

Agency for International Development  
Washington, D.C. 20523

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File

SEP 16 1991

Mr. Joseph W. Harned  
Executive Vice President  
The Atlantic Council of the United States  
1616 H Street, N.W.  
Washington, D.C. 20006

Subject: Grant No. DHR-5728-G-00-107

Dear Mr. Harned:

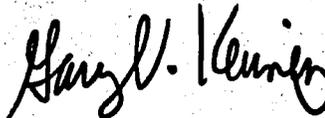
Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, and the Federal Grant and Cooperative Agreement Act of 1977, the Agency for International Development (hereinafter referred to as "A.I.D." or Grantor") hereby grants to the Atlantic Council of the United States (hereinafter referred to as "Grantee") the sum of \$70,000 to develop approaches for helping developing countries ensure they can integrate environmental action into sustainable development. This program is more fully described in the attached Program Description and in Grantee's unsolicited proposal dated May 6, 1991, which is incorporated herein by reference and made a part hereof.

The Grant is effective and an obligation of \$70,000 is made as of the date of this letter. The estimated completion date of this is December 31, 1992.

This grant is made to the Grantee on condition that the funds will be administered in accordance with the terms and conditions as set forth in Attachment 1 entitled the "Schedule," Attachment 2 entitled the "Program Description," and Attachment 3 entitled the "Standard Provisions," which have been agreed to by your organization.

Please sign all copies of this letter in the space provided for below to acknowledge your receipt and acceptance of this grant. Keep one copy for your files and return the original and all remaining copies to this office.

Sincerely yours,



Gary V. Kinney  
Grant Officer  
Chief, Resources Branch  
A.I.D./W Projects Division  
Office of Procurement

SEP 23 1991

ENTERED

**ATTACHMENTS:**

1. Schedule
2. Program Description
3. Standard Provisions

**ACKNOWLEDGED:**

The Atlantic Council of the United States

By: Joseph W. Harned

Typed Name: Joseph W. Harned

Title: Executive Vice President

Date: 19 September 1991

**FISCAL DATA**

PIO/T No.	:	1361434
Appropriation symbol	:	72-1111021.3
Budget Plan Code	:	DDNA-91-13600-IG11
Allotment No.	:	143-36-099-01-20-11
Project No.	:	936-5728
Total Estimated Amount	:	\$70,000
Total Obligated Amount	:	\$70,000
Funding Source	:	AID/W
Project Office	:	S&T/EY
TIN No.	:	52-0742294

SCHEDULE**A. Purpose of Grant**

Grantee will serve as a vehicle for developing recommendations for actions supported by major U.S. and international interest groups in the energy area, with emphasis on technologies for efficient use of energy and to reduce emissions due to energy use.

**B. Period of Grant**

The effective date of this grant is September 21, 1991 and the estimated completion date is ~~October 30, 1992~~ December 31, 1992.

**C. Amount of Grant and Payment**

The total estimated cost of the grant and the amount obligated for payment is \$70,000.

1. The amount of funds obligated hereunder for payment is \$70,000.
2. Payment shall be made to the grantee in accordance with procedures set forth in the Standard Provision of this grant entitled "Payment-Letter of Credit," Attachment No. 3

**D. Budget**

The following is an abbreviated budget for the life of the project. A more detailed budget is contained in Grantee's unsolicited proposal which, as indicated before, is incorporated herein by reference.

## Budget

<u>Line Items</u>	<u>A.I.D.</u>	<u>Grantee</u>
Direct Labor	\$40,000	\$ 82,120
Meetings & Travel	15,000	68,275
Documents, Office Supplies & Other Direct Cost	15,000	13,700
Indirect Cost & Fringe Benefits		43,770
<b>Total</b>	<b>\$ 70,000</b>	<b>\$207,865</b>

Within the total amounts indicated above, Grantee may adjust line items as is reasonably necessary to achieve the goals of the program.

E. Reports

1. Policy Paper

During the course of this grant a policy paper will be developed by a working group of international experts on the role of energy in development, recommending ways of measuring the flow of technology to improve efficiency and introduce new energy resources to developing countries.

2. Energy Technology Paper

Grantee shall publish and disseminate to appropriate personnel in-depth papers written by international experts on how to facilitate energy technology transfer.

3. Financial

Financial reporting requirements shall be in accordance with the Standard Provision of this grant entitled "Payment -Letter of Credit," Attachment 3.

4. Technical

Grantee will submit a report to S&T/EY six months from the effective date of this Grant. This report will include financial expenditures to date and the progress attained toward the project's purpose and specific objectives. The report will also detail any problems encountered and recommend solutions of these problems.

F. Closeouts:

This provision prescribes uniform closeout procedures for A.I.D. grants and cooperative agreements with recipients.

The following definitions shall apply for the purpose of this paragraph.

a. Closeout: The closeout of a grant or cooperative agreement is the process by which A.I.D. determines that all applicable administrative actions and all required work of the grant or cooperative agreement have been completed by the recipient and A.I.D.

b. Date of Completion: The date of completion is the date on which all work under grants and cooperative agreements is completed or the date on the award document, or any supplement or amendment thereto, on which A.I.D. sponsorship ends.

c. Disallowed Costs: Disallowed costs are those charges to a grant or cooperative agreement that A.I.D. or its representative determines to be unallowable, in accordance with the applicable Federal cost principles or other conditions contained in the grant or cooperative agreement.

