



SRI LANKA



FY 2002 Results Review Resource Request (R4)

April 2000

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The R4 is a “pre-decisional” USAID document and does not reflect results stemming from formal USAID review(s) of this document.

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From: USAID/Sri Lanka, Lisa Chiles

Subject: FY 1999 Results Review

USAID/Sri Lanka is pleased to submit its FY 1999 Results Review that shows progress against our currently approved Strategic Plan for FY 1998 - 2000. You will receive our proposed Strategic Plan for FY 2001 – 2005 and resources request by mid April.

Our management contract issues relate to the proposed Strategic Plan and will be set out in that document. These include Sri Lanka's readiness to move to a new stage in the development cooperation relationship, timing for that transition, and further reductions in USAID/Sri Lanka staffing. We look forward to discussing the Sri Lanka program and our proposal with you at an appropriate time.

GLOSSARY OF ACRONYMS

CBSL	Central Bank of Sri Lanka
CSD	Child Survival & Disease
CSE	Colombo Stock Exchange
DA	Development Assistance
DAI	Development Alternatives Incorporated
ESF	Economic Support Fund
FINS	Friend in Need Society
GSL	Government of Sri Lanka
HRC	Human Rights Commission
ICRC	International Commission for Red Cross
IESC	International Executive Service Corps
IHR	Institute for Human Rights
IPR	Intellectual Property Rights
IR	Intermediate Result
ISTI	International Science and Technology Institute
LTTE	Liberation Tigers for Tamil Ealam
NGO	Non-Governmental Organizations
OE	Operating Expenses
OSU	Oregon State University
PDA	Primary Dealers Association
SO	Strategic Objective
TAF	The Asia Foundation
USAID	United States Agency for International Development
WTO	World Trade Organization
WVF	War Victims Fund

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I: Overview and Factors Affecting Program Performance

USAID/Sri Lanka's assistance program is central to U.S. efforts to promote economic prosperity and human rights in Sri Lanka. Prospects for achieving the plan set out for 1998–2000 are excellent despite the adverse conditions created by the ongoing war with the LTTE separatist group in the north and east of Sri Lanka. USAID's focus on an improved framework for trade and investment, strengthened democratic institutions and respect for human rights, and humanitarian assistance directly address Sri Lanka's long-term sustainable growth. The Strategic Objective "Improved Framework for Trade and Investment" has exceeded expectations. "Citizens Rights Better Protected in Law and Practice" and "Enhanced Economic and Social Opportunities for Displaced Groups" are on track.

The economy's growth rate for 1999 is expected to be less than 4.0 percent due to declining strength in the garment industry and a contraction in the market for export of tea. Defense expenditures not only contributed to a rise in the budget deficit to 7.6% but they also continued to divert resources from investment in roads and other essential infrastructure. National elections in 1999 and the war effort preoccupied key leadership in the government for much of the year. The Asian financial crisis, the Russian crisis that negatively affected Sri Lanka's tea exports, and the decline in shipping through the Port of Colombo reinforced the need to adapt economic policies to support private sector performance and improve global competitiveness.

The response from the Government of Sri Lanka (GSL) and the Sri Lanka private sector to USAID's competitiveness focus has been far greater than expected. Business associations are heeding the call to take a more active role in shaping economic policy. There is growing recognition of the importance of bringing the labor movement into a constructive dialogue. Key leaders in the GSL recognize that with progress in macroeconomic stability, the challenge for Sri Lanka is to become globally competitive as a nation. As an island nation dependent on exports for economic growth, Sri Lanka presents an unusual opportunity for promoting competitiveness as a means to achieving broad-based sustainable growth.

Sri Lanka NGOs also recognize the advantage of a unified approach. Legal aid NGO's working together helped increase demand for legal aid services from the public. Election monitoring groups joined forces to highlight the negative consequences of election violence.

In 1999, USAID contributed to this dialogue through our assistance for policy reform. Successful changes in policy supported by USAID include new procedures adopted by the Colombo Stock Exchange and the Sri Lanka Securities and Exchange Commission, liberalization of the import of improved agricultural inputs, and restructuring of the Department of Commerce to improve trade policy.

USAID plans to be an active participant in helping the GSL become more competitive in the global marketplace. The USAID initiative will support private sector associations, firms, suppliers, and service providers within a given industry to form competitiveness clusters and to work together on creating a winning business strategy. The GSL will be assisted in maintaining

a supportive environment and the initiative will ensure sustainability by institutionalizing a dialogue between business and government to work together to keep the strategy and platform aligned.

As noted in the Embassy-Sri Lanka MPP, USAID programs lead US efforts in Sri Lanka to promote economic development in Sri Lanka. USAID's strategy is a key contributor to the MPP democracy and human rights and humanitarian assistance goals. The primary linkages to Agency objectives are expanded and strengthened private markets, respect for law and human rights, and humanitarian assistance.

II. Progress Toward Objectives

Strategic Objective : Improved Framework for Trade and Investment, 383001

Assessment: Performance in 1999 exceeded targets.

Summary: Improving the framework for trade and investment contributes to the Agency objective of expanded and strengthened private markets and is the main contributor to the MPP goal of broad-based economic growth. The purpose of the objective is adoption of at least nine significant policies and regulatory reforms by September 2000 to improve Sri Lanka's competitiveness. To ensure progress towards this target a minimum of three reforms in both financial markets and trade and investment are expected to reach the evaluation/dialogue stage annually. The direct beneficiaries are approximately 30 private sector associations and their members throughout Sri Lanka; new entrants into the private sector, and both men and women in the labor force.

Key Results: By the end of FY 1999, eight policies and regulatory reforms and practices had been adopted that contribute to an improvement in Sri Lankan competitiveness including adoption of new seed and agro-trade policies, equal taxation treatment for bonds and shares listed on the Colombo Stock Exchange, and the reorganization/strengthening of the Department of Commerce.

Performance and Prospects: In the area of financial market reforms, the Colombo Stock Exchange (CSE) and Sri Lankan Securities and Exchange Commission adopted significant new rules and procedures with USAID technical assistance. These include listing rules of corporate debentures through the CSE and adoption of a code of conduct for primary dealers of government debt securities, both of which will strengthen capital markets in Sri Lanka. In addition, as recommended by USAID, the GSL removed the stamp tax on mortgage backed securities and adopted new rules on taxation of listed corporate debentures to be similar to those of listed equity securities. In 2000, USAID support will include technical assistance and training on corporate and government bond market development and tax policy analysis in Sri Lanka's Ministry of Finance and Planning, Inland Revenue and Customs.

Dialogue between the public sector and private sector on agricultural technology also improved. With support from USAID for technical assistance, studies and study tours, agricultural associations identified a range of tariffs and trade policies as barriers to the import of new agricultural technologies. With better information in hand and strategies for dialogue with government, the associations were able to persuade government regulators to remove many of the impediments in 1999. These included establishment of procedures for the free import of hybrid and other improved seed into Sri Lanka, elimination of tariffs relating to high-tech agricultural equipment, and waiver of duties on packaging material for milk, vegetable and fruit juices. These reforms helped stimulate the agribusiness sector: from 1998 to 1999, imports of new agricultural technology increased from \$860,000 to \$1.7 million; export sales in targeted sectors rose from \$252 million to \$281.7 million, and the value of imported genetic material increased from \$1.75 million to \$4.8 million.

A new partnership between an agribusiness association supported by USAID and Sri Lanka's national Plant Quarantine Service Center was also instrumental in liberalizing the agribusiness sector. Under the new system, the association provides seed to the Quarantine Service for serological testing. As a result, the Quarantine Service approved the importation of 60,000 kilograms of hybrid corn seed in 1999, the first time that such an import has been allowed.

