PROJECT ... REQUEST FOR ALLOTMENT OF FUNDS — PART I

PAF

2. DOCUMENT: 5

3. COUNTRY/ENTITY: HAITI

4. DO: [ ]

5. PROJECT NUMBER (7 digits): [521-081]

6. BUREAU/OFFICE: A. SYMBOL: LA; B. CODE: [5]

7. PROJECT TITLE (... characters): [Gros Morne Rural Development -

8. PROJECT APPROVAL DECISION — ACTION TAKEN: [A]
A = APPROVED
D = DISAPPROVED
DE = DEAUTHORIZED

9. EST. PERIOD OF IMPLEMENTATION: YRS [3] QTRS [12]

10. APPROVED BUDGET AID APPROPRIATED FUNDS ($000)

A. APPROPRIATION	B. PRIMARY PURPOSE CODE	PRIMARY TECH. CODE	F. 1ST FY 77	H. 2ND FY 78		K. 3RD FY 79	
				I. GRANT	J. LOAN	L. GRANT	M. LOAN
(1) F & N				29		31	
(2)							
(3)							
(4)							
TOTALS			44			31	

A. APPROPRIATION	N. 4TH FY ___		O. 5TH FY ___		LIFE OF PROJECT	
	O. GRANT	P. LOAN	R. GRANT	S. LOAN	T. GRANT	U. LOAN
(1)					104	
(2)						
(3)						
(4)						
TOTALS					104	

C. PROJECT FUNDING AUTHORIZED — A. GRANT
OR APPROPRIATE
1 = LIFE OF PROJECT
2 = INCREMENTAL, LIFE OF PROJECT: 2

C. PROJECT FUNDING AUTHORIZED THRU: 7

12. INITIAL PROJECT FUNDING ALLOTMENT

A. APPROPRIATION	B. ALLOTMENT	C. GRANT
(1)		
(2)		
(3)		
(4)		

13. FUNDS RESERVED FOR ALLOTMENT

(SER/FM/FSD)

DATE

14. SOURCE AND SERVICES [X] DOD [ ] ... [ ] ... [ ] OTHER ___

15. F ... CHANGE PROPOSED

Haiti OPG 081

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FOR ... USE	16. AUTHORIZING OFFICE SYMBOL	17. ACTION DATE MM DD YY	18. ACTION ...	ACTION REFERENCE MM DD

PROJECT AUTHORIZATION AND REQUEST FOR ALLOTMENT OF FUNDS

PART II

Haiti (OPG/CRS) Gros Morne Rural Development 521-081

Pursuant to Section 103 of the Foreign Assistance Act of 1961, as amended, I hereby authorize a grant to Catholic Relief Services not to exceed forty-four thousand United States dollars ($44,000) to help in financing certain foreign exchange and local currency costs of goods and services required for the project as described in the following paragraphs.

The purpose of the project is to establish a network of 34 locally controlled community organizations in the Gros Morne District. Thirty-two to thirty-four villages with approximately 100,000 inhabitants comprise the target population. The project would finance short-term training in a rural milieu for "animateurs" (agents or extension workers) in the fields of agriculture and medico-social work. The animateurs would return periodically to their villages in order to organize small community groups to implement development activities and to assist in solving problems that would be identified collectively by local groups.

By the end of the project, CRS envisions agricultural production of participating farmers will have increased by 100% per kilo of seed planted for specified crops, second and third degree malnutrition of children attending the clinics will be reduced by 30%, and infant mortality will be reduced by 50% with the same percentage reduction in mortality for children in the 1-4 year group.

A meeting of the DAEC considered the proposal on July 15, 1976, Issues Paper appended as Tab A. Tentative approval was given the proposal pending clarification on several points as noted in State 185165, see Tab B. The Mission and PVO response is as noted in TOAID A-44 attached as Tab C. A brief resume of the points raised and the replies are as follows:

A. Revise Goal and Purpose sections of the log frame: The goal is to improve the quality of life for the rural inhabitants of the Gros Morne District and the purpose is to establish a network of 34 locally controlled community organizations in the Gros Morne District by June 1980.

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B. Provide evaluation plan: Evaluations have been scheduled for one year intervals with a wide range of participants. USAID will be invited to participate. Additional base line data was provided in the revised matrix and it was noted that PVO staff are now compiling other data to be used for this purpose.

