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USAID/DAA/PPC:DDIJKERMAN(DRAFT) USAID/AFR:PDELP(DRAFT)
USAID/PPC/CDIE:GBRITAN(DRAFT) USAID/BHR:DRATHBUN(DRAFT)
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USAID/ENI:PMATHESON(DRAFT) USAID/G:RWHITAKER(DRAFT)

PRIORITY AWIDE, CIS C PRIORITY, RIGA PRIORITY, SARAJEVO PRIORITY,
BUJUMBURA PRIORITY, DAR ES SALAAM PRIORITY

ADM AID AA/PPC, TOM FOX TO MISSION DIRECTORS AND AID/W

E.O. 12958: N/A

TAGS:

SUBJECT: FY 2001 RESULTS REVIEW AND RESOURCE REQUEST -
GUIDANCE ON R4 ANNEXES

REF: STATE 236829

I. INTRODUCTION

A. THIS CABLE PROVIDES INFORMATION FOR COMPLETING ANNEXES TO THE FY 2001 R4, AS DESCRIBED IN SECTION III.G. OF REFTEL. TO REDUCE REPORTING BURDEN WHILE ENSURING THAT THE MOST USEFUL INFORMATION FOR RESULTS MANAGEMENT IS PROVIDED, WE HAVE WORKED HARD TO MINIMIZE ANNEX REQUESTS, AND MOST PROPOSALS (75 PERCENT) HAVE BEEN DROPPED. REQUESTS NOT INCLUDED IN THIS CABLE ARE NOT APPROVED BY THE PPC LED INTER-BUREAU DP WORKING GROUP CHARGED WITH IMPLEMENTING THE R4 PROCESS REVISIONS. ANY SUCH REQUEST SHOULD BE REPORTED TO OLIVIER CARDUNER PPC/PC FOR RESOLUTION WITH THE WORKING GROUP.

B. OF THE EIGHT ANNEXES DESCRIBED IN SECTION III BELOW, ONLY TWO ARE MANDATORY FOR ALL R4S. THESE CAN BE

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COMPLETED IN NO MORE THAN TWO TO FOUR PAGES. ANOTHER THREE ANNEXES ARE MANDATORY FOR CERTAIN MISSIONS ONLY (GCC IMPLEMENTORS, GREATER HORN OF AFRICA RELATED PROGRAMS AND ENI MISSIONS). THE LAST THREE ARE VOLUNTARY ANNEXES. WE WOULD LIKE TO STRESS THAT VOLUNTARY DOES NOT ACTUALLY MEAN COMPULSORY. VOLUNTARY ANNEXES ARE FOR INFORMATION THAT IS NOT NECESSARY FOR MAKING COUNTRY-LEVEL PROGRAM DECISIONS, BUT IS USEFUL FOR UNDERSTANDING CERTAIN CROSS-CUTTING ISSUES THAT ARE BELIEVED TO INFLUENCE LONGER TERM PLANNING ON A BUREAU OR AGENCY-WIDE BASIS. MISSION MANAGERS ARE INSTRUCTED TO USE THEIR JUDGEMENT IN DETERMINING WHICH VOLUNTARY ANNEX THEY CHOOSE TO ADDRESS. THIS INVOLVES WEIGHING THE SIGNIFICANCE OF THE INFORMATION PROVIDED AGAINST THE COST OF STAFF TIME NEEDED TO PREPARE IT.

