

SEMI-ANNUAL PERFORMANCE PROGRESS REPORT NO. 1

**DECEMBER 4, 2001 TO
MAY 30, 2002**

Prepared for the Office of the United States Agency for International Development (USAID) under Contract Number PCE-I-00-99-00002-00

This project is implemented by Development Alternatives, Inc. with the support of its subcontractors:

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Resources, Environment and Economics Center for Studies, Inc.
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June 27, 2002



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ECOGOVERNANCE PROGRAM
Semi-Annual Performance Progress Report No.1
December 2001-May 2002

Development Alternatives, Inc.
AID Contract No. PCE-I-00-99-00002-00

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PREFACE

This is the first Semi-Annual Performance Progress Report of the DAI Technical Assistance Team for the Environmental Governance Project of the USAID/DENR (Contract Number PCE-I-00-99-00002-00), covering the period from December 4, 2001 to May 30, 2002. The report follows the format provided by the October 1996 USAID “Guideline for Performance Reports”.

Section A of this report contains the narrative portion, which includes Background Information, Expected Results, Current Core Activities, Buy-ins and Subcontracting Arrangements, Performance as well as Key Issues and Concerns. Section B contains administrative information or contract data.

Annex A presents data and maps on LGU targets in the EcoGov regions in Mindanao and the Visayas, the extent of LGU participation in the Interactive Assemblies in Mindanao, and the status of assistance being extended at present to selected LGUs. It also provides a list of potential Local Service Providers (Institutional) in Mindanao and Central Visayas.

Annex B presents a summary of the Level of Effort utilized during the first six months of EcoGov and the project accruals for the same period.

Rene de Rueda
Acting Chief of Party

June 28, 2002

This Document was completed through the assistance of the United States Agency for International Development (USAID). The views expressed and opinions contained in this report are those of the authors and are not intended as statements of policy of either the USAID or the authors’ parent companies.

ACRONYMS

| | |
|--------|---|
| AGILE | Accelerating Growth Investment and Liberalization with Equity |
| AMORE | Alliance for Mindanao Off-Grid Renewable Energy |
| AP | Assisting Professionals |
| APDSF | ARMM Peace and Development Social Fund |
| ARMM | Autonomous Region of Muslim Mindanao |
| BFAR | Bureau of Fisheries and Aquatic Resources |
| CBFM | Community-Based Forest Management |
| CENRO | Community Environment and Natural Resources Office |
| CIDA | Canadian Agency for International Development |
| CRM | Coastal Resource Management |
| CRMP | Coastal Resource Management Program |
| DA | Department of Agriculture |
| DAI | Development Alternatives, Inc. |
| DAO | Department Administrative Order |
| DENR | Department of Environment and Natural Resources |
| DILG | Department of Interior and Local Government |
| DOST | Department of Science and Technology |
| ESSC | Environmental Sciences for Social Change |
| FARMC | Fisheries and Aquatic Resources Management Council |
| FLUP | Forest Land Use Planning |
| FMB | Forest Management Bureau |
| FRMP | Fishery Resources Management Project |
| GEM | Growth with Equity in Mindanao |
| GOP | Government of the Philippines |
| IA | Interactive Assembly |
| IBRA | Illana Bay Regional Alliance |
| ICC | Indigenous Cultural Communities |
| ICLARM | International Center for Living Aquatic Resources Management |
| IEC | Information, Education and Communication |
| IRR | Implementing Rules and Regulations |
| ISWM | Integrated Solid Waste Management |
| JICA | Japanese International Cooperation Agency |
| KAP | Knowledge, Awareness, Practice |
| LCE | Local Chief Executive |
| LEAP | Livelihood Enhancement and Peace Program |
| LGSP | Local Government Support Program |
| LGU | Local Government Unit |
| LMP | League of Municipalities of the Philippines |
| LOE | Level of Effort |
| LOI | Letter of Intent |
| LSP | Local Service Provider |
| LTTA | Long-Term Technical Assistance |
| MFPC | Multi-Sectoral Forest Protection Committee |

| | |
|--------|--|
| MIS | Management Information System |
| MISD | Management Information Systems Division |
| MOA | Memorandum of Agreement |
| MPA | Marine Protected Areas |
| MSU | Michigan State University |
| NAMRIA | National Mapping and Resource Information Administration |
| NGA | National Government Agencies |
| NGO | Non-Government Organization |
| NIPAS | National Integrated Protected Area System |
| OIDCI | Orient Integrated Development Consultants, Inc. |
| PAWB | Protected Area and Wildlife Bureau |
| PCAMRD | Philippine Council for Aquatic and Marine Research and Development |
| PENRO | Provincial Environment and Natural Resources Office |
| PIA | Public Information Agency |
| PMO | Project Management Office |
| PO | People's Organizations |
| RA | Republic Act |
| RCO | Regional Contracting Officer |
| REECS | Resources, Environment and Economics Center for Studies, Inc. |
| REFG | Regional EcoGov Focal Group |
| RLA | Regional Legislative Assembly |
| RTD | Roundtable Discussion |
| SB | Sangguniang Bayan |
| SFMA | Sustainable Forest Management Act |
| SP | Sangguniang Panlalawigan; Sangguniang Panglungsod |
| STTA | Short-Term Technical Assistance |
| SWAPP | Solid Waste Management Association of the Philippines |
| TA | Technical Assistance |
| TAG 2 | Transparent Accountable Governance Project (Phase II) |
| TAP | Transparency, Accountability and Participatory Decision-Making |
| ToP | Technology of Participation |
| TWG | Technical Working Group |
| UP | University of the Philippines |
| USAID | United States Agency for International Development |
| WB | World Bank |

SEMI-ANNUAL PERFORMANCE REPORT

ECOGOVERNANCE PROJECT

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| Contractor: | Development Alternatives, Inc. |
| Contract No.: | PCE-I-00-99-00002-00 |
| Reporting Period: | December 4, 2001 to May 30, 2002 |

A. Narrative

1. Background

Project Goals and Objectives: The EcoGovernance (EcoGov) Program supports the goal of the Government of the Philippines (GOP) and of the United States Agency for International Development (USAID) of revitalizing the economy by fostering better management of natural resources that provide key inputs to the long-term economic development of the country.

The Program will address critical threats to the country's coastal resources and forests, primarily overfishing and use of destructive fishing practices, and illegal cutting and conversion of natural forests. It will also strengthen the ability of Local Government Units (LGUs) and communities to implement integrated solid waste management (ISWM). The Program will build and strengthen governance policies, processes, and practices - transparency, accountability, and participatory decision-making (TAP) systems - at the national and local levels to improve the management of coastal and forest resources and solid wastes. Specifically, it will promote informed decision-making processes; open, participatory and socially-negotiated allocation of rights to natural resources; clear lines and centers of responsibility, accountability and authority; effective enforcement of laws and regulations with strong participation of LGUs and civil society; and increased allocation and prioritized re-alignment of national and local resources to support improved management of coastal and forest resources and solid waste.

In collaboration with other projects and institutions and focusing on three priority regions (mainly Mindanao with emphasis on conflict-affected areas, central Visayas and Northern Luzon), EcoGov seeks to strengthen the ability of LGUs to provide basic environmental services. Thus, EcoGov will prioritize support to LGUs for training, assessment and analysis, on-site assistance, coalition and network building, information, education and communication (IEC) and advocacy, passage of local ordinances, and local

planning and budgeting. LGUs can participate in EcoGov through a process of self-selection.

Level of Effort (LOE): Approximately 8,477 work-days for the 30-month period

Total Estimated Cost: \$4.946 M (ceiling price) of which \$1.8M is the obligated amount

Coverage: Western and Central Mindanao (primarily Regions 9, 12, Autonomous Region of Muslim Mindanao or ARMM and parts of Region 10), Central Visayas and Northern Luzon. At least 60% of the level of effort will be in Mindanao while Central Visayas and Northern Luzon will be allocated 25% and 15%, respectively.

2. Expected Results

EcoGov is expected to increase forest cover, as a result of closing “open access” forests and forestlands; increase the area of coastal resources under improved stewardship and management, including community-managed marine sanctuaries; and increase the adoption of ISWM. In the process of responding to the foregoing concerns, EcoGov is also expected to strengthen, develop and build sound governance policies, processes and practices at the national and local levels to sustain the management of coastal resources, forests, and solid wastes.

The current Delivery Contract of the Development Alternatives, Inc. (DAI) provides these indicative targets:

- 180,000 ha of forests and forestlands under community-based forest management (CBFM)
- 250 km of shorelines under improved coastal resources management (CRM)
- at least 50 new community-based marine sanctuaries established, covering over 500 ha
- at least 13 LGUs with ISWM plans developed, approved and implemented
- at least 15% of waste stream in target LGUs reduced, diverted or recycled

Other EcoGov results are:

- improved policies at the national and local levels that support sound governance of coastal resources, forests, and solid waste; allow greater stakeholder participation in the enforcement of laws, regulations, and ordinances; and encourage environment-friendly public and private investments
- increased local capacity for the delivery of environmental services that promote transparency, accountability and participatory decision-making
- increased awareness of the critical environmental issues that could lead to greater political will and motivation to find solutions, and prioritize and realign national and local resources.

3. Core Activities, Buy-Ins and Sub-Contracting Arrangements

a. Core Activities

The project's activities in the first six months focused on the mobilization of the Technical Assistance (TA) Team, the establishment of the Mindanao operations and the preparation of the design elaboration. The latter describes how the Delivery Order will be carried out as it provides the 30-month general work plan of the project and a more detailed plan for Year 1.

