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M-7380
December 27, 1962

Mr. Jack Koteen
Senior Planning Assistance Officer
Agency for International Development
Room 3536
Department of State
Washington 25, D. C.

Dear Mr. Koteen:

In accordance with Contract No. AID/repas-9, Article I, 5, 2, which requires the NPA to submit interim progress reports semi-annually, I should like to summarize the activities to date and the plans for the future of the NPA's Development Planning project.

A. Staffing.

In recruiting personnel for the project, a great deal of care has been taken to obtain the best possible balance of the skills and experience required for the work. As Director of the project, the NPA has appointed Dr. Douglas S. Paauw; a resume of his education and experience is attached. As Chief Economist of the project, the NPA has appointed Dr. Pierre Crosson; a resume of his education and experience is attached. Mr. Forrest Cookson has been appointed Economist on the staff of the project; a resume of his education and experience is attached. Candidates are now being interviewed for the two remaining professional positions on the project staff.

Mr. Edward S. Prentice was originally appointed as Chief Economist of the project. However, his services were desired by the Department

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of State for a major study of U. S. international transportation policy. In consequence, Mr. Prentice resigned from the project on October 18, and Dr. Pierre Crosson was subsequently appointed as Chief Economist of the project.

Mrs. Jennie Payne has been appointed Administrative Assistant for the project. Mrs. Christiane Cookson has been appointed to fill one of the two secretarial positions on the project staff, and candidates are being interviewed for the other secretarial position.

The NPA has submitted for AID approval a proposed subcontract with the Institute of Public Administration in New York under which the IPA would make available the services of its three senior staff members to assist in the work to be performed under Article I, 1, f, of our contract with AID. It is hoped that during the period from January 2, 1963 until March 31, 1965, the IPA will make available to NPA seven and one-half months of working time of Dr. John C. Honey, Senior Specialist in Public Administration; 15 days consulting time of Dr. Luther H. Gulick, Chairman of the IPA; and 15 days consulting time of Dr. Lyle C. Fitch, President of the IPA. Their knowledge and experience regarding the organizational and administrative aspects of planning will be a valuable supplement to NPA's competence in the substantive economics and the technical methodology of planning as related to the problem of harmonizing central government planning with private and decentralized economic activity in under-developed countries (see B, 3 below).

B. Research Underway.

Three specific studies have been started during the first six months of the project:

1. State of the Art of Development Planning - (Article I, 1, a.) Under this assigned area of research, work has been started on a comprehensive and detailed bibliography of the literature of continuing usefulness in English, French and Spanish on development planning techniques for less-developed countries. In effect, this bibliography will be a guide to the relevant publications in the field especially designed for officials and others in less-developed countries who may lack advanced technical training in development planning techniques but who have policy or operating

responsibilities for planning. Under each major subject heading, the bibliography discusses the major publications in chronological order so as to show the evolution of the main concepts and techniques. Each annotation presents an interpretative account of the content, contribution, and practical usefulness of the publication under review. A detailed outline of the bibliography is attached. It is expected that a draft will be submitted for AID's comments before the end of June, 1963.

2. National Accounts Projections Techniques - (Article I, 1, b.)

The formulation and execution of an effective development plan requires, as one important element, a conceptual frame of reference by means of which technical and quantitative aspects of the plan can be analyzed. Long-range economic projections, expressed in the context of social accounting, provide the basis for such analysis. The NPA has, for the past 10 years, carried on intensive research into the methodology and substance of long-range economic projections for the U. S. economy; and, since 1959, has regularly published national accounts projections -- not only for the nationwide economy but also for specific regions and industries within the United States -- which are widely used as guides to investment, production and operations planning by large business enterprises, governmental agencies, and research institutions. These ongoing projects -- the National Economic Projections Series (NEPS) and the Regional Economic Projections Series (REPS) -- have frequently been consulted by foreign visitors on projection techniques to be used abroad, as well as on matters of international comparability of concepts and data.

The adaptation of projections techniques from one country or developmental setting to another obviously requires allowance for such factors as data availability, market orientation, and economic and social structure. In consequence, two senior members of the NPA NEPS staff are investigating for the project: (a) the application of projections techniques to development planning; (b) the most effective utilization of limited data systems; and (c) the connection among various accounting systems -- e.g. input-output and income statements -- and their relevance to particular problems, such as individual project planning, overall resources planning, and feasibility testing. A detailed research design for this study is now in preparation.

