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OPG

March 31, 1977

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BOLIVIA

Mr. George Kraus
Country Director
CARE Inc.
La Paz, Bolivia

Subject: Grant N°511-0479-701608

Dear Mr. Kraus:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as "A.I.D." or "Grantor") is prepared to grant to the Cooperative for American Relief Everywhere of New York (hereinafter referred to as "CARE" or "Grantee") the sum of \$150,000 in Fiscal Year 1977 funds to provide support for the first year of a program to provide potable water and health education simultaneously to rural communities in the Department of Chuquisaca in Bolivia as more fully described in the Attachment "A" to this grant entitled "Program Description".

The Grant will be effective and obligation made as of the date of your countersignature of this letter and shall apply to commitments made by the Grantee in furtherance of program objectives during the twelve month period following the date of signature of this agreement by AID and CARE, or such other period as may be agreed by amendment to this Grant.

This Grant is made to CARE on condition that the funds will be administered in accordance with the terms and conditions as set forth in Attachments:

- A - "Program Description",
- B - "Selection Criteria",
- C - "Standard Provisions",
- D - "Payment Provisions",
- E - "General Provisions" and
- F - "Statement of Assurance and Compliance"

which have been agreed to by your organization.

Please sign the original and seven (7) copies of this letter to acknowledge your acceptance of the conditions under which these funds have been granted.

Sincerely,

(Original signed by:
James A. Van Fleet)

for Frank B. Kimball
Director

Attachments:

- A. "Program Description"
- B. "Selection Criteria"
- C. "Standard Provisions"
- D. "Payment Provisions"
- E. "General Provisions, and
- F. "Statement of Assurance and Compliance"

ACCEPTED:

Cooperative for American Relief Everywhere of New York

(Original signed by: George Kraus)

By:

Title: Country Director

Date: March 31, 1977

Grant Project Title: CARE Rural Water Systems

Grant Project No.: 511-0479-700

Attachment A: Program Description

A. Purpose of Grant

The purpose of this grant is to provide funds to Cooperative for American Relief Everywhere of New York (CARE) for a project with the purpose of providing potable water and health education simultaneously to small rural communities in the southern provinces of Chuquisaca. The project is to be implemented by the CARE office in La Paz, Bolivia, jointly with the Regional Development and Public Works Committee of Chuquisaca.

The currently planned life of project time span is three years.

Five entities are involved in terms of either funding or implementation:

1. AID - funding only.
2. CARE - funding and implementation
3. Regional Development Committee (COP), the Chuquisaca Regional Development and Public Works Committee (CODESA) - funding and implementation.
4. Communities - funding and implementation.
5. GOB Ministry of Health and Ministry of Education - funding and implementation.

No other donors are anticipated

This project is designed to use the installation of community potable water systems as the basis for a program to improve the health of the rural population living in small communities of Chuquisaca. Since water borne disease is one of the major factors contributing to the poor health of the rural sector, and particularly infant mortality, the project proposes to reduce mortality rates in target areas through potable water systems and health education, the two components of the OPG. The actual number of systems installed will be a function of the type of water delivery system used.

Each delivery system to be employed pertains to one of three general categories, each of which has certain cost implications; (1) systems requiring mechanized pumps and storage tank with faucet standpipe connections adjacent to each household; (2) gravity flow systems, including treatment and balancing tanks with faucet standpipe connections adjacent to each household; and (3) gravity flow systems from protected source without treatment or balancing tanks with faucet standpipe connections adjacent to each household. The selection of the appropriate system for a village will be determined at the time of a village survey, in accordance with specified criteria (see Attachment B); to be carried out jointly by CARE field promoters and counterpart promoters. The survey will be conducted to determine whether or not a

village will be included in the project activities. The number of installations realized at project's end will thus depend on the mix of these three systems. It has been determined that within the total proposed project costs, a total of 30 systems could be constructed if all were model number one as described above; 39 systems could be constructed if all were model number two; and 45 systems if all were model number three. USAID/Bolivia and the CARE office have agreed on the following approximate mix of systems to be constructed within the total proposed project costs of \$1,188,366 (total proposed AIF contribution \$450,000):

	<u>Maximum Number</u>	<u>Unit Cost</u>	<u>Total Cost</u>
Model #1	10	\$39,419	\$ 394,190
Model #2	12	\$30,728	\$ 368,736
Model #3	16	\$26,590	\$ 425,440
			<u>\$1,188,366</u>

The health education component of this project will complement the health improvement effects of the installation of the water system. The synergistic relationship of these education and water inputs will improve the general health of beneficiaries, reducing mortality rates traditionally caused by water-borne diseases in rural communities. The project activities will be concentrated in the southern provinces of the Department of Chuquisaca, which comprises the more disadvantaged half of the department. The population of this department is more heavily rural than others with some of the lowest per capita income and service coverage indicators.

CARE will follow a three step selection process in choosing specific communities to participate directly in project water and health education activities; i) initial pre-selection (see Attachment B for criteria); ii) preliminary site selection; iii) final site selection.

Initial pre-selection will identify the universe of possible project communities which are identified as priority areas and briefly described by the COP in its Five Year Plan. The COP based inclusion primarily on "need" criteria. CARE personnel will review the list and descriptions of communities with COP personnel in Planning and Social Development Division to identify those communities to be considered in the second step of selection.

Preliminary site selection will limit the universe to those communities best-suited to participate directly in the project by virtue of their socio-economic need and potential to contribute. A feasibility survey to be conducted will be the primary instrument used in this selection, and it will be applied by CARE and COP promoters, with CARE training and supervision. The weighting of items for passing a community to the final selection phase will fall on need and potential in approximately a 25/75 ratio since need will be the primary consideration in the first step. Criteria included in the feasibility study for this phase include such factors as: need; accessibility of the site for delivery of materials; community interest as determined by high priority given to need for water; community ability to provide the required community inputs in unskilled labor and locally available materials; and previous success as determined by history of successful parti-

icipation in prior programs sponsored either by government agencies or local groups within and without the community.

Final selection will limit the universe to those communities where water systems feasibility may be constructed with established cost per beneficiary limits and within the three basic system designs by virtue of their physical conditions. Preference will be given to sites where gravity flow systems are possible (because per capita construction costs are generally lower, as well as maintenance costs), and then to sites requiring other types of systems where per capita costs are as low as possible. Given total project costs and the variance in system types, a maximum per capita investment of \$100 has been set. The base for per capita investment costs is taken from the more costly of the three system types to be installed. The three systems vary in total per capita contribution costs as follows: Model # 1 - US\$ 99; Model # 2 - US\$ 77 and Model # 3 - US\$ 66. For convenience, Model # 1 figure has been rounded off to US\$100. The actual engineering design and user's fee structure for each system determined feasible by CARE and COP personnel will be reviewed and approved by Mission engineers before final authorization is given to begin work.