The EcoGov Executive Committee approved the Design Elaboration and Work Plan in April 4, 2002. The said document highlights the need to address both the "supply" and "demand" sides of environmental governance. That is, EcoGov will help improve the capacity and capability of LGUs and the Department of Environment and Natural Resources (DENR), and the Department of Agriculture/Bureau of Fisheries and Aquatic Resources (DA/BFAR) to "supply, deliver or give" timely, appropriate and effective environmental management services to their constituents. At the same time, it will create and establish facilitating mechanisms that will intensify public awareness, and enable stakeholders to organize and express their demand for environmental services.

The work plan reflects a three-prong approach to achieving EcoGov objectives. Policy analysis, assessment and training, TA, IEC/advocacy and coalition building are thus organized along three action paths. Each action path and the priority activities are described below:

Action Path 1 - Policy and Institutional Development: Strengthen and lay the foundation of a supportive policy and institutional environment for the sound governance of coastal resources, forests and solid waste.

Support to Environmental Legislation

- The EcoGov will review and analyze current drafts of the proposed Sustainable Forest Management Act (SFMA), provide recommendations that will enhance governance provisions, and provide guidance on how these provisions should be incorporated into the draft law.
- The EcoGov will review and analyze key environmental legislations on forestry, fishery, and solid waste including their respective implementing rules and regulations (IRR). Using various analytical tools and approaches, the review will focus on identifying gaps and inadequacies of provisions with respect to TAP. Moreover, EcoGov will carry out a limited review and analysis of how current governance provisions in laws and their IRRs are practiced, carried out, and supported during implementation.
- Based on the review, the EcoGov will: (a) provide recommendations on how to improve TAP systems in existing legislation and their IRRs; (b) provide support

for the adoption of the recommendations such as helping draft the policies and ordinances, and follow-up to obtain endorsements, approvals, and issuances; (c) provide expert advise on how the improved governance provisions are going to be programmatically funded and supported at the national and local levels; and (d) provide expertise for the translation of the governance policy instruments into easy to understand, simple, and doable “service standards” contained in manual of operations.

Policy Advocacy and IEC.

- The EcoGov will develop a strategic advocacy program to build and rally broad political support for the passage of the recommended provisions to the proposed SFMA
- In collaboration with DENR, DA/BFAR, coalition groups, civil society, and other donor-funded projects, EcoGov will develop and implement a strategic advocacy and IEC program to: (a) support the issuance of a joint memorandum circular adopting the National Marine Policy Framework; and (b) gain broader stakeholder and wider public support for the implementation of DENR DAO 17.

Action Path 2 – Assistance to LGUs and communities in collaboration with DENR, DA/BFAR, other relevant donor-funded projects and concerned line agencies: EcoGov will assist LGUs, DENR, and other concerned agencies improve their governance policies and practices as they implement measures to reduce critical threats to coastal and forest resources and deliver ISWM services.

TA to LGUs and DENR.

- The EcoGov will identify key entry points, strategies, and priorities for improving the governance of coastal resources, forests, and solid waste; present and discuss these entry points during orientation meetings, interactive assemblies, meetings with interested LGUs and local stakeholders; and obtain expression of interests and commitments from the LGUs to participate and collaborate with EcoGov.
- The EcoGov will strengthen the capacities of self-selected LGUs and DENR field offices to adopt improved governance practices as they assist, deliver services, and empower communities and other local stakeholders reduce critical threats to coastal and forest resources and improve solid waste management. EcoGov will: (a) provide core training modules to interested LGUs and DENR field staff; (b) organize follow-up focused group discussions, meetings, small workshops, action planning exercises, etc.; and (c) as demanded by the LGUs, engage local service providers or LSPs (individuals or institutions) to undertake further analyses, assessment, training, on-site and hands-on assistance as LGUs implement their work plans.

Support System for Participating LGUs.

- EcoGov will assist participating LGUs develop and implement local IEC/advocacy programs to support improved governance of coastal resources, forests, and solid wastes. Other support may include training, conduct of promotional activities, public awareness campaigns at the local level, establishing linkages and securing information from concerned national government agencies and other resource institutions (e.g., BFAR, National Mapping and Resource Information Authority or NAMRIA), and assistance to regional bodies (e.g., ARMM) to undertake initiatives that support good governance of coastal resources, forests, and solid waste by the LGUs.
- TheEcoGov will periodically provide participating LGUs with national policy updates, and best practices in the governance of coastal resources, forests, and solid waste.

Strengthening of and promotion of good governance of coastal resources, forests and solid waste within DENR.

- Based on the results and recommendation from Action Path 1, EcoGov will: (a) provide capacity building support to DENR (national and field offices) in disseminating and implementing good governance provisions under current policies in coastal resources, forests, and solid wastes; and (b) assist in obtaining increased operational support for implementing good governance practices.
- EcoGov will also assist DENR develop service standards, due diligence protocol for its offices and personnel, and appropriate rewards and incentive systems for adopting improved governance practices.

Action Path 3- Develop supportive coalitions: The EcoGov will support and collaborate with different sectoral entities, civil society groups, federations, leagues, and coalitions to increase public awareness on the institutional accountability of LGUs and DENR in the provision of basic environmental services. EcoGov will seek strategic partners and allies to: (a) effectively disseminate policies and best practices of ecogovernance; (b) help organize, strengthen, and support stakeholders to advocate for changes of existing policies, budgetary processes and allocation of financial resources at the national and local levels; and (c) provide an independent assessment of governance practices by the LGUs and DENR.

- ***Linkages with related projects.*** The EcoGov will explore areas of complementation, collaboration, and partnership with other USAID-funded projects such as the Coastal Resources Management Program (CRMP), Growth with Equity in Mindanao (GEM), Livelihood Enhancement and Peace Program (LEAP), Accelerating Growth Investment and Liberalization with Equity (AGILE), and Alliance for Mindanao Off-Grid Renewable Energy (AMORE) and other donors like the Canadian Agency for International Development

(CIDA) and World Bank (WB) especially in: (a) pooling technical assistance support to strengthen capacities of LGUs; (b) leveraging public and private investments; (c) community enterprises; (d) IEC/advocacy; (e) enforcement; and (f) documenting and sharing of implementation experiences and lessons. Partnership arrangements will be established particularly with the Transparent Accountable Governance (TAG) Project-Phase II of the USAID for the joint delivery of services and monitoring and evaluation of LGU performance and to widen public support for good ecogovernance

- ***Collaboration with leagues of local governments.*** The Project will seek the cooperation of the leagues of local governments in disseminating good governance policies and practices; in advocating proposed changes in policies and raising key national and local issues on governance of coastal, forests, and solid waste; in resolving conflicts between and among various LGUs (barangays, municipalities, and provinces); in conducting peer reviews and performance evaluation of participating LGUs; and in formulating an incentive and award system for LGUs with excellent governance practices.
- ***Coalitions.*** The EcoGov will support and strengthen various coalition groups, legitimate civil society groups, media groups, federations of peoples organizations, and other associations of key stakeholders such as the association of furniture makers, Solid Waste Management Association of the Philippines (SWAPP), Fishery and Aquatic Resources Management Councils (FARMCs) for the purposes of holding LGUs and DENR accountable for their mandate and commitments, for information dissemination and advocacy support, and for resource mobilization.

b. Buy-Ins

Based on perceived benefits from improved governance and what the EcoGov can provide in terms of technical assistance, self-selected LGUs in priority regions are expected to commit and mobilize internal resources (organization/staff, funding, others) to address critical threats to coastal resources and forests and improve solid waste management. Participating LGUs will fund their travel in attending training modules and provide counterpart support in carrying out activities that will improve ecogovernance.

c. Sub-Contracting Arrangements

The DAI is executing the contract with two local and four American subcontractors.

- Orient Integrated Development Consultants, Inc. (OIDCI)
- Resources, Environment and Economics Center for Studies, Inc. (REECS)
- Michigan State University (MSU)
- Winrock International
- Abt Associates, Inc.

- Management Systems International

The DAI will have management responsibility for grants and subcontracts that will be awarded to NGOs, academic institutions and people's organizations (POs).

4. Performance

Highlights for the First 6 Months

- EcoGov has mobilized its long-term TA (LTTA) and most of the local short-term TA (STTA). The Mindanao and Central Visayas Offices have been established in Cotabato City and Cebu City, respectively. DENR support to regional operations has been established with the creation of a Regional EcoGov Focal Group (REFG) in each of the target regions in Mindanao and the Visayas.
- Procedures, criteria and operating guidelines for the selection of LGUs; identification, packaging and delivery of services to LGUs; LSP selection and contracting; and contracts and grants management have been drafted. The initial activities in Mindanao and Central Visayas are being guided by these draft guidelines, although they still need to be finalized and manualized.
- Linkages with various support agencies, resource institutions, and complementary projects have been initiated to identify areas for collaboration. These, however, have mainly been explanatory; firm agreements are expected next year.
- The proposed SFMA has been reviewed jointly with the Forest Management Bureau (FMB) and agreement has been reached on 7 points for revision/inclusion in the Act. The proposed amendments have, in fact, been drafted and submitted to the Secretary and Congress.
- In Mindanao, a total of 27 LGUs have formally indicated their intent to participate in EcoGov. This is the result of the 4 interactive assemblies in Mindanao and further consultations with the LGUs. Scoping and/or detailed planning have been started by the TA Team in 18 of these LGUs.
- The ARMM Legislative Assembly has adopted a policy agenda supporting environmental governance. It includes the formulation of a Forestry Code for ARMM, the adoption and localization of RA 9003, and the adoption and application of DAO 17. A Technical Working Group (TWG) has been constituted and it is currently drafting the Regional SFMA, the top priority in its agenda.

