Technical Report

Orientation and Workshop for the Philippine National Single Window: Proceedings

by Ramon L. Clarete, Ph.D., and Arlan Z. I. Brucal

Prepared for

**Commissioner Napoleon L. Morales
Bureau of Customs
Department of Finance
Republic of the Philippines**

Submitted for review to

USAID/Philippines OEDG

August 20, 2007

Preface

This report is the result of technical assistance provided by the Economic Modernization through Efficient Reforms and Governance Enhancement (EMERGE) Activity, under contract with the CARANA Corporation, Nathan Associates Inc. and The Peoples Group (TRG) to the United States Agency for International Development, Manila, Philippines (USAID/Philippines) (Contract No. AFP-I-00-03-00020-00, Delivery Order 800). The EMERGE Activity is intended to contribute towards the Government of the Republic of the Philippines (GRP) Medium Term Philippine Development Plan (MTPDP) and USAID/Philippines' Strategic Objective 2, "Investment Climate Less Constrained by Corruption and Poor Governance." The purpose of the activity is to provide technical assistance to support economic policy reforms that will cause sustainable economic growth and enhance the competitiveness of the Philippine economy by augmenting the efforts of Philippine pro-reform partners and stakeholders.

This report covers the proceedings of the two-day Orientation/Workshop for the Philippine National Single Window, which was held on August 7 and 8, 2007. Commissioner of Customs Napoleon Morales requested EMERGE to provide assistance in conducting the workshop and designated MISTG Deputy Commissioner Alexander Arevalo, who is also the Chairman of the ASEAN Single Window Steering Committee, to convene the various relevant agencies that will become part of the NSW network. The workshop participants produced a work plan that will be presented to the NSW Steering Committee when it meets to implement Executive Order (EO) 482, which created the NSW Task Force for Cargo Clearance on December 27, 2005, to ensure a coherent and effective formulation, coordination, implementation and monitoring of the international trade NSW. The NSW will allow (a) a single submission of data and information; (b) a single and synchronous processing of data and information; and (c) a single decision-making for customs inspection, clearance, and release of cargoes. Dr. Ramon L. Clarete, EMERGE Technical Director and Deputy Chief of Party, provided the requested assistance to organize the workshop, and Arlan Z. I. Brucal served as research associate/documenter.

The views expressed and opinions contained in this publication are those of the authors and are not necessarily those of USAID, the GRP, EMERGE or the latter's parent organizations.

**Orientation and Workshop for the
Philippine National Single Window
August 7-8
Pan Pacific Hotel, Manila**

Proceedings

This technical assistance aims to help the Philippine Government develop a Plan to Establish its National Single Window and meet its obligations under Article 5 of the ASEAN Single Window Agreement. Specifically, the requested assistance will be applied to help the Bureau of Customs convene and hold the orientation, team building and workshop of the relevant Philippine government agencies which have the mandate of regulating internationally traded goods in the public interest, as well as present the NSW Work Plan to the Philippine NSW Steering Committee.

President Gloria Macapagal Arroyo through EO 482 created the National Single Window (NSW) Task Force for Cargo Clearance on December 27, 2005 to ensure a coherent and effective formulation, coordination, implementation and monitoring of the international trade NSW. The members of the Task Force are the relevant national government agencies, which have the direct mandate to regulate internationally traded goods. President Arroyo created the Task Force with the Steering Committee (SC) directing and ensuring the effective implementation of the Plan to Establish the NSW, and the Technical Working Group (TWG) which attends to the day to day implementation of the Plan.

The Bureau of Customs chairs both levels of the Task Force. As the designated Chair Agency of the NSW Task Force, Commissioner of Customs Napoleon Morales requested the USAID-supported EMERGE project to provide assistance in conducting an orientation, team building and workshop for the Philippine National Single Window. Commissioner Morales designated MISTG Deputy Commissioner Alexander Arevalo, who is also the Chairman of the ASEAN Single Window Steering Committee, to convene the various relevant agencies which will become part of the NSW network and hold the orientation and workshop. The Work Plan which the participants of the workshop will produce will be presented to the NSW Steering Committee when they meet as soon as possible to implement EO 482.

The requested technical assistance for the NSW is both a trade capacity building and governance enhancement reform, which is driven by information technology. The NSW allows (a) a single submission of data and information; (b) a single and synchronous processing of data and information; and (c) a single decision-making for customs inspection, clearance, and release of cargoes. Not only does the NSW aim to establish an effective and efficient system in expediting customs cargo clearance and release to promote trade facilitation, it is likewise considered as a catalyst for reforms in business procedures, organizational structure, and human resource systems in the agencies forming the nodes of the NSW network.

Orientation/Workshop:
Planning for the National Single Window

This two-day event aims to provide its participants an orientation about the ASEAN single window agreement, mechanics of the national single window, and dimensions of institutional capacity needed for establishing the national single window. Annex 1 shows the tentative program of the proposed orientation/workshop. The timing of the presentations and discussions may be adjusted in order to give time to team building exercises. Deputy Commissioner Arevalo has expressed the importance of attaining a limited level of bonding among at least the representatives of the agencies forming the Technical Working Group of the National Single Window Task Force. In Annex 2, we show the list of proposed invitees to the Orientation/Workshop. At most two from each of these agencies will be invited.

An important product of the Orientation/Workshop is the National Single Window Work Plan. Following Article 5 of the ASEAN Single Window Agreement, the Philippines and the rest of the non-transition country members of the ASEAN have the obligation to be ready with their respective NSWs on January 1, 2008.

Proceedings

Co-sponsored by the Bureau of Customs (BOC) and with technical assistance from the United States Agency for International Development (USAID) mission in the Philippines, the orientation cum workshop planning for the Philippine National Single Window (NSW) focused mainly on discussing and formulating of the National Work Plan. The activity was undertaken, pursuant to Executive Order 482 creating the NSW Task Force for Cargo Clearance1 and to the Agreement on creating the Association for Southeast Asian Nations (ASEAN) Single Window (ASW). Under the ASW agreement, the Philippines is obliged to implement the NSW by 01 January 2008. The program included Working Group discussions to develop the national work plan, aiming to identify a modality for a consensus-based national strategy which would state the immediate steps that need to be taken by individual agencies as well as collectively to move toward the long term objective of establishing the NSW.

The orientation cum workshop brought together participants from forty seven different concerned government agencies and private sector stakeholders, which are considered to be part of the NSW network. The Work Plan which the participants of the workshop produced will be presented to the NSW Steering Committee when they meet to implement EO 482.

BOC Commissioner Napoleon Morales delivered the welcome address. In his address, he underscored the importance of establishing the NSW, including, among others, the provision of the most efficient services for traders, thereby enabling the trading community to be more competitive. Meanwhile, improved governance and better use of

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[1] The Task Force on Cargo Clearance comprises the Steering Committee and the Technical Committee. The Secretary of Finance chairs the former, while the latter is chaired by BOC.

resources on the part of the government can also be brought about by the establishment of the NSW. There are nevertheless cost and administrative implications inherent in the initiative, thus consensus and coordinated efforts of all trade-related and regulatory bodies of the government and private sector stakeholders as well are among the critical success factors.

Orientation and Workshop Outcomes

BOC Management Information Systems and Technology Group Deputy Commissioner Alexander Arevalo acquainted the participants on the ASEAN Single Window and (ASW) the NSW while highlighting the strategies for developing the two facilities.[2] Also the Chairman of the ASEAN Single Window Committee, Dep. Comm. Arevalo defined the ASEAN Single Window as the environment where National Single Windows of Member Countries operate and integrate, thereby making the latter the building block of the regional facility. The agreement to establish and implement the ASW stipulates that the ASEAN6, which includes the Philippines, need to operationalize their NSWs by 2008, while 2012 for the ASEAN4. Meanwhile, the NSW is a system which enables (i) single submission of data and information; (ii) single and synchronous processing of data and information; and (iii) single decision-making for Customs release and clearance, all targeted to achieve the maximum release time of 30 minutes. In order to implement the systems, concerned agencies should focus on tangible deliverables and resource mobilization and consider centralized planning but decentralized implementation.

 The orientation noted with great interest the enabling factor of the NSW as Atty. Reynaldo Umali, Deputy Commissioner, Revenue Collection Monitoring Group, discussed the legal framework for the NSW program.[3] Aside from the ASW Agreement, the program can be traced from the E-Commerce Law of 2000 (Republic Act 8792) enacted principally to promote the universal use of electronic transactions in the government and by the general public. It was then reinforced by DTI-DOST-DBM-BSP joint Administrative Order (AO) No.2 (series of 2002) which laid down the implementing rules and regulations (IRR) on electronic authentication and electronic signatures; and later on by the accession of the Philippines in 2002 to the WIPO Copyright Treaty and WIPO Performance and Phonograms Treaty which protects IP rights of those who use the internet for commerce. Notwithstanding these enabling factors, crafting and execution of inter-agency agreements in support to the NSW remains a challenge. Therefore, Atty. Umali urged the concerned agencies to look at their specific mandates and (IRR) to pursue NSW without having the legislators amend a law or enact a new one as these normally require longer time to materialize.

Key to the presentation of Atty. Reynaldo Nicolas is to give the participants the perspective of where the NSW is needed to facilitate trade by walking them through the high level cargo clearance processes, from the lodgment of import entries up to the "second border" control.[4] Essentially, the NSW could play a vital role in the lodgment of entries by harmonizing and standardizing the collection of data. NSW is also needed to

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[2] Deputy Commissioner Arevalo's Presentation is in shown in Annex 3.
[3] Deputy Commissioner Umali's Presentation is in shown in Annex 4.
[4] Deputy Commissioner Nicolas' Presentation is in shown in Annex 5.

facilitate decisions on valuation and clarification issues as it connects BOC with the regulators and other relevant government agencies. At the payment processes, NSW connectivity with authorized banks, the Insurance Commission and relevant agencies facilitates reconciliation of payments and assessment and bond-supported payments. NSW can also enhance security check for high-risk cargoes, i.e. the disclosure of product description in the ASEAN Customs Declaration Document (ACDD).

The orientation also disclosed the components of the Philippine NSW Work Plan including the technical aspects and tools for implementing the facility. To have a clearer picture on how the facility works, Director Josephine Nagallo of the BOC-MISTG rendered a comprehensive overview of the pilot implementation of the ASEAN Single Window which centered on the Philippines-Thailand Pilot Single Window.[5] The said pilot test enabled exchange of e-documents, such as Export Declaration and CEPT-Form D, between BOC and the Royal Thai Customs. Existing local automated processes have also been illustrated with the discussion on Electronic Manifest System currently operational at the Manila International Airport Authority (MIAA) and the Philippine Ports Authority (PPA). Dir. Nagallo also gave an overview of the e-customs Project of the BOC, which includes the Inter-Agency Information Exchange Gateway or the NSW, the Client Profile Registration System (CPRS), Licensing and Clearance System and the Project E2M which aims to revolutionize the business process of the BOC by making it mobile and wireless. To surmise, the technology that relates to the implementation of the NSW is not limited to the existing ones that concerned agencies are currently utilizing. Rather, there are those that are yet to be discovered and could probably transfigure existing business processes and procedures to make them more effective and efficient.

In order to complement the inputs from the perspective of the technical aspects of the NSW, Dr. Ramon Clarete, Technical Director of the USAID-EMERGE Project, presented a framework for preparing the NSW Work Plan comprising of the elements of institutional capacity for the NSW.[6] In particular, he laid down the strategy map for the formulation and implementation of the NSW, which underscored the need for the selection and sequencing of activities; identification of goals, objectives, outputs, and activities; description of the cause and effect relationships of one to the other, and how all these relate to the "environment"; and most importantly the hierarchy of results, with lower level results acting as inputs into higher level results. Also related to institutional capacity of the NSW is the resource requirement for the work plan wherein the government, private sector stakeholders and development partners can contribute, for instance, to the development of diagnostic studies or in the identification of appropriate business models.

The program also included two pilot implementations of NSW primarily to identify from their experiences practical issues as well as the advantages of undertaking initiatives towards the realization of the NSW. One such experience is that of the Department of Agriculture (DA) regulators on Sanitary and Phyto-sanitary (SPS) administration

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[5]   Director Josephine Nagallo's Presentation is in shown in Annex 6

[6]   Dr. Ramon Clarete' s Presentation is shown in Annex 7. An acronym for Economic Modernization through Economic Modernization and Governance Enhancement Project, EMERGE is a project of USAID/Philippines.

reforms, as presented by Dr. Simeon S. Amurao, Jr., OIC-Chief, Veterinary Quarantine Service, DA-RFU IV.[7] Key constraints to implementing the pilot test includes ICT infrastructure, legal impediments, technical assistance, financial constraints, and resistance to change amongst concerned line agencies within the department. Notwithstanding, the said pilot test enabled harmonization of SPS processes, including, among others, import licensing, product registration, and facility accreditation. Although there are still issues that need to be resolved within the system, Dr. Amurao stressed that the department is ready to connect with the BOC and initiate bilateral data exchange.

Mr. Frederick Santillana from the Bureau of Import Services (BIS) presented their experience with the pilot implementation of a standardized process flow in the importation of vehicles, parts and equipment.[8] Before, the process flow requires manual processing of application forms and authority to import (CAI). With technical assistance from BOC, the agency has now formulated a more efficient processed flow which entails online submission and processing of application forms and import clearances.

Conclusions

Participants have prepared and agreed on the common NSW Work Plan, which will be presented to the NSW Steering Committee when they meet in September 2007 to implement EO 482.

Participants also recognized that the initiative generally requires continuous inter-agency collaboration among them in order to achieve the overall goal of establishing the NSW by January 2008.

Overall, the orientation and workshop effectively put forward the knowledge and ideas of concerned government agencies and private sector organizations in developing an effective NSW work plan while underscoring the need for deepened cooperation and inter-agency coordination. The delegates expressed their deep appreciation to BOC and USAID for conducting this very worthwhile and fruitful event.

First Meeting of the Technical Committee of the National Single Window Task Force

At the end of the Orientation/Workshop, Deputy Commissioner Alexander Arevalo in his capacity as the representative of the Chairman of the Technical Working Group of the National Single Window Task Force, Commissioner of Customs Napoleon Morales, convened the first meeting of the NSW Technical Committee.

The agenda of the meeting was the adoption of the Work Plan for the National Single Window and the minutes of the meeting.[9]

The members of the Technical Working Group along with the representatives of the other regulators, who together make up the National Single Window network, adopted the

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[7] Dr. Simeon Amurao's Presentation is shown in Annex 8.
[8] The Presentation of Mr. Mel Nicolas and Mr. Frederick Santillana, both of whom are from the Bureau of Import Services, Department of Trade and Industry, is shown in Annex 9.
[9] The Work Plan for the Philippine National Single Window is shown in Annex 10.

Work Plan and the minutes of the First Meeting of the NSW TC. The Work Plan will be presented to the National Single Window Steering Committee for its consideration and approval in its first meeting as soon as this can be scheduled.

With the approval by the TWG and all of the workshop participants of the Work Plan and the minutes of the meeting, the TWG adjourned its meeting.

Deputy Commissioner Arevalo then gave his closing remarks on the Orientation/ Workshop on the Philippine National Single Window.

Annex 1

Program
Orientation and Workshop
Planning for the Philippines National Single Window
Pan Pacific Hotel
August 7-8, 2007

DAY 1, August 7
Presentations

08:00-08:45 Registration

08:45-09:00 Ice Breaker

Single window: Milestone for Trade Facilitation, Revenue Enhancement and Governance
09:00-09:30
Welcome Remarks
       Mr. Napoleon Morales, Commissioner, Bureau of Customs

Session 1: An Orientation on the National Single Window (NSW)
Various Presentations on the Objectives, Legal Framework, and Mechanics of the NSW

Philippines National Single Window: Regional Context, Mechanics and Strategy
09:45 -10:30
Presentation on the ASEAN Single Window Agreement, Mechanics and Strategy of the NSW
       Mr. Alexander M. Arevalo, Deputy Commissioner, Management Information System Technology Group, Bureau of Customs; Chairman, ASEAN Single Window Steering Committee; Member, Single Window Task Force, UNECE; and Member, APEC Single Window Working Group

Coffee Break

Enabling the Philippine National Single Window for Cargo Clearance
10:45 -11:30
Presentation on the Legal Framework of the Philippine National Single Window
       Atty. Reynaldo V. Umali, Deputy Commissioner, Revenue Collection Monitoring Group, Bureau of Customs; and Chairman, Working Group on Legal and Regulatory Matters for the ASEAN Single Window Steering Committee

Assessment and Cargo Clearance Systems of the Bureau of Customs and the National Single Window
11:30 -12:15
Presentation on How the Bureau of Customs Clears Imported Cargoes and Exports
       Atty. Reynaldo S. Nicolas, Deputy Commissioner, Assessment and Operations Coordination Group, Bureau of Customs; and Member, APEC Single Window Working Group

12:15-13:30 LUNCH BREAK

13:30-14:00 Team Building Activity

Session 2: Components of the Philippines NSW Work Plan
Two Presentations
14:00-15:30

Presentation on the **Technical Aspects and Tools for Implementing** the NSW
14:00-14:45
Ms. Josephine Nagallo, Director, MISTG, Bureau of Customs

Presentation on the **Elements of Institutional Capacity** for Implementing the NSW
14:45-15:30
Dr. Ramon L. Clarete, Technical Director, EMERGE Project

Coffee Break

15:30-15:45 Ice Breaker

Session 3: Pilot Implementation of the National Single Window (NSW)
Two Presentations
15:45-17:15

Presentation of **A Pilot Implementation Experience**: Department of Agriculture SPS Administration Reforms and the DA-BOC Pilot National Single Window
15:45-16:30
Dr. Simeon S. Amurao, Jr, VQS (OIC-Chief, Veterinary Quarantine Service, DA, RFU IV4, Department of Agriculture

Presentation of **A Pilot Implementation Experience**: BIS Vehicle, Parts and Equipment
16:30-17:15
Mr. Mel Nicolas/Mr. Frederick Santillana, Division Chief/TIDS, Bureau of Import Services, Department of Trade and Industry

BREAK for the DAY

DAY 2, August 8
Planning Workshops and Meeting of the NSW Technical Working Group

08:00-08:45 Registration
08:45-09:00 Ice Breaker

Session 4: Planning for the NSW
Planning Workshops and Plenary Sessions
09:00-09:30

Plenary Session
Mechanics of the Planning Workshops

      Ms. Eulogia Navarro, Workshop Facilitator

Open Forum

Breakout Sessions
Simultaneous Workshops
09:30-12:15
      Group 1: Agricultural Merchandise and Natural Resources Regulators
      Group 2: Non-Agricultural Merchandise Regulators
      Group 3: Non-Merchandise Regulators

12:15-13:30 LUNCH BREAK

13:30-14:00 Team Building Activity

Plenary Session
Reports of the Various Workshop Groups
14:00-16:00
      Various Workshop Group Assigned Reporters

Session 5: First Meeting of the Expanded NSW Technical Committee and Closing Remarks
16:00-17:00

Agenda

1. Presentation and Adoption of the National Single Window Workplan
2. Plan to Hold the First Meeting of the NSW Steering Committee
3. Adoption of the Minutes of the First Meeting of Expanded NSW TC
4. **Closing Remarks**

      Deputy Commissioner Alexander M. Arevalo, Chairman of the Meeting

Workshop Facilitator
Ms. Eulogia Navarro

Annex 2

List of Participants
Orientation and Workshop
Planning for the Philippines National Single Window
Pan Pacific Hotel
August 7-8, 2007

Orientation and Workshop
Planning for the Philippines National Single Window
Pan Pacific Hotel
August 7-8, 2007

List of Resource Persons and
Participating Agencies

Speakers:

Mr. Napoleon Morales Commissioner, Bureau of Customs	**Mr. Alexander M. Arevalo** Deputy Commissioner, Management Information System Technology Group, Bureau of Customs
Atty. Reynaldo Nicolas Deputy Commissioner, Assessment and Operations Coordination Group Bureau of Customs	**Atty. Reynaldo D. Umali** Deputy Commissioner, Revenue Collection Monitoring Group Bureau of Customs
Ms. Josephine Nagallo Director, Management Information System Technology Group, Bureau of Customs	**Dr. Ramon L. Clarete** Technical Director, EMERGE Project
Dr. Simeon S. Amurao, Jr. VQS (OIC-Chief, Veterinary Quanrantine Service, DA, RFU IV4, Department of Agriculture	**Mr. Frederick Santillana** TIDS, Bureau of Import Services, Department of Trade and Industry

Group 1: Agricultural Merchandise and Resources Trade Regulators

Bureau of Agriculture and Fisheries Product Standards, DA	Fiber Industry Development Authority, DA
Bureau of Animal Industry, DA	Forest Management Bureau, DENR
Bureau of Fisheries & Aquatic Resources, DA	T he Center for Agriculture and Fishery, DA
Bureau of Food and Drugs, DOH	National Food Authority, DA
Bureau of Plant Industry, DA	National Meat Inspection Service, DA
Bureau of Quarantine, DOH	Policy Research Service, DA
Environmental Management Bureau, DENR	Philippine Coconut Authority, DA
Fertilizer and Pesticide Authority, DA	Sugar Regulatory Administration, DA

Group 2: Non-Agricultural Merchandise Trade Regulators

Bureau of Export Trade Promotion, DTI	National Telecommunications Commission, DOTC
Bureau of Import Services, DTI	Optical Media Board, OP
Bureau of Product Standards, DTI	Philippine Economic Zone Authority
Board of Investments, DTI	Philippine Nuclear Research Institute, DOST
Intellectual Property Office, DTI	Philippine Shippers' Bureau, DTI
Land Transportation Office, DOTC	

Group 3: Non-Merchandise Trade Regulators and Other Stakeholders

Bureau of Customs, DOF	One-Stop-Shop under DOF (Mabuhay)
Bureau of Internal Revenue, DOF	Philippine Economic Zone Authority
Bangko Sentral ng Pilipinas	Philippine Ports Authority, DOTC
Dept. of Finance	Securities and Exchange Commission
Dept. of Foreign Affairs	Tariff Commission
Export Development Council	**Other Stakeholders:**
Insurance Commission	Chamber of Customs Brokers, Inc.
Maritime Industry Authority, DOTC	Chamber of Automotive Manufacturers of the Philippines Inc.
National Statistics Office	Philippine Automotive Federation, Inc.
National Tax Research Center	Philippine Exporters Confederation, Inc.