3. Government and Private Planning. (Article I, 1, e.)

In many less-developed countries, the private enterprise sector of the economy is either weak or virtually nonexistent, and hence is not able to provide the initiative and the resources required for the achievement of an adequate rate of development. In consequence, in the last few years, stress has been placed in certain countries upon national development planning by the central government as a means of mobilizing and allocating the required resources. In addition, the governments of some underdeveloped countries have been establishing and operating new industries and other economic activities either because of the inadequacy of the private sector or because of ideological conviction. The United States has recognized that national development planning is essential if limited resources are to be used effectively and the less-developed countries are to achieve adequate rates of growth. However, it is equally important that private economic decision-making and activity also be encouraged and strengthened. Such private initiative and activity are essential both to stimulate the evolution of democratic tendencies in economic and political life and to insure that scarce development resources, once allocated in accordance with development objectives, are then utilized with maximum efficiency.

The main outlines of this area of the project's research were described in a paper entitled "Public Planning and Private Decision-Making in Economic and Social Development" which was prepared by Dr. Gerhard Colm, NPA's Chief Economist, and Dr. Theodore Geiger, Chief of International Studies, for the U. S. Government's presentation to the forthcoming U. N. Conference on the Application of Science and Technology for the Benefit of the Less Developed Areas. During his period of service with the project, Mr. Edward Prentice made a survey of the available materials in print on this subject and made tentative recommendations regarding the countries whose experience with the problem of harmonizing central government planning and private enterprise would be most useful for field study. Work is now underway on a detailed research design for an empirical study of actual experience in those less-developed countries in which national development planning has already been undertaken, as well as in the developed countries with free enterprise economies in which national planning techniques have been extensively used (e.g. France, Japan, etc.). It is hoped that field research can be undertaken in a number of African countries during January and February, 1963;

Mr. Koteen

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December 27, 1962

*Charles Montrose
- 3915 NS -
wants to know a bit more about this*

in Latin America during the second half of 1963; and in Asian countries during the first half of 1964. When the subcontract between NPA and IPA has been approved by AID, the specified personnel of the IPA will participate in this area of the project's research, and the detailed research outline can be finalized.

C. Future Research Plans.

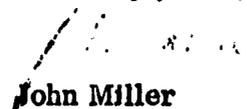
During the next few months, several research studies will be designed and gotten underway in other subject areas specified in the contract. A meeting of the Research Advisory Committee will be held at which a number of possibilities will be reviewed and final decisions made for specific research activities.

D. Research Advisory Committee and Consultants.

The NPA is in the process of appointing a Research Advisory Committee for this project. Prior to his appointment as a member of the Council of Economic Advisers, John P. Lewis had consented to serve as Chairman of the Research Advisory Committee. It is hoped that he will be able to continue as Chairman after May 1963 when he assumes his duties as a member of the Council. In any event, he will preside at meetings prior to that date. A list is attached of U. S. Government and university specialists in the field of development planning whom we propose to ask to serve on the Research Advisory Committee.

A number of consultants have been appointed to advise the project during its various stages. Dean Eugene Burgess, Dr. Nanda Choudhry, Mr. H. van B. Cleveland and Professor John Lindeman have already assisted in designing the research studies outlined under B above.

Sincerely yours,


John Miller

jc
attachments

Curriculum Vitae

Name: DOUGLAS S. PAAUW

Born: Hancock, Minnesota, December 13, 1921

Education:

- 1939-1943 Calvin College, Grand Rapids, Michigan (left to enter U. S. Army in early 1943). A.B. 1946
- 1943-1944 Harvard University, Army Special Training Program in Chinese area and language studies.
- 1946-1947 University of Washington, Seattle, Washington (graduate work in Economics and Far Eastern Studies).
- 1947-1950 Harvard University (graduate work in Economics); M.A. 1949; Ph.D. 1950.

Military Experience:

- 1943-1944 Army Specialized Training Program (Chinese Area and Language Program, Harvard University).
- 1944-1946 Army Air Corps (Instructor and Interpreter, Chinese Detachment, Preflight School).

Professional Experience:

- 1961-1962 Visiting Associate Professor of Economics and Research Fellow in Economics and Southeast Asia Studies, Yale University
- 1959-1961 Visiting Professor of Economics and Project Chairman, Ford Foundation Economics Project, Nommensen University, Medan, Indonesia.
- 1956-1959 Professor of Economics and Department Chairman, Lake Forest College.