Candidate villages will be taken from the universe of those falling within the size-range of 200 to 1,999. This figure is based on USAID Health Sector Analysis listing this range as the most frequently occurring village size in rural Bolivia. The preliminary site selection survey will attempt to limit the villages to those of approximately 400 population. The proposed less sophisticated water delivery systems are relatively inexpensive and within the management capability of small, rural communities of this size. Assuming a maximum per capita investment rate of US\$100, the project has the potential to reach large numbers of people, up to 22,400, at low per capita costs. Direct health education activities will not be initiated outside communities participating in water systems. Extension/education services requiring controllable hardware and software will be limited only to communities selected for direct participation in the GOB project.

This will be a three year program conducted in two stages. The first stage includes the initial selection of sites, feasibility surveys, pre-intervention surveys, community organization and the construction of water systems. In this stage, the communities are expected to contribute a sizeable portion (approximately 20% to 30%) of the total value of the water systems through the voluntary provision of local materials and unskilled labor. Technical, logistical and organizational aspects of the systems will be coordinated by CARE with the Regional Development and Public Works Committee (COP) making use of the technical resources of this entity currently engaged in water system design and construction.

For phase two, the Committee's Health and Education Divisions will provide, through local offices of the I'OH and the I'OE, personnel to assist CARE staff in formulating a health training curriculum, conducting training courses and finally to assist in evaluation and follow-through at project's end. Curriculum will include the following general themes of environmental sanitation: housing, latrines, water supplies, public cleansing, food hygiene and storage, and pest control. This staff contribution has been one aspect of the contractual negotiations already undertaken with the COP.

The program of health education will be implemented at the same time as the first phase of the project in those sites where first phase construction activities have been completed. During the village selection, organization and water system construction, motivational activities in community organization will center on conveying awareness of the health pay-offs of a potable water system, as well as on the essential importance of community participation, contribution for, and maintenance of the water system.

CARE and COP personnel will be involved in the design of a broad education program to maximize utilization of the existing health posts and central school facilities to promote improved health practices. Programs will be designed to stress the importance of effective water use, food preparation, food consumption habits and other appropriate rural health extension messages. CARE with COP personnel will develop the appropriate media mix from such available sources as radio, printed pamphlets, billboards, poster campaigns, demonstration days, short courses and slide and film-strip presentations. These mass-media costs will be borne by CARE (see budget) and complemented by COP personnel and resources. The program will also incorporate the training of health post and central school personnel in the health subjects to be undertaken in the curriculum. CARE and COP personnel (with assistance from MOH and MOE personnel) will conduct the training. JH

Thus, the project proposes to integrate two distinct activities in a comprehensive plan to improve the health of the population in selected rural communities. Improvement in the general health of the communities will be measured by pre-and post-intervention surveys conducted in the communities by CARE in cooperation with MOH staff responsible to the Regional Development Committee. The pre-intervention surveys will be conducted at the time of site selection. Post-intervention surveys will be conducted one year after installation of the water system and again in two years after installation. On-going evaluation will be a central aspect of project implementation. It is expected that the methodologies to be employed for organizing and motivating communities and for promoting rural health extension activities will create sufficient interest among counterpart agencies to encourage them to undertake similar integrated efforts. Anticipated also are the spread effects of phase two mass-media health messages beyond the selected phase one communities.

For further details on project description and implementation see the project paper.

The Chuquisaca Regional Development and Public Works Committee (CODESA) is the counterpart agency which will implement the two-phase project with CARE. The Committee is composed of nine members from the following departmental offices of national government agencies: Department of Health of the Ministry of Social Welfare and Public Health (MOH), the National Community Development Service (NCDS), Department of Agricultural Extension of the Ministry of Campesino Affairs and Agriculture (MACAG), and the Division of Environmental Sanitation of the MOH. In addition, the Ministry of Planning and Coordination assigns educational coordinators to the COP from educational institutions in the Department. These various individuals and their staff are divided into five divisions. The divisions which will be important to this project are: Community Development, Engineering, Health and Education.

CARE will enter into a formal contractual agreement with the COP. The agreement will outline the responsibilities and inputs of each signatory for both phases of the project. For phase one, the COP will provide field promoters who, with CARE field promoters, will undertake the responsibility of executing a feasibility study for determining community eligibility. These counterpart promoters will also share the responsibility for community organization and motivation to facilitate the implementation of phase one. In phase one, the COP will also provide at least two engineers from its Engineering Division to take responsibility for the technical studies and design of each water system. To supervise site construction activities, the COP will assume responsibility for assigning its promoters to this task. COP must also be responsible for developing lab facilities and testing of water sources and delivered product on initial and routine basis. COP must facilitate availability of hypochlorite at cost for all systems requiring treatment. A community committee, to be formed in each beneficiary community, will be organized and trained to maintain the completed water system through training to be given by CARE personnel and COP technical personnel.

For both phases, CARE will provide project materials and project management. Project management, in terms of personnel, will consist of one Bolivian Promoter, one project engineer/hydrologist, and one U.S. administrator who will be headquartered in the Chuquisaca capital of Sucre, and will have four wheel drive vehicles so that project sites in the department can be visited frequently and conveniently.

A significant portion of the material input provided under this project for each water system will be made available to the community on a credit basis. As this loan is amortized by the community, a special intra-community fund will be organized. The special fund will be used to pay maintenance costs for the completed system. In cases where pumps will be utilized, an on-going use fee levy collection system will be set up to cover operating costs of the machinery. (The amount of the use-fee will be determined on a community basis at the time of system completion.)

Most communities in the target area do not have banking and/or savings and loan services. Thus, the administration of these funds will be established during project implementation with technical assistance of CARE and COP staff. Each community will organize their community committee. This committee will be responsible for the maintenance of the completed water system and for managing the community fund. (CARE and COP personnel will provide training and supervision to this committee.)

AID funds will only be used for water system materials (excluding drilling and pump equipment) and for financial inputs in phase one; eg. for training, technical staff, and supporting costs (see budget). Pump systems will be maintained by the recipient community, maintenance costs will be covered through the community fund mentioned above with management of the fund provided by the community committee.

Contributions of Each Donor:

Funding (committed herein)

1. CARE will provide funding for technical assistance, project support, construction, implementation, capital for loans, etc. Estimated amount: US\$465,300. (For details see budget, Section E. of this attachment)

2. AID will provide a total of \$150,000 for the first year of the project for the following: a) part of the cost of technical staff; b) training equipment; c) travel expenses; d) publications; e) part of the costs of the mass-media campaign; f) evaluation expenses; g) equipment and supplies; h) vehicles; and j) regional office equipment. (For details see budget, Section E. of this attachment.) Disbursement procedures are contained in Section D of this attachment.

Funding (Planned but not committed herein)

1. Regional Development and Public Works Committee of Chuquisaca (COP). The COP will fund part of the costs related to equipment and supplies, capital for loans, and technical and counterpart staff. Estimated amount: \$600,000. (For details see budget, Section E. of this attachment).

