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**BIBLIOGRAPHIC INPUT SHEET**

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BATCH 68

1. SUBJECT CLASSIFICATION	A. PRIMARY	Y-DD00-0000-0000
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	Development and economics--Public administration	

2. TITLE AND SUBTITLE  
 LDC income distribution and public policy; annual report, 1976/1977

3. AUTHOR(S)  
 (101) Princeton Univ. Woodrow Wilson School of Public and Int. Affairs

4. DOCUMENT DATE	5. NUMBER OF PAGES	6. ARC NUMBER
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 Princeton

8. SUPPLEMENTARY NOTES (Sponsoring Organization, Publishers, Availability)  
 (Activity summary)

9. ABSTRACT

A PROJECT: By Princeton University to study the effect of current government policies on income distribution in Turkey and Nigeria and to consider the most equitable alternatives.

DURATION: June 30, 1976-December 31, 1978

DEVELOPMENTS: During this report period, research plans were formulated and initiated. Areas to be studied include the effects of education, agricultural subsidies, migration, industrial factors, urbanization, taxation and religion. The problems of common definitions and data base inconsistencies were dealt with so that all papers will use standard definitions of common terms and standard regional groupings for data presentation. Final drafts of papers on Turkey are expected in January 1978. In the fall of 1978 a project-wide conference will draw on these materials for a meeting on cross-cutting issues in income distribution. Final drafts of reports from Nigeria are due by the summer of 1978. Approval is awaited on a further subcontract to extend the study of income distribution to Egypt. In 1978, Princeton participants, with the help of selected LDC colleagues from the country studies, will begin work on a volume or set of papers seeking to draw lessons and/or hypotheses from across the set of country studies that will then be emerging. Recruitment of participants for individual country studies was handled largely by the principal investigators in the host country. Almost all personnel on the Turkey project are Turkish nationals, and two are women. On the Nigerian project, almost all are Nigerians.

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Princeton  
PN-866-474*

8/77

PRINCETON UNIVERSITY

Woodrow Wilson School of Public and International Affairs

ANNUAL REPORT FY 1977

LDC INCOME DISTRIBUTION AND PUBLIC POLICY AID/otr-c-1492

June 30, 1976 - June 30, 1977

I. Annual Report Summary Sheet

LDC Income Distribution and Public Policy AID/otr-c-1492

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Project Title and Contract Number

John P. Lewis  
Trustees of Princeton University

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Principal Investigator and Contractor

Princeton University, Princeton, New Jersey 08540

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Contractor's Address

June 30, 1976-December 31, 1978  
Contract Period (as amended) From - To

June 30, 1976-June 30, 1977  
Reporting Period From - To

Total Expenditures and Obligations  
Through Previous Contract Year

FY77 \$136,799

Total Expenditures and Obligations  
For Current Contract Year

FY78 \$316,727

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Narrative Summary of Accomplishments and Utilization:

Work is proceeding on studying the effect of current government policies on income distribution in Turkey and Nigeria. Areas to be covered are the effects of education, agricultural subsidies, migration, industrial factors, urbanization, taxation and religion. First drafts of research papers on Turkey will be available in September 1977, as will progress reports on the Nigerian studies.

## II. Project Objectives

The project objectives, as stated in the contract, are to assist developing countries and donor agencies in meeting their need for a better understanding of ways to improve the formulation and implementation of social equity objectives within the context of economic development efforts.

Extensive research is currently underway in Turkey and Nigeria to define the current nature of income distribution in these societies, the historical development, and the influence of government economic policies on distribution, with an eye to the most equitable alternatives commonly considered.

### III. Accomplishments to Date

Neither of the two country studies is yet at the stage where reporting of "accomplishments" can fit the guidelines laid down for annual research project reports very well, but the Turkey study is getting close to such a point.