C. In view of 90 percent illiteracy in rural Haiti, can selection of candidates for this project rely upon efforts to find literate women and men? The PVO felt the literacy problem would not be a limitation with the initial groups of animateurs as the communities from which these people will be drawn are locations where literacy training has been carried out. However, subsequent candidates will have to complete a six-month literacy course. The PVO further stated that a separate literacy project is being undertaken which will complement this project. Funding for this will be from PVO resources.

D. How will the specialized (technical) training prepare animateurs and agents for effective non-written communication with the illiterate rural population? Animateurs will be given instruction in group dynamics, teaching illiterates through group formation, the use of visual aids, simple problem solving techniques, and the use of socio-dramas. Based on previous experience, the PVO was of the opinion that one or a combination of these techniques would result in effective communication.

E. Mission Approval of Supplementary Budget: The Mission indicated approval of the supplementary budget for a total AID support of $109,000. However, CRS/NY has indicated it will absorb the first year cost of the supplemental budget reducing the AID support requirement to $104,000.

The DAEC participants consider the responses summarized above to be satisfactory. Mission support for the project is contained in TOAID-22 attached as Tab D. Congressional notification procedures are being complied with.

I approve the total level of AID appropriated funding planned for this project of not to exceed one hundred four thousand United States dollars ($104,000), Grant, including the funding authorized above, during the period FY 1977 through FY 1979. I approve further increments during that period of Grant funding up to $60,000, subject to the availability of funds in accordance with AID allotment procedures.

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I hereby authorize the initiation of negotiation and execution of the Grant Agreement by the officer to whom such authority has been delegated in accordance with AID regulations and Delegations of Authority subject to the following essential term, together with such other terms and conditions as AID may deem appropriate:

Source and Origin of Goods and Services: Goods and services financed by AID under the project shall have their source and origin in the United States except as AID may otherwise agree in writing.

Attachments:
Tab A - Issues Paper
Tab B - State 185165
Tab C - TOAID 44
Tab D - TOAID 22

Clearances:

ATilly,LA/DP	Date	Oct 76 (subs)
BGoldstein,LA/DP	Date	Oct 76 (subs)
DErbe,LA/DP	Date	Oct 76 (subs)
WRhodes,LA/CAR	Date	Oct 76 (subs)
JSingletary,LA/DR	Date	Oct 76 (subs)
MBrackett,LA/DR	Date	Oct 76 (subs)
JWelty,PPC/PB	Date	Oct 76 (subs)
JRothberg,LA/MRSD	Date	Oct 76 (subs)
CGrader,LA/MRSD	Date	NOV 1, 76

Signature

E. N. S. Girard II
AA/LA

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AIRGRAM · **DEPARTMENT OF STATE**

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TO - AID/W TOAID A- 44

AID
COMM BR

DATE SENT
9/28/76

FROM · Port-au-Prince

SUBJECT · Gros Morne Rural Development Project (521-081)

REFERENCE · A) State 185165 dated July 27 1976
B) Pereira/Wilson letter dated August 20, 1976
C) To AID A-22 dated April 13, 1976

1. Subsequent to forwarding DAEC comments to CRS and USAID/Haiti per Reference A, CRS prepared additional data and revisions for their proposal as originally submitted under Reference C. The information prepared has been transmitted to us per Reference B and is enclosed under cover of this airgram. Moreover, the overall cost of the project has also been increased bringing the total request to $100,000 for the life of the project. USAID/Haiti supports the supplementary increase and urges AID/W early review and approval proposal based on additional comments by CRS which are in reply to points raised in July DAEC review.

2. USAID/Haiti and CRS/Haiti hope proceed obligation of project funds early FY 1977 permit implementation project prior December 1976. Therefore USAID/Haiti requests AID/W approval by mid October.

ISHAM

as
Enclosures/noted above

" Sent to LA, 10/6/76.

PAGE 1 OF 1 PAGES

DRAFTED BY	OFFICE	PHONE NO.	DATE	APPROVED BY:
JHBurke, AID/PRM	AID	240	9/14/76	DIR SLBehoteguy

AID AND OTHER CLEARANCES

[illegible]:TWagy
[illegible]:LRasmussen
[illegible]:Nicholson

PRM:CWeldon
PRM:JTCraig

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AID-5-49 (9-62) W/O HECTO (Do not type below this line) PRINTED 6-68

TAB C

August 20, 1976.

Mr. James Wilson
Program Officer
USAID
Port-au-Prince, Haiti.