C. WE WOULD LIKE TO ADVISE THAT ALL R4 GUIDANCE, INCLUDING ANSWERS TO FREQUENTLY ASKED QUESTIONS (FAQS), AND A POWERPOINT PRESENTATION ON R4 CHANGES, ARE POSTED ON THE USAID INTRANET. THE FAQS INCLUDES ANSWERS TO QUESTIONS SUCH AS: CAN INDICATORS BE CHANGED FROM YEAR TO YEAR?, CAN OUTPUT INDICATORS BE USED?, AND, HOW ARE THE R4 AND THE MPP SYNCHRONIZED? TO ACCESS THE R4 WEB SITE, GO TO THE AGENCY INTRANET WEB PAGE (WWW.USAID.GOV) AND CLICK ON FY2001-GUIDANCE UNDER THE INFO SERVICES LIST. YOU CAN ALSO ACCESS THIS SITE MORE DIRECTLY BY GOING TO THE PPC/CDIE WEB SITE (CDIE.USAID.GOV/R4PREP). IF YOU DO NOT HAVE WEB ACCESS, YOUR DP BACKSTOP OR DESK OFFICER CAN OBTAIN THIS INFORMATION AND EMAIL IT TO YOU. THE POWERPOINT PRESENTATION AVAILABLE ON THE WEB SITE IS BEING USED TO BRIEF WASHINGTON BUREAU STAFF AND PARTNERS ON R4 CHANGES. THE ENTIRE PRESENTATION CAN BE VIEWED DIRECTLY FROM THE WEB PAGE WITHOUT THE NEED FOR POWERPOINT SOFTWARE. ALL USAID STAFF WHO ARE PREPARING OR REVIEWING R4S SHOULD BE FULLY FAMILIAR WITH THE CONTENTS OF THAT PRESENTATION.

II. R4 RELATED INFORMATION REQUESTS NOT COVERED IN THIS CABLE

FOR COMPREHENSIVENESS, WE SUMMARIZE HERE THE FIVE OTHER APPROVED R4 RELATED INFORMATION REQUESTS.

A. REFTTEL SECTIONS IV.B.2. AND IV.D. REQUESTED MANDATORY ANNEXES FOR THOSE MISSIONS WHERE STAND-ALONE TITLE II PROGRAMS EXIST (I.E. THOSE NOT INTEGRATED AS PART OF AN SO), OR WHERE A MISSION HAS BEEN ASSIGNED RESPONSIBILITY FOR CERTAIN NEIGHBORING NON-PRESENCE COUNTRY PROGRAMS (THESE ARE TWO SEPERATE ANNEXES).

B. INSTRUCTIONS ARE BEING SENT BY SEPTTEL TO ALL MISSIONS FOR REPORTING ON MICROENTERPRISE ACTIVITIES. THIS IS A STANDARD REQUEST WHICH SERVES TO GENERATE THE AGENCY'S ANNUAL MICROENTERPRISE REPORT FOR CONGRESS AND THE MICROENTERPRISE COMMUNITY. THIS INFORMATION SHOULD NOT BE TRANSMITTED WITH THE R4. FOLLOW THE SUBMISSION REQUIREMENTS DESCRIBED IN THE INSTRUCTION CABLE.

C. THE ANE BUREAU IS REQUESTING ITS MISSIONS TO COMPLETE A SEPARATE BUDGET THRESHOLD ANALYSIS WHICH SHOULD BE SUBMITTED DIRECTLY TO THE BUREAU VIA EMAIL. THIS INFORMATION IS SIMILAR TO THAT OBTAINED BY ALL BUREAUS THROUGH VARIOUS MEANS AT VARIOUS TIMES (LAC VIA THE LABS, OTHERS VIA EMAILS OR TELCON). ANE PREFERS TO OBTAIN IT ONCE A YEAR RATHER THAN THROUGH AD HOC EMAIL REQUESTS AND IS ABLE TO DO SO THANKS TO PRUDENT PIPELINE MANAGEMENT. THIS REDUCES THE NEED FOR FREQUENT INFORMATION UPDATES AND HELPS REDUCE WORKLOAD. INFORMATION IS USED FOR PREPARING BUDGET RECLAMAS AS NEEDED IN THE COURSE OF THE YEAR. IT IS NOT USED TO DETERMINE PERFORMANCE OF SOS.

