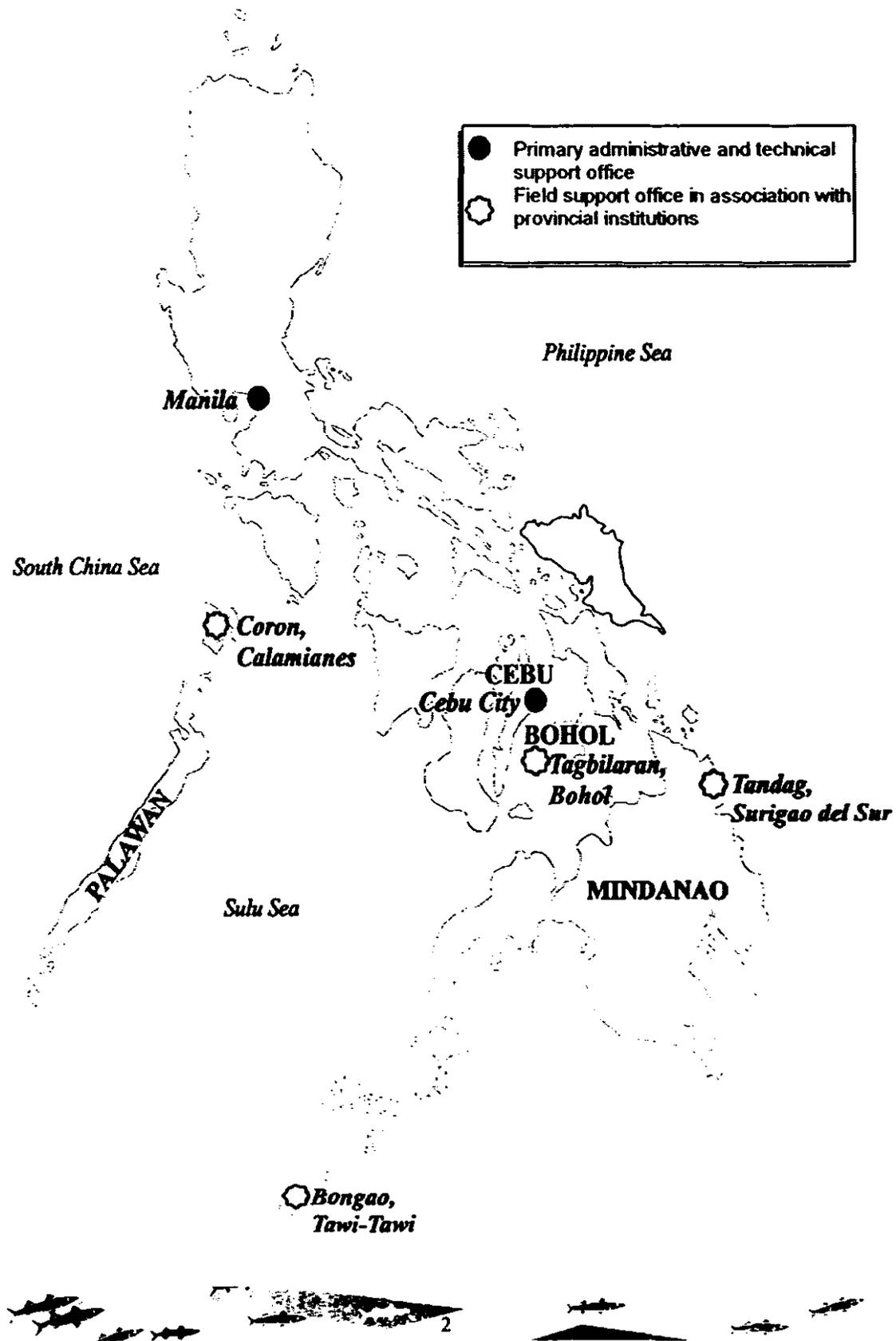
- A FISH Project Collaborative Activities with Various Partners, January 1 – March 31, 2004.
- B FISH Project Expenditures (In US\$) by Task, As of 21 March 2004



List of Abbreviations and Acronyms

ARMM	Autonomous Region of Muslim Mindanao
BFAR	Bureau of Fisheries and Aquatic Resources
CI	Conservation International
CRMP	Coastal Resources Management Project
DA	Department of Agriculture
DAI	Development Alternatives, Inc.
DENR	Department of Environment and Natural Resources
DILG	Department of Interior and Local Government
ELAC	Environmental Legal Assistance Center
FAOs	Fisheries Administrative Orders
FISH	Fisheries Improved for Sustainable Harvest
GOP	Government of the Philippines
LBDA	Lanuza Bay Development Alliance
LGSP	Philippines-Canada Local Government Support Program
LGU	Local Government Unit
MOA	Memorandum of Agreement
NGOs	Non Governmental Organizations
PCSD	Palawan Council for Sustainable Development
PFPI	Path Foundation Philippines, Inc.
POs	People's Organizations
REECS	Resources, Environment and Economics Center for Studies, Inc.
Tetra Tech	Tetra Tech EM Inc.
TWG	Technical Working Group
UPVFI	University of the Philippines in the Visayas Foundation, Inc.
USAID	United States Agency for International Development

Figure 2-1. Target Implementation Areas and Office Locations for the FISH Project.



1.0 Introduction

Tetra Tech EM Inc. (Tetra Tech) received United States Agency for International Development (USAID) Contract No. 492-C-00-03-00022-00, the Fisheries Improved for Sustainable Harvest (FISH) Project, on September 19, 2003 to provide technical assistance to the Government of the Philippines (GOP), Department of Agriculture – Bureau of Fisheries and Aquatic Resources (BFAR), in fisheries management. The FISH Project is a 7-year technical assistance project consisting of a 5-year base period starting from September 22, 2003 through September 21, 2008 and a 2-year option period extending from September 22, 2008 through September 21, 2010.

2.0 Project Objectives

The FISH Project was designed to address a variety of fisheries management problems (Table 2-1) in four ecologically and economically significant marine ecosystems in the Philippines (Figure 2-1).

Table 2-1. Fisheries Management Problems in the Philippines.