Annex 3
Presentation of

Alexander M. Arevalo
Deputy Commissioner, Management Information System Technology Group
Bureau of Customs
Chairman, ASEAN Single Window Steering Committee
Member, Single Window Task Force, UNECE
Member, APEC Single Window Working Group

PHILIPPINE NATIONAL SINGLE WINDOW: ASEAN Context, Mechanics and Strategy

ALEXANDER M. AREVALO
Deputy Commissioner
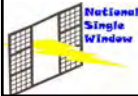Management Information Systems and Technology Group, Bureau of Customs

Chairman, ASEAN Single Window Steering Committee
Member, Single Window Task Group, United Nations Economic Commission for Europe (UNECE)
Member, Single Window Working Group, APEC
Former Chairman, ASEAN Single Window Inter-Agency Task Force
Former Chairman, ASEAN Customs ICT Heads
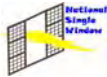

Outline of Presentation

➢ Developing the ASEAN Single Window

➢ Developing the National Single Window

➢ Strategies for Developing the ASEAN & National Single Windows

ASEAN
Single Window
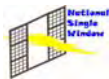
VISION OF THE ASW

➢ ASEAN integration by 2020

➢ Accelerated by five years

◆ Integration by 2015

MANDATE FOR THE ASW

➢ PRESIDENT GLORIA MACAPAGAL ARROYO: 9th ASEAN Summit (October 2003, Bali) – Directive of Heads of Goverment to establish the ASEAN Single Window (ASW)

➢ SECRETARY PETER FAVILA, DTI: 11th ASEAN Economic Ministers Summit (December 2005, Kuala Lumpur) - Agreement to Establish and Implement the ASEAN Single Window (ASW Agreement)

➢ SECRETARY MARGARITO TEVES, DOF: ASEAN Finance Ministers (December 2006) - Protocol to Establish and Implement the ASW

➢ 14th Meeting of ASEAN Customs Directors-General (9-11 November 2005 Cebu City, Philippines) - Agreement to establish the ASW Steering Committee

➢ SEOM 1/37 (January 2006) - ASW Steering Committee would take over the responsibility of the Inter-Agency Task Force upon signing of the ASW Protocol

➢ World Trade Organization (WTO) Ministerial Conference (December 2005, Hong Kong) - Single Window also forms part of proposed measures in the agenda of trade facilitation

Definition of ASEAN Single Window

"The ASEAN Single Window (ASW) is the environment where <u>National Single Windows</u> of Member Countries operate and integrate."
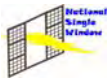
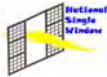## Definition of National Single Window

The <u>National Single Window</u> (NSW) is a system which enables:

- ♦ Single submission of data and information;
- ♦ Single and synchronous processing of data and information;
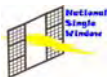- ♦ Single decision-making for Customs release and clearance
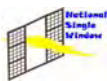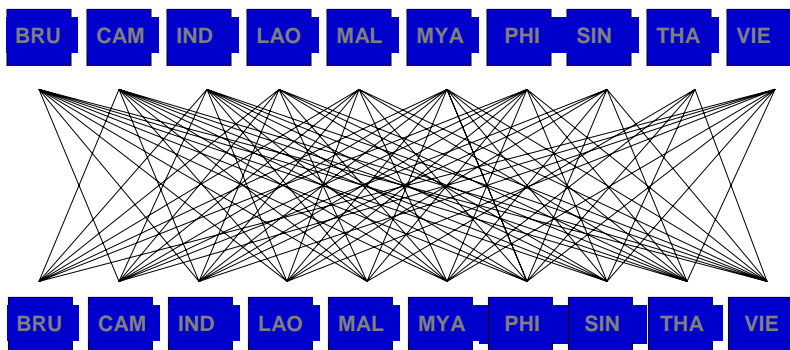
National Single Window

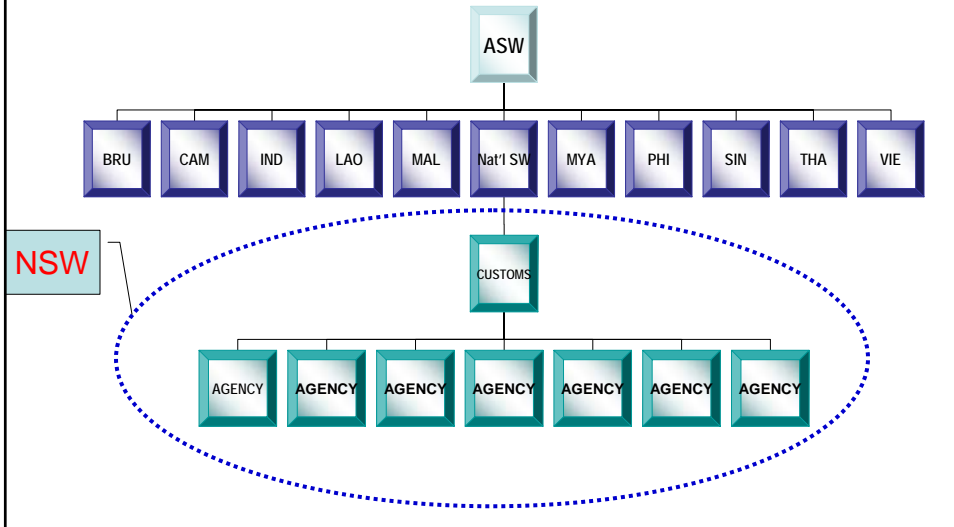Single Submission Processing Decision-Making

Release Time: 30 minutes

One to One

| BRU | CAM | IND | LAO | MAL | MYA | PHI | SIN | THA | VIE |

| BRU | CAM | IND | LAO | MAL | MYA | PHI | SIN | THA | VIE |

ASEAN & NATIONAL SINGLE WINDOWS CONCEPT

One-to-Many and Bottom-to-Top Strategy

ASW

BRU | CAM | IND | LAO | MAL | Nat'l SW | MYA | PHI | SIN | THA | VIE

NSW

CUSTOMS

AGENCY | AGENCY | AGENCY | AGENCY | AGENCY | AGENCY | AGENCY

ASEAN SINGLE WINDOW CONCEPTUAL MODEL

BRUNEI NSW

VIETNAM NSW

CAMBODIA NSW

THAILAND NSW

INDONESIA NSW

SECURE CONNECTIVITY

SINGAPORE NSW

LAO NSW

PHILIPPINES NSW

MYANMAR NSW

MALAYSIA NSW

6
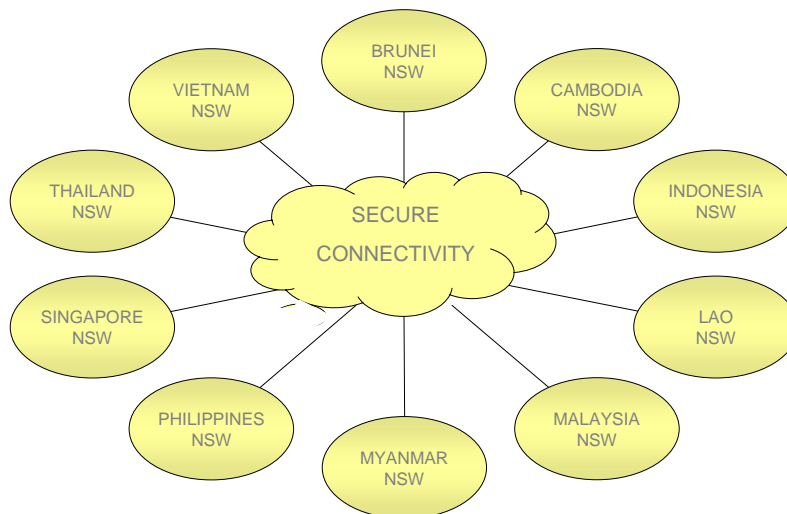
Meetings of the ASW

1. 04-06 Aug 2004 – Manila, Philippines
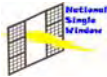2. 21-23 Oct 2004 – Hanoi, Vietnam
3. 29-31 Mar 2005 – Manila, Philippines
4. 17-19 May 2005 – Vientiane, Lao PDR
5. 02-04 Aug 2005 – Manila, Philippines
6. 11-13 Oct 2005 – Manila, Philippines
7. 23-27 Jan 2006 – Manila, Philippines
8. 21-22 Mar 2006 – Bangkok, Thailand
9. March 2007 – Manila, Philippines
10. 28 May - 02June 2007 – Hanoi, Vietnam
11. 13-17 August 2007*– K.L, Malaysia

ASEAN Single Window Agreement

Agreement to Establish and Implement the ASEAN Single Window

Signed by Sec Peter Favila, DTI and ASEAN Economic Ministers (AEM),
09 December 2005, Kuala Lumpur, Malaysia
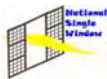
Obligation of Member Countries

Operationalize their National Single Windows before:

- ♦ 2008
  - • Brunei Darussalam
  - • Indonesia
  - • Malaysia
  - • PHILIPPINES
  - • Singapore
  - • Thailand
- ➢ 2012
  - ♦ Cambodia
  - ♦ Lao PDR
  - ♦ Myanmar
  - ♦ Vietnam
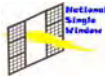
Obligation of Member Countries

- ➢ Operationalize their National Single Windows by 2008 (ASEAN6) and 2012 (ASEAN4)
- ➢ Ensure that line ministries and agencies cooperate with and provide info to Lead Agencies
- ➢ Make use of ICT in their NSWs
- ➢ Work with industries and business

8
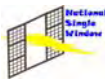
Philippine-Thailand Pilot Project

- Signed MOU in December 2005
- 3 Bilateral Technical Meetings in Manila and Bangkok
- Identified Pilot Systems
  - CEPT Form D – Country of Origin Form
  - Export Document
- Concluded in August 2006
- Live Systems
  - 3rd Qtr 2007 - CEPT Form D
  - 4th Qtr 2007 - Export Document
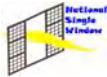
ASW Task Force: Accomplishments

- ASW Model
- *ASW Agreement signed by AEM (Sec Favila, DTI), Kuala Lumpur 9 Dec 2005*
- *ASW Protocol signed by SEOM (Sec Teves, DOF), Manila, Dec 2006*
- ASW Technical Guide
- ASW Technical Documentation
- ASEAN Customs Declaration Form
- TOR of ASW Steering Committee
- Proposed ASW Action Plan
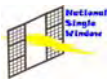
ASW Steering Committee

- ➢ Objective: coordinate, establish mechanism, synchronize implementation of the ASW before 2012
- ➢ Members: Senior officials of Line Ministries
- ➢ 1st Meeting in March 2007
- ➢ 1st Meeting in Hanoi May 28 June 4, 2007
    - ♦ Working Group on Technical Matters
    - ♦ Working Group on Legal And Regulatory Matters
- ➢ USAID Presentation of Country Preparedness for ASW - Hanoi, June 1 & 4, 2007
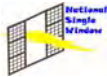
Philippine National Single Window
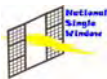
Executive Order 482: National Single Window

➢ TITLE: Executive Order 482: Creating the National Single Window (NSW) Task Force for Cargo Clearance

➢ Of: Her Excellency Gloria Macapagal Arroyo President of the Philippines

➢ DATE SIGNED: December 27, 2005

Creating the National Single Window (NSW) Task Force for Cargo Clearance

EO 482's MAJOR PROVISION:

"The creation of the NSW Task Force for Cargo Clearance is necessary for a more coherent and effective formulation, coordination, implementation and monitoring of the NSW."

e-Customs Inter-Agency Information Exchange Project

Bureau of Customs

Department of Finance

Department of Agriculture

Bureau of Treasury

National Economic Development Authority

Office of the President

Bureau of Internal Revenue

Department of Budget and Management

Banko Sentral ng Pilipinas

National Statistics Office

Department of Trade and Industry

Scope: e-Customs Project

Imports & Assess. System
- Electronic Manifest System
- Import Entry System
- Selectivity Hold & Alert System
- Online Release System
- Licensing & Clearance System
- Payment System

BOC Portal
- Information Website
- Personalized Content Mgmt
- BOC VASP Gateway
- Inter-Agency` Info Exchange Gateway
- ASEAN Info Exchange Gateway
- BOC BAP Gateway

NSW

ASW

Exports Processing System
- Export Documentation System
- Bonds Management System
- Raw Materials Liquidation System

Modernization
- ISO Certification
- Workplace Modernization
- Customer Relations Management
- Computer Hardware Upgrade

Funds Monitoring System
- DBCC Information Support Requirements System

Resources and Operations Management System

Operations Support System
- Value Reference Info System
- Passenger Baggage Entry System
- Legal Mgmt & Case Tracking System
- Statistical Management System
- Trade Compliance System
- Alert System
- Client Registration Profiles

Enterprise Resource Planning
- Human Resources Management System
- Financial Management System
- General Services System

"SINGLE WINDOW"

Single Submission

Single Processing

Single Decision-Making

Monitoring

BOC e-CUSTOMS PROJECT BUILDING BLOCKS

System Implementation

Port of Manila

Port of Clark Int'l. Airport

Ninoy Aquino International Airport

Manila International Container Port

Port of San Fernando

Port of Batangas

Port of Legaspi

Port of Iloilo

Port of Tacloban

Port of Cebu

Port of Davao

Port of Cagayan de Oro

Port of Zamboanga

- 3 Major Ports
- 12 Provincial Ports
- 32 Sub Ports

Aparri
Port of Sual
Luzon
Baguio
South China Sea
San Fernando
MANILA
Batangas
Mindoro
Panay
Iloilo Bacolod
Cebu
Guimaras Island
Palawan
Surigao
Puerto Princesa
Negros
Cagayan de Oro
Iligan
Zamboanga
Davao
Jolo
Sulu Sea
MALAYSIA
Philippine Sea
Legaspi
Samar
Leyte
Butuan
Mindanao
Quezon

Agency Specific Pilot Project: BOC-DA

- ➢ Secretary of Agriculture signed an MOU with Secretary of Finance for an inter-agency linkage between the Customs and their Agencies
- ➢ USAID-supported DA Harmonization Project (Jan-June 2007)
- ➢ DA Agencies in the Project
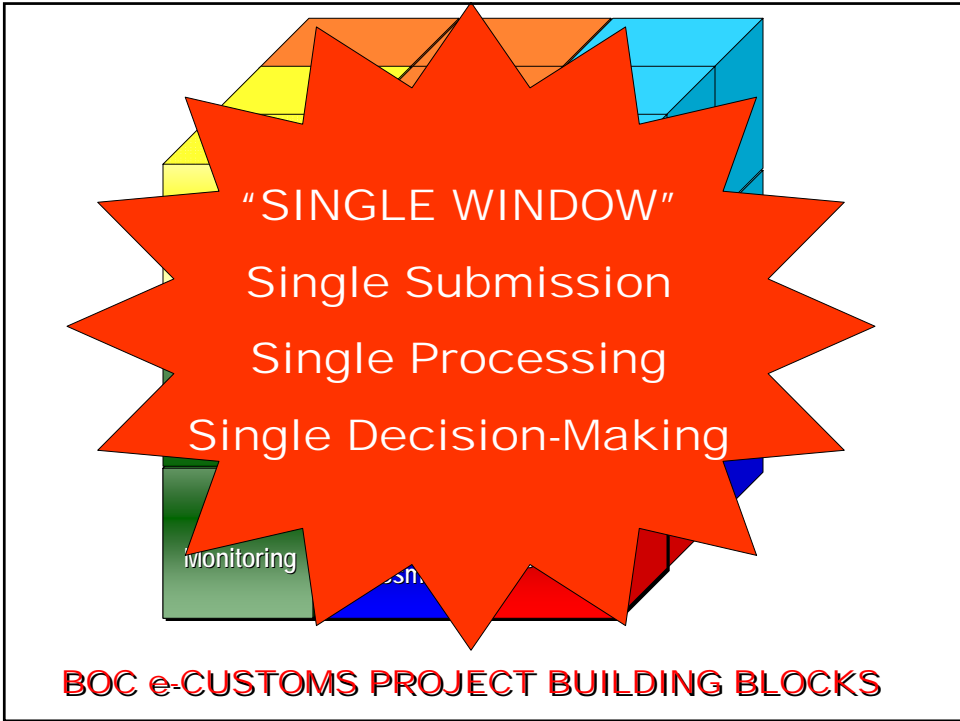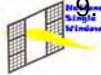 1. Bureau of Plant Industry
  2. Bureau of Animal Industry
  3. National Meat Inspection Service
  4. Bureau of Fisheries and Aquatic Resources
  5. Fertilizer and Pesticides Authority
  6. Philippine Coconut Authority
  7. Fiber Industry Development Administration
  8. National Food Authority
  9. Sugar Regulatory Administration

BOC-DA Pilot NSW Activity

- ➢ Has met 6 times (Technical, Regulatory, Harmonization)
- ➢ BOC has trained 7 DA agencies March 2007
- ➢ Agencies to exchange import (and exports later) clearance information with Customs
- ➢ Customs to provide hardware, software, training 1 and connectivity
- ➢ Admin Order of DA on Harmonization & Use of Standard Forms drafted
- ➢ Earmarked for 3Q 2007
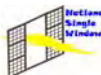
Industry Specific Pilot Project: Vehicles