2. Communities. The communities that will receive the benefits of the project will provide in support of this project the following components: unskilled labor, a part of equipment and supplies and capital for loans. Estimated amount: \$533,625 (in kind). (For details see budget, Section E. of this attachment).

3. The GOB (Ministry of Health, Ministry of Education and National Institute of Cooperatives) will provide for technical staff, counterpart personnel, funds related to the mass-media campaign. Estimated amount: \$205,000.

Coordination

CARE will provide overall coordination.

B. Specific Objectives

The grant will provide funds for a project with the purpose of:

1) maximizing community inputs to improve the health of the rural population living in small rural communities in the southern provinces of Chuquisaca.

2) maximizing responsibility and involvement of community (user coops), thereby providing them a basis for the articulation of a village "water authority";

3) training coop members to maintain water systems;

4) establishing users fee for water system repair and maintenance;

5) coordinating health education programs with water system implementation;

6) reducing mortality rates in target areas through potable water systems and health education. Based on the initial population infant mortality rate generated from the pre-intervention survey, to be undertaken in Phase I, CARE and the Misión will set specific measurement indicators, such as a) Goal indicators - contribute to a 20% reduction

in infant mortality rates over a 10 year period in the Department of Chuquisaca; and b) Purpose indicators - a 20% reduction in water-borne diseases in the three years in the communities directly participating in the project.

7) providing an information system which will consist primarily of field reporting, including pre-and post-intervention surveys, monitoring of program activities during the project such as supervision of mass-media health education activities, and follow-up observations, surveys and reporting to determine long-range impact and/or required modifications of project. The intent of the agreement with the COP and the training sessions is to form a cadre of responsible COP promoters to assist CARE in training activities and later to provide necessary follow-up analysis on information collected through the system.

C. Implementation

To achieve the above objectives, the Grantee shall assume the following role with funds provided by this grant.

- 1) provide overall project coordination among the contributing parties;
- 2) develop a plan for systematizing maintenance procedures to assure compliance with the provisions of paragraph C.19 (2) of Attachment C (Standard Provisions).
- 3) observe PAHO standards on potability; attempt to provide domestic service of at least 100 liters per capita per day in the Chaco, and at least 64 liters per capita per day elsewhere.
- 4) in conjunction with the provision of public standpipes guide the community and the COP in the installation of house connections. Provision should also be made for acceptable distance between housed and public standpipes.
- 5) assist the participating communities in the development and explanation of a set formula for necessary community tariffs to cover maintenance and depreciation.
- 6) inspect and evaluate the project as follows:
 - a) insure that monthly reports are received by the project managers,
 - b) submit copies of these monthly reports to USAID/Bolivia,
 - c) insure that the project managers make periodic trips each year to the project sites,
 - d) meet with representatives of USAID/Bolivia every six months to discuss project progress.
 - e) insure that project managers' monthly reports conform in format and content with the objectives and evaluation indicators contained in the logical framework, according to sub-project level activities.

8) provide personnel required to fulfill project coordinating role and execute evaluation functions.

9) insure that inputs of other donors are provided on a timely basis in the amount stated in the Budget, Section E. of this Attachment.

10) provide technical inputs into feasibility design studies which will be carried out following the pattern of previous work by the Public Works Committee. These studies will be reviewed by CARE and the USAID Mission.

D. Reporting

Program Reporting: It will be the responsibility of the Grantee to insure that monthly reports are received from the on-site project managers. At least two extended visits to the project area will be made each year by the project managers. Their monthly reports will be evaluated in terms of the CARE Evaluation Report (PIE). Two copies of the monthly reports and the Grantee's evaluation thereof in English will be submitted to USAID/Bolivia's Humanitarian Assistance Division (HAD). Twice each calendar year the USAID/HAD officer and a representative of the USAID Program Office will meet with the Grantee to discuss the project's achievement and implementation matters in terms of the Logical Framework.

Disbursement Procedures and Fiscal Reporting

Disbursement Procedures

USAID/Bolivia will provide funds to CARE/Bolivia for items in the amounts indicated in the Budget, Section E., referring to AID's contribution, on a quarterly basis. The initial release of funds to CARE will be made upon a written request from CARE/Bolivia to the USAID/Controller. Subsequent releases of funds will be made upon receipt from CARE of vouchers for the funds provided in the previous disbursement. Any balance from a previous disbursement not supported by vouchers will be applied to amount of funds CARE requests for the additional disbursement. In addition, requests for disbursement of funds after that of the initial quarter must be accompanied by a certification from CARE that all other donors are providing their contributions on a timely basis.

Fiscal Reporting:

A quarterly fiscal report must be submitted with disbursement requests and must show funds received, expenditures and pipeline. Expenditures should be reported according to the line items contained in the budget in Section E. herein. The fiscal reports must include this information on disbursement expenditures and pipeline by the sub-projects covered by the Grant.

B. Budgets

Estimated 3 year, i.e. life of project budgets for A.I.D., GOB, COF , Communities and CARE, as follows:

ESTIMATED WATER SYSTEMS BUDGET SUMMARY FY'77, 78, 79

I. PERSONNEL	CARE	AID TO CARE	COF	COMMUNITIES	GOB	TOTALS
A.						
1. Exec. staff	81,000					81,000
2. Admin. staff	19,500					19,500
3. Technical staff	4,000	14,000	195,000		140,000	353,000
4. Unskilled labor				269,625		269,625
B. TRAVEL EXPENSE						
1. CARE proj. personnel	12,000	4,000				16,000
2. Counterpart personnel			10,000		10,000	20,000
II. TRAINING COSTS						
1. Training equip.		16,000				16,000
2. Travel expense		11,000				11,000
3. Publication		26,000				26,000
4. Personnel					30,000	30,000
5. Mass-media campaign		35,000			25,000	60,000
6. Evaluation Expenses		10,000				10,000
III. EQUIPMENT AND SUPPLIES						
1. Materials for water systems	260,000	275,250	380,000	249,000		1,164,250
2. Capital for loans	30,000		15,000	15,000		60,000
IV. OTHER COSTS						
1. Vehicles	16,000	32,000				48,000
2. Vehicle maint.	3,000					3,000
3. CARE administ.- costs	40,000					40,000
4. Regional office equipment		2,000				2,000
5. CARE-Overhead (5.9%)		24,750				24,750
TOTAL all columns	465,500	450,000	600,000	533,625 1/	205,000	2,254,125