CORE PROBLEMS	CONTRIBUTING FACTORS
<ul style="list-style-type: none"> • Loss of marine biodiversity • Declining fish stocks • Loss of revenues and benefits from fisheries and coastal resources 	<ul style="list-style-type: none"> • Overfishing • Illegal and destructive fishing • Coastal habitat degradation • Siltation and pollution • Post-harvest losses • Inefficient marketing
<ul style="list-style-type: none"> • Inequitable distribution of benefits from fisheries and coastal resource uses 	<ul style="list-style-type: none"> • Open access • Inter- and intra-sectoral conflicts • Low awareness and participation in management • Lack of employment/poverty among municipal fishers
<ul style="list-style-type: none"> • Population growth 	<ul style="list-style-type: none"> • Low awareness of the implications of overpopulation and food security • Lack of delivery mechanisms for reproductive health programs in rural coastal communities
<ul style="list-style-type: none"> • Inconsistent policies and programs for sustainable fisheries 	<ul style="list-style-type: none"> • Continued investments in production-oriented programs • Conflicting and fragmented national policies
<ul style="list-style-type: none"> • Weak institutional and stakeholder capacity to plan and implement fisheries management 	<ul style="list-style-type: none"> • Absence of the need and vision for institutional change to support sustainable fisheries • Inadequate technical and financial support to LGU fisheries management initiatives • Weak and inadequate law enforcement • Inadequate interagency coordination mechanisms for fisheries and coastal resource management
<ul style="list-style-type: none"> • Lack of a constituency for sustainable fisheries 	<ul style="list-style-type: none"> • Low awareness and understanding of implications of overfishing on food security and economic development • Polarization of stakeholders over means to achieve sustainable fishing



The FISH Project will support national and local activities to achieve an expected result of 10 percent increase in fish stocks in four target implementation areas by 2010. Overall objectives and expected results are shown in Figure 2-2. The results framework for the FISH Project is presented in Table 2-2.

Figure 2-2. FISH Project Objectives and Expected Results.

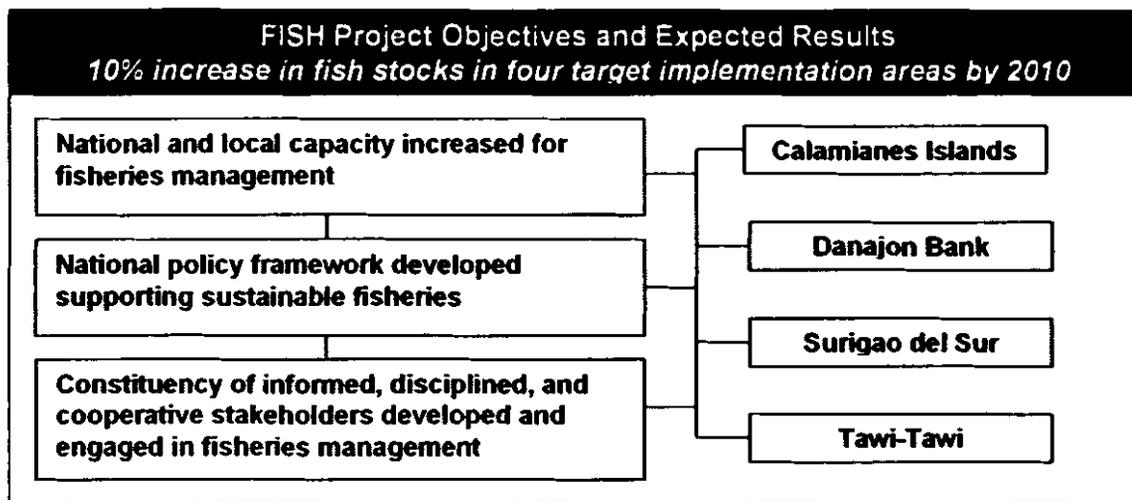


Table 2-2. Results Framework for the FISH Project.

RESULT	INDICATORS
Strategic Objective: Productivity and Sustainability of Fisheries Resources through Improved Management and Enforcement	
FISH Project Result: Marine fish stocks increased by 10 percent (over 2004 baseline levels) in target coastal and marine ecosystems by the year 2010	PR1 Abundance of selected fisheries resources in focal areas (% change in catch per unit effort compared to baseline based on fishery-independent methods)
	PR2 Catch rate of selected fisheries in focal areas (Average % change in catch per unit effort compared to baseline based on fishery-dependent methods)
	PR3 Reef fish density inside and adjacent to selected MPAs in focal areas (% change in abundance/500 m ² compared to baseline)
	PR4 Reef fish species richness inside and adjacent to selected MPAs in focal areas (% increase in No. of species/500 m ² compared to baseline)
	PR5 Benthic condition inside and adjacent to selected MPAs in focal areas (% change of living coral cover compared to baseline)



Table 2-2. Results Framework for the FISH Project (continued).

RESULT	INDICATORS	
Intermediate Result 1: National and local capacity increased for coastal resource and fisheries management in four target areas	IR1.1	Municipal fishers and crafts operating in target areas registered and licensed (% of municipal fishers operating in target area registered and licensed compared to baseline)
	IR1.2	Law enforcement units, prosecutors, and judiciary trained and/or assisted in fisheries law enforcement (No. of coastal law enforcement units established and/or improved and functional)
	IR1.3	Effort restrictions introduced in focal areas (No. of effort restrictions introduced)
	IR1.4	Marine protected areas established and/or improved to protect critical habitats, migration routes, and spawning areas and functional (No. of MPAs and hectares at MPA rating level 2)
	IR1.5	Local government units in focal areas adopting CRM (No. of municipalities achieving basic requirements of CRM level 1 benchmarks)
	IR1.6	Inter-LGU and interagency collaborative agreements, local policy instruments and ecosystem-based fisheries management plans adopted by concerned stakeholders for fisheries management (No. of agreements/plans signed or adopted among relevant stakeholders)
	IR1.7	Reproductive health/population programs implemented and/or improved (No. of barangays integrating reproductive health/population management)
Intermediate Result 2: National policy framework developed supporting sustainable fisheries	IR2.1	National fisheries policies supporting sustainable fisheries (e.g. FAOs, MTDP, action agendas for international agreements) (No. of national policy instruments developed, reviewed or revised)
Intermediate Result 3: Constituency of informed, disciplined, and cooperative stakeholders developed and engaged in fisheries management	IR3.1	Public-private partnerships supporting fisheries management, social infrastructure, population programs, and socioeconomic development (No. of public-private partnerships)
	IR3.2	Dissemination and utilization of fisheries management information materials, training modules, policy studies, and project lessons. (No. of information materials distributed and training/forum conducted)

3.0 Performance Objectives and Expected Outputs

Performance objectives for the first quarter, January 1, 2004 to March 31, 2004, include the following:

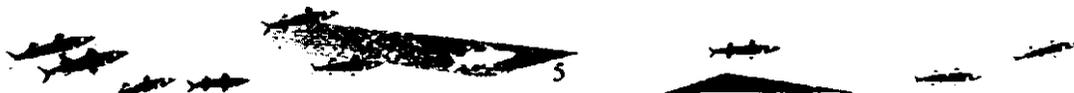
- Performance indicators for the project finalized
- Baseline assessment protocols finalized
- Baseline assessment teams organized and mobilized to initiate field activities



4.0 Summary of Major Accomplishments

This 1st Quarter Performance Report describes implementation activities and accomplishments covering the period January 1, 2004 to March 31, 2004. Major accomplishments for the period include the following:

- Technical guidelines on baseline assessment were finalized. Consultations regarding these guidelines were conducted with technical resource persons and the independent baseline contractor, Development Alternatives, Inc. (DAI). The FISH Project also established its in-house Fisheries Management Baseline Assessment Team and Marine Protected Area Assessment Team
- Finalization of the fisheries baseline assessment work plan and identification of possible partners and researchers for data collection
- Initiated agreement with ringnet operators of Camotes Sea for the conduct of technical training on data collection and analysis
- Conducted courtesy calls and orientation meetings on FISH Project objectives, mode of delivery, and expected results with the local government officials, agriculture and fisheries staff, enforcement agencies, NGOs and POs in Danajon Bank, Calamianes, Surigao and Tawi-Tawi.
- Official endorsement of the project was obtained during the FISH Project orientation for the Palawan Council for Sustainable Development (PCSD). The written resolution of endorsement is expected to be given during the Council's next meeting.
- Compilation of all BFAR Fisheries Administrative Orders (FAOs) and initial screening of the 224 FAOs based on their relevance to improving fisheries management at the national and local level.
- Initiated in-house review of the Fisheries Code (Republic Act 8550) with emphasis on provisions requiring revisions or amendments consistent with international agreements and instruments to which the Philippines is a signatory.
- Discussion with Resources, Environment and Economics Center for Studies (REECS) for collaboration in the formulation of the framework and strategies for a national fisheries licensing system.
- Discussion with Path Foundation Philippines, Inc. (PFPI) for collaboration in the population component of the FISH Project.
- The FISH Project conducted a workshop on implementation and partnership protocols with various stakeholders from the four FISH Project target areas.



- Hiring, briefing and deployment of site coordinators and assistants, and establishment of the FISH Project offices in the 4 target implementation areas.
- Exploratory talks with the Philippine National Police on coastal law enforcement were conducted. An orientation meeting about the FISH Project is scheduled next month.
- Initiated discussions regarding collaborative activities with key project partners.

4.1 National and Local Capacity Building for Fisheries Management

Building the capacity of national and local stakeholders to plan and implement fisheries management is a major task of the FISH Project. This capacity building task comprises the bulk of the field initiatives in the four target areas. Under this task, local stakeholder groups, comprised of strategic local government units (municipal and provincial), fishing sectors, regional and provincial BFAR staff and other national government entities, NGOs, academic institutions, and private sectors groups, will be tapped to work with FISH Project staff. Workshops, trainings, and cross visits will be used to enhance the technical knowledge, experience, and confidence of concerned stakeholders to plan and implement a wide range of fisheries management measures, as well as integrate population issues into action plans.

Table 4-1 gives a summary of the Fifteen-Month Work Plan and Progress to Date (P = Preparatory Activities; C = Completed Activities and O = Ongoing Activities) for Task 1 - National and Local Constituency Building for Fisheries Management.

Table 4-1. Fifteen-Month Work Plan and Progress to Date for Task 1 – National and Local Capacity Building for Fisheries Management.

ACTIVITY	2003		2004		
	Q4	Q1	Q2	Q3	Q4
National Implementation Activities					
• Conduct project orientation with national stakeholders					
• Develop cooperative agreements with national stakeholders for project implementation					
• Establish multi-institutional fisheries and coastal resource management training team					
• Develop training plan for target areas					
Calamianes Islands					
• Conduct project orientation with local stakeholders					
• Establish field office					



Table 4-1. Fifteen-Month Work Plan and Progress to Date for Task 1 – National and Local Capacity Building for Fisheries Management (continued).

ACTIVITY	2003	2004			
	Q4	Q1	Q2	Q3	Q4
• Develop cooperative agreements with local stakeholders for project implementation					
• Conduct fisheries management orientation training					
• Conduct baseline assessment and critical threat analysis					
• Conduct early fisheries management action planning for MPA network and coastal law enforcement					
• Initiate population/reproductive health management activities					
• Develop and conduct local campaign for Month of the Ocean and Fish Conservation Week					
• Initiate early fisheries management actions					
Danajon Bank					
• Conduct project orientation with local stakeholders					
• Establish field office					
• Develop cooperative agreements with local stakeholders for project implementation					
• Conduct fisheries management orientation training					
• Conduct baseline assessment and critical threat analysis					
• Conduct early fisheries management action planning for MPA network and coastal law enforcement					
• Initiate population/reproductive health management activities					
• Develop and conduct local campaign for Month of the Ocean and Fish Conservation Week					
• Initiate early fisheries management actions					
Surigao del Sur					
• Conduct project orientation with local stakeholders					
• Establish field office					
• Develop cooperative agreements with local stakeholders for project implementation					
• Conduct fisheries management orientation training					
• Conduct baseline assessment and critical threat analysis					
• Conduct early fisheries management action planning for MPA network and coastal law enforcement					



Table 4-1. Fifteen-Month Work Plan and Progress to Date for Task 1 – National and Local Capacity Building for Fisheries Management (continued).

ACTIVITY	2003	2004			
	Q4	Q1	Q2	Q3	Q4
• Initiate population/reproductive health management activities					
• Develop and conduct local campaign for Month of the Ocean and Fish Conservation Week					
• Initiate early fisheries management actions					
Tawi-Tawi					
• Conduct project orientation with local stakeholders					
• Establish field office					
• Develop cooperative agreements with local stakeholders for project implementation					
• Conduct fisheries management orientation training					
• Conduct baseline assessment and critical threat analysis					
• Conduct early fisheries management action planning for MPA network and coastal law enforcement					
• Initiate population/reproductive health management activities					
• Develop and conduct local campaign for Month of the Ocean and Fish Conservation Week					
• Initiate early fisheries management actions					

Major accomplishments under Task 1 during the First Quarter of 2004 are discussed in detail below:

4.1.1 Drafting of Work Plans for the Target Areas

Under Task 1, three types of work plans were developed for each target area, namely, (1) a strategic life-of-project work plan, (2) a first-year indicative work plan and (3) an operational work plan covering the first seven months. These plans ensure that all activities contribute to the goal of increasing fish stocks by 10% in each focal area. Moreover, the completion of these plans, particularly the work plans for the first-year and those for the first seven months, allowed the commencement of field activities.

4.1.2 Baseline Assessment

Baseline assessment of the focal areas of the FISH Project will be conducted to establish baseline conditions for key performance indicators that describe the condition of marine fish stocks and habitats at the start of the project. The baseline assessment plan, together

with the Performance Monitoring Plan (FISH Document No. 03-FISH/2004), establishes the project's results framework and methodologies for collecting and analyzing data to evaluate progress and impact of interventions over the life of the project. Key activities and accomplishments during the quarter include the following:

- Technical guidelines on baseline assessment were refined through interactions with selected technical resource persons and the independent baseline contractor, Development Alternatives, Inc. (DAI). The FISH Project also established its in-house Fisheries Management Baseline Assessment Team and Marine Protected Area Assessment Team.
- Together with the DAI Independent Baseline Assessment Team, conducted orientation on fisheries baseline assessment activities for local government officials, agriculture and fisheries staff of the municipalities of Languyan and Bongao in Tawi-Tawi, and in Carrascal, Cantilan, Madrid, Carmen, Lanuza and Cortez in Surigao del Sur.
- Assisted the DAI team in the testing of methodologies to be considered for application under the coastal and fisheries resource assessment in Danajon Bank, Tawi-Tawi and Surigao del Sur.
- Developed a Fisheries Management Orientation Training module and conducted the first orientation training with the FISH Project Staff.
- Conducted a fisheries management orientation training for the National Stock Assessment Team of CARAGA prior to actual training on catch data processing and analysis for the assessment of important fish stocks in Surigao del Sur.