The GSL approved USAID recommendations to restructure the Department of Commerce which will begin in 2000. To facilitate the restructuring, USAID provided training for DOC staff in trade negotiations, drafting new legislation, analysis of economic and legal implications, and in development of institutional linkages with international trade institutions, and the establishment of a training institute on international trade.

The GSL embarked on a new initiative to promote the use of information technology. With USAID technical assistance, the GSL Export Development Board instituted a "CyberTrader" facility. Small companies, including those from regions outside Colombo and the Western Province, began using the facility in 1999 to access buyers and suppliers, find up-to-date information about competitive markets, and trade over the Internet.

The Ceylon Chamber of Commerce took steps to improve corporate governance in Sri Lanka with technical assistance from USAID. Good corporate governance, including better accountability and transparency, is necessary to improve prospects for foreign investment in Sri Lankan firms. One of the largest Sri Lankan companies appointed two non-executive members to its board of directors for the first time in its 150-year history, sending a strong signal to the business community that good corporate governance is necessary for future growth and investment.

GSL policy reform expected in 2000 includes (a) progress towards adoption of a revised Intellectual Property Rights Law; (b) diploma program on intellectual property law at the Sri Lanka Law College; (c) policies and strategies for livestock sector development in Sri Lanka 1999 – 2010; (d) a national trade strategy in line with WTO principles, and (e) an agribusiness policy framework. USAID technical assistance, studies and training will be provided in 2000 to promote these initiatives.

In late FY 1999, USAID initiated technical assistance and related support for the development of competitiveness clusters. Program activities support private sector associations, firms, suppliers and service providers in a given industry to work as a cluster and develop competitive strategies. Activities in FY 2000 also include a South Asia regional conference on competitiveness and the global challenge and studies on benchmarking of key macro-level competitive factors of South Asian countries against other countries.

The strategic objective of improved framework for trade and investment ends in FY 2000. Developing competitiveness clusters, promoting an enabling environment for effective policy and regulatory frameworks, services and infrastructure, and institutionalizing a dialogue between public and private sectors, will continue under a new strategic objective of "Increased Sri Lankan Competitiveness in the Global Marketplace."

Possible Adjustments to Plans: Adoption of a Free Trade Agreement between Sri Lanka and India in 2000 may provide opportunities to accelerate policy and regulatory reform in agribusiness and related sectors. USAID may provide technical assistance to help Sri Lanka shape its policy.

Other Donor Programs: The World Bank and Asian Development Bank provide funding for macroeconomic reform and improvement in infrastructure. Other bilateral donors supporting private enterprise development in specific sectors include Germany, Norway, Sweden and Japan. The International Labor Organization provides assistance on management/labor issues. The International Finance Corporation provided assistance to the Central Bank to bring an international credit rating agency to Sri Lanka in 1999, as recommended by USAID's financial markets technical assistance.

Major Contractors and Grantees: International Executives Service Corps; Oregon State University/Development Alternatives Inc.; J E Austin Associates, Deloitte Touche and International Science and Technology Institute.

Performance Data Table

OBJECTIVE: Improved Framework for Trade and Investment			
APPROVED: 24/Apr/1998 COUNTRY/ORGANIZATION: USAID/Sri Lanka			
RESULT NAME: Improved Framework for Trade and Investment			
INDICATOR: Nine policies adopted or practices implemented by September 1999.			
UNIT OF MEASURE: # of policies and practices in the adoption/implementation stage	YEAR	PLANNED	ACTUAL
	1997(B)		0
SOURCE: contractors' progress reports.	1998	3	2
	1999	3	8
INDICATOR/DESCRIPTION: Adoption stage – All interested stakeholders are identified at this stage. Information is shared and a decision is reached concerning the advisability of entering into dialogue and consensus-building to encourage the adoption of the proposed reform.	2000	3	
COMMENTS: The reforms include 1. Listing rules of corporate debt, 2. Removal of stamp tax of mortgage backed securities, 3. Development of a code of conduct for Primary Dealers. 4. Taxation of listing of bonds same as shares. 5. Importation of maize seed, genetic material, and productivity enhancing livestock 7. Elimination of tariffs on high-tech agricultural equipment, 8. Duty waivers on packaging material.			

Performance Data Table

OBJECTIVE: Improved Framework for Trade and Investment			
APPROVED: 24/Apr/1998 COUNTRY/ORGANIZATION: USAID/Sri Lanka			
RESULT NAME: Financial market practices strengthened and policies liberalized			
INDICATOR: Three financial markets policies or improved practices in progress per year.			
<p>UNIT OF MEASURE: # of policies or practices in evaluation /analysis or dialogue stage</p> <hr/> <p>SOURCE: ISTI progress reports.</p> <hr/> <p>INDICATOR/DESCRIPTION: Evaluation /analysis stage – All interested stakeholders are identified at this stage. Information is shared and a decision is reached concerning the advisability of entering into dialogue and consensus-building to encourage the adoption of the proposed reform. Dialogue stage – Stakeholders and policy makers enter into dialogue. Consensus about the problem and the proposed reform measure(s) is the goal. Results of the evaluation and analysis are used to gain such consensus.</p> <hr/> <p>COMMENTS: Of the six policies/practices in progress in 1998 and 1999, three were adopted in FY 1999. USAID completed the first phase of improvement of banking supervision and regulation, further assistance was provided by the Government of Sweden. The fifth reform to develop the government bond market is still in progress. Tax policy analysis is the sixth reform.</p>	YEAR	PLANNED	ACTUAL
	1997(B)		0
	1998	3	5
	1999	3	1
	2000	3	

Performance Data Table

OBJECTIVE: Improved Framework for Trade and Investment			
APPROVED: 24/Apr/1998 COUNTRY/ORGANIZATION: USAID/Sri Lanka			
RESULT NAME: Liberalized trade and investment policies			
INDICATOR: Three trade and investment policies or improved practices in progress per year.			
<p>UNIT OF MEASURE: # of policies or practices in evaluation /analysis or dialogue stage</p> <hr/> <p>SOURCE: IESC and OSU/DAI progress reports.</p> <hr/> <p>INDICATOR/DESCRIPTION: Evaluation /analysis stage – All interested stakeholders are identified at this stage. Information is shared and a decision is reached concerning the advisability of entering into dialogue and consensus-building to encourage the adoption of the proposed reform. Dialogue stage – Stakeholders and policy makers enter into dialogue. Consensus about the problem and the proposed reform measure(s) is the goal. Results of the evaluation and analysis are used to gain such consensus.</p> <hr/> <p>COMMENTS: Of the seven policies in the 1998 pipeline four policies were adopted in 1999 and two policies are in progress. Assistance for infrastructure policies was terminated at the end of its agreement completion date during 1999. The new policies being addressed are: 1. Privatization of state owned livestock farms. 2. Agribusiness policy framework. 3. Livestock policy framework. 4. Plant protection regulations. 5. Enactment of the Seed Act. 6. National trade strategy. 7. Intellectual Property Law course at Law College.</p>	YEAR	PLANNED	ACTUAL
	1997(B)		0
	1998	3	7
	1999	3	7
	2000	3	

Strategic Objective: Citizens' Rights Better Protected in Law and Practice, 383002

Assessment: Performance in 1999 was on track. The number of human rights violations reported to authorities and the number of legal aid cases exceeded targets. Although mediation boards were successfully opened in the eastern province, the total number of mediation board cases fell short of the target.