1/ In-kind

INTEGRATED MURAL HEALTH PROJECT BUDGET
FY 1971

	<u>TOTAL</u>	<u>CARE</u>	<u>AID TO CARE</u>	<u>COP</u>	<u>COMMUNITY</u>	<u>GOVERNMENT</u>
I. Personnel						
A.1. Executive staff	27,000	27,000				
2. Administrative staff	6,500	6,500				
3. Technical staff	112,000		7,000	65,000		40,000
4. Unskilled labor	84,875				84,875	
B. Travel Expenses						
1. CARE Project Person.	4,000	2,000	2,000			
2. Counterpart Personnel	8,000			4,000		4,000
II. Training Costs						
1. Training equipment	3,000		3,000			
2. Travel expense	3,000		3,000			
3. Publication	7,000		7,000			
4. Personnel	15,000					15,000
III. Equipment & Supplies						
1. Materials for water systems	304,750	100,000	101,750	100,000	83,000	
IV. Other Costs						
1. Vehicles	32,000	16,000	16,000			
2. Vehicle maintenance	3,000	3,000				
3. CARE project Administrative Costs	10,000	10,000				
4. Office equipment for Regional Project Office	2,000		2,000			
5. CARE - Overhead (5.5%)	8,250		8,250			
TOTAL: all columns	710,375	164,500	150,000	169,000	167,875	59,000

INTEGRATED RURAL HEALTH PROJECT BUDGETFY' 1978

	<u>CARE</u>	<u>AID to</u> <u>CARE</u>	<u>COF</u>	<u>COMMUNITY</u>	<u>GOVERNMENT</u>
I. <u>Personnel</u>					
A. 1. Executive Staff	27,000				
2. Administrative Staff	6,500				
3. Technical Staff		7,000	62,000		50,000
4. Unskilled labor				94,875	
B. <u>Travel Expenses</u>					
1. CARE Project personnel	2,000	2,000			
2. Counterpart personnel			3,000		3,000
II. <u>Training Costs</u>					
1. Training Equipment		3,000			
2. Travel Expenses		3,000			
3. Publication		9,000			
4. Personnel			3,000		
5. Mass-Media Campaign		10,000			15,000
III. <u>Equipment and Supplies</u>					
1. Materials for water systems	90,000	91,750	120,000	83,000	
2. Capital for loans	10,000		5,000	5,000	
IV. <u>Other Costs</u>					
1. Vehicles		16,000			
2. Vehicle maintenance					
3. CARE project administ. costs	15,000				
4. Office equipment for Regional Project Office					
5. CARE - Overhead (5.5%)		8,250			
TOTAL ALL COLUMNS	150,500	150,000	193,000	102,875	68,000

INTEGRATED RURAL HEALTH PROJECT BUDGETFY' 1979

	<u>CARE</u>	<u>AID to CARE</u>	<u>COP</u>	<u>COMMUNITY</u>	<u>GOVERNMENT</u>
I. <u>Personnel</u>					
A. 1. Executive Staff	27,000				
2. Administrative Staff	6,500				
3. Technical Staff	4,000		61,000		90,000
4. Unskilled labor					
B. <u>Travel Expenses</u>					
1. CARE Project Personnel	8,000				
2. Counterpart personnel			3,000		2,000
II. <u>Training Costs</u>					
1. Training Equipment		10,000			
2. Travel Expenses		5,000			
3. Publication		10,000			
4. Personnel			4,000		15,000
5. Mass-Media Campaign		25,000			10,000
6. Evaluation Expenses		10,000			
III. <u>Equipment and Supplies</u>					
1. Materials for water systems	70,000	81,750	160,000	83,000	
2. Capital for loans	20,000		10,000	10,000	
IV. <u>Other Costs</u>					
1. Vehicles					
2. Vehicle maintenance					
3. CARE project administ. costs	15,000				
4. Office equipment for Regional Project Office					
5. CARE - Overhead (5.5%)		8,250			
TOTAL ALL COLUMNS	<u>150,500</u>	<u>150,000</u>	<u>238,000</u>	<u>182,875</u>	<u>78,000</u>

FY 1977 BUDGET: COMMODITIES

AID Committed herein		<u>128,000</u>
- Vehicles	16,000	
- Office equipment for Regional Project Office	2,000	
- Materials for water system	110,000	
Other Donors - Not committed herein		<u>299,000</u>
- CARE	116,000	
Materials for water supplies	100,000	
Vehicles	16,000	
- COPs	100,000	
Materials for water supplies	100,000	
- Communities	83,000	
Materials for water supplies	83,000	
TOTAL		<u>\$427,000</u> =====

Grant Project Title: CARE Rural Water Systems

Grant Project No.: 511 0479-700

Attachment B: Selection Criteria

1. Community Size: Villages with populations between 200-1,999 will be considered. (Figure is based on USAID Health Sector Analysis listing this range as the most frequently occurring village size in rural Bolivia).

2. Need: This will be evaluated by the project feasibility survey to be conducted in villages. (Survey format will be that used by CARE in Colombia in similar health sector projects and will be modified as field experience in Bolivia is gained)

3. Accessibility: Ease with which materials can be delivered by truck.

4. Community Interest: This is measured by giving preference to those communities which have recognized the need for water and have assigned it a high priority. The feasibility study will attempt to determine community priorities.

5. Community Ability: To provide the required community inputs in unskilled labor and locally available materials: 20% to 30% of such inputs are expected to be provided by the community depending on availability of local resources. In the case of systems requiring pumps, those communities which accept the financial responsibility for the purchase of the pumps, whether it be direct community purchase or assisted with credit from the COP, will be given preference.

6. Previous Success: in implementation of community based projects. Those communities with a history of successful participation in prior programs sponsored either by government agencies or local groups within and without the community will be given preference. The feasibility study will attempt to determine any previously successful community projects.

7. Project Feasibility: Within established cost per beneficiary limits. Preference is given to sites where gravity flow systems are possible (because per capita construction costs are generally lower, maintenance costs are also lower), and then to sites requiring other types of systems where per capita costs are as low as possible. Given total project costs and the variance in system types, a maximum per capita investment of \$100.00 has been set.

Grant Project Title: CAHE Rural Water Systems

Grant Project No.: 511-0479-700

Attachment C: Standard Provisions

C.1. Allowable Costs and Payments

The Grantee shall be reimbursed for costs incurred in carrying out the purposes of this Grant which are determined by the Grant Officer to be allowable in accordance with the terms of this Grant and the Federal Procurement Regulations (FPR) in effect on the date of this Grant. Payment of allowable costs shall be in accordance with Attachment D of this Grant.

Said subpart of the FPR is published in the Federal Register or available upon request from AID in Washington by contacting the Contract's Management Office.

C.2. Accounting, Records, and Audit

The Grantee shall maintain books, records, documents, and other evidence in accordance with the Grantee's usual accounting procedures to sufficiently substantiate charges to the grant. The Grantee shall preserve and make available such records for examination and audit by AID and the Comptroller General of the United States, or their authorized representatives (1) until the expiration of three years from the date of termination of the program and (2) for such longer period, if any, as is required to complete an audit and to resolve all questions concerning expenditures unless written approval has been obtained from the AID Grant Officer to dispose of the records. AID follows generally accepted auditing practices in determining that there is proper accounting and use of grant funds. The Grantee agrees to include the requirements of this clause in any subordinate agreement hereunder.