D. THE AFRICA BUREAU HAS ASKED FOUR MISSIONS TO PREPARE AN R4 ANNEX RELATED TO NON-PROJECT ASSISTANCE ACTIVITIES (SEE AFR R4 GUIDANCE). THIS INFORMATION IS USED TO UPDATE MACRO-ECONOMIC ASSESSMENTS THAT SUPPORT CONTINUED USE OF THIS TYPE OF ASSISTANCE.

III. SUPPLEMENTAL R4 INFORMATION ANNEX REQUESTS

A. MANDATORY FOR ALL OPERATING UNITS (MISSIONS AND WASHINGTON)

1. INFORMATION ANNEX TOPIC: ENVIRONMENTAL IMPACT

REQUESTED BY: BUREAU ENVIRONMENT OFFICERS

WHAT THE INFORMATION ANNEX WILL BE USED FOR: THERE ARE TWO COMPONENTS TO THIS ANNEX. THE FIRST COMPONENT PROVIDES A NOTIONAL PLAN FOR ANY NEW OR AMENDED INITIAL ENVIRONMENTAL ASSESSMENT (IEE) OR ENVIRONMENTAL ASSESSMENT (EA) DOCUMENTS THAT MISSIONS EXPECT TO NEED FOR THE COMING YEAR, AND A TIMEFRAME FOR APPROVAL IF KNOWN (PER 22 CFR 216). THIS SERVES AS A MANAGEMENT TOOL FOR THE OPERATING UNIT AND ITS BUREAU ENVIRONMENTAL OFFICER TO PLAN FOR NEEDED WORK, STAFF TIME AND BUDGETS WHICH ARE REQUIRED PRIOR TO OBLIGATION OF FUNDS. THE SECOND COMPONENT IS A BRIEF STATEMENT OF WHETHER STRATEGIC OBJECTIVES AND

RELATED ACTIVITIES ARE IN COMPLIANCE WITH PREVIOUSLY APPROVED IEES, OR EAS. THIS WILL BE USED TO IDENTIFY ANY PROBLEM AREAS THAT MAY REQUIRE ADDITIONAL RESOURCES IN ORDER TO BE BROUGHT INTO COMPLIANCE. THIS WILL INCREASE CONFIDENCE IN REPORTED RESULTS AND HELP AVOID UNNECESSARY HOLDS IN IMPLEMENTATION OR UNFORESEEN REDESIGN OR COSTS DUE TO ACTIVITIES FALLING OUT OF COMPLIANCE WITH THEIR ENVIRONMENTAL DETERMINATIONS.

SPECIFIC POINTS TO BE ADDRESSED: THE FIRST COMPONENT ON ANTICIPATED DETERMINATIONS FOR NEW ACTIVITIES COULD BE A SHORT NOTIONAL LIST OR TABLE LISTING ANY ANTICIPATED NEW ACTIVITIES OR SETS OF ACTIVITIES THAT MIGHT NEED ENVIRONMENTAL DETERMINATIONS, AS KNOWN AT THE TIME THE ANNEX IS PREPARED. IF THERE ARE NONE ANTICIPATED, PLEASE SO STATE. THE SECOND COMPONENT WILL BE EITHER A SINGLE SENTENCE THAT ALL ACTIVITIES ARE IN COMPLIANCE WITH THEIR CORRESPONDING IEES, CES OR EAS, OR A BRIEF LISTING OF ACTIVITIES (BY SO) THAT ARE NOT CURRENTLY IN COMPLIANCE DESCRIBING WHAT WASHINGTON RESOURCES MAY BE NEEDED TO BRING THE SO INTO COMPLIANCE.

MAXIMUM PAGE LENGTH: 1 PAGE

REQUIREMENT: MANDATORY FOR ALL USAID OPERATING UNITS.