Mobilization and Work Plan Preparation

- All the LTTAs and most of the local STTAs assigned to Manila and Mindanao Offices are on board. The central EcoGov Office is temporarily located at the Visitors' Center of the Protected Areas and Wildlife Bureau (PAWB). The Mindanao EcoGov Office (called the Green Office) has been established in Cotabato City while that for Central Visayas is located at the DENR Regional Office in Cebu City. There is a plan for the Mindanao Office to establish a sub-office in Zamboanga City to service the LGUs in Region 9 and the island provinces of ARMM. These offices are at present equipped mainly with commodities provided by USAID from its completed projects.
- Coordination linkages with the DENR central and regional offices have been established. The DENR has created a Project Management Office (PMO) for EcoGov and has assigned staff as counterparts to the TA Team. Each of the DENR Regional Offices has formally formed a Regional EcoGov Focal Group (REFGs), which are presently working closely with the Regional TA Teams. In Central Visayas, the REFG is headed by the Asst. Regional Director for Operations and include the division heads of the regional office.
- A series of TA meetings and workshops were held to prepare the 30-month and Year 1 EcoGov work plans that were attached to the Design Elaboration. Subsequent to its approval, more detailed activity plans were prepared by each sub-team.
- In response to a letter from the Regional Contracting Officer (RCO) of USAID, the DAI drafted a proposal addressing USAID's call for the intensification of overall Program efforts, the expansion of the geographic scope of EcoGov and the incorporation of a 2 million dollar small subcontracts/grants component. The proposal outlined a revised approach and included a revised budget, a modified staffing plan, and a request for the procurement of vehicles. The proposal was submitted by DAI to USAID on May 24, 2002. The approval of the budget modification and the amendment of the EcoGovernance Delivery Order had remained outstanding as of May 30.

Other Preparatory Activities/Start-up Support to Regional Operations

- An EcoGov leaflet and several EcoGov PowerPoint presentation materials have been produced for use in briefings for DENR, LGUs, LSPs, media, League of Mayors and visiting officials of the US Government. The Advocacy and IEC Team has had exploratory discussions with potential partners for advocacy and IEC (e.g., Philippine Information Agency, ABS-CBN Mindanao, *Bantay Kalikasan*) and some private groups for the development and production of promo materials and IEC-related research. A meeting has also been held with the Management Information System Division (MISD) of DENR regarding the

establishment of an EcoGov website. The DENR agrees to host the website but the development and updates will have to be the responsibility of the TA Team.

- Discussions with BFAR regarding areas of possible collaboration on the CRM program were initiated. A meeting was also held with Department of Science and Technology- Philippine Council for Aquatic and Marine Research and Development (DOST-PCAMRD) and International Center for Living Aquatic Resources Management (ICLARM) to explore CRM-related training courses that are available (e.g., Integrated Coastal Management for Local Chief Executives).
- The Technical Specialists and Regional Team Leaders undertook the preparation of the design of the thematic and integrated Interactive Assemblies (IAs) for Mindanao and the selection of appropriate materials/handouts.
- The Team mapped out the process flows for the evaluation, accreditation and deployment of LSPs and for the selection of LGU-partners. The operational guidelines and evaluation criteria have likewise been drawn up, including the forms for LSP and LGU profiles and self-assessment. These guidelines and procedures are now guiding the Team in the conduct of post-IA activities. A final review is scheduled in July 2002 prior to their translation into promotion materials and operations manuals.
- From the initial analysis of Mindanao LGUs, the TA Team identified the TA services for each sector (i.e., CRM, uplands and ISWM) that LGUs can avail of under the project. These services have been developed into modules, from which TA packages that will suit the requirements of specific LGUs can be developed. For each module, the Team has defined the scope of TA services, the specific outputs to be produced, the governance mechanisms that are applicable, and the estimated level of effort and costs. These TA modules are still under review and are programmed for finalization also in July this year.
- The Technical Specialists have identified the set of training programs that will be organized for qualified LSPs and DENR counterpart staff. This will provide the opportunity for the Technical Specialists, the Regional TA Teams, LSPs and DENR to level off on the technical and governance requirements of project interventions and thus ensure consistent and quality services to LGUs. The training programs, which will be designed and implemented under the direct supervision of the Technical Specialists, will be held prior to the deployment of the LSPs.
- The Operational Guidelines for the EcoGovernance Contracts and Grants Program was drafted and presented to the EcoGov Executive Committee last April 4. The draft guidelines outline the proposed activities that may be supported by grants, the types of grants that may be extended, the scope of the grant, and how it may be administered. These draft guidelines are for further review.

- A group composed of the Technical Specialists and Resource Economists prepared and submitted to USAID a conceptual paper entitled “Cost Benefit Assessment of Good Environmental Governance in Managing Forests and Forest Lands, Coastal Resources and Solid Waste.” This paper discusses the results of a preliminary economic analysis of implementing environmental governance approaches in forest and coastal resources and ISWM using a municipality as a unit of analysis. Costs and benefits are viewed from a societal rather than from a private individual perspective. The assessment focuses on various sound governance approaches to deal with such threats as illegal forest cutting, and forest conversion, illegal fishing and overfishing, and delivery of efficient solid waste management services.
- In preparation for setting up a database for the EcoGov, the compilation of basic data requirements (primarily physical, socio-economic and institutional data) on the LGUs in the target regions has been initiated. A survey instrument has been prepared to capture these data needs. Arrangements for the acquisition of some statistical data, studies and maps are being explored.
- The preparation of the overall performance monitoring plan is in progress. A set of intermediate output/outcome indicators, and process milestones have been proposed for the different sectors and for the three governance elements (TAP) and these will be firmed up upon finalization of the TA processes and packages for LGUs. Self-assessment and profiling forms are being tested in the regions; this should indicate the availability of data for benchmarking and the appropriateness of some of the indicators. The ongoing validation/scoping activities in selected LGUs are expected to provide some bases for projecting the targets that can realistically be achieved under EcoGov. The performance monitoring plan will be submitted next quarter.

Policy and Institutional Dev’t (Action Path 1)

- The Advocacy/IEC and Policy Teams organized the first of a series of national policy roundtable discussions (RTD) last Feb 15, 2002 (Theme: Governing the Environment). This activity was co-sponsored by the DENR, the UP National College of Public Administration and Governance and the League of Municipalities of the Philippines (LMP). Preparations are underway for the second national policy RTD, which is to be held in June 2002 (Theme: Innovations in Philippine Governance).
- The Policy Team has linked up with the FMB of the DENR for the review of the pending SFMA, CBFM, the National Integrated Protected Areas System (NIPAS) Act and other pertinent laws and the inclusion of good ecogovernance provisions. Small round table discussions were subsequently held last May 3 and May 20 at the FMB to identify and discuss the package of ecogovernance and other provisions that need to be incorporated into the SFMA. Seven points were

proposed: a) the incorporation of specific provisions on TAP in DENR and LGU decisions and actions on forests; b) recognition by policy that forests are an essential component of environmental and hence national security; c) calibration of forestry import tariffs against Philippine commitments in international agreements and on domestic production from plantations; d) creation of national and regional Forestry Adjudication Boards; e) strengthening and institutionalization of Multisectoral Forest Protection Committees (MFPCs) from municipal to national level; f) joint DENR and Department of Agriculture (DA) jurisdiction over rangelands; and g) FMB as a line bureau. The proposals on these have been drafted and submitted to the DENR Secretary and Congress.

- In relation to the above activity, a DENR Special Order was drafted for the creation of the Policy Preparation and Review Teams for the EcoGov. The Special Order specifies the procedures to be followed prior to the submission of any draft policy related to ecogovernance to the Office of the DENR Secretary.
- Groundworking and initial discussions have been held with potential partners for SFMA advocacy. This includes *Bantay DENR*, the Philippine Working Group, and the Environmental Sciences for Social Change (ESSC) of Ateneo de Manila. For the promotion of DAO 17, the Advocacy and IEC Team of EcoGov has also initiated discussions with NGOs for Fisheries Reform.
- Policy support for CRM and ISWM are being worked out. RA 9003 or the Ecological Solid Waste Management Act and its IRR have been reviewed to identify the policy constraints to its implementation by LGUs and the appropriate governance provisions that may be incorporated into the IRR. For coastal policy, there has been preliminary discussions with *Tanggol Kalikasan* on possible collaboration to review and conduct policy studies related to fishery permits and licensing systems.

Support to LGUs, DENR and other National Agencies (Action Path 2)

Mindanao Operations

- Last February 27, a briefing on EcoGov was held for the ARMM Governor and other senior ARMM officials by USAID's Mr. Jerry Bisson and the TA Team. Subsequently, a draft Covenant of Support for the EcoGov project to be signed by the Secretaries of the DENR, DA, the Department of Interior and Local Government or DILG, and the Governor of ARMM was prepared. A Memorandum of Agreement (MOA) between the ARMM and the DENR ARMM and DENR Central Office was also drafted and forwarded to the ARMM Governor for review.
- The Mindanao TA Team has been conducting field investigations and consultations with LGUs, NGOs and related projects in the covered regions to identify the LGUs, which could be potential participants of EcoGov. Their

preliminary analysis was used as basis for inviting LGUs to the first round of IAs in Mindanao. The Team has since then been refining the list of potential LGUs based on the results of the IAs, follow-up consultations with LGUs and on additional data provided by the Technical Specialists (e.g., inventory of Marine Protected Areas or MPAs in Mindanao). The indicative target for Mindanao now covers 57 LGUs, comprising 21% of the total municipalities and cities in the target regions in Mindanao. The provincial distribution of these potential LGUs is shown in Table A.1 of Annex A. They are also shown in maps in Figures A.1 to A.5.