C.3. Refunds

- (1) If use of the Grant funds results in accrual of interest to the Grantee or to any other person to whom Grantee makes such funds available in carrying out the purposes of this Grant, Grantee shall refund to AID an amount equivalent to the amount of interest accrued.
- (2) Funds obligated hereunder but not disbursed to the Grantee at the time that grant expires or is terminated, shall revert to AID, except for funds encumbered by the Grantee by a legally binding transaction applicable to this Grant. Any funds disbursed to, but not expended by the Grantee at the time of expiration or termination of the Grant shall be refunded to AID.
- (3) If, at any time during the life of the Grant, it is determined by AID that funds provided under the Grant have been expended for purposes not in accordance with the terms of the Grant, the Grantee shall refund such amounts to AID. Such refund will be made in dollars within 30 days of AID's written request.

C. 4. Equal Opportunity Employment

With regard to the employment of persons in the U. S. under this Grant, Grantee agrees to take all reasonable steps to insure equality of opportunity in its employment practices without regard to race, religion, sex, color or national origin of such persons and that, in accordance with Title VI of the Civil Rights Act of 1964, when work funded by this Grant is performed in the U. S. no person shall, on the grounds of race, religion, sex, color or national origin, be excluded from participation, be denied benefits, or be subjected to discrimination. In addition, the Grantee agrees to comply in accordance with its written assurance of compliance, with the provisions of Part 209 of Chapter II, Title 22 of the Code of Federal Regulations, entitled "Non-Discrimination in Federally Assisted Programs of the Agency for International Development - Effectuation of Title VI of the Civil Rights Act of 1964".

C. 5. Termination

- (1) This Grant may be terminated at any time, in whole or in part, by the Grant Officer upon written notice to the Grantee, whenever for any reason he shall determine that such termination is in the best interest of the U. S. Government. Upon receipt of and in accordance with such notice, the Grantee shall forthwith take immediate action to minimize all expenditures and obligations financed by this Grant, and shall cancel such unliquidated obligations whenever possible. Except as provided below, no further reimbursement shall be made after the effective date of termination, and the Grantee shall within thirty (30) calendar days after the effective date of such termination repay to the Grantor all unexpended portions of funds theretofore paid by the Grantor to the Grantee which are not otherwise obligated by a legally binding transaction applicable to this Grant. Should the funds paid by the Grantor to the Grantee prior to the effective date of this termination of this Grant, be insufficient to cover the Grantee's obligations pursuant to the aforementioned legally binding transaction, the Grantee may submit to the Grantor within ninety (90) calendar days after the effective date of such termination a written claim covering such obligations, and, subject to the limitations contained in this Grant, the Grant Officer shall determine the amount or amounts to be paid by the Grantor to the Grantee under such claim.
- (2) The Grantee may terminate this Grant only after full consultation with the Grantor and the other contributors to the program. Such consultation shall be undertaken after ten days' notice to all of the contributors requesting consultation. After such consultation, AID shall determine to what extent the program to that date has met its purposes and what part, if any, of the disbursed sums, up to whole thereof, should be refunded by reason of the Grantee's decision not to complete the program.

C. 6. Officials Not to Benefit

No member of or delegate to the U. S. Congress or resident commissioner shall be admitted to any share or part of this Grant or to any benefit that may arise therefrom; but this provision shall not be construed to extend to this Grant if made with a corporation for its general benefit.

C. 7. Covenant Against Contingent Fee

The Grantee warrants that no person or selling agency has been employed or retained to solicit or secure this Grant upon an agreement or understanding for a commission, percentage, brokerage, or contingent fee except bona fide employment or bona fide established commercial or selling agencies maintained by the Grantee for the purpose of securing business. For breach or violation of this warranty, AID shall have the right to cancel this Grant without liability or, in its discretion, to deduct from the Grant amount, or otherwise recover, the full amount of each commission, percentage, brokerage, or contingent fee.

C. 8. Nonliability

AID does not assure liability with respect to any claims for damages arising out of work supported by its grant. In the event AID is held liable to a third party by reason of the activities of the Grantee or anyone acting under its authority, Grantee shall hold the Grantor harmless from such liability.

C. 9. Amendment

The Grant Agreement may be amended by formal modifications to the basic grant document.

C. 10. Grant Agreement

The letter to the Grantee signed by the Grant Officer, the "Program Description", the "Selection Criteria", the "Standard Provisions", the "Payment Provisions", the "General Provisions", and the "Statement of Assurance and Compliance", which have been reviewed and agreed to by the Grantee, constitute the Grant Agreement.

C. 11. Disputes

(1) In the event a dispute arises regarding the program, its implementation, reimbursement under this Grant or any other matter concerning or arising out of this Grant, the Grantee shall immediately but not later than ten days after becoming aware of facts indicating that a dispute exists, submit to the Grant Officer a statement, in writing, declaring:

- (a) The nature of the dispute.
- (b) Specific facts, in narrative form, giving rise to the dispute.
- (c) A statement of the position of the Grantee concerning the dispute.
- (d) A request for oral consultation with the Grant Officer or his designee, if desired.

(2) Upon receipt of the statement described immediately above, the Grant Officer shall review the statement and notify the Grantee in writing of a time and date for consultation if requested.

- (3) After review and/or consultation the Grant Officer shall, within fifteen (15) days from receipt of the statement under sub-paragraph (1) above, issue notice to the Grantee in writing, of his decision in the matter supported by an analysis of the facts, citation to Grant provisions, laws or regulations where relevant.
- (4) Upon receipt of the Grant Officer's decision, the Grantee may appeal the decision to the Administrator of AID or his designee provided notice is sent to said Administrator within fifteen (15) days of receipt of the Grant Officer's decision together with the Grantee's original statement submitted under sub-paragraph (1) of this section.
- (5) The Administrator's review of the dispute shall be in accordance with normal AID practice. The Grantee may abandon its claim of a dispute at any time during the dispute's procedure by written notice to the Grant Officer.

C. 12. Notices

Any notice given by any of the parties hereunder, shall be sufficient only if in writing and delivered in person or sent by telegraph, cable, registered or regular mail to the AID Grant Officer or to Grantee at Grantee's address shown in this Grant, or to such other address as either of such parties shall designate by notice given as herein required. Notices hereunder, shall be effective when delivered in accordance with this clause or on the effective date of the notice, whichever is later.

The language of all the above clauses is mandatory and may not be deviated from without prior written approval from the Director, SES/CM.