- BIS, LTO, BOC signed MOA for Processing of Imports of Motor Vehicle Parts March 2007
- Initiative was expanded to NSW for import and exports clearance for vehicle industry
- Agencies Involved:
    - BIS, DTI
    - BEPT
    - BPS
    - LTO
    - BOC
    - BIR
    - BTRC
    - BSP
    - CAMPI (volunteered to join), 24 May
    - MVPMAP (volunteered to join), 24 May
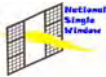
Industry Specific Pilot Project Activities

- Have met 4 times
- Customs to provide hardware, connectivity, software and training
- To include the APEC-funded Pilot Project of FAPI
- Harmonization & Standardization still ongoing
- Earmarked for 3Qtr 2007
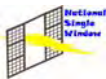
NSW STEERING TASK FORCE ACTIVITIES

- ➤ 1st NSW <u>TWG</u> Summit August 2007 – USAID-assisted
  - ♦ Organization
  - ♦ Mobilization
  - ♦ Presentation of 2 Pilot Projects
  - ♦ Work Program on Technical
  - ♦ Work Program on Legal and Regulatory Matters
  - ♦ Work Program on Harmonization and Standardization
  - ♦ Work Program on Business Processes
- ➤ 1st NSW Steering Comm August 2007 – USAID
  - ♦ <u>Steering Committee</u> Meeting
  - ♦ Presentation of Work Program 2007-08
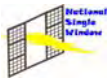
Pilot Implement of the ASW Project