Summary: The SO contributes to the Agency objective of strengthening the rule of law and respect for human rights. The SO is also one of the main contributors to the MPP's democracy and human rights goal. The purpose of the objective is to improve public confidence in the ability of legal and government systems to protect human rights and to increase access to legal and alternative dispute resolution systems. The direct beneficiaries of this objective are the Sri Lankan public, especially minorities and disadvantaged groups.

Key Results: A key indicator of public confidence in the ability of the government to protect human rights is the number of violations actually reported to authorities. In FY 1999, the number of human rights violations reported to the Sri Lanka Human Rights Commission was almost double the target of 2,500. Of these, forty-four percent were complaints against the police and armed forces. Legal aid services also showed a significant increase. The number of legal aid cases was 22,848 as against a target of 12,000.

Performance and Prospects: Although the GSL took steps to control human rights abuses in 1999, the environment was marred by election-related violence, continued abuses by the armed forces and complaints of mistreatment of minorities by police. Sri Lankan NGOs increased their monitoring and reporting of violations in 1999 and significantly extended their legal aid outreach. These efforts include: (a) Establishment of a human rights committee by the Bar Association of Sri Lanka, which receives complaints forwarded by the Chief Justice and provides legal assistance to the petitioners. (b) Establishment of an email network in July 1999 by the Consortium of Humanitarian Agencies, which links human rights desks in five districts in the north and east to NGO offices in Colombo where violations will be reported and legal action taken. (c) Training by the Organization of Parents and Families of the Disappeared for legal assistants, who advise families on steps to report disappearances and violations of human rights in general. (d) Sarvodaya established legal advisory committees in twelve districts to resolve disputes.

USAID provided equipment for the rapid establishment of Human Rights Commission (HRC) field offices. Ten field offices of the HRC recorded complaints, referred them for action to Colombo headquarters, and visited detention centers to monitor human rights practices of security forces. Observers expect the appointment of a new HRC chairman and commissioners in 2000 to increase HRC's capacity to take action on the reported violations.

USAID provided funding for The Ministry of Justice to expand the Community Legal Aid Program. Five more legal aid centers were established, including one in the east. By authorizing the centers to provide representation of defendants in criminal cases, the Ministry has created the first public defender program in Sri Lanka. USAID also provided support through The Asia

Foundation for the “Citizens’ Petitions Unit” in the Attorney General’s Department, which received 655 complaints against police officers, public officers, lawyers and judicial officers in 1999. Most of these cases were resolved during the year.

International attention was focused during the year on the GSL’s investigation of mass gravesites in the Chemmani area of the Jaffna peninsula. The investigation was prompted by a convicted soldier’s claims in a celebrated rape and murder case that he knew where the bodies of up to 400 Tamils killed by security forces in 1996 had been buried. USAID provided funding for forensic experts from Physicians for Human Rights who provided an initial assessment and recommendations for the GSL in December 1998, returning to Sri Lanka in June and September 1999 to observe and advise during the exhumation phases of the Chemmani investigation. During their stay, the forensic experts also conducted orientation programs for NGOs and the media; held a workshop on forensic investigation for government personnel, lawyers and medical officers; and trained students of the Faculty of Medicine in the University of Jaffna.

With USAID support, the Center for Policy Alternatives (CPA) monitored and reported violence surrounding local elections in seven provinces during 1999. Reports of violence in elections held early in the year increased awareness and led to a public campaign demanding that political parties and the government take steps to curb election violence. Although election violence continued in subsequent provincial council elections held later in the year, it was not as severe.

Sri Lanka NGOs active in promoting women’s rights and legal aid to women victims of violence received funding from USAID through TAF. Women in Need (WIN) provided legal representation, conducted legal literacy workshops for 1,000 women and launched an island-wide poster campaign highlighting violence against women as a punishable crime. USAID also provided funding for the American Center for International Labor Solidarity (ACILS) to strengthen the role of women in labor unions. In addition to training women union leaders, ACILS brought together trade unions, NGOs, the business community, legal experts, and government agencies for a national workshop on gender harassment in the workplace. A core team of women will receive training in 2000 to become trainers on sexual harassment in the workplace.

In 1999, 106,660 disputes were referred to mediation boards, slightly above the average number of cases but below the target. In 1999, eight new boards were opened in the Eastern Province, all of which are in the conflict zone and some in areas controlled by the LTTE. In August 1999, the GSL announced that 15 new boards were to be opened in the Northern Province. Prospects for opening boards in the north in 2000 are uncertain due to the escalation in the conflict. A new environmental mediation program jointly conducted between a NGO and the GSL began in November 1998. Of the 46 complaints received in 1999, 14 disputes have already been successfully resolved through mediation.

The pilot program to computerize the District Court in Colombo made progress in registering backlogged cases. As of September 30, 1999, 4,260 cases had been entered in the system but more than 20,000 remain. A similar system was completed at the Courts of Appeal in 1997. Judges in the Courts of Appeal have since reduced the backlog of pending cases from 13,000 in 1995 to 6,400 at the end of 1998. In the pilot district court program, the computerized system is

being written in English, Sinhala and Tamil, and is more complex because of the number of documents involved in a case brought before a District Court. Once the system is complete, however, it can be extended to every District Court in Sri Lanka.

Possible Adjustments to Plan: None.

Other Donor Programs: Other donors providing funding for the judicial system include the World Bank, Canada and Sweden. A proposed World Bank loan will upgrade the infrastructure of the courts and assist the GSL in law reform, including commercial law.

Major Contractors and Grantees: Grantees include The Human Rights Commission, The Ministry of Justice, The Asia Foundation and American Center for International Labor Solidarity. The Asia Foundation works with several sub-grantees including: The Bar Association; the Legal Aid Commission; the Human Rights Commission; Center for Women's Research; Center for Policy Alternatives, and the Center for Monitoring Election Violence.

Performance Data Table

OBJECTIVE: Citizens' Rights Better Protected in Law and Practice			
APPROVED: 04/Apr/98		COUNTRY/ORGANIZATION: USAID Sri Lanka	
RESULT NAME: Increased number of violations reported to authorities			
INDICATOR: Violations reported to authorities			
UNIT OF MEASURE: # of violations	YEAR	PLANNED	ACTUAL
	1997 (B)		1,320
SOURCE: Human Rights Commission	1998	2,000	3,615
	1999	2,500	4,656
INDICATOR/DESCRIPTION: Human rights violations by police and armed forces and other authorities.	2000	3,500	
COMMENTS:			

Performance Data Table

OBJECTIVE: Citizens' Rights Better Protected in Law and Practice			
APPROVED: 04/Apr/98 COUNTRY/ORGANIZATION: USAID Sri Lanka			
RESULT NAME: Increased number of legal aid cases represented			
INDICATOR: Legal aid cases represented			
UNIT OF MEASURE: # of cases	YEAR	PLANNED	ACTUAL
	1997 (B)		8,828
SOURCE: TAF progress reports and Ministry of Justice records.	1998	11,000	9,369
	1999	12,000	22,848
INDICATOR/DESCRIPTION: Citizens who do not have the ability to access effective mechanisms to protect and enforce rights are provided legal assistance. This includes legal advice provided by phone, hotline, clinic visits as well as cases filed in the courts.	2000	15,000	
COMMENTS: The Legal Aid Centers and the legal aid divisions of NGOs maintain records of legal cases represented on behalf of the disadvantaged people. The majority of cases represented through Legal Aid Centers are complaints relating to land law.			