In the case of Turkey, we held a preliminary study-launching conference in Istanbul, August 1976, where a work schedule was set, topics assigned, and the subcontracting arrangements signed. A list of the subcontractors is appended. The research expense fund is housed at Bogazici University under Dr. Aydin Ulusan, one of the principal investigators on the subcontract.

In February 1977 progress reports were discussed at a two-day meeting at Princeton of the Turkish principal investigators, all Princeton participants, Dr. Rusen Keles (on leave at Harvard), and Dr. Dune Olatunbosun, one of the Nigerian principal investigators.

This conference had several valuable outcomes with regard to research technique. We attacked problems of common definitions and data base inconsistencies so that all papers will use standard definitions of common terms, such as "rural" and "urban," and standard regional groupings for data presentation. Techniques for reconciling data based on census and household surveys were developed, along with guidelines for the labelling of data sources. Coordination of these matters at such an early stage should result in a unified set of papers with fewer possibilities for inconsistent or contradictory findings due to definitional or data differences.

A conference of all Turkey participants, of the Princeton participants, selected Nigerian participants and (if USAID/Egypt receives GOE clearance for providing them with local-currency travel funding) several prospective participants in the putative Egypt study (now under consideration in AID/Washington) will meet at the University of Warwick, U.K. August 29-31 to review the first drafts of the component papers of the Turkey study and consider various project-wide issues. The first drafts of the Turkey papers are just now in process of being received (mostly in Istanbul, some in Princeton), reproduced, and dispatched to conference participants. Thus it is a bit too early to provide any coherent commentary on their condition or findings. However, the following are preliminary notes on draft papers flowing through the Princeton assembly point.

Aydin Ulusan, who was on sabbatical at Princeton this past term, has completed an interesting paper exploring the distributive effects of Turkish agricultural price supports that is centered around a rigorous and original economic model of that program. Kemal Dervis and Sherman Robinson have finished the analytic and computational study of relations between growth and income distribution in Turkey during the past quarter century. The analysis is featured in part by use of a novel mobility matrix. (As a satellite of the Dervis-Robinson work, a Princeton (Turkish) student, Timur Kuran, has produced an undergraduate Senior Thesis on the distributive effects of Turkish rural-urban migration, a portion of which, boiled into a paper and focusing on the informal urban sector, promises to be of sufficient interest to include in the final

study.) Michael Danielson and Busen Keles (a visitor at Harvard this past year) have produced an extensive baseline draft on the anatomy of Turkish urban and regional characteristics and change, on public policies impinging thereon, and on linkages to income distribution. The draft of their second paper, on the distributive impact of urban public services, has just been received.

In general in the Turkey study the original contract design is standing up well. Some of the analytical techniques and concepts have been inventive, and despite abundant need to reconcile conflicting data sources, definitions, and series, access to data has been satisfactory-- particularly thanks to one windfall: a computer programmer turned up at Princeton who had programmed, for the Turkish government, the collation and storage of the national 1972-3 household survey at Hacettepe University in Ankara. We were able to employ him briefly to return to Ankara and get a series of runs from the original tapes that have proved illuminating.

The Nigerian country study lags well behind the Turkey study. In the original proposal, thanks to the lack of a preliminary project planning conference in Nigeria, a lag of 3-4 months was anticipated. However, of the three original Nigerian principal investigators, one died suddenly in July 1976, a second was appointed to a senior government position, and the third for some months was taken up with temporary government assignments. So the whole project had to be reorganized and new personnel recruited.

In November 1976 Professors Bienen and Danielson of Princeton traveled to Lagos, setting up a workable organization, Intrainstitutional politics (as to the administrative housing of the project in the Nigerian

Institute of Social and Economic Research) intervened; however, in the spring organizational arrangements finally solidified with the establishment of Professor Olatunbosun of NISER and Professor Diejomah of the University of Lagos as joint principal investigators, with the project's research expenses fund being lodged with the latter. So far, the following subcontracts have been signed: Dr. Emmanuel Anusionwu, Dr. S. Fajana, Professor Victor Diejomah, Professor Dupe Olatunbosun, Professor Douglas Rimmer, and Professor P.O. Sada. Others are awaited from most or all of the following: Dr. M.A.O. Ayeni, Dr. F. S. Idachaba, Professor Samson Olayide, Professor O. Teriba.