Dear Mr. Wilson,

Reference is made to STATE-185165 which concerns the results of the DAEC review held on July 15, 1976 in Washington for the Cros Morne Rural Development Project : CRS/Haiti 75/11 (USAID-521-081).

As I explained yesterday during our meeting, Mr. John Keegan, CRS/DR Program Director, and I spent three days earlier this week in Cros Morne working with Dr. R. Koppenleitner on the points raised in the afore referenced cable. We have followed the paragraph headings in your airgram for our response.

A.- Attached to this letter, as Enclosure No.7-revised, is an updated logical framework matrix in which the goal and purpose levels have been reworked. Also contained in the revised matrix is the baseline data which will be used for comparison purposes during evaluation. Additional baseline data along with the missing information on crop yields and meeting attendance will be forthcoming, once the census now being tabulated by the RDC staff has been completed. Under separate cover, we will forward pages nineteen and twenty-revised of the project presentation which reflect changes at the goal and purpose level as well as the evaluation plan. (See below)

B.- Evaluation have been scheduled at one year intervals, the first one taking place in July 1977 and subsequently during July 1978 and 1979 with the final evaluation in July 1980. Naturally, the results of each evaluation will be made available to USAID.

Participants will include the entire staff of RDC, the project Manager, at least one staff member from the Cros Morne Hospital, the project holder or representative, a CRS representative, and, for the last three sessions, two or three course participants and an equal number of community representatives. Also, USAID personnel will be invited to participate from time to time. The project Manager will be the person responsible for organizing these evaluations.

The indicators as well as the means of verification can be found in the attached logical framework matrix.

C.- It has been decided that a separate literacy project will complement this CPC proposal. Funding totaling approximately $5.000.- has been assured for the first year of operation. The remaining three years funding will be forthcoming from foundations and other private sources. This scheme is necessary because the project sponsor feels that the animators and agents will have to be minimally literate, (for our purposes, we are defining "LITTERATE" to be the ability to read and write Créole with a third grade level of understanding), to comprehend the material to be presented at RDC, carry out surveys, make simple reports and keep necessary records.

Based on the RDC staff's field findings, the literacy problem will not be a limitation with the initial groups of animators/agents attending courses, as the communities from which these people will be drawn are locations where several different church groups have been active and have given literacy training to their local personnel. However, before additional communities send their leaders, candidates will have had to complete a six-month literacy course.

D.- Animators and Agents attending both the first and second level courses will be given instruction in group dynamics, group formation, the use of visual aids, simple problem solving techniques and the use of socio-dramas. Using one or a combination of these techniques messages regarding the technical areas mentioned should be effectively communicated. This assertion is based on the fact that the RDC staff has had extensive experience in the use of these techniques with animators and agents in other rural training centers.

Supporting the communication channel opened between the animators/agents and the group members will be the direct contact between group members and the RDC staff as well as the interchange and mutual reinforcement which should take place within and among the Community Councils as ideas are discussed in this forum.

E.- As we discussed yesterday, the amount requested in the supplementary budget was $18.311.-, thus bringing the total requested to US $108.905.-

We trust that the information contained herein adequately answer the points raised by the IAEC review, If there should be further questions, please feel free to contact me.

With kind personal regards, I am,

Sincerely,

Carlos Pereira
Program Director

cc: CMS/NY - CACHO (2) Encl.
: Dr. R. Koppenleitner (2) Encl.

3. Specialized Groupings and Interventions (Phase 5)

(same)

4.4. Summary of goal and Purpose

1. The ultimate goal to which this project will make a contribution is the following:

To improve the quality of life for the rural inhabitants of the Gros Morne District.

2. In pursuing this ultimate goal a specific targeted project purpose has been established for this intervention which is as follows:

To establish a network of 34 locally controlled community organizations in the Gros Morne District by June 1980.

Specific project inputs and outputs are summarized in the logical framework matrix, enclosure No. 7 - revised.

4.5 Evaluation

Indicators for input, output, purpose (EBPS) and goal levels are listed in the logical framework matrix, along with pertinent baseline data. Please see Enclosure No. 7 - revised.

Additionally, the evaluation schedule has been outlined in CRS/Haitis' letter to USAID/Haiti dated August 20, 1976. Please refer.