BUREAU OF CUSTOMS

ROYAL THAI CUSTOMS
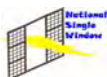
ASW STEERING COMMITTEE

- Endorsed in the 14th ASEAN DG's of Customs, Cebu, Philippines 9-11 Nov 2005
- Objective: coordinate, establish mechanism, synchronize implementation of the ASW before 2012
- Members: Senior officials of Line Ministries
- 1st meeting in March 2007
- 1st Meeting in Hanoi May 28 June 4, 2007
    - Working Group on Technical Matters
    - Working Group on Legal And Regulatory Matters
- USAID Presentation of Country Prepared-ness for ASW (USAID-assisted) - Hanoi, June 1 & 4, 2007
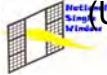
Working Groups for ASW Steering Committee (ASWSC)

```
                    ASW
                 STEERING
                COMMITTEE
                     |
          -----------------------
          |                     |
      WG FOR                WG FOR
     TECHNICAL         LEGAL & REGULATORY
      MATTERS               MATTERS
 (Chair - Philippines)   (Chair – Malaysia)
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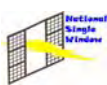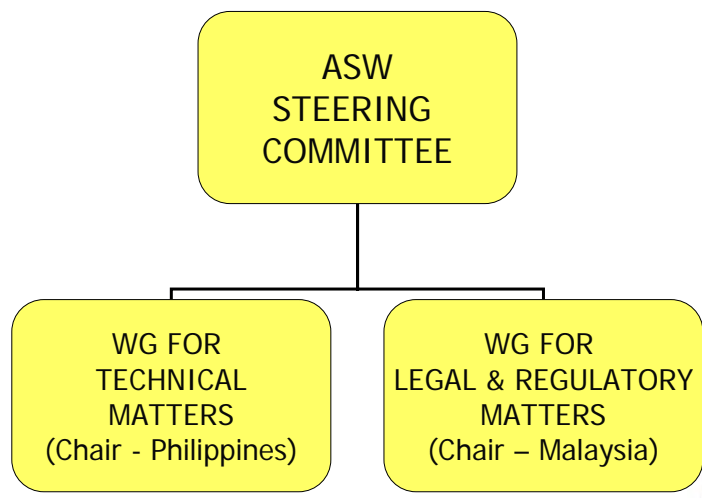
Working Group for Legal and Regulatory Matters

CHAIRMAN: Deputy Commissioner Reynaldo Umali, Bureau of Customs, Philippines

The Working Group on Legal and Regulatory Matters shall:

➢ Develop a legal and regulatory framework of the ASW and NSWs for recommendation to the ASW Steering Committee.

➢ Provide analysis on the legal requirements for the connectivity of the NSWs to the ASW
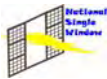
Working Group for Technical Matters

CHAIRPERSON: Ms. Marianne Wong Mee Wan, Senior Assistant Director, Royal Malaysian Customs

The Working Group on Technical Matters shall focus on the following areas:

➢ *Design Business Process and Work Flow*

➢ *Standardize Data and Documentation (ASEAN Data Set for the NSWs and ASW)*

➢ *Apply Information and Communication Technology*
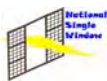
Timeline Accelerated!

ASEAN Framework (Amendment) Agreement for the
<u>Integration of Priority Sectors</u>, signed by the ASEAN
Economic Ministers in Cebu, Philippines, 8 December 2006:

Article 6
Customs Procedures
Article 8 of the Framework Agreement is amended:
by substituting the expression "on and ongoing basis" sub-
paragraph (a) with "31 December 2007";
by adding the following new sub-paragraph:

***"(g) implement the ASEAN Single Window
by 1 January 2008 for ASEAN-6 and by 1
January 2012 for CLMV."***

ASW & NSW STRATEGIES
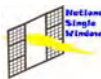
Implementation Strategy of the ASW Task Force

Focus on the tangible deliverables.
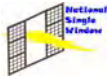
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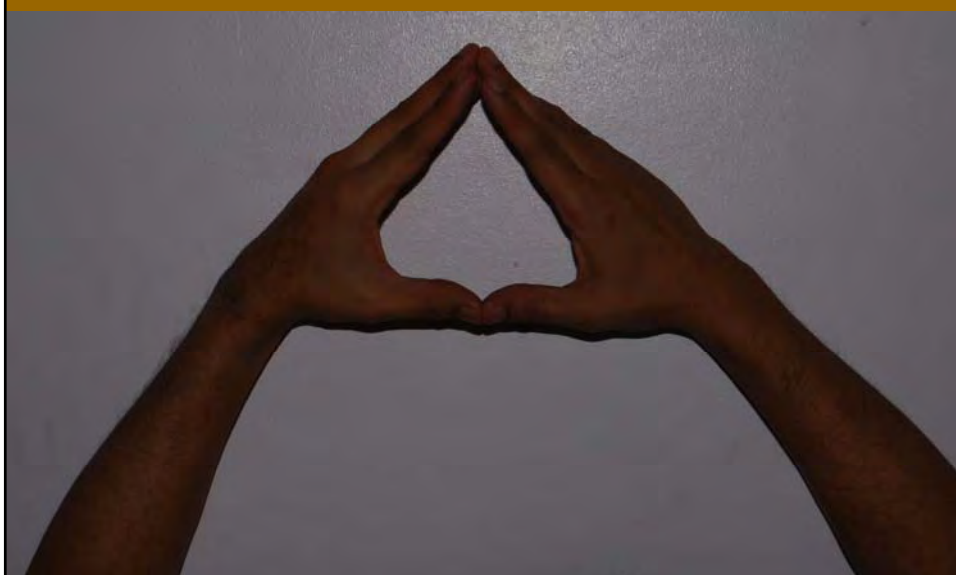
Implementation Strategy of the ASW Task Force

Resource Mobilization: BOC TO PROVIDE INITIAL ICT ASSETS!!!

Implementation Strategy of the ASW Task Force

Centralized Planning. Decentralized Implementation!!!
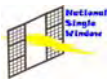
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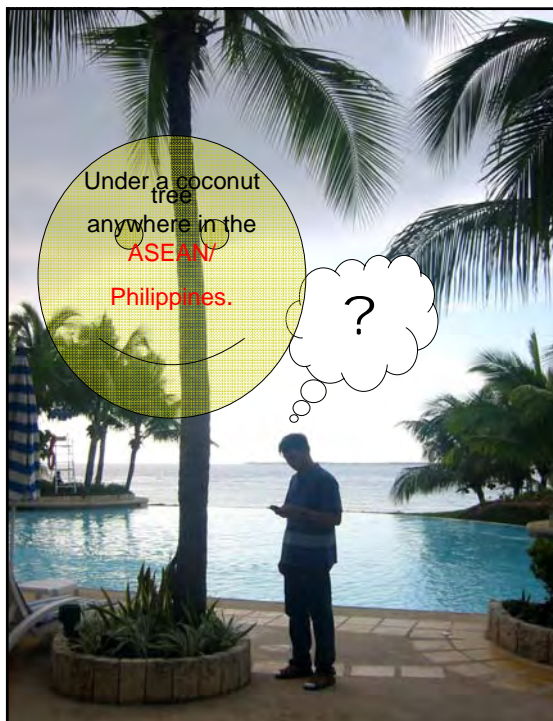
Lessons Learned
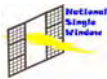
Deputy Commissioner Arevalo completed his presentation using additional slides with audio from a different file (s). This ends his presentation.
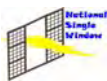
Annex 4
Presentation of

Atty. Reynaldo V. Umali
Deputy Commissioner, Revenue Collection Monitoring Group, Bureau of Customs
Chairman, Working Group on Legal and Regulatory Matters for the ASEAN Single
Window Steering Committee

Enabling the Philippine National Single Window for Cargo Clearance

Atty. Reynaldo V. Umali

**Deputy Commissioner, Revenue Collection Monitoring Group;
and Chairman, Working Group on Legal and Regulatory
Matters for the ASEAN Single Window Steering Committee**

National Single Window

Planning for the Philippine National Single Window
Pan Pacific Hotel
August 7-8, 2007

Purpose of Presentation

➢ Take up the legal framework of the Philippine National Single Window program

➢ Identify challenges of the program that may require legislation, executive issuances, or agreements

"Enabling the Philippine National Single Window for Cargo Clearance"
A Presentation by Atty. Reynaldo V. Umali at the
Orientation and Workshop Planning for the Philippines National Single Window
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Multilateral Agreements for NSW

➢ ASEAN member countries have obligations under the GATT 1994, which stipulates for the adoption of international best practices in trade facilitation, such as the use of single windows.

➢ Member countries had acceded or in the process of acceding to the Revised Kyoto Convention on the Simplification and Harmonization of Customs Procedures, including single windows.

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ASEAN NSW Agreement

➢ July 2003. ASEAN DGs of Customs adopted trade facilitation measures under the single window mechanism in support of the implementation of freer trade and economic community.

➢ ASEAN leaders in pursuit of the Bali Concord II endorsed the core customs cooperation to reduce transaction cost through the ASEAN trade single window.

➢ ASEAN leaders agreed to adopt the Single Window approach at national and regional levels on December 9, 2006.

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Basis for the Philippine NSW

- Sec. 5 of the ASW Agreement obliges member countries to "develop and implement their National Single Windows (NSWs) for the establishment of the ASEAN Single Window".
- The NSWs of Brunei, Indonesia, Malaysia, Philippines, Thailand and Singapore shall be ready by 2008.
- Transition countries of ASEAN are given until 2012 to have their NSWs up and running.

national
Single
Window

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Enabling the Philippine NSW

- On Dec 27, 2005, Pres. Arroyo issued EO 482 to create the National Single Window (NSW) Task Force for Cargo Clearance.
- NSW Task Force is made up of:
    - Technical Working Group
    - Steering Committee

National
Single
Window

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Composition of the NSW Steering Committee

1. **SECRETARY OF FINANCE - CHAIRMAN**
2. Secretary of Trade and Industry
3. Secretary of Agriculture
4. Secretary of Transportation and Communication
5. Secretary of the Interior and Local Government
6. Secretary of Health
7. Governor, Banko Sentral ng Pilipinas
8. Director-General, National Economic and Development Authority

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Responsibilities and Powers of the Steering Committee

- Sets policy guidelines for the creation and operation of the NSW and ASW thereafter.
- Crafts financial schemes and strategies to finance the establishment and operation of the NSW from inception to sustainability.
- Ensures the effective and efficient implementation
- Has the authority to promulgate rules and regulations in connection with the establishment and operation of the NSW (Sec. 8)
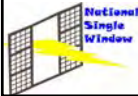
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NSW Technical Committee

1. **COMMISSIONER, BUREAU OF CUSTOMS** - Chairman
2. Bureau of Internal Revenue
3. Commission on ICT
4. Tariff Commission
5. Philippine Ports Authority
6. Bureau of Plants & Industry
7. Bureau of Quarantine
8. Bureau of Food and Drugs
9. Bureau of Animal Industry

10. Bureau of Import Services
11. Land Transportation Office
12. Insurance Commission
13. Philippine Economic Zone Authority
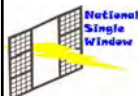14. Mabuhay and Non-Mabuhay Lane, Department of Finance

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Functions of the NSW Technical Committee

1. Implement policies and directives of the Steering Committee
2. Identify common data sets to standardize information and processes for integration
3. Delineate roles of each agency
4. Create an ICT framework necessary for integration
5. Implement other instructions of the Steering Committee to ensure the effective implementation of the NSW and ASW.
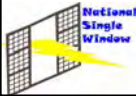
"Enabling the Philippine National Single Window for Cargo Clearance"
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e-Commerce Law

➢ The Electronic Commerce Act (R.A. 8792) was enabled in 2000 to "…to promote the universal use of electronic transactions in the government and by the general public."

➢ The DTI, the DBM and the BSP in 2002 jointly laid down the Implementing Rules and Regulations for the Electronic Commerce Act.

➢ Administrative Order No. 2 (series of 2002), jointly issued by DTI, DOST, DBM and the BSP in 2002, laid down the Implementing Rules and Regulations on Electronic Authentication and Electronic Signatures.
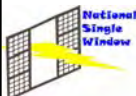
National Single Window

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Protection of Personal Data in ICT Systems

➢ DTI Administrative Order No. 8 (series of 2006) prescribes for the Guidelines for the Protection of Personal Data in Information and Communications System in the Private Sector. The guidelines:

- ♦ encourage and provide support to private entities to adopt privacy policies for the protection of personal data in information and communications system in the private sector.
- ♦ prescribe the rules governing data protection certifiers.
- ♦ apply to the processing of all types of personal data whether such data refers to any natural or legal person, and without regard to whether or not that personal data is of local origin or from foreign countries.
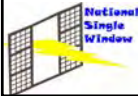
National Single Window

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Consumer Protection in e-Banking

➢ The Bangko Sentral ng Pilipinas (BSP) in 2006 issued Circular No. 542, providing for consumer protection for electronic banking.

♦ Banks are obliged to implement minimum security measures in its automated teller machine (ATM) facilities and internet or mobile banking activities to protect depositors and consumers from fraud, robbery and other e-banking crimes.

♦ Guidelines are set:
- to safeguard customer information
- prevents money laundering and terrorist financing;
- Reduces fraud and theft of sensitive information;
- Promotes legally enforceable e-banking supported agreements and economic transactions
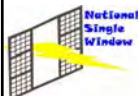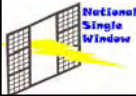
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Intellectual Property Protection in the Internet

➢ The Philippine Senate in 2002 ratified the two internet treaties, namely
- ♦ WIPO Copyright Treaty (WCT)
- ♦ WIPO Performances and Phonograms Treaty (WPPT).

➢ The accession of the Philippines to these treaties is an important step towards the recognition and protection of IP rights of those who use the internet for their commerce.
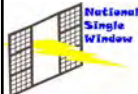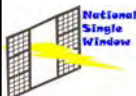
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Important Provision in EO 482

➤ "Government Departments and Agencies involved in the cargo clearance release must cooperate with each other in order to provide the Bureau of Customs with automated electronic system required for the establishment, implementation, and operation of the NSW, and eventually the ASW."

➤ Use of inter-agency agreements
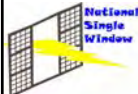
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Examples of Inter-agency Agreements

➤ A Memorandum of Understanding (MOU) between the Bureau of Customs (BOC) and the Department of Agriculture (DA) was signed in December 2006
   ♦ for the pilot implementation of the NSW between the DA and the BOC

➤ A Memorandum of Agreement (MOA) was signed last October 2006 among BOC, Philippine National Police (PNP), Philippine Economic Zone Authority (PEZA), and the Semiconductor and Electronics Industries of the Philippines in line with the objective of the National Single Window.
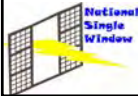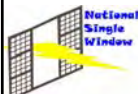
"Enabling the Philippine National Single Window for Cargo Clearance"
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Challenges

➢ Passage of laws
- ♦ Anti-Cyber Crime Act. It aims to curb illegal activities committed in cyberspace, including computer fraud, forgery and sabotage and unauthorized access.
- ♦ Enabling by domestic law the internet IP treaties, which the Senate ratified in 2002.
- ♦ Customs code modernization to recognize and enable the internationally best practices in trade facilitation and customs administration such as the single window.

➢ Crafting and execution of inter-agency agreements in support of the NSW.
- ♦ Prescription of monitoring and dispute settlement procedures in such agreements.
- ♦ Prescription of enabling improvements into existing agreements.
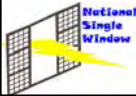
"Enabling the Philippine National Single Window for Cargo Clearance"
A Presentation by Atty. Reynaldo V. Umali at the
Orientation and Workshop Planning for the Philippines National Single Window
Pan Pacific Hotel August 7-8, 2007

End of Presentation

Marami Pong Salamat!

Planning for the Philippine National Single Window
Pan Pacific Hotel
August 7-8, 2007

Annex 5
Presentation of

Atty. Reynaldo S. Nicolas
Deputy Commissioner, Assessment and Operations Coordination Group,
Bureau of Customs
Member, APEC Single Window Working Group

Cargo Clearance and Assessment Procedures at the BOC and the National Single Window

Atty. Reynaldo S. Nicolas
Deputy Commissioner, Assessment and Operations Coordination Group
Member, APEC Single Window Working Group

Planning for the Philippine National Single Window
Pan Pacific Hotel
August 7-8, 2007

Objectives of the Presentation

➢ Orient the participants about the assessment and cargo clearance procedures of the BOC

➢ Give the participants the perspective of where the national single window is needed to facilitate trade
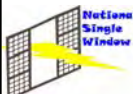
"Cargo Clearance and Assessment Procedures at the BOC and the National Single Window"
A Presentation by Deputy Commissioner Reynaldo S. Nicolas at the
Orientation and Workshop Planning for the Philippines National Single Window
Pan Pacific Hotel August 7-8, 2007

Assessment and Cargo Clearance Processes
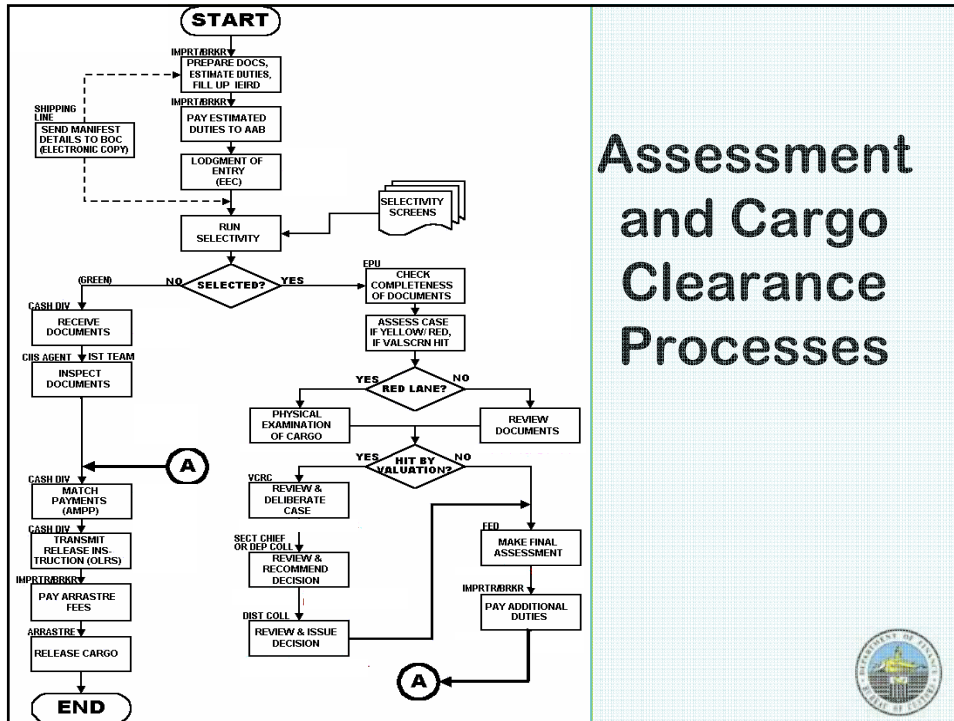
High Level Processes

- ➢ Lodgment of Import Entries
- ➢ Selectivity System
- ➢ Green Lane
- ➢ Yellow Lane
- ➢ Red Lane
- ➢ Valuation Classification Review
- ➢ Cash Division Processing
- ➢ Cargo Release

Lodgment of Import Entries
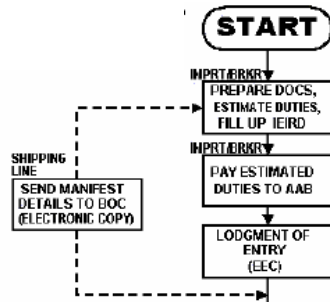
- Shipping line sends manifest to BOC
- Importer/Broker prepares the Import Entry and Internal Revenue Declaration (IERD) and required docs
- Lodge entries through:
    - Electronic Encoding Center;
    - Direct Traders Input;
    - Electronic Data Interchange (EDI); or
    - Web (Asycuda World)
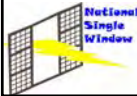
"Cargo Clearance and Assessment Procedures at the BOC and the National Single Window"
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Pan Pacific Hotel August 7-8, 2007

Payment of Duties/Taxes

- One attached document to the IEIRD is the receipt for the **estimated** payment of duties/taxes to an Accredited Authorized Bank (AAB)
- Bank receives payment and sends information to the BOC's cash division

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Orientation and Workshop Planning for the Philippines National Single Window
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Selectivity System

➤ BOC's Automated Computer Operating System (ACOS) assesses if the import entry will be selected to follow the red or yellow lanes

➤ Selectivity system comprises a set of queries or screens depending upon the revenue and compliance risks

➤ If not selected, the document goes to the green lane

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Green Lane

RUN SELECTIVITY

(GREEN)   NO   SELECTED?

CASH DIV
RECEIVE DOCUMENTS

CIIS AGENT   IST TEAM
INSPECT DOCUMENTS

➤ Cash Division receives the documents from ACOS

➤ CIIS agent and Import Specialist Team member inspect documents for any errors by the EEC clerk or selectivity system

➤ Manual review of documents seen as safeguard for any automation error, if any

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Pan Pacific Hotel August 7-8, 2007

Potential NSW Role!

- In their review of the documents, the IST, CIIS, or the Cash Division staff may raise a compliance issue or note an error in the document.
- Information is required from any of the possible nodes:
  - AA Banks (**EXISTING NODE!**) on information on payment made by importer
  - Sources of price information (IST tends to focus on valuation issues)
  - Value added service provider for possible mistakes in digitizing information

Selected Entries

- Entries are selected
  - if they the imported merchandise is regulated which may require document and physical inspection
  - a serious compliance risk is identified which requires both document review and physical inspection
  - A valuation issue was identified by the selectivity system
- These processes (red and yellow lane) are done by the Formal Entry Division of the Collection Districts or Ports
- At least 80 per cent of entries are selected.
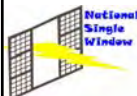
Yellow Lane: *"Follow the yellow brick road!"*

- ➢ Document review undertaken:
  - ♦ List of required documents
  - ♦ Integrity/authenticity of the documents
- ➢ Consignment integrity check
  - ♦ Consistency of the information of the import entry document with the conditions stipulated by the regulator in the import permit in the case of regulated imports
- ➢ Cargo clearance cannot proceed without completing the yellow lane.
- ➢ Failure to complete the yellow lane requires deliberation of the matter by a special Committee, higher level officials under or above the district in consultation with the border inspector of the regulator, in the case of regulated imports.
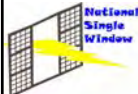
"Cargo Clearance and Assessment Procedures at the BOC and the National Single Window"
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Pan Pacific Hotel August 7-8, 2007

Red Lane

- ➢ Besides a thorough check of the customs declaration documents, the merchandise covered are inspected.
- ➢ A customs examiner (COO3) conducts the inspection with the respective border inspectors of the regulators (in the case of regulated imports).
- ➢ Inspector is advised by supervisor of the reason for inspecting the cargoes.
- ➢ Cargo clearance cannot proceed back to green lane without completing the red lane.
- ➢ Failure to complete the red lane requires the deliberation of the matter by a special Committee, higher level officials under or above the collection district in consultation with the border inspector of the regulator, in the case of regulated imports.

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VCRC Process

- If yellow or red lane entries have passed the document review and/or inspection **and** they don't have valuation or classification issues, they are transferred to the green lane.
- Import entries have a valuation issue if their declared values fall out of the value ranges (a selectivity screen).
- Those with classification issues are merchandise with unclear HSN classification.
- Valuation and classification issues are elevated to the VCRC (above the districts), with authority to decide on the matter.

National Single Window
"Cargo Clearance and Assessment Procedures at the BOC and the National Single Window"
A Presentation by Deputy Commissioner Reynaldo S. Nicolas at the
Orientation and Workshop Planning for the Philippines National Single Window
Pan Pacific Hotel August 7-8, 2007

VCRC Process

- Decisions on valuation issues may be resolved with providing information supporting the authenticity of the declared values.
- BOC requires intervention by the Tariff Commission to help resolve a classification issue.
- If needed, goods may be released conditionally with a customs bond.
- Completion of the VCRC process entitles the customs declaration to be routed to the cash division for final processing.

National Single Window
"Cargo Clearance and Assessment Procedures at the BOC and the National Single Window"
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Potential NSW Role!

- In their review of the documents, the examiner may raise possible compliance issues such as:
  - Incompleteness of the documents
  - Integrity of the documents
  - Consignment integrity or internal consistency of the documents
  - After inspection, merchandise brought in are inconsistent with those declared.
- They may also raise valuation and classification issues.
- NSW connectivity with the regulators, Insurance Commission, Tariff Commission, and other relevant government agencies are needed to facilitate decisions on the above issues which has slowed down the cargo clearance process.