4.1.3 Orientation and Collaboration with Project Partners

Implementation of the various targeted activities of the FISH Project will require close collaboration with various partners and stakeholders both at the national and local level. Better understanding of the Project's goals, objectives and implementation modality would hasten strong commitment from partners. Activities and accomplishments in this regard during the quarter include:

- Courtesy calls and orientation meetings with local government officials, agriculture and fisheries staff, enforcement agencies, NGOs and POs in Danajon Bank, Calamianes, Surigao and Tawi-Tawi were conducted. The FISH Project objectives, mode of delivery, and expected results were highlighted during the meetings. Municipalities represented during these meetings were Languyan and Bongao in Tawi-Tawi; Carrascal, Cantilan, Madrid, Carmen, Lanuza and Cortez in Surigao del Sur; Ubay, Talibon, and Tubigon in Danajon Bank; and Busuanga, Coron and Culion in Calamianes Islands.



- A courtesy call was also conducted with the Palawan Council for Sustainable Development (PCSD) in Puerto Princesa. In this initial meetings, FISH Project staff delivered presentations that covered project goals and objectives, sample activities and implementation schedule at target areas, among others. Official endorsement of the project was obtained in these meetings.
- Workshop with project partners was held to develop project implementation protocols, assess available information for the selection of a focal area in each of the 4 target areas, and standardization of the 1st Year Work Plan and Life of Project Workplan.
- Secured LGU endorsement in Culion, Coron and Busuanga.
- Training for deputization of fish wardens with the Regional Fisheries Training Center in Puerto Princesa.
- Initiated coastal and fisheries profiling of the Lanuza Bay focal area, covering the municipalities of Carrascal, Cantilan, Madrid, Lanuza, and Cortes. Gathered secondary data on the status of coastal and fisheries resources and other socio-economic information.
- Initiated coordination and project orientation with the Lanuza Bay Development Alliance (LBDA) Project Management Team. The LBDA is an alliance of seven Surigao del Sur municipalities, namely, Carrascal, Cantilan, Madrid, Carmen, Lanuza, Cortes and Tandag.
- Initiated coordination and discussion with the Philippines-Canada Local Government Support Program (LGSP) about the goals and implementation strategies of the FISH Project. The LGSP has provided technical assistance to the Lanuza Bay LGUs on coastal resource management and is about to end its field operations by the end of 1st quarter of 2004.
- FISH Project site coordinator in Surigao del Sur attended the Philippines-Canada Local Government Support Program (LGSP) Closing Ceremony and Partners' Bridging Forum as one of the resource persons. During the ceremony, the member-LGUs and agencies of the Lanuza Bay Development Alliance unanimously adopted a resolution acknowledging and expressing support to the FISH Project.

4.1.4 Early Fisheries Management Actions

Initiated agreement with ringnet operators of Camotes Sea for the conduct of technical training on fish fecundity data collection and analysis to be used for specific management initiatives like close season during reproduction and recruitment periods of important small pelagic species in Camotes Sea.



Trained the National Stock Assessment Team of CARAGA on catch data processing and analysis for the assessment of important fish stocks in Surigao del Sur.

4.1.5 Activities Not Accomplished and Why

Some field level activities, such as the conduct of multi-sectoral fisheries management orientation seminar in Calamianes, were deferred and development of cooperative arrangements with local stakeholders for project implementation in other focal areas did not materialize due to impacts of the campaign period for local and national elections.

4.1.6 Issues and Lessons Learned

Closer linkage with the concerned agencies at the Autonomous Region of Muslim Mindanao (ARMM) regional level based in Cotabato City is necessary for a better coordinated FISH Project implementation at the field level.

There is a need to train the Fisheries Technicians and the Municipal Environmental Management and Protection Officers in conducting coastal resource assessment and fisheries profiling and in processing the data gathered, among others.

Initial indicators of the complexity of fisheries management issues in the Calamianes, in spite of diverse institutional efforts in the area, point to several key premises for future formulation of fisheries management actions under the FISH Project. These include:

- Cyanide and dynamite fishing need to be effectively addressed through stronger and more rigid multi-sectoral and multi-disciplinary efforts;
- There is a strong local motivation for the establishment of a fisheries licensing system in view of widespread perception that migrant and transient fishers are depleting fisheries resources;
- There is almost no effective local capacity for fisheries management, in both the LGUs and local BFAR units.
- As concluded by the ELAC and CI in one study, effective law enforcement in the Calamianes region is largely dependent on improving enforcement logistics and capability and in strengthening the prosecution system.
- The tourism sector can be a potent project partner in initiatives relating to coastal habitat protection and conservation.

In the case of Calamianes, the plethora of institutional regimes makes it difficult to implement activities.



4.2 National Policy Framework Improvement for Fisheries Management

The task is intended to focus on helping improve the national policy framework for fisheries management. Amendments to the Fisheries Code (Republic Act 8550) will be a continuing long-term thrust for this task. During the first 15-month implementation period, however, the main emphasis will be on the following: (1) initial review and evaluation of potential improvements in policy instruments (e.g., fisheries administrative orders); (2) the conduct of initial fisheries forums and preparation of selected fisheries policy briefs; (3) preparatory activities (including initiation of collaborative arrangements among stakeholders and institutions) for formulation of a national fish security framework; and (4) identification of policy issues negatively impacting local fisheries management. The emphasis in activities during the first 15 months of the project is intended to quickly increase project visibility and catalytic role in the national fisheries policy debate, seize early reform and impact possibilities, and facilitate collaborative partnerships essential for effecting future reforms in national policies.

Table 4-2 gives a summary of the Fifteen Month Work Plan and Progress to Date (P = Preparatory Activities; C = Completed Activities; O = Ongoing Activities) for Task 2 - National Policy Framework Improvement for Fisheries Management.

Table 4-2. Fifteen-Month Work Plan and Progress to Date for Task 2 – National Policy Framework for Improved Fisheries Management.