C. 13. Travel and Transportation

- (1) The Grantee agrees to travel by the most direct and expeditious route, and to use less than first class transportation unless such use will result in unreasonable delay or increased costs.
- (2) All international air travel under this Grant shall be made on United States flag carriers. Exceptions to this rule will be allowed in the following situations provided that the Grantee certifies to the facts in the voucher or other documents retained as part of his grant records to support his claim for reimbursement and for post audit:
 1. Where a flight by a United States carrier is scheduled but does not have accommodations available when reservations are sought;
 2. Where the departure time, routing, or other features of a United States carrier would interfere with or prevent the satisfactory performance of official business;
 3. Where a scheduled flight by a United States carrier is delayed because of weather, mechanical or other conditions to such an extent that use of a non-United States carrier is in the Government's interest;

4. Where the appropriate class of accommodations is available on both United States and non-United States carriers, but the use of the United States carrier will result in higher total United States dollar cost to the grant due to additional per diem or other expenses; and
5. Where the appropriate class of accommodations is available only on a non-United States carrier and the cost of transportation and related per diem on the non-U.S. carrier is less than the cost of available accommodations of another class on a United States carrier and related per diem.

(b) All international air shipments under this grant shall be made on United States flag carriers unless shipment would, in the judgment of the Grantee, be delayed an unreasonable time awaiting a United States carrier either at point of origin or transshipment, provided that the Grantee certifies to the facts in the vouchers or other documents retained as part of the Grant records to support his claim for reimbursement and for post audit.

- (2) Travel allowances shall be reimbursed in accordance with the Federal Travel Regulations (FTR).
- (3) The provisions of this section are intended to apply only to travel and transportation funded under this Grant.

C. 14. Regulations Governing Employees Outside the United States

- (1) The Grantee's employees, when employed in work overseas, shall maintain private status and may not rely on local U. S. Government Offices or facilities for support while so engaged.
- (2) The sale of personal property or automobiles by Grantee employees and their dependents in the foreign country to which they are assigned shall be subject to the same limitations and prohibitions which apply to direct-~~ly~~ re AID personnel employed by the Mission, except as this may conflict with host government regulations.
- (3) Other than work to be performed under this Grant for which an employee or consultant is assigned by the Grantee, no regular or short term employee or consultant of the Grantee shall engage, directly or indirectly, either in his own name or in the name or through an agency of another person, in any business, profession, or occupation in the foreign countries to which he is assigned, nor shall he make loans or investments to or in any business, profession or occupation in the foreign countries to which he is assigned.
- (4) The Grantee's employees, while in a foreign country, are expected to show respect for its conventions, customs, and institutions, to abide by its applicable laws and regulations, and not to interfere in its internal political affairs.

- (5) On the written request of the Grant Officer or of the USAID Mission Director, the Grantee will terminate the assignment of any individual to any work under the Grant and, as requested, will use its best efforts to cause the return to the United States of the individual from overseas or his departure from a foreign country or a particular foreign locale.

C. 15. Subordinate Agreements

The placement of subordinate agreements (e.g., leases, options, etc.), grants, or contracts with other organizations, firms or institutions and the provisions of such subordinate agreements are subject to prior written consent of the Grant Officer if they will be funded hereunder. In no event shall any such subordinate agreement, grant, or contract be on a cost-plus-a-percentage-of-cost basis. Subordinate contractors (including suppliers) shall be selected on a competitive basis to the maximum practicable extent consistent with the obligations and requirements of this Grant.

C. 16. Publications

- (1) If it is the Grantee's intention to identify AID's contribution to any publication resulting from this Grant, the Grantee shall consult with AID on the nature of the acknowledgement prior to publication.
- (2) The Grantee shall provide the Project Manager with one copy of all published works developed under the Grant. The Grantee shall provide the Project Manager with lists of other written work produced under the Grant.
- (3) In the event grant funds are used to underwrite the cost of publishing, in lieu of the publisher assuming this cost as is the normal practice, any profits or royalties up to the amount of such cost shall be credited to the Grant.
- (4) The Grantee is permitted to secure copyright to any publication produced or composed under the Grant. Provided, the Grantee agrees to and does hereby grant to the Government a royalty-free, non-exclusive and irrevocable license throughout the world to use, duplicate, disclose, or dispose of such publications in any manner and for any purpose and to permit others to do so.

C. 17. Patents

- (1) Grantee agrees to notify the Grant Officer, in writing, of any invention or discovery conceived or first actually reduced to practice in the course of or under this Grant. The Grant Officer will determine the patent rights to be afforded the Grantee in accordance with the Presidential Memorandum and Statement of Government Patent Policy 36 FR 16887.
- (2) Nothing contained in this clause shall imply a license to the Government under any patent or be construed as affecting the scope of any license or other rights otherwise granted to the Government under any patent.

C. 18. Procurement of Equipment, Vehicles, Supplies, Materials and Services

- (1) Except as may be specifically approved or directed in advance by the Grant Officer, all equipment, vehicles, materials, supplies and services the costs of which are to be attributed to this Grant and which will be financed with United States dollars shall be purchased in and shipped from the United States. In addition, for any U. S. purchase transaction in excess of \$2,500 the Grantee shall notify the seller that the Item(s) must be of U.S. source and production and comply with the componentry limitations and other requirements applicable to suppliers under AID Regulation 1, and that the supplier must execute and furnish Form AID 282 "Suppliers Certificate and Agreement with the Agency for International Development".
- (2) Printed or audio visual teaching materials may, to the extent necessary, be purchased outside the United States when:
 - (a) Effective use of the materials depend on their being in the local language, and
 - (b) Other funds including U.S. owned and controlled local currencies are not readily available for procurement of such material.

The order of preference as to countries in which such purchases outside the United States are made shall be:

1. The AID receiving country.
2. Countries falling within AID Geographic Code 901
3. Other countries falling within AID Geographic Code 899.

AID Geographic Codes are defined in AIDPR 7-6.5201.1.
of shelf items

- (3) Procurement/in the country in which an activity is being undertaken and which are less than \$10,000 and are for materials (regularly available and normally sold on the local market) which are to be consumed or expended during the period of this Grant, are exempt from the conditions of Paragraph 1 above, provided that the origin of such materials and supplies and the component parts thereof is a free world country as described in AID Geographic Code 935.
- (4) All international air shipments made by the Grantee, to be financed hereunder, shall be made on U.S. flag carriers unless shipment would, in the judgement of the Grantee, be delayed an unreasonable time awaiting a U.S. carrier, either at the point of origin or trans-shipment, provided that the Grantee certifies to the facts in the documents retained as part of his Grant records for post audit by AID. All international ocean shipments made by the Grantee, to be financed hereunder, shall be made on U.S. flag vessels. Where U.S. flag vessels are not available, or their use would result in a significant delay, the Grantee may obtain a release from this requirement from the Transportation Support Division, Office of Commodity Management, AID, Washington, D.C., 20523, as appropriate, giving the basis for the request.