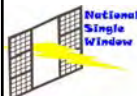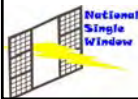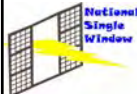
"Cargo Clearance and Assessment Procedures at the BOC and the National Single Window"
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Cash Division Processing

- Cash Division of the District runs the Automated Matching of Payment and Payables (AMPP).
- If payments made and assessment match, the Cash Division sends the cargo release instruction to arrastre via the On Line Release System (OLRS).
- If they don't, importer may pay the additional assessments.
- This formally ends the BOC assessment and cargo clearance process.

"Cargo Clearance and Assessment Procedures at the BOC and the National Single Window"
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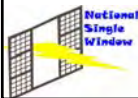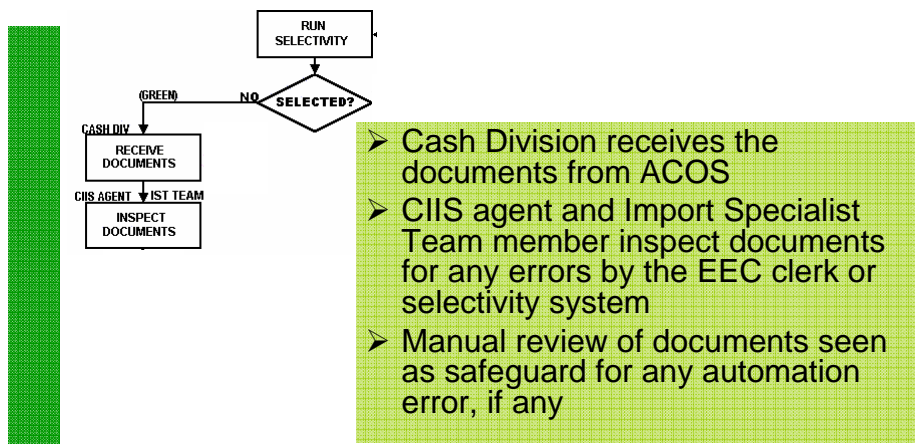Pan Pacific Hotel August 7-8, 2007

Potential NSW Role!

➢ At the cash division, a payments issue may arise.

➢ NSW connectivity with the AA banks, Insurance Commission and other relevant government agencies is needed to facilitate reconciliation of payments and assessment and bond-supported payments.
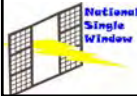
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Outside the Customs Zone

➢ Arrastre receives the release instruction through OLRS.

➢ Importer/broker pays the arrastre fees.

➢ Arrastre releases the cargoes to the importer.

➢ Some regulators may require further processing (so-called "second border") but this is not within the BOC jurisdiction.
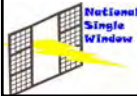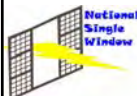
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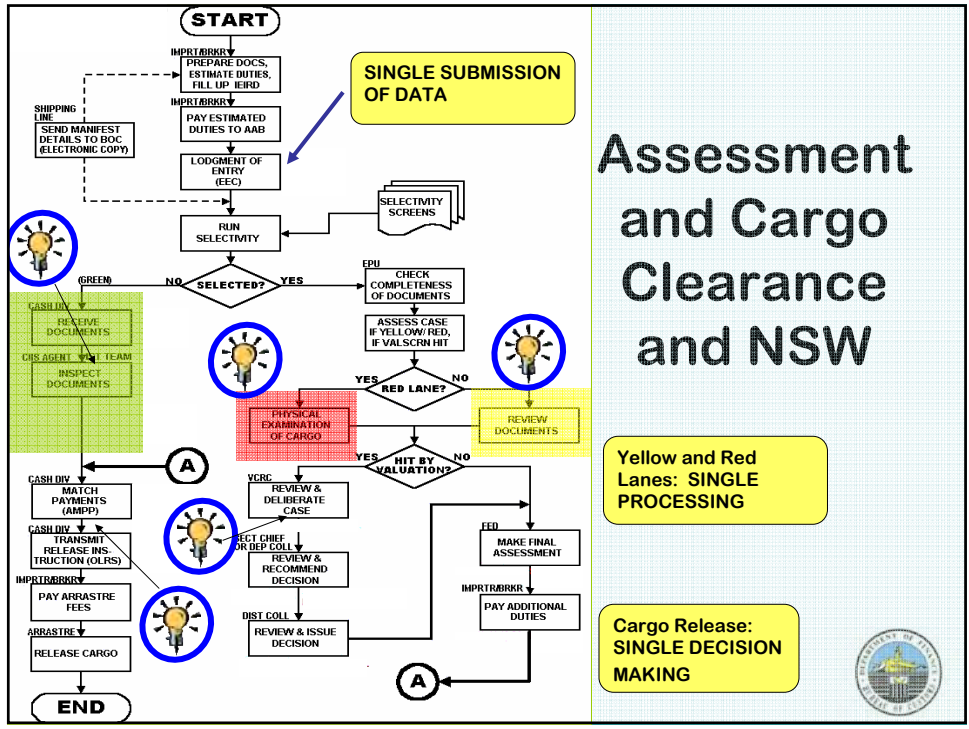
End of Presentation

Marami Pong Salamat!

National Single Window
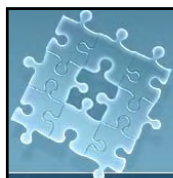
Planning for the Philippine National Single Window
Pan Pacific Hotel
August 7-8, 2007

Annex 6
Presentation of

Ms. Josephine Nagallo
Director, Management Information Systems and Technology Group
Bureau of Customs

Technical Aspects & Tools for Implementing the NSW

Josephine I. Nagallo

August 7, 2007

Outline of Presentation

- Pilot Implementation ASW

- NATIONAL SINGLE WINDOW (NSW) ICT Applications

- Tools for Implementation

December 31, 2007

Philippines - Thailand

Actors

Technical Requirements

1. e-documents to be exchanged
   - ❑ Export Declaration XML
   - ❑ CEPT Form-D XML

2. Security Framework
   - ❑ PKI implementation
   - ❑ 128-bit data encryption

3. Communication Protocol
   - ❑ ebMS Version 2.0

PKI CONFIGURATION

www.customs.gov.ph   www.thailandnationalsinglewindow.com
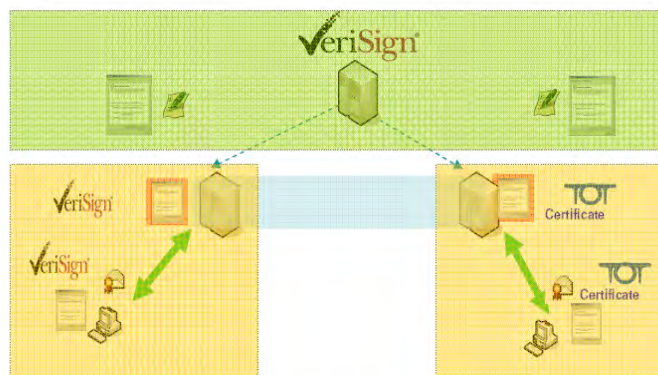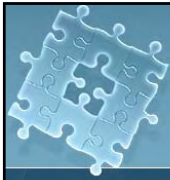
CEPT Form-D

No.	DATA ELEMENT	DESCRIPTION	DATA TYPE
1	Reference Number	CEPT Reference Number	String (20)
2	Issuance Site (Full name)	Identification of Issuing Office	String (35)
3	Issuance Date	Date of Issue for CEPT	Date
4	Exporter Name	Exporter Name	String (255)
5	Exporter Address	Exporter Address	String (255)
6	Importer Name	Importer Name	String (255)
7	Importer Address	Importer Address	String (255)
8	Item No.	Line Item No.	Number (4)
9	Country Code	Importer Country Code	String (2)
10	HS Code	HS Code	String (20)
11	CEPT Rate	CEPT Rate	Number (18)
12	FOB	FOB Value	Number (18,2)
13	Currency Code	Currency Code of FOB Value	String (3)
No.	DATA ELEMENT	DESCRIPTION	DATA TYPE
14	Gross Weight	Gross Weight of the Consignment	Number (18,2)
15	Unit of Measurement	Unit of Measurement of Gross Weight	String (3)

CEPT Form-D

16	Quantity	Goods Quantity	Number (18,2)
17	Unit of Measurement	Unit of Measurement of Goods Quantity	String (3)
18	Date of Export	Date Goods are Exported	Date
19	Invoice No.	Invoice No.	String (50)

Export Declaration

Header Portion

No.	DATA DESCRIPTION	FORMAT	CODE
1	Reference number of Customs Declaration	an..35	
2	Type of declaration and customs procedures and regimes	an..3	EXP - Export,IMP - Import
3	Number of forms of the declaration document and its respective uses	an..5	
4	Date of presenting the goods declaration to the Customs	n8	
5	Exporter/Consignor	an..70	
6	Importer/Consignee	an..70	
7	Transaction reference by Declarant	an..35	
8	Identification of Declarant/Customs Clearing Agent or Representative	an..70	
9	Reference number of common access	an..35	
10	Security details	a..3	
11	Transport document type/number	an..35	
12	Total number of packages	an..8	
13	Location of goods	an..35	
14	Identification and nationality of means of transport	an..35	
15	Identification and nationality of means of transport crossing the border	an..35	

Export Declaration

16	Mode of transport	a1	UN/ECE
17	Place of loading and discharge	an..11	UN/LOCODE
18	Office of departure	an..11	
19	Container transport indicator	n1	0 = no , 1 = yes
20	Country and/or region of exportation	a2	ISO CODE
21	Country of destination	a2	ISO CODE
22	Terms of delivery	a3	
23	Payment currency	a3	ISO CODE
24	Rate of exchange	n..12,5	
25	Nature of transaction	n2	
26	Total Collection of duty and taxes per declaration	n..16,2	
27	Intended Customs Offices at route	an..35	
28	Customs Office of Destination	an..35	
29	Transfer during Customs Transit	an..35	
30	Control Measure/Incidents during Customs Transit	an..35	

Export Declaration

No.	DATA DESCRIPTION	FORMAT	CODE
31	Country of origin	a2	ISO CODE
32	Authentication	an..35	

Details Portion

No.	DATA DESCRIPTION	FORMAT	CODE
33	Item number	n..4	
34	Other information covering the liability of goods to specific requirement	an..35	
35	Previous customs procedures	an..35	
36	Customs value basis	n..16,2	
37	Added amount	n..16,2	
38	Deducted amount	n..16,2	
39	Duty/tax treatment applied for	an..35	
40	Identification of Customs warehouses	an..35	
41	Container identifier	an..256	
42	Gross mass	n..11,3	
43	Commodity code	n..12	
44	Supplementary quantity	n..11,3	
45	Deferred payment of duty/tax/fee	an..35	
46	Quota/Licenses	an..35	
47	Country of origin code	a2	ISO CODE

Export Declaration

Container Portion

No.	DATA DESCRIPTION	FORMAT	CODE
48	Reference number of Container	an..17	

6

Philippines - Korea

- Delegates from Korea Customs visited Manila in July 9 to 10
  - Director-General Doh-Yeol Kim
  - Deputy Director Hoon-Goo Cho
  - Senior Asst. Director Young-Mo Lee
  - Senior Assistant Director Se-Jin Park
- Draft MOU
- Test Plan
- Export Declaration

ASEAN Customs Declaration Document

System Implementation

- 3 Major Ports
- 12 Provincial Ports
- 32 Sub Ports

"SINGLE WINDOW"

Single Submission

Single Processing

Single Decision-Making

PROJECT BUILDING BLOCKS

8

Scope: e-Customs Project (2006)

Imports & Assess. System
- Electronic Manifest System
- Import Entry System
- Selectivity Hold & Alert System
- Online Release System
- Licensing & Clearance System
- Payment System

BOC Portal
- Information Website
- Personalized Content Mgmt
- BOC VASP Gateway
- Inter-Agency` Info Exchange Gateway
- ASEAN Info Exchange Gateway
- BOC BAP Gateway

NSW
ASW

Exports Processing System
- Export Documentation System
- Bonds Management System
- Raw Materials Liquidation System

Modernization
- ISO Certification
- Workplace Modernization
- Customer Relations Management
- Computer Hardware Upgrade

Funds Monitoring System
- DBCC Information Support Requirements System

Resources and Operations Management System
Operations Support System
- Value Reference Info System
- Passenger Baggage Entry System
- Legal Mgmt & Case Tracking System
- Statistical Management System
- Trade Compliance System
- Alert System
- Client Registration Profiles

Enterprise Resource Planning
- Human Resources Management System
- Financial Management System
- General Services System

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e-Customs Inter-Agency Information Exchange Project

ASYCUDAWorld Inter-Agency Information Exchange

Bureau of Customs
Department of Finance
Department of Agriculture
Bureau of Treasury
National Economic Development Authority
Bureau of Internal Revenue
Department of Budget and Management
Office of the President
Banko Sentral ng Pilipinas
National Statistics Office
Department of Trade and Industry

Electronic Manifest System
(Manila International Airport Authority and Philippine Ports Authority)

Manifest Process

1. The Electronic Manifest System requires that the Arrival Schedule of a vessel exists before its manifest is accepted.
2. MIAA/PPA sets the Arrival Schedule: Estimated Time of Arrival (ETA) and Actual Time of Arrival (ATA). ETA is set prior to arrival while ATA is set when the vessel arrived
3. The Actual Time of arrival is used to determine compliance to BOC's manifest submission cut-off policy
4. Aircraft Operations Division/Piers Inspection Division of BOC sets on the manifest the Date of Last Discharge, which is the basis of the 30 day reckoning for filing of entries

System Overview

Arrival Schedule Screen for MIAA/PPA Users

Inter-Agency Information Exchange Gateway

e-Customs Project

Imports & Assessment System

- Electronic Manifest System
- Import Entry Systems (Consumption; Warehousing; Transhipment and Informal)
- Selectivity Hold & Alert System
- Online Release System
- Licensing & Clearance System
- Payment Application System

BOC Portal

- Information Website
- Personalized Content Mgmt
- BOC VASP Gateway
- IA Info Exchange Gateway
- Regional Info Exchange Gateway
- BOC BAP Gateway

Exports Processing System

- Export Documentation System
- Bonds Management System
- Raw Materials Liquidation System

Modernization

- ISO Certification
- Workplace Modernization
- Customer Relations Management
- Computer Hardware Upgrade

Funds Monitoring System

- DBCC Information Support Requirements System

Resources and Operations Management System

Operations Support System

- Value Reference Info System
- Passenger Baggage Entry System
- Legal Mgmt & Case Tracking System
- Statistical Management System
- Trade Compliance System
- Alert System
- Client Profile Registration System

Enterprise Resource Planning

- Human Resources Management System
- Financial Management System
- General Services System

12

13

Inter-agency Information Exchange Gateway

BOC Licensing and Clearance Database

BOC-VASP Gateway

VASP

Inter-agency Information Exchange Gateway

Importer/Broker

Permits, Licenses and Clearances

Other Government Agencies

Inter-agency Information Exchange Gateway

Issuing Agency	License, Clearance, Permit
▶ Philippine National Police	▪ License for firearms, explosive units
▶ Department of Agriculture ▪ Bureau of Plant Industry ▪ Bureau of Animal Industry ▪ Bureau of Fisheries and Aquatic Resources ▪ National Food Authority ▪ Sugar Regulatory Authority ▪ NMIS, FIDA, PCA	▪ Plant, sugar, rice import permits ▪ Certificate for animals that can be imported and exported ▪ Veterinary Inspection Certificate
▶ Department of Finance ▪ Bureau of Internal Revenue	▪ Certificates: Duty Draw Back, Tax Credit, Exemption Certificate ▪ TIN
▶ Department of Health ▪ Bureau of Food and Drugs	▪ Certificate for food and drugs

Inter-agency Information Exchange Gateway

Issuing Agency	License, Clearance, Permit
▶ Department of Foreign Affairs	▪ Certificate of goods that can be imported and exported by consuls, other DFA officials

▶ Department of Transportation and Communications
  ▪ Land Transportation Office
  ▪ National Telecom Company
	▪ Certificate of Payment
	▪ License for telecom equipment

▶ Department of Trade and Industry
  ▪ Bureau of Imports Services
  ▪ Securities and Exchange Commission
  ▪ Philippine Shippers Bureau
  ▪ Board of investments
	▪ License to import motor vehicles
	▪ SEC Registration
	▪ PSB Registration
	▪ PEZA Registration and Export

Client Profile Registration System

e-Customs Project

Imports & Assessment System

- Electronic Manifest System
- Import Entry Systems (Consumption; Warehousing; Transhipment and Informal)
- Selectivity Hold & Alert System
- Online Release System
- Licensing & Clearance System
- Payment Application System

BOC Portal

- Information Website
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- Statistical Management System
- Trade Compliance System
- Alert System

Enterprise Resource Planning

- Human Resources Management System
- Financial Management System
- General Services System

Client Profile Registration System

BOC Accreditation

e-Customs Systems

CPRS

VASP Gateway

Other Agency Accreditation

Automated (Interface directly)

Inter-agency Gateway

Non-Automated (Data capture application will be provided)

Manual Accreditation by BOC Office Concerned

Access Profile

System Interface

• User ID & Password
• Stakeholders Digital Certificate

Client Profile Registration System

CURRENT	FUTURE
▪ Manual submission of application forms and documentary requirements for client accreditation	▪ Electronic submission of applications for client accreditation to BOC through a VASP
▪ Manual filing and evaluation of client profile records	▪ Electronic validation and record-keeping of client profiles
▪ Manual tracking of clients' accreditation validity	▪ Automatic notification when a client is due for renewal of accreditation
▪ No existing application system	▪ Interfaced with other BOC systems such as import entry system and hold & alert system, to provide critical client information
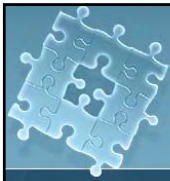
Main CPRS Processes for OGA Data Buildup

1. Encoding of accredited client profile
2. Auto -Accreditation of the stored client profile

OGA Data Buildup Process

Data Encoding	Data Approval	Registration Approval	Activation
(OGA Clerk)	(OGA Supervisor)	(BOC Approving Officer)	(BOC System Admin)

Accrediting Agencies — **Bureau of Customs**
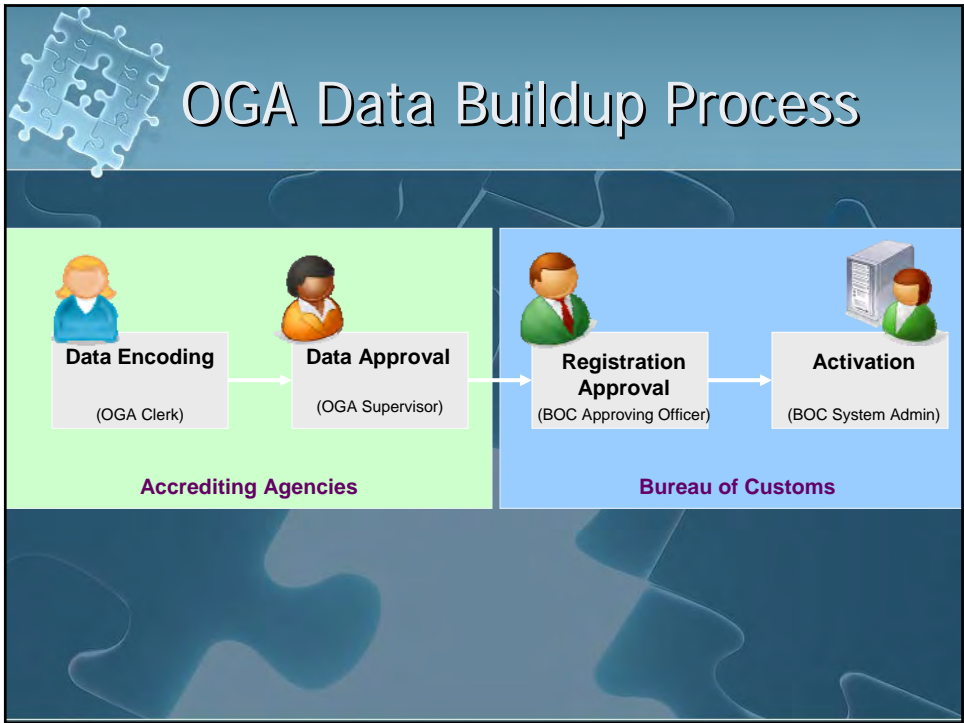
Encoding an Accredited Client Profile

1. Click on the drop-down arrow at the "Client Type" field to display the list of client types.

2. Select a Client Type.

   The system will refresh the Client Profile Information screen. The screen tabs display will vary according to the client type that was selected.

Encoding an Accredited Client Profile

5. Click on the Verify button to check if all mandatory fields have been filled out.

   5.1   If a mandatory field/s have been missed out, an error message will appear listing the data field/s that should be filled out.

   5.2   Return to the specified data field/s and fill out with the applicable data.

Auto-accrediting the Client Profile

1. Click on the Auto-Accredit button.

The Client Profile Information Screen

Note: Highlighted fields are mandatory.

The Major Stockholders Screen

The Principal Officers Screen

The Responsible Officers Screen

21

PILOT PROJECT:

DEPT. OF AGRICULTURE-CUSTOMS

- Secretary of Agriculture signed an MOU with Secretary of Finance for an inter-agency linkage between the Customs and their Agencies
  - Bureau of Plant Industry
  - Bureau of Animal Industry
  - National Meat Inspection Service
  - Bureau of Fisheries and Aquatic Resources
  - Fertilizer and Pesticides Authority
  - Philippine Coconut Authority
  - Fiber Industry Development Administration
- Agencies to exchange import and exports clearance information with Customs
- Customs to provide hardware, connectivity and software as much as possible
- Earmarked for mid-2007

INDUSTRY SPECIFIC PILOT PROJECT: VEHICLE
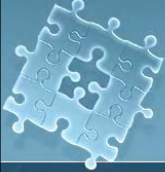
- BIS, LTO, BOC signed MOA for Processing of Imports of Motor Vehicle Parts March 2007
- Initiative was expanded to NSW for import and exports clearance for vehicle industry
- Agencies Involved:
  - Bureau of Import Service
  - Bureau of EPT
  - Bureau of Product Standards
  - Land Transportation Office
  - BOC
  - Bureau of Internal Revenue
  - Bureau of Trade Related C
  - Bangko Sentral ng Pilipinas (Central Bank)
  - CAMPI (volunteered to join), 24 May
  - MVPMAP (volunteered to join), 24 May
- Customs to provide hardware, connectivity, software and training
- Harmonization & Standardization still ongoing
- Earmarked for 3Qtr 2007

e-Customs Project

Imports & Assessment System
- Electronic Manifest System
- Import Entry Systems (Consumption; Warehousing; Transhipment and Informal)
- Selectivity Hold & Alert System
- Online Release System
- Licensing & Clearance System
- Payment Application System

BOC Portal
- Information Website
- Personalized Content Mgmt
- BOC VASP Gateway
- IA Info Exchange Gateway
- Regional Info Exchange Gateway
- BOC BAP Gateway

Exports Processing System
- Export Documentation System
- Bonds Management System
- Raw Materials Liquidation System

Modernization
- ISO Certification
- Workplace Modernization
- Customer Relations Management
- Computer Hardware Upgrade

Funds Monitoring System
- DBCC Information Support Requirements System

Resources and Operations Management System

Operations Support System
- Value Reference Info System
- Passenger Baggage Entry System
- Legal Mgmt & Case Tracking System
- Statistical Management System
- Trade Compliance System
- Alert System
- Client Profile Registration System

Enterprise Resource Planning
- Human Resources Management System
- Financial Management System
- General Services System

Licensing and Clearance System

Lodgment of Entry to BOC

Applies for License or clearance to Govt. Agencies

Imports, Exports, and Client Registration Profile Systems

- Cancels Licenses
- Verifies Licences
- Updates Licenses

Licensing and Clearance System

Submits electronic licenses

Inter-Agency Gateway

23

Licensing and Clearance System

CURRENT	FUTURE
▪ Hardcopies of approved import permits, licenses or clearances from issuing agencies are submitted to BOC	▪ Consistent with the National Single Window initiative, issuing agencies submit to BOC approved permits, licenses and clearances electronically through the Inter-agency Information Exchange Gateway (IAIEG)
▪ Manual validation of import permits, licenses and clearances	▪ Electronic verification of permits/licenses/clearances through linkage with issuing agencies
▪ Utilization of import permits, licenses and clearances are verbally advised to issuing agencies	▪ Permits/Licenses/Clearances are automatically written off once utilized, while the concerned issuing agency is also notified electronically

Business Process Overview

Issuing/Accrediting Agencies

Bureau of Customs

Data Capture → Data Submission → Gateway Validation

Gateway Validation → Backend System Processing → Response & Notification

Response & Notification → Response Processing → Client Notification

www

24
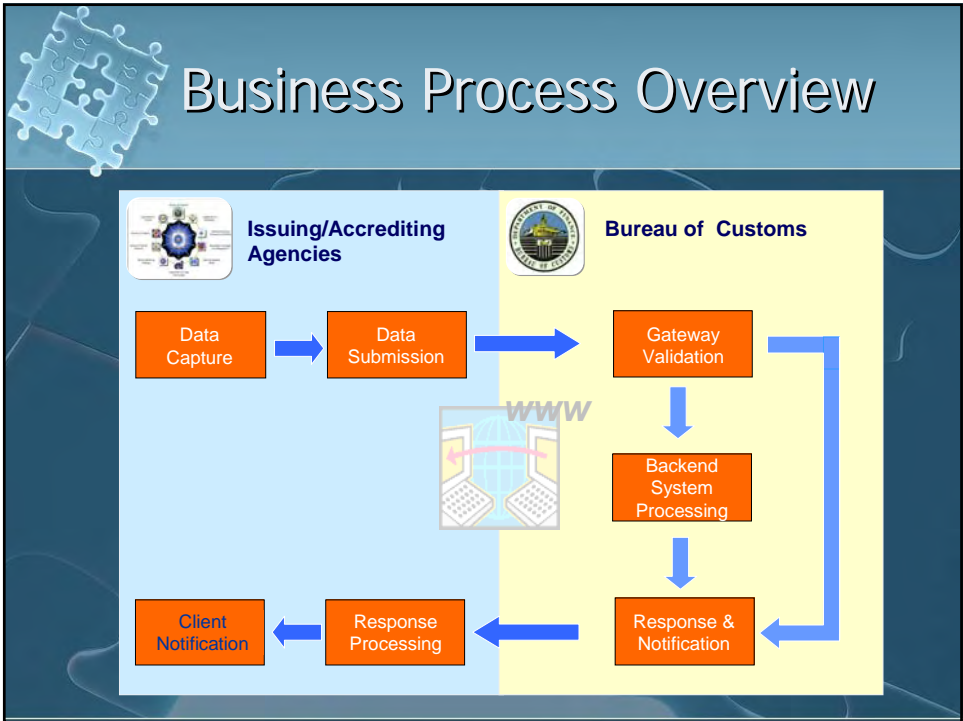
Main LCS Processes for OGA Buildup

1. Entering approved licenses and clearances
2. Viewing of stored licenses and clearances
3. Sending of approved licenses and clearances to BOC

Entering an Approved License or Clearance

Matrix of Common Data Elements

NSW and Project e2m

- MOU between BOC and Telecom Co.
- Project E2M is simply revolutionizing the business process of Bureau of Customs by making it mobile and wireless.
- By Short Message Service (SMS), BOC Employees, Importers, Exporters and Brokers can monitor and do their transactions via mobile phone.
- Allow stakeholders to pull necessary information from the BOC to close their transactions.

NSW and Project e2m

- Pilot implementation – August 2007
- Pilot Agencies:
    - Bureau of Fisheries and Aquatic Resources
    - Bureau of Import Services
    - Sugar Regulatory Authority
    - Board of Investment
    - Clark Development Council
- Objective: use SMS to check authenticity and verify on real-time the license/permit issued by other government agencies

NSW and Project e2m Mechanics

To: 2390

BOCE2M BFAR
<PERMIT NO.>
<TIN NO>

From: 2390

<permit#>
<Consignee + TIN
No.> <details>.
Thank you for
using BOCE2M.

Permit No. : nn999999999
TIN : 999999999

Thank You

www. customs.gov.ph

Annex 7
Presentation of

Dr. Ramon L. Clarete
Technical Director, EMERGE Project

Elements of Institutional Capacity for Implementing the NSW

Dr. Ramon L. Clarete
Technical Director, EMERGE Project

National Single Window

Planning for the Philippine National Single Window
Pan Pacific Hotel
August 7-8, 2007

Purpose of the Presentation

➢ Present a framework for preparing the NSW Work Plan comprising of the elements of institutional capacity for the NSW

➢ Complement the inputs from the perspective of the technical aspects of the NSW

➢ Identify tasks that participants may consider in their work planning for the NSW, including options for sequencing these tasks

"Elements of Institutional Capacity for Implementing the NSW"
A Presentation by Dr. Ramon L. Clarete at the
Orientation and Workshop Planning for the Philippines National Single Window
Pan Pacific Hotel August 7-8, 2007

Outline of Presentation

➢ Framework for institutional capacity building for the NSW

➢ Sequencing of activities for the NSW work plan

➢ Resource requirements for the work plan

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4 "S"s of Institutional Capacity

➢ Perspectives of Institutional Capacity

