



AGENCIA PARA EL DESARROLLO INTERNACIONAL

MISION ECONOMICA DE LOS ESTADOS UNIDOS EN COSTA RICA

Apartado Postal 10053
 1000 San José Costa Rica
 Teléfono 20-45-45
 Telex 3550 AIDCR KR
 Fax (506) 20-34-34

March 30, 1989

ACTION MEMORANDUM TO THE DIRECTOR

THRU: Lewis Lucke, PDO *MLF for.*
 FROM: Michael C. Foster, PDO *MLF*
 SUBJECT: Authorization of the Comprehensive Conservation and Development Plan for Tortuguero, Costa Rica (515-0249)

REQUEST: Your authorization is requested for the Comprehensive Conservation and Development Plan for Tortuguero, Costa Rica (515-0249) OPG submitted by the Caribbean Conservation Corporation (CCC) of Gainesville, Florida.

BACKGROUND: The CCC presented an unsolicited grant proposal to support their conservation and development work in and around Tortuguero, Costa Rica, in May 1988, to AID/W and USAID/Costa Rica. In September 1988, AID/W granted the CCC \$125,000 in biodiversity funds and suggested that the Mission also consider financial support to the three year program.

The Mission held a formal review of the PID-like proposal submitted by the CCC which requested \$630,000 as an OPG, on November 18, 1988. The review, chaired by Mr. Tinsler, DDIR, approved the proposal in principle but deferred the exact amount and the mechanism for the grant until not later than February 1, 1989. This date was selected based on the understanding of the DDIR and RLA that clear guidance on the use of a "debt-swap" mechanism would be forthcoming from AID/W before the end of December 1988. It was decided in the review that additional time to the end of January would be needed to provide maximum consideration under the guidance. The DDIR and RLA felt that use of a "debt-swap" mechanism would maximize the use of grant resources; however, AID/W guidance, which was significantly delayed, does not meet the Project's needs. Additionally BCCR regulations require a minimum of \$2 million per debt swap, which this Project cannot meet. The Mission, after discussion of two other OPG proposal submissions for FY 89 and careful examination of the limited amount of funds available for OPG's this fiscal year, has determined that the final grant amount to the CCC will be \$550,000.

The CCC has submitted a revised budget request for \$550,000 (attached to the OPG). AID/W has also granted PVO registration status to the CCC/Florida (attached).

The RLA agrees that the HB13 grant mechanism is appropriate for this activity.

The Controller's Office has conducted an informal desk review of the proposed recipient's managerial capability in accordance with HB13:4F, and is satisfied that it meets the standards described in HB13. The results of the review are on file in the Controller's Office. In addition, the Regional Inspector General has been requested to perform an audit of the recipient as required by, and in accordance with, HB13:4F5c.

RECOMMENDATION: Based on the decision of the OPG Mission review, it is recommended that you authorize the subject OPG for \$550,000 to the Caribbean Conservation Corporation of Gainesville, Florida, by signing the attached Project Authorization.

MCF:dm

Attach.-

2



AGENCIA PARA EL DESARROLLO INTERNACIONAL

MISION ECONOMICA DE LOS ESTADOS UNIDOS EN COSTA RICA

Apartado Postal 10053
1000 San José Costa Rica
Teléfono 20-45-45
Telex 3550 AIDCR KR
Fax (506) 20-34-34

PROJECT AUTHORIZATION

Name of Country: Costa Rica

Name of Project: Comprehensive Conservation and
Development Plan for
Tortuguero, Costa Rica

Number of Project: 515-0249

1. Pursuant to Section 103 of the Foreign Assistance Act of 1961, as amended, I hereby authorize the Comprehensive Conservation and Development Plan for Tortuguero, Costa Rica, Project for Costa Rica involving planned obligations of not to exceed five hundred fifty thousand United States dollars (\$550,000) in grant funds over a one year period from the date of authorization subject to the availability of funds in accordance with the A.I.D. OYB/allotment process and administrative approval to help in financing foreign exchange and local currency costs for the Project. The obligation will not exceed five hundred fifty thousand United States dollars (\$550,000) in FY1989. The planned life of the Project is three years from the date of obligation.