ACTIVITY	2003	2004			
	Q4	Q1	Q2	Q3	Q4
National Implementation Activities					
Policy Analysis and Recommendations					
• Identify critical fisheries administrative orders for review and revision		P			
• Establish policy review and revision agreement with BFAR					
• Conduct review of one priority fisheries administrative order		P			
National Fisheries Fora and Briefs					
• Conduct national fisheries policy forum for policy makers and other stakeholders		P			
• Identify fisheries policy issues and review agenda					
• Develop and disseminate quarterly fisheries policy briefs		P			
National Fish Security Framework					
• Establish national stakeholder working groups to initiate formulation of the National Fish Security Framework					
• Develop framework development process and scope		P			

Table 4-2. Fifteen-Month Work Plan and Progress to Date for Task 2 – National Policy Framework for Improved Fisheries Management (continued).

ACTIVITY	2003		2004		
	Q4	Q1	Q2	Q3	Q4
Calamianes Islands					
• Identify critical national policy issues affecting local implementation				■	
Danajon Bank					
• Identify critical national policy issues affecting local implementation				■	
Surigao del Sur					
• Identify critical national policy issues affecting local implementation				■	
Tawi-Tawi					
• Identify critical national policy issues affecting local implementation				■	

The main accomplishments under Task 2 during the 1st Quarter of 2004 include the following:

4.2.1. Policy Analysis and Recommendations

- Initiated and completed the compilation of all BFAR Fisheries Administrative Orders (FAOs) which have been issued to date. This compilation consists of a total of 224 FAOs issued by BFAR covering the period from 1947 to the present. The compilation will serve as input to the process of identifying critical FAOs to be reviewed and revised in collaboration with BFAR.
- Completed initial screening of the 224 FAOs based on their relevance to improving fisheries management at the national and local level. An initial set of candidate FAOs for collaborative review and revision with BFAR has been identified. As soon as formal BFAR buy-in is secured, final screening of candidate FAOs for review and revision can start in an accelerated manner from the initial set identified. The task work plan calls for review and revision of one FAO during 2004.
- Initiated review of the Fisheries Code (Republic Act 8550) with emphasis on provisions requiring revisions or amendments consistent with international agreements and instruments to which the Philippines is a signatory. Initial discussions with key fisheries scientists (e.g., Dr. R. Juliano, Dr. E. Gomez, Dr. J. Ingles, Dr. R. Hermes, Mr. E. Alih, Mr. R. Trono) and industry experts (e.g., Mr. A. Tan, Mr. M. Paterno) have provided important perspectives which need to be considered in the review and revision process.
- Actively participated in the discussions about the moratorium on registration for commercial fishing vessels (FAO 223) and devolution of registration of fishing vessels 3GT and below to LGUs (EO 305) with the BFAR central office and BFAR regional offices.



4.2.2 National Fisheries Forums and Briefs

- Initiated discussions with key partners for the launching of the book "In Turbulent Seas: the Status of Philippine Marine Fisheries". This book, a major product of the Coastal Resources Management Project (CRMP), will be used by the FISH Project as a major base to build upon in conducting fisheries forums and preparing situational policy briefs for various target audiences. Preparations are under way for the book launching on 29 July 2004 in Manila in the presence of key stakeholders and policy makers with substantive media coverage. The book launching will serve as the first national fisheries forum to be conducted by the FISH Project.
- Completed the concept for "Rebuilding Benefits from Philippine Marine Fisheries – A Policy Brief". This will be the first in a series of policy briefs to be done by the FISH Project this year to build upon the results and recommendations from the book "In Turbulent Seas: The Status of Philippine Marine Fisheries". The brief will have policymakers and managers in government as main target audience. Intended to be completed during the 4th Quarter of 2004, the brief will be completed in time for the new administration officials coming in after the May 2004 elections.
- Initiated discussions with REECS for the formulation of the framework and strategies for a national fisheries licensing system. This study will feed into subsequent policy briefs and forums under the task, and serve as a major input to the formulation of the National Fish Security Framework. If discussions proceed as planned, REECS work on the licensing system should commence during the 3rd Quarter of 2004.

4.2.3 National Fish Security Framework

Per initial discussions with BFAR, the National Fish Security Framework will take the form of the National Medium-Term Fisheries Development and Management Plan. Among others, such plan should incorporate compliance with international fisheries protocols and mandates provided by the Fisheries Code (Republic Act 8550). Preparatory work related to formulation of the "plan to plan" has been initiated during the quarter.

4.2.4 Policy Issues Affecting Local Implementation

- The FISH Project "Workshop on Implementation and Partnership Protocols" in Tagaytay during 04-06 February 2004 allowed the generation of inputs from stakeholders from the four FISH Project target areas. Among others, policy issues impacting local fisheries management were discussed during the workshop sessions to supplement what is already documented in the target area profiles (FISH Project Doc. No. 04-FISH-2004). These policy issues will be revisited and verified further during the baseline assessment and profiling activities to be conducted in the four target areas, and subsequently used as input to finalizing the policy review agenda under the task.

- Initiated discussions with selected experts about key policy issues affecting fisheries management in the four target areas. These discussions have provided substantive insights into the fisheries situation in the four target areas and policies which need consideration given their perceived implications to local fisheries management.

4.2.5 Activities Not Accomplished and Why

Reviews of FAOs with the policy staff of BFAR and other policy discussions with various stakeholders were postponed due to the delay in the signing of the MOA with BFAR.

4.2.6 Issues and Lesson Learned

The FISH Project can contribute substantially in the review of various policies formulated by BFAR for marine fisheries.

4.3 Task 3 Constituency Building for Fisheries Management

Activities highlighted under Task 3 will provide support in three major areas. First, a project information, education, and communication strategy will be developed for multimedia education campaigns targeting different stakeholder groups. Broadcast (TV and radio) and print media will be used to raise awareness of the impacts of overfishing. Second, this task will encompass activities to engage stakeholders and build constituencies advocating for sustainable fisheries. Lastly, experiential learning opportunities will be developed including cross visits and showcasing to catalyze stakeholder participation and facilitate expansion and adaptation of successes and lessons learned.

4.3.1 Accomplishments

Table 4-3 provides a summary of the Fifteen Month Work Plan (shaded-areas) and Progress to date (C = Completed Activities; O = Ongoing Activities) for Task 3 - Constituency Building for Fisheries Management Component.



Table 4-3. Fifteen-Month Work Plan and Progress to Date for Task 3 – Constituency Building for Fisheries Management.