A.I.D. closeout procedures include the following requirements:

- a. Upon request, A.I.D. shall make prompt payment to a recipient for allowable reimbursable costs under the grant or cooperative agreement being closed out.
- b. The recipient shall immediately refund any balance of unobligated (unencumbered) cash that A.I.D. has advanced or paid and that is not authorized to be retained by the recipient for use in other grants or cooperative agreements.
- c. A.I.D. shall obtain from the recipient within 90 calendar days after the date of completion of the grant or cooperative agreement all financial, performance, and other reports required as the condition of the grant or cooperative agreement. A.I.D. may grant extensions when requested by the recipient.
- d. When authorized by the grant or cooperative agreement, A.I.D. shall make a settlement for any upward or downward adjustments to A.I.D.'s share of costs after these reports are received.

G. Standard Provisions

Attached hereto as Attachment 3 of this Grant are the Mandatory Standard Provisions for U.S. Non-Governmental Grantees and the Optional Standard Provisions for U.S., Non-Governmental Grantees. The titles of the following provisions, marked by the letter "x" are applicable to this grant:

- (a) mandatory Standard Provisions for U. S. Non-Governmental Grantees
- |                                    |                 |
|------------------------------------|-----------------|
| (X) Allowable Costs                | (November 1985) |
| (X) Accounting, Audit, and Records | (March 1987)    |
| (X) Refunds                        | (May 1986)      |
| (X) Revision of Grant Budget       | (November 1985) |
| (X) Termination and Suspension     | (May 1986)      |
| (X) Disputes                       | (March 1987)    |
| (X) Ineligible Countries           | (May 1986)      |
| (X) Nondiscrimination              | (May 1986)      |
| (X) U.S. Officials Not to Benefit  | (November 1985) |
| (X) Nonliability                   | (November 1985) |
| (X) Amendment                      | (November 1985) |
| (X) Notices                        | (November 1985) |

(b) Optional Standard Provisions for U.S., Non-Governmental Grantees:

- |     |   |                 |
|-----|---|-----------------|
| (X) | Payment - Letter of Credit                                | (November 1985) |
| ( ) | Payment - Periodic Advance                                | (November 1985) |
| ( ) | Payment - Cost Reimbursement                              | (November 1985) |
| (X) | Air Travel and Transportation                             | (November 1985) |
| (X) | Ocean Shipment of Goods                                   | (November 1985) |
| (X) | Procurement of Goods and Services                         | (November 1985) |
| (X) | A.I.D. Eligibility Rules for Goods and Services           | (November 1985) |
| (X) | Sub Agreements  | (November 1985) |
| (X) | Local Cost Financing with U. S. Dollars                   | (May 1986)      |
| (X) | Patent Rights   | (November 1985) |
| (X) | Publications  | (November 1985) |
| ( ) | Negotiated Indirect Cost Rates - Predetermined            | (May 1986)      |
| ( ) | Negotiated Indirect Cost Rates - Provisional              | (May 1986)      |
| ( ) | Participant Training                                      | (May 1986)      |
| ( ) | Voluntary Population Planning                             | (August 1986)   |
| ( ) | Protection of the Individual as a Research Subject        | (November 1985) |
| ( ) | Care of Laboratory Animals                                | (November 1985) |
| ( ) | Government Furnished Excess Personal Property             | (November 1985) |
| (X) | Title to and Use of Property (Grantee Title)              | (November 1985) |
| ( ) | Title to and Care of Property (U.S. Government Title)     | (November 1985) |
| ( ) | Title to and Care of Property (Cooperating Country Title) | (November 1985) |
| (X) | Cost Sharing (Matching)                                   | (November 1985) |
| (X) | Use of Pouch Facilities                                   | (November 1985) |
| (X) | Conversion of United States Dollars to Local Currency)    | (November 1985) |

ATTACHMENT 2

Program Description

A. Objective

Grantee shall develop approaches for helping developing countries ensure they can integrate environmental action into sustainable development.

B. Purpose

Grantee will serve as a vehicle for developing recommendations for actions supported by major U.S. and international interest groups in particular in the energy area, with emphasis on technologies for efficient use of energy and to reduce emissions due to energy use.

C. Statement of Work

Develop working groups of respected international energy experts to propose recommended international programs (principles, policies, specific programs of interest to UNCED).

The recommendations developed should help develop U. S. position.

Grantee will provide a setting for U.S. government representatives to discuss issues in neutral setting (intellectual property protection, funding role of international institutions).

In developing recommendations expert groups would review available information and, to the extent possible, fill gaps, especially relating to international markets and trade for technology.

D. Grantee's Proposal

As indicated heretofore, Grantee's unsolicited proposal entitled "Technology Transfer and the Agenda for the Environmental and Development" which describes in detail the functions to be performed hereunder is incorporated herein by reference and made a part hereof.