

USAID/Office of Transition Initiatives
ANNUAL REPORT FY 2003

3/18/2003

Please Note:

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A. Program Level Narrative

Program Performance Summary:

In FY 2002, OTI initiated programs in Afghanistan, Burundi, DRC, Macedonia, and Venezuela, continued programs in East Timor, Indonesia, Peru, Serbia and Montenegro, and Zimbabwe, and closed-out programs in Kosovo, Nigeria, and Sierra Leone. Performance results for Kosovo, Nigeria, and Sierra Leone were reported in last year's report with the very modest resources dedicated in FY 2002 for final hand-over to the USAID Missions. The OTI programs in Burundi, DRC and Venezuela are in the early stages and performance data are not yet available. Because of the extremely difficult situation in Zimbabwe, meaningful performance data could not be collected this year. Performance results in this report include: Afghanistan, East Timor, Indonesia, Macedonia, Peru, and Serbia and Montenegro.

OTI served the Agency well in FY 2002 by responding quickly to assess and develop new country programs. Assessments conducted in FY 2002 included: Burundi, DRC, and Venezuela, all of which resulted in the initiation of new programs, and in Angola, Sri Lanka, and Sudan, which will come on line during FY 2003 .

An essential part of OTI assessments involves the analysis of the country situation and determination of those places and sectors that when targeted, can initiate or sustain a transition. For examples, of Macedonia's 125 municipalities, during FY 2002 OTI worked in the 77 most conflict prone. OTI is seeking to address the national conflict, while understanding that its various local manifestations present unique challenges for its field offices. Thus, the OTI program in Macedonia has developed separate strategies for each area of operation.

Also during FY 2002, OTI made significant strides in the coordination of its work with USAID Missions. In Kosovo, Nigeria, Peru, and Sierra Leone, OTI either developed and conducted or handed-over major portions of its programs to the Missions upon its departure. For example, in Peru, OTI developed and implemented its decentralization program in close coordination with USAID's Office of Democratic Initiatives, which is also providing key support for the decentralization process and which will be providing longer-term support over the next five years. This coordination will help ensure that OTI's results can be built-upon beyond the scope of OTI's program.

Given the relative short-time of its engagement in a country, OTI works closely with longer term USAID partners to ensure the continuation of appropriate activities. In the midst of establishing a new USAID Mission in Belgrade a strong collaborative relationship was developed between OTI and the E&E bureau, allowing for the development of a quality handover of key programming. In Southern Serbia, OTI provided the critical initial USAID presence via the CBM projects, while longer-term USAID implementors quickly came on-line to provide the sustained longer-term implementation of community programming as part of the more extensive USAID Community Revitalization through Democratic Action (CRDA) Program. This coordination in Southern Serbia foreshadowed later cooperation between OTI and CRDA municipalities throughout Serbia and Montenegro. As of November 2002, OTI had supported 174 community groups in 60 municipalities across Serbia and Montenegro. Of these, USAID initiated follow-on activities in 46 (77percent) of these municipalities. Within the 60 municipalities, 286,520 families benefited from OTI community impact projects. The true nature of local support for the CIP projects was demonstrated by the final matching funding that local citizens, government and NGOs provided. Of the total CIP project value of USD 10.3 million, the local organizations provided 51 percent of this funding.

The following is a direct quote from "Final Evaluation: OTI Program in East Timor (draft)" conducted by Development Associates, Inc., December 2002. "It is OTI's engagement with various players critical to the national transition in East Timor that ultimately paints the picture of its impact and effectiveness in the country. The level of success realized by OTI-its role predominately that of facilitator and catalytic agent-can largely be measured by its ability to strategically prod, stimulate, stabilize, protect and/or enable actors in multiple sectors key to a peaceful and democratic transition. Of particular importance was OTI's engagement with the nascent NGO and media sectors, UN entities and donor agencies, and the fledgling government. Also important was OTI's role in encouraging attention by various parties on the

fundamental issues of civilian/military relationships in a democratic society and the reintegration of decommissioned resistance fighters into civilian life."