2. INFORMATION ANNEX TOPIC: UPDATED RESULTS FRAMEWORK

REQUESTED BY: PPC/CDIE/PME

WHAT THE INFORMATION ANNEX WILL BE USED FOR: CDIE USES THIS INFORMATION AS INPUT IN PREPARATION OF THE ANNUAL PERFORMANCE REPORT AND ANNUAL PERFORMANCE PLAN. IT IS ALSO USED TO PROVIDE TECHNICAL ASSISTANCE BACK TO FIELD MISSIONS WHO ARE DEVELOPING NEW STRATEGIES (RESULTS FRAMEWORKS ARE A SUMMARIZED DESCRIPTION OF SO ACHIEVEMENT STRATEGIES).

SPECIFIC POINTS TO BE ADDRESSED: THE ANNEX SHOULD BE A SIMPLE LISTING OF CURRENT SOS AND IRS ORGANIZED TO SHOW THEIR RELATIONSHIP TO EACH OTHER (FOR EXAMPLE, WITH A SIMPLE TEXT FORMAT, LOWER LEVEL IRS COULD BE INDENTED IMMEDIATELY BELOW HIGHER LEVEL IRS OR THE SO). IF YOU ARE REQUESTING WASHINGTON APPROVAL OF A CHANGE AT THE SO OR IR LEVEL, PLEASE INCLUDE BOTH CURRENT AND PROPOSED NEW LANGUAGE. PLEASE FOLLOW GENERAL ELECTRONIC FILE FORMAT RESTRICTIONS CONTAINED IN SECTION II.F. OF REFTTEL.

MAXIMUM PAGE LENGTH: ABOUT ONE HALF PAGE PER SO.

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5

REQUIREMENT: MANDATORY FOR ALL USAID OPERATING UNITS.

B. MANDATORY FOR SOME OPERATING UNITS ONLY

1. INFORMATION ANNEX TOPIC: GLOBAL CLIMATE CHANGE

REQUESTED BY: G/ENV

WHAT THE INFORMATION ANNEX WILL BE USED FOR: THIS INFORMATION WILL ENABLE G/ENV TO PREPARE THE ANNUAL REPORT TO CONGRESS AND THE ADMINISTRATION ON USAID'S CLIMATE CHANGE INITIATIVE (CCI).

SPECIFIC POINTS TO BE ADDRESSED: IN JULY 1998 ALL AGENCY OPERATING UNITS ATTRIBUTING RESOURCES TOWARD THE AGENCY'S CLIMATE CHANGE INITIATIVE WERE SENT A DOCUMENT ENTITLED QUOTE USAID CLIMATE CHANGE INITIATIVE INDICATORS UNQUOTE THAT DESCRIBED HOW OPERATING UNITS SHOULD REPORT ON THE CCI. (FYI: AN UPDATE WILL BE RELEASED THE FIRST WEEK OF FEBRUARY). THESE ARE UNITS IMPLEMENTING ACTIVITIES THAT A) INCREASE DEVELOPING COUNTRY PARTICIPATION IN THE UNITED NATIONS FRAMEWORK CONVENTION ON CLIMATE CHANGE, B) DECREASE NET GREENHOUSE GAS EMISSIONS, OR C) REDUCE DEVELOPING COUNTRY VULNERABILITY TO THE THREATS POSED BY CLIMATE CHANGE OBJECTIVE. OPERATING UNITS WERE ASKED TO REPORT ON CLIMATE-SPECIFIC ACTIVITIES, AS WELL AS AGRICULTURE, BIODIVERSITY, ENERGY, FORESTRY, HEALTH, URBAN, AND WATER SECTOR ACTIVITIES THAT PROVIDED A NET CLIMATE BENEFIT. G/ENV REQUESTS THAT THIS INFORMATION BE PROVIDED AS AN R-4 ANNEX. IN ADDITION, OPERATING UNITS ARE REQUESTED TO PROVIDE A BRIEF NARRATIVE DESCRIBING IMPORTANT SUCCESSES ACHIEVED.