2. The Project will preserve the wildlands and the wildlife in a manner which is economically and ecologically appropriate to the development of the Tortuguero region of the northern Caribbean coast of Costa Rica, by supporting land and water use improvement and protection of valuable plants, animals and watersheds. This is in response to recent rapid development brought by electrification, tourism and improvements of local infrastructure. The objectives of the project are to support management in the protected areas, to develop the region into a major natural history tourism attraction and to ensure the perpetuity of the sea turtle rookery. Specific objectives include: 1) to create a corridor extension between the Tortuguero National Park and Barra del Colorado Wildlife Refuge that will protect wet tropical lowland biota and the region's biodiversity; 2) to develop an environmental education program for both the buffer zone and the middle watershed area affecting Tortuguero; and 3) to support research on conservation of wet Caribbean low lands, estuaries and the marine environment, and on the endangered green sea turtle.

3. The Project Agreement, which may be negotiated and executed by the officer to whom such authority is delegated in accordance with A.I.D. regulations and delegations of authority, shall be subject to the following essential terms and covenants and major conditions, together with such other terms and conditions as A.I.D. may deem appropriate:

A. Source and Origin of Commodities, Nationality of Services

Commodities financed by A.I.D. under the Grant shall have their source and origin in the United States, or in countries which are members of the Central American Common Market, except as A.I.D. may otherwise agree in writing. Ocean shipping financed by A.I.D. under the grant shall be financed only on flag vessels of the United States, except as A.I.D. may otherwise agree in writing.

B. Conditions Precedent to Disbursement

Prior to first disbursement the C.C.C. will provide to A.I.D., in form and substance satisfactory to A.I.D., a time-phased implementation plan for the life of the project.



Carl H. Leonard
Mission Director
USAID/Costa Rica

Date: 3/30/89

MCF:dm





AGENCY FOR INTERNATIONAL DEVELOPMENT

UNITED STATES A. I. D. MISSION TO COSTA RICA

APO. Miami, FL. 34020
Telephone: 20-45-45
Telex 3550 AIDCR KR
Fax: (506) 20-34-34

March 30 1989

RECEIVED

APR 3 1989

CARIBBEAN CONSERVATION
CORPORATION

Mr. David Carr
Executive Director
Caribbean Conservation Corporation
Gainesville, Florida 32602

Subject: Comprehensive Conservation and Development Plan for Tortuguero,
Costa Rica.

Dear Mr. Carr:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as "A.I.D." or "Grantor") hereby grants to the Caribbean Conservation Corporation (hereby referred to as "CCC" or "Grantee") the sum of \$550,000 to provide support for a program in Comprehensive Conservation and Development for Tortuguero, Costa Rica, as described in the Schedule of this grant and the Attachment entitled "Program Description".

This grant is effective and obligation is made as of the date of this letter and shall apply to commitments made by the Grantee in furtherance of program objectives, through the period ending

This grant is made to CCC on condition that the funds will be administered in accordance with the terms and conditions as set forth in Attachment 1, entitled "Schedule"; Attachment 2, entitled "Program Description"; Attachment 3, entitled "Itemized Budget"; and Attachment 4, entitled "Standard Provisions" which are hereto attached and form part of this Agreement.

Please sign the original and five (5) copies of this letter to acknowledge your receipt of the grant, and return the original and four (4) copies to USAID/Costa Rica.