Environmental Compliance: N/A

Country Closeout & Graduation: N/A

D. Results Framework

965-001 Political transitions successfully advanced in priority, conflict-prone countries

Discussion:

Selected Performance Measures - Office of Transition Initiatives

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Indicator (all data should pertain to FY or CY 02)	OU Response	Significant Result: Description of the significant result for a strategic objective	Data Quality Factors: Information relevant to the collection of this indicator data, e.g. "this data was not collected last year because it is only collected every five years."
Pillar I: Global Development Alliance			
Did your operating unit achieve a significant result working in alliance with the private sector or NGOs?			
a. How many alliances did you implement in 2002? (list partners)			
b. How many alliances do you plan to implement in FY 2003?			
What amount of funds has been leveraged by the alliances in relationship to USAID's contribution?			
Pillar II: Economic Growth, Agriculture and Trade			
USAID Objective 1: Critical, private markets expanded and strengthened			
Did your program achieve a significant result in the past year that is likely to contribute to this objective?			
USAID Objective 2: More rapid and enhanced agricultural development and food security encouraged			
Did your program achieve a significant result in the past year that is likely to contribute to this objective?			
USAID Objective 3: Access to economic opportunity for the rural and urban poor expanded and made more equitable			
Did your program achieve a significant result in the past year that is likely to contribute to this objective?			
USAID Objective 4: Access to quality basic education for under-served populations, especially for girls and women, expanded			
Did your program achieve a significant result in the past year that is likely to contribute to this objective?			
a. Number of children enrolled in primary schools affected by USAID basic education programs (2002 actual)	Male	Female	Total
b. Number of children enrolled in primary schools affected by USAID basic education programs (2003 target)	Male	Female	Total
USAID Objective 5: World's environment protected by emphasizing policies and practices ensuring environmentally sound and efficient energy use, sustainable urbanization,			
Did your program achieve a significant result in the past year that is likely to contribute to this objective?			
a. Hectares under Approved Management Plans (2002 actual)			
b. Hectares under Approved Management Plans (2003 target)			
Pillar III: Global Health			
USAID Objective 1: Reducing the number of unintended pregnancies			
Did your program achieve a significant result in the past year that is likely to contribute to this objective?			
Percentage of in-union women age 15-49 using, or whose partner is using, a modern method of contraception at the time of the survey. (DHS/RHS)	%		
USAID Objective 2: Reducing infant and child mortality			

Did your program achieve a significant result in the past year that is likely to contribute to this objective?

Percentage of children age 12 months or less who have received their third dose of DPT (DHS/RHS)	Male	Female	Total	
Percentage of children age 6-59 months who had a case of diarrhea in the last two weeks and received ORT (DHS/RHS)	Male	Female	Total	
Percentage of children age 6-59 months receiving a vitamin A supplement during the last six months (DHS/RHS)	Male	Female	Total	
Were there any confirmed cases of wild-strain polio transmission in your country?				

USAID Objective 3: Reducing deaths and adverse health outcomes to women as a result of pregnancy and childbirth

Did your program achieve a significant result in the past year that is likely to contribute to this objective?

Percentage of births attended by medically-trained personnel (DHS/RHS)	%			
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USAID Objective 4: Reducing the HIV transmission rate and the impact of HIV/AIDS pandemic in developing countries

Did your program achieve a significant result in the past year that is likely to contribute to this objective?

a. Total condom sales (2002 actual)				
b. Total condom sales (2003 target)				
National HIV Seroprevalence Rates reported annually (Source: National Sentinel Surveillance System)	%			
Number of sex partners in past year (Source: national survey/conducted every 3-5 years)per DHS or other survey)				
Median age at first sex among young men and women (age of sexual debut) ages 15-24 (Source: national survey/conducted every 3-5 years) per DHS or other survey)	Male	Female	Total	
Condom use with last non-regular partner (Source: national survey/conducted every 3-5 years)per DHS or other survey)	%			
Number of Clients provided services at STI clinics				
Number of STI clinics with USAID assistance				
Number of orphans and other vulnerable children receiving care/support				
Number of Orphans and Vulnerable Children programs with USAID assistance				

Number of community initiatives or community organizations receiving support to care for orphans and other vulnerable children				
Number of USAID-supported health facilities offering PMTCT services				
Number of women who attended PMTCT sites for a new pregnancy in the past 12 months				
Number of women with known HIV infection among those seen at PMTCT sites within the past year.				
Number of HIV-positive women attending antenatal clinics receiving a complete course of ARV therapy to prevent MTCT (UNGASS National Programme & Behavior Indicator #4)				
Number of individuals reached by community and home-based care programs in the past 12 months				
Number of USAID-assisted community and home-based care programs				
Number of clients seen at Voluntary Counseling and Testing (VCT) centers				
Number of VCT centers with USAID assistance				
Number of HIV-infected persons receiving Anti-Retroviral (ARV) treatment				
Number of USAID-assisted ARV treatment program				
a. Number of individuals treated in STI programs (2002 actual)	Male	Female	Total	
b. Number of individuals treated in STI programs (2003 target)	Male	Female	Total	
a. Is your operating unit supporting an MTCT program?				
b. Will your operating unit start an MTCT program in 2003?				
a. Number of individuals reached by community and home based care programs (2002 actual)	Male	Female	Total	
b. Number of individuals reached by community and home based care programs (2003 target)	Male	Female	Total	
a. Number of orphans and vulnerable children reached (2002 actual)	Male	Female	Total	
b. Number of orphans and vulnerable children reached (2003 target)	Male	Female	Total	

a. Number of individuals reached by antiretroviral (ARV) treatment programs (2002 actual)	Male	Female	Total	
b. Number of individuals reached by antiretroviral (ARV) treatment programs (2003 target)	Male	Female	Total	