MAXIMUM PAGE LENGTH: 1-2 PAGES OF NARRATIVE PLUS INDICATOR TABLES.

REQUIREMENT: MANDATORY FOR THOSE MISSIONS AND WASHINGTON OFFICES ATTRIBUTING RESOURCES TOWARD THE AGENCY'S CLIMATE CHANGE INITIATIVE. IF YOU ARE UNSURE OF WHETHER YOUR OPERATING UNIT ATTRIBUTES RESOURCES TO THE CCI, OR IF YOU HAVE NOT RECEIVED THE UPDATED GUIDANCE, PLEASE REFER TO THE R-4 WEBSITE, OR CONTACT KO BARRETT IN G/ENV.

2. INFORMATION ANNEX TOPIC: GREATER HORN OF AFRICA INITIATIVE

REQUESTED BY: AFRICA BUREAU

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WHAT THE INFORMATION ANNEX WILL BE USED FOR: THE PRESIDENT'S GREATER HORN OF AFRICA INITIATIVE (GHAI) HAS SPECIAL INFORMATION REPORTING REQUIREMENTS THAT GO BEYOND SPECIFIC ACTIVITIES REPORTED IN SO NARRATIVES. THEY INVOLVE STATE DEPARTMENT ACTIVITIES, AS WELL AS THOSE FUNDED BY THE BHR AND G BUREAU. IN ORDER TO PREPARE THE REQUIRED ANNUAL REPORT ON THE GHAI, ALL AGENCY OPERATING UNITS IMPLEMENTING GHAI ACTIVITIES (INCLUDING BHR AND G) NEED TO REPORT GHAI STATUS AND ISSUES IN A SUPPLEMENTAL ANNEX. THE ADMINISTRATOR HAS MADE SUCCESSFUL IMPLEMENTATION OF THE GHAI A TOP PRIORITY FOR THE AGENCY. THE ANNUAL REPORT PERMITS OVERALL ASSESSMENT OF PROGRESS ON THIS PROGRAM.

SPECIFIC POINTS TO BE ADDRESSED: REPORTING ON THE GHAI SHOULD BE THREE-PRONGED. FIRST, THOSE OPERATING UNITS WHICH ARE DIRECTLY MANAGING GHAI FUNDS SHOULD REPORT EXPLICITLY ON THE RELEVANT ACTIVITIES AND RESULTS, INCLUDING WHICH GHAI AND OPERATING UNIT INTERMEDIATE RESULT THEY SUPPORT. REDSO/ESA, CHARGED WITH PROGRAMMING AND TRACKING ALL GHAI RESOURCES, MANAGES THE MAJORITY OF GHAI-FUNDED ACTIVITIES, THUS THE MAIN TEXT OF ITS R4 WILL INHERENTLY CAPTURE GHAI RESULTS. EXAMPLES FOR OTHER OPERATING UNITS INCLUDE THE USAID/UGANDA NORTHERN UGANDA FOOD SECURITY (NUFS)ACTIVITY AND WATER HYACINTH ACTIVITY, GLOBAL BUREAU'S RINDERPEST ACTIVITY, AND USAID/TANZANIA AND USAID/KENYA'S CONFLICT MITIGATION ACTIVITIES. RESULTS SHOULD BE HIGHLIGHTED IN THE SUPPLEMENTAL GHAI ANNEX.