- Thus far, 4 IAs have been conducted in Mindanao for LGUs. These served as venue for LGU participants to better understand the concept of environmental governance and share experiences on the different resource management themes. The IAs were held in different parts of the target regions (CRM IA in Cotabato City, Uplands IA in Marawi City, ISWM IA in Koronadal City and the Integrated IA in Zamboanga City) and were participated in by officials from 46 municipal/city LGUs and 8 provincial LGUs. The indicative action plans prepared by the participants during the IAs were to be discussed with their respective Local Chief Executives (LCEs) and used as basis for requesting TA from EcoGov. Refer to Table A.2 for the number of LGUs per province, which attended the IAs in Mindanao.
- A follow-up IA on CRM was held in Pagadian City following the request of member-LGUs of the Illana Bay Regional Alliance (IBRA) in Region 9. IBRA 9 is composed of Pagadian City and 7 other coastal municipalities in Zamboanga del Sur. The follow-up IA facilitated the preparation of an alliance-wide action plan/project proposal for EcoGov which give priority to: a) delineation of municipal waters; b) review of enacted fishery ordinances towards preparation of implementing rules and regulations; and c) preparation of coastal management plans per LGU.
- The Mindanao Team has received a total of 27 Letters of Intent (LOI) from LGUs, some of which indicated interest to pursue projects in more than one sector (thus, the following table's vertical total is not equal to horizontal total).

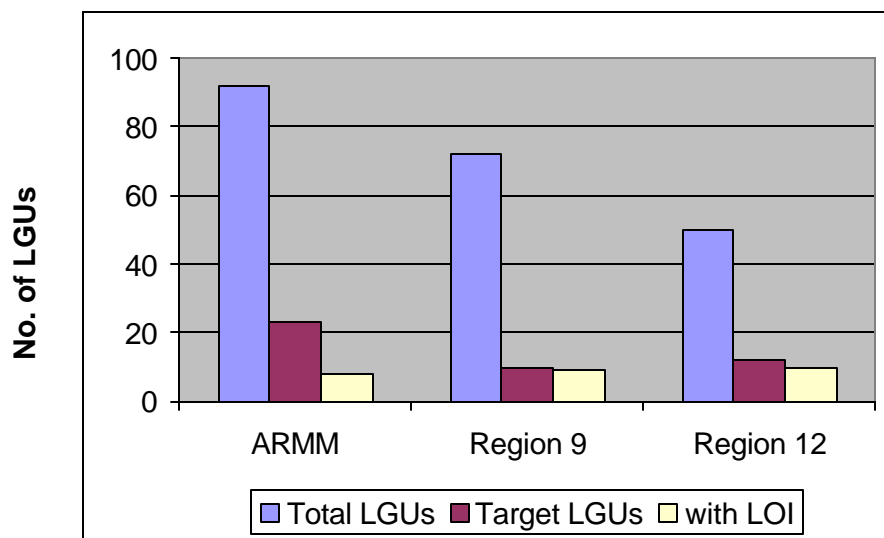
| Region | LGUs with LOIs | | | |
|-----------|----------------|---------|------|-------|
| | Uplands | Coastal | ISWM | Total |
| ARMM | 6 | 6 | 2 | 8 |
| Region 9 | | 8 | 1 | 9 |
| Region 12 | 6 | 7 | 9 | 10 |
| Total | 12 | 21 | 12 | 27 |

The Mindanao Team has started responding to the LOIs. LGU profiling and scoping activities are ongoing in 18 LGUs. Cotabato City and Parang of Maguindanao have completed their ISWM orientation and planning workshop

while the municipality of Wao has had its orientation on forest land use planning (FLUP). For CRM, the IBRA 9, comprising the municipalities of Tukuran, Labangan, Dumalinao, San Pablo, Dinas, Dimataling, Tabina and Pagadian City have completed a 6-month action plan. See Table A.3 of Annex A for the list of the individual LGUs where scoping and planning activities are ongoing or have been completed.

The comparison between the total LGUs, target LGUs and LGUs with LOIs in each region is shown in the chart found below.

Target LGUs vs. Total LGUs



- An LSP orientation was held in Cotabato City last February 2002. It had 35 participants. The Mindanao Team subsequently received LOIs and capability profiles from interested organizations and individuals. The process of screening the identified potential LSPs is in progress to determine if these organizations have sufficient experience, manpower and skills to provide the services required by LGUs. Found in Table A.4 of Annex A is the list of the potential LSPs for Mindanao. The Team is also actively pursuing the recruitment of individual LSPs.
- As an initial activity aimed at improving the legal and administrative policy framework for environmental management in ARMM, an RTD involving key leaders from the government, NGO, academe, church, indigenous cultural communities (ICC) and other sectors in ARMM was held in Cotabato City last April 4, 2002. The participants agreed on a legislative agenda for the environment sector in ARMM which gives priority to:

| | |
|-------------|--|
| Upland | Forestry Code for ARMM, consistent with Republic Act (RA) 9054 |
| Solid Waste | Adoption and localization of RA 9003 |
| Coastal | Adoption and application of DAO 17 |

- As follow-up activity, an *ad hoc* Technical Working Group (TWG) for the upland sector was convened in Marawi City. The group was composed of some MSU-Marawi officials, DENR REFG, EcoGov Policy Team, and a representative from the Kasanyangan Foundation, Inc. The group reviewed the provisions on natural resources management of the Constitution and the Organic Act for the ARMM and laid down the required activities that will pave the way for the enactment of the Regional Sustainable Forest Management Act for ARMM by the Regional Legislative Assembly (RLA). The group agreed on the outline of the proposed legislation and identified the members of the sub-committees that will prepare the draft. The EcoGov Policy Team will review the draft legislation prior to its submission to the RLA in December 2002.
- The local media will be tapped to support the EcoGov IEC program. Initial discussions have been held with the local TV stations of ABS-CBN and GMA Channel 7. Local newspapers in Mindanao, particularly in Cotabato City, Cagayan de Oro City, Davao City and Zamboanga City were briefed on EcoGov and potential areas for joint undertakings were explored.

Central Visayas Operations

- Operations in Central Visayas started only on April 15. Its initial activities focused on the organization of the Visayas TA Team and their orientation on the tasks and subtasks outlined in the Design Elaboration and Workplan. An orientation on EcoGov for DENR officials from the regional office, Provincial and Community Environment and Natural Resources Offices (PENROs and CENROs) was also held to clarify the concept of environmental governance and the role of the TA Team vis-à-vis the DENR and LGUs.
- A strategic planning exercise was conducted with DENR Region 7 wherein they agreed on the activities that will be undertaken to promote ecogovernance values within DENR. Consensus was also achieved on the strategic processes that will be pursued, including the prioritization scheme for LGU selection. This has led to the initial identification of 111 LGUs who will be invited to the LGU IAs scheduled in June and July this year. Further screening by the Team has brought down the number of LGUs to 58 or 44% of total LGUs in the region. It should be noted that these are pre-IA targets and that the evaluation of LGUs is still ongoing so such figures are very tentative. The distribution of the preliminary targets is in Table A.1 of Annex A. Also refer to the map in Figure A.6 of Annex A.

- The Central Visayas Team conducted the first LSP IA last May 7-8, which was attended by 79 LSPs (both individuals and institutions). The discussions created interest among those who participated and has resulted in the submission of LOIs, organizational profiles and capability and self-assessment documents. Refer to Table A.4 of Annex A for the initial list of these potential institutional LSPs for Central Visayas. These LSPs are currently under evaluation by the Team.

Support to DENR

- The Policy Team has initiated discussions with FMB on the due diligence study and the preparation of Manual of Procedures on Forestry Operations. A workshop is scheduled in August this year for: a) review of the existing procedures being followed; b) presentation of case studies on the issues and constraints in the implementation of procedures; and c) preparation of the outline of the manual and activity schedule. The documentation of existing procedures and case studies are presently being undertaken by DENR staff.
- As part of the capacity building program for DENR, staffs assigned to the DENR-ARMM EcoGov Focal Group were trained on the Technology of Participation (ToP) facilitation technique. DENR counterpart staffs are programmed to undergo further training with the LSPs. DENR staffs of Central Visayas are also scheduled to go through training on Leadership and Conflict Resolution.

Supportive Coalitions (Action Path 3)

Coordination with Other Projects

- A series of meetings have been held with CRMP to discuss areas of convergence and collaborative mechanisms between EcoGov and CRMP. The discussions and exchange of communications between the two projects have touched on the following:
 - a. possible delineation of target LGUs and focus of EcoGov assistance in Central Visayas
 - b. inclusion of governance indicators in the CRM certification system
 - c. technical requirements and process for municipal water delineation. A joint review/workshop on DAO 17 to identify refinements to the protocol for the conduct of municipal water delineation is being considered.
 - d. MOA on fishery management among the Fishery Resources Management Project (FRMP), BFAR, CRMP and EcoGov
 - e. development of joint EcoGov-CRMP fishery development plan
 - f. joint IEC and advocacy
 - g. municipal coastal database establishment and institutionalization

Agreements on the above have not been firmed up. A series of meetings have been programmed for June and July this year to discuss these matters further.

- WB and USAID officials and the EcoGov Team had the opportunity to meet in Mindanao to identify how EcoGov and the WB-funded ARMM Peace and Development Social Fund (APDSF) can complement each other, starting with the selection of priority LGUs. Further consultations will be necessary after the WB project goes through WB appraisal in June-July 2002.
- Linkages with other USAID projects (TAG II, GEM, AMORE, LEAP, AGILE) and with the CIDA-funded Local Government Support program (LGSP) has mainly been in the nature of knowing more about these projects and identifying possible areas for collaboration. The EcoGov Office in Cotabato City is being shared with TAG II and AMORE, thus ensuring close collaboration among them. Other than sharing office space, EcoGov's initial agreement with TAG II include the conduct of joint evaluation of intervention opportunities for LGUs, coordinated interventions, and joint monitoring and evaluation of LGU actions. AGILE has agreed to provide the project with materials that might be useful for training LGUs. Coordination with LGSP has to be pursued considering that IBRA 9 was formed under LGSP and will continue to be part of the LGSP II program.
- In Central Visayas, it has been suggested that the EcoGov Team coordinate with the ongoing SEED Project of the Japanese International Cooperation Agency (JICA) and the forthcoming Visayan Sea Project of the German Government.