Initial progress reports from the participants are due in August.

Compared with the original project proposal, considerable progress has been made toward providing for cross-country comparability. The revised Nigeria outline has a good deal of similarity to the Turkey outline, and the same (while taking care to provide also for the distinctive aspects of the country cases) is true of the putative Egypt outline. Professor Olatunbosun attended the February 1977 project conference, and hence was sensitized by the Turkey experience to problems of definition and data base that might occur, and the August 1977 (Warwick) conference will further inform the Nigerian participants in this regard. However, until first progress reports from the Nigerians are in hand, we will not have a good fix on the data and other substantive problems that are beginning to turn up in that study.

#### IV. Dissemination and Utilization

At this point no papers are in final draft form, but following review of the Turkey papers in the coming August conference, a number may be judged ready for preliminary distribution. (In any event AID will be supplied with copies of all the Turkey drafts at that point.)

Papers produced by Princeton participants will be distributed routinely to about 77 libraries and individuals as Research Program in Development Studies Discussion Papers. The distribution list is appended. We also have personal contacts with such groups at the Agricultural Equity Group at Purdue, Michigan State and Cornell Universities. Since many of our participants are LDC nationals, they will circulate their own papers in their own country. The finished volumes of papers will hopefully have commercial publication and distribution worldwide.

## V. Work Plan

Our work plan for the Turkey project involves receipt of first drafts of papers in August, to be reviewed at an extensive progress conference to be held August 29-31 at the University of Warwick, Coventry, England. The central location enables maximum numbers of participants at the least cost.

Final drafts of the papers are expected in January 1978. The Turkish principal investigators are expected to have an edited manuscript ready in June 1978, which will be submitted to publishers in both an English and Turkish version. In the fall of 1978 a project-wide conference should draw on these materials for a meeting on cross-cutting issues in income distribution.

In the case of Nigeria, progress reports are due in August 1977 and will be extensively reviewed at the Warwick conference. First drafts are expected by January 1978, at which time a small in-country conference may be held. Final drafts will be due by the summer of 1978 with an edited manuscript produced by the end of calendar 1978. The Nigerian material will be substantially available for our projected project wide conference in fall of 1978.

In addition we are awaiting approval on a further subcontract to extend the study of income distribution to Egypt. The timetable here would be approximately six months behind the Nigeria study, with progress reports due in the spring of 1978, and first drafts in the fall, 1978.

In calendar 1978 the Princeton participants, very possibly with the help of selected LDC colleagues from the country studies will

begin work on a volume or set of papers seeking to draw lessons and/or hypotheses from across the set of country studies that will then be emerging.

As the studies move into draft form, several staff members will be added to expedite the work. Ms. Susan Chizeck will take up coordination, research, and editorial revision on the manuscripts; a part-time editor will do final preparation of the manuscripts and additional secretarial help will be utilized as necessary.

## VI. Minority Involvement

Recruitment of participants for individual country studies was handled largely by the principal investigators in the host country. Almost all personnel on the Turkey project are Turkish nationals, and two are women. On the Nigerian project, almost all are black Nigerians, although there are no women. The Egyptian project will be staffed mainly by Egyptians, with at least one woman already recruited.

The Princeton participants include three middle eastern males, female secretarial staff, and female executive and editorial assistants.