Sincerely yours,

Carl Leonard
Mission Director

Attachments:

1. Schedule
2. Program Description
3. Itemized Budget
4. Mandatory Standard Provisions
5. Optional Standard Provisions

Acknowledged:
Caribbean Conservation Corporation



By: David Carr
Executive Director

Date: 5 April 1989

FISCAL DATA

Appropriation: 72-1191021
Budget Plan Code: LDNA-89-25515-KG13
Project No.: 515-0249
Congressional Notification: \$550,000
Total Estimated Amount: \$550,000
IRS Employer Identification Number:
Funding Source: FY 1989 Development Assistance
Account: ARDN
Grant No.: 515-0249-G-IR-9001-00



AGENCY FOR INTERNATIONAL DEVELOPMENT

UNITED STATES A. I. D. MISSION TO COSTA RICA

APO. Miami, FL. 34020
Telephone: 20-45-45
Telex 3550 AIDCR KR
Fax (506) 20-34-34

March 30 1989

RECEIVED
APR 3 1989

CARIBBEAN CONSERVATION
CORPORATION

Mr. David Carr
Executive Director
Caribbean Conservation Corporation
Gainesville, Florida 32602

Subject: Comprehensive Conservation and Development Plan for Tortuguero,
Costa Rica.

Dear Mr. Carr:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as "A.I.D." or "Grantor") hereby grants to the Caribbean Conservation Corporation (hereby referred to as "CCC" or "Grantee") the sum of \$550,000 to provide support for a program in Comprehensive Conservation and Development for Tortuguero, Costa Rica, as described in the Schedule of this grant and the Attachment entitled "Program Description".

This grant is effective and obligation is made as of the date of this letter and shall apply to commitments made by the Grantee in furtherance of program objectives, through the period ending

This grant is made to CCC on condition that the funds will be administered in accordance with the terms and conditions as set forth in Attachment 1, entitled "Schedule"; Attachment 2, entitled "Program Description"; Attachment 3, entitled "Itemized Budget"; and Attachment 4, entitled "Standard Provisions" which are hereto attached and form part of this Agreement.

Please sign the original and five (5) copies of this letter to acknowledge your receipt of the grant, and return the original and four (4) copies to USAID/Costa Rica.

Sincerely yours,

Carl Leonard
Mission Director

Attachments:

1. Schedule
2. Program Description
3. Itemized Budget
4. Mandatory Standard Provisions
5. Optional Standard Provisions

Acknowledged:
Caribbean Conservation Corporation



By: David Carr
Executive Director

Date: 5 April 1989

FISCAL DATA

Appropriation: 72-1191021
Budget Plan Code: LDNA-89-25515-KG13
Project No.: 515-0249
Congressional Notification: \$550,000
Total Estimated Amount: \$550,000
IRS Employer Identification Number:
Funding Source: FY 1989 Development Assistance
Account: ARDN
Grant No.: 515-0249-G-IR-9001-00

Schedule

A. Purpose of Grant

The purpose of this Grant is to provide support for the Comprehensive Conservation and Development Plan for Tortuguero, Costa Rica, as more specifically described in Attachment 2 to this Grant entitled "Program Description".

B. Period of the Grant

The effective date of this Grant is March 30, 1989. The expiration date of this Grant is March 30, 1992.

C. Amount of Grant and Payment

1. A.I.D. hereby obligates the amount of \$550,000 for purposes of this Grant.
2. Payment shall be made to the Grantee in accordance with procedures set forth in Attachment 5 entitled "Payment - Periodic Advance".

D. Financial Plan

1. In Attachment 3 is the Grant Budget, including local cost financing items, if authorized.

Budget Summary

<u>Item</u>	<u>Year 1</u>	<u>Year 2</u>	<u>Year 3</u>	<u>Total</u>	<u>AID/W</u>	<u>AID/CR</u>	<u>CCC</u>
Project Adminis.	78,087	61,933	63,168	203,188	-	21,700	181,488
Regulatory Plans	75,000	40,000	-	115,000	-	115,000	-
Land Acquisition	3,000	57,500	57,500	118,000	-	-	118,000
EIE Center & Tort. Mountain	191,150	199,925	119,383	510,458	124,850	128,109	257,499
Research Station	185,000	35,000	-	220,000	-	220,000	-
Corridor Extension	65,191	-	-	65,191	-	65,191	-
Total	597,428	394,358	240,051	1,231,837	124,850	550,000	556,987

2. Revisions to the budget shall be made in accordance with Standard Provision of this Grant, entitled "Revision of Grant Budget".