- ◆ **S**trategy

- ◆ **S**ystems

- ◆ organization **S**tructure

- ◆ human resource **S**kills

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What is Strategy

➢ The selection and sequencing of activities by a set of actors and how these will produce the outputs needed to attain the stated objectives and goals of the actors.
➢ Identify goals, objectives, outputs, and activities.
➢ Describe the cause and effect relationships of one to the other, and how all these relate to the "environment".
➢ Hierarchy of results
  ♦ Goals, objectives, key result areas, project activities can be referred to as "results".
  ♦ A lower level result is an input into a higher level result.
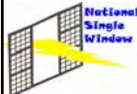
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Orientation and Workshop Planning for the Philippines National Single Window
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Crafting the Strategy

➢ Identification, selection and sequencing of component activities/results of a strategy depends upon:
  ♦ Diagnosis of the capacity of the institution to perform, state of its environment and its stated high level goals and objectives (or its vision and mission)
  ♦ The cause and effect relationships of "input" and "output" results
  ♦ Relative ease of attaining lower level results
  ♦ Timing
➢ At any one time, performance capacity of an institution is determined by the state of its most limiting capacity dimension.
➢ Generally, environmental factors are given in the definition of the strategy
  ♦ However, more creative strategists undertake institutionally doable changes in the environment as part of their strategy

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High Level Results ("outputs")

- Greater transparency and accountability in cargo release
- Reduced transaction cost to engage in trade
- Efficient cargo clearance through improved coordination among government agencies improved
- Higher tax revenues

High Level Results

1. Trade facilitated
2. Enhanced governance
3. Efficient cargo clearance
4. Improved tax collection

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Level (1) Input Results

- Inter-agency ICT connectivity (BOC-agency) in support of BOC cargo clearance system attained
- Relevant data/information shared
- Clearance of cargoes done following single processing and decision making rules
- Post cargo clearance processes undertaken following agreed process

Level (1) Input Results

1. Inter-agency ICT connectivity attained
2. Data/information shared for efficient cargo clearance
3. Clearance of cargoes done following single processing and decision making rules
4. Post cargo clearance activities undertaken following agreed processes

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Level (2) Input Results

- ➢ Regulator (network node) ICT capable for NSW
  - ♦ **Assumption:** BOC is ICT capable.
- ➢ Computer applications developed/ adapted to regulator requirements/tested by BOC for NSW connectivity
- ➢ Negotiations completed and agreement executed by regulator and BOC on NSW parameters

Level (2) Input Results

1. Regulator is ICT capable for NSW
2. Computer applications for NSW developed/adapted/tested
3. Inter-agency negotiations completed and NSW agreement executed
4. NSW procedures enabled by BOC and regulator
5. Organizational structure adjusted for NSW
6. Staff trained for NSW procedures
7. Stakeholders informed and consulted

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Level (2) Input Results

- ➢ NSW procedures enabled by BOC and regulator separately
  - ♦ **Pre-arrival**
    - Data sharing
  - ♦ **Arrival of merchandise**
    - Border inspection
    - Cargo clearance requirements
    - Disposal of un-cleared cargoes
  - ♦ **Post clearance**
    - Cargo clearance reporting
    - Reconciliation of cargoes cleared and permitted
    - Dispute settlement
    - Adjustment of NSW rules

Level (2) Input Results

1. Regulator is ICT capable for NSW
2. Computer applications for NSW developed/adapted/tested
3. Inter-agency negotiations completed and NSW agreement executed
4. NSW procedures enabled by BOC and regulator
5. Organizational structure adjusted for NSW
6. Staff trained for NSW procedures
7. Stakeholders informed and consulted

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Level (2) Input Results

- NSW procedures enabled by BOC and regulator separately
- Organizational changes at BOC and regulator in support of NSW completed
- Relevant staff at BOC and regulator trained on NSW procedures
- Stakeholders informed and consulted about NSW procedures

Level (2) Input Results

1. Regulator is ICT capable for NSW
2. Computer applications for NSW developed/adapted/tested
3. Inter-agency negotiations completed and NSW agreement executed
4. NSW procedures enabled by BOC and regulator
5. Organizational structure adjusted for NSW
6. Staff trained for NSW procedures
7. Stakeholders informed and consulted

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Level (3) Input Results

- NSW program parameters identified by regulator and BOC, separately:
  - program objectives
  - data elements to be pushed by regulator to BOC and vice versa;
  - border inspection procedures and cargo clearance decision rules from the regulator's perspective;
  - monitoring cargo clearance decisions;
  - process of reconciling BOC and regulator decisions on cargo clearance;
  - dispute settlement; and
  - procedure for adjusting NSW program parameters

Level (3) Input Results

1. NSW program parameters from the perspective of customs administration and regulator's clearance requirements separately identified
2. Institutional gaps
3. Remedies identified and planned for
4. Resource requirements for institutional capacity building

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Level (3) Input Results

- NSW program parameters identified by regulator and BOC, separately;
- Institutional gaps identified:
  - Business processes
  - IC Technology
  - Organizational set up
  - HR resources and skills
- Remedial measures identified for the gaps
  - Minimum set and maximum set
  - timing
- Resource requirements for institutional capacity building

Level (3) Input Results

1. NSW program parameters from the perspective of customs administration and regulator's clearance requirements separately identified
2. Institutional gaps
3. Remedies identified and planned for
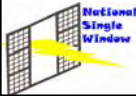4. Resource requirements for institutional capacity building

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Strategy Map for the NSW

High Level Output Results

1. Trade facilitated
2. Enhanced governance
3. Efficient cargo clearance
4. Improved tax collection

Level (1) Input Results

1. Inter-agency ICT connectivity attained
2. Data/information shared for efficient cargo clearance
3. Clearance of cargoes done following single processing and decision making rules
4. Post cargo clearance activities undertaken following agreed processes

Level (2) Input Results

1. Regulator is ICT capable for NSW
2. Computer applications for NSW developed/adapted/tested
3. Inter-agency negotiations completed and NSW agreement executed
4. NSW procedures enabled by BOC and regulator
5. Organizational structure adjusted for NSW
6. Staff trained for NSW procedures
7. Stakeholders informed and consulted

Level (3) Input Results

1. NSW program parameters from the perspective of customs administration and regulator's clearance requirements separately identified
2. Institutional gaps
3. Remedies identified and planned for
4. Resource requirements for institutional capacity building

3 "Best Practices" in ICB

➢Ownership principle
- ♦ Reforms are in place because there are forces in government who want them to be so.

➢Team principle
- ♦ Reformers in government consider the consultants to genuinely share their reform objectives and acknowledge their unique technical contribution.

➢Political economy principle
- ♦ Reform process is managed. Risks of political isolation on the part of reformers are mitigated. Chances of winning stakeholders to the reform are enhanced.
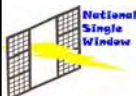
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End of Presentation

Marami Pong Salamat!

National Single Window

Planning for the Philippine National Single Window
Pan Pacific Hotel
August 7-8, 2007

Annex 8
Presentation of

Dr. Simeon S. Amurao, Jr.
VQS OIC-Chief, Veterinary Quarantine Service, DA, RFU IV4
Department of Agriculture

DA SPS Administration Reforms and the DA-BOC Pilot National Single Window

Dr. Simeon S. Amurao, Jr.

VQS (OIC-Chief), Veterinary Quarantine Service, DA, RFU IV4, Department of Agriculture and Chairman, DA-BOC NSW Technical Committee

National Single Window

Planning for the Philippine National Single Window
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Objectives of the Presentation

➢ Take up the experience of the DA regulators on:

❖ Sanitary and Phyto-sanitary (SPS) measure administration reforms at the DA
❖ Pilot implementation of the NSW

➢ Identify from the experience the practical issues and advantages of undertaking the SPS reforms before connectivity

➢ Identify some of the tasks that may still need to be done for the NSW
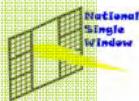
"Implementation Experience: DA SPS Reforms and the DA-BOC National Single Window" Presentation by Dr. Simeon S. Amurao, Jr. at the Orientation and Workshop Planning for the Philippines National Single Window , Pan Pacific Hotel August 7-8, 2007

1

Streamlining SPS Administration (SSPSA)

- Effort led by DA Policy Group under Usec Fred Serrano/Asec Joy Javelosa

- Guided by DA SPSSA Technical Committee composed of reps from 8 DA regulatory agencies - BAI, BPI, BFAR,NMIS, BAFPS, FPA, FIDA, PCA

- Also supported by DA ITCAF thru ESPCS Project

- Provided technical assistance by USAID through its EMERGE project

- EMERGE contracted Cesar Virata and Associates, Inc. to assist Usec Serrano and work with the SSPSA TC
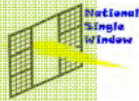
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11 SPS Regulators at the DA

- Bureau of Agriculture and Fisheries and Product Standards (BAFPS)
- Bureau of Animal Industry (BAI)
- Bureau of Plant Industry (BPI)
- National Meat Inspection Commission (NMIC)
- Bureau of Fisheries and Aquatic Resources (BFAR)
- Philippine Coconut Authority (PCA)
- Sugar Regulatory Administration (SRA)
- Fiber Industry Development Authority (FIDA)
- Cotton Development Administration (CODA)
- Fertilizer and Pesticide Authority (FPA)
- National Food Authority (NFA)
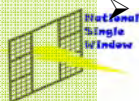
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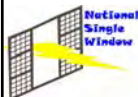Philippines National Single Window , Pan Pacific Hotel August 7-8, 2007

SSPSA Technical Committee

- Bureau of Agricultural and Fishery Product Standards (BAFPS)
- Bureau of Animal Industry (BAI)
- Bureau of Fisheries and Aquatic Resources (BFAR)
- Bureau of Plant Industry (BPI)
- Fiber Industry Development Authority (FIDA)
- Fertilizer and Pesticide Authority (FPA)
- National Meat Inspection Service (NMIS)
- Policy and Research Service (PRS)
- Philippine Coconut Authority (PCA)
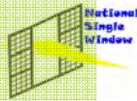
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Objectives of the Team

- Streamline
- Simplify
- Organize
- Fill gaps
- "Generic" is cheaper
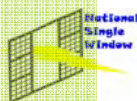
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Who Do We Harmonize With?

> Each other
> International best practices in the functions that we do
> Trading partners
> International standards (e.g. Codex PPC, OIE)
> Regional Treaties (e.g. ASEAN, APEC)

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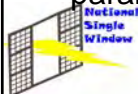Philippines National Single Window , Pan Pacific Hotel August 7-8, 2007

What Were Our Constraints?

> ICT infrastructure constraint i.e. ESPCS project not appropriate or out of scope
> Legal constraints. Laws (PDs or RAs) are constraints and untouchable for the duration of the reform project. However Departmental issuances (e.g. AOs, MOs, or MCs) may be changed.
> Need technical assistance e.g. legal expert needed to come in and draft the enabling AO to enable the changes
> Financial constraint
> Other constraints (potential resistance to change; organizational structure)
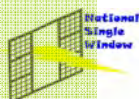
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SPS Processes Harmonized

- Importer licensing
- Product registration
- Facility accreditation
- Import (border) inspection
- Export clearance/certification
- Exporter licensing
- Export (border) inspection
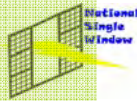
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How Do We Harmonize?

- TC reviews international guidelines.
- Each agency presents current processes.
- Agencies group similar activities and data.
- TC compares activities across agencies.
- TC harmonizes processes by collapsing activities horizontally across agencies.
- TC streamlines processes by collapsing activities vertically within the harmonized process. Vertical collapse may be sacrificed over horizontal collapse except when international guidelines state otherwise.
- TC identifies process gaps.
- TC creates new process to fill gaps.
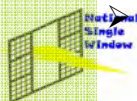
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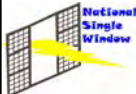Philippines National Single Window , Pan Pacific Hotel August 7-8, 2007

Key Accomplishments

➤ Diagnostics on SPS Administration

➤ Drafts on Proposed Harmonization and Streamlining for consideration by the DA Management:

  ❖ Policy Statement

  ❖ Process Architecture

  ❖ Harmonized Processes
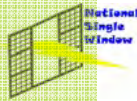
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High Level SPS Process Flow
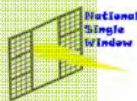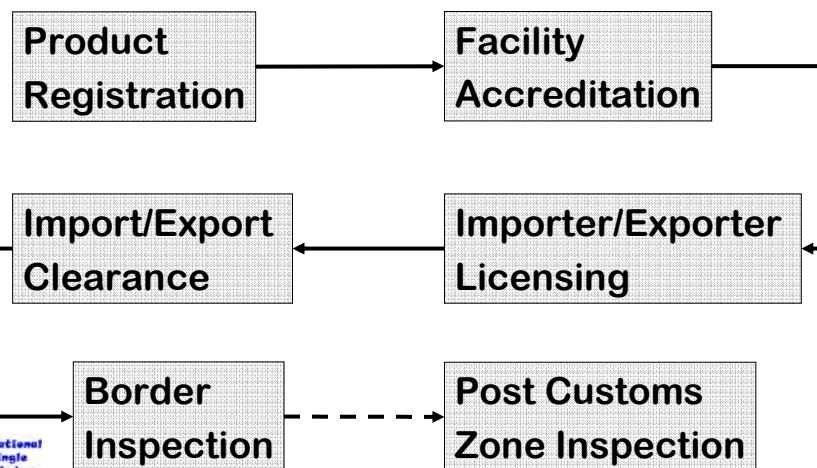
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Import Clearance and Border Inspection Processes

Pre Border

Apply for Import Clearance

Goods by air? — no → Issue duplicate Import Clearance

Submit SPS Cert./other Papers

yes → Issue original import clearance

Border

Apply for Cargo Release at Customs

Documentary Check

Need further inspection?

Consignment Integrity Check

Routine Physical Exam

Rigid Physical Exam

yes

National Single Window

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Clearance and Border Inspection Objectives

- Efficiently and effectively enforce measures to (a) protect plant and animal health and (b) ensure food safety
- Promote better monitoring of imports vis-à-vis clearances issued
- Harmonize the process across DA agencies to the extent practicable
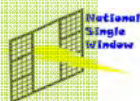
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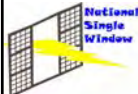Philippines National Single Window , Pan Pacific Hotel August 7-8, 2007

Import Clearance Validity

➢ As long as commodity is shipped out (a) on or before "must ship out" date indicated on clearance but (b) not before issuance of SPS clearance. Must ship out by:

  ❖ 20 days for fresh and chilled fruits and vegetables;
  ❖ 30 days for eggs, milk and dairy products, animal feeds and feed ingredients and other products of animal origin i.e. embryos and semen, frozen fruits and vegetables
  ❖ 90 days for veterinary biological and drug products
  ❖ 60 days for live animals, fish and fishery/aquatic products, meat and meat products, fertilizers, pesticides and other agricultural chemicals. and all other products

➢ As long as fish, fishery/aquatic products arrive 30 days from issuance if consigned by air and 60 days if by sea.
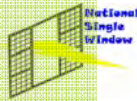
"Implementation Experience: DA SPS Reforms and the DA-BOC National Single Window"
Presentation by Dr. Simeon S. Amurao, Jr. at the Orientation and Workshop Planning for the
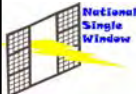Philippines National Single Window , Pan Pacific Hotel August 7-8, 2007

Clearance Revocation

➢ Any time by issuing agency
➢ May be appealed with DA Secretary
➢ Without prejudice to holder being further subject to penalties
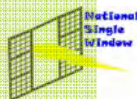
"Implementation Experience: DA SPS Reforms and the DA-BOC National Single Window"
Presentation by Dr. Simeon S. Amurao, Jr. at the Orientation and Workshop Planning for the
Philippines National Single Window , Pan Pacific Hotel August 7-8, 2007

Grounds for Clearance Revocation

- Providing false information in the application form or in any of the accompanying documents
- Mis-declaration of consignment
- Violation of relevant SPS and biosafety rules and regulations or conditions imposed in the Clearance
- Refusal to allow inspection of physical containment facility or intermediate destination
- Legal authority to commercially distribute the product in country of origin has been suspended or revoked; or
- New information indicating that the use of product will result to risks to human, animal or plant health or life and the environment
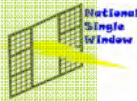
Reasons for Refusing Entries

- Product/commodity manufactured, processed or packed under unsanitary conditions
- Product/commodity forbidden or restricted from sale in the country where it was produced or exported
- Product/commodity adulterated, contaminated, dangerous, noxious, misbranded, mis-declared, unregistered or in violation of the terms and conditions embodied in the Clearance and sanitary and/or phytosanitary measures;
- Product arriving without the required SPS Clearance and International SPS Certificate or using a fake SPS Clearance
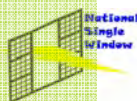
Disposition Options for Products Refused An Entry

- Confiscated and destroyed
- Returned to the country of origin
- Shipped to a third country
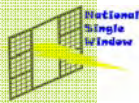
Confiscation

- At port and in transit: by BOC on information from DA inspector
- At 2nd point of border control: by DA agency
- DA Secretary or authorized representative may formally investigate and conduct summary hearing on the proper disposition of the product/ commodity
- Disposition shall be at the expense of the importer
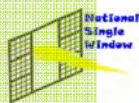
Work Ongoing

- Drafting of enabling AOs
- Development of Manuals (template and initial agency drafts completed)
- Computerization under ESPCS
- Coordination with BOC
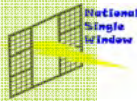
End of Presentation

Marami Pong Salamat!

National Single Window

Planning for the Philippine National Single Window
Pan Pacific Hotel
August 7-8, 2007

Annex 9
Presentation of

Mr. Mel Nicolas/Frederick Santillana
Bureau of Import Services
Department of Trade and Industry

An Implementation Experience: BIS Vehicle, Parts and Equipment

Mr. Mel Nicolas/Frederick Santillana
Division Chief/TIDS, Bureau of Import Services,
Department of Trade and Industry

NATIONAL SINGLE WINDOW

Planning for the Philippine National Single Window
Pan Pacific Hotel
August 7-8, 2007

Bureau of Import Services Standard Process Flow – Certificate of Authority to Import (CAI)

Department of Trade and Industry
BUREAU OF IMPORT SERVICES

2

overview

| No-Dollar Import Program under Executive Order No. 156 | Importation of Brand new and Used Motor Vehicle Replacement Parts and Components (Central Bank Circular NO.1389) | Importation of Used Trucks and Buses under Executive Order No. 156 |

3

overview

| All Government Importations (Letter of Instruction No. 1307) | Local Government Units Importation Through Donations (Executive Order No. 443) | Accreditation of Truck Rebuilding Centers (Department Administrative Order No. 08) |

4
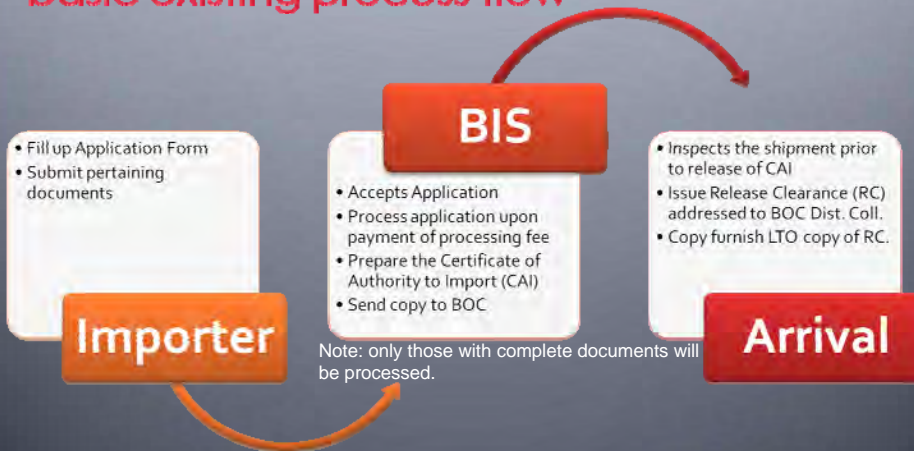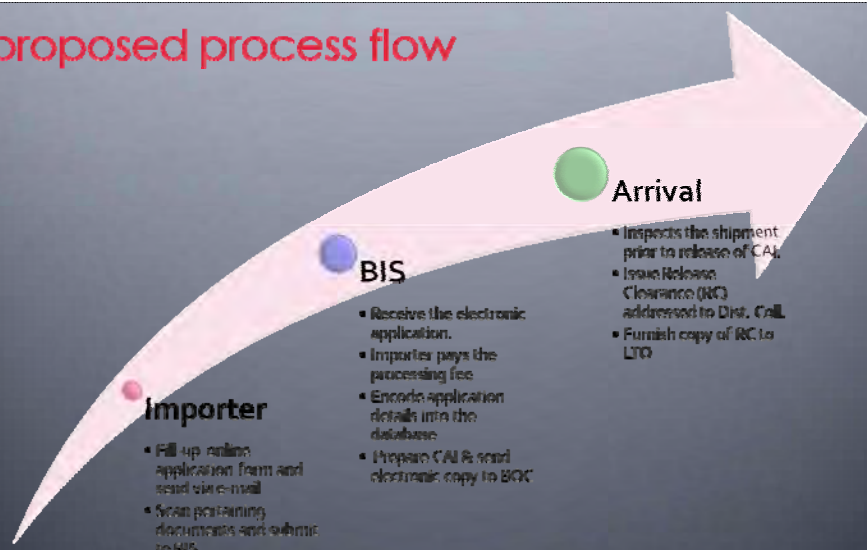
Annex 10

Work Plan
Philippine National Single Window

Work Plan to Operationalize the Philippine National Single Window: Q3 2007 to Q3 2008

	Q3 07			Q4 07			Q1 08			Q2 08			Q3 08	
	1-Jul	1-Aug	1-Sep	1-Oct	1-Nov	1-Dec	1-Jan	1-Feb	1-Mar	1-Apr	1-May	1-Jun	1-Jul	1-Aug
NSW Lead Regulator, Bureau of Customs, DOF														
Overall Management														
Plan for the Orientation Workshop														
Conduct the Orientation Workshop														
Document Workshop Proceedings														
Hold Meetings														
Prepare the NSW Steering Committee Meeting														
Prepare for Report														
Provide Secretariat and Technical Support														
Organize secretariat to hold office at BOC/MISTG														
Prepare templates (see illustrative list of templates)														
Run a call center for assistance to NSW network members														
Document specific issues and solutions														
Set up and maintain the NSW website														
Arrange/assist in the holding of NSW bilateral meetings														
Arrange/assist in the holding of NSW Task Force Meetings														
Arrange/assist in the holding of donor meetings														