ACTIVITY	2003	2004			
	Q4	Q1	Q2	Q3	Q4
National Implementation Activities					
• Develop and print project brochure		●			
• Develop project approach for constituency building		●			
• Conduct project briefings for League of Corporate Foundations and other private sector entities		●			
• Develop and conduct national campaign for Month of the Ocean and Fish Conservation Week			■	■	■
• Develop popularized materials on state of fisheries and needed management actions based on National Fisheries Profile			■	■	■
• Maintain, update and improve the oneocean.org website		●			■
Calamianes Islands					
• Assist target area develop and conduct local campaign for Month of the Ocean and Fish Conservation Week		●			■
• Develop popularized materials on status of fisheries resources and needed management actions in target area based on results of baseline assessment				■	■
• Identify potential private-public partnerships for fisheries management, social infrastructure and economic development				■	■
Danajon Bank					
• Assist target area develop and conduct local campaign for Month of the Ocean and Fish Conservation Week		●			■
• Develop popularized materials on status of fisheries resources and needed management actions in target area based on results of baseline assessment				■	■
• Identify potential private-public partnerships for fisheries management, social infrastructure and economic development				■	■
Surigao del Sur					
• Assist target area develop and conduct local campaign for Month of the Ocean and Fish Conservation Week			■	■	■
• Develop popularized materials on status of fisheries resources and needed management actions in target area based on results of baseline assessment				■	■
• Identify potential private-public partnerships for fisheries management, social infrastructure and economic development				■	■

Table 4-3. Fifteen-Month Work Plan and Progress to Date for Task 3 – Constituency Building for Fisheries Management (continued).

ACTIVITY	2003	2004			
	Q4	Q1	Q2	Q3	Q4
Tawi-Tawi					
• Assist target area develop and conduct local campaign for Month of the Ocean and Fish Conservation Week					
• Develop popularized materials on status of fisheries resources and needed management actions in target area based on results of baseline assessment					
• Identify potential private-public partnerships for fisheries management, social infrastructure and economic development					

The activities accomplished under Task 3 for the 1st Quarter of 2004 include the following:

- Initiated discussions with key partners for the launching of the book “In Turbulent Seas: the Status of Philippine Marine Fisheries”.
- Initiated contact-building and coordination with Surigao del Sur Polytechnic State College (Liang and Tandag Campuses), Caraga Council for Economic Development, Bank of Cantilan Foundation, and other possible project partners in the area.
- Identify potential private and public partnership for fisheries management, social infrastructure and economic development.
- Discussions with fisheries and planning experts pertaining to plan scope and process of development have also been initiated.
- Exploratory talks with the Philippine National Police (PNP) on coastal law enforcement were conducted. Orientation meeting on FISH Project and exploratory talk on a Memorandum of Agreement with the PNP is scheduled next month.

4.3.2 Activities Not Accomplished and Why

Some IEC activities in the filed (Surigao) were not implemented due to the campaign period for the local and national election.

4.3.3 Issues and Lesson Learned

None



4.4 Task 4 Project Management and Performance Monitoring

Task 4 includes project management and performance monitoring activities, including baseline assessment. The FISH Project will establish a multisectoral FISH Project Consultative Group composed of representatives from BFAR, USAID, the National Economic and Development Authority (NEDA), Department of Environment and Natural Resources (DENR), the Department of Interior and Local Governments (DILG), commercial fishing and fisherfolk federations, Local Government Leagues, nongovernmental organizations, academe, media and other implementation partners. Representatives of the institutions and organizations to this group will be decision-makers who can guide the FISH Project implementation activities and make commitments from their respective organizations to change policies or programs that may derail the achievement of intended results. The Tetra Tech Team will not only facilitate these meetings but may also provide strategic technical assistance to this group in the form of policy studies and institutional assessments that could serve as inputs to reforms needed to provide an enabling policy and institutional environment for the FISH Project.

Table 4-4 provides a summary of the Fifteen-Month Work Plan (shaded areas) and Progress to Date (P = Preparatory Activities; C = Completed Activities; O = Ongoing Activities) for Task 4 - Project Management and Performance Monitoring.

Table 4-4. Fifteen-Month Work Plan and Progress to Date for Task 4 – Project Management and Performance Monitoring.

ACTIVITY	2003		2004			
	Q4	Q1	Q2	Q3	Q4	
National Implementation Activities						
Project Management						
• Mobilize project staff						
• Establish project office and protocols						
• Finalize Life of Project Plan and 1 st Year Work Plan						
• Establish project subcontracts						
• Conduct FISH Consultative Group and TWG meetings						
• Prepare Quarterly Reports (1 st , 2 nd and 3 rd Qtrs)						
• Prepare Annual Report (including 4 th Qtr)						
Baseline Assessment						
• Develop baseline assessment methodology						
• Meet with independent baseline assessment team to coordinate schedule and approach						
• Establish baseline statistics for performance indicators						

Table 4-4. Fifteen-Month Work Plan and Progress to Date for Task 4 – Project Management and Performance Monitoring (continued).

ACTIVITY	2003		2004		
	Q4	Q1	Q2	Q3	Q4
Performance Monitoring					
• Finalize performance monitoring plan and results framework		●			
• Develop performance monitoring database system		●			
Calamianes Islands					
Baseline Assessment					
• Conduct baseline assessment		●			
• Complete data analysis and baseline assessment report					
• Develop area profile					
• Conduct annual performance monitoring					
Danajon Bank					
Baseline Assessment					
• Conduct baseline assessment		●			
• Complete data analysis and baseline assessment report		●			
• Develop area profile		●			
• Conduct annual performance monitoring					
Surigao del Sur					
Baseline Assessment					
• Conduct baseline assessment		●			
• Complete data analysis and baseline assessment report					
• Develop area profile					
• Conduct annual performance monitoring					
Tawi-Tawi					
Baseline Assessment					
• Conduct baseline assessment		●			
• Complete data analysis and baseline assessment report					
• Develop area profile					
• Conduct annual performance monitoring					

The various activities accomplished under Task 4 for the 1st Quarter of 2004 include the following:

4.4.1 Project Management Activities

- Development of the plans began in early January 2004 with an in-house “FISH Project Vision and Planning Workshop” held in Cebu City. Target Area Coordinators, the Fisheries Management Specialist and the Coastal Resources Management Specialist presented their draft life-of-project work plan and first-year work plans and finalized.
- Operational plans covering the first seven months were drafted for each component covering each target site.
- The FISH Project “Workshop on Implementation and Partnership Protocols” in Tagaytay during 04-06 February 2004 allowed the generation of inputs from stakeholders from the four FISH Project target areas
- Recruited FISH Project site coordinators and assistants, established project office in Tagbilaran, Bohol; Coron, Palawan; Tandag, Surigao del Sur, and Bongao, Tawi - Tawi. Manila and Cebu, and procured equipment.
- The “FISH Consultative Workshop on Project Implementation Protocols” was held in Tagaytay City in early February where the target area work plans were presented to project partners to identify areas of cooperation.
- Conducted consultative meetings with staff and briefing on the project and implementation priorities.
- Conducted meetings with PATH Foundation Philippines, Inc. (PFPI) and Resources, Environment and Economics Center for Studies, Inc. (REECS) on their participation to the FISH Project.

4.4.2 Cost Control

Table 4-5 shows that total expenditures through March 21, 2004 amounted to 3.99 percent of the total budget with 11.67 percent of the total contract period elapsed. The estimated percent of expenditures by task as of March 21, 2004 is as follows:



Table 4-5. Summary of Percent of Total Expenditures by Task.