IDEALLY, OPERATING UNITS WILL ALSO INDICATE HOW/IF THE GHAI "OPERATIONAL FRAMEWORK" IS BEING APPLIED TO THE ACTIVITY THROUGH THE FIVE OPERATING PRINCIPLES -- AFRICAN OWNERSHIP, STRATEGIC COORDINATION, REGIONAL APPROACHES, LINKING RELIEF AND DEVELOPMENT AND PROMOTING STABILITY. FOR EXAMPLE, IF THE ACTIVITY IS CO-FUNDED WITH OTHER DONORS, OTHER USAID OPERATING UNITS, AND/OR OTHER USG AGENCIES, THIS WOULD BE A GOOD EXAMPLE OF "STRATEGIC COORDINATION." SECOND, OPERATING UNITS CONTRIBUTING TO GHAI RESULTS WITH THEIR OWN RESOURCES, I.E., MOVING TOWARD "CONVERGENCE," THE GHAI INTERNAL AGENCY GOAL, SHOULD REPORT IN THE RELEVANT STRATEGIC OBJECTIVE SECTION OF THE OPERATING UNIT'S R4, WITH A BRIEF RECAP OF THESE RESULTS IN THE ANNEX.

THIRD, OPERATING UNITS CONTRIBUTING IN OTHER WAYS TO THE OPERATIONAL FRAMEWORK NEED TO REPORT ON SPECIFIC EXAMPLES OF THESE "RESULTS" IN THE ANNEX. AS LAST YEAR'S EXERCISE REVEALED, GENERIC STATEMENTS REGARDING AN OPERATING UNIT'S COMMITMENT TO THE GHAI OPERATING PRINCIPLES OF AFRICAN

OWNERSHIP, STRATEGIC COORDINATION, REGIONAL APPROACHES, LINKING RELIEF AND DEVELOPMENT AND PROMOTING STABILITY WERE DIFFICULT TO TRANSLATE INTO MEANINGFUL RESULTS REPORTING. THUS, A FEW VERY SPECIFIC EXAMPLES OF THE APPLICATION OF THE GHAI PRINCIPLES ARE NEEDED, RATHER THAN GENERALIZED STATEMENTS. BUREAUS ARE REMINDED THAT NUMEROUS CONTRIBUTIONS, WHILE NOT EASILY CAPTURED THROUGH THE TRADITIONAL RESULTS REPORTING INDICATORS, HAVE BEEN MADE BY AFR, G, BHR, M, PPC AND GC OPERATING UNITS. PLEASE NOTE THAT THE GHAI ACTION PLANS PREPARED BY THE

CENTRAL BUREAUS A YEAR AGO SHOULD SERVE AS EXCELLENT TOOLS FOR PREPARATION OF THIS SUPPLEMENTAL GHAI INFORMATION.

MAXIMUM PAGE LENGTH: TWO PAGES

REQUIREMENT: REQUIRED FOR AFR, GHAI BILATERAL MISSIONS AND THOSE CENTRAL BUREAUS MANAGING GHAI FUNDS, WORKING TOWARD GHAI CONVERGENCE AND/OR CONTRIBUTING TO THE GHAI OPERATIONAL FRAMEWORK.

3. INFORMATION ANNEX TOPIC: ENI R4 DETAILED BUDGET INFORMATION

REQUESTED BY: ENI/PCS TO MEET REPORTING REQUIREMENTS OF STATE COORDINATORS OFFICE

WHAT THE INFORMATION ANNEX WILL BE USED FOR: THESE TABLES WILL BE USED BY THE COORDINATORS IN THE BUDGET ALLOCATION PROCESS

SPECIFIC POINTS TO BE ADDRESSED: FOR CEE COUNTRIES, REPORTING WOULD BE AT THE SO, PROJECT, SUBPROJECT/GRANTEE/CONTRACTOR LEVEL. FOR NIS COUNTRIES, REPORTING IS AT THE SO, PROJECT, PROJECT COMPONENT, GRANTEE/CONTRACTOR LEVEL.

MAXIMUM PAGE LENGTH: ONE TABLE PER COUNTRY

REQUIREMENT: THIS SUPPLEMENTAL R4 ANNEX REQUIREMENT IS MANDATORY FOR ENI MISSIONS. IT DOES NOT APPLY TO NON-ENI MISSIONS.