USAID Objective 5: Reducing the threat of infectious diseases of major public health importance

Did your program achieve a significant result in the past year that is likely to contribute to this objective?

a. Number of insecticide impregnated bed-nets sold (Malaria) (2002 actual)				
b. Number of insecticide impregnated bed-nets sold (Malaria) (2003 target)				
a. Proportion of districts implementing the DOTS Tuberculosis strategy (2002 actual)	%			
b. Proportion of districts implementing the DOTS Tuberculosis strategy (2003 target)	%			

Pillar III: Democracy, Conflict and Humanitarian Assistance

USAID Objective 1: Strengthen the rule of law and respect for human rights

Did your program achieve a significant result in the past year that is likely to contribute to this objective?

965-001 Political transitions successfully advanced in priority, conflict-prone countries	No		
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USAID Objective 2: Encourage credible and competitive political processes

Did your program achieve a significant result in the past year that is likely to contribute to this objective?

965-001 Political transitions successfully advanced in priority, conflict-prone countries	No		
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USAID Objective 3: Promote the development of politically active civil society

Did your program achieve a significant result in the past year that is likely to contribute to this objective?

965-001 Political transitions successfully advanced in priority, conflict-prone countries	No		
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USAID Objective 4: Encourage more transparent and accountable government institutions

Did your program achieve a significant result in the past year that is likely to contribute to this objective?

965-001 Political transitions successfully advanced in priority, conflict-prone countries

Yes	
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For the July 2002 local elections in Serbia-Montenegro, OTI sponsored a significant Otpor GOTV effort to encourage voter participation in Bujanovac and Presevo municipalities. Due to active participation by Albanians, multi-ethnic municipal councils were established to better reflect political aspirations of the local communities. The Southern Serbia experience provides an important example of a successful intervention effort to defuse a conflict, while allowing the host government and others time to develop a political solution. Cooperation among the USG actors and with the international community was remarkable and essential to the resolution of the conflict.

Conclusion of Development Associates as outside evaluator of OTI/Serbia-Montenegro Program, December 2002.

USAID Objective 5: Mitigate conflict

Did your program in a pre-conflict situation achieve a significant result in the past year that is likely to contribute to this objective?
Did your program in a post-conflict situation achieve a significant result in the past year that is likely to contribute to this objective?

965-001 Political transitions successfully advanced in priority, conflict-prone countries

Yes			<p>Key factors contributed to averting an outbreak of significant hostilities in Serbia-Montenegro that could have lead to war in the area in 2001 and 2002 that enabled the USG and others to pursue the objectives of democratic and economic development in South Serbia. Some of these are: Pro-active engagement of OTI with other parts of the USG. Under the direction and personal involvement of the U.S. Ambassador, OTI maintained a persistent presence and local contacts throughout South Serbia. OTI had a locally based and engaged professional staff that was able to effectively identify and deliver small tangible projects that had an almost immediate impact on the local community. Illustrative examples include: the asphaltting of a road connecting the Presevo and Zujince communities; road repairs in Bustranje; medical equipment for the only health clinic in Veliki Trnovac; and the remodeling (providing heat and running water for the first time) of the only school in Turija. OTI's capacity and practice to act speedily, transparently, and flexibly. OTI worked well with oth</p>	<p>OTI/Serbia and Montenegro Final Evaluation, Development Associates, November 2002. Data collected from OTI grants data base. This result was confirmed in observations of program officers at community meetings and working with the projects. OTI/Macedonia Grants Data Base and observations of and interviews with community members participating in the process.</p>
Number of refugees and internally displaced persons assisted by USAID	Male	Female	Total	

USAID Objective 6: Provide humanitarian relief

Did your program achieve a significant result in the past year that is likely to contribute to this objective?

Number of beneficiaries				
Crude mortality rates	%			
Child malnutrition rates	%			
Did you provide support to torture survivors this year, even as part of a larger effort?				
Number of beneficiaries (adults age 15 and over)	Male	Female	Total	
Number of beneficiaries (children under age 15)	Male	Female	Total	