NARRATIVE SUMMARY	OBJECTIVELY VERIFIABLE INDICATORS
Goal To improve the quality of life for the rural inhabitants of the Gros Morne District.	Indicators 1. Agricultural production of participating farmers will have increased by 10% per Kg. of seed planted for corn, red beans, sorghum and sweet potates by June 1980. 2. Second & Third degree malnutrition of children attending the clinics will be reduced by 30% by June 1980. 3. Infant mortality will be reduced by 50% by June 1980. 4. Mortality in the 1-4 children will be reduced by 50% by June 1980.
Purpose To establish a network of 34 locally controlled community organizations in the Gros Morne District by June 1980.	1. At least one agricultural intervention will have taken place with these groups in 1979 & 1980. 2. Second level graduates will be working as teams in at least 25 communities by June 1980. 3. The elected leadership posts in at least 25 Community Councils (C.C.) will have rotated among different members at least once by June 1980. 4. C.C. attendance at regular meetings will average 80-100 community members in at least 25 C.C. in June 1980. 5. The eleven local clinics will be receiving an average income of $50-80 per month from the populace by June 1980. 6. The eleven clinics with their overseeing committes will be following a prescribed work plan which has been mutially agreed upon by the community and RDC by June 1980.
Outputs 1. Construction of Rural Development Center at Gros Morne 2. Training of 502 rural animators and agents 3. Formation of 160 agricultural groups and 11 medico social groups 4. Establishment/construction of 11 village clinics. 5. Enrollment of children in health/nutrition program.	Magnitude of OUTputs Animators and Agents trained yearly: Yr.1 : 82 2: 140 3: 140 4: 140 Formation of community groups: YR:1 : 51 2: 80 3: 80 4: 80 Formation of agricultural groups: Yr.1 : 20 2: 40 3: 50 4: 50 Agricultural interventions started yearly Yr.1 : 4 2: 10 3: 10 4: 10 Children enrolled in MCH program Year 1 2 3 4 1,560 3,920 5,320 6,380
Inputs AID 1. Construction and operating costs in year 2 (28%) year 3 (16%) and year 4 (15%). CRS and other agencies 1. Construction and operating costs 1st year. 2. Food provided for nutrition health program. 3. Food for staff, students animators and field workers	Implementation Target (Type and Quantity) AID Year 1 2 3 4 1. ~~46~~ ~~24~~ ~~36~~ 44 29 31 = 104 CRS et al: 1. 90 2. 25 62 84 101 3. 12 24 24 24 4. 15 12 14 16

MEANS OF VERIFICATION	IMPORTANT ASSUMPTIONS
[illegible] prepared by RDC based on [illegible] reports. [illegible] of [illegible] clients. [illegible] annual [illegible] data compiled by RDC. [illegible] Same as 3 above.	Assumptions 1. That rainfall will on the average be no less than during the past three years. 2. That the present marketing structure will be capable of absorbing projected production increases 3. That the market will provide a fair return on products sold through existing mechanisms.
1. R.D.C. annual reports using information supplied by the Agricultural Coordination. 2. a) Personal observation reported by R.D.C. staff. b) Correlation of reports from individual community agents compiled by R.D.C. 3. Reports of C.C. meetings 4. Same as 3 above. 5. Monthly financial reports submitted by clinics to R.D.C. 6. Reports prepared by R.D.C. of meetings with clinic overseeing committees.	1. That the C.C. will continue to cooperate with the Gros Morne Hospital and R.D.C. 2. That the present political conditions will prevail throughout the life of the project. Assumptions for providing outputs: 1. Construction costs remain estimates and materials are available. 2. Suitable candidates for training courses can be recruited. 3. Capability of CRS and cooperating sponsor to administer project
. Reports and Records	Assumptions for providing inputs: 1. Continued availability of commodities sought. 2. G.O.H. continued commitment to provide duty-free entry of equipment and supplies. BOPS:(Data collected in August 1976) Related to Goal indicators: 1- Production per Kg of seed planted was as follows for: corn 15.05 Kg red beans 9.19 Kg sorghum 31.7 Kg sweet potato _____ 2. 32% of the under five population suffer from 2nd and 3rd degree malnutrition. 3. 200 infants per 1000 die in the Gros Morne District. 4. 26 children per 1000 (1-4 yrs) die in the Gros Morne District.
Accounts and records and observation of programs.	Related to Purpose Indicators: 1. There were no agriculture groups active in these 34 communities. 2. There are no locally based agents. 3. The names and offices of each elected official in the C.C.'s are on file for comparison purposes. 4. Attendance at the C.C. meetings averages 1248 person on the 34 communities 5 & 6. There are no clinics functioning in the outlying communities.