C. VOLUNTARY ANNEXES

1. INFORMATION ANNEX TOPIC: PROGRAM INTEGRATION AND SYNERGY.

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8

REQUESTED BY: ANE AND AFR BUREAUS

WHAT THE INFORMATION ANNEX WILL BE USED FOR: BETTER UNDERSTANDING OF HOW OUR GOAL AREAS REINFORCE EACH OTHER AND FOR MAKING PROGRAMMATIC RECOMMENDATIONS TO BUREAU MANAGEMENT.

SPECIFIC POINTS TO BE ADDRESSED: WE WOULD LIKE TO SEE GOOD REPORTING ABOUT THOSE PROGRAMS THAT HAVE INTENTIONALLY LINKED TWO OR MORE GOAL AREAS SO THAT THEIR EXPECTED RESULTS ARE INTERDEPENDENT. FOR EXAMPLE, AN ENV PROGRAM THAT DIRECTLY CONTRIBUTES TO ACCOMPLISHMENTS UNDER A DEMOCRACY SO BECAUSE OF THE WAY IN WHICH ACTIVITIES ARE CARRIED OUT. THERE MAY ALSO BE CASES OF SOS WHOSE ACHIEVEMENTS ARE NEGATIVELY AFFECTED BECAUSE OF LACK OF PROGRESS IN OTHER GOAL AREAS. EITHER CASE HELPS US BETTER UNDERSTAND HOW TO IMPROVE OUR PROGRAMS, AND HOW BUDGET DECISIONS IN ONE AREA MAY AFFECT OTHER GOALS. NOTE THAT WE ARE MAINLY INTERESTED HERE IN CASES WHERE DIRECT IMPACTS ACROSS SOS CAN BE FELT IN THE SHORT TO MEDIUM TERM. WE ARE LESS INTERESTED IN LINKAGES THAT MAY EXIST AT A BROAD OR THEORETICAL LEVEL, BUT WHICH REMAIN INTANGIBLE IN TERMS OF SO RESULTS ACHIEVEMENT.

MAXIMUM PAGE LENGTH: THREE PAGES

REQUIREMENT: STRICTLY OPTIONAL

2. INFORMATION ANNEX TOPIC: CROSSCUTTING THEMES

REQUESTED BY: AFR, ANE, LAC AND PPC

WHAT THE INFORMATION WILL BE USED FOR: REGIONAL BUREAUS AND PPC FREQUENTLY NEED INFORMATION ON CROSSCUTTING THEMES INCLUDING GENDER, PARTICIPATION, DEVELOPMENT PARTNERING, INSTITUTIONAL CAPACITY BUILDING, AND, FOR THE AFRICA BUREAU, EXAMPLES OF SUCCESSFUL AFRICAN OWNERSHIP AND LEADERSHIP. THIS INFORMATION, WHICH MAY OR MAY NOT BE REGULARLY INCLUDED AS PART OF SHORTER R4 NARRATIVES, IS UTILIZED BROADLY IN PREPARATION OF SPEECHES, RESPONSES TO CONGRESSIONAL INQUIRIES, AND OTHER INFORMATION REQUESTS. IT IS ALSO USED BY THE BUREAUS TO MAKE PROGRAMMATIC RECOMMENDATIONS AND TO GAIN A BETTER UNDERSTANDING OF HOW PROGRAMS REINFORCE AND RELATE TO EACH OTHER.

SPECIFIC POINTS TO BE ADDRESSED: SELECT ONE OR MORE OF THE CROSS CUTTING THEMES LISTED ABOVE AND DESCRIBE THEIR SIGNIFICANCE IN RELATION TO YOUR STRATEGIC OBJECTIVES. WE

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ARE PARTICULARLY INTERESTED IN SPECIFIC APPROACHES YOU ARE TAKING IN THESE THEMES AREAS, AND WORTHWHILE RESULTS THAT ARE ACHIEVED WHICH MAY BE OF INTEREST TO OTHER MISSIONS. THIS SHOULD FOCUS ON RESULTS THAT ARE NOT FULLY CAPTURED IN INDIVIDUAL SO REPORTING (EG GENDER IMPACT). IF YOUR EXPERIENCE IN CERTAIN AREAS IS PARTICULARLY SUCCESSFUL OR PROBLEMATIC AND COULD BE REINFORCED BY APPROPRIATE WASHINGTON SUPPORT (E.G. ADDRESSING DONOR COORDINATION ISSUES AT HEADQUARTER LEVEL), PLEASE SO STATE.