Regulator: DEPARTMENT OF AGRICULTURE														
Level 3 Input Results														
1. Designate the agency's representative in the NSW														
2. Conduct a diagnostic on: a. Institutional gaps identified b. Remedies identified and planned for c. Resource requirements for institutional capacity building identified														
3. Act on the study's recommendation a. Agency's NSW parameters b. Adopt a workplan for NSW implementation to implement recommendations, delineated by basic or enhanced														
Level 2 Input Results														
1. Ready for connectivity with BOC														

Work Plan to Operationalize the Philippine National Single Window: Q3 2007 to Q3 2008

	Q3 07			Q4 07			Q1 08			Q2 08			Q3 08	
	1-Jul	1-Aug	1-Sep	1-Oct	1-Nov	1-Dec	1-Jan	1-Feb	1-Mar	1-Apr	1-May	1-Jun	1-Jul	1-Aug
2. Computer applications for NSW developed/adapted/tested				▬	▬									
3. Inter-agency negotiations completed and NSW agreement executed				▬	▬									
4. NSW procedures enabled by executive issuance			▬	▬	▬	▬								
5. Effect organizational changes for NSW			▬	▬	▬	▬								
6. Train staff for NSW procedures			▬	▬	▬	▬								
7. Undertake information campaign on NSW withstakeholders				▬	▬	▬								
Level 1 Input Results														
1.Inter-agency ICT connectivity attained				▬	▬	▬								
2.Data/information shared for efficient cargo clearance				▬	▬	▬								
3.Clearance of cargoes done following single processing and decision making rules					▬	▬								
4.Post cargo clearance activities undertaken following agreed processes					▬	▬								
Enhancements														
Level 3 Input Results														
1. Implement the longer term recommendations of Study computers, export and import procedures							▬	▬	▬	▬	▬			
Level 2 Input Results														
1. Inter-agency negotiations completed for NSW enhancements and agreement for them executed											▬	▬		
2. Enhanced NSW procedures enabled by executive issuance											▬	▬		
3. Effect organizational changes for NSW											▬	▬		
4. Train staff for NSW procedures											▬	▬		
5. Undertake consultations on NSW withstakeholders											▬	▬		
5. Launch enhanced NSW											▬	▬		
REGULATOR: Bureau of Food and Drugs, DOH														
Level 3 Input Results														
1. Designate the agency's representative in the NSW		▬												

Work Plan to Operationalize the Philippine National Single Window: Q3 2007 to Q3 2008

	Q3 07			Q4 07			Q1 08			Q2 08			Q3 08	
	1-Jul	1-Aug	1-Sep	1-Oct	1-Nov	1-Dec	1-Jan	1-Feb	1-Mar	1-Apr	1-May	1-Jun	1-Jul	1-Aug
2. Conduct a diagnostic:														
a. NSW program parameters from the perspective of customs administration and regulator's clearance requirements separately identified														
b. Institutional gaps identified														
c. Remedies identified and planned for														
d. Resource requirements for institutional capacity building identified														
3. Act on the study's recommendation														
a. Agency's NSW parameters														
b. Adopt a workplan for NSW implementation to implement recommendations, delineated by basic or enhanced														
4. Undertake information campaign on NSW with stakeholders														
Level 2 Input Results														
1. Ready for connectivity with BOC														
2. Computer applications for NSW developed/adapted/tested														
3. Inter-agency negotiations completed and NSW agreement executed														
4. NSW procedures enabled by executive issuance														
5. Effect organizational changes for NSW														
6. Train staff for NSW procedures														
7. Undertake information campaign on NSW withstakeholders														
Level 1 Input Results														
1.Inter-agency ICT connectivity attained														
2.Data/information shared for efficient cargo clearance														
3.Clearance of cargoes done following single processing and decision making rules														
4.Post cargo clearance activities undertaken following agreed processes														
Enhancements														
Level 3 Input Results														
1. Implement the longer term recommendations of Study computers, export and import procedures														
Level 2 Input Results														
1. Inter-agency negotiations completed for NSW enhancements and agreement for them executed														

Work Plan to Operationalize the Philippine National Single Window: Q3 2007 to Q3 2008

	Q3 07			Q4 07			Q1 08			Q2 08			Q3 08	
	1-Jul	1-Aug	1-Sep	1-Oct	1-Nov	1-Dec	1-Jan	1-Feb	1-Mar	1-Apr	1-May	1-Jun	1-Jul	1-Aug
2. Enhanced NSW procedures enabled by executive issuance												▬	▬	
3. Effect organizational changes for NSW												▬	▬	
4. Train staff for NSW procedures												▬	▬	
5. Undertake consultations on NSW withstakeholders												▬	▬	
6. Launch enhanced NSW												▬	▬	
Regulator: Land Transportation Office, DOTC														
Level 3 Input Results														
1. Designate the agency's representative in the NSW		▬												
2. Conduct a diagnostic: a. NSW program parameters from the perspective of customs administration and regulator's clearance requirements separately identified b. Institutional gaps identified c. Remedies identified and planned for d. Resource requirements for institutional capacity building identified		▬	▬											
3. Act on the study's recommendation a. Agency's NSW parameters b. Adopt a workplan for NSW implementation to implement recommendations, delineated by basic or enhanced				▬	▬									
Level 2 Input Results														
1. Computer applications for NSW developed/adapted/tested (Note: Changes in computer applications requires changed order with BOO vendor requires approval by JCC Board)				▬	▬	▬								
2. Inter-agency negotiations completed and NSW agreement executed				▬	▬	▬								
3. NSW procedures enabled by executive issuance			▬	▬	▬	▬								
4. Effect organizational changes for NSW			▬	▬	▬	▬								
5. Train staff for NSW procedures			▬	▬	▬	▬								
6. Undertake information campaign on NSW withstakeholders				▬	▬	▬								
Level 1 Input Results														
1. Inter-agency ICT connectivity attained			▬	▬	▬	▬								
2. Data/information shared for efficient cargo clearance			▬	▬	▬	▬								

Work Plan to Operationalize the Philippine National Single Window: Q3 2007 to Q3 2008

	Q3 07			Q4 07			Q1 08			Q2 08			Q3 08	
	1-Jul	1-Aug	1-Sep	1-Oct	1-Nov	1-Dec	1-Jan	1-Feb	1-Mar	1-Apr	1-May	1-Jun	1-Jul	1-Aug
3. Clearance of cargoes done following single processing and decision making rules						▬								
4. Post cargo clearance activities undertaken following agreed processes						▬								
Enhancements														
Level 3 Input Results														
1. Implement the longer term recommendations of Study computers, export and import procedures							▬▬▬▬▬▬▬▬▬▬							
Level 2 Input Results														
1. Inter-agency negotiations completed for NSW enhancements and agreement for them executed												▬▬▬▬		
2. Enhanced NSW procedures enabled by executive issuance												▬▬		
3. Effect organizational changes for NSW												▬▬		
4. Train staff for NSW procedures												▬▬		
5. Undertake consultations on NSW withstakeholders												▬▬		
6. Launch enhanced NSW												▬▬		
REGULATORS: Forest Management Bureau and Environment Management Bureau, DENR (Excludes: PAWB and MGB)														
Level 3 Input Results														
1. Designate the agency's representative in the NSW		▬												
2. Conduct a diagnostic:														
a. NSW program parameters from the perspective of customs administration and regulator's clearance requirements separately identified			▬▬											
b. Institutional gaps identified														
c. Remedies identified and planned for														
d. Resource requirements for institutional capacity building identified														
3. Act on the study's recommendation														
a. Agency's NSW parameters				▬										
b. Adopt a workplan for NSW implementation to implement recommendations, delineated by basic or enhanced														
4. Undertake information campaign on NSW with stakeholders				▬										
Level 2 Input Results														
1. Ready for connectivity with BOC				▬▬▬										

Work Plan to Operationalize the Philippine National Single Window: Q3 2007 to Q3 2008

	Q3 07			Q4 07			Q1 08			Q2 08			Q3 08	
	1-Jul	1-Aug	1-Sep	1-Oct	1-Nov	1-Dec	1-Jan	1-Feb	1-Mar	1-Apr	1-May	1-Jun	1-Jul	1-Aug
2. Computer applications for NSW developed/adapted/tested				▬	▬	▬								
3. Inter-agency negotiations completed and NSW agreement executed				▬	▬	▬								
4. NSW procedures enabled by executive issuance			▬	▬	▬	▬								
5. Effect organizational changes for NSW			▬	▬	▬	▬								
6. Train staff for NSW procedures			▬	▬	▬	▬								
7. Undertake information campaign on NSW withstakeholders				▬	▬	▬								
Level 1 Input Results														
1.Inter-agency ICT connectivity attained				▬	▬	▬								
2.Data/information shared for efficient cargo clearance				▬	▬	▬								
3.Clearance of cargoes done following single processing and decision making rules						▬								
4.Post cargo clearance activities undertaken following agreed processes						▬								
Enhancements														
Level 3 Input Results														
1. Implement the longer term recommendations of Study computers, export and import procedures							▬	▬	▬	▬	▬			
Level 2 Input Results														
1. Inter-agency negotiations completed for NSW enhancements and agreement for them executed												▬	▬	▬
2. Enhanced NSW procedures enabled by executive issuance												▬	▬	▬
3. Effect organizational changes for NSW												▬	▬	
4. Train staff for NSW procedures												▬	▬	
5. Undertake consultations on NSW withstakeholders													▬	▬
6. Launch enhanced NSW													▬	▬
REGULATOR: Bureau of Quarantine. DOH (Regulating Imports of Human Remains)														
Level 3 Input Results														
1. Designate the agency's representative in the NSW		▬												

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Work Plan to Operationalize the Philippine National Single Window: Q3 2007 to Q3 2008

	Q3 07			Q4 07			Q1 08			Q2 08			Q3 08	
	1-Jul	1-Aug	1-Sep	1-Oct	1-Nov	1-Dec	1-Jan	1-Feb	1-Mar	1-Apr	1-May	1-Jun	1-Jul	1-Aug
2. Conduct a diagnostic:														
a. NSW program parameters from the perspective of customs administration and regulator's clearance requirements separately identified			▬	▬										
b. Institutional gaps identified														
c. Remedies identified and planned for														
d. Resource requirements for institutional capacity building identified														
3. Act on the study's recommendation														
a. Agency's NSW parameters				▬										
b. Adopt a workplan for NSW implementation to implement recommendations, delineated by basic or enhanced														
4. Undertake information campaign on NSW with stakeholders				▬										
Level 2 Input Results														
1. Ready for connectivity with BOC				▬	▬	▬								
2. Computer applications for NSW developed/adapted/tested				▬	▬	▬								
3. Inter-agency negotiations completed and NSW agreement executed				▬	▬	▬								
4. NSW procedures enabled by executive issuance			▬	▬	▬	▬								
5. Effect organizational changes for NSW			▬	▬	▬	▬								
6. Train staff for NSW procedures			▬	▬	▬	▬								
7. Undertake information campaign on NSW withstakeholders				▬	▬									
Level 1 Input Results														
1.Inter-agency ICT connectivity attained				▬	▬	▬								
2.Data/information shared for efficient cargo clearance				▬	▬	▬								
3.Clearance of cargoes done following single processing and decision making rules						▬								
4.Post cargo clearance activities undertaken following agreed processes						▬								
Enhancements														
Level 3 Input Results														
1. Implement the longer term recommendations of Study computers, export and import procedures							▬	▬	▬	▬	▬			
Level 2 Input Results														
1. Inter-agency negotiations completed for NSW enhancements and agreement for them executed												▬	▬	▬
2. Enhanced NSW procedures enabled by executive issuance												▬	▬	

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Work Plan to Operationalize the Philippine National Single Window: Q3 2007 to Q3 2008

	Q3 07			Q4 07			Q1 08			Q2 08		Q3 08	
	1-Jul	1-Aug	1-Sep	1-Oct	1-Nov	1-Dec	1-Jan	1-Feb	1-Mar	1-Apr	1-May	1-Jun	1-Jul	1-Aug
3. Effect organizational changes for NSW												▬▬	▬	
4. Train staff for NSW procedures												▬▬	▬	
5. Undertake consultations on NSW withstakeholders												▬▬▬	▬	
5. Launch enhanced NSW												▬▬▬	▬	
Regulator: DTI, PEZA, BIS, OMB, BOI, PHIL SHIPPERS BUREAU														
Level 3 Input Results														
1. Designate the agency's representative in the NSW		▬▬												
2. Conduct a diagnostic:														
a. NSW program parameters from the perspective of customs administration and regulator's clearance requirements separately identified		▬▬▬▬												
b. Institutional gaps identified														
c. Remedies identified and planned for														
d. Resource requirements for institutional capacity building identified														
3. Act on the study's recommendation														
a. Agency's NSW parameters			▬▬▬											
b. Adopt a workplan for NSW implementation to implement recommendations, delineated by basic or enhanced														
Level 2 Input Results														
1. Ready for connectivity with BOC a. assessment of infrastructure and applications of BOC and agency b. identification of the gaps identified c. proposed solutions to address the gaps d. initiate connectivity			▬▬▬▬▬											
2. Computer applications for NSW developed/adapted/tested				▬▬▬										
3. Inter-agency negotiations completed and NSW agreement executed				▬▬▬										
4. NSW procedures enabled by executive issuance			▬▬▬▬▬											
5. Effect organizational changes for NSW			▬▬▬▬▬											
6. Train staff for NSW procedures			▬▬▬▬▬											
7. Undertake information campaign on NSW withstakeholders				▬▬▬										
Level 1 Input Results														
1.Inter-agency ICT connectivity attained				▬▬▬										

Work Plan to Operationalize the Philippine National Single Window: Q3 2007 to Q3 2008

	Q3 07			Q4 07			Q1 08			Q2 08			Q3 08	
	1-Jul	1-Aug	1-Sep	1-Oct	1-Nov	1-Dec	1-Jan	1-Feb	1-Mar	1-Apr	1-May	1-Jun	1-Jul	1-Aug
2.Data/information shared for efficient cargo clearance				▬	▬	▬								
3.Clearance of cargoes done following single processing and decision making rules					▬	▬								
4.Post cargo clearance activities undertaken following agreed processes					▬	▬								
Enhancements														
Level 3 Input Results														
1. Implement the longer term recommendations of Study computers, export and import procedures							▬	▬	▬	▬	▬			
Level 2 Input Results														
1. Inter-agency negotiations completed for NSW enhancements and agreement for them executed												▬	▬	▬
2. Enhanced NSW procedures enabled by executive issuance											▬	▬		
3. Effect organizational changes for NSW											▬	▬		
4. Train staff for NSW procedures											▬	▬		
5. Undertake consultations on NSW withstakeholders											▬	▬	▬	▬
6. Launch enhanced NSW											▬	▬	▬	▬
Regulator: Bureau of Internal Revenue. DOF														
Level 3 Input Results														
1. Designate the agency's representative in the NSW		▬												
2. Conduct a diagnostic:														
a. NSW program parameters from the perspective of customs administration and regulator's clearance requirements separately identified		▬	▬	▬	▬									
b. Institutional gaps identified														
c. Remedies identified and planned for														
d. Resource requirements for institutional capacity building identified														
3. Act on the study's recommendation														
a. Agency's NSW parameters				▬	▬									
b. Adopt a workplan for NSW implementation to implement recommendations, delineated by basic or enhanced				▬	▬									
Level 2 Input Results														

Work Plan to Operationalize the Philippine National Single Window: Q3 2007 to Q3 2008

	Q3 07			Q4 07			Q1 08			Q2 08			Q3 08	
	1-Jul	1-Aug	1-Sep	1-Oct	1-Nov	1-Dec	1-Jan	1-Feb	1-Mar	1-Apr	1-May	1-Jun	1-Jul	1-Aug
1. Ready for connectivity with BOC a. assessment of infrastructure and applications of BOC and agency b. identification of the gaps identified c. proposed solutions to address the gaps d. initiate connectivity				▓	▓	▓								
2. Test runs conducted and computer applications for NSW developed/adapted/tested				▓	▓	▓								
3. Inter-agency negotiations completed and NSW agreement executed				▓	▓	▓								
4. NSW procedures enabled by executive issuance			▓	▓	▓	▓								
5. Effect organizational changes for NSW			▓	▓	▓	▓								
6. Train staff for NSW procedures			▓	▓	▓	▓								
7. Undertake advocacy on NSW withstakeholders				▓	▓	▓								
Level 1 Input Results														
1.Inter-agency ICT connectivity attained				▓	▓	▓								
2.Data/information shared for efficient cargo clearance				▓	▓	▓								
3.Clearance of cargoes done following single processing and decision making rules						▓								
4.Post cargo clearance activities undertaken following agreed processes						▓								
Enhancements														
Level 3 Input Results														
1. Implement the longer term recommendations of Study computers, export and import procedures (on-line ATRIG)							▓	▓	▓	▓	▓	▓		
2. Undertake enhancements on research and development/training on (a) unified invoicing system; (b) tPI electronic matching with other government agencies, LGUS and International organization including foreign government; and sinplification of tax forms in relation to international tax treaties							▓	▓	▓	▓	▓	▓		
Level 2 Input Results														
1. Inter-agency negotiations completed for NSW enhancements and agreement for them executed												▓	▓	▓
2. Enhanced NSW procedures enabled by executive issuance												▓	▓	
3. Effect organizational changes for NSW												▓	▓	
4. Train staff for NSW procedures												▓	▓	

Work Plan to Operationalize the Philippine National Single Window: Q3 2007 to Q3 2008

	Q3 07			Q4 07			Q1 08			Q2 08			Q3 08	
	1-Jul	1-Aug	1-Sep	1-Oct	1-Nov	1-Dec	1-Jan	1-Feb	1-Mar	1-Apr	1-May	1-Jun	1-Jul	1-Aug
5. Undertake consultations on NSW withstakeholders												▬	▬	▬
6. Launch enhanced NSW												▬	▬	▬
Regulator: Bangko Sentral ng Pilipinas														
Level 3 Input Results														
1. Designate the agency's representative in the NSW		▬												
2. Conduct a diagnostic:														
a. NSW program parameters from the perspective of BSP as a source of information for the BOC		▬	▬	▬										
b. Institutional gaps identified														
c. Remedies identified and planned for														
d. Resource requirements for institutional capacity building identified														
3. Act on the study's recommendation														
a. Agency's NSW parameters			▬											
b. Adopt a workplan for NSW implementation to implement recommendations, delineated by basic or enhanced														
Level 2 Input Results														
1. Ready for connectivity with BOC				▬	▬	▬								
a. assessment of infrastructure and applications of BOC and agency				▬	▬	▬								
b. identification of the gaps identified														
c. proposed solutions to address the gaps														
d. initiate connectivity														
2. Computer applications for NSW developed/adapted/tested				▬	▬	▬								
3. Inter-agency negotiations completed and NSW agreement executed				▬	▬	▬								
4. NSW procedures enabled by executive issuance			▬	▬	▬	▬								
5. Effect organizational changes for NSW			▬	▬	▬	▬								
6. Train staff for NSW procedures			▬	▬	▬	▬								
7. Undertake information campaign on NSW withstakeholders				▬	▬	▬								
Level 1 Input Results														
1.Inter-agency ICT connectivity attained				▬	▬	▬								
2.Data/information shared for efficient cargo clearance				▬	▬	▬								

Work Plan to Operationalize the Philippine National Single Window: Q3 2007 to Q3 2008

	Q3 07			Q4 07			Q1 08			Q2 08			Q3 08	
	1-Jul	1-Aug	1-Sep	1-Oct	1-Nov	1-Dec	1-Jan	1-Feb	1-Mar	1-Apr	1-May	1-Jun	1-Jul	1-Aug
No Enhancements Planned														
Regulator: National Statistics Office														
Level 3 Input Results														
1. Designate the agency's representative in the NSW		▬												
2. Conduct a diagnostic: a.NSW program parameters from the perspective of customs administration and regulator's clearance requirements separately identified and to include supplemental parameters not included in the NSW b.Institutional gaps identified c.Remedies identified and planned for		▬	▬▬▬											
2. Act on the study's recommendation a. Agency's NSW parameters b. Adopt a workplan for NSW implementation to implement recommendations, delineated by basic or enhanced			▬▬											
Level 2 Input Results														