Performance Data Table

OBJECTIVE: Citizens' Rights Better Protected in Law and Practice			
APPROVED: 04/Apr/98		COUNTRY/ORGANIZATION: USAID Sri Lanka	
RESULT NAME: Increased number of cases referred to alternate dispute resolution systems			
INDICATOR: Cases referred to Mediation Boards			
UNIT OF MEASURE: # of cases	YEAR	PLANNED	ACTUAL
	1997 (B)		110,000
SOURCE: Mediation Board progress reports	1998	120,000	105,988
	1999	130,000	106,660
INDICATOR/DESCRIPTION: These are the disputes referred to Mediation Boards by the police or the lower courts.	2000	150,000	
COMMENTS: The security situation in the north and east interrupted the setting up of Mediation Boards in the conflict areas and training of mediators. This affected the level of performance in this indicator. With the right type of training provided to the mediators in the country the quality of performance has improved significantly.			

Strategic Objective: Enhanced Economic and Social Opportunities for Disadvantaged Groups,
383003

Assessment: Performance in 1999 was on track.

Summary: Enhanced economic and social opportunities for disadvantaged groups contribute to the Agency objective of humanitarian assistance. The SO is the main contributor to the MPP humanitarian goal. The 16-year ethnic conflict in the north and east has disabled thousands of people and displaced a large population, including children. Providing short-term relief and resettlement assistance to disabled and/or displaced groups is a high priority of the GSL. Another serious threat to the well being of children in Sri Lanka is sexual exploitation and abuse of mostly boys on the western and southwestern coasts. The purpose of this objective is to assist these groups to recover from adverse circumstances and provide an opportunity for returning to a normal life. Beneficiaries include war victims, especially displaced children and families, orphans and youth exploited by the sex trade. USAID will use War Victim funds to provide prosthetics to war victims and Child Survival (DCOF) funds to assist children affected by the conflict or subject to abuse.

Key Results: 1) Child participation in community-based and facilitated psychological and social activities was 14,859 as against a target of 14,127 and 2) Provision of artificial limbs to amputees was 1,272 as against a target of 1,500.

Performance and Prospects: NGOs supported by USAID, including local, international and community based organizations, increased participation in their programs in 1999, even though they were often operating under difficult conditions. One of the organizations worked primarily in areas controlled by the LTTE in the Eastern Province. All of the NGOs provided opportunities for children affected by the conflict, both emotionally and physically, to take part in normal community activities. The Terre des Hommes (TdH) and Sarvodaya programs stressed the importance of providing an opportunity for children from Tamil, Sinhala and Muslim communities to come together in organized play and learning activities. These groups are a vehicle for building better relations among these communities, not only among the children but among the parents. Other activities included nutrition and health programs as well as programs to help children re-enter schools.

The Don Bosco Center, managed by the Salesian Brothers, rehabilitated children and youth who have been abused in the commercial sex trade, particularly along the southern coast of Sri Lanka. Children from the center performed a program to raise awareness about HIV/AIDs in schools in and around Negombo. The Center provided skills training programs for vulnerable youth and sex workers to assist them in obtaining alternative employment and income-generating opportunities. In order to provide a safe environment for as many children as possible in the area, the Center provided free after-school classes and sports activities.

The Friends in Need Society (FINS) is the only large scale NGO in Sri Lanka that serves the needs of civilian amputees. The society provides this assistance through its branches throughout the island, including a branch office in Jaffna. In 1999, the program provided 1,344 artificial limbs to

1,272 amputees, slightly below the target of 1,500 amputees. Travel restrictions for amputees and disrupted supply of components due to increased military operations in the north and east account for the shortfall in the number of amputees assisted. However, it is important to note that prosthetic devices were provided to all patients who were able to reach one of the FINS workshops.

By the end of 2001, FINS will be able to provide patients with a choice of low-cost prosthetic devices. FINS will work with a technical expert from The International Committee of the Red Cross (ICRC) on widespread use of polypropylene limbs, which FINS could offer in addition to the current Jaipur foot technology. USAID funding along with the ICRC technical collaboration assisted with the pilot introduction of the new ICRC technology in the Jaffna branch. The FINS program is being used as a model for the development of other prosthetics centers in the island. FINS provided technical assistance to a Norwegian-funded prosthetics center in the eastern province and plans to train staff in a new workshop in Mannar.

Possible Adjustments to Plan: None.

Other Donor Programs: USAID activities complement efforts of the UN agencies such as UNICEF, UNDP, WHO and UNHCR, and international voluntary organizations which are providing health care and shelter to those displaced by the conflict. UNDP's mine action program is identifying location of landmines and pressure mines and is engaged in limited mine removal in public areas in Jaffna.

Principal Contractors, Grantees or Agencies: Grantees are the Friends in Need Society, Salesian Missions, Sarvodaya, and Terre des Hommes.

Performance Data Table

OBJECTIVE: Enhanced Economic and Social Opportunities for Displaced Groups			
APPROVED: 04/24/98		COUNTRY/ORGANIZATION: USAID/ Sri Lanka	
RESULT NAME: Enhanced Economic and Social Opportunities for Displaced Groups			
INDICATOR: Cumulative increase in number of children and youth participating in community based and facilitated psychological and social activities			
UNIT OF MEASURE: # of children (cumulative)	YEAR	PLANNED	ACTUAL
	1997 (B)		9,768
SOURCE: Progress reports from grantees	1998	13,068	13,477
	1999	14,127	14,859
INDICATOR/DESCRIPTION: This indicator denotes the number of children participating in community based psychosocial activities.	2000	14,777	
COMMENTS: USAID supported the formation of sixteen community-based organizations, which are working in over 130 villages and provided direct psychosocial interventions to children. In addition, youth and parents have been indirectly reached through drama, play and community awareness activities aimed at increasing consciousness of HIV transmission, child prostitution, abuse, and maternal/child health.			

Performance Data Table

OBJECTIVE: Enhanced Economic and Social Opportunities for Displaced Groups			
APPROVED: 04/24/98 COUNTRY/ORGANIZATION: USAID/ Sri Lanka			
RESULT NAME: Enhanced Economic and Social Opportunities for Displaced Groups			
INDICATOR: Cumulative number of amputees fitted with prosthetic devices			
UNIT OF MEASURE: # of amputees (cumulative)	YEAR	PLANNED	ACTUAL
	1997 (B)		1,300
SOURCE: FINS progress reports.	1998	2,800	2,558
	1999	4,300	3,830
INDICATOR/DESCRIPTION: This indicator denotes the number of civilian amputees and other physically disabled persons fitted with prosthetic devices.	2000	5,800	
COMMENTS: Lower than expected results is attributed to the increased military restrictions on travel in and out of the conflict zones, particularly Jaffna peninsula where 66% of the targeted beneficiaries reside.			

III. Workforce Levels and Operating Expenditures

During FY 1999, USAID continued implementation of its FY 1998-2000 Country Program Strategy and related downsizing reflecting the reduced program budget. During FY 1999, staff reductions of two USDH positions (the Controller and Executive Officer) and nine FSN's (7 reduction-in-force and 2 retired and not replaced) took place. USAID completed the renovation of an U.S. Government owned building and moved to the new location. The move improves security and increases USAID efficiency. USAID also transferred its accounting station to New Delhi and the cashier function to the Embassy in FY 1999.

USAID will continue to control its OE resources by focusing on areas where cost reductions can be realized, including shifting operations to ICASS. In addition, USAID is reviewing its development portfolio to determine optimal implementation mechanisms with the objective of reducing management units and transactions.

The FY 2000 and 2001 budgets reflect a reorganized operation with slight reductions in FSN staff size due to voluntary separation, and the shifting of motor pool operations to ICASS. Six long-term contracts and grants from USAID's program will be completed by mid FY 2001. In addition, four humanitarian assistance grants will be closed and replaced by a single umbrella grantee in FY 2001. FY 2002 will see a further reduction in one USDH position for a total USAID size of one USDH and 16 FSNs at the end of FY 2002. This level will remain constant through the new strategy period.