- (5) The Grantee shall obtain competition to the maximum extent possible for any procurement to be financed hereunder. Procurement by the Grantee without consideration of more than one source must be approved by the Grant Officer. In no event will any procurement be on a cost-plus a percentage-of-cost basis. In addition to the foregoing, for purchases made in the United States the costs of which are to be attributed to this Grant, the Grantee shall comply with the following requirements:

To permit AID, in accordance with the Small Business Provisions of the Mutual Security Act, to give United States Small Business firms an opportunity to participate in supplying commodities and services procured under this Grant, the Grantee, shall, to the maximum extent possible, provide the following information to the Office of Small Business, AID, Washington, D. C. 20523, at least 45 days prior to placing any order or contract in excess of Five Thousand dollars (US\$5,000), except where a shorter time is requested of, and granted by the Office of Small Business:

- (a) Brief general description and quantity of commodities or services;
 - (b) Closing date for receiving quotations or bids;
 - (c) Address where invitations or specifications may be obtained.
- (6) Funds provided under this Grant shall not be used to procure any commodity or commodity-related services furnished by any supplier whose name appears on the List of Ineligible Suppliers under AID Regulation 1. "Suppliers of Commodities and Commodity-Related Services Ineligible for AID Financing". Grantee agrees to review said list prior to undertaking any procurement the cost of which is to be attributed to this Grant. AID will provide Grantee with this list.

C. 19. Title to and Care of Property

Under some circumstances, the Government may determine not to vest title in the Grantee to all or part of any property financed by the Grant. In that case the following clause is applicable:

Property, title to which vests in the Grantor under this Grant, whether furnished by the Grantor or acquired by the Grantee, is subject to the provisions of this clause and is hereinafter collectively referred to as "Government property." Title to Government property shall not be affected by the incorporation or attachment thereof to any property not owned by the Government, nor shall such Government property, or any part thereof, be or become a fixture or lose its identity as personality by reason of affixation to any realty.

(1) Use of Government Property

The Government property shall, unless otherwise provided herein or approved by the Grant Officer, be used only for the performance of this Grant.

(2) Maintenance and Repair of Government Property

The Grantee shall maintain and administer in accordance with sound business practices a program for the maintenance, repair, protection, and preservation of Government property so as to assure its full availability and usefulness for the performance of this Grant. The Grantee shall take all reasonable steps to comply with all appropriate directions or instructions which the Grant Officer may prescribe as reasonably necessary for the protection of the Government property.

The Grantee shall submit, for review and written approval of the Grant Officer, a records system for property control and a program for orderly maintenance of Government property.

(3) Property Control

The property control system shall include but not be limited to the following:

- (a) Identification of each item of Government property acquired or furnished under the Grant by a serially controlled identification number and by description of item. Each item must be clearly marked "Property of U. S. Government."
- (b) The price of each item of property acquired or furnished under the Grant.
- (c) The location of each item of property acquired or furnished under the Grant.
- (d) A record of disposition of each item acquired or furnished under the Grant.
- (e) A record of any usable components which are permanently removed from items of Government property as a result of modification or otherwise.
- (f) Date of order and receipt of any item acquired or furnished under the Grant.

The official property control records shall be kept in such condition that at any stage of completion of the work under this Grant, the status of property acquired or furnished under this Grant may be readily ascertained. A report of current status of all items of property acquired or furnished under the Grant shall be submitted yearly concurrently with the annual report.

() Maintenance Program

The Grantee's maintenance program shall be such as to provide for, consistent with sound industrial practice and the terms of the Grant: (i) disclosure of need for and the performance of preventive maintenance; (ii) disclosure and reporting of need for capital type rehabilitation, and (iii) recording of work accomplished under the program.

- (a) Preventive maintenance - Preventive maintenance is maintenance generally performed on a regularly scheduled basis to prevent the occurrence of defects and to detect and correct minor defects before they result in serious consequences.
- (b) Records of maintenance - The Grantee's maintenance program shall provide for records sufficient to disclose the maintenance actions performed and deficiencies discovered as a result of inspections.

A report of status of maintenance of Government property shall be submitted annually concurrently with the annual report.

(5) Risk of Loss

Unless otherwise provided in this Grant, the Grantee assumes the risk of, and shall be responsible for, any loss or damage to Government property provided under this Grant upon its delivery to him or upon passage of title thereto to the U. S. Government, except for reasonable wear and tear and except to the extent that such property is consumed in the performance of this Grant.

(6) Access

The Government, and any persons designated by it, shall at all reasonable times have access to the premises wherein any Government property is located, for the purpose of inspecting the Government property.

(7) Final Accounting and Disposition of Government Property

Upon completion of this Grant, or at such earlier dates as may be fixed by the Grant Officer, the Grantee shall submit, in a form acceptable to the Grant Officer, inventory schedules covering all items of Government property not consumed in the performance of this Grant or not theretofore delivered to the Government, and shall prepare, deliver, or make such other disposal of the Government property, as may be directed or authorized by the Grant Officer.

(8) Communications

All communications issued pursuant to this clause shall be in writing.

C. 20. Prohibition on Abortion Related Activities

No funds made available under this Grant will be used to support the following activities: 1) procurement or distribution of equipment intended to be used for the purpose of inducing abortions as a method of family planning; 2) special fees or incentives to women to coerce or motivate them to have abortions; 3) payments to persons to perform abortions or to solicit persons to undergo abortions; 4) information, education, training, or education programs that seek to promote abortion as a method of family planning.

C. 21. Salaries

All salaries, wages, fees, and stipends reimbursed under this Grant shall be in accordance with the Grantee's policy and practice as reviewed and approved by the Grant Officer. In the absence of an approved policy the Grantee shall follow the regulations contained in Section 7-15.205-6 of the Agency for International Development Procurement Regulations.

C. 22. Limitations of Funds (June 1973)

- (1) It is estimated that the cost to the Government for the performance of this Grant will not exceed the estimated cost set forth in the Schedule*, and the Grantee agrees to use his best efforts to perform the work specified in the Schedule and all obligations under this grant within such estimated costs.
- (2) The amount presently available for payment and allotted to this Grant, the items covered thereby, and the period of performance which it is estimated the allotted amount will cover, are specified in the Schedule. It is contemplated that from time to time additional funds will be allotted to this Grant up to the full estimated cost set forth in the Schedule. The Grantee agrees to perform or have performed work on this Grant up to the point at which the total amount paid and payable by the Government pursuant to the terms of this Grant approximates but does not exceed the total amount actually allotted to the Grant.
- (3) If at any time the Grantee has reason to believe that the costs which he expects to incur in the performance of this Grant in the next succeeding 60 days, when added to all costs previously incurred, will exceed 75 percent of the total amount then allotted to the Grant, the Grantee shall notify the Grant Officer in writing to that effect. The notice shall state the estimated amount of additional funds required to continue performance for the period set forth in the Schedule. Sixty days prior to the end of the period specified in the Schedule the Grantee will advise the Grant Officer in writing as to the estimated amount of additional funds, if any, that will be required for the timely performance of the work under the Grant or for such further period as may be specified in the Schedule or otherwise agreed to by the parties. If, after such notification, additional funds are not allotted by the end of the period set forth in the Schedule or an agreed date substituted therefore, the Grant Officer will, upon written request by the Grantee, terminate this Grant pursuant to the provisions of the "termination" clause on such date. If the Grantee, in the exercise of his reasonable judgment, estimates that the funds available will allow him to continue to discharge its obligations hereunder for a period extending beyond such date, he shall specify the later date in his request and the Grant Officer, in his discretion, may terminate this Grant on that later date.