MAXIMUM PAGE LENGTH: FOUR PAGES

REQUIREMENT: STRICTLY OPTIONAL

3. INFORMATION ANNEX TOPIC: EVALUATION AGENDA

REQUESTED BY: PPC/CDIE

WHAT THE INFORMATION ANNEX WILL BE USED FOR: THIS ANNEX WILL BE USED FOR SEVERAL PURPOSES. FIRST, IT WILL ENABLE THE CDIE RESEARCH AND REFERENCE SERVICE (R&RS) TO ENSURE THAT INFORMATION IT PROVIDES IN RESPONSE TO MISSION REQUESTS IS AS COMPLETE AS POSSIBLE (R&RS RESPONDS TO 40,000 REQUESTS ANNUALLY, FROM AGENCY STAFF, CONSULTANTS WHO ASSIST MISSIONS, AND PARTNERS). SECOND, THIS INFORMATION WILL ASSIST IN CARRYING OUT CROSS-CUTTING EVALUATIONS THAT PROVIDE CONDENSED FEEDBACK TO AGENCY STAFF ON USAID'S PROGRAM EXPERIENCE. THIRD, INFORMATION WILL BE USED TO CAPTURE NEWER MISSION EXPERIENCES WITH HIGHER LEVEL EVALUATIONS TO ASSESS PROGRESS AT THE SO AND IR LEVEL. THIS WILL SUPPORT DEVELOPMENT OF BEST PRACTICES FOR OTHER OPERATING UNITS THAT ARE ATTEMPTING TO ASSESS THE LINKAGES BETWEEN THEIR INTERVENTIONS AND THE IMPACT ON HIGHER LEVEL RESULTS OR SOS. FINALLY, THE LISTING WILL ASSIST MISSIONS IN MEETING THE ADS REQUIREMENT TO TRANSMIT COPIES OF KEY ASSESSMENTS TO USAID/W.

SPECIFIC POINTS TO BE ADDRESSED: THE ANNEX SHOULD IDENTIFY EVALUATIONS, ASSESSMENTS AND OTHER ANALYSES THE MISSION HAS UNDERTAKEN IN THE PAST YEAR WHICH COULD BE OF INTEREST TO OTHER MISSIONS OR WASHINGTON BUREAUS IN DEVELOPING OR ASSESSING SIMILAR PROGRAMS. BECAUSE WE ARE TRYING TO UPDATE AGENCY EVALUATION INFORMATION, IT WOULD BE USEFUL IF YOU CAN INCLUDE ON THIS YEAR'S LIST DOCUMENTS THAT HAVE BEEN PREPARED OVER THE PAST 2 TO 3 YEARS. YOU MAY ALSO IDENTIFY ANY ANTICIPATED FUTURE ASSESSMENTS TO BE CARRIED OUT IN THE NEXT YEAR AND HIGHLIGHT ANY WASHINGTON ASSISTANCE YOU MAY NEED IN THIS REGARD.

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10

MAXIMUM PAGE LENGTH: 2 PAGES.

REQUIREMENT: STRICTLY OPTIONAL - IF YOU CHOOSE NOT TO DO THIS ANNEX, PLEASE SEND CDIE COPIES OF ANY NEW ASSESSMENT REPORT THAT HAS NOT ALREADY BEEN SENT.

MINIMIZE CONSIDERED FOR BUJUMBURA, DAR ES SALAAM. YY

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