- 1953-1956 Lecturer in Economics and Regional Studies and Research Associate in Chinese Economic Studies, Harvard University.
- 1954-1955 Representative in Indonesia and Research Associate, Center for International Studies, Massachusetts Institute of Technology.
- 1952-1954 Research Associate and Director of the Indonesian Project, Center for International Studies, Massachusetts Institute of Technology (concurrent with appointment at Harvard).
- 1950-1952 Instructor in Economics and Regional Studies, Harvard University.
- 1948-1950 Teaching Fellow in Economics, Harvard University.
- 1946-1947 Teaching Assistant in Economics, University of Washington.

Other Professional Experience:

- 1962- Member, Southeast Asia Committee, Association of Asian Studies.
- Summer 1958 Visiting Professor of Economics, Graduate Program in Economic Development, Vanderbilt University.
- Summer 1955 Member of Social Science Research Council Inter-University Seminar, Princeton University.
- 1952-1956 Member, Editorial Advisory Board, Far Eastern Quarterly.

Books, Articles and Pamphlets:

1. "Comparison of Economic Progress Among Southeast Asian Countries," Paper read at the Association of Asian Studies meeting, Boston, April 4, 1962. (to be published)
2. "Entrepreneurship and Indonesian Economic Progress," Review Article to be published in Economic Development and Cultural Change.
3. "From Colonial to Guided Economy," chapter for Indonesian Handbook to be published by Human Relations Area Files and Southeast Asia Studies, Yale University.
4. Prospects for East Sumatran Plantation Industries, Yale University, Southeast Asia Studies, Monograph Series No. 3 (editor and contributor), 1962, XIII, 68 pp.
5. "Some Frontiers of Empirical Research in Economic Development," Economic Development and Cultural Change, Volume IX, Number 2, January, 1961, pp. 180-190. (Translated into Japanese and republished in Americana, a Japanese language monthly edited by the U. S. Information Service; translated into Spanish for republication in Desarrollo Economico, official organ of the Instituto de Desarrollo Economico y Social, Buenos Aires, Argentina).
6. Financing Economic Development: The Indonesian Case, Free Press and Center for International Studies, Massachusetts Institute of Technology, 1960, 474 pp.
7. "Indonesia in 1957," joint authorship with others (published by the Institute of Pacific Relations as a pamphlet in March, 1959, pp. 23-55).
8. "Prospects for American Trade with Indonesia," (published by the Marquette University Press as part of a symposium, American Trade with Asia and the Far East, 1959), pp. 185-204.
9. "The High Cost of Political Instability in Indonesia, 1957-1958," International Spectator: Tijdschrift voor Internationale Politiek (published by the Netherlands Institute for International Affairs), Volume XII, Number 19, November 1958, pp. 523-555.
10. "The Kuomintang and Economic Stagnation," Journal of Asian Studies, Volume XVI, Number 2, February, 1957, pp. 213-220.

11. "Financing Economic Development in Indonesia," Economic Development and Cultural Change, Volume IV, Number 2, January, 1956, pp. 171-185.
12. "The Case for Decentralized Financing of Economic Development in Indonesia," Far Eastern Quarterly, Volume XV, Number 1, November, 1955, pp. 77-85.
13. "Westernized Leadership and Economic Development in Indonesia: A Political Elite in Action," (with R. Richard Wohl), reproduced by the SSRC Inter-University Seminar on Comparative Politics, Princeton University, July, 1955, 58 pp.
14. "Financing Economic Development in Indonesia: Public and Private Mobilization of Savings," Ekonomi dan Keuangan Indonesia, Volume VIII, Number 4, April, 1955, pp. 199-215.
15. "The Influence of Modern Western Science and Technology on Japan and China," Explorations in Entrepreneurial History, Volume VII, Number 4, April, 1955, pp. 189-204. (joint authorship with several colleagues).
16. "The Role of Local Finance in Indonesian Economic Development," Ekonomi dan Keuangan Indonesia, Volume 8, Number 1, January, 1955, pp. 2-24. (Translated into Indonesian and republished in the same Journal in a later issue).
17. "The Tax Burden and Economic Development in Indonesia," Ekonomi dan Keuangan Indonesia, Volume VII, Number 9, September, 1954, pp. 564-588.
18. Editor of Bibliography on the Economic and Political Development of Indonesia, Center for International Studies, Massachusetts Institute of Technology, 1953, 169 pp.
19. "Communist China's Economic Policy," Introduction to Ronald Hsia, Price Control in Communist China, Institute of Pacific Relations, New York, 1953, pp. 1-16.
20. "Chinese National Expenditures During the Nanking Period," Far Eastern Quarterly, Volume XII, Number 1, November, 1952, pp. 3-26.
21. Documents on Chinese Communist Economic Policy (with K. C. Chao), Russian Research Center, Harvard University, 1951-1952, pp. 210.