Coordination with League of Local Governments

- The Project has started discussions with the LMP on the possibility of establishing a grant facility through a Memorandum of Agreement between the EcoGov Project and LMP. Such grants may be used for the delineation of municipal waters and conduct of FLUP by client LGUs in the EcoGov project areas;
- The EcoGov Project was presented during a meeting-seminar of the Executive Committee of the LMP held April 28. The LMP, which requested the briefing, expressed interest in EcoGov and endorsed its implementation nationwide because of its potential positive impact on local governance.
- The LMP serves as regular co-host of the national policy RTDs being organized by EcoGov.

Coalitions

- The Mindanao Team has conducted an initial identification of legitimate mass media organizations for the priority target areas of EcoGov Mindanao. The Team also has started compiling a list of POs, Non-Government Organization (NGOs), and other advocacy partners.

5. Key Issues and Concerns

- In view of the presence of several foreign-assisted projects in the EcoGov regions, there are potential overlaps in the LGUs they cover. There are at present some LGUs which have at least three ongoing donor-assisted projects in their areas. There are thus doubts if these LGUs, which are recipients of multiple projects, can still absorb or have resources to commit to other initiatives. It is deemed critical therefore that there be interfacing and complementation among these projects.
- Also with the various projects operating in Mindanao, the Mindanao Team is also concerned about the possible competition for the services of LSPs. There could be a lack of LSPs that can immediately be mobilized by EcoGov to respond to LGUs which will be submitting LOIs. This problem could be exacerbated by the fact that the experts nominated by the identified academic LSPs are mostly faculty members who may not be available for extended periods of deployment. It has been suggested that individual service providers be deployed initially while the evaluation of the institutional service providers is being finalized. There is a pending recommendation to allow the regional offices to also hire the services of Assisting Professionals (APs) who will be part of the TA Team. For the CRM, it has been recommended to tap DENR and BFAR Mindanao staff who are graduates of the National Course on Integrated Coastal Management (DOST-PCAMRD and ICLARM).
- The still-to-be approved work and financial plan covering the GOP counterpart for EcoGov is delaying the release of the PMO budget. This is limiting the participation of DENR counterpart staff at both central and regional levels in EcoGov activities. This, however, is a DENR issue that has to be addressed by central DENR management.

B. Administrative Information: Contract Data

- For the first six months of the project, the Contractor has provided about 1,470.40 person-days of consultant services, which is about 17.3 % of the total planned level of effort (LOE). Expenditures for the same period have reached \$680,785. This represents 14% of the total budget and 37.82% of the obligated amount of \$1.8M.
- Tables B.1 and B.2 in Annex B provide the summary of the LOE and the project accruals for December 2001 to May 2002.

Annex A

Table A.1 Preliminary LGU Targets by Region and Province

| Region/Province/ Chartered City | No. of LGUs | No. of LGUs * | | | |
|------------------------------------|----------------|---------------|---------|------|---------|
| | | Upland | Coastal | ISWM | Total** |
| Mindanao | | | | | |
| ARMM | 92 | 14 | 13 | 4 | 23 |
| Maguindanao | 20 | 3 | 5 | 2 | 6 |
| Lanao del Sur | 37 | 7 | 4 | | 9 |
| Basilan | 6 | 3 | | | 3 |
| Sulu | 18 | | 2 | | 2 |
| Tawi-tawi | 10 | 1 | 2 | 1 | 2 |
| Marawi City | 1 | | | 1 | 1 |
| Region 9 | 72 | 1 | 8 | 2 | 10 |
| Zamboanga del Sur | 27 | 1 | 8 | | 8 |
| Zamboanga del Norte | 27 | | | | |
| Zamboanga Sibuguey | 16 | | | 1 | 1 |
| Isabela City (Basilan Is.) | 1 | | | 1 | 1 |
| Zamboanga City | 1 | | | | |
| Region 10 | 23 | 3 | 1 | 1 | 5 |
| Lanao del Norte | 22 | 3 | 1 | | 4 |
| Iligan City | 1 | | | 1 | 1 |
| Region 12 | 50 | 7 | 7 | 5 | 12 |
| Sultan Kudarat | 12 | 3 | 3 | 2 | 5 |
| North Cotabato | 18 | 1 | | 1 | 2 |
| Sarangani | 7 | 3 | 3 | | 3 |
| South Cotabato | 11 | | | 1 | 1 |
| Cotabato City | 1 | | 1 | 1 | 1 |
| Gen. Santos City | 1 | | | | |
| Total for Mindanao | 237 | 25 | 29 | 12 | 50 |
| Percent of Total LGUs | | | | | 21% |
| Central Visayas (Region 7) | | | | | |
| Cebu | 43 | 9 | 13 | 5 | 22 |
| Bohol | 48 | 11 | 7 | 4 | 18 |
| Negros Oriental | 24 | 11 | 4 | 3 | 13 |
| Siquijor | 6 | 5 | 3 | 2 | 5 |
| Metro Cebu | 10 | | | | |
| Tagbilaran City | 1 | | | | |
| Dumaguete City | 1 | | | | |
| Total for Central Visayas | 133 | 36 | 27 | 14 | 58 |
| Percent of Total LGUs | | | | | 44% |

* These LGUs were identified by the EcoGov Regional Teams based on results of field investigations, IAs, follow-up discussions with LGUs and other available LGU data. LGU targets are expected to change as more promotional activities and further studies/investigations are done. The identified LGUs in Central Visayas are pre-IA targets and are very tentative.

** Some totals in Column 6 are lower than the sum of Columns 3, 4 and 5 since some LGUs are listed in more than one sector.

Table A.2. LGU Participants in the Interactive Assemblies in Mindanao

| Region/Province/ Chartered City | No. of LGUs | No. of Municipal/City LGU Participants | | | | |
|------------------------------------|----------------|--|---------------------|-------------------------|------------------------|----------------|
| | | CRM IA (Feb) | ISWM IA (Mar) | Uplands IA (Apr) | Integrated IA (Apr) | Total LGUs* |
| ARMM | 92 | 6 | 3 | 10 | 6 | 19 |
| Maguindanao | 20 | 2 | 3 | 5 | | 6 |
| Lanao del Sur** | 37 | 4 | | 5 | | 7 |
| Basilan** | 6 | | | | | |
| Sulu** | 18 | | | | 3 | 3 |
| Tawi-tawi** | 10 | | | | 3 | 3 |
| Marawi City | 1 | | | | | |
| Region 9 | 72 | 6 | | | 8 | 14 |
| Zamboanga Sur** | 27 | 6 | | | 1 | 7 |
| Zamboanga Norte** | 27 | | | | 5 | 5 |
| Zamboanga Sibuguey** | 16 | | | | 1 | 1 |
| Isabela City | 1 | | | | 1 | 1 |
| Zamboanga City | 1 | | | | | |
| Region 10 | 23 | | | 2 | | 2 |
| Lanao del Norte | 22 | | | 2 | | 2 |
| Iligan City | 1 | | | | | |
| Region 12 | 50 | 4 | 9 | 3 | | 11 |
| Sultan Kudarat | 12 | 3 | 2 | 1 | | 5 |
| South Cotabato | 11 | | 1 | | | 1 |
| North Cotabato | 18 | | 1 | | | 1 |
| Sarangani** | 7 | | 3 | 1 | | 3 |
| Cotabato City | 1 | 1 | 1 | 1 | | 1 |
| Gen Santos City | 1 | | | | | |
| | | | | | | |
| Total for Mindanao | 237 | 16 | 12 | 15 | 14 | 46 |

* Some totals in the last column are lower than the sum of Columns 3, 4, 5 and 6 since some LGUs attended more than one IA.

** With Provincial LGU participants

Table A.3 Status of LGU Activities in Mindanao by Region and Province

| Region/Province/ City | Status of Activities of LGUs with LOIs | | | | | |
|--------------------------|--|--------------------------------------|-------------------------|---------------------|----------------|----------------------|
| | Submission of LOI* | Profiling/ Validation/ Scoping | Workplan Preparation | SB/SP Resolution | MOA Signing | Deployment of LSP |
| ARMM | | | | | | |
| Maguindanao | | | | | | |
| Parang | C, U, SW | ✓ | ✓ | | | |
| Sultan Kudarat | C, SW | ✓ | | | | |
| Upi | C, U | | | | | |
| SK Pendatun | U | | | | | |
| Lanao del Sur | | | | | | |
| Kapatagan | C, U | | | | | |
| Balabagan | C, U | | | | | |
| Sultan Gumander | C | | | | | |
| Wao | U | ✓ | ✓ | | | |
| Region 9 | | | | | | |
| Zamboanga del Sur | | | | | | |
| Tukuran | C | ✓ | ✓ | | | |
| Labangan | C | ✓ | ✓ | | | |
| Pagadian City | C | ✓ | ✓ | | | |
| Dumalinao | C | ✓ | ✓ | | | |
| San Pablo | C | ✓ | ✓ | | | |
| Dinas | C | ✓ | ✓ | | | |
| Dimataling | C | ✓ | ✓ | | | |
| Tabina | C | ✓ | ✓ | | | |
| Zamboanga Sibuguey | | | | | | |
| Buug | SW | | | | | |
| Region 12 | | | | | | |
| Sultan Kudarat | | | | | | |
| Lebak | C, U, SW | ✓ | | | | |
| Isulan | SW | ✓ | | | | |
| Kalamansig | C, U, SW | ✓ | | | | |
| Palimbang | C | ✓ | | | | |
| North Cotabato | | | | | | |
| Kidapawan | SW | ✓ | ✓ | | | |

| Region/Province/ City | Status of Activities of LGUs with LOIs | | | | | |
|--------------------------|--|--------------------------------------|-------------------------|---------------------|----------------|----------------------|
| | Submission of LOI* | Profiling/ Validation/ Scoping | Workplan Preparation | SB/SP Resolution | MOA Signing | Deployment of LSP |
| City | | | | | | |
| South Cotabato | | | | | | |
| Koronadal City | SW | ✓ | ✓ | | | |
| Sarangani 16 | | | | | | |
| Maitum | C, U, SW | | | | | |
| Kiamba | C, U, SW | | | | | |
| Maasim | C, U, SW | | | | | |
| Cotabato City | C, U, SW | ✓ | ✓ | | | |