Fiscal Year 2000: The FY 2000 budget reflects a 42% cost saving when compared to the FY 1999 budget. This reduction of \$461,000 was realized by costs related to the reduction in staff (two USDH and 9 FSN's), and reductions in rent payments related to the FY 1999 move to the U.S. Government owned building. End of fiscal year staffing will include two USDH's and 20 FSN's. USAID's target and request budget for FY 2000 is \$578,000 (before ICASS costs) as compared to FY 1999 obligations of \$1,039,000 (before ICASS and construction costs). ICASS user charges are budgeted at \$202,000. The net increase in ICASS user charges over FY 1999 primarily relates to the ICASS allocation of office space and related costs pertaining to the U.S. Government building. USAID does not anticipate providing any ICASS services.

Fiscal Year 2001: The FY2001 budget reflects relatively consistent operations from FY 2000. End of fiscal year staffing includes two USDH's and 16 FSN's. The FY 2001 budget reflects a 15% cost increase over the FY 2000 budget primarily related to FSN net salary increases and rotation of the USAID Director and a replacement. USAID's target budget and request for FY 2001 is \$685,000 (before ICASS) as compared to USAID's target budget and request for FY 2000 of \$578,000 (before ICASS). FY 2001 ICASS user charges are budgeted at \$215,000. USAID does not anticipate providing any ICASS services

Fiscal Year 2002: The FY2002 budget maintains a relatively consistent level of operations from FY 2001. End of fiscal year staffing includes 1 USDH and 16 FSN's. FY 2002 target budget has

a 6% cost increase over the FY 2001 budget due mainly to the FSN salary and benefit increases. USAID's target budget and request for FY 2002 is \$700,000 (before ICASS) as compared to USAID's target budget and request for FY 2001 of \$685,000 (before ICASS). USAID does not anticipate providing any ICASS services and ICASS user charges are budgeted at \$250,000.

FY 2000 Budget Request by Program/Country

Fiscal Year: 2000

Program/Country: USAID/Sri Lanka

Approp: DA/CSD

Scenario:

S.O.#, Title		FY 2000 Request												Est. S.O. Expenditures	Est. S.O. Pipeline End of FY2000	
		Bilateral/Field Spt	Total	Agriculture	Other Economic Growth	Children's Basic Education (*)	Other HCD	Population	Child Survival (*)	Infectious Diseases (*)	HIV/AIDS (*)	Health Promotion (**)	Environ			D/G
SO 1: Improved Framework for Trade and Investment																
	Bilateral	400,000	400,000					0							5,778,000	1,308,000
	Field Spt	400,000	400,000	0	0	0	0	0	0	0	0	0	0	0	5,778,000	1,308,000
SO 3: Enhanced Economic & Social Opportunities for Disadvantaged Groups																
	Bilateral	300,000						300,000							100,000	400,000
	Field Spt	300,000	0	0	0	0	0	300,000	0	0	0	0	0	0	100,000	400,000
SO 4: Increased Sri Lankan Competitiveness in the Global Marketplace																
	Bilateral	2,850,000		2,850,000											58,000	2,792,000
	Field Spt	0		2,850,000	0	0	0	0	0	0	0	0	0	0	58,000	2,792,000
SO 4:																
	Bilateral	0													0	0
	Field Spt	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SO 5:																
	Bilateral	0													0	0
	Field Spt	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SO 6:																
	Bilateral	0													0	0
	Field Spt	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SO 7:																
	Bilateral	0													0	0
	Field Spt	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SO 8:																
	Bilateral	0													0	0
	Field Spt	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Bilateral		3,550,000	400,000	2,850,000	0	0	0	300,000	0	0	0	0	0	0	5,936,000	4,500,000
Total Field Support		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL PROGRAM		3,550,000	400,000	2,850,000	0	0	0	300,000	0	0	0	0	0	5,936,000	4,500,000	

FY 2000 Request Agency Goal Totals	
Econ Growth	3,250,000
Democracy	0
HCD	0
PHN	300,000
Environment	0
Program ICASS	0
GCC (from all Goals)	0

FY 2000 Account Distribution (DA only)	
Dev. Assist Program	3,250,000
Dev. Assist ICASS	0
Dev. Assist Total:	3,250,000
CSD Program	300,000
CSD ICASS	0
CSD Total:	300,000

Prepare one set of tables for each Fiscal Year (FY2000, FY2001, FY2002)

Prepare one set of tables for each appropriation Account

Tables for DA and CSD may be combined on one table.

For the DA/CSD Table, columns marked with (*) will be funded from the CSD Account. (**) Health Promotion is normally funded from the CSD Account, although amounts for Victims of War/Victims of Torture are funded from the DA/DFA Account

FY 2001 Budget Request by Program/Country

Fiscal Year: 2001

Program/Country: USAID/Sri Lanka

Approp: DA/CSD

Scenario:

S.O. # , Title		FY 2001 Request												Est. S.O. Expenditures	Est. S.O. Pipeline End of FY2001
	Bilateral/Field Spt	Total	Agriculture	Other Economic Growth	Children's Basic Education (*)	Other HCD	Population	Child Survival (*)	Infectious Diseases (*)	HIV/AIDS (*)	Health Promotion (**)	Environ	D/G		
SO 3: Enhanced Economic & Social Opportunities for Disadvantaged Groups															
	Bilateral	300,000						300,000						200,000	500,000
	Field Spt													0	0
		300,000	0	0	0	0	0	300,000	0	0	0	0	0	200,000	500,000
SO 4: Increased Sri Lankan Competitiveness in the Global Marketplace															
	Bilateral	3,000,000		3,000,000										2,750,000	3,042,000
	Field Spt													0	0
		3,000,000	0	3,000,000	0	0	0	0	0	0	0	0	0	2,750,000	3,042,000
SO 3:															
	Bilateral	0												0	0
	Field Spt	0												0	0
		0	0	0	0	0	0	0	0	0	0	0	0	0	0
SO 4:															
	Bilateral	0												0	0
	Field Spt	0												0	0
		0	0	0	0	0	0	0	0	0	0	0	0	0	0
SO 5:															
	Bilateral	0												0	0
	Field Spt	0												0	0
		0	0	0	0	0	0	0	0	0	0	0	0	0	0
SO 6:															
	Bilateral	0												0	0
	Field Spt	0												0	0
		0	0	0	0	0	0	0	0	0	0	0	0	0	0
SO 7:															
	Bilateral	0												0	0
	Field Spt	0												0	0
		0	0	0	0	0	0	0	0	0	0	0	0	0	0
SO 8:															
	Bilateral	0												0	0
	Field Spt	0												0	0
		0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Bilateral		3,300,000	0	3,000,000	0	0	0	300,000	0	0	0	0	0	2,950,000	3,542,000
Total Field Support		0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL PROGRAM		3,300,000	0	3,000,000	0	0	0	300,000	0	0	0	0	0	2,950,000	3,542,000

FY 2001 Request Agency Goal Totals	
Econ Growth	3,000,000
Democracy	0
HCD	0
PHN	300,000
Environment	0
Program ICASS	0
GCC (from all Goals)	0

FY 2001 Account Distribution (DA only)	
Dev. Assist Program	3,000,000
Dev. Assist ICASS	0
Dev. Assist Total:	3,000,000
CSD Program	300,000
CSD ICASS	0
CSD Total:	300,000

Prepare one set of tables for each Fiscal Year (FY2000, FY2001, FY2002)

Prepare one set of tables for each appropriation Account
Tables for DA and CSD may be combined on one table.