1. Ready for connectivity with BOC a. assessment of infrastructure and applications of BOC and agency b. identification of the gaps identified c. proposed solutions to address the gaps d. initiate connectivity				▬▬▬							▬			
2. Test runs conducted and Computer applications for NSW developed/adapted/tested				▬▬▬										
3. Inter-agency negotiations completed and NSW agreement executed				▬▬▬										
4. NSW procedures enabled by executive issuance			▬▬▬▬											
5. Train staff for NSW procedures			▬▬▬▬											
6. Undertake consultation and advocacy with stakeholders as to the mandatory parameters needed in the compilation of trade statistics				▬▬▬										
Level 1 Input Results														
1.Inter-agency ICT connectivity attained				▬▬▬										
2.Data/information downloaded from BOC				▬▬▬										
4.Post cargo clearance activities undertaken following agreed processes						▬								

Work Plan to Operationalize the Philippine National Single Window: Q3 2007 to Q3 2008

	Q3 07			Q4 07			Q1 08			Q2 08			Q3 08	
	1-Jul	1-Aug	1-Sep	1-Oct	1-Nov	1-Dec	1-Jan	1-Feb	1-Mar	1-Apr	1-May	1-Jun	1-Jul	1-Aug
Enhancements														
Level 3 Input Results														
1. Implement the longer term recommendations of Study computers, export and import procedures from learnings in the initial implementation							▬	▬	▬	▬				
2. Conduct R&D for the continuous enhancement of the system's process flow														
Level 2 Input Results														
1. Inter-agency negotiations completed for NSW enhancements and agreement for them executed												▬	▬	
2. Enhanced NSW procedures enabled by executive issuance												▬		
3. Effect organizational changes for NSW												▬		
4. Train staff for NSW procedures												▬		
5. Undertake consultations on NSW withstakeholders												▬	▬	
6. Launch enhanced NSW												▬	▬	
Regulator: Tariff Commission														
Level 3 Input Results														
1. Designate the agency's representative in the NSW		▬												
2. Conduct a diagnostic: a. NSW program parameters from the perspective of customs administration and regulator's clearance requirements separately identified		▬	▬											
b. Institutional gaps identified														
c. Remedies identified and planned for														
d. Resource requirements for institutional capacity building identified														
3. Act on the study's recommendation a. Agency's NSW parameters			▬	▬										
b. Adopt a workplan for NSW implementation to implement recommendations, delineated by basic or enhanced														
Level 2 Input Results														

Work Plan to Operationalize the Philippine National Single Window: Q3 2007 to Q3 2008

	Q3 07			Q4 07			Q1 08			Q2 08			Q3 08	
	1-Jul	1-Aug	1-Sep	1-Oct	1-Nov	1-Dec	1-Jan	1-Feb	1-Mar	1-Apr	1-May	1-Jun	1-Jul	1-Aug
1. Ready for connectivity with BOC a. assessment of infrastructure and applications of BOC and agency b. identification of the gaps identified c. proposed solutions to address the gaps d. initiate connectivity														
2. Computer applications for NSW developed/adapted/tested														
3. Inter-agency negotiations completed and NSW agreement executed														
4. NSW procedures enabled by executive issuance														
5. Effect organizational changes for NSW														
6. Train staff for NSW procedures														
7. Undertake information campaign on NSW withstakeholders														
Level 1 Input Results														
1.Inter-agency ICT connectivity attained														
2.Data/information shared for efficient cargo clearance														
3.Clearance of cargoes done following single processing and decision making rules														
4.Post cargo clearance activities undertaken following agreed processes														
Enhancements														
Level 3 Input Results														
1. Implement the longer term recommendations of Study computers, export and import procedures														
Level 2 Input Results														
1. Inter-agency negotiations completed for NSW enhancements and agreement for them executed														
2. Enhanced NSW procedures enabled by executive issuance														
3. Effect organizational changes for NSW														
4. Train staff for NSW procedures														
5. Undertake consultations on NSW withstakeholders														
6. Launch enhanced NSW														
Regulator: Philippine Nuclear Research Institute, DOST														
Level 3 Input Results														

Work Plan to Operationalize the Philippine National Single Window: Q3 2007 to Q3 2008

	Q3 07			Q4 07			Q1 08			Q2 08			Q3 08	
	1-Jul	1-Aug	1-Sep	1-Oct	1-Nov	1-Dec	1-Jan	1-Feb	1-Mar	1-Apr	1-May	1-Jun	1-Jul	1-Aug
1. Designate the agency's representative in the NSW		▬												
2. Conduct a diagnostic:														
a. NSW program parameters from the perspective of customs administration and regulator's clearance requirements separately identified		▬▬▬▬												
b. Institutional gaps identified														
c. Remedies identified and planned for														
d. Resource requirements for institutional capacity building identified														
3. Act on the study's recommendation														
a. Agency's NSW parameters			▬▬											
b. Adopt a workplan for NSW implementation to implement recommendations, delineated by basic or enhanced														
Level 2 Input Results														
1. Ready for connectivity with BOC a. assessment of infrastructure and applications of BOC and agency b. identification of the gaps identified c. proposed solutions to address the gaps d. initiate connectivity				▬▬▬▬										
2. Computer applications for NSW developed/adapted/tested				▬▬▬▬▬▬										
3. Inter-agency negotiations completed and NSW agreement executed				▬▬▬▬▬▬										
4. NSW procedures enabled by executive issuance			▬▬▬▬▬▬▬											
5. Effect organizational changes for NSW			▬▬▬▬▬▬▬											
6. Train staff for NSW procedures			▬▬▬▬▬▬▬											
7. Undertake information campaign on NSW withstakeholders				▬▬▬▬▬										
Level 1 Input Results														
1.Inter-agency ICT connectivity attained				▬▬▬▬▬										
2.Data/information shared for efficient cargo clearance				▬▬▬▬▬										
3.Clearance of cargoes done following single processing and decision making rules						▬▬								
4.Post cargo clearance activities undertaken following agreed processes						▬▬								
Enhancements														
Level 3 Input Results														

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Work Plan to Operationalize the Philippine National Single Window: Q3 2007 to Q3 2008

	Q3 07			Q4 07			Q1 08			Q2 08			Q3 08	
	1-Jul	1-Aug	1-Sep	1-Oct	1-Nov	1-Dec	1-Jan	1-Feb	1-Mar	1-Apr	1-May	1-Jun	1-Jul	1-Aug
1. Implement the longer term recommendations of Study computers, export and import procedures							▬	▬	▬	▬	▬			
Level 2 Input Results														
1. Inter-agency negotiations completed for NSW enhancements and agreement for them executed												▬		
2. Enhanced NSW procedures enabled by executive issuance											▬			
3. Effect organizational changes for NSW											▬			
4. Train staff for NSW procedures											▬			
5. Undertake consultations on NSW withstakeholders												▬		
5. Launch enhanced NSW												▬		
Regulator: Department of Finance														
Level 3 Input Results														
1. Designate the agency's representative in the NSW		▬												
2. Conduct a diagnostic: a. NSW program parameters from the perspective of customs administration and regulator's clearance requirements separately identified		▬	▬	▬										
b. Institutional gaps identified														
c. Remedies identified and planned for														
d. Resource requirements for institutional capacity building identified														
3. Act on the study's recommendation a. Agency's NSW parameters														
b. Adopt a workplan for NSW implementation to implement recommendations, delineated by basic or enhanced			▬	▬										
Level 2 Input Results														
1. Ready for connectivity with BOC (including test runs) a. assessment of infrastructure and applications of BOC and agency b. identification of the gaps identified c. proposed solutions to address the gaps d. initiate connectivity				▬	▬									
2. Computer applications for NSW developed/adapted/tested				▬	▬									
3. Inter-agency negotiations completed and NSW agreement executed				▬	▬									
4. NSW procedures enabled by executive issuance			▬	▬	▬									
5. Effect organizational changes for NSW			▬	▬	▬									

Work Plan to Operationalize the Philippine National Single Window: Q3 2007 to Q3 2008

	Q3 07			Q4 07			Q1 08			Q2 08			Q3 08	
	1-Jul	1-Aug	1-Sep	1-Oct	1-Nov	1-Dec	1-Jan	1-Feb	1-Mar	1-Apr	1-May	1-Jun	1-Jul	1-Aug
6. Train staff for NSW procedures			▬	▬	▬	▬								
7. Undertake information campaign on NSW withstakeholders				▬	▬	▬								
Level 1 Input Results														
1.Inter-agency ICT connectivity attained				▬	▬	▬								
2.Data/information shared for efficient cargo clearance				▬	▬	▬								
3.Clearance of cargoes done following single processing and decision making rules						▬								
4.Post cargo clearance activities undertaken following agreed processes						▬								
Enhancements														
Level 3 Input Results														
1. Implement the longer term recommendations of Study computers, export and import procedures							▬	▬	▬	▬	▬			
Level 2 Input Results														
1. Inter-agency negotiations completed for NSW enhancements and agreement for them executed												▬	▬	▬
2. Enhanced NSW procedures enabled by executive issuance												▬	▬	
3. Effect organizational changes for NSW												▬	▬	
4. Train staff for NSW procedures												▬	▬	
5. Undertake consultations on NSW withstakeholders												▬	▬	▬
6. Launch enhanced NSW												▬		
Regulator: Insurance Commission														
Level 3 Input Results														
1. Designate the agency's representative in the NSW		▬												
2. Conduct a diagnostic: a. NSW program parameters from the perspective of customs administration and regulator's clearance requirements separately identified b. Institutional gaps identified c. Remedies identified and planned for d. Resource requirements for institutional capacity building identified		▬	▬	▬										

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Work Plan to Operationalize the Philippine National Single Window: Q3 2007 to Q3 2008

	Q3 07			Q4 07			Q1 08			Q2 08			Q3 08	
	1-Jul	1-Aug	1-Sep	1-Oct	1-Nov	1-Dec	1-Jan	1-Feb	1-Mar	1-Apr	1-May	1-Jun	1-Jul	1-Aug
3. Act on the study's recommendation														
a. Agency's NSW parameters			▬	▬										
b. Adopt a workplan for NSW implementation to implement recommendations, delineated by basic or enhanced														
Level 2 Input Results														
1. Ready for connectivity with BOC a. assessment of infrastructure and applications of BOC and agency b. identification of the gaps identified c. proposed solutions to address the gaps d. initiate connectivity			▬	▬	▬									
2. Test runs conducted for connectivity														
2. Computer applications for NSW developed/adapted/tested				▬	▬									
3. Inter-agency negotiations completed; MOA reviewed and approved; and NSW agreement executed				▬	▬									
4. NSW procedures enabled by executive issuance			▬	▬	▬	▬								
5. Effect organizational changes for NSW			▬	▬	▬	▬								
6. Train staff for NSW procedures			▬	▬	▬	▬								
7. Undertake consultations and advocacy on NSW withstakeholders				▬	▬									
Level 1 Input Results														
1.Inter-agency ICT connectivity attained				▬	▬									
2.Data/information shared for efficient cargo clearance				▬	▬									
3.Clearance of cargoes done following single processing and decision making rules						▬								
4.Post cargo clearance activities undertaken following agreed processes						▬								
Enhancements														
Level 3 Input Results														
1. Implement the longer term recommendations of Study computers, export and import procedures 2. Undertake further research for additional enhancements of the NSW system							▬	▬	▬	▬	▬			
Level 2 Input Results														
1. Inter-agency negotiations completed for NSW enhancements and agreement for them executed												▬	▬	▬

Work Plan to Operationalize the Philippine National Single Window: Q3 2007 to Q3 2008

	Q3 07			Q4 07			Q1 08			Q2 08			Q3 08	
	1-Jul	1-Aug	1-Sep	1-Oct	1-Nov	1-Dec	1-Jan	1-Feb	1-Mar	1-Apr	1-May	1-Jun	1-Jul	1-Aug
2. Enhanced NSW procedures enabled by executive issuance												▬	▬	
3. Effect organizational changes for NSW												▬	▬	
4. Train staff for NSW procedures												▬	▬	
5. Undertake consultations on NSW withstakeholders												▬	▬	
6. Launch enhanced NSW												▬	▬	
Regulator: MARINA														
Level 3 Input Results														
1. Designate the agency's representative in the NSW		▬												
2. Conduct a diagnostic: a. NSW program parameters from the perspective of customs administration and regulator's clearance requirements separately identified b. Institutional gaps identified c. Remedies identified and planned for d. Resource requirements for institutional capacity building identified		▬	▬											
3. Act on the study's recommendation a. Agency's NSW parameters b. Adopt a workplan for NSW implementation to implement recommendations, delineated by basic or enhanced			▬	▬										
Level 2 Input Results														
1. Ready for connectivity with BOC a. assessment of infrastructure and applications of BOC and agency b. identification of the gaps identified c. proposed solutions to address the gaps d. initiate connectivity			▬	▬	▬									
2. Test runs conducted for connectivity														
2. Computer applications for NSW developed/adapted/tested			▬	▬										
3. Inter-agency negotiations completed; MOA reviewed and approved; and NSW agreement executed			▬	▬										
4. NSW procedures enabled by executive issuance			▬	▬	▬									
5. Effect organizational changes for NSW			▬	▬	▬									
6. Train staff for NSW procedures			▬	▬	▬									

Work Plan to Operationalize the Philippine National Single Window: Q3 2007 to Q3 2008

	Q3 07			Q4 07			Q1 08			Q2 08			Q3 08	
	1-Jul	1-Aug	1-Sep	1-Oct	1-Nov	1-Dec	1-Jan	1-Feb	1-Mar	1-Apr	1-May	1-Jun	1-Jul	1-Aug
7. Undertake consultations and advocacy on NSW withstakeholders														
Level 1 Input Results														
1.Inter-agency ICT connectivity attained														
2.Data/information shared for efficient cargo clearance														
3.Clearance of cargoes done following single processing and decision making rules														
4.Post cargo clearance activities undertaken following agreed processes														
Enhancements														
Level 3 Input Results														
1. Implement the longer term recommendations of Study computers, export and import procedures 2. Undertake further research for additional enhancements of the NSW system														
Level 2 Input Results														
1. Inter-agency negotiations completed for NSW enhancements and agreement for them executed														
2. Enhanced NSW procedures enabled by executive issuance														
3. Effect organizational changes for NSW														
4. Train staff for NSW procedures														
5. Undertake consultations on NSW withstakeholders														
6. Launch enhanced NSW														
Regulator: Philippine Ports Authority														
Level 3 Input Results														
1. Designate the agency's representative in the NSW														
2. Conduct a diagnostic: a. NSW program parameters from the perspective of customs administration and regulator's clearance requirements separately identified b. Institutional gaps identified c. Remedies identified and planned for d. Resource requirements for institutional capacity building identified														

Work Plan to Operationalize the Philippine National Single Window: Q3 2007 to Q3 2008

	Q3 07			Q4 07			Q1 08			Q2 08			Q3 08	
	1-Jul	1-Aug	1-Sep	1-Oct	1-Nov	1-Dec	1-Jan	1-Feb	1-Mar	1-Apr	1-May	1-Jun	1-Jul	1-Aug
3. Act on the study's recommendation														
a. Agency's NSW parameters			▬	▬										
b. Adopt a workplan for NSW implementation to implement recommendations, delineated by basic or enhanced														
Level 2 Input Results														
1. Ready for connectivity with BOC a. assessment of infrastructure and applications of BOC and agency b. identification of the gaps identified c. proposed solutions to address the gaps d. initiate connectivity			▬	▬										
2. Test runs conducted for connectivity														
2. Computer applications for NSW developed/adapted/tested				▬										
3. Inter-agency negotiations completed; MOA reviewed and approved; and NSW agreement executed				▬										
4. NSW procedures enabled by executive issuance			▬	▬	▬	▬								
5. Effect organizational changes for NSW			▬	▬	▬	▬								
6. Train staff for NSW procedures			▬	▬	▬	▬								
7. Undertake consultations and advocacy on NSW withstakeholders				▬	▬	▬								
Level 1 Input Results														
1.Inter-agency ICT connectivity attained				▬	▬	▬								
2.Data/information shared for efficient cargo clearance				▬	▬	▬								
3.Clearance of cargoes done following single processing and decision making rules						▬								
4.Post cargo clearance activities undertaken following agreed processes						▬								
Enhancements														
Level 3 Input Results														
1. Implement the longer term recommendations of Study computers, export and import procedures 2. Undertake further research for additional enhancements of the NSW system							▬	▬	▬	▬	▬			
Level 2 Input Results														
1. Inter-agency negotiations completed for NSW enhancements and agreement for them executed												▬	▬	▬

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Work Plan to Operationalize the Philippine National Single Window: Q3 2007 to Q3 2008

	Q3 07			Q4 07			Q1 08			Q2 08			Q3 08	
	1-Jul	1-Aug	1-Sep	1-Oct	1-Nov	1-Dec	1-Jan	1-Feb	1-Mar	1-Apr	1-May	1-Jun	1-Jul	1-Aug
2. Enhanced NSW procedures enabled by executive issuance												▬	▬	
3. Effect organizational changes for NSW												▬	▬	
4. Train staff for NSW procedures												▬	▬	
5. Undertake consultations on NSW withstakeholders												▬	▬	
6. Launch enhanced NSW												▬	▬	
Regulator: Securities and Exchange Commission														
Level 3 Input Results														
1. Designate the agency's representative in the NSW		▬												
2. Conduct a diagnostic: a. NSW program parameters from the perspective of a provider of information to the Bureau of Customs identified		▬	▬											
b. Institutional gaps identified		▬	▬											
c. Remedies identified and planned for														
d. Resource requirements for institutional capacity building identified														
a. Agency's NSW parameters														
b. Adopt a workplan for NSW implementation to implement recommendations, delineated by basic or enhanced			▬	▬										
Level 2 Input Results														
1. Ready for connectivity with BOC a. assessment of infrastructure and applications of BOC and agency b. identification of the gaps identified c. proposed solutions to address the gaps d. initiate connectivity				▬	▬									
2. Test runs conducted for connectivity														
2. Computer applications for NSW developed/adapted/tested				▬	▬									
3. Inter-agency negotiations completed; MOA reviewed and approved; and NSW agreement executed				▬	▬									
4. NSW procedures enabled by executive issuance			▬	▬	▬									
5. Effect organizational changes for NSW			▬	▬	▬									
6. Train staff for NSW procedures			▬	▬	▬									
7. Undertake consultations and advocacy on NSW withstakeholders				▬	▬	▬								
Level 1 Input Results														

Work Plan to Operationalize the Philippine National Single Window: Q3 2007 to Q3 2008

	Q3 07			Q4 07			Q1 08			Q2 08			Q3 08	
	1-Jul	1-Aug	1-Sep	1-Oct	1-Nov	1-Dec	1-Jan	1-Feb	1-Mar	1-Apr	1-May	1-Jun	1-Jul	1-Aug
1.Inter-agency ICT connectivity attained				▬	▬	▬								
2.Data/information shared for efficient cargo clearance				▬	▬	▬								
3.Clearance of cargoes done following single processing and decision making rules						▬								
4.Post cargo clearance activities undertaken following agreed processes						▬								
Enhancements														
Level 3 Input Results														
1. Implement the longer term recommendations of Study computers, export and import procedures 2. Undertake further research for additional enhancements of the NSW system							▬	▬	▬	▬	▬			
Level 2 Input Results														
1. Inter-agency negotiations completed for NSW enhancements and agreement for them executed												▬	▬	▬
2. Enhanced NSW procedures enabled by executive issuance												▬	▬	
3. Effect organizational changes for NSW												▬	▬	
4. Train staff for NSW procedures												▬	▬	
5. Undertake consultations on NSW withstakeholders												▬	▬	▬
6. Launch enhanced NSW												▬	▬	▬