22. Bibliography of Western Works on China - Economic (with John K. Fairbank), Committee for International and Regional Studies, Harvard University, December, 1951, 50 pp.

23. "Economic Principles and State Organization," The Annals of the American Academy of Political and Social Science, Volume 227, (Report on China), September, 1951, pp. 101-112.

24. The Economy of China and Manchuria, pamphlet published by the Industrial College of the Armed Forces, Washington, D. C., May, 1951, 22 pp.

Book Reviews:

1. William W. Hollister, China's Gross National Product and Social Accounts and Carl F. Rember, Editor, Three Essays on the International Economics of Communist China, reviewed in the Journal of Asian Studies, Vol. XX, No. 4, August, 1961.

2. Edward Szczepanik, The Economic Growth of Hong Kong, review published in the Journal of Political Economy, Volume 48, Number 1, February, 1960.

3. Cheng Yu-kwei, Foreign Trade and Industrial Development of China: An Historical and Integrated Analysis through 1948, reviewed in The Journal of Asian Studies, Volume XVII, Number 2, February, 1958.

4. G. C. Allen and Audrey G. Donnithorne, Western Enterprise in Indonesia and Malaya: A Study in Economic Development, Reviewed in The Annals of the American Academy of Social and Political Science, Volume 315, January, 1958.

5. Wu-Yuan-li, An Economic Survey of Communist China, reviewed in Social Research, Volume 23, Number 4, Winter, 1956.

6. United Nations, Department of Economic Affairs, Economic Survey of Asia and the Far East, 1952, reviewed in Far Eastern Quarterly, Volume XIII, Number 1, November, 1953.

7. David Mitranj, "Marx Against the Peasant," reviewed article with Benjamin I. Schwartz, Far Eastern Quarterly, Volume XII, Number 1, November, 1952.

Curriculum Vitae

Name: PIERRE R. CROSSON

Born: Dallas, Texas, October, 1926

Education:

- 1943 Graduated Woodrow Wilson High, Dallas Texas - top 10 percent of class
- 1943-1945 University of Texas. Elected to Phi Beta Kappa upon
1947-1948 graduation. Bachelors degree with heavy emphasis on economics, history and anthropology.
- 1949-1951 Columbia University - A- average. Courses in Economic development, international trade, monetary economics, statistics, economic theory, economic history, and institutionalism. Passed oral examinations in economic theory, monetary economics, international trade and economic development in spring, 1955

Doctoral thesis

"Models of Economic Growth: Concepts vs. Empirical Materials," supervised by James W. Angell, Department of Economics, Columbia University. Degree expected spring 1963.

Employment:

- 1951-1952 Balance of Payments Division, Department of Commerce. Worked on study of secular movements in prices of internationally traded agricultural and raw material commodities relative to prices of manufacturing goods. Assisted in editing returns in 1951 census of U. S. direct investments abroad.
- 1952-1954 Office of Chief Economist, Bureau of Mines. Did studies of capital coefficients and capacity measurement for a variety of industries, mostly mineral, for use in the Federal Government's program of input-output analysis.

1954-1955 Office of Power, Tennessee Valley Authority. Did studies of procedures for estimating demand for power on TVA system; short-term forecasting of national and regional business conditions; studies of industrial development in TVA area.

1955-1962 Economics Department, Bank of America

1955-1959 - Research Economist. Studies of defense industries in California and their impact on the State's economic growth. Also studies of the air transportation industry designed to assess financial position of the industry in the early 1960's. Studies of factors underlying post-war strength of investment demand in the United States with an eye to projecting this demand -- and the implications for the state of the national economy -- in the 1960's.

1959-1960 - Chief, Regional Research Section. Supervised and conducted research on economic growth in California and in the State's major metropolitan areas. Continuing research on impact of defense industries. Study of prospective growth and structural changes in the pleasure boat manufacturing industry as a guide to Bank financing policy toward this industry. Studied California Water Plan, particularly the Feather River Project. Supervised one professional economist and one research assistant.