Legend: C = Coastal; U = Uplands, SW=Solid Waste

Table A.4 Potential Local Service Providers (Institutional) in Mindanao and Central Visayas

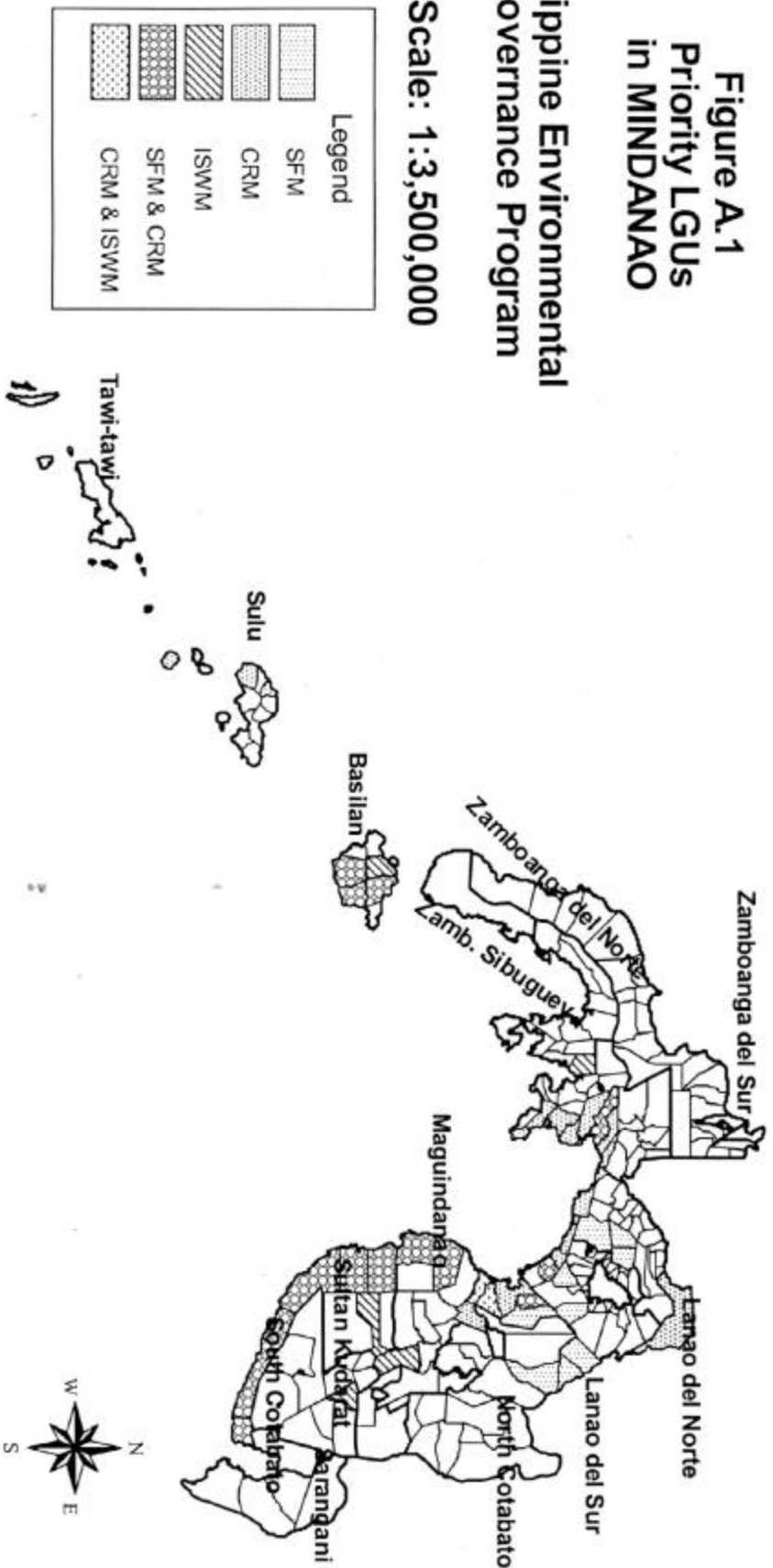
| Mindanao | Central Visayas |
|--|---|
| <ul style="list-style-type: none"> Western Mindanao State University Zamboanga City | <ul style="list-style-type: none"> Visayas Institute for development Initiatives Cebu |
| <ul style="list-style-type: none"> Zamboanga State College for Marine Science and Technology Zamboanga City | <ul style="list-style-type: none"> Pollution Control Association of the Phil., Inc Cebu |
| <ul style="list-style-type: none"> University of Southern Mindanao Kabacan, North Cotabato | <ul style="list-style-type: none"> Philippine Partnership for the Development of Human Resources in Rural Areas (PhilDHRRA) Cebu |
| <ul style="list-style-type: none"> Notre Dame University Cotabato City | <ul style="list-style-type: none"> Soil and Water Conservation Foundation Bohol |
| <ul style="list-style-type: none"> Mindanao State University Marawi City | <ul style="list-style-type: none"> Bohol Alliance on Non-Government Organizations Bohol |
| <ul style="list-style-type: none"> Mindanao State University-Iligan Institute of Technology Iligan City | <ul style="list-style-type: none"> Visayas Cooperative Development Center (VICTO) Bohol |
| <ul style="list-style-type: none"> Mindanao State University Naawan | <ul style="list-style-type: none"> Bohol Integrated Development Foundation, Inc. Bohol |
| <ul style="list-style-type: none"> Mindanao State University Tawi-tawi | <ul style="list-style-type: none"> BoI-anon Foundation, Inc. Bohol |
| <ul style="list-style-type: none"> Development Academy of the Philippines Davao City | <ul style="list-style-type: none"> Participatory Research Organization of Communities and Education Towards Struggle for Self-Reliance (PROCESS) Bohol |
| <ul style="list-style-type: none"> Ranao Integrated Assistance Program Marawi City | <ul style="list-style-type: none"> Siliman University Dumaguete City |
| <ul style="list-style-type: none"> Ranao Environmental Network Marawi City | <ul style="list-style-type: none"> Center for Tropical Conservation Studies Negros Oriental |
| <ul style="list-style-type: none"> KALIMUDAN Foundation, Inc. Marawi City | <ul style="list-style-type: none"> RTN. Martin “Ting” Matiao Foundation, Inc. Negros Oriental |
| <ul style="list-style-type: none"> LIHUK-Iligan, Inc. Iligan City | <ul style="list-style-type: none"> Solid Waste Management Association of the Philippines |

| Mindanao | Central Visayas |
|--|------------------------|
| <ul style="list-style-type: none"> • Radya Al Salam Foundation, Inc. Iligan City | |
| <ul style="list-style-type: none"> • Lanao Foundation, Inc. Iligan City | |
| <ul style="list-style-type: none"> • Infra Associates and Resource Development Foundation, Inc. Pagadian City | |
| <ul style="list-style-type: none"> • Maguindanao Dev't Foundation, Inc. Koronadal, South Cotabato | |
| <ul style="list-style-type: none"> • Resource for Empowerment and Development Cagayan de Oro City | |
| <ul style="list-style-type: none"> • BALAUD Mindanao Cagayan de Oro City | |
| <ul style="list-style-type: none"> • Yakan Ministry Foundation Lamitan, Basilan | |
| <ul style="list-style-type: none"> • Muslim Upliftment Foundation Bongao, Tawi-tawi | |
| <ul style="list-style-type: none"> • Kasanyangan Foundation, Inc. | |

Figure A.1
Priority LGUs
in MINDANAO

Philippine Environmental
Governance Program

Scale: 1:3,500,000



DAI
 Development Alternatives, Inc.