For the DA/CSD Table, columns marked with (*) will be funded from the CSD Account. (**) Health Promotion is normally funded from the CSD Account, although amounts for Victims of War/Victims of Torture are funded from the DA/DFA Account

FY 2002 Budget Request by Program/Country

Fiscal Year: 2002

Program/Country: USAID/Sri Lanka

Approp: DA/CSD

Scenario:

S.O. #, Title		FY 2002 Request												Est. S.O. Expenditures	Est. S.O. Pipeline End of FY2002	
		Bilateral/Field Spt	Total	Agriculture	Other Economic Growth	Children's Basic Education (*)	Other HCD	Population	Child Survival (*)	Infectious Diseases (*)	HIV/AIDS (*)	Health Promotion (**)	Environ			D/G
SO 3: Enhanced Economic & Social Opportunities for Disadvantaged Groups																
	Bilateral	300,000						300,000							350,000	450,000
	Field Spt														0	0
		300,000	0	0	0	0	0	300,000	0	0	0	0	0	0	350,000	450,000
SO 4: Increased Sri Lankan Competitiveness in the Global Marketplace																
	Bilateral	3,000,000		3,000,000											2,800,000	3,242,000
	Field Spt														0	0
		3,000,000	0	3,000,000	0	0	0	0	0	0	0	0	0	0	2,800,000	3,242,000
SO 3:																
	Bilateral	0													0	0
	Field Spt	0													0	0
		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SO 4:																
	Bilateral	0													0	0
	Field Spt	0													0	0
		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SO 5:																
	Bilateral	0													0	0
	Field Spt	0													0	0
		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SO 6:																
	Bilateral	0													0	0
	Field Spt	0													0	0
		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SO 7:																
	Bilateral	0													0	0
	Field Spt	0													0	0
		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SO 8:																
	Bilateral	0													0	0
	Field Spt	0													0	0
		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Bilateral		3,300,000	0	3,000,000	0	0	0	300,000	0	0	0	0	0	0	3,150,000	3,692,000
Total Field Support		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL PROGRAM		3,300,000	0	3,000,000	0	0	0	300,000	0	0	0	0	0	3,150,000	3,692,000	

FY 2002 Request Agency Goal Totals	
Econ Growth	3,000,000
Democracy	0
HCD	0
PHN	300,000
Environment	0
Program ICASS	0
GCC (from all Goals)	0

FY 2002 Account Distribution (DA only)	
Dev. Assist Program	3,000,000
Dev. Assist ICASS	0
Dev. Assist Total:	3,000,000
CSD Program	300,000
CSD ICASS	0
CSD Total:	300,000

Prepare one set of tables for each Fiscal Year (FY2000, FY2001, FY2002)

Prepare one set of tables for each appropriation Account
Tables for DA and CSD may be combined on one table.

For the DA/CSD Table, columns marked with (*) will be funded from the CSD Account. (**) Health Promotion is normally funded from the CSD Account, although amounts for Victims of War/Victims of Torture are funded from the DA/DFA Account

Workforce Tables

USAID/Sri Lanka End of year On-Board FY 2000 Estimate	SO 1	SO 2	SO 3	SO 4	SO 5	Total SO	Org. Mgmt.	Fin. Mgmt	Admin. Mgmt	Con- tract	Legal	All Other	Total Mgmt.	Total Staff
OE Funded: 1/														
U.S. Direct Hire	1					1	1						1	2
Other U.S. Citizens						0							0	0
FSN/TCN Direct Hire						0		1	1				2	2
Other FSN/TCN	1	0.5	0.5			2	2	3	5	1		1	12	14
Subtotal	2	0.5	0.5	0	0	3	3	4	6	1	0	1	15	18
Program Funded 1/														
U.S. Citizens						0							0	0
FSNs/TCNs	3	0.5	0.5			4							0	4
Subtotal	3	0.5	0.5	0	0	4	0	0	0	0	0	0	0	4
Total Direct Workforce	5	1	1	0	0	7	3	4	6	1	0	1	15	22
TAACS						0							0	0
Fellows						0							0	0
IDIs						0							0	0
Subtotal	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL WORKFORCE	5	1	1	0	0	7	3	4	6	1	0	1	15	22

Workforce Tables

USAID/Sri Lanka End of year On-Board FY 2001 Target	SO 1	SO 2	SO 3	SO 4	SO 5	Total SO	Org. Mgmt.	Fin. Mgmt	Admin. Mgmt	Con- tract	Legal	All Other	Total Mgmt.	Total Staff
OE Funded: 1/														
U.S. Direct Hire				1		1	1						1	2
Other U.S. Citizens						0							0	0
FSN/TCN Direct Hire						0		1	1				2	2
Other FSN/TCN		0.5	0.5	1		2	2	2	2	1		1	8	10
Subtotal	0	0.5	0.5	2	0	3	3	3	3	1	0	1	11	14
Program Funded 1/														
U.S. Citizens						0							0	0
FSNs/TCNs		0.5	0.5	3		4							0	4
Subtotal	0	0.5	0.5	3	0	4	0	0	0	0	0	0	0	4
Total Direct Workforce	0	1	1	5	0	7	3	3	3	1	0	1	11	18
TAACS						0							0	0
Fellows						0							0	0
IDIs						0							0	0
Subtotal	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL WORKFORCE	0	1	1	5	0	7	3	3	3	1	0	1	11	18

Workforce Tables

USAID/Sri Lanka End of year On-Board FY 2002 Target	Total SO Staff						All Other						Total Mgmt.	Total Staff
	SO 1	SO 2	SO 3	SO 4	SO 5	SO Staff	Org. Mgmt.	Fin. Mgmt.	Admin. Mgmt.	Con-tract	Legal	Other		
OE Funded: 1/														
U.S. Direct Hire						0	1						1	1
Other U.S. Citizens						0							0	0
FSN/TCN Direct Hire						0		1	1				2	2
Other FSN/TCN		0.5	0.5	1		2	2	2	2	1		1	8	10
Subtotal	0	0.5	0.5	1	0	2	3	3	3	1	0	1	11	13
Program Funded 1/														
U.S. Citizens						0							0	0
FSNs/TCNs		0.5	0.5	3		4							0	4
Subtotal	0	0.5	0.5	3	0	4	0	0	0	0	0	0	0	4
Total Direct Workforce	0	1	1	4	0	6	3	3	3	1	0	1	11	17
TAACS						0							0	0
Fellows						0							0	0
IDIs						0							0	0
Subtotal	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL WORKFORCE	0	1	1	4	0	6	3	3	3	1	0	1	11	17

USDH Staffing Requirements by Backstop, FY 2000 - FY 2003

Mission: USAID/Sri Lanka

Functional Backstop (BS)	Number of USDH Employees in Backstop in:			
	FY 2000	FY 2001	FY 2002	FY 2003
Senior Management				
SMG - 01	1	1	1	1
Program Management				
Program Mgt - 02				
Project Dvpm Officer - 94				
Support Management				
EXO - 03				
Controller - 04				
Legal - 85				
Commodity Mgt. - 92				
Contract Mgt. - 93				
Secretary - 05 & 07				
Sector Management				
Agriculture - 10 & 14				
Economics - 11				
Democracy - 12				
Food for Peace - 15				
Private Enterprise - 21				
Engineering - 25				
Environment - 40 & 75				
Health/Pop. - 50				
Education - 60				
General Dvpm. - 12*	1	1	0	0
RUDO, UE-funded - 40				
Total	2	2	1	1

*GDO - 12: for the rare case where an officer manages activities in several technical areas, none of which predominate, e.g., the officer manages Democracy, Health, and Environment activities that are about equal. An officer who manages primarily Health activities with some Democracy and Environment activities would be a Health Officer, BS 50.

remaining **IDIs**: list under the Functional Backstop for the work they do.