TASK	PERCENT EXPENDITURE AS OF MARCH 21, 2004
CLIN 1: National and Local Capacity Building for Fisheries Management	3.23
CLIN 2: National Policy Framework Improvement for Fisheries Management	3.83
CLIN 3: Constituency Building for Fisheries Management	0.51
CLIN 4: Project Management and Performance Monitoring	11.60
CLIN 5: Special Activities	0.00
TOTAL	3.99

Note: Expenditures include labor, travel and per diem, other direct costs, contractors and associated overhead costs.

4.4.3 FISH Project Staff and Individual Consultants Agreement

Summary of FISH Project staff and consultants who have provided support to various FISH Project activities from the period September 22, 2003 to March 31, 2004 are listed in the table below.

Table 4-6. Summary of FISH Project Staff and Individual Consultants, October 2003 to March 31, 2004.

ORGANIZATION/INDIVIDUAL	COMPLETION DATE	SERVICES
Individual		
Armada, Nygiel B.	Ongoing	Technical assistance as Fisheries management Specialist
Luna, Cesar Z.	Ongoing	Technical assistance on Coastal Resource Management
Tetra Tech EM Inc.		Position
Cabangon, Hermenigildo	Ongoing	Site Coordinator-Tawi-Tawi
Carreon, Marciano F. III	Ongoing	Chief of Party
Collantes-Jatulan, Ysolde	Ongoing	Graphic Artist
Courtney, Catherine A.	Ongoing	Technical assistance as Performance Monitoring Consultant
De Guzman, Sarah	Ongoing	Finance Officer
Dequito, Kent	Ongoing	Site Assistant - Tawi-Tawi
Escover, Emma M.	Ongoing	Deputy Chief of Party
Francisco, Benjamin	Ongoing	Site Coordinator-Calamianes



Table 4-6. Summary of FISH Project Staff and Individual Consultants, October 2003 to March 31, 2004 (continued).

ORGANIZATION/INDIVIDUAL	COMPLETION DATE	SERVICES
Guidote, Marlito N.	Ongoing	Technical assistance as Coastal Law Enforcement Specialist
Gulayan, Aniceta	Ongoing	Site Coordinator-Danajon Bank
Hilario, Vicencio	Ongoing	Driver
Jatulan, William P.	Ongoing	Institutional Development Specialist
Mancao, Roquelito	Ongoing	Site Assistant – Surigao del Sur
Pakzad, Amin	Ongoing	Finance Manager
Roxas, Rhowena	Ongoing	Administrative Assistant
Sadiwa, Dineth	Ongoing	Accountant
Santos, Kristine F.	Ongoing	Policy Research Associate
Silvestre, Geronimo T.	Ongoing	Senior Policy Specialist
Soliven, Raquel	Ongoing	Financial Assistant
Yambao, Alexis C.	Ongoing	Site Coordinator – Surigao del Sur

4.4.4 Activities Not Accomplished and Why

The establishment of the field office in Bongao, Tawi-Tawi was delayed because the Target Area Coordinator filed a leave of absence.

The BFAR's delay in signing a Memorandum of Agreement (MOA) with USAID, which would signify its official support for the Project, and in actively participating in the Project has prevented the commencement of some activities, particularly those under Task 2 – National Policy Framework for Improved Fisheries Management. Many activities under Task 2 require collaboration with BFAR. Thus, for this reporting period many activities under Task 2 were largely preparatory in nature. With regard to the other tasks, however, most activities have proceeded as scheduled.

FISH and USAID have engaged the BFAR in a continuing dialogue to facilitate the signing of the MOA. It is expected that this agreement will be signed and effected in the second quarter.



4.4.5 Issues and Lessons Learned

The huge expanse of the focal areas and the volume of technical and coordination activities required the FISH Project to hire additional field staff (Technical Assistants) to accomplish the target outputs.

Cultural differences have to be observed for smooth operation of the project at the field level.

4.5 Task 5 Special Performance Incentive Activities

The FISH Project will support or undertake special strategic activities to augment regular project activities. These are intended to:

- take advantage of new opportunities or special circumstances not currently evident to pursue the Project's objectives at relatively lower cost;
- catalyze or lead to new activities that will contribute to the attainment of the Project's objectives; and/or
- provide incentives for good performance of partners and stakeholder groups.

4.5.1 Accomplishments

Table 4-6 provides a summary of the Fifteen-Month Work Plan (shaded areas) and Progress to Date (P = Preparatory Activities; C = Completed Activities; O = Ongoing Activities) for Task 5 – Special Performance Incentive Activities.



Table 4-7. Fifteen-Month Work Plan Schedule and Progress to Date for Task 5 - Special Performance Incentive Activities.

ACTIVITY	2003	2004			
	Q4	Q1	Q2	Q3	Q4
National Implementation Activities					
• Develop performance incentive protocol and criteria					
• Conduct special activities to take advantage of new opportunities or special circumstances					
Calamianes Islands					
• Identify annual performance goals and incentives for local partners					
• Evaluate achievement of performance goals					
• Conduct special activities to take advantage of new opportunities or special circumstances					
Danajon Bank					
• Identify annual performance goals and incentives for local partners					
• Evaluate achievement of performance goals					
• Conduct special activities to take advantage of new opportunities or special circumstances					
Surigao del Sur					
• Identify annual performance goals and incentives for local partners					
• Evaluate achievement of performance goals					
• Conduct special activities to take advantage of new opportunities or special circumstances					
Tawi-Tawi					
• Identify annual performance goals and incentives for local partners					
• Evaluate achievement of performance goals					
• Conduct special activities to take advantage of new opportunities or special circumstances					

There were no accomplishments under Task 5 during the 1st Quarter of 2004 as activities under this task can only be identified once a significant portion of the 1st year target activities are implemented. This will allow implementers to gain better insights as to the basis for incentive packages will be and what strategic activities can be supported by resources under this task.

Discussions with BFAR on the MOA for the FISH Project has highlighted the need for strategic livelihood development activities. Such activities are being considered under this component.

4.5.2 Activities Not Accomplished and Why

None

4.5.3 Issues and Lessons Learned

None

5.0 Planned Performance Objectives for the Next Quarter

Performance objectives for the second quarter, April 1, 2004 to June 30, 2004, include the following:

- Baseline assessment in the focal areas in Calamianes and Surigao del Sur completed and baseline assessment activities in Danajon Bank and Tawi-Tawi initiated.
- Critical fisheries administrative orders (FAOs) identified for review and revision.
- Conduct of one national fishery policy forum for policy makers and stakeholders initiated.
- "Turbulent Seas" launched.
- Population/reproductive profiling activities and site specific work plans for one municipality in each of the four target areas are initiated.
- Development of popularized materials on state of fisheries and needed management actions initiated.
- Mobilization and operationalization of BFAR counterparts as well as other implementing partners.