1960-1962 - Associate Director of Economic Research. Direct responsibility for planning and directing research in Department's Regional Research Section, Business Forecasting Section and Agricultural and Commodity Research Section. Acting head of the Department in the absence of the Director of Research. Continued to conduct own research on various aspects of California's economic growth. Prepared written reports for Bank President commenting on a wide variety of national and state economic issues. Delivered verbal reports on California economic situation to President and various senior management groups. Gave 6-8 speeches per year to business and professional groups in California. Assisted the Director of Research in administration of the Department, e.g. formulating budgets, reviewing employee performance and making salary recommendations, recruitment of professional and non-professional staff. Directly supervised 5 professional economists and 2 research assistants; also had supervisory authority relative to Department's secretarial, clerical, and statistical staff.

Published and Unpublished Research

1. Comments on BLS Paper "A New Approach to Capacity Measurement," Bureau of Mines, Inter-Industry Analysis Branch Item Number 23, February, 1953, pp. 10.
2. Capital Coefficients for the Rubber Tire and Tube Industry, Bureau of Mines, Inter-Industry Analysis Branch Item Number 40, October, 1953, pp. 14.
3. Capital Coefficients for the Integrated Aluminum Industry (with Gregory Zec and Francis Kelley), Bureau of Mines, Inter-Industry Analysis Branch Item Number 43, November, 1953, pp. 181.
4. Capital Coefficients in the Nonferrous Metal Mining and Milling Industries, Bureau of Mines, Inter-Industry Analysis Branch Item Number 42, January 1954, pp. 49.
5. A Study of the Domestic Scheduled Airlines Industry, Bank of America, Fall, 1956, pp. 49.
6. A Model for Forecasting the Gross Savings Rate, Bank of America, Fall, 1957, pp. 31.
7. Tax Cuts, Inflation, and the Recession, Bank of America, Spring, 1958, pp. 19.
8. The Relationship Between Economic Growth in the United States and in Latin America, Bank of America, Spring, 1958, pp. 35.
9. The Postwar Investment Boom: Analysis and Some Implications, Bank of America, July, 1958, pp. 21.
10. Trends in Missile and Aircraft Procurement: The Impact on California, Bank of America, September, 1958, pp. 17.
11. Net Cash Flow to United States Trunk Airlines, 1960-1962, Bank of America, October, 1959, pp. 26.
12. A Study of the Pleasure Boat Industry, Bank of America, March, 1960, pp. 14.
13. Further Comment on Economic Base Theory, Land Economics, May, 1960, pp. 4.
14. California's Economic Base Ratio, paper delivered at Western Economic Association meetings, August, 1960, pp. 16.

15. **Comments on Three Papers Delivered at the Las Vegas Meeting of the Regional Science Association, April, 1961, pp. 7.**

16. **The Size and Impact of Defense Activities in California Since World War II, paper delivered at meeting on economic impact of disarmament, Santa Barbara, California, May, 1961, pp. 21.**

17. **Performance of the Trunk Airlines, 1960 and Beyond, Bank of America, April, 1961, pp. 8.**

Miscellaneous

Military service - Army Air Corps, March 1945 - December 1946, honorable discharge, rank of sergeant.

Ford Foundation Doctoral Dissertation Fellowship, academic year, 1958-1959.

Member of Technical Advisory Committee, Economic Development Agency of the State of California (appointment by the Governor).

Member of Steering Committee developing public seminars on U. S. foreign aid program for World Affairs Council of Northern California.

Curriculum Vitae

Name: FORREST E. COOKSON, JR.

Born: New York, New York, April 26, 1934

Education:

1953 B. S., Clemson College, Clemson, South Carolina

1957 M. A., Princeton University (Physics)

Candidate Ph.D. (Economics), Georgetown University

Norris Medal, outstanding graduate, Clemson College

Employment:

1953-1954 National Science Foundation Fellow

1954-1955 Research Assistant, Princeton University

1955-1957 Research at Brookhaven National Laboratory

1957-1959 U. S. Army

1959-1960 Economist, Bureau of the Census, Department of Commerce

1960-1961 International Fellow, Stanford Research Institute

1961-1962 Consultant on African Economics, Stanford Research Institute

Attended International Economic Association Conference on Economic
Development of Africa South of the Sahara

Consultant to Economic Commission for Africa, Working Party on
Economic and Social Development, Addis Ababa, 1962

Taught Economics, Georgetown University, 1959-1961

Publications:

"Some Notes on Industrial Project Evaluation," U. N. Economic Com-
mission for Africa, Addis Ababa

Contributor to various projects on international economics at Stanford
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Albert Waterston, Senior Development
Advisor in Charge of Planning
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