OC		FY 2000 Estimate			FY 2001 Target			FY 2002 Target		
		Dollars	TF	Total	Dollars	TF	Total	Dollars	TF	Total
11.1	Personnel compensation, full-time permanent	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
11.1	Base Pay & pymt. for annual leave balances - FNDH	13		13	12		12	10		10
	Subtotal OC 11.1	13	0	13	12	0	12	10	0	10
11.3	Personnel comp. - other than full-time permanent	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
11.3	Base Pay & pymt. for annual leave balances - FNDH			0			0			0
	Subtotal OC 11.3	0	0	0	0	0	0	0	0	0
11.5	Other personnel compensation	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
11.5	USDH			0			0			0
11.5	FNDH	10		10	10		10	10		10
	Subtotal OC 11.5	10	0	10	10	0	10	10	0	10
11.8	Special personal services payments	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
11.8	USPSC Salaries			0			0			0
11.8	FN PSC Salaries	111		111	134		134	161		161
11.8	IPA/Details-In/PASAs/RSSAs Salaries			0			0			0
	Subtotal OC 11.8	111	0	111	134	0	134	161	0	161
12.1	Personnel benefits	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
12.1	USDH benefits	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
12.1	Educational Allowances	82		82	84		84	86		86
12.1	Cost of Living Allowances			0			0			0
12.1	Home Service Transfer Allowances			0			0			0
12.1	Quarters Allowances	3		3	3		3	3		3
12.1	Other Misc. USDH Benefits	2		2	2		2	2		2
12.1	FNDH Benefits	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
12.1	** Payments to FSN Voluntary Separation Fund - FNDH	2		2	2		2	2		2
12.1	Other FNDH Benefits	2		2	2		2	2		2
12.1	US PSC Benefits			0			0			0
12.1	FN PSC Benefits	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
12.1	** Payments to the FSN Voluntary Separation Fund - FN PSC	1		1	1		1	1		1
12.1	Other FN PSC Benefits	12		12	12		12	12		12
12.1	IPA/Detail-In/PASA/RSSA Benefits			0			0			0
	Subtotal OC 12.1	104	0	104	106	0	106	108	0	108
13.0	Benefits for former personnel	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
13.0	FNDH	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
13.0	Severance Payments for FNDH			0			0			0
13.0	Other Benefits for Former Personnel - FNDH			0			0			0
13.0	FN PSCs	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
13.0	Severance Payments for FN PSCs	5		5	4		4	0		0
13.0	Other Benefits for Former Personnel - FN PSCs			0			0			0
	Subtotal OC 13.0	5	0	5	4	0	4	0	0	0
21.0	Travel and transportation of persons	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
21.0	Training Travel	39		39	31		31	33		33
21.0	Mandatory/Statutory Travel	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
21.0	Post Assignment Travel - to field			0	7		7			0
21.0	Assignment to Washington Travel			0			0			0
21.0	Home Leave Travel			0	13		13	8		8
21.0	R & R Travel	12		12	0		0	6		6
21.0	Education Travel			0			0			0
21.0	Evacuation Travel			0			0			0
21.0	Retirement Travel			0			0			0
21.0	Pre-Employment Invitational Travel			0			0			0
21.0	Other Mandatory/Statutory Travel			0			0			0
21.0	Operational Travel	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
21.0	Site Visits - Headquarters Personnel	43		43	40		40	41		41
21.0	Site Visits - Mission Personnel	12		12	15		15	18		18
21.0	Conferences/Seminars/Meetings/Retreats	43		43	44		44	44		44
21.0	Assessment Travel			0			0			0
21.0	Impact Evaluation Travel			0			0			0
21.0	Disaster Travel (to respond to specific disasters)			0			0			0
21.0	Recruitment Travel			0			0			0
21.0	Other Operational Travel	25		25	25		25	27		27
	Subtotal OC 21.0	174	0	174	175	0	175	177	0	177
22.0	Transportation of things	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
22.0	Post assignment freight			0	20		20			0
22.0	Home Leave Freight			0	30		30	20		20
22.0	Retirement Freight			0			0			0
22.0	Transportation/Freight for Office Furniture/Equip.	5		5	6		6	8		8
22.0	Transportation/Freight for Res. Furniture/Equip.			0	2		2	4		4
	Subtotal OC 22.0	5	0	5	58	0	58	32	0	32

OC	FY 2000 Estimate			FY 2001 Target			FY 2002 Target			
	Dollars	TF	Total	Dollars	TF	Total	Dollars	TF	Total	
23.2	Rental payments to others	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
23.2	Rental Payments to Others - Office Space		0			0			0	
23.2	Rental Payments to Others - Warehouse Space		0			0			0	
23.2	Rental Payments to Others - Residences		0	32		32	32		32	
	Subtotal OC 23.2	0	0	0	32	0	32	32	0	32
23.3	Communications, utilities, and miscellaneous charges	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
23.3	Office Utilities	0	0			0			0	
23.3	Residential Utilities	8	8	8		8	8		8	
23.3	Telephone Costs	18	18	18		18	18		18	
23.3	ADP Software Leases		0			0			0	
23.3	ADP Hardware Lease		0			0			0	
23.3	Commercial Time Sharing		0			0			0	
23.3	Postal Fees (Other than APO Mail)	1	1	1		1	1		1	
23.3	Other Mail Service Costs		0			0			0	
23.3	Courier Services	5	5	5		5	6		6	
	Subtotal OC 23.3	32	0	32	32	0	32	33	0	33
24.0	Printing and Reproduction		0			0			0	
	Subtotal OC 24.0	0	0	0	0	0	0	0	0	
25.1	Advisory and assistance services	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
25.1	Studies, Analyses, & Evaluations		0			0			0	
25.1	Management & Professional Support Services	10	10	10		10	10		10	
25.1	Engineering & Technical Services		0			0			0	
	Subtotal OC 25.1	10	0	10	10	0	10	10	0	10
25.2	Other services	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
25.2	Office Security Guards		0			0			0	
25.2	Residential Security Guard Services	1	1	1		1	1		1	
25.2	Official Residential Expenses		0			0			0	
25.2	Representation Allowances	1	1	1		1	1		1	
25.2	Non-Federal Audits		0			0			0	
25.2	Grievances/Investigations		0			0			0	
25.2	Insurance and Vehicle Registration Fees	1	1	1		1	1		1	
25.2	Vehicle Rental		0			0			0	
25.2	Manpower Contracts		0			0			0	
25.2	Records Declassification & Other Records Services		0			0			0	
25.2	Recruiting activities		0			0			0	
25.2	Penalty Interest Payments		0			0			0	
25.2	Other Miscellaneous Services	7	7	6		6	6		6	
25.2	Staff training contracts	1	1	1		1	1		1	
25.2	ADP related contracts		0			0			0	
	Subtotal OC 25.2	10	0	10	10	0	10	10	0	10
25.3	Purchase of goods and services from Government accounts	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
25.3	ICASS	202	202	215		215	250		250	
25.3	All Other Services from Other Gov't. accounts		0			0			0	
	Subtotal OC 25.3	202	0	202	215	0	215	250	0	250
25.4	Operation and maintenance of facilities	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
25.4	Office building Maintenance	8	8	8		8	8		8	
25.4	Residential Building Maintenance	7	7	7		7	7		7	
	Subtotal OC 25.4	15	0	15	15	0	15	15	0	15
25.6	Medical Care		0			0			0	
	Subtotal OC 25.6	0	0	0	0	0	0	0	0	
25.7	Operation/maintenance of equipment & storage of goods	Do not enter data on this line			Do not enter data on this line			Do not enter data on this line		
25.7	ADP and telephone operation and maintenance costs	5	5	5		5	5		5	
25.7	Storage Services		0			0			0	
25.7	Office Furniture/Equip. Repair and Maintenance	7	7	5		5	5		5	
25.7	Vehicle Repair and Maintenance	7	7	7		7	7		7	
25.7	Residential Furniture/Equip. Repair and Maintenance	5	5	6		6	7		7	
	Subtotal OC 25.7	24	0	24	23	0	23	24	0	24
25.8	Subsistence & spt. of persons (by contract or Gov't.)		0			0			0	
	Subtotal OC 25.8	0	0	0	0	0	0	0	0	
26.0	Supplies and materials	32	32	32		32	33		33	
	Subtotal OC 26.0	32	0	32	32	0	32	33	0	33