APPENDIX A

**FISH PROJECT COLLABORATIVE ACTIVITIES WITH PARTNERS,
January 1 – March 2004**

APPENDIX Table A. FISH Project Collaborative Activities with Various Partners, January 1 – March 31, 2004.

PARTNER	COLLABORATIVE ACTION AND OUTCOME
USAID, BFAR	Meetings on the preparation of the MOA between DA/BFAR and USAID.
Conservation International (CI)	Meeting on possible collaboration, particularly in the Calamianes Islands
USAID, DAI/MERF	Meeting on Baseline Assessment
WWF	Discussion on possible project collaboration particularly in Tawi-Tawi
Various senior specialists	Discussions on the review of the Fisheries Code (Republic Act 8550) with emphasis on provisions requiring revisions or amendments consistent with international agreements and instruments
Various LGU officials in FISH focal areas, stakeholders and partners	FISH Consultative Workshop on Project Implementation Protocols, Tagaytay City
DAI/MERF	Presentation of the initial results of the baseline assessment of the independent consultant
PATH Foundation Philippines, Inc. (PFPI)	Discussion of PFPI's participation in the FISH population component
REECS	Meeting on REECS participation in the FISH Project policy component, particularly on preparation of licensing framework
JBIC-PCSD-SEMP NP	Extensive discussions on collaboration in the establishment of MPAs were conducted with the JBIC-funded PCSD-SEMP of Northern Palawan Project. Areas of cooperation included the conduct of joint MPA resource assessment and planning, sharing of information and cooperation in the conduct of MPA related training for the community and LGU.
BFAR-RFTC	The RFTC has requested support from the FISH Project in the conduct of various law enforcement trainings for fish wardens of the four Calamian municipalities.
SHELLEX	Initial discussions with ShellEx Foundation in Puerto Princesa indicate the feasibility of tapping into the Foundation's resources for livelihood support activities in the Calamianes. Submission of a project proposal for finfish mariculture in Culion has been suggested.
PRO-CRM	The Palawan Provincial CRM Unit has agreed to field its CRM and livelihood support team in specific areas of collaborative work with the FISH in the Calamianes.
BFAR, DILG, DENR, NGOs, Bohol Provincial Offices	Conducted briefing and courtesy calls about FISH Project with main key partners
Bohol Environment Management Office, DILG, DENR, BTO, OPA etc.	Consultation Meetings with the Provincial Technical Working Group
UNESCO	Attend consultation meeting and workshop in Tagbilaran City
LGU Talibon, Tubigon and Ubay	Conducted courtesy calls and briefing on FISH Project
LGU Bien Unido, Carlos P. Garcia	Conducted orientation meeting and attend SB session
Project Seahorse	Participation in Meetings
BFAR Regional and Provincial Office	Participation in Consultation meeting with Commercial Fishing operators, MARINA Office
All LGUs within the Focal in Danajon Bank, DENR, DILG, PNP and BEMO,	Conducted Fisheries Mgt. Assessment and planning Workshop
All LGUs in the focal sites in Danajon Bank	Conduct hiring and orientation of field Enumerators for the baseline assessment
DAI/UPMSI/Eco Gov, LGU -Talibon	Conduct field testing on tools and methods on baseline assessment
Provincial Government of Surigao del Sur	Coordination with the Provincial Government, Provincial Administrator, Provincial Planning and Development Office, and <i>Sangguniang Panlalawigan</i> about the goals and components of FISH Project
BFAR-Provincial Fisheries Office, Surigao del Sur	Coordination and orientation about the goals and components of FISH Project
Philippine National Police, Surigao del Sur	Conducted Project Orientation with Surigao del Sur Provincial Police Office
DENR CENRO-Tandag, CENRO Cantilan	Coordination and discussion about the goals and implementation strategies of the FISH Project.

APPENDIX Table A. FISH Project Collaborative Activities with Various Partners, January 1 – March 31, 2004 (continued).

PARTNER	COLLABORATIVE ACTION AND OUTCOME
LBDA	Initiated coordination and project orientation The LBDA is an alliance of seven municipalities, namely Carrascal, Cantilan, Madrid, Carmen, Lanuza, Cortes and Tandag.
Phil-Canada Local Government Support Program, Surigao del Sur	Coordination and discussion with the Philippine-Canada Local Government Support Program (LGSP) about the goals and implementation strategies of the FISH Project Attendance to the Philippine-Canada Local Government Support Program (LGSP) Closing Ceremony and Partners' Bndging Forum
Haribon Foundation, Suigao del Sur	Coordination and discussion about the goals and implementation strategies of the FISH Project
Caraga Council for Economic Development	Coordination and discussion about the goals and implementation strategies of the FISH Project
Bank of Cantilan Foundation	Coordination and discussion about the goals and implementation strategies of the FISH Project
Surigao del Sur State Polytechnic College (Tandag and Lianga Campuses)	Coordination and discussion about the goals and implementation strategies of the FISH Project
Department of Agriculture-Bureau of Fisheries and Aquatic Resources (DA-BFAR)-ARMM Provincial Office, Tawi-Tawi	Conduct courtesy call and level off with regards to the activities to be implemented
Department of Environment and Natural Resources (DENR)-ARMM Provincial Office, Tawi-Tawi	Conduct courtesy call and present the activities of the project
Department of Trade and Industry (DTI) Provincial Office, Tawi-Tawi	Conduct courtesy call and present the activities of the project
Provincial Health Office, Tawi-Tawi	Conduct courtesy call and discuss population/reproductive health activities
Bongao Municipal Agriculture Office	Conduct courtesy call and present the activities of the project
Department of Interior and Local Government (DILG) Provincial Office, Tawi-Tawi	Conduct courtesy call and present the activities of the project
Philippine Coast Guard, Tawi-Tawi	Conduct courtesy call and discuss for possible collaboration in law enforcement activities of the project
Mindanao State University	Conduct courtesy call and discuss activities on baseline assessment and possible collaboration
Tawi-Tawi School of Fisheries	Conduct courtesy call and discuss activities on baseline assessment and possible collaboration
Philippine Marines	Conduct courtesy call and discuss for possible collaboration in law enforcement activities of the project (K. Dequito).
Philippine National Police (PNP-Maritime) Provincial Office, Tawi-Tawi	Conduct courtesy call and discuss for possible collaboration in law enforcement activities of the project
Department of Education-ARMM – Provincial Office, Tawi-Tawi	Conduct courtesy call and discuss for possible collaboration in Information, Education, and Communication activities of the project
Notre Dame of Bongao	Conduct courtesy call and discuss for possible collaboration in Information, Education, and Communication activities of the project
WWF Project, Bongao	Conduct courtesy call and discuss project activities and for possible collaboration
MUFTI	Conduct courtesy call and for possible collaboration
GEM Project, Bongao	Conduct courtesy call and for possible collaboration