E. Reporting and Evaluation

1. Monitoring and Reporting Program Performance

The Recipient shall monitor the performance under the Grant, where appropriate, ensure that time schedules are being met, projected work units by time periods are being accomplished, and other performance goals are being achieved.

Quarterly narrative reports will be prepared and submitted to USAID/Costa Rica, covering accomplishments and problems encountered and their solution. The reports will compare actual achievements with the objectives and the detailed work plan developed in the project proposal. Five copies in English of each quarterly report will be submitted by the Grantee to USAID/Costa Rica.

An end of project completion report will be submitted at the end of this project.

2. Financial Reports

Payment reports will include the "Financial Status Report" SF-269 (original and two copies), submitted quarterly, and no later than 30 days after the end of each specified reporting period, to AID's Office of Financial Management, Program Accounting and Finance Division (M/FM/PAFD) and USAID/Costa Rica. A final report shall be required at the completion of the Grant (see E.1. above).

The specified report period, at the recipient's election, may be either its fiscal year, or the Government's fiscal year, or the yearly period commencing and ending on the last day of the month of the Grant's anniversary.

F. Special Provisions

Prior to the first disbursement under this Grant or to the issuance by AID of documentation pursuant to which disbursement will be made, the Grantee will, except as the Parties may otherwise agree in writing, furnish to AID, in form and substance satisfactory to AID, a time-phased implementation plan for the life of the project.

If the conditions specified above have not been met within 90 days from the date of this Agreement, or such later date as AID may agree in writing, AID at its option, may terminate this Agreement by written notice to the Grantee.

G. Title of Property

Title to all property financed under this grant shall vest in the Grantee subject to the requirements set forth in Attachment 5, Special Provision No. 20.

10

H. Authorized Geographic Code

The authorized geographic code for procurement of goods and services under this grant is 000 and CACM.

Program Description

A. Purpose and Objectives

The purpose of the project is to preserve the wildlands and the wildlife of the Tortuguero region of the northern Caribbean coast of Costa Rica in a manner which is economically and ecologically appropriate to its development in order to control the development brought by electrification, tourism and improvements of local infrastructure. The objectives of the project are to develop the region into a major natural history tourism attraction, to ensure the perpetuity of the sea turtle rookery and to support management in the protected areas. Specific objectives include: 1) to create a corridor extension between the Tortuguero National Park and Barra del Colorado Wildlife Refuge that will protect wet tropical lowland biota and the region's biodiversity; 2) to develop an environmental education program for both the buffer zone and the middle watershed area affecting Tortuguero; and 3) to support research on conservation and on the endangered green sea turtle.

B. Project Components

1. Administration

This component will provide the equipment necessary to allow management and coordination of all activities in the Tortuguero area and the middle Tortuguero watershed, through the San José center of operations.

The Grant will provide \$21,700 for this activity divided as follows: \$2,500 for a computer/printer; \$4,000 for 2 telefax machines; \$1,000 for furniture; \$9,000 for a vehicle; \$5,200 for operation and maintenance expenses.

2. Regulatory Plan

Costa Rican law requires lease holders within the Maritime Zone to prepare a "regulatory plan" for the development of their property. The plan combines elements of a zoning map and comprehensive plan and can include adjacent property not leased by the plan sponsor, thus extending the plan indefinitely within the Maritime Zone. This component will provide the design and implementation of regulatory plans vital to the overall integrity of the project.

Separate plans will include land from the Tortuguero River mouth, along both sides of the river, south, to the National Park of Tortuguero. A regulatory plan will also be made for the Village of Tortuguero.

The Grant will provide \$115,000 for this activity divided as follows: \$45,000 for the River Mouth to Village plan; \$30,000 for the Tortuguero Village plan; and \$40,000 for the Penitencia Peninsula plan.

3. Environmental Interpretation and Extension Center and Tortuguero Mountain Construction

This component will establish the EIE Center to provide tourist and visitors information about the natural history of Tortuguero and promote environmental education. Interpretative exhibits and programs will be created that feature sea turtles, wet tropical forests and estuaries, and will make the Center an essential and informative first stop for people visiting the area. It will also contribute to community economic development by assisting villagers with tourist related enterprises.