Organization: USAID/ Sri Lanka

Foreign National Voluntary Separation Account									
Action	FY 2000			FY 2001			FY 2002		
	OE	Program	Total	OE	Program	Total	OE	Program	Total
Deposits	3.0	3.0	6.0	3.0	3.0	6.0	2.0	3.0	5.0
Withdrawals	10.0	0.0	10.0	16.0	0.0	16.0	0.0	0.0	0.0

Local Currency Trust Funds - Regular			
	FY 2000	FY 2001	FY 2002
Balance Start of Year			
Obligations			
Deposits			
Balance End of Year	0.0	0.0	0.0

Exchange Rate

Local Currency Trust Funds - Real Property			
	FY 2000	FY 2001	FY 2002
Balance Start of Year			
Obligations			
Deposits			
Balance End of Year	0.0	0.0	0.0

Exchange Rate _____

OC	FY 2000 Estimate			FY 2001 Target			FY 2002 Target		
	Dollars	TF	Total	Dollars	TF	Total	Dollars	TF	Total
23.2	Rental payments to others			Do not enter data on this line			Do not enter data on this line		
23.2	Rental Payments to Others - Office Space			0			0		
23.2	Rental Payments to Others - Warehouse Space			0			0		
23.2	Rental Payments to Others - Residences			0			0		
	Subtotal OC 23.2			0 0 0			0 0 0		
23.3	Communications, utilities, and miscellaneous charges			Do not enter data on this line			Do not enter data on this line		
23.3	Office Utilities			0			0		
23.3	Residential Utilities			0			0		
23.3	Telephone Costs			3			4		
23.3	ADP Software Leases			0			0		
23.3	ADP Hardware Lease			0			0		
23.3	Commercial Time Sharing			0			0		
23.3	Postal Fees (Other than APO Mail)			1			1		
23.3	Other Mail Service Costs			0			0		
23.3	Courier Services			2			3		
	Subtotal OC 23.3			6 0 6			7 0 7		
24.0	Printing and Reproduction			0			0		
	Subtotal OC 24.0			0 0 0			0 0 0		
25.1	Advisory and assistance services			Do not enter data on this line			Do not enter data on this line		
25.1	Studies, Analyses, & Evaluations			0			0		
25.1	Management & Professional Support Services			3			3		
25.1	Engineering & Technical Services			0			0		
	Subtotal OC 25.1			3 0 3			3 0 3		
25.2	Other services			Do not enter data on this line			Do not enter data on this line		
25.2	Office Security Guards			0			0		
25.2	Residential Security Guard Services			0			0		
25.2	Official Residential Expenses			0			0		
25.2	Representation Allowances			0			1		
25.2	Non-Federal Audits			0			0		
25.2	Grievances/Investigations			0			0		
25.2	Insurance and Vehicle Registration Fees			0			1		
25.2	Vehicle Rental			0			0		
25.2	Manpower Contracts			0			0		
25.2	Records Declassification & Other Records Services			0			0		
25.2	Recruiting activities			0			0		
25.2	Penalty Interest Payments			0			0		
25.2	Other Miscellaneous Services			5			5		
25.2	Staff training contracts			0			0		
25.2	ADP related contracts			0			0		
	Subtotal OC 25.2			5 0 5			7 0 7		
25.3	Purchase of goods and services from Government accounts			Do not enter data on this line			Do not enter data on this line		
25.3	ICASS			14			16		
25.3	All Other Services from Other Gov't. accounts			0			0		
	Subtotal OC 25.3			14 0 14			16 0 16		
25.4	Operation and maintenance of facilities			Do not enter data on this line			Do not enter data on this line		
25.4	Office building Maintenance			1			1		
25.4	Residential Building Maintenance			0			0		
	Subtotal OC 25.4			1 0 1			1 0 1		
25.6	Medical Care			0			0		
	Subtotal OC 25.6			0 0 0			0 0 0		
25.7	Operation/maintenance of equipment & storage of goods			Do not enter data on this line			Do not enter data on this line		
25.7	ADP and telephone operation and maintenance costs			2			2		
25.7	Storage Services			0			0		
25.7	Office Furniture/Equip. Repair and Maintenance			1			1		
25.7	Vehicle Repair and Maintenance			1			1		
25.7	Residential Furniture/Equip. Repair and Maintenance			0			0		
	Subtotal OC 25.7			4 0 4			4 0 4		
25.8	Subsistence & spt. of persons (by contract or Gov't.)			0			0		
	Subtotal OC 25.8			0 0 0			0 0 0		
26.0	Supplies and materials			6			6		
	Subtotal OC 26.0			6 0 6			6 0 6		

OC	FY 2000 Estimate			FY 2001 Target			FY 2002 Target				
	Dollars	TF	Total	Dollars	TF	Total	Dollars	TF	Total		
31.0	Equipment			Do not enter data on this line			Do not enter data on this line				
31.0				Do not enter data on this line			Do not enter data on this line				
31.0				Do not enter data on this line			Do not enter data on this line				
31.0				Do not enter data on this line			Do not enter data on this line				
31.0				Do not enter data on this line			Do not enter data on this line				
31.0				Do not enter data on this line			Do not enter data on this line				
31.0				Do not enter data on this line			Do not enter data on this line				
31.0				Do not enter data on this line			Do not enter data on this line				
	10	0	10	4	0	4	4	0	4		
32.0	Lands and structures			Do not enter data on this line			Do not enter data on this line				
32.0				Do not enter data on this line			Do not enter data on this line				
32.0				Do not enter data on this line			Do not enter data on this line				
32.0				Do not enter data on this line			Do not enter data on this line				
32.0				Do not enter data on this line			Do not enter data on this line				
	0	0	0	0	0	0	0	0	0		
42.0	Claims and indemnities			Do not enter data on this line			Do not enter data on this line				
	0	0	0	0	0	0	0	0	0		
TOTAL BUDGET			123	0	123	124	0	124	128	0	128

Additional Mandatory Information

Dollars Used for Local Currency Purchases	<u>52</u>	<u>66</u>	<u>75</u>
Exchange Rate Used in Computations	<u>73</u>	<u>73</u>	<u>73</u>

** If data is shown on either of these lines, you MUST submit the form showing deposits to and withdrawals from the FSN Voluntary Separation Fund.
 On that form, OE funded deposits must equal: 2 2 1

V. Information Annexes

Annex 1: Environmental Impact: USAID/Sri Lanka does not have any environmental issues.

Annex 2: Updated Results Framework

Strategic Objective 1: Improved Framework for Trade & Investment

Intermediate Result 1.1: Financial market practices strengthened & policies liberalized

Intermediate Result 1.2: Liberalized trade & investment policies

Strategic Objective 2 :Citizen’s Rights Better Protected in Law & Practice.

Strategic Objective 3 : Enhanced Economic & Social Opportunities for Disadvantaged Groups.