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SSIE NUMBER

### NOTICE OF RESEARCH PROJECT

<b>SUPPORTING ORGANIZATION:</b>		<b>SUPPORTING ORGANIZATION NUMBER(S):</b>	
Agency for International Development		Contract No: otr-c-1492 and/or Control No:	
<b>PROJECT TITLE:</b>			
Less Developed Country Income Distribution and Public Policy			
<b>INVESTIGATOR(S):</b>		<b>DEPARTMENT/SPECIALTY, School or Division</b>	
John P. Lewis Director, Research Program in Development Studies		Woodrow Wilson School of Public and International Affairs	
<b>PERFORMING ORGANIZATION:</b>		<b>PERIOD FOR THIS NRP:</b>	
Name and Woodrow Wilson School -- Elm Club Address: 58 Prospect Avenue Including Princeton University Zip Code. Princeton, New Jersey 08540		Start Date: June 30, 1976 End Date: June 30, 1977 Annual Funding: Approx. \$137,000	
<b>PROJECT SUMMARY:</b> Be brief-200 word maximum: (Include Objective, Approach, Current Plans and/or Progress)			
<p>Project objectives are to assist developing countries and donor agencies in meeting their need for a better understanding of ways to improve formulation and implementation of social equity objectives within the context of economic development efforts.</p> <p>Work is proceeding on studying current government policies in Turkey and Nigeria. Areas to be covered are the effects of education, agricultural subsidies, migration, industrial factors, urbanization, taxation, and religion. First drafts of research reports on Turkey will be available in September 1977 as will progress reports on the Nigerian studies.</p>			

## VII.

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BUDGET

	<u>Expended from 6/30/76-6/30/77</u>	<u>Total estimated cost to 12/31/78</u>
A. Turkey		
1. Principal Investigators fees	\$ 7,500	\$15,500
2. Papers	11,500	32,500
3. Research	<u>27,580</u>	<u>39,400</u>
	\$46,580	\$87,400
B. Nigeria		
4. } Papers	4,975	39,400
5. }		
6. Research	16,115	40,200
*7. Planning	<u>3,792</u>	<u>5,230</u>
	\$24,832	\$84,830
C. Conference		
*8. Planning		
(Turkey, Nigeria, Egypt, Fourth Country)	15,648	15,000
9. All-Project Conferences	6,058	50,000
10. Indirect Costs	<u>3,120</u>	<u>5,200</u>
	\$24,826	\$70,200
D. Princeton		
11. Salaries and Benefits	17,139	93,939
+12. Research Assistants	5,082	8,000
*13. International Travel		5,540
*14. Per Diem (Turkey, Nigeria, Egypt)		5,570
*15. Local Travel		1,000
16. Duplicating and Printing		15,000
+17. Research Coordination	6,193	10,000
18. Indirect Costs	<u>12,092</u>	<u>72,316</u>
	\$40,511	\$211,385
TOTAL .....	\$136,799	\$453,815

\*19,440/32,340

+11,280/18,000

VII. BUDGET

Section A: Turkey

The Turkish subcontracted budget goes according to schedule. The first two of four installments have been disbursed as contractors fulfill their assignments.

Section B: Nigeria

The Nigerian subcontractors have received only the first installment as their assignments are not as advanced as in Turkey.

Section C: Conferences

Lines 7, 8, 13, 14, 15 should all be consolidated since they are for the same purpose of planning meetings. This would mean a total budget of \$32,340 of which \$19,440 has been spent. At least one further planning session is needed for Nigeria, perhaps one more for Egypt, and the remainder for a yet-to-be chosen fourth country.

The all-project conferences held so far consisted of a small meeting at Princeton of Principal Investigators in February 1977. We will hold a larger conference for review of the Turkish drafts in August 1977 at an estimated cost of \$20,000 and a similar conference in the fall of 1978 to review cross-cutting issues emerging from the country studies.

Section D: Princeton

Line 11 is on schedule, as most salaries are drawn during the summer months when the greatest research effort is possible.

Line 12 and 17 should be combined as both pertain to research expenditures. The majority of expenditures were for research assistance and data for the Turkish studies and the remainder will go to Nigerian Studies. \$11,280 has been spend out of \$18,000 total.

Line 16 shows we have not yet reached the manuscript stage.

Line 10 and Line 18 show indirect costs as per the current agreement.

Appendix A

Turkish Participants

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