A new trail will be designed and constructed on Tortuguero Mountain that is resistant to erosion and easier to climb, and a modest visitors shelter with interpretative displays will be erected at the top. A small boat landing dock will be installed at the base of the Mountain on the shore of the Tortuguero River.

The Grant will provide \$128,109 for this activity divided as follows: \$10,750 for EIE Center furniture and equipment. For the EIE Program: \$1,250 for rent and utilities (San Jose); \$12,000 for exhibit construction; \$6,000 for EIE training course; \$12,610 for publications; \$750 for ecotourism development. For the Beach Tour Guide Program \$7,100. For the Tortuguero Mountain: \$1,350 for shelter construction; \$9,000 for trail renovation; and \$2,000 for boat dock. For personnel: \$65,299.

4. Expansion and Renovation of the Green Turtle Research Station

This component will provide the renovation and expansion of the Research Station to serve as a general purpose research and training center for sea turtle research and conservation, and for the investigation of wet Caribbean lowlands, estuaries, and the marine environment. The Research Station will be available to Costa Rican and foreign students and scientists.

The CCC anticipates making greater use of the Station by expanding its Joshua B. Powers Fellowship Program into a comprehensive international training program in sea turtle biology and conservation.

Repairs and improvements include replacing the present main building with a concrete block structure, expanding the annex building, constructing a manager's residence, and adding a sewage system. A master plan for the whole complex will be prepared to insure proper location and design of structures and facilities.

The Grant will provide \$220,000 for this activity divided as follows: \$100,000 for construction of main building; \$75,000 for the expansion of an annex; \$35,000 for construction of a manager's residence; and \$10,000 for sewage system construction.

5. Corridor Extension

This component will provide the basis for creating a corridor to join the Tortuguero National Park and the Barra del Colorado Wildlife Refuge, which is essential to preserving the wildlife of the zone, including the green sea turtle rookery.

The Grant will provide \$65,191 to contract for the necessary studies.

CARIBBEAN CONSERVATION CORPORATION
A COMPREHENSIVE CONSERVATION AND
DEVELOPMENT PLAN FOR TORTUGUERO, COSTA RICA
REVISED BUDGET
1 FEBRUARY 1989

4.0 ITEMIZED BUDGET IN DOLLARS

Item	Year 1	Year 2	Year 3	Total	AID/CR	CCC
2.0 <u>PROJECT ADMINISTRATION:</u>						
SALARIES:						
Project Coordinator	25,000	25,000	26,000	76,000		75,000
Executive Director	7,500	7,500	7,500	22,500		22,500
Bookkeeper/clerk	4,100	4,400	4,700	13,200		13,200
Assistant	4,100	4,400	4,700	13,200		13,200
SOCIAL COSTS:						
Social security (42% of salary for Costa Rican employees)	3,444	3,696	3,948	11,088		11,088
Accident Insurance	600	600	600	1,800		1,800
OPERATING EXPENSES						
Office space & utilities	3,000	3,000	3,000	9,000		9,000
Telephone	2,000	2,000	2,000	6,000		6,000
Travel	3,000	3,000	3,000	9,000		9,000
Office supplies	2,000	2,000	2,000	6,000		6,000
Printing & reproduction	600	600	600	1,800		1,800
Postage & mailing	600	600	600	1,800		1,800
EQUIPMENT:						
Personal computer/printer	2,500			2,500	2,500	
Telefax machines (2)	4,000			4,000	4,000	
Office furniture	1,000			1,000	1,000	
Vehicle (4 wheel drive)	9,000			9,000	9,000	
Vehicle O&M	1,600	1,700	1,900	5,200	5,200	
OVERHEAD (@ 5%)	4,043	3,437	3,620	11,100		11,100
	-----	-----	-----	-----	-----	-----
	78,087	61,933	63,168	203,188	21,700	181,488

2.11 REGULATORY PLANS: (Proposal submitted by DEPPAT S.A. Taken from Appendix 2. Architect fees were not included in this budget because they are included in section 2.23, Environmental Interpretation and Extension Center.)