* Schedule shall mean Attachment A, Section E. of this Grant.

- (4) Except as required by other provisions of this Grant specifically citing and stated to be an exception from this clause, the Government shall not be obligated to reimburse the Grantee for costs incurred in excess of the total amount from time to time allotted to the Grant, and the Grantee shall not be obligated to continue performance under the Grant (including actions under the "Termination" clause) or otherwise to incur costs in excess of the amount allotted to the Grant, unless and until the Grant Officer has notified the Grantee in writing that such allotted amount has been increased and has specified in such notice an increased amount constituting the total amount then allotted to the Grant. To the extent the amount allotted exceeds the estimated cost set forth in the Schedule, such estimated cost shall be correspondingly increased. No notice, communication, or representation in any other form or from any person other than the Grant Officer shall affect the amount allotted to this Grant. In the absence of the specified notice, the Government shall not be obligated to reimburse the Grantee for any costs in excess of the total amount then allotted to the Grant, whether those excess costs were incurred during the course of the Grant or as a result of termination. When and to the extent that the amount allotted to the Grant had been increased, any costs incurred by the Grantee in excess of the amount previously allotted shall be allowable to the same extent as if such costs had been incurred after such increase in the amount allotted; unless the Grant Officer issues a termination or other notice and directs that the increase is solely for the purpose of covering termination or other specified expenses.
- (5) Nothing in this clause shall affect the right of the Government to terminate this Grant. In the event this Grant is terminated, the Government and the Grantee shall negotiate an equitable distribution of all property produced or purchased under the Grant based upon the share of cost incurred by each.

Grant Project Title: CARE Rural Water Systems

Grant Project No.: 511-0479-700

Attachment D: Payment Provisions

Periodic Grant Disbursements

Grantee will submit quarterly to the USAID, Controller Voucher Form SF 1034 (original) and SF-1034-A, three copies, each voucher identified by the appropriate grant number, in the amount of estimated cash needs for the following quarter. The voucher shall be supported by an original and two copies of a report rendered as follows:

Amount of Grant
Expended this Period
Expended to Date
(By Line Item)

- 1.
- 2.
- 3.

Advances Outstanding to Subgrantees (if any)
Anticipated Expenditures Next Month (dates)
Cash Received to Date
Cash Required Next Quarter

The report shall include a certification as follows:

"The undersigned hereby certifies: (1) that the above represents the best estimates of funds needed for expenditures to be incurred over the period described, (2) that appropriate refund or credit to the grant will be made in the event funds are not expended, (3) that appropriate refund will be made in the event of disallowance in accordance with the terms of this grant and (4) that any interest accrued on the funds made available herein will be refunded to AID."

BY _____

TITLE _____

DATE _____

Grant Project Title: CARE Rural Water Systems

Grant Project No.: 511-0479-700

Attachment E: General Provisions

1. **Project Managers:** The on-site project managers will be one CARE Manager with CARE administrative and technical staff support, including a CARE Engineer and Promoter, and technical and administrative staff from CODESA.
2. **Cooperating Country Liaison Official:** CARE in Bolivia in coordination with CODESA will be the implementing agent for the Project.
3. **USAID Liaison Official:** Chief of the HAD Division under the general guidance of the USAID Mission Director.
4. **Vehicle Maintenance:** CARE will be responsible for Project vehicle maintenance through arrangements with local garages in Chuquisaca.
5. **Implementation, Monitoring and Reporting:** The Project Paper contains full details on project description, implementation and responsibilities and should be used as a reference for purposes of implementing and administering the grant and the project.
6. **Other Donor Contributions:** The Life of Project Budget mentioned in Section E, Attachment A, indicates not only life of project funding from all sources but also specifies AID's input for this project, during the first year of project implementation. Since the funding levels of the other donors is not committed in this Grant Agreement, it will be the responsibility of the Grantee to coordinate and insure the timely inputs of these additional resources. The funding levels of all donors for the second and third year of implementation have been included for illustrative purposes only and in the case of AID are subject to the availability of funds. However, it should be noted that the nature and magnitude of the funding of all donors for all years is an integral part of the basis for AID's approval of the Project. Should the level and nature of the contributions of any or all other donors change significantly in any of the Project's three year implementation period, AID reserves the right to reconsider its involvement and support of the project. Further, AID reserves the right to determine when such a change in the magnitude of other donor contributions becomes significant in that it alters the nature of the project AID originally agreed to or that project implementation is affected to a degree which jeopardizes the achievement of the project purposes stated herein and in the Program Description of the agreement.

Grant Project Title: **CANE Rural Water System**

Grant Project No.: **511 0479-700**

Attachment F: **Statement of Assurance and Compliance**

Cooperative for American Relief Everywhere (CANE) hereinafter called the (Grantee)
(Name of Grantee)

HEREBY AGREES THAT it will comply with title VI of the Civil Rights Act of 1964 (P.L. 88-352) and all requirements imposed by or pursuant to the Regulation of the Agency for International Development (22 CFR Part 209, 30 FR 317) issued pursuant to that title, to the end that, in accordance with title VI of that ACT and the Regulation, no person in the United States shall, on the ground of race, color, religion, sex or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the Grantee receives Federal financial assistance from the Agency; and HEREBY GIVES ASSURANCE THAT it will immediately take any measures necessary to effectuate this agreement.

If any real property or structure thereon is provided or improved with the aid of Federal financial assistance extended to the Grantee by the Agency, this assurance shall obligate the Grantee, or in the case of any transfer of such property, any transferee, for the period during which the real property or structure is used for a purpose for which the Federal financial assistance is extended or for another purpose involving the provision of similar services or benefits. If any personal property is so provided, this assurance shall obligate the Grantee for the period during which it retains ownership or possession of the property. In all other cases, this assurance shall obligate the Grantee for the period during which the Federal financial assistance is extended to it by the Agency.

THIS ASSURANCE is given in consideration of and for the purpose of obtaining any and all Federal grants, loans, contracts, property, discounts or other Federal financial assistance extended after the date hereof to the Grantee by the Agency, including installment payments after such date on account of applications for Federal financial assistance which were approved before such date. This Grantee recognizes and agrees that such Federal financial assistance will be extended in reliance on the representations and agreements made in this assurance, and that the United States shall have the right to seek judicial enforcement of this assurance. This assurance is binding on the Grantee, its successors, transferees, and assignees, and the person or persons whose signatures appear below are authorized to sign this assurance on behalf of the Grantee.

Cooperative for American Relief Everywhere of New York

(Grantee)

BY (Signature) George Frank Krupp

TYPED NAME George Frank Krupp

TITLE Grantee
DATE 10/17/64