USAID

Prepared under USAID Contract
 No. AID No. PCE 1-00-99-00002-00

Prepared by:
 The Mindanao EcoGov Team
EcoGovernance

SOURCES OF INFORMATION

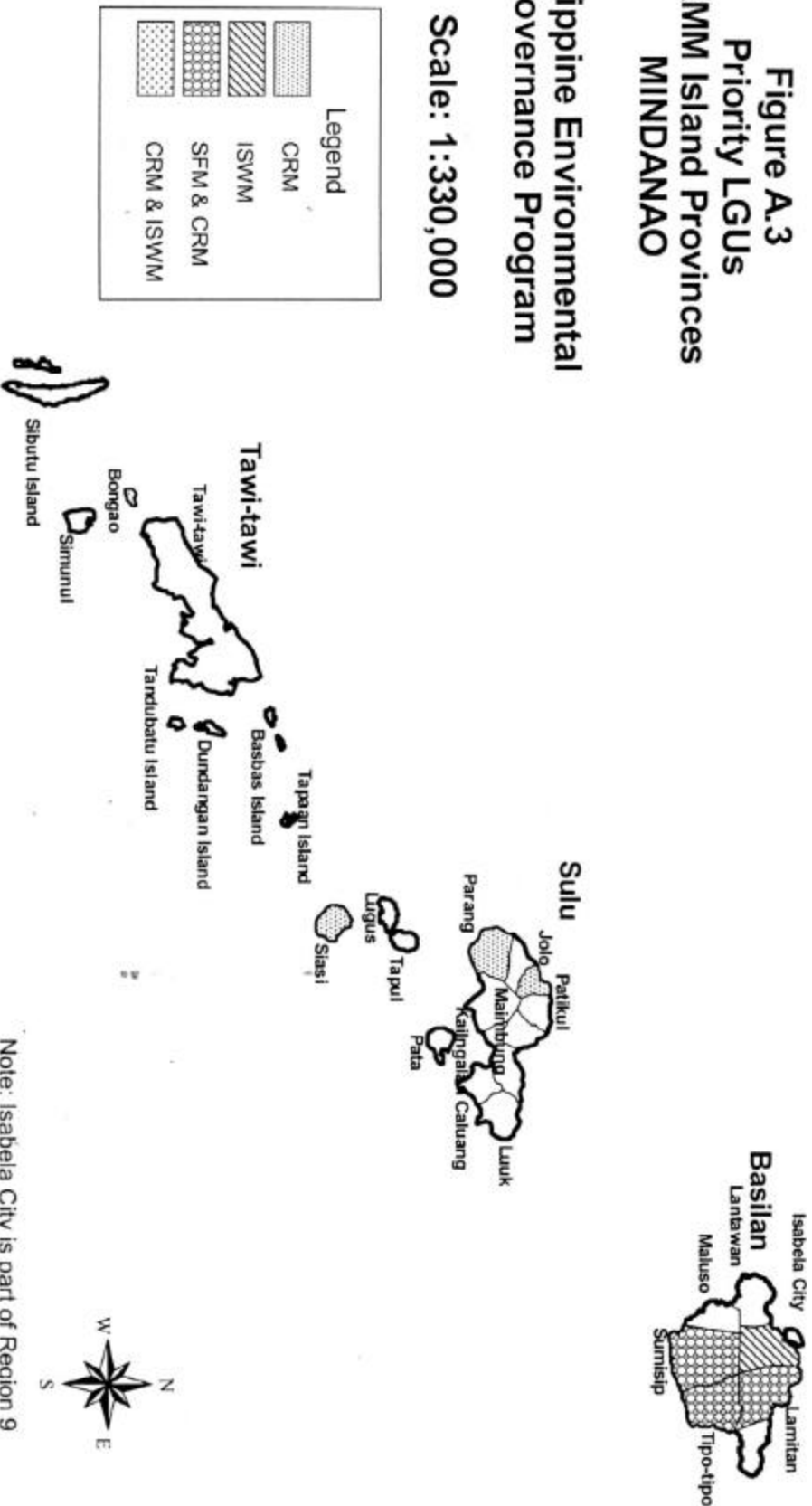
NAMRIA Topographic Maps
 DENR Maps
 E.O. 36 Dated Sept. 19, 2002

NOTE:
 Some Municipal boundaries are not authoritative
 Send comments & corrections to the
 Mindanao EcoGov Team Leader
 Tel No. (064) 421 9068

Figure A.3
Priority LGUs
ARMM Island Provinces
MINDANAO

Philippine Environmental
Governance Program

Scale: 1:330,000



Note: Isabela City is part of Region 9

SOURCES OF INFORMATION

NAMARIA Topographic Maps
 DENR Maps
 E.O. 36 Dated Sept. 19, 2002

NOTE:
 Some Municipal boundaries are not authoritative
 Send comments & corrections to the
 Mindanao EcoGov Team Leader
 Tel No. (064) 421 9068



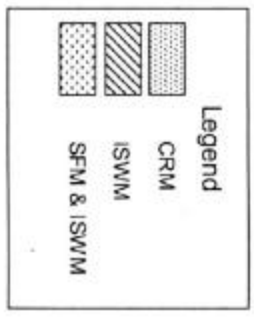
Prepared under USAID Contract
 No. AID No. PCE 1-00-99-00002-00

Prepared by:
 The Mindanao EcoGov Team
EcoGovernance

Figure A.4
Priority LGUs
Region 9
MINDANAO

Philippine Environmental Governance Program

Scale: 1:330,000



Prepared under USAID Contract
 No. AID No. PCE 1-00-99-00002-00

Prepared by:
 The Mindanao EcoGov Team
EcoGovernance

SOURCES OF INFORMATION

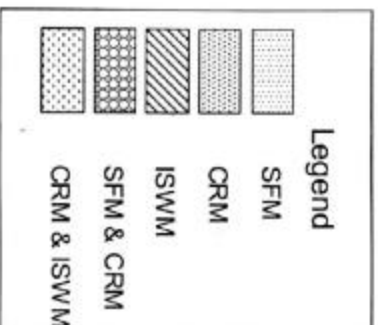
NAMRIA Topographic Maps
 DENR Maps
 E.O. 38 Dated Sept. 19, 2002

NOTE:
 Some Municipal boundaries are not authoritative
 Send comments & corrections to the
 Mindanao EcoGov Team Leader
 Tel No. (064) 421 9068

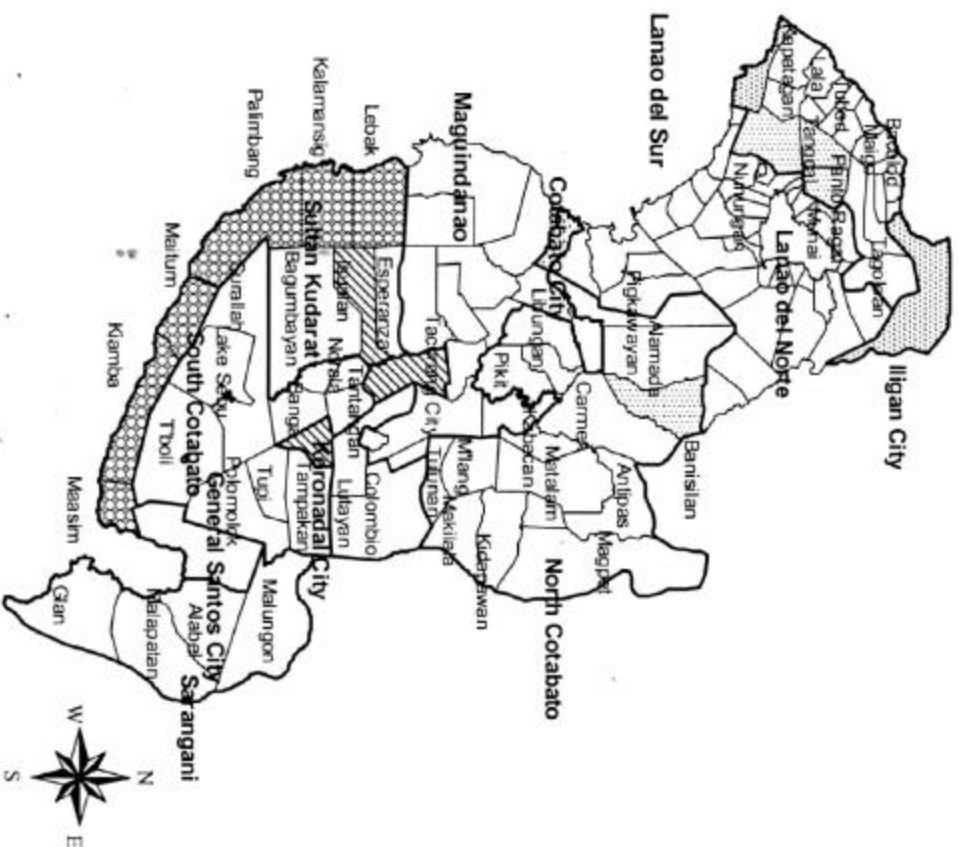
Figure A.5
Priority LGUs
Region XII and Lanao del Norte
MINDANAO

Philippine Environmental
Governance Program

Scale: 1:600,000



Note: Lanao del Sur and Maguindanao are part of the Autonomous Regions of Muslim Mindanato



SOURCES OF INFORMATION

NAMRIA Topographic Maps
 DENR Maps
 E.O. 36 Dated Sept. 19, 2002

NOTE:

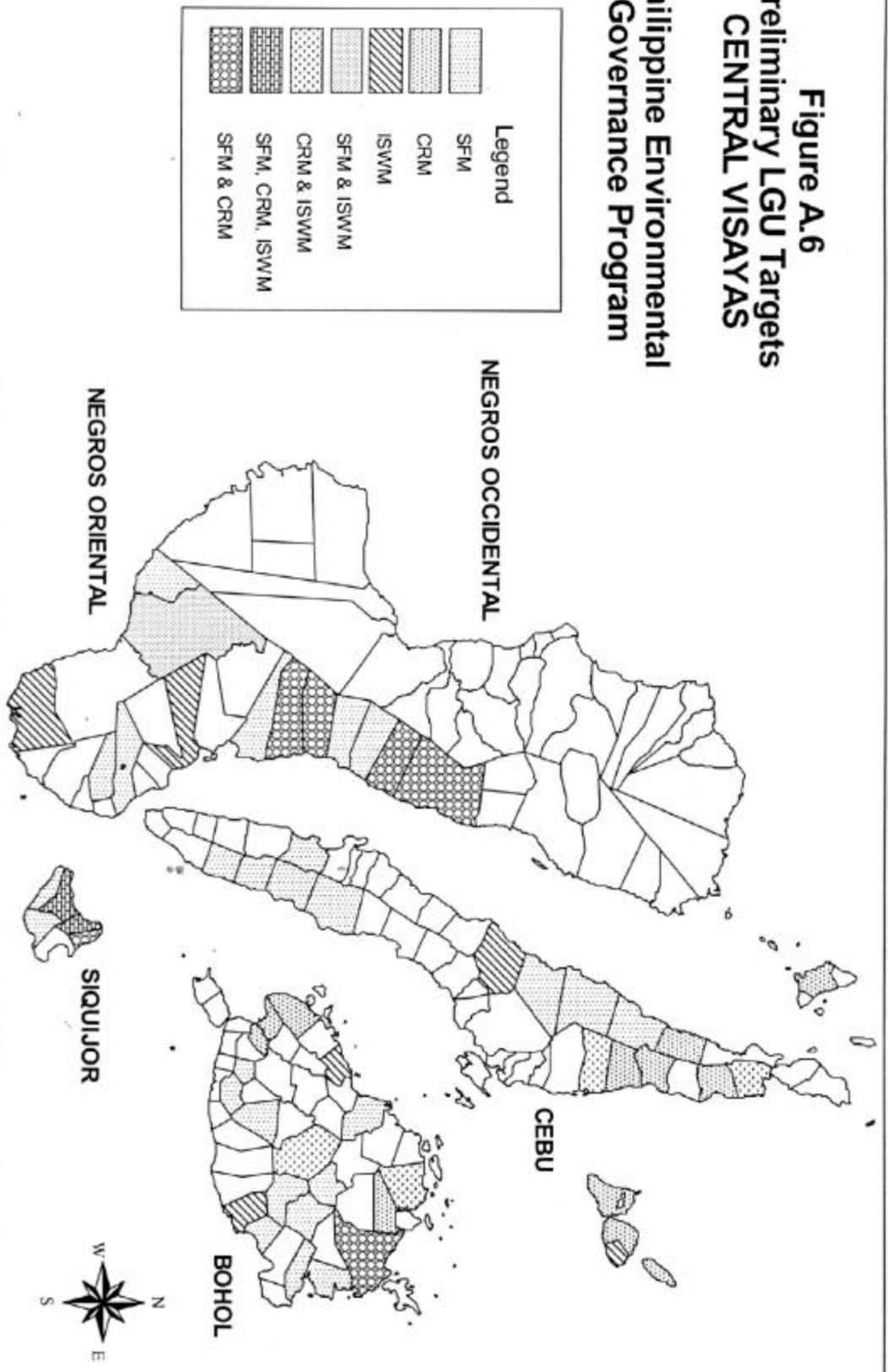
Some Municipal boundaries are not authoritative
 Send comments & corrections to the
 Mindanao EcoGov Team Leader
 Tel No. (064) 421 9086



Prepared under USAID Contract
 No. AID No. PCE 1-00-99-00002-00

The Mindanao EcoGov Team
EcoGovernance

Figure A.6
Preliminary LGU Targets
CENTRAL VISAYAS
Philippine Environmental
Governance Program



DAI
 Development Alternatives, Inc.



Prepared under USAID Contract
 No. AID No. PCE 1-00-99-00002-00

Prepared by:
EcoGovernance

SOURCES OF INFORMATION
 NAMRIA Topographic Maps
 DENR Maps
 E.O. 36 Dated Sept. 19, 2002

Annex B

LEVEL OF EFFORT

| Philippine Environmental Governance Program | | ELAPSE TIME | | PLANNED | LOE | LOE |
|--|---|-------------|---------|---------------|---------------|--------------|
| Contract Number | PCE-I-00-99-00002-00 | MONTHS | PERCENT | LOE | PROVIDED | UTILIZATION |
| Contract Length | 30 Months | 6 | 20.0% | (Person Days) | TO DATE | RATE |
| Date | May 31, 2002 | | | | (Person Days) | % |
| DEVELOPMENT ALTERNATIVES, INC | | | | | | |
| LTТА - LOCAL | | | | | | |
| | Senior Policy Specialist | | | 551 | 120.0 | 21.8% |
| STТА - EXPAT | | | | | | |
| | Land Based NRM - level 1 | | | 40 | - | 0.0% |
| | Policy Reform and Analysis - level 1 | | | 44 | 13.0 | 29.5% |
| | Land Based NRM - level 2 | | | 52 | 38.1 | 73.2% |
| | Information and Other Support (GIS) - level 1 | | | 22 | - | 0.0% |
| | Information and Other Support - level 2 | | | 222 | 46.5 | 20.9% |
| STТА - LOCAL | | | | | | |
| | Advocacy Specialist | | | 385 | 113.5 | 29.5% |
| | Coastal Resources | | | 307 | 68.1 | 22.2% |
| | Mindanao Uplands | | | 450 | 110.0 | 24.4% |
| | Northern Luzon Terrestrial Biodiversity | | | 210 | - | 0.0% |
| | Senior TBD | | | 100 | - | 0.0% |
| | Mid TBD (Program Support Staff) | | | 173 | 26.0 | 15.0% |
| | Junior TBD | | | 125 | - | 0.0% |
| DAI TOTALS | | | | 2,681 | 535.2 | 20.0% |

| Philippine Environmental Governance Program | | | ELAPSE TIME | | PLANNED | LOE | LOE |
|--|-----------------------------------|--|-------------|---------|---------------|---------------|--------------|
| Contract Number | PCE-I-00-99-00002-00 | | MONTHS | PERCENT | LOE | TO DATE | UTILIZATION |
| Contract Length | 30 Months | | 6 | 20.0% | (Person Days) | (Person Days) | RATE |
| Date | May 31, 2002 | | | | | | % |
| OIDCI - LOCAL SUBCONTRACTOR | | | | | | | |
| LTTA - LOCAL | | | | | | | |
| | Acting COP | | | | 551 | 118.0 | 21.4% |
| | M&E | | | | 551 | 70.5 | 12.8% |
| | Community Org Specialist | | | | 531 | 53.0 | 10.0% |
| | Central Visayas Team Leader | | | | 531 | 88.0 | 16.6% |
| | Uplands Specialist | | | | 531 | 107.0 | 20.2% |
| STTA - LOCAL | | | | | | | |
| | Solid Waste | | | | 307 | 106.0 | 34.5% |
| | Policy & Law | | | | 176 | 71.0 | 40.3% |
| | Northern Luzon Community Forestry | | | | 230 | - | 0.0% |
| | Senior TBD | | | | 11 | - | 0.0% |
| | Mid TBD | | | | 178 | - | 0.0% |
| | Junior TBD | | | | 66 | - | 0.0% |
| OIDCI TOTALS | | | | | 3,663 | 613.5 | 16.7% |

| Philippine Environmental Governance Program | | ELAPSE TIME | | PLANNED | LOE | LOE |
|--|--|-------------|---------|---------------|---------------|--------------|
| Contract Number | PCE-I-00-99-00002-00 | MONTHS | PERCENT | LOE | PROVIDED | UTILIZATION |
| Contract Length | 30 Months | 6 | 20.0% | (Person Days) | TO DATE | RATE |
| Date | May 31, 2002 | | | | (Person Days) | % |
| REECS - LOCAL SUBCONTRACTOR | | | | | | |
| STTA - LOCAL | | | | | | |
| | Central Visayas Environmental Management | | | 250 | 34.0 | 13.6% |
| | Central Visayas Coastal Resources - TBD | | | 250 | - | 0.0% |
| | Communications | | | 242 | 109.0 | 45.0% |
| | Mindanao Coastal Resources | | | 470 | 105.0 | 22.3% |
| | Coastal Resources Policy | | | 163 | 20.7 | 12.7% |
| | Senior TBD | | | 8 | - | 0.0% |
| | Mid TBD | | | 158 | - | 0.0% |
| | Junior TBD | | | 66 | - | 0.0% |
| REECS TOTALS | | | | 1,607 | 268.7 | 16.7% |
| ABT ASSOCIATES - AMERICAN SUBCONTRACTOR | | | | | | |
| STTA - EXPAT | | | | | | |
| | Non-Agricultural NRBI - level 1 | | | 28 | - | 0.0% |
| | Policy Reform and Analysis - level 1 | | | 27 | - | 0.0% |
| STTA - LOCAL | | | | | | |
| | TBD | | | 30 | - | 0.0% |
| ABT TOTALS | | | | 85 | - | 0.0% |
| MSI - AMERICAN SUBCONTRACTOR | | | | | | |
| STTA - EXPAT | | | | | | |
| | Policy Reform and Analysis - level 1 | | | 30 | - | 0.0% |
| | Information and Other Support - level 1 | | | 30 | - | 0.0% |
| MSI TOTALS | | | | 60 | - | 0.0% |

| Philippine Environmental Governance Program | | ELAPSE TIME | | PLANNED | LOE | LOE |
|---|---|--------------------|----------------|----------------|-----------------|--------------------|
| Contract Number | PCE-I-00-99-00002-00 | MONTHS | PERCENT | LOE | PROVIDED | UTILIZATION |
| Contract Length | 30 Months | 6 | 20.0% | (Person Days) | TO DATE | RATE |
| Date | May 31, 2002 | | | | (Person Days) | % |
| WINROCK INTERNATIONAL - AMERICAN SUBCONTRACTOR | | | | | | |
| STTA - EXPAT | | | | | | |
| | Water Resource Mgt/Watershed Mgt- level 1 | | | 23 | - | 0.0% |
| | Policy Reform & Analysis - level 1 | | | 22 | - | 0.0% |
| WINROCK TOTALS | | | | 45 | - | 0.0% |
| MSU - AMERICAN SUBCONTRACTOR | | | | | | |
| STTA - EXPAT | | | | | | |
| | Land Based NRM - level 1 | | | 27 | - | 0.0% |
| | Policy Reform and Analysis - level 1 | | | 28 | - | 0.0% |
| STTA - LOCAL | | | | | | |
| | Resource Valuation and MIS | | | 281 | 53.0 | 18.9% |
| MSU TOTALS | | | | 336 | 53.0 | 15.8% |
| GRAND TOTALS | | | | 8,477 | 1,470.4 | 17.3% |