RIVER MOUTH TO VILLAGE	45,000		45,000	45,000
TORTUGUERO VILLAGE	30,000		30,000	30,000
PENITENCIA PENINSULA		40,000	40,000	40,000
	-----	-----	-----	-----
TOTAL:	75,000	40,000	115,000	115,000

2.22 LAND ACQUISITION: (109 acres at approximately \$1,000/acre)

Item	Year 1	Year 2	Year 3	Total	AID/CR	CCC
LEGAL FEES:	3,000	3,000	3,000	9,000		9,000
LAND PURCHASE:		54,500	54,500	109,000		109,000
TOTAL:	3,000	57,500	57,500	118,000		118,000

2.23 & 2.24 EIE CENTER & TORTUGUERO MOUNTAIN CONSTRUCTION: (Appendix 1. Taken from a proposal submitted by the CCC to U.S. AID/Washington.)

Item	Year 1	Year 2	Year 3	Total	AID/WASH	AID/CR	CCC
EIE CENTER:							
Building purchase	61,000			61,000			61,000
Building renovation	25,000	54,000		79,000	74,000		5,000
Furniture & equipment		32,250		32,250	21,500	10,750	
EIE PROGRAM:							
Rent & Util S. Jose	5,000			5,000		1,250	3,750
Exhibit Construction		20,000		20,000	8,000	12,000	
EIE training course	3,000	3,000		6,000		6,000	
Publications	4,000	4,200	4,410	12,610		12,610	
Ecotourism Development	8,750			8,750	8,000	750	
BEACH TOUR GUIDE PROGRAM	1,700	3,075	3,075	7,850	750	7,100	
TORTUGUERO MOUNTAIN:							
Shelter construction	13,350			13,350	12,000	1,350	
Trail renovation	9,400			9,400	400	9,000	
Boat dock	2,200			2,200	200	2,000	
PERSONNEL & OPERATIONS							
Salaries	43,700	67,677	91,901	203,278		65,299	137,979
Operating Expenses	1,800	2,275	5,600	9,675			9,675
Travel	3,000	3,150	3,308	9,458			9,458
OVERHEAD @10% (Excluding buildings)	9,250	10,298	11,089	30,637			30,637
TOTAL:	191,150	199,925	119,383	510,458	124,850	128,109	257,499

16

2.25 EXPANSION AND RENOVATION OF THE GREEN TURTLE RESEARCH STATION:

Item	Year 1	Year 2	Year 3	Total	AID/CR	CCC
ARCHITECTURAL DESIGN	(listed under section 2.23)					
CONSTR. MAIN BLDG	100,000			100,000	100,000	
ANNEX EXPANSION	75,000			75,000	75,000	
MANAGER RES. CONSTR.		35,000		35,000	35,000	
SEWER SYSTEM	10,000			10,000	10,000	
TOTAL:	185,000	35,000		220,000	220,000	

2.31 CORRIDOR EXTENSION (SEE Appendix 3. A proposal submitted to the CCC by the Tropical Science Center

TOTAL:	65,191			65,191	65,191	
--------	--------	--	--	--------	--------	--

5.0 BUDGET SUMMARY (\$)

Item	Year 1	Year 2	Year 3	Total	AID/WASH	AID/CR	CCC
2.0 PROJECT ADMINISTRATION	78,087	61,933	63,168	203,188		21,700	181,488
2.11 REGULATORY PLANS	75,000	40,000		115,000		115,000	
2.22 LAND ACQUISITION	3,000	57,500	57,500	118,000			118,000
2.23 & 2.24 EIE & MOUNTAIN	191,150	199,925	119,383	510,458	124,850	128,109	257,499
2.25 RESEARCH STATION	185,000	35,000		220,000		220,000	
2.31 CORRIDOR EXTENSION	65,191			65,191		65,191	
TOTAL	597,428	394,358	240,051	1,231,837	124